Index

ABANDONMENT
- As bar to patentability, **1:13**
- Failure to police quality of trademarked product as, **3:19**
- Loss of trademark due to, **3:15, 3:19**

ACQUIESCENCE DEFENSE
- Copyrights (laches) and, **4:14, 4:18**
- Patents (laches and estoppel) and, **1:8**
- Trademarks and, **3:15**

ACQUIRING INTELLECTUAL PROPERTY
- See also Clayton Act Sec. 7 (this index)
- Acquiring copyright rights by creation, **4:4**
- Acquiring patents, application process, **1:16**
- Acquiring trademarks by use, **3:2**
- Acquiring trade secret protection, **2:1, 2:2**
- Assignments
  - Copyrights, **4:10**
  - Patents, **1:5**
- Exclusive versus non-exclusive purchases of existing intellectual property, **12:4**
- Mergers and purchases of intellectual property, **12:2-12:4**
- Purchases as part of an entire business or division, **12:2**
- Purchases of separate intellectual property assets, **12:3**

ACTIVE INDUCEMENT TO INFRINGE
- See also Contributory Infringement; Enforcing Intellectual Property; Vicarious Liability (this index)
- Attempts to circumvent, Section 271 and, **1:3**
- Patents and liability for, **1:3**

ACTUAL CONFUSION
- See also Likelihood of Confusion; Trademark Law (this index)
- As evidence of trademark infringement, **3:14**

ACTUAL MONOPOLIZATION
- See also Market Definition; Monopolizing Conduct; Monopoly Power; Sherman Act Sec. 2 (this index)
- Elements of offense, **6:2**
- Essential facilities doctrine and, **6:10**
- Market definition, **6:3**
- Monopolizing conduct, **6:5**
- Monopoly leveraging and, **6:9**
- Monopoly power, **6:4**
- Predatory pricing and, **6:5, 7:9**
- Sherman Act, Section 2, generally, **6:1, 6:2**
- Standing, **6:2**

ADVERTISING
- False advertising, as monopolizing conduct, **6:5**
- False or deceptive advertising, as FTC Act Sec. 5 violation, **10:1, 10:5**
- Literally false advertising claims, as Lanham Act violation, **3:12, 3:14**
- Misleading but true advertising claims, as Lanham Act violation, **3:12, 3:14**
- Payments and services for, as Clayton Act violation, **7:14**

AGENCY ANTITRUST GUIDELINES
- See also the discussions of the agency guidelines under specific topics (price fixing, market allocations, tying, etc.)

AGENCY ANTITRUST GUIDELINES—Cont'd
Guides for Collaborations Among Competitors
Discussion of, **13:3**
Guides for Horizontal Mergers, **9:4**
Guides for Licensing of Intellectual Property
Copy of, **App A**
Discussion of, **5:9**
Guides for Vertical Mergers, **9:5**

AGENTS
Concerted action element and, **5:2, 5:4, 16:4**
Price fixing and, **5:4, 16:4**
Trade secrets and disclosures to, **2:3**

AMENDMENT OF CLAIMS
Patents law, **1:16**

ANCILLARY RESTRAINTS ANALYSIS
See also Boycotts; Horizontal Non-Price Restraints; Horizontal Price Fixing (this index)
Agency collaborations guidelines and, **13:3**
Agency licensing guidelines and, **5:9, App A**
Horizontal market restraints and, **5:5**
Horizontal price restraints and, **5:4**
Joint ventures and, **13:4, 13:5, 13:6**
Rule of reason and, **5:7**
Trade secret restraints and, **26:3, 27:2**
Versus "naked" per se restraints, **5:3-5:6, 17:2**

ANTICIPATION OF INVENTION
As bar to patentability, **1:13**
Inherent anticipation, **1:13**

ANTICYBERSQUATTING CONSUMER PROTECTION ACT
Confusing domain name use of trademarks, liability under, **3:17**

ANTITRUST AGENCY GUIDELINES
See Agency Antitrust Guidelines (this index)

ANTITRUST INJURY
See Standing (this index)

ANTITRUST LAWS
See Clayton Act; FTC Act; Sherman Act (this index)

ANTITRUST MISUSE DEFENSE
Copyrights and, **4:18**
Patents and, **1:17**
Trademarks and, **3:19**

ANTITRUST SAFETY ZONES
See also Market Share (this index)
Agency collaborations guidelines and, **5:7, 13:3, 14:5**
Agency licensing guidelines and, **5:7, 5:9, App A**

ANTITRUST STANDING
See Standing (this index)

APPEALS
Patent Law (this index)
Patent Trial and Appeal Board (this index)

APPLICATIONS AND REGISTRATIONS
Copyright registrations, **4:7**
Patent applications, **1:16**
Trademark registrations, **3:3**

ARBITRARY MARKS
As trademark category, **3:11**
Significance for trademark infringement claim, **3:14**

ARTIFICIAL INTELLIGENCE
Copyrights and, **4:9**
Patents and, **1:1**
Trade secrets and, **2:1, 2:2**

ASSIGNMENTS
See also Acquiring Intellectual Property (this index)
Of copyrights, **4:10**
Of patents, **1:2, 1:5**

ATTEMPTED MONOPOLIZATION
See also Monopolizing Conduct (this index)
Elements of offense, **6:8**

ATTEMPTED MONOPOLIZATION —Cont'd
Essential facilities doctrine and, **6:10**
FTC Act and, **10:2**
Market definition, **6:3, 6:8**
Monopoly leveraging and, **6:9**
Predatory pricing and, **7:9**
Sherman Act Sec. 2, generally, **6:1, 6:8**

ATTORNEY FEES
Antitrust suits and, **5:1, 6:1, 7:1, 8:1, 9:1**
Copyright suits and, **4:16**
Patent suits and, **1:7**
Trademark suits and, **3:13**
Trade secrets suits and, **2:4**

AUTHORS
Of copyrighted works, rights of, **4:1, 4:8**

AVAILABILITY DEFENSE
Against claims of price discrimination under Clayton Act, **7:13**

BARRIERS TO ENTRY
Agency merger guidelines, discussion of, **9:4-9:6**
Analysis of market power for merger cases and, **9:3, 9:4, 9:7**
Analysis of market power for monopolization claims and, **6:4, 6:8**
Analysis of market power under rule of reason and, **5:7, 5:8**

BELOW-COST PRICING
See Predatory Pricing (this index)

BERNE CONVENTION
Copyrights and, **4:1, 4:8**

BEST MODE REQUIREMENT
Patent disclosure, **1:15**

BLANKET LICENSING
See also Package Licensing (patents) (this index)
Copyrights and, **36:6**

BLOCK BOOKING
See also Package Licensing (patents) (this index)
Copyrights and, **36:5**

BLURRING
As form of trademark dilution, **3:16**

BOYCOTTS
Concerted action element, **5:2, 5:6**
Dealer and licensee terminations as boycotts, **5:2, 5:6, 37:3**
Essential asset or resources, boycotts to control, **5:6**
Horizontal versus vertical boycotts, **5:6, 37:2, 37:3**
Market power and, **5:6**
''Naked'' versus ''ancillary'' refusals to deal, **5:6**
Per se versus rule of reason treatment, **5:6**
Prices, boycotts to raise or control, **5:6**
Refusal to license or supply parts or services, **11:2, 11:3**
Rule of reason and, **5:6**
Sherman Act
Sec. 1, generally, **5:6**
Sec. 2, **6:5, 6:7, 6:8**
Vertical boycotts, **5:6**

BROKERAGE PAYMENTS
As Clayton Act Sec. 2(c) violation, **7:1**

BUNDLED DISCOUNTS
See also Predatory Pricing (this index)
Monopolizing conduct and, **6:5, 7:9**
Predatory pricing and, **6:5, 7:9**
Tying and, **8:2**

BUSINESS JUSTIFICATION
Boycotts and defense of, **5:6**
Monopolizing conduct and, **6:5**
''Non-naked'' per se behavior and, **5:4, 5:5**
Rule of reason and, **5:3**
Tying and defense of, **8:2**

BUYER LIABILITY
For price discrimination under Clayton Act Sec. 2(f), **7:15**

CANCELLATIONS
Copyrights, cancelling a registration, **4:7**
Trademarks, cancelling a registration, **3:3**

CARTELS
See Horizontal Non-Price Restraints; Horizontal Price Fixing (this index)

CHANGED MARKET CONDITIONS DEFENSE
Against claims of price discrimination under Clayton Act, **7:11**

CLAIM CONSTRUCTION RULES
Appellate review of, **1:6**
Patents and, **1:6**

CLAYTON ACT
See also Exclusive Dealing; Tying (this index)
Price discrimination by sellers under Sec. 2(a)
Generally, **7:2-7:13**
Anticompetitive effect, generally, **7:8**
Anticompetitive effect at buyer level (secondary line injury), **7:10**
Availability defense, **7:13**
Changed market conditions defense, **7:11**
Commerce requirement, **7:3**
Commodities requirement, **7:5**
Cost justification defense, **7:11**
Direct versus indirect price discrimination, **7:7**
Functional discount defense, **7:13**
"Like grade and quality" requirement, **7:6**
Meeting competition defense, **7:12**
Primary line (seller level) injury, **7:9**
Secondary line (buyer level) injury, **7:10**

CLAYTON ACT—Cont'd
Price discrimination by sellers under Sec. 2(a)—Cont'd
"Separate contemporaneous purchasers" requirement, **7:4**
Section 2
Advertising and promotional payments and services under Secs. 2(d) and 2(e), **7:14**
Availability defense, **7:13**
Brokerage and commercial bribery under Section 2(c), **7:1**
Buyer liability under Sec. 2(f), **7:15**
Changed market conditions defense, **7:11**
"Commerce" requirement, **7:3**
"Commodities" requirement, **7:5**
Cost justification defense, **7:11**
Enforcement, **7:1**
Functional discount defense, **7:13**
Legislative history, **7:1**
Meeting competition defense, **7:12**
Price discrimination by sellers under Sec. 2(a), above
Section 3
"Commodities" requirement, **8:1**
Exclusive dealing and, **8:3**
Requirements contracts and, **8:3**
Tying arrangements and, **8:2**
Section 7
Acquiring intellectual property assets and, **12:2, 12:3**
Agency guidelines
horizontal mergers, **9:4**
vertical mergers, **9:5**
Conglomerate mergers and acquisitions, **9:6**
Defenses, **9:7**
Enforcement, **9:1**
Entrenchment doctrine, **9:5, 9:6**
Failing company defense, **9:7**
"General Dynamics" defense, **9:7**
Horizontal mergers and acquisitions, **9:3, 9:4**
Joint ventures and, **13:4**
Potential entrant doctrines, **9:6**

CLAYTON ACT—Cont'd
Section 7—Cont'd
Pre-merger filing requirements (Hart-Scott-Rodino Act), **9:1**
Prima facie case, rebutting a, **9:7**
Reciprocal dealing and, **9:6**
Remedies, **9:1**
Vertical mergers and acquisitions, **9:5**

COLGATE DOCTRINE
See Concerted Action (this index)

COLLABORATIONS GUIDELINES
See Agency Antitrust Guidelines (this index)

COLLECTIVE WORKS
Copyrights, rights in, **4:9**

COMBINATIONS
See Concerted Action (this index)

COMMERCE REQUIREMENT
For federal trademark claims under Lanham Act, **3:2, 3:12**
For price discrimination claims under Clayton Act, **7:3**

COMMODITIES REQUIREMENT
For exclusive dealing or tying claims under Clayton Act Sec. 3, **8:1-8:3, 20:2**
For price discrimination claims under Clayton Act Sec. 2, **7:5**
Sherman Act Sec. 1, absence of similar ''commodities'' limitation, **8:2, 8:3, 20:2**

COMMON LAW
Protection of trademarks and, **3:5, 3:12, 3:14, 3:15**

COMPUTER SOFTWARE
See also Copyright Law; Patent Law; Trade Secret Law (this index)
Acquiring externally-developed software and other intellectual property, **12:1-12:4**
Copyrightability of, **4:1, 4:2**
Digital Millennium Copyright Act and, **4:17**

COMPUTER SOFTWARE—Cont'd
Joint ventures to develop or standardize software and other intellectual property, **13:1-13:6**
Patentability of, **1:10**
Pooling and cross-licensing arrangements involving software and other intellectual property, **14:1-14:5**
Refusals to license or supply internally-developed software and other intellectual property, **11:1-11:6**
Registration of with Copyright Office, **4:7**
Standardization of software and other intellectual property, **13:4**
Trade secret protection of, **2:1, 2:2**
Tying and, **8:2, 36:5**

CONCERTED ACTION
Boycotts, element of offense, **5:6**
Circumstantial versus direct evidence of, **5:2, 5:4**
Colgate doctrine, **5:2, 30:2, 30:3, 37:2**
Conspiracies to monopolize and, **6:7**
Corporate subsidiaries, employees and agents, and, **5:2**
Dealer and licensee terminations as, **5:2, 37:1-37:4**
Dealer complaints and pre-termination discussions, **5:2, 37:2, 37:3**
Horizontal market restraints, element of, **5:5**
Involuntary co-conspirators, treatment of, **5:2, 37:2**
Joint monopolization and, **6:6**
Joint ventures and other affiliated entities and, **5:4**
Motions for summary judgment and, **5:2, 5:4**
Motions to dismiss and, **5:2, 5:4**
Parallel pricing and other parallel behavior as, **5:2, 5:4**
Price fixing, element of, **5:4, 30:2, 30:3**
Sherman Act Sec. 1, generally, **5:2**
Unilateral conduct versus, **5:2, 30:2, 30:3**

CONCERTED REFUSALS TO DEAL
See Boycotts; Dealer and Licensee Terminations; Refusals to License; Refusals to Supply Parts or Services (this index)

CONFIDENTIAL DISCLOSURES OF TRADE SECRETS
Impact on trade secret status of, **2:1, 2:3**

CONGLOMERATE MERGERS
See Clayton Act, Sec. 7 (this index)

CONSPIRACIES TO MONOPOLIZE
See also Concerted Action; Monopolizing Conduct (this index)
FTC Act and, **10:2**
Incipient conspiracies to monopolize, **6:7**
Joint monopolization, **6:6**

CONSPIRACY
See Concerted Action (this index)

CONSUMER CONFUSION
FTC Act unfair competition and, **10:1, 10:5**
Lanham Act unfair competition and, **3:12**
Trademark damages and, **3:13**
Trademark infringement and, **3:12**

CONTINUATION APPLICATIONS
Patents and, **1:16**

CONTRIBUTORY INFRINGEMENT
See also Active Inducement to Infringe; Infringement Actions; Vicarious Liability (this index)
Of copyrights, **4:12**
Of patents, **1:3**
Of trademarks, **3:12**

COPYRIGHTABLE MATERIAL
Material eligible for copyright protection, **4:2**

COPYRIGHT CLAIMS BOARD
Copyright estoppel doctrine, **4:3**
Copyright law, **4:12**

COPYRIGHT LAW
''Abstraction-filtration-comparison'' test, **4:15**
Acquiescence defense, **4:14, 4:18**
Acquiring copyright rights, **4:14**
Architectural works, **4:2, 4:8**
Artificial intelligence, **4:9**
Asserted truths doctrine, **4:3**
Assignment of copyright rights, **4:10**
Attorney fees, **4:16**
Authors, rights of, **4:8, 4:11**
Cancelling a registration, **4:7**
Circumvention of security features, and DMCA, **4:17**
Collective works and compilations, **4:9**
Compulsory licensing of certain works, **4:8**
Computer software, and DMCA, **4:17**
Contributory infringement, **4:12**
Copyright Claims Board, **4:12**
Creativity requirement, **4:2**
Criminal remedies, **4:16**
Damages, **4:16**
Defenses, **4:14, 4:18**
De minimis use, as defense, **4:15**
Deposit with Copyright Office, **4:7**
Derivative works, **4:1, 4:8, 4:12**
Digital Millennium Copyright Act, **4:17**
Direct infringement, **4:12**
Divisibility of copyright rights, **4:10**
Duration of copyright, **4:5**
Examples of copyrightable material, **4:2**
Exclusive rights in copyright, **4:8**
Exhaustion doctrine, **4:13**
Expression, versus facts and ideas, **4:2, 4:3, 4:15**
Extraterritorial enforcement, **4:8**
''Extrinsic-intrinsic'' test, **4:15**
Fair use defense, **4:18**
Filtering, in infringement analysis, **4:15**

COPYRIGHT LAW—Cont'd
First sale doctrine, **4:13**
Fraud in registering as defense, **15:1, 15:2**
Idea, versus expression, **4:3, 4:15**
Implied licenses, for persons commissioning works, **4:10**
Impounding remedy, **4:16**
Independent creation, as defense, **4:15, 4:18**
Indirect infringement, **4:12**
Infringement actions, **4:12, 4:15**
Injunctions, **4:16**
Innocent infringement, **4:6**
Internet service providers, and DMCA, **4:17**
Joint authors, rights of, **4:9**
Laches defense, **4:14, 4:18**
Mask works, special protection, **4:2**
Merger doctrine, **4:3**
Misuse defense, **4:18**
Non-exclusive licenses, **4:10**
Notice of copyright, **4:6**
Originality (''creativity'') requirement, **4:2**
Ownership of copyright rights, **4:9**
Parodies, and fair use doctrine, **4:18**
Personal jurisdiction over defendant, **4:14, 4:16**
Pre-1978 works, different rules for, **4:5**
Preemption of state laws, **4:4**
Prerequisites for infringement actions, **4:14**
Profits remedy, **4:16**
Protected expression, filtering out, **4:3, 4:15**
Registration
  Advantages of, **4:7**
  Copyright Office, with, **4:7**
  Inaccuracies in registration, **4:7**
Remedies, **4:16**
Rights of copyright, **4:8, 4:9**
Rights under a copyright, **4:8, 4:9**
Scenes a faire doctrine, **4:3**
Small copyright claims, **4:12**
Software programs, **4:7**

COPYRIGHT LAW—Cont'd
Standing, assignees versus non-exclusive licensees, **4:10**
Statute of limitations, **4:14**
Statutory damages, **4:16**
Subject matter, copyrightable, **4:2**
''Substantial similarity'' test, of infringement, **4:15**
Termination right, of author, **4:11**
Territorial limitations on, **4:8, 36:3**
Trademark law, interaction with, **4:4**
Transfer of rights, **4:10**
Transformative works and fair use, **4:18**
Useful articles, **4:3**
Vicarious liability, **4:12**
Visual arts works, **4:8**
Willful infringement, **4:16**
Works made-for-hire, **4:9**

COPYRIGHT LICENSES
See also specific offenses (horizontal price fixing, vertical price fixing, tying, etc.) listed in this index
Blanket licensing and, **36:6**
Block booking and, **36:5**
Customer restraints and, **36:4**
Exclusive dealing restraints and, **36:7**
Field of use restraints and, **36:4**
Joint ventures involving, **13:5**
Pooling arrangements and, **36:6**
Price restraints and, **36:2**
Territorial restraints and, **36:3**
Tying restraints and, **36:5**

COPYRIGHT MISUSE
As defense against infringement, generally, **4:18**
Blanket licensing as, **36:6**
Compulsory block booking as, **36:5**
Customer and field of use restraints as, **4:18, 36:4**
Exclusive dealing (tie-outs) as, **36:7**
Price fixing as, **36:2**
Refusals to license or supply as, **11:2, 11:3**
Sham infringement actions as, **38:4**
Territorial allocation schemes as, **36:3**

COPYRIGHT MISUSE—Cont'd
Tying as, **36:5**
Use to suppress non-infringing products as, **4:18**

COPYRIGHT REGISTRATION
Advantages of registration, **4:7**
Cancelling a registration, **4:7**
Fraud in registration, as defense or antitrust violation, **15:1-15:3**
Mechanics of registration, **4:7**

COPYRIGHT RIGHTS
Exclusive rights in copyright, **4:8, 4:9**

COST JUSTIFICATION DEFENSE
Against claims of price discrimination under Clayton Act, **7:11**

COST-PRICE SQUEEZES
Predatory pricing and, **7:9**
Sherman Act Sec. 2 and, **6:5, 7:9**

COUNTERFEIT TRADEMARKS
Enhanced damages under Lanham Act for, **3:13**

CRIMINAL REMEDIES
Copyrights and, **4:16**
Robinson-Patman Act and, **7:1**
Sherman Act violations and, **5:1, 6:1**
Trade secrets and, **2:1, 2:4**

CROSS-ELASTICITY OF DEMAND
See Relevant Product Market (this index)

CROSS-LICENSING
See Pooling and Cross-Licensing (this index)

CUSTOMER RESTRICTIONS
Copyright licenses and, **36:4**
Horizontal versus vertical restraints, different treatment of, **5:5, 5:7, 31:2-31:4, 32:2**
Joint ventures and, **13:4-13:6**
Patent licenses and, **18:1-18:5**
Pooling and cross-licensing arrangements, and, **14:2, 14:3**
Special problems involving dual distribution restraints, **33:1-33:3**

CUSTOMER RESTRICTIONS —Cont'd
Trademark licenses and, **31:1-31:4, 32:1-32:3**
Trade secret licenses and, **27:1, 27:2**

CYBERSQUATTING
As violation of Lanham Act Sec. 43(d), **3:17**

DAMAGES
Antitrust violations, **5:1, 6:1, 7:1, 8:1, 9:1**
Copyright violations, **4:16**
Damages causation versus antitrust injury, **5:4, 6:2**
Patent violations, **1:7**
Trademark violations, **3:13**
Trade secret violations, **2:4**

DEALER AND LICENSEE TERMINATIONS
Coerced terminations, **5:6, 37:3**
Complaints, **5:6, 37:2**
For purposes of monopolizing a market, **37:5**
Group boycott terminations, **5:6, 37:3**
Unilateral terminations
Facilitation of separately illegal agreement, **37:4**
Legitimate business purpose, **5:2, 37:2**
''Vertical'' versus ''horizontal'' terminations, **5:6, 37:2, 37:3**

DECEPTION
As Lanham Act Sec. 43(a) violation, **3:12**
As monopolizing conduct under Sherman Act Sec. 2, **6:5**
As unfair method of competition under FTC Act Sec. 5, **10:5**

DECLARATORY JUDGMENT ACTIONS
Patents and, **1:8**
Trademarks and, **3:15**

DEFEND TRADE SECRETS ACT
Civil seizure remedy, **2:1, 2:4**
Comparison with UTSA, **2:1**

DEFEND TRADE SECRETS ACT —Cont'd
Confidential disclosures, **2:3**
Definition of misappropriation, **2:1, 2:2**
Definition of trade secret, **2:1, 2:2**
Remedies, **2:4**
Standing, **2:4**

DEFENSE FUNDS
See Intellectual Property Defense Funds (this index)

DEFENSES
See also defenses listed under specific offenses (price fixing, tying, etc.) in this index
Agency "safe harbors" against prosecution, **5:7, 5:9, 13:3, App A.**
Copyright infringement and, **4:18**
Distinguishing "naked" from "ancillary" restraints, **5:4, 5:5, 5:6, 26:3**
Exhaustion ("first sale") defense, **1:8, 3:15, 4:13, 16:4, 17:4, 18:2**
Fraud in
Enforcing intellectual property, **38:4**
Procuring intellectual property, **15:2**
Mergers and acquisitions, **9:7**
Monopolization and attempted monopolization, rebutting a prima facie case, **6:5, 6:8**
Patent infringement and, **1:8**
Per se offenses, unavailability of, **5:3**
Price discrimination, **7:11-7:13**
Rule of reason, rebutting a prima facie case, **5:7, 5:8, 32:2**
Trademark infringement and, **3:15**
Trade secret misappropriation and, **2:4**
Tying, business justification defense, **8:2, 20:3, 28:2, 34:3, 36:5**

DERIVATION PROCEEDINGS
Patents, **1:16**

DERIVATIVE WORKS
Copyrights and, **4:2, 4:8, 4:12**
Transformative works versus, **4:12**

DESCRIPTION REQUIREMENT
Patent disclosure, **1:15**

DESCRIPTIVE MARKS
As trademark category, **3:8**
Secondary meaning and, **3:8**
Significance for trademark infringement claim, **3:8, 3:14**

DESIGN PATENTS
Anticipation bar and, **1:13**
Claims, interpretation of, **1:6**
Damages and, **1:6, 1:7**
Duration of, **1:2**
Indefiniteness bar and, **1:15**
Infringement claims involving, **1:9**
Obviousness bar and, **1:14**
Utility requirement and, **1:10**

DIGITAL MILLENNIUM COPYRIGHT ACT
Copyright infringement and, **4:17**
Copyright tying and DMCA as defense, **36:5**

DILUTION OF FAMOUS MARKS
Liability and state dilution laws, **3:16**
Liability under Lanham Act Sec. 43(c), **3:16**

DIRECT INFRINGEMENT
Of copyright, **4:12**
Of patent, **1:3**
Of trademark, **3:12**

DISCLOSURE
Patent specification, **1:15**

DISCLOSURE-DEDICATION RULE
Doctrine of equivalents and, **1:9**

DISCOUNTS
Bundled discounts
Monopolizing conduct, **6:5**
Tying, **8:2**
Discounts as price discrimination, **7:7**
Functional discount defense under Clayton Act Sec. 2, **7:13**
Predatory pricing and price reductions involving, **7:9**

DISCOUNTS—Cont'd
Price discrimination, Clayton Act Sec. 2, **7:7**

DISCRIMINATORY PRICING
See Clayton Act, Sec. 2 (this index)

DISCRIMINATORY PROMOTIONAL PAYMENTS AND SERVICES
See Clayton Act, Sec. 2 (this index)

DISCRIMINATORY ROYALTIES
See Royalties (this index)

DISTINCTIVE TRADEMARKS
Versus generic or merely descriptive marks, **3:6-3:11**

DIVISIONAL APPLICATIONS
Patents and, **1:16**

DMCA
See Digital Millennium Copyright Act (this index)

DOCTRINE OF EQUIVALENTS
Disclosure-dedication rule and, **1:9**
Means-plus-functions claims and, **1:9**
Prosecution history estoppel and, **1:9**
Proving patent infringement by, **1:9**
Reverse doctrine of equivalents, **1:9**
Versus literal infringement, **1:9**

DOMAIN NAME CYBERSQUATTING
Liability under Lanham Act Sec. 43(d), **3:17**

DOUBLE PATENTING
As bar to patentability, **1:13, 1:14**

DUAL DISTRIBUTION
See also Horizontal Non-Price Restraints; Vertical Non-Price Restraints (this index)
Distinguishing horizontal from vertical market restrictions and, **31:4, 33:2**
Special analytical problems involving, **33:1-33:3**

DURATION
Of copyright, **4:5**

DURATION—Cont'd
Of patent, **1:2**
Of trademark, **3:2, 3:19**
Of trade secret, **2:1, 26:3**

DUTY TO DEAL
See Boycotts; Essential Facilities Doctrine; Refusals to License; Refusals to Supply Parts or Services (this index)

EFFICIENCIES
Agencies
Collaborations guidelines and, **13:3**
Licensing guidelines and, **5:9, App A**
Joint ventures and, **13:3-13:6**
Mergers and, **9:4, 9:7**
Monopolization and attempted monopolization, and, **6:5, 6:8**
Rule of reason and, **5:7, 5:8**

ENABLEMENT REQUIREMENT
As bar to patentability, **1:15**
As possible "obviousness" consideration, **1:14**

ENFORCING INTELLECTUAL PROPERTY
As antitrust violation and invalidating misuse, generally, **38:4**
Bad faith enforcement
Part of larger anticompetitive scheme, **6:5, 38:4**
Part of pattern of baseless litigation, **38:4**
Property known to be invalid, **6:5, 15:2, 15:3, 38:4**
Valid intellectual property, **38:2, 38:4**
Bona fide actions to enforce, **6:5, 21:2, 38:2, 38:5**
Defense funds, and Noerr-Pennington doctrine, **38:5**
Fraud in procurement
Antitrust violation, **6:5, 15:3, 38:4**
Infringement defense, **1:8, 3:15, 15:2**
Good faith, presumption of, **38:2, 38:4**

ENFORCING INTELLECTUAL PROPERTY—Cont'd
Inequitable conduct in procurement
Antitrust violation, **15:3, 38:4**
Infringement defense, **1:8, 3:15, 15:2**
Infringement actions
Copyright, **4:12**
Patents, **1:3**
Trademarks, **3:12**
Innovation incentive of enforcement, **38:1, 38:2**
Misappropriation actions, trade secrets, **2:4**
Noerr-Pennington doctrine, **38:2, 38:5**
Patent "hold ups," **13:4, 38:3**
Pick-Barth doctrine, **38:6**
"Reverse payment" patent settlement agreements, **5:5, 38:3**
Settlement agreements, **5:5, 38:3**
Sham actions to enforce, **6:5, 38:2, 38:4**

ENTRENCHMENT DOCTRINE
Conglomerate mergers and, **9:6**
Vertical mergers and, **9:5**

ENTRY BARRIERS
See Barriers to Entry (this index)

EQUITABLE ESTOPPEL
Patents and defense of, **1:8**

EQUIVALENTS
See Doctrine of Equivalents (this index)

ESSENTIAL FACILITIES DOCTRINE
And Sherman Act Sec. 2, **6:10**
Refusals to license or supply parts and, **11:2, 11:3**

EXCLUSIVE DEALING RESTRICTIONS
Clayton Act Sec. 3 and, **8:3**
"Commodities" requirement for Clayton Act claim, **8:1, 8:3**
Copyright licenses and, **36:7**
De facto exclusive dealing restraints, **8.3**

EXCLUSIVE DEALING RESTRICTIONS—Cont'd
FTC Act Sec. 5 and, **8:3, 10:2**
Full-line forcing as, **8:2, 8:3**
Patent licenses and, **21:1-21:3**
Sherman Act
Sec. 1 and, **8:3**
Sec. 2 and, **6:5, 6:7, 6:8**
"Tie-outs" as exclusive dealing, **21:1-21:3, 28:3**
Trademark licenses and, **35:1-35:3**
Trade secret licenses and, **28:1, 28:3**
Versus trademark tying, **35:3**

EXHAUSTION DOCTRINE
Copyrights, exhaustion (first sale) defense, **4:13**
Patents
Exhaustion (first sale) defense, **1:8, 16:4, 17:4, 18:2**
Field of use restraints and, **18:2**
Price restraints and, **16:4**
Territorial restraints and, **17:4**
Refusals to
License and, **11:2**
Supply parts or services and, **11:3**
Trademarks, first sale defense, **3:15**

EX PARTE REEXAMINATION
Patents and, **1:16**

EXPERIMENTAL USE
Patents, exception to public use bar, **1:13**

EXPERT TESTIMONY
Antitrust and, **5:7, 6:3, 7:9**
Copyright and, **4:12, 4:15, 4:16**
Patents and, **1:3, 1:6, 1:7, 1:9, 1:13, 1:14**
Trademarks and, **3:12, 3:14**
Trade secrets and, **2:2, 2:4**

EXPRESSION VERSUS IDEAS
Copyrightable expression versus non-copyrightable facts and ideas, **4:3, 4:15**

EXPUNGEMENT
Cancellations, **3:3**
Oppositions, **3:3**

EXPUNGEMENT—Cont'd
Registering trademarks, **3:3**
Trademark law, **3:3**

EXTERNALLY DEVELOPED INTELLECTUAL PROPERTY
See also Joint Ventures; Pooling and Cross-Licensing; Standards Development Ventures (this index)
Acquiring separate assets from others, **12:3**
Acquisitions as part of complete business or product line, **12:2**
Exclusive versus non-exclusive acquisitions, **12:4**

EXTRATERRITORIAL ENFORCEMENT
Copyrights, territorial limits, **4:8, 36:3**
Foreign Trade Antitrust Improvements Act and, **17:5**
Patents
Assembly abroad as contributory infringement, **1:3**
Territorial limits, **1:2, 1:3, 17:5**
Trademarks
Remedy for "gray market" imports, **3:13**
Territorial limits, **3:12**
Trade secrets, territorial limits of DTSA, **2:1**

FAILING COMPANY DEFENSE
Against merger claims under Clayton Act Sec. 7, **9:7**

FAIR USE DEFENSES
Copyrights and, **4:18**
Trademarks, "classic" versus "nominative" fair use, **3:15**
Trademarks and, **3:15, 3:16**

FALSE ADVERTISING
And FTC Act Sec. 5, **10:1, 10:5**
And Lanham Act Sec. 43(a), **3:12**
And Sherman Act Sec. 2, **6:5**
Contributory false advertising, **3:12**
Literal versus impliedly false claims, **3:12, 3:13**

FALSE ADVERTISING—Cont'd
Versus puffery or mere opinion, **3:12**

FALSE DESIGNATION OF ORIGIN
Trademarks, as basis for Lanham Act claim, **3:12, 3:14**

FALSE ENDORSEMENT AND SPONSORSHIP CLAIMS
Trademarks, as basis for Lanham Act claim, **3:12, 3:14**

FAMOUS MARKS
Dilution claims under Trademark Dilution Act, **3:16**

FANCIFUL MARKS
As trademark category, **3:11**
Significance for trademark infringement claim, **3:14**

FEDERAL CIRCUIT
Exclusive patent law jurisdiction of, **1:3, 38:2, 38:4**

FEDERALLY-REGISTERED TRADEMARKS
See also Registration; Trademark Law (this index)
Protection of under Lanham Act, **3:12**

FEDERAL TRADE COMMISSION ACT
Enforcement of, **10:1**
Incipient antitrust violations as offenses of, **10:3**
Other unfair methods of competition, **10:5**
Practices contrary to basic antitrust policy, **10:4**
Practices violating Sherman or Clayton Acts, **10:2**

FIELD OF USE RESTRICTIONS
Copyright licenses and, **36:4**
Horizontal versus vertical restraints, generally, **5:5, 5:7, 31:2-31:4, 32:1, 32:2**
Joint ventures and, **13:4-13:6**
Patent exhaustion doctrine and, **18:2**
Patent licenses and, **18:1-18:5**

FIELD OF USE RESTRICTIONS —Cont'd
Pooling and cross-licensing and, **14:2, 14:3**
Sherman Act Section 1, generally, **5:5, 5:7, 31:1-31:4, 32:1-32:3**
Trademark licenses
Horizontal restraint, **5:5, 31:1-31:4**
Special problems involving dual distribution, **33:1-33:3**
Vertical restraint, **32:1-32:3**
Trade secrets licenses, **27:1, 27:2**

FILE WRAPPER
Patent application records, **1:16**

FILE WRAPPER ESTOPPEL
See Prosecution History Estoppel (this index)

FILTERING
Copyrights, filtering out protected expression from infringement, **4:3, 4:15**

FIRST SALE DOCTRINE
See Exhaustion Doctrine (this index)

FIRST-TO-FILE SYSTEM
Patents and, **1:13, 1:16**

FIRST-TO-INVENT SYSTEM
Patents and, **1:13, 1:16**

FOREIGN MARKETS
Extraterritorial patent restraints involving, **17:5**
Foreign Trade Antitrust Improvements Act and, **17:5**

FOREIGN TRADE ANTITRUST IMPROVEMENTS ACT
Extraterritorial enforcement, **17:5**

FRAUD AND INEQUITABLE CONDUCT IN PROCURING INTELLECTUAL PROPERTY
See also Enforcing Intellectual Property (this index)
As defense against patent, copyright or trademark infringement claim, **1:8, 3:15, 15:2, 38:4**

FRAUD AND INEQUITABLE CONDUCT IN PROCURING INTELLECTUAL PROPERTY —Cont'd
As element of antitrust violation, **15:3, 38:4**
Compulsory versus permissive counterclaims, and, **15:3, 38:4**
Versus bad faith enforcement of valid intellectual property, **38:4**

FULL-LINE FORCING
As exclusive dealing, **8:2, 8:3**
Versus tying, **8:2**

FUNCTIONAL DISCOUNT DEFENSE
Against claims of price discrimination under Clayton Act, **7:13**

FUNCTIONALITY DEFENSE
Design patents and, **1:8**
Trade dress and, **3:9, 3:15**

GENERIC MARKS
Effect of becoming generic, loss of trademark rights, **3:19**
Non-trademark status of, **3:7**
Significance for trademark infringement claim, **3:12**

GEOGRAPHIC MARKET
See Relevant Geographic Market (this index)

GOOD FAITH INFRINGEMENT ACTIONS
See Enforcing Intellectual Property (this index)

GRANT-BACK PROVISIONS
Patents and, **23:1, 23:2**
Trade secrets and, **29:1, 29:3**

"GRAY MARKET" IMPORTS
Copyrights and, **4:13**
Patents and, **1:7**
Trademarks and, **3:13**

GROUP BOYCOTTS
See Boycotts (this index)

HART-SCOTT-RODINO ACT
Mergers and acquisitions, pre-filing agency requirement, **9:1**

HERFINDAHL-HIRSCHMAN INDEX
Mergers and acquisitions, method of measuring market power, **9:4**

HORIZONTAL MERGERS
See Clayton Act, Sec. 7 (this index)

HORIZONTAL NON-PRICE RESTRAINTS
See also Customer Restrictions; Field of Use Restrictions; Output Restrictions; Territorial Restrictions; Vertical Non-Price Restraints (this index)
Agencies
Collaborations guidelines and, **13:3**
Licensing guidelines and, **5:9, App A**
Ancillary versus naked restraints, **5:5**
''Concerted action'' element of, **5:2, 5:5**
Copyright licenses and, **36:3, 36:4**
FTC Act and, **10:2**
Joint ventures and, **13:4-13:6**
''Naked'' versus plausibly ancillary horizontal restraints, generally, **5:5**
Patent licenses and, **17:2, 18:3, 19:3**
Per se treatment of, generally, **5:5**
Pooling and cross-licensing and, **14:2, 14:3**
''Reverse payment'' patent settlement agreements, **5:5, 38:3**
Standards setting ventures and, **13:4**
Trademark licenses and, **31:1-31:4**
Trade secrets and, **26:2, 27:2**
Versus vertical non-price restraints, **5:5, 31:4**

HORIZONTAL PRICE FIXING
Agencies
Collaborations guidelines and, **13:3**
Intellectual property licensing guidelines and, **5:9, App A**

HORIZONTAL PRICE FIXING —Cont'd
Ancillary versus naked restraints, **5:4**
''Concerted action'' element of, **5:2, 5:4**
Copyright licenses and, **36:2**
Copyright misuse defense and, **4:18, 36:2**
Joint ventures and, **13:4-13:6**
''Naked'' versus ''ancillary'' price restraints, generally, **5:3, 5:4**
Patent licenses and, **16:1-16:6**
Patent misuse defense and, **1:17, 16:3**
Patent pooling and, **14:3**
Per se treatment, generally, **5:3, 5:4**
Pooling and cross-licensing and, **14:2, 14:3**
''Quick look'' and, **5:3, 5:8**
Sham agencies and, **16:4**
Sherman Act Sec. 1, generally, **5:4**
Standards setting ventures and, **13:4**
Trademarks
Licenses and, **30:5**
Misuse defense and, **3:19, 30:5**
Trade secret licenses and, **25:1-25:3**

IDEA VERSUS EXPRESSION
Non-copyrightable facts and ideas versus copyrightable expression, **4:3, 4:15**

IMPLIED LICENSES
Copyrights, implied licenses for persons purchasing works-for-hire, **4:9**
Patents and, as defense, **1:8**
Trademarks and, as acquiescence, **3:15**

IMPORTS
Grey market imports, **1:7, 3:13, 3:14**
Section 337 proceedings, **1:7, 3:13**

IMPOUNDMENT
As copyright remedy, **4:16**

INCONTESTABLE TRADEMARKS
Benefits of achieving incontestable status, **3:18**
Requirements to achieve incontestable status, **3:18**

INDEPENDENT CREATION
As defense against copyright infringement, **4:15, 4:18**
As defense against trade secret misappropriation claim, **2:1**

INDIRECT INFRINGEMENT
See Active Inducement to Infringe; Contributory Infringement; Vicarious Liability (this index)

INEQUITABLE CONDUCT
See Fraud and Inequitable Conduct in Procuring Intellectual Property (this index)

INFRINGEMENTS
See also Copyright Law; Enforcing Intellectual Property; Patent Law; Trademark Law; Trade Secret Law (this index)
Copyright infringement actions, **4:12, 4:15**
Patent infringement actions, **1:3, 1:9**
Trademark infringement actions, **3:12**
Trade secret misappropriation actions, **2:4**

INITIAL INTEREST CONFUSION
As basis for trademark claim under Lanham Act, **3:14**

INJUNCTIONS
As antitrust remedy, **5:1, 6:1, 7:1, 8:1, 9:1**
As copyright remedy, **4:16**
As patent remedy, **1:7**
As trademark remedy, **3:13**
As trade secret remedy, **2:4**

INNOCENT INFRINGERS
Copyrights and, **4:6, 4:18**
Patents and, **1:4, 1:8**
Trademarks and, **3:15**
Trade secrets and, **2:1, 2:4**

INTELLECTUAL PROPERTY DEFENSE FUNDS
Antitrust treatment of, **38:5**
Noerr-Pennington doctrine and, **38:5**

INTELLECTUAL PROPERTY LICENSING GUIDELINES
See Agency Antitrust Guidelines (this index)

INTENT-TO-USE APPLICATIONS
Trademarks and, **3:4**

INTERFERENCES
Conflicting patent applications, priority, **1:13, 1:16**

INTERNALLY DEVELOPED INTELLECTUAL PROPERTY
Outside research consultants, **11:6**
Product design changes adversely affecting competitors, **11:5**
Product hopping, **11:5**
Refusals to license, **11:1, 11:2**
Refusals to supply needed parts or services, **11:3**
Timing disclosure of new innovations, **11:4**

INTER PARTES REVIEW
Patents and, **1:16**

INVENTORS
Derivative proceedings, **1:13, 1:16**
Failure to name correct inventor, as bar to patentability, **1:13**
Interference proceedings, **1:13, 1:16**
Joint patent owners, **1:2, 1:5**
Rights of, **1:2, 1:3, 1:5**

INVOLUNTARY CO-CONSPIRATORS
Treatment as conspirators, **5:2, 37:3**

JOINT AUTHORS
Of copyrighted works, rights of, **4:9**

JOINT INVENTORS
See Inventors (this index)

JOINT MONOPOLIZATION
See also Actual Monopolization; Conspiracies to Monopolize (this index)
Elements of offense, **6:6**
Versus incipient conspiracies to monopolize, **6:6, 6:7**

JOINT VENTURES
See also Clayton Act Sec. 7; Patent Pooling and Cross-Licensing; Sherman Act Sec. 1; Standards Development Ventures (this index)
Agency collaborations guidelines and, **13:3**
Capacity to "conspire," **5:2, 5:4, 13:6**
Confidential disclosures of trade secrets in connection with, **2:3**
Development of
Copyrighted works, **13:5**
Patented works, **13:4**
Trademarks, **13:6**
Trade secrets, **13:4**
General antitrust analysis of, **13:1-13:6**
National Cooperative Research and Production Act and, **9:7, 13:2**
Standards development joint ventures, **13:1-13:4**

LACHES
Copyrights, defense of, **4:14, 4:18**
Mergers, impact on divestiture relief of, **9:1**
Patents, defense of, **1:8**
Trademarks, defense of, **3:15**

LAST-TO-EXPIRE ROYALTY PROVISIONS
Package licensing and, **22:4, 24:3**

LICENSEES
See specific topics affecting licensees (price fixing, territorial restrictions, etc.)
Confidential disclosures of trade secrets to licensees, **2:3**
Implied licenses
Copyright licenses for purchasers of works-for-hire, **4:9**
Patent license, as defense, **1:8**
Trademark license (acquiescence), **3:15**
Standing of exclusive versus non-exclusive copyright licensees, **4:10**

LICENSEES—Cont'd
Standing of patent owners versus licensees, **1:5**

LICENSEE TERMINATIONS
See Dealer and Licensee Terminations (this index)

LICENSING INTELLECTUAL PROPERTY
See also specific topical issues affecting licensors and licensees (customer restraints, price fixing, royalties, tying, etc.)
Agency licensing guidelines, **5:9, App A**
Blanket licensing of copyrights, **36:6**
Block booking of copyrights, **36:5**
Cross-licensing and pooling of intellectual property, **14:1-14:5**
Customer and field of use restraints
Copyrights, **36:4**
Patents, **18:1-18:5**
Trademarks, **31:1-31:4, 32:1-32:3, 33:1-33:3**
Trade secrets, **27:1, 27:2**
"Dual distribution" restraints, trademarks, special problems, **33:1-33:3**
Exclusive dealing (tie-out) restraints
Copyrights, **36:7**
Patents, **21:1-21:3**
Trademarks, **35:1-35:3**
Trade secrets, **28:3**
Grant-back provisions
Patents, **23:1, 23:2**
Trade secrets, **29:3**
Horizontal non-price restraints, trademarks, **31:1-31:4**
Joint ventures and, **13:1-13:6**
"Naked" versus "ancillary" restraints generally, **5:3-5:5, 5:7, 5:9, App A**
Output restraints
Patents, **19:1-19:3**
Trademarks, **31:1-31:4, 32:1-32:3, 33:1-33:3**
Package licensing
Compulsory, **22:2**
Copyrights, **36:5, 36:6**

LICENSING INTELLECTUAL PROPERTY—Cont'd
Package licensing—Cont'd
Patents, **22:1-22:4**
Trade secrets, **29:2**
Pooling, copyrights, **36:5, 36:6**
Pooling and cross-licensing of intellectual property, **14:1-14:5, 36:5, 36:6**
Price restraints
Copyrights, **36:2**
Patents, **16:1-16:5**
Trademarks, **30:1-30:5**
Trade secrets, **25:1-25:3**
Refusals to license, **6:5, 11:1-11:6**
Royalty provisions, patents, **24:1-24:5**
Territorial restraints
Copyrights, **36:3**
Patents, **17:1-17:5**
Trademarks, **31:1-31:4, 32:1-32:3, 33:1-33:3**
Trade secrets, **26:1-26:3**
Tying restraints
Copyrights, **36:5**
Patents, **20:1-20:3**
Trademarks, **34:1-34:3**
Trade secrets, **28:2**
Vertical non-price restraints, trademarks, **32:1-32:3**

LIKE GRADE AND QUALITY
As element of price discrimination claim under Clayton Act Sec. 2, **7:6**

LIKELIHOOD OF CONFUSION OR DECEPTION
Trademarks and proof of, **3:14**

LITERAL INFRINGEMENT
Patents and, **1:3, 1:9**
Versus equivalence infringement, **1:9**

MARKET DEFINITION
Clayton Act Sec. 7 (mergers) and, **9:3, 9:4**
Geographic market, relevant, **6:3, 9:4**
Product market, relevant, **6:3, 9:4**

MARKET DEFINITION—Cont'd
Sherman Act
Sec. 1 and, **5:3, 5:7, 5:8**
Sec. 2 and, **6:2, 6:3, 6:8**

MARKET POWER
Abbreviated "quick look" method of proving, **5:7, 5:8**
Attempted monopolization claims and, **6:8**
Barriers to entry and, **5:7, 6:4, 9:4**
"Contract power" versus, **8:2**
Direct versus indirect proof of, **5:7, 6:4, 9:4**
Exclusive dealing claims and requirement of, **8:3**
Group boycotts, element of, **5:6**
Herfindahl-Hirschman measure of, **9:4**
Intellectual property as evidence of, **5:9, 8:2, 20:2, App A**
Market power "filter" and rule of reason, **5:7, 32:2**
Market share and, **5:7, 6:4, 9:4, 32:2**
Merger claims and, **9:3, 9:4**
Monopolization claims and, **6:4**
Rule of reason and, **5:7, 5:8, 32:2**
Tying claims and, **8:2, 20:2, 28:2, 34:2, 36:5**

MARKET SHARE
Agency "safe harbors" based upon, **5:7, 5:9, 13:3, App A**
Evidence of
Market power, **5:7, 5:9, 8:3, 32:2**
Monopoly power, **6:4, 6:8**
Tying power, **8:2**
Herfindahl-Hirschman Index, **9:4**
Market power "filter," rule of reason, **5:7, 5:9, 8:3, 13:3, 32:2**
Mergers, prima facie proof using, **9:3-9:6**
Rule of reason and, **5:7, 5:8, 8:3, 32:2**

MARKING
See Notice; Patent Marking (this index)

MARKMAN HEARINGS
Appellate review of, **1:6**

MARKMAN HEARINGS—Cont'd
Patent claims construction and, **1:6**

MEANS-PLUS-FUNCTION CLAIMS
Literal infringement of, **1:9**
Patents, descriptiveness requirement and, **1:15**

MEETING COMPETITION DEFENSE
Against claims of price discrimination under Clayton Act Sec. 2, **7:12**

MERGER DOCTRINE
Copyrights and defense of, **4:3, 4:18**

MERGERS
See Clayton Act, Sec. 7 (this index)

MISAPPROPRIATION OF TRADE SECRETS
As monopolizing conduct, **6:5**
As per se antitrust claim (''Pick-Barth'' doctrine), **38:6**
Non-competition covenants and, **2:4**
Non-disclosure covenants and, **2:4**
Protections against, generally, **2:4**

MISUSE DEFENSES
See Copyright Misuse; Patent Misuse; Trademark Misuse (this index)

MONOPOLIZATION
See also, Market Definition; Monopolizing Conduct; Monopoly Power; Sherman Act Sec. 2 (this index)
Actual monopolization, **6:2-6:5**
Attempted monopolization, **6:8**
Conduct, generally, **6:5**
Conspiracies to monopolize, **6:7**
Essential facilities doctrine, **6:10**
FTC Act and, **10:2**
Joint monopolization, **6:6**
Leveraging doctrine, **6:9**
Market definition and, **6:3**
Power, **6:4**
Standing to bring claim, **6:2**

MONOPOLIZING CONDUCT
See also Monopolization; Sherman Act Sec. 2 (this index)
Generally, **6:5**
Acquiring intellectual property, **6:5, 12:2-12:4**
Boycotts, **5:6, 6:5, 6:8**
Bundled discounts, **6:5, 7:9**
Cost-price squeezes, **6:5, 6:9, 7:9**
Dealer and licensee terminations, **37:5**
Enforcing fraudulently-procured intellectual property, **15:1, 15:3, 38:4**
Essential facilities refusals to deal, **6:10**
Exclusive dealing, **6:5, 6:8, 8:3**
False advertising, **6:5, 6:8**
Fraud, **6:5, 6:8, 38:4, 38:6**
Horizontal market restraints, **6:5, 6:8**
Leveraging, **6:9**
Patents
''Grant back'' restraints, **23:1, 23:2**
''Hold ups,'' **6:5, 13:4**
Per se violations as, **6:5**
Pooling and cross-licensing restraints, **14:4, 14:5**
Predatory pricing, **6:5, 6:8, 7:9**
Product design changes, **6:5, 11:5**
Product hopping, **11:5**
Refusals to license or supply parts or services, **6:5, 6:8, 11:2, 11:3**
''Reverse payment'' settlement agreements, **38:3**
Shams
Litigation, **6:5, 38:4**
Settlement agreements, **6:5, 38:3**
Standards development manipulation (patent ''hold ups''), **6:5, 6:8, 13:4**
Tortious and deceptive conduct, **6:5, 6:8, 38:6**
Tying, **6:5, 20:2**
''Willfulness'' element, **6:5**

MONOPOLY LEVERAGING
As actual or attempted monopolization, **6:9**

MONOPOLY LEVERAGING —Cont'd
As separate Sherman Act Sec. 2 violation, **6:9**
Refusals to license as, **11:2**
Refusals to supply parts or services as, **11:3**
Versus ''essential facilities'' doctrine, **6:9, 6:10**

MONOPOLY POWER
See also Market Definition; Market Power; Sherman Act Sec. 2 (this index)
Direct versus indirect proof of, **6:4, 9:4**
Entry barriers and, **6:4, 9:4**
Market share and, **6:4, 9:4**

MOTIONS TO DISMISS OR FOR SUMMARY JUDGMENT
''Concerted action'' element of Sec. 1 and, **5:4**
Failure to state a claim and, **5:4**

NAKED VERSUS ANCILLARY RESTRAINTS
See Ancillary Restraints Analysis (this index)

NATIONAL COOPERATIVE RESEARCH AND PRODUCTION ACT
Discussion of, **9:7, 13:2**
Joint ventures and, **13:2**

NOERR-PENNINGTON DOCTRINE
Enforcing intellectual property and, **38:2, 38:4, 38:5**
Patent defense funds and, **38:5**
Sham litigation and, **38:4, 38:5**

NOMINATIVE FAIR USE DOCTRINE
Against trademark infringement claim under Lanham Act, **3:15**

NON-COMPETITION COVENANTS
As antitrust violation, **2:4, 5:5, 6:5, 10:5**

NON-COMPETITION COVENANTS—Cont'd
As protection against trade secret misappropriation, **2:4**

NON-DISCLOSURE COVENANTS
As protection against trade secret misappropriation, **2:4**

NONFUNCTIONALITY
Trade dress and, **3:9**

NON-PRICE RESTRAINTS
See Customer Restrictions; Field of Use Restrictions; Horizontal Non-Price Restraints; Output Restrictions; Territorial Restrictions; Vertical Non-Price Restraints (this index)

NO-POACH AGREEMENTS
As an antitrust violation, **5:5**
As protection against trade secret misappropriation, **2:4**

NOTICE
Copyright notice, elements of, **4:8**
Notice to apparent patent infringers, propriety of, **38:2**
Patent marking, consequences of failure to mark, **1:4**
Trademark notice, elements of, **3:3**

NOVELTY
Lack of novelty as bar to patentability, **1:13**
Versus ''originality'' required for a copyright, **4:2**

OBVIOUSNESS
Obviousness as bar to patentability, **1:14**

OPINION
Versus false advertising, **3:12**

OPPOSITIONS
To trademark registrations, **3:3**

ORIGINALITY
As requirement for copyright protection, **4:2**
Versus ''novelty'' required for patents, **4:2**

OUTPUT RESTRICTIONS
Agency collaborations guidelines and, **13:3**
Agency licensing guidelines and, **5:9, App A**
Horizontal versus vertical output restraints, generally, **5:5, 5:7, 31:1-31:4, 32:1-32:3**
Joint ventures and, **13:4-13:6**
Patent licenses and, **19:1-19:3**
Pooling and cross-licensing and, **14:2, 14:3**
''Reverse payment'' patent settlement agreements, **5:5, 38:3**
Sherman Act Section 1 treatment, generally, **5:5, 5:7, 31:1-31:4, 32:1-32:3**
Trademark licenses
As horizontal non-price restraint, **31:1-31:4**
As vertical non-price restraint, **32:1-32:3**
Special problems involving dual distribution, **33:1-33:3**

OWNERSHIP OF INTELLECTUAL PROPERTY RIGHTS
See also Acquiring Intellectual Property (this index)
Copyrights and, **4:9-4:11**
Patents and, **1:2, 1:3**
Trademarks and, **3:1, 3:2**
Trade secrets and, **2:1, 2:2**

PACKAGE LICENSING
Compulsory, **22:2**
Copyrights (blanket licensing) and, **36:5, 36:6**
Last-to-expire royalties under, **22:4, 24:3**
Patents and, **22:1-22:4**
Total sales royalties under, **22:3, 24:4**
Trade secrets and, **29:1, 29:2**

PALMING OFF CLAIMS
As form of trademark infringement under Lanham Act, **3:12, 3:14**

PARODIES
As form of protected fair use under Copyright Act, **4:18**

PARODIES—Cont'd
As form of protected speech under Lanham Act, **3:15, 3:16**

PATENTABLE SUBJECT MATTER
Statutory requirements for patentability, **1:10-1:15**

PATENT DEFENSE FUNDS
Antitrust treatment of, **38:5**
Noerr-Pennington doctrine and, **38:5**

PATENT "HOLD-UPS"
See also Monopolizing Conduct; Standard Development Ventures (this index)
Standardization ventures and, **13:4**

PATENT LAW
Abandonment, as bar, **1:13**
Acquiescence defense, **1:8**
Active inducement to infringe, **1:3**
Amendment of claims, **1:16**
Anticipation, as bar, **1:13**
Appeals
Claim construction, **1:6**
Federal Circuit jurisdiction, **1:6**
Rejections, **1:16**
Application process, **1:16**
Artificial intelligence, **1:1**
Assignees and standing, **1:5**
Assignor estoppel, **1:8**
Attorney fees, **1:7**
Best mode requirement, **1:15**
Claim construction rules, **1:6**
Continuation applications, **1:16**
Contributory infringement, **1:3**
Co-owner and prior inventor claims, **1:13**
Damages, **1:7**
Declaratory judgment actions, **1:8**
Defenses against infringement claims, **1:8**
Derivation proceedings, **1:13, 1:16**
Description requirement, **1:15**
Design patents, **1:6, 1:9, 1:13, 1:14, 1:15**
Direct infringement, **1:3, 1:9**
Disclosure
Generally, **1:15**

PATENT LAW—Cont'd
Disclosure—Cont'd
Disclosure-dedication rule and equivalence infringement, **1:9**
Divisional applications, **1:14, 1:16**
Doctrine of equivalents, **1:9**
Double patenting, as bar, **1:14**
Duration of patent, **1:2**
Elements of infringement claim, **1:3**
Enablement requirement, **1:15**
Enforcement actions, **1:3, 1:7**
Equitable estoppel as defense, **1:8**
Equivalence infringement, **1:9**
Exclusion orders, **1:7**
Exhaustion doctrine, **1:8, 16:4, 17:4, 18:2**
Ex parte reexamination, **1:16**
Experimental use, versus public use, **1:13**
Expert testimony, **1:6, 1:9, 1:14**
Exports, **1:3**
Extraterritorial enforcement, **1:3**
Federal Circuit, exclusive jurisdiction of, **1:3, 38:2, 38:3**
File wrapper, **1:16**
File wrapper estoppel, **1:9**
First publication, derivation proceeding, **1:16**
First sale doctrine, **1:8, 16:4, 17:4, 18:2**
First-to-file system, **1:13, 1:16**
First-to-invent system, **1:13, 1:16**
Fraud in procurement
As antitrust offense, **15:3**
As infringement defense, **1:8, 15:2**
Functionality defense, and design patents, **1:8**
Implied licensing, as defense, **1:8**
Imports, **1:3**
Indirect infringement, **1:3**
Inequitable conduct in procurement
As antitrust offense, **15:3**
As infringement defense, **1:8, 15:2**
Infringement
Direct, **1:3, 1:9**
Indirect, **1:3**
Inherent anticipation, **1:13, 1:14**
Injunctions, **1:7**

PATENT LAW—Cont'd
Innocent infringement, **1:4**
Interference proceedings, **1:13, 1:16**
Inter partes review, **1:16**
Intervening rights, **1:8, 1:16**
Inventor, failure to name as bar, **1:13**
Joint owners, **1:2, 1:5, 1:13**
Jury trial, right to, **1:3, 1:6, 1:10**
Laches, as defense, **1:8**
Language of patent claim, **1:6, 1:15**
Licensees and standing, **1:5**
Literal infringement, **1:9**
Marking of patented items, **1:4**
Means-plus-function claims, **1:15**
Non-use of a patent, **1:2**
Notice of infringement, **1:4**
Novelty, as prerequisite, **1:13**
Obviousness, as bar, **1:14**
Patentable subject matter, **1:10-15**
Patent misuse doctrine, as defense, **1:17**
Patent Trial and Appeal Board, **1:16**
Pending applications, **1:16**
Personal jurisdiction, and declaratory judgment actions, **1:8**
Post-grant review, **1:16**
Preemption of conflicting state laws, **1:10**
Pre-issuance damages, **1:7**
Pre-issuance submissions by third parties, **1:16**
Presumed validity of patent, **1:9**
Prior art, **1:14**
Priority, shift from "first to invent" to "first to file" system, **1:13**
Prosecution history disclaimer, **1:3, 1:6, 1:9**
Prosecution history estoppel, **1:3, 1:6, 1:9**
Prosecution laches, **1:8**
Provisional applications, **1:2, 1:16**
Public use or sale as bar, **1:14**
Reexaminations and reissue proceedings, **1:16**
Reissue proceedings, **1:16**
Remedies, **1:7**
Rights under a patent, **1:2**
Royalties, **1:7, 22:4, 24:2, 24:4, 24:5**

PATENT LAW—Cont'd
Secondary considerations and obviousness, **1:14**
Standing to sue, **1:5**
Statute of limitations, **1:8**
Supplemental examination, **1:16**
Territorial limits, **1:2, 1:7**
Unitary Patent and Uniform Patent Court, **12:16**
Utility, as prerequisite, **1:12**
Venue, **1:3**
Willful infringement, and damages, **1:7**

PATENT LICENSES
See also specific offenses listed in this index
Cartels and, **16:3, 17:2, 18:3, 19:3**
Customer restrictions, **18:1-18:4**
Exclusive dealing "tie-out" restraints, **21:1-21:3**
Exhaustion doctrine and, **1:8, 16:4, 17:4, 18:2**
Extraterritorial restrictions involving foreign markets, **17:5**
Field of use restrictions, **18:1-18:5**
Grant-back provisions, **23:1, 23:2**
Joint ventures involving, **13:4**
Last-to-expire royalties, **22:4, 24:3**
Multiple patentees and licensees, and price restrictions, **16:6**
Output restrictions, **19:1-19:3**
Package licensing, **22:1-22:4**
Pooling and cross-licensing involving, **14:1-14:4, 22:1-22:4**
Post-expiration patent restraints, **22:4, 24:3**
Price restraints, **16:1-16:6**
Restraints benefiting licensee and not patentee, **17:3, 18:4**
Restrictions against dealing in competing products or technologies, **21:1-21:3**
"Reverse payment" patent settlement agreements, **38:3**
Royalty problems. See Royalties (this index)
Sham agency arrangements, and price restrictions, **16:4**

PATENT LICENSES—Cont'd
Standards-essential patents, and, **13:4**
Terminations. See Dealer and Licensee Terminations (this index)
Territorial restrictions, **17:1-17:5**
Tie-outs directed at infringing items, **21:2**
Tie-outs directed at non-infringing items, **21:3**
Total sales royalties, **22:3, 24:4**
Tying restraints, **20:1-20:3**
Unpatented products produced using patented machine or process, **16:5, 18:5, 19:2**

PATENT MARKING
Consequences of failure to mark, patent damages, **1:4**
False marking, penalty for, **1:4**

PATENT MISUSE
As defense against patent infringement, generally, **1:17**
Customer and field of use restraints and, **1:17, 18:1-18:3, 18:5**
Exclusive dealing (tie-outs) and, **1:17, 21:1-21:3**
Grant back restraints and, **1:17, 23:1, 23:2**
Output restraints and, **1:17, 19:1-19:3**
Package licensing and, **1:17, 22:1, 22:2**
Patent fraud and, **15:1, 15:2, 38:4**
Pooling and cross-licensing and, **14:3-14:5**
Post-expiration royalties and, **1:17, 22:4, 24:3**
Price-fixing and, **1:17, 16:2-16:4**
Refusals to license or supply and, **1:17, 11:2, 11:3**
Sham infringement actions and, **15:1, 15:2, 38:4**
Sham settlement agreements and, **1:17, 38:3**
Standard-setting manipulation and, **1:17, 13:4**
Territorial market allocations and, **1:17, 17:1-17:4**

PATENT MISUSE—Cont'd
Total sales royalties and, **22:3, 22:4**
Tying restraints and, **1:17, 20:1-20:3**

PATENT TRIAL AND APPEAL BOARD
Derivation proceedings and, **1:13, 1:16**
Final rejection, appeal to Board, **1:16**
Pending applications, adminstrative hearings, **1:16**

PENDING APPLICATIONS
Patents and, **1:16**

PER SE VIOLATIONS
See also Boycotts; Horizontal Non-Price Restraints; Horizontal Price Fixing; Tying (this index)
Per se versus rule of reason analysis, generally, **5:3**

PERSONAL JURISDICTION
Copyright actions and, **4:14**
Patent actions and, **1:8**
Trademark actions and, **3:13**

PICK-BARTH "UNFAIR COMPETITION" CONSPIRACIES
Sherman Act Sec. 1, **38:6**

POOLING AND CROSS-LICENSING
See also Blanket Licensing; Block Booking; Joint Ventures; Package Licensing (this index)
Agency collaborations guidelines and, **13:3**
Copyright pooling arrangements (blanket licensing), **36:6**
Legitimate pooling and cross-licensing for bona fide purpose, **14:2**
Patent package licensing, **22:1-22:4**
Pooling and cross-licensing involving dominant firms, **14:5**
Predatory pooling and cross-licensing arrangements, **14:4**
"Reverse payment" patent settlement agreements, **5:5, 38:3**
Sham pooling and cross-licensing to cartelize markets, **14:3**

POST-GRANT REVIEW
Patents and, **1:16**

POST-SALE CONFUSION CLAIMS
As form of trademark infringement under Lanham Act, **3:14**

POTENTIAL ENTRY
Actual potential entry doctrine and conglomerate mergers, **9:6**
Entry barriers and potential market entrants, **5:7, 6:3, 6:4, 9:3, 9:4**
Perceived potential entry doctrine and conglomerate mergers, **9:6**

PREDATORY PRICING
Below-cost element of, **6:5, 7:9**
Bundled discounts as, **7:9**
Cost-price squeezes as, **6:5, 7:9**
Monopolization and, **6:5, 7:9**
"Primary line" price discrimination claims under Clayton Act and, **7:9**
Recoupment element of, **6:5, 7:9**

PREEMPTION OF CONFLICTING LAWS
Copyrights and, **4:4**
Patents and, **1:10**
Trade secrets and, **2:1**

PRELIMINARY INJUNCTIONS
See Injunctions (this index)

PRE-MERGER FILING REQUIREMENTS
For mergers (Hart-Scott-Rodino Act), **9:1**

PRESUMED VALIDITY OF PATENT
Patent infringement actions and, **1:9**

PRICE CUTS
See Predatory Pricing (this index)

PRICE DISCRIMINATION
See Clayton Act, Sec. 2 (this index)

PRICE FIXING
See Horizontal Price Fixing; Vertical Price Fixing (this index)

PRICE SUGGESTIONS
Versus price fixing, **5:4, 30:3**

PRIMA FACIE CASE
Rebutting, Clayton Act. Sec. 7, **9:7**

PRIMARY LINE INJURY
Predatory pricing and, **7:9**
Price discrimination and injury at seller's level, Clayton Act Sec. 2(a), **7:9**

PRINCIPAL REGISTER
Registering trademarks on, **3:3**

PRIOR INVENTORSHIP BY SOMEONE ELSE
As bar to patentability, **1:13**

PRIOR LOCAL USE OF TRADEMARK
As trademark infringement defense, **3:15**

PRIOR PUBLIC SALE OR USE
As bar to patentability, **1:13**
Versus experimental use, **1:13**

PRODUCT DESIGN
As protectable trade dress, **3:1, 3:9**
Product hopping, **11:5**
Sham design changes as monopolizing conduct, **6:5, 11:5**

PRODUCT DESIGN CHANGES
Design changes adversely affecting competitors, **6:5, 11:5**
Timing disclosure of new product innovations, **6:5, 11:4**
Unilateral refusals to grant licenses under, **6:5, 11:2**
Unilateral refusals to supply component parts, materials or services involving, **6:5, 11:3**

PRODUCT HOPPING
Design changes adversely affecting competitors, **11:5**

PRODUCT PACKAGING
As protectable trade dress, **3:1, 3:9**

PROMOTIONAL DISCRIMINATION
See Clayton Act, Sec. 2 (this index)

PROSECUTION HISTORY ESTOPPEL
As limit on claim construction (prosecution disclaimer), **1:6**
As limit on proving patent infringement by equivalents, **1:9**

PUFFERY
Versus false advertising, **3:12**

"QUICK LOOK" ANTITRUST ANALYSIS
Abbreviated market analysis under, **5:7, 5:8**
Agency collaborations guidelines and, **5:7, 5:8, 13:3**
Agency licensing guidelines and, **5:7-5:9, App A**
Sherman Act, Sec. 1 and, **5:3, 5:8**
Versus full rule of reason analysis, **5:3, 5:7, 5:8**

REEXAMINATIONS AND REISSUE PROCEEDINGS
Patent applications, **1:16**

REFUSALS TO LICENSE
See also Concerted Action; Monopolizing Conduct; Refusals to Supply Parts or Services (this index)
As de facto "tying," **8:2, 11:2**
As monopolizing conduct, **6:5, 11:2**
"Concerted" versus "unilateral" refusals, **11:2**
Outside research consultants and, **11:6**
Standards-essential patents, and, **13:4**
Unilateral refusals to grant licenses, **6:5, 11:2**

REFUSALS TO SUPPLY PARTS OR SERVICES
See also Concerted Action; Monopolizing Conduct; Refusals to License (this index)
As de facto "tying," **8:2, 11:3**
As monopolizing conduct, **6:5, 11:3**

REFUSALS TO SUPPLY PARTS OR SERVICES—Cont'd
"Concerted" versus "unilateral" refusals, **11:3**
Outside research consultants and, **11:6**
Unilateral refusals to supply needed parts or services, **6:5, 11:3**

REGISTERED COPYRIGHTS
Advantages of registration, **4:7**
Fraud in registration as defense or antitrust misconduct, **15:1-15:3**
Mechanics of registration, **4:7**
Registration as prerequisite for suit, **4:7, 4:14**

REGISTERED TRADEMARKS
Advantages of registration, **3:5**
Fraud in registration as defense or antitrust misconduct, **15:1-15:3**
Protection under Lanham Act, **3:12-3:14**
Protection under state registration laws, **3:3, 3:12**
Registration process, **3:3**

REGISTRATION
Fraud in registration as defense or antitrust misconduct, **15:1-15:3**
Of copyrights, **4:7**
Of trademarks, **3:3, 3:4**

REISSUE PROCEEDINGS
Patents and, **1:16**

RELEVANT GEOGRAPHIC MARKET
Agency merger guidelines and, **9:4**
Identification of, **6:3**
Significance for
Clayton Act Sec. 7 merger cases, **9:2-9:4**
Sherman Act Sec. 1 rule of reason, **5:7, 5:8**
Sherman Act Sec. 2 actual monopolization, **6:2-6:4**
Sherman Act Sec. 2 attempted monopolization, **6:8**

RELEVANT PRODUCT MARKET
Agency licensing guidelines and, **App A**
Agency merger guidelines and, **9:4**
Cross-elasticity of demand and, **6:3, 9:4**
Identification of, **6:3, 9:4**
Significance for
Clayton Act Sec. 7 merger cases, **9:2-9:4**
Sherman Act Sec. 1 rule of reason, **5:7, 5:8**
Sherman Act Sec. 2 actual monopolization, **6:2-6:4**
Sherman Act Sec. 2 attempted monopolization, **6:8**

REMEDIES
See Attorney Fees; Criminal Remedies; Damages; Declaratory Judgment Actions; Injunctions (this index)

REQUIREMENTS CONTRACTS
See Exclusive Dealing (this index)

RESEARCH CONSULTANTS
Outside consultants and internally developed intellectual property, **11:6**

RESEARCH & DEVELOPMENT VENTURES
See Joint Ventures (this index)

RESTATEMENT OF TORTS
Trade secrets and, **2:2, 2:4**

REVERSE CONFUSION CLAIMS
As form of trademark infringement under Lanham Act, **3:14**

REVERSE PALMING OFF CLAIMS
As form of trademark infringement under Lanham Act, **3:12, 3:14**

"REVERSE PAYMENT" PATENT SETTLEMENT AGREEMENTS
Antitrust and misuse challenges to, **38:3**
As horizontal market restraint, **5:5, 38:3**

"REVERSE PAYMENT" PATENT SETTLEMENT AGREEMENTS —Cont'd
Rule-of-reason treatment of, **5:5, 38:3**

RIGHT OF PUBLICITY LAWS
Lanham Act "false endorsement" claims and, **3:12**

ROBINSON-PATMAN ACT
See Clayton Act, Sec. 2 (this index)

ROYALTIES
Amount of royalty, **24:2**
Discriminatory royalties, **24:5**
Duration of royalty, **22:4, 24:3**
Last-to-expire royalties, **22:4, 24:3**
Metering systems, **24:4**
Package licensing and last-to-expire provisions, **22:4, 24:3**
Package licensing and total-sales provisions, **22:3, 24:4**
Patent infringement, remedy of, **1:7**
Post-expiration royalties, **1:17, 22:4, 24:3**
Total sales royalties, **22:3, 24:4**

RULE OF REASON
Agency collaborations guidelines and, **13:3**
Agency licensing guidelines and, **5:9, App A**
Efficiencies and, **5:7**
Entry barriers and, **5:7**
Generally, Sherman Act Sec. 1, **5:3, 5:7, 5:8**
Horizontal versus vertical distinction, **5:3, 5:5, 5:7, 31:1-31:4, 32:1-32:3**
Market power and, **5:7, 5:8, 32:2**
Market power "filter" and, **5:7, 32:2**
Monopolizing conduct, similarities in analysis, **6:5**
"Quick look" rule of reason analysis, **5:3, 5:8**
"Reverse payment" patent settlements, **5:5, 38.3**
Tying, rule of reason analysis, **8:2**
Versus per se analysis, **5:3**

RULE OF REASON—Cont'd
Vertical
Boycotts and, **5:6**
Price fixing and, **5:4, 30:4**
Territorial and other non-price restraints and, **5:7, 32:1-32:3**

SCENES A FAIRE
Copyrights and defense of, **4:3, 4:18**

SECONDARY LINE INJURY
Price discrimination and injury at buyer's level, Clayton Act Sec. 2(a), **7:10**

SECONDARY MEANING
Descriptive trademarks and requirement of, **3:8**

SEPARATE PURCHASERS REQUIREMENT
For price discrimination claims under Clayton Act Sec. 2, **7:4**

SERVICE MARK
See also Trademark Law (this index)
Definition of, **3:1**

SETTLEMENTS OF INTELLECTUAL PROPERTY DISPUTES
See also Enforcing Intellectual Property (this index)
"Reverse payment" patent settlement agreements, **38:3**

SHAM AGENCY AGREEMENTS
Patent price fixing under, **16:4**

SHAM INFRINGEMENT ACTIONS
See Enforcing Intellectual Property (this index)

SHERMAN ACT
See also specific topics (customer restraints, horizontal non-price restraints, price fixing, etc.)
Section 1
Agency collaborations guidelines, **13:3**
Agency intellectual property licensing guidelines, **5:9, App A**

SHERMAN ACT—Cont'd
Section 1—Cont'd
Boycotts and concerted refusals to deal, **5:6**
Concerted action, as essential element, **5:1, 5:2, 30:2, 30:3**
Exclusive dealing, **8:3**
Horizontal non-price restraints, **5:5, 31:1-31:4**
Horizontal price fixing, **5:4, 30:5**
Per se versus rule of reason analysis, **5:3**
Price fixing, **5:4, 30:1-30:5**
Quick look analysis, **5:8**
Remedies, **5:1**
Rule of reason analysis, **5:7**
Standing, **5:4, 5:5**
Tying, **8:1, 8:3, 34:1-34:3**
Vertical non-price restraints, **5:7, 32:1-32:3**
Vertical price fixing, **5:4, 30:4**
Section 2
Actual monopolization, **6:2-6:5**
Attempted monopolization, **6:8**
Conspiracies to monopolize, **6:7**
Essential facilities doctrine, **6:10**
Joint monopolization, **6:6**
Market definition, **6:3**
Monopolizing conduct, **6:5**
Monopoly leveraging, **6:9**
Monopoly power, **6:4**
Relevant geographic market, **6:3**
Relevant product market, **6:3**
Remedies, **6:1**
Single firm monopolization, **6:2-6:5**
Standing, **6:2**

SOURCE-IDENTIFYING USE
See also Trademark Law (this index)
As prerequisite for trademark protection, **3:2**

SPECIFICATIONS
Patent, disclosures, **1:15**
Patent claims and, **1:6**

SPONSORSHIP CONFUSION
As form of trademark infringement under Lanham Act, **3:12, 3:14**

STANDARDS DEVELOPMENT VENTURES
See also Joint Ventures; Patent Pooling and Cross-Licensing (this index)
Agency collaborations guidelines, **13:3**
Confidential disclosures of trade secrets in connection with, **2:3**
General antitrust analysis of, **13:1-13:4**
Joint efforts to standardize patented or secret technology, **13:4**
National Cooperative Research and Production Act, **13:2**
Patent "hold ups" and, **13:4**

STANDING
Antitrust standing, **5:4, 5:5, 6:2, 8:2, 15:3, 30:2, 31:1, 38:4**
Copyright standing, **4:10, 4:14**
Patent standing, **1:5**
Trademark standing, **3:12, 3:13**
Trade secret standing, **2:4**
Versus "causation" element for damages, **5:4, 6:2**

STATE TRADEMARK LAWS
Registration and protection under, **3:12**

STATUTE OF LIMITATIONS
Antitrust actions, **15:3, 38:4**
Copyright actions, **4:14**
Patent actions, **1:8**
Trademark actions (laches defense), **3:15**
Trade secret actions, **2:4**

STATUTORY DAMAGES
As alternative remedy for copyright infringement, **4:16**

"SUBSTANTIAL SIMILARITY" TEST
For determining copyright infringement, **4:15**

SUGGESTIVE MARKS
As category of trademark, **3:10**
Significance for trademark infringement claim, **3:12**

SUPPLEMENTAL EXAMINATION
Patents and, **1:16**

SUPPLEMENTAL REGISTER
And registration of descriptive marks without proof of secondary meaning, **3:8**

TARNISHMENT
As form of trademark dilution, **3:16**

TECHNOLOGY POOLS
See Joint Ventures; Pooling and Cross-Licensing; Standards Development Ventures (this index)

TERMINATION RIGHT OF AUTHOR
Copyrights and, **4:11**

TERMINATIONS
See Dealer and Licensee Terminations (this index)

TERRITORIAL LIMITS
Antitrust, **17:5**
Copyrights, **4:8, 36:3**
Patents, **1:2, 1:3, 1:7**
Trademarks, **3:12**

TERRITORIAL RESTRICTIONS
Agency collaborations guidelines and, **13:3**
Agency licensing guidelines and, **5:9, App A**
Cartels and, **5:5, 17:2, 26:2, 31:2**
Copyright licenses and, **4:8, 36:3**
Extraterritorial patent restraints involving foreign markets, **17:5**
Horizontal versus vertical territorial restraints, **5:5, 5:7, 31:1-31:4, 32:1-32:3**
Patent exhaustion doctrine and, **17:4**
Patent licenses and, **17:1-17:5**
Sherman Act Section 1 and, **5:5, 5:7, 31:1-31:4, 32:1-32:3**
Trademark licenses
And, **31:1-31:4, 32:1-32:3**
Special problems involving dual distribution, **33:1-33:3**
Trade secret licenses and, **26:1-26:3**

TIE-OUTS
See Exclusive Dealing (this index)

TOTAL SALES ROYALTIES
Package licensing and, **22:3, 24:4**

TRADE DRESS
Definition of, **3:1, 3:9**
Functionality defense, **3:1, 3:7, 3:9, 3:15**
Nonfunctionality, **3:9**
Protection of, **3:9**
Trademark protection for, **3:9, 3:12**

TRADEMARK
See also Trademark Law (this index)
Definition of, **3:1**

TRADEMARK DILUTION ACT
Dilution of famous marks and, **3:16**

TRADEMARK INFRINGEMENT
See Trademark Law (this index)

TRADEMARK LAW
Abandonment defense, **3:19**
Acquiescence defense, **3:15**
Acquiring trademark rights, **3:2**
Analogous use doctrine, and oppositions, **3:3**
Antitrust misuse defense, **3:19**
Arbitrary marks, **3:11**
Attorney fees, **3:13**
Blurring, as form of dilution, **3:16**
Cancelling a trademark registration, **3:3**
Categories of trademarks, **3:6-3:11**
Certification marks, **3:1**
Commercial interest requirement, **3:12, 3:13**
Commercial use requirement, **3:12**
Common law protection of trademarks, **3:5, 3:12, 3:14**
Concurrent use registrations, **3:14, 3:15**
Consents to registration, **3:3**
Consumer confusion
And damages, **3:13**
And misleading advertising, **3:12**
Contributory false advertising, **3:12**
Contributory infringement, **3:12**

TRADEMARK LAW—Cont'd
Co-owners, **3:15**
Counterfeit trademarks, **3:12, 3:13**
Cybersquatting domain use of trademarks, **3:17**
Damages, **3:13**
Declaration of continued use, **3:3**
Defenses, **3:15**
Definition of trademark, **3:1**
Descriptive marks, **3:8**
Dilution of famous marks, **3:16**
Direct infringement, **3:12**
Disparaging and immoral marks, **3:3**
Distinctive marks, versus merely descriptive, **3:6-3:11**
Domain name cybersquatting, **3:17**
Elements of infringement claim, **3:12**
Expungement, **3:3**
Extraterritorial enforcement, **3:12**
Extraterritorial enforcement of DTSA, **2:1**
Failure to use mark, **3:3, 3:4**
Fair use defense, **3:15**
False advertising claims, **3:12**
False designation of origin claims, **3:12, 3:14**
False endorsement and sponsorship claims, **3:12, 3:14**
Famous marks, and dilution, **3:16**
Fanciful marks, **3:11**
Federally-registered marks, protection of, **3:12**
First Amendment, **3:15**
First sale defense, **3:15**
Fraud defense, **3:15, 15:2**
Functional trade dress defense, **3:7, 3:15**
Generic marks, **3:7, 3:19**
"Gray market" imports, **3:12, 3:13**
Incontestable marks, **3:18**
Indirect infringement, **3:12**
Infringement, **3:12, 3:14**
"Initial interest confusion" claims, **3:14**
Injunctions, **3:13**
Intent-to-use applications, **3:4**
Laches defense, **3:15**

TRADEMARK LAW—Cont'd
Licensing without adequate quality control, **3:19**
Likelihood of confusion or deception, proving, **3:14**
Literally false advertising claims, **3:12**
Misleading but true advertising claims, **3:12**
Nominative fair use defense, **3:15**
Notice of trademark, **3:3**
Opinion, versus false advertising, **3:12, 3:15**
Opposing a trademark registration, **3:3**
Packaging, product design and other trade dress, **3:9**
"Palming off" claims, **3:12, 3:14**
Parodies and other protected speech, **3:12, 3:15**
Personal jurisdiction over defendant, **3:13**
"Post-sale confusion" claims, **3:14**
Principal register, registering a trademark, **3:3**
Prior local use defense, **3:14, 3:15**
Product design, packaging and other trade dress, **3:9**
Product repair and refurbishment, **3:15**
Puffery, versus false advertising, **3:12, 3:15**
Refurbished goods, **3:15**
Registering a trademark
  Advantages of, **3:5**
  Mechanics of, **3:3**
  Use test to register, **3:3**
Remedies, **3:13**
"Reverse confusion" claims, **3:14**
"Reverse palming off" claims, **3:12, 3:14**
Right-of-publicity laws, **3:12**
Secondary meaning, and descriptive marks, **3:8**
Service mark, definition of, **3:1**
Source-identifying ("trademark") use, as prerequisite, **3:2**
"Sponsorship confusion" claims, **3:12, 3:14**

TRADEMARK LAW—Cont'd
Standing, **3:3, 3:12, 3:13**
State trademark laws, **3:12**
Suggestive marks, **3:10**
Supplemental register, and merely descriptive marks, **3:8**
"Tacking" for priority of use, **3:2**
Tarnishment, as form of dilution, **3:16**
Territorial limits, **3:12**
Third party submissions, **3:4**
Trade Dress (this index)
Trademark, definition of, **3:1**
Tradename, definition of, **3:1**
Unclean hands defense, **3:13, 3:15**
Unfair competition, and Lanham Act, **3:12**
Unlawful use defense, **3:3, 3:15**
Unregistered marks, tradenames and trade dress, protection of, **3:12**
Use in commerce, as federal prerequisite, **3:2, 3:12**
Vicarious liability, **3:12**
Willful infringement, and damages, **3:13**
Words and symbols as trademarks, **3:1**

TRADEMARK LICENSES
See also specific offenses listed in this index
Customer restraints
Horizontal, **31:1-31:4**
Vertical, **32:1-32:3**
Distinguishing horizontal from vertical restraints, **31:4, 32:2**
Dual distribution, special analytical problems, **33:1-33:3**
Exclusive dealing restraints, **35:1-35:3**
Field of use restraints
Horizontal, **31:1-31:4**
Vertical, **32:1-32:3**
Joint ventures involving, **13:6**
Licensing without adequate quality control, **3:19**
Output restrictions
Horizontal, **31:1-31:4**
Vertical, **32:1-32:3**

TRADEMARK LICENSES—Cont'd
Per se versus rule of reason analysis, **5:3, 31:2, 31:3**
Pooling and cross-licensing arrangements involving, **14:1-14:5**
Price restraints
Horizontal, **30:1, 30:5**
Vertical, **30:1-30:4**
Relationship between trademark tying and exclusive dealing, **35:3**
Terminations
See Dealer and Licensee Terminations (this index)
Territorial restraints
Horizontal, **31:1-31:4**
Vertical, **32:1-32:3**
Tying restraints, **34:1-34:3**
Vertical non-price restraints
Attempts to treat as per se illegal, **32:3**
Governing rule of reason standard, **32:2**

TRADEMARK MISUSE
See also specific antitrust offenses (price fixing, tying, horizontal non-price restraints, etc.)
As defense against trademark infringement, **3:19**
Price fixing and, **3:19, 30:5**
Sham infringement suits and, **38:4**

TRADEMARK "TACKING"
Priority of use and, **3:2**

TRADE NAMES
Definition of, **3:1**
Protection under Lanham Act Sec. 43(a), **3:12**

TRADE SECRET LAW
Artificial intelligence and, **2:1, 2:2**
Attorney fees and, **2:4**
Blue-penciling defective noncompetes, **2:4**
Confidential disclosures to employees, licensees, and others, **2:3**
Criminal actions, **2:1, 2:4**
Damages, **2:4**

TRADE SECRET LAW—Cont'd
Defend Trade Secrets Act, overview of, **3:1, 3:2**
Duration, **2:1, 26:3**
Economic Espionage Act, examples of, **2:1, 2:2**
Elements of, **2:1, 2:2**
Examples of, **2:1, 2:2**
Extraterritorial enforcement of DTSA, **2:1**
Independent development, as defense, **2:1**
Injunctions, **2:4**
Joint ventures and, **2:3, 13:1-13:4**
Know-how distinguished, **2:1**
Loss of trade secret status, **2:1**
Misappropriation actions, and protection of, **2:4**
Non-competition covenants, **2:4**
Non-disclosure covenants, **2:4**
No-poach agreements, **2:4**
Personal jurisdiction over defendant, **2:4**
Preemption and, **2:1**
Reasonable identification requirement, **2:2**
Remedies, **2:4**
Restatement of Torts
Definition of, **2:2**
Misappropriation action, **2:4**
Secrecy requirement, **2:1, 2:2**
Standing, **2:4**
Territorial limits, **2:1**
Trade secret, elements of, **2:2**
Uniform Trade Secrets Act (this index)

TRADE SECRET LICENSES
See also specific offenses listed in this index
Ancillary restraints analysis and, **26:3**
Cartels, price and market restraints under, **25:2, 26:2**
Customer restrictions, **27:1, 27:2**
Exclusive dealing restraints, **28:1, 28:3**
Field of use restrictions, **27:1, 27:2**
Grant-back provisions, **29:1, 29:3**
Joint ventures involving, **13:4**

TRADE SECRET LICENSES —Cont'd
Last-to-expire royalties, **24:3**
Package licensing, **29:1, 29:2**
Patent analogy, **25:3, 27:1**
Pooling and cross-licensing involving, **14:1-14:4**
Price restraints, **25:1-25:3**
Restraints against dealing in competing products or technologies (''tie-outs''), **28:1, 28:3**
Royalty problems
See Royalties (this index)
Terminations
See Dealer and Licensee Terminations (this index)
Territorial restrictions, **26:1-26:3**
Tie-outs (exclusive dealing restraints), **28:1, 28:3**
Total sales royalties, **24:4**
Tying restraints, **28:1, 28:2**

TRADE SECRET MISAPPROPRIATION
See Trade Secret Law (this index)

TRANSFORMATIVE WORKS
Derivative works versus, **4:12**
Fair use defense and, **4:18**

TYING RESTRICTIONS
Agency licensing guidelines and, **8:2, App A**
Business justification defense, **8:2, 20:3, 28:2, 34:3, 36:5**
''Commodities'' requirement for Clayton Act claims, **8:1, 8:2**
Copyrights
Blanket licensing (pooling arrangements), **36:6**
Block booking, **36:5**
Tying, **36:5**
''De facto'' tying, **8:2, 20:2**
Elements of ''per se'' tying claim, generally, **8:2**
Elements of ''rule of reason'' tying claim, generally, **8:2**
Licensing of intellectual property and, **8:2, 20:1-20:3, 28:1, 28:2, 34:1-34:3, 36:5, 36:6**

TYING RESTRICTIONS—Cont'd
Patents
Package licensing, **22:1-22:4**
Tying, **20:1-20:3**
Refusals to license or supply as, **11:2, 11:3**
Trademark tying, **34:1-34:3**
Trade secret tying, **28:1, 28:2**

UNCLEAN HANDS DEFENSE
Trademarks and, **3:15**

UNFAIR COMPETITION
FTC Act Sec. 5 and, **10:1-10:5**
Lanham Act Sec. 43(a) and, **3:12**
Non-competition and non-disclosure covenants, state law and, **2:4**
Pick-Barth "unfair competition" conspiracies, **38:6**
State tort and other laws and, **2:1, 3:12**

UNIFORM TRADE SECRETS ACT
Definition of, **2:2**
Misappropriation action, **2:4**

UNILATERAL CONDUCT
Actual monopolization, **6:2-6:5**
Attempted monopolization, **6:8**
Colgate doctrine and Sherman Act Sec. 1, **5:2, 30:2, 30:3**
Enforcement of intellectual property rights and, **38:2**
Refusals to
License intellectual property and, **11:2**
Supply parts or services and, **11:3**
Resale price suggestion versus vertical price fixing, **5:4, 30:3**
Sherman Act Sec. 1, necessity of proving concerted action, **5:2**
Terminations of dealers or licensees and, **37:2**
Versus concerted action
See Concerted Action (this index)

UNREGISTERED TRADEMARKS, TRADENAMES, AND TRADE DRESS
See also Trademark Law (this index)

UNREGISTERED TRADEMARKS, TRADENAMES, AND TRADE DRESS—Cont'd
Protection under Lanham Act Sec. 43(a), **3:12**

UTILITY
As prerequisite to patentability, **1:12**

VERTICAL MERGERS
See Clayton Act Sec. 7 (this index)

VERTICAL NON-PRICE RESTRAINTS
See also Customer Restrictions; Field of Use Restrictions; Output Restrictions; Territorial Restrictions (this index)
Attempts to apply per se standard
Dual distribution trademark restraints, **33:3**
Vertical non-price trademark restraints, **32:3**
"Concerted action" element of, **5:2, 32:1**
Copyrights and, **36:3, 36:4**
Dual distribution situations, **33:1-33:3**
Patents and, **17:1, 18:1, 19:1**
Rule of reason standard under Sherman Act Sec. 1, **5:7, 32:1, 32:2**
Trademarks and, **31:4, 32:1-32:3**
Trade secrets and, **26:1, 26:3, 27:1, 27:2**
Versus horizontal non-price restraints, **5:5, 31:4**

VERTICAL PRICE FIXING
Colgate doctrine and refusals to supply, **5:2, 5:4, 30:2**
"Concerted action" element of, **5:2, 5:4, 30:2, 30:3**
Copyright licenses and, **36:2**
Horizontal price fixing versus, **5:4, 30:4, 30:5**
Minimum versus maximum vertical price fixing, **5:4, 30:4**
Patent licenses and, **16:1-16:6**
Refusal to supply versus, **11:3, 30:2**
Resale price suggestions versus, **5:4, 30:3**

VERTICAL PRICE FIXING —Cont'd
Rule of reason treatment under Sherman Act Sec. 1, **5:4, 30:4**
Trademark licenses and, **30:4**
Trade secret licenses and, **25:1, 25:3**
Unilateral refusals to deal versus, **5:2, 5:4, 11:3, 30:2**

VICARIOUS LIABILITY
See also Active Inducement to Infringe; Contributory Infringement; Infringement Actions (this index)
For copyright infringement, **4:12**
For trademark infringement, **3:12**

VISUAL ARTISTS RIGHTS ACT
Copyright protection and, **4:8**

WALKER PROCESS CLAIMS
See Fraud and Inequitable Conduct in Procuring Intellectual Property (this index)

WILLFUL INFRINGEMENT
Copyrights and enhanced damages for, **4:16**
Patents and enhanced damages for, **1:7**
Trademarks and enhanced damages for, **3:13**

WORKS MADE-FOR-HIRE
Copyrights and, **4:9**