

Table of Contents

CHAPTER 1. FUNDAMENTAL MATTERS

- § 1:1 Ethical concerns
- § 1:2 Identifying the client
- § 1:3 Representing multiple parties
- § 1:4 Representing parties with diminished capacity
- § 1:5 Representing parties with dual roles (fiduciary and beneficiary)
- § 1:6 Fee structure and reasonableness

CHAPTER 2. HEALTH CARE AND END OF LIFE DECISIONS

- § 2:1 Surrogate health care decision makers (A.R.S. § 36-3231)
- § 2:2 Creation of health care power of attorney (A.R.S. § 36-3221)
- § 2:3 Form—Arizona durable power of attorney for health care
- § 2:4 Revocation of health care powers of attorney (A.R.S. § 36-3202)
- § 2:5 Duties of health care agents (A.R.S. § 36-3223)
- § 2:6 Mental health care power of attorney—Creation (A.R.S. §§ 36-3281 and 36-3282)
- § 2:7 Mental health care power of attorney revocation (A.R.S. § 36-3285)
- § 2:8 Privilege between behavioral health professional and the principal (A.R.S. § 36-3283)
- § 2:9 Physician’s directives—Creation of living will (A.R.S. §§ 36-3261 and 36-3262)
- § 2:10 Form—Arizona advance directive “living will” declaration
- § 2:11 Physician’s directives—Coordination with health care surrogate decision maker (A.R.S. §§ 36-3202, 36-3204, 36-3203, and 36-3232)
- § 2:12 Physician’s directives pre-hospital medical care directive (A.R.S. § 36-3251)
- § 2:13 Form—Pre-hospital medical care directive
- § 2:14 Organ or tissue donation (A.R.S. §§ 36-842, 36-843, 36-844, 36-845, 36-846, 36-847, 36-848, 36-849, 36-850)
- § 2:15 Disposition of remains—Responsibility for decisions and payment (A.R.S. §§ 36-831, 36-831.01, and 36-832)

- § 2:16 Protecting the agent and others caring for the principal (A.R.S. §§ 36-3205)
- § 2:17 Contact with significant relationships

CHAPTER 3. INTESTATE SUCCESSION AND WILLS

I. GENERAL PRINCIPLES

- § 3:1 Intestate succession—Introduction
- § 3:2 Rights of heirs other than the surviving spouse
- § 3:3 Rights of spouses and children
- § 3:4 Waiver of rights of spouse
- § 3:5 Spouse and children unprovided for in will
- § 3:6 Exempt property and family allowances
- § 3:7 Execution of will
- § 3:8 Who may make a will
- § 3:9 Requirements of will
- § 3:10 Holographic wills
- § 3:11 Wills referring to outside documents
- § 3:12 Penalty clauses
- § 3:13 Contract to make a will
- § 3:14 Electronic wills

II. REVOCATION AND AMENDMENT

- § 3:15 Requirements for revocation
- § 3:16 Effect of revocation on prior wills
- § 3:17 Revocation by matter of law
- § 3:18 Divorce, annulment or legal separation
- § 3:19 Murder of decedent

III. RULES OF CONSTRUCTION

- § 3:20 Passage of existing and after-acquired property by will
- § 3:21 Substitute and class gifts—anti-lapse statute
- § 3:22 Failure of testamentary provision
- § 3:23 Securities increase in value after death
- § 3:24 Right to specific devises; unpaid proceeds of sale, condemnation or insurance; sale by conservator or agent
- § 3:25 Specific devise; nonexoneration
- § 3:26 Exercise of powers of appointment
- § 3:27 Satisfaction of a devise during the testator's life

IV. FORMS

- § 3:28 Sample (non-pour over) will

TABLE OF CONTENTS

§ 3:29 Sample (pour over) will

CHAPTER 4. PROBATE OF WILLS AND ADMINISTRATION

I. GENERAL PRINCIPLES

- § 4:1 General description of probate
- § 4:2 When probate is required
- § 4:3 Timing
- § 4:4 Venue for probate and administration
- § 4:5 Expediting administration—Special administrator

II. INITIATING PROBATE AND APPOINTMENT PROCEEDINGS

- § 4:6 Priority for appointment
- § 4:7 Informal probate
- § 4:8 Informal probate unavailable in certain cases
- § 4:9 Who may file for informal proceedings
- § 4:10 Registrar's duty
- § 4:11 Informal probate proceeding proof and findings required
- § 4:12 Notice requirements
- § 4:13 Informal appointment proceedings proof and findings required
- § 4:14 Formal testacy and appointment proceedings
- § 4:15 Procedure for filing formal testacy or appointment proceeding
- § 4:16 Hearing and notice in formal proceedings
- § 4:17 Findings on formal probate
- § 4:18 Order is final, exceptions
- § 4:19 Uncontested cases
- § 4:20 Objection to probate
- § 4:21 Contested probate proceedings—Generally
- § 4:22 —Grounds to contest will
- § 4:23 Burden of proof
- § 4:24 Appointment of personal representative
- § 4:25 Lost or missing original will
- § 4:26 Supervised administration

III. APPOINTMENT OF PERSONAL REPRESENTATIVE

- § 4:27 Personal representative
- § 4:28 Termination of appointment
- § 4:29 Bond

§ 4:30 Compensation

IV. DUTIES AND POWERS OF PERSONAL REPRESENTATIVE

- § 4:31 General duties and powers of personal representative
- § 4:32 Special duties in first 90 days—Notice and inventory
- § 4:33 Statutorily enumerated powers of personal representative and transactions authorized
- § 4:34 Power to avoid transfer
- § 4:35 Order restraining personal representative
- § 4:36 Powers of successor personal representatives and co-personal representatives
- § 4:37 Conflict of interest
- § 4:38 Third-party protection
- § 4:39 Breach of fiduciary duty

V. CREDITORS

- § 4:40 General principles
- § 4:41 Notice to creditors
- § 4:42 Statute of limitations
- § 4:43 Limitation on presentation of claims
- § 4:44 Manner of presentation of claims
- § 4:45 Allowance and disallowance of claims
- § 4:46 Payment of allowed claims
- § 4:47 Paying claims in multiple state administration
- § 4:48 Liability of personal representative
- § 4:49 Secured claims
- § 4:50 Encumbered assets
- § 4:51 Claims not due or contingent
- § 4:52 Execution and levies prohibited
- § 4:53 Compromise of claims

VI. COMPROMISE OF CONTROVERSIES

- § 4:54 Effect of a compromise

VII. DISTRIBUTION

- § 4:55 In kind distribution
- § 4:56 Distributee's liability for improper distribution
- § 4:57 Third-party protection
- § 4:58 Partition of assets—Petition
- § 4:59 Guidance on distribution to special distributees
- § 4:60 No administration—Successor's rights
- § 4:61 Nonresident decedent
- § 4:62 Right of retainer

TABLE OF CONTENTS

- § 4:63 Right to interest after one year
- § 4:64 Proposals for distribution and beneficiary agreements

VIII. CLOSING ADMINISTRATION

- § 4:65 Informal estate closing procedures
- § 4:66 Formal closing procedures

IX. SMALL ESTATE ADMINISTRATION

- § 4:67 Summary administration
- § 4:68 Collection of wages and personal property
- § 4:69 Succession to real property

X. FORMS

- § 4:70 Application for informal appointment of personal representative
- § 4:71 Statement of informal appointment of personal representative
- § 4:72 Letters of personal representative
- § 4:73 Acceptance of personal representative
- § 4:74 Renunciation of right to appointment as personal representative and consent to appointment as personal representative without bond
- § 4:75 Application for informal probate of will and for appointment of personal representative
- § 4:76 —By adult child of decedent
- § 4:77 Statement of informal probate of will and for appointment of personal representative
- § 4:78 Acceptance of personal representative
- § 4:79 Order to personal representative with acknowledgement and information to heirs
- § 4:80 Notice to heirs of informal appointment of personal representative
- § 4:81 Notice to heirs of informal probate and appointment of personal representative
- § 4:82 Proof of mailing notice to heirs of informal appointment of personal representative
- § 4:83 Proof of mailing notice to heirs of informal probate of will and appointment of personal representative
- § 4:84 Notice to creditors
- § 4:85 —Alternate
- § 4:86 Proof of mailing—Notice to creditors
- § 4:87 Notice of disallowance of claim against estate
- § 4:88 Inventory and appraisal
- § 4:89 Waiver of formal accounting and release and consent to discharge personal representative
- § 4:90 Receipt of final distribution

- § 4:91 Deed of distribution
- § 4:92 Closing statement
- § 4:93 Request for informal closing of probate estate
- § 4:94 Affidavit for succession to real property—Intestate
- § 4:95 Affidavit for succession to real property
- § 4:96 Motion for approval of limited waiver of attorney-client privilege
- § 4:97 Motion for expedited consideration of motion to restrict bank account
- § 4:98 Petition to enforce renunciation and disclaimer of inheritance right
- § 4:99 Petition for removal of personal representative and appointment of neutral replacement
- § 4:100 Objection to petition for removal of personal representative and appointment of neutral replacement
- § 4:101 Emergency Motion for Instructions Regarding Estate Administration

CHAPTER 5. FOREIGN PERSONAL REPRESENTATIVE AND ANCILLARY ADMINISTRATION

- § 5:1 Forms of foreign personal representative administration
- § 5:2 Arizona court supervision over foreign personal representatives
- § 5:3 Assisting the foreign personal representative in exercising their authority

CHAPTER 6. PROTECTION OF PERSONS UNDER DISABILITY AND THEIR PROPERTY

I. GENERAL PRINCIPLES

- § 6:1 Introduction
- § 6:2 Jurisdiction and venue
- § 6:3 Necessity of a guardian or conservator
- § 6:4 Prudent management
- § 6:5 Unreasonable conduct
- § 6:6 Vexatious conduct
- § 6:7 Repetitive filings
- § 6:8 Disclosure and claim of compensation
- § 6:9 Affidavit of proposed guardian or conservator

II. GUARDIANSHIPS

- § 6:10 Guardianships, in general

TABLE OF CONTENTS

§ 6:11	Initiating a guardianship for a minor
§ 6:12	Appointment and acceptance of appointment of guardian for a minor
§ 6:13	Duties of guardian for a minor
§ 6:14	Removal or resignation of guardian for a minor
§ 6:15	Termination of appointment of guardian for a minor
§ 6:16	Guardianship of foreign citizens (A.R.S. § 14-5108)
§ 6:17	Initiating a guardianship for an incapacitated person
§ 6:18	Parental and spousal appointment of guardian for an incapacitated person
§ 6:19	Formal appointment of guardian for an incapacitated person
§ 6:20	Necessary findings to appoint guardian for an incapacitated person
§ 6:21	Priority for appointment—Guardianship for an incapacitated person
§ 6:22	Acceptance of appointment—Guardianships
§ 6:23	General duties of a guardian
§ 6:24	Mental health powers of a guardian for an incapacitated person
§ 6:25	Temporary guardianship
§ 6:26	Proceedings subsequent to appointment
§ 6:27	Termination of guardianship and substitution or resignation of guardian

III. CONSERVATORSHIPS

§ 6:28	Conservatorships, in general
§ 6:29	Initiating a conservatorship
§ 6:30	Appointment of conservator
§ 6:31	Bond
§ 6:32	Compensation from estate
§ 6:33	General duties and powers of conservator
§ 6:34	Effect of appointment—Titling of assets
§ 6:35	Duties in first 30 days—Proof of restricted account
§ 6:36	Duties in first 90 days—Inventory
§ 6:37	Budgets and sustainability reports
§ 6:38	Duty to keep adequate records
§ 6:39	Accounts
§ 6:40	Adjudication of liability
§ 6:41	Veterans administration
§ 6:42	Transactions by conservator
§ 6:43	Third parties dealing with conservator
§ 6:44	Gifting
§ 6:45	Preservation of protected person's estate plan
§ 6:46	Compromise personal injury claim
§ 6:47	Paying claims

- § 6:48 Personal liability of conservator
- § 6:49 Breach of duty
- § 6:50 Substitution or resignation of conservator
- § 6:51 Termination of conservator's appointment
- § 6:52 Foreign conservators
- § 6:53 Avoiding a conservatorship—Non-conservator
protective arrangements and single transaction
authority
- § 6:54 Temporary conservator

IV. POWERS OF ATTORNEY

- § 6:55 Powers of attorney—Creation
- § 6:56 Revocation and effect of death (A.R.S. § 14-5504)
- § 6:57 Relationship of attorney in fact to court appointed
fiduciary (A.R.S. § 14-5503)
- § 6:58 Liability for misuse of power of attorney

V. PUBLIC AND PRIVATE FIDUCIARIES

- § 6:59 Public fiduciary
- § 6:60 Private fiduciaries
- § 6:61 Definitions

VI. SUPPORTED DECISION-MAKING AGREEMENTS

- § 6:62 Background
- § 6:63 Supported Decision-Making Agreement

VII. FORMS

- § 6:64 Affidavit of proposed appointee
- § 6:65 Petition for appointment of temporary guardian and
conservator of an adult
- § 6:66 Petition for appointment of permanent guardian and
conservator of an adult
- § 6:67 Order appointing temporary guardian and conservator
- § 6:68 Order appointing permanent guardian and conservator
- § 6:69 Letters and acceptance of temporary guardian and
conservator of an adult
- § 6:70 Letters and acceptance of permanent guardian and
conservator of an adult
- § 6:71 Order to guardian and conservator and
acknowledgment
- § 6:72 Petition for appointment of temporary guardian with
authority to consent to inpatient mental health care
and treatment
- § 6:73 Petition for appointment of permanent guardian with

TABLE OF CONTENTS

	authority to consent to inpatient mental health care and treatment
§ 6:74	Order appointing permanent guardian with authority to consent to inpatient mental health care and treatment
§ 6:75	Order appointing temporary guardian with authority to consent to inpatient mental health care and treatment
§ 6:76	Letters and acceptance of temporary guardian with authority to consent to inpatient mental health care and treatment
§ 6:77	Letters and acceptance of permanent guardian with authority to consent to inpatient mental health care and treatment
§ 6:78	Order to guardian—With authority to consent to inpatient mental health care and treatment
§ 6:79	Notice of hearing
§ 6:80	Proof of restricted account from depository
§ 6:81	Submission of and petition for approval of conservatorship estate budget
§ 6:82	Annual report of guardian
§ 6:83	Physician's annual report to guardian
§ 6:84	Submission of and petition for approval of first conservator's account
§ 6:85	Submission of and petition for approval of conservator's account
§ 6:86	Submission of and petition for approval of final conservator's account
§ 6:87	Submission of and Petition for approval of simplified conservator's account
§ 6:88	Proposed order approving account and report of conservator

CHAPTER 7. NONPROBATE TRANSFERS

§ 7:1	Introduction
§ 7:2	Non-testamentary transfers (A.R.S. § 14-6101)
§ 7:3	Creditors' right to recover nonprobate transfers (A.R.S. § 14-6102)
§ 7:4	Addressing creditors outside of probate (A.R.S. § 14-6103)
§ 7:5	Accounts (A.R.S. § 14-6201 et seq.)
§ 7:6	Securities (A.R.S. § 14-6301 et seq.)
§ 7:7	Beneficiary Deeds to Real Estate (A.R.S. § 33-405)
§ 7:8	Transfer of Automobiles (A.R.S. § 28-2055)
§ 7:9	Transfer of interest in limited liability company (A.R.S. § 29-732.01)
§ 7:10	Transfers to minors (A.R.S. § 14-7651 et seq.)
§ 7:11	Nomination of a custodian (A.R.S. § 14-7653)

- § 7:12 Transfer by gift or inheritance (A.R.S. §§ 14-7654, 14-7655, and 14-7656)
- § 7:13 Creation of custodial property (A.R.S. § 14-7659)
- § 7:14 Validity and effect of transfer (A.R.S. § 14-7661)
- § 7:15 Duties and powers of the custodian, and the use of custodial property (A.R.S. §§ 14-7662, 14-7663, and 14-7664)
- § 7:16 Expenses, compensation and bond of custodian (A.R.S. § 14-7665)
- § 7:17 Liability to third persons (A.R.S. § 14-7667)
- § 7:18 Renunciation, resignation, death or removal of custodian (A.R.S. § 14-7668)
- § 7:19 Accounting by Custodian (A.R.S. § 14-7669)
- § 7:20 Termination of custodianship (A.R.S. § 14-7670)

CHAPTER 8. DISCLAIMER OF PROPERTY INTERESTS

- § 8:1 Introduction
- § 8:2 Disclaimer defined
- § 8:3 Scope of a disclaimer
- § 8:4 Requirements of a disclaimer
- § 8:5 Restrictions on disclaimers
- § 8:6 When a disclaimer becomes effective
- § 8:7 Effect of a disclaimer
- § 8:8 Tax qualified disclaimers
- § 8:9 Recording disclaimers

CHAPTER 9. ABUSE, NEGLECT OR EXPLOITATION OF VULNERABLE ADULTS

- § 9:1 Introduction and background
- § 9:2 Vulnerability and incapacity distinguished
- § 9:3 Reporting cases and the investigation process of APS
- § 9:4 A professional's duty to report
- § 9:5 Protection of vulnerable adults from abuse and neglect
- § 9:6 Financial exploitation of vulnerable adults
- § 9:7 Modifications to A.R.S. § 46-456 over time
- § 9:8 Standing to bring financial exploitation claims
- § 9:9 Specific considerations in financial exploitation actions
- § 9:10 Elements of Financial Exploitation
- § 9:11 Form—Allegation of violation of A.R.S. § 46-456

CHAPTER 10. ARIZONA TRUST CODE

I. GENERAL PRINCIPLES

- § 10:1 Introduction

TABLE OF CONTENTS

§ 10:2 Adoption of the Arizona Trust Code

II. TRUST CREATION

- § 10:3 Creation and validity of trusts
- § 10:4 Trusts created in other jurisdictions
- § 10:5 Charitable trusts
- § 10:6 Trusts for the care of animals
- § 10:7 Noncharitable trust without ascertainable beneficiary
- § 10:8 Oral trusts
- § 10:9 Trust induced by fraud, duress, or undue influence
- § 10:10 The trust instrument

III. TRUST SETTLORS AND BENEFICIARIES

- § 10:11 Identifying the settlor
- § 10:12 Rights of the settlor to revoke or amend a trust
- § 10:13 Other rights of the settlor
- § 10:14 Identifying the beneficiaries
- § 10:15 Rights of beneficiaries
- § 10:16 Rights of the settlor's creditors
- § 10:17 Rights of beneficiary's creditors

IV. TRUSTEES AND TRUST PROTECTORS

- § 10:18 Introduction
- § 10:19 Acceptance, rejection, and resignation of trustee appointment
- § 10:20 Bond
- § 10:21 Resignation, removal, and substitution of trustee
- § 10:22 Appointment of successor trustee
- § 10:23 Co-trustees
- § 10:24 Trustee compensation
- § 10:25 Trust protectors
- § 10:26 Limits on trustee personal liability
- § 10:27 Protection of third parties dealing with trustee

V. TRUSTEE DUTIES AND POWERS

- § 10:28 General duties
- § 10:29 Duty to administer trust
- § 10:30 Duty of loyalty
- § 10:31 Duty of impartiality
- § 10:32 Duties of prudent administration, costs of administration, and skill
- § 10:33 Duties to trust property
- § 10:34 Duty to inform
- § 10:35 Duty to notify
- § 10:36 Duty to report

- § 10:37 Duty to invest prudently
- § 10:38 General trustee powers
- § 10:39 Specific trustee powers
- § 10:40 Decanting
- § 10:41 Power to adjust income and principal

VI. TRUST MODIFICATION AND TERMINATION

- § 10:42 Modification or termination of trusts generally
- § 10:43 Modification or termination of trust; proceedings for approval or disapproval
- § 10:44 Modification or termination of noncharitable irrevocable trust by consent
- § 10:45 Modification or termination because of unanticipated circumstances or inability to administer trust effectively
- § 10:46 Cy pres
- § 10:47 Modification or termination of uneconomic trust
- § 10:48 Reformation to correct mistakes
- § 10:49 Modification to achieve settlor's tax objectives
- § 10:50 Combination and division of trusts
- § 10:51 Name change
- § 10:52 Total return trusts
- § 10:53 Nonjudicial settlement agreement
- § 10:54 Powers of appointment
- § 10:55 Disclaimers and tax elections

VII. TRUST ADMINISTRATION

- § 10:56 Introduction
- § 10:57 Funding the trust
- § 10:58 Governing law
- § 10:59 Trust tax identification
- § 10:60 Certificate of trust
- § 10:61 Investing trust property
- § 10:62 Distributions
- § 10:63 Tax returns
- § 10:64 Solving administrative issues
- § 10:65 Termination of the trust

VIII. JUDICIAL PROCEEDINGS

- § 10:66 Role of court in administration of trust
- § 10:67 Personal and subject matter jurisdiction
- § 10:68 Venue
- § 10:69 Rules of procedure and evidence
- § 10:70 Commencing and contesting proceedings
- § 10:71 Penalty clauses

TABLE OF CONTENTS

§ 10:72	Breach of trust
§ 10:73	Limitations on actions
§ 10:74	Contested trust matters
§ 10:75	Attorney fees

Table of Laws and Rules

Table of Cases

Index