Index

ABUSE
Sanctions (this index)

ACCESS TO RECORDS
Generally, **3:16**
Access clause, sample, **App 3:2**
Contracts to which access clause applies, **3:17**
Criteria for obtaining access, **3:19**
Electronic health records, privacy obligations, **3:33**
Notification standards for breaches of ''unsecured'' protected information, **3:34**
Who has access, **3:18**

ACCOUNTABLE CARE ORGANIZATIONS
Managed care, **11:39**

ACCOUNTANTS
Initial public offerings (IPOs), **13:9**

ACCOUNTS RECEIVABLE FINANCING
Generally, **12:1 et seq.**
Assignments
court-ordered assignments, **12:20**
Federal Assignment of Claims Act, **12:12**
veteran's benefits, assignment of, **12:13**
Covenants, **12:17**
Default, **12:20**
Due diligence, outstanding secured commitments, **12:15**
Enforcement, **12:19**
Federal Assignment of Claims Act, **12:12**
Limiting scope of receivables subject to program, **12:16**
Medicare and Medicaid prohibitions, scope of
generally, **12:2**

ACCOUNTS RECEIVABLE FINANCING—Cont'd
Medicare and Medicaid prohibitions, scope of—Cont'd
exceptions, statutory and regulatory
generally, **12:6**
Medicaid exceptions, **12:11**
Medicare exceptions, **12:7-12:10**
Medicaid
exceptions, **12:11**
federal law, **12:4**
state law, **12:5**
Medicare
generally, **12:3**
exceptions, **12:7-12:10**
Protecting lender
generally, **12:14**
court-ordered assignments, **12:20**
covenants, **12:17**
default, **12:20**
due diligence, outstanding secured commitments, **12:15**
enforcement, **12:19**
limiting scope of receivables subject to program, **12:16**
representations, **12:17**
repurchase requirement, **12:18**
warranties, **12:17**
Representations, **12:17**
Repurchase requirement, **12:18**
Veteran's benefits, assignment of, **12:13**
Warranties, **12:17**

ACCREDITATION
Clinical Laboratory Improvement Amendments Act of 1988, **20:57**
Managed care, **11:22**
State Regulation (this index)

ACQUISITIONS
Antitrust considerations, **20:18**

ACUTE CARE HOSPITALS
Generally, **16:3**

ADMINISTRATIVE EXPENSE CLAIM
Closing health care business, **15:14**

ADMISSION AND DISCHARGE ASSESSMENTS
Medicare and medicaid, **17:62**

ADVISORY
Opinion
21-19, June 8, 2026, **App 4:105**
26-02, February 17, 2026, **App 4:94**
26-03, March 8, 2026, **App 4:95**
26-04, March 8, 2026, **App 4:96**
26-05, March 15, 2026, **App 4:97**
26-06, April 9, 2026, **App 4:98**
26-07, April 16, 2026, **App 4:99**
26-08, April 30, 2026, **App 4:100**
26-09, April 30, 2026, **App 4:101**
26-10, May 17, 2026, **App 4:102**
26-11, May 19, 2026, **App 4:103**
26-12, May 27, 2026, **App 4:104**
26-13, June 8, 2026, **App 4:106**
26-14, June 14, 2026, **App 4:107**
26-15, June 30, 2026, **App 4:108**
26-16, July 14, 2026, **App 4:109**

ADVISORY BULLETINS (SPECIAL)
Fraud Alerts, Office of Inspector General's (this index)

AFFORDABLE CARE ACT (ACA)
Generally, **21:9**
Chronic disease, prevention of, **21:13**
Community Living Assistance Services and Supports (CLASS) program, **21:17**
Enhanced disclosure for nursing facilities, **3:3**
Fees on the health industry, **21:18**
Fraud alert, ACA requirements for tax-exempt hospitals, **5:115**

AFFORDABLE CARE ACT (ACA) —Cont'd
Health industry impact and outlook, **21:19**
Innovative medical therapies, improving access, **21:16**
Inpatient hospital provisions, **17:20**
Insurance reforms, **21:10**
Legislative developments, **22:4**
Outpatient provisions, **17:23**
Public health, improving, **21:13**
Public programs, role of, **21:11**
Quality and efficiency of care, **21:12**
Revenue provisions, **21:18**
Taxes on health industry, **21:18**
Transparency and program integrity, **21:15**
Workforce in health care, **21:14**

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY (AHRQ)
Cost effectiveness and outcomes research, **22:3**

AMBULANCE SERVICES
Medicare program, **17:46**

AMBULATORY SURGICAL CENTERS (ASCs)
Medicare and medicaid services, **17:91**
Outpatient and rehabilitation facilities, **16:12**
Safe harbor regulations, proposed additional safe harbors for investment interests in ambulatory surgical centers, **6:6**

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)
Disclosure and use of health information, **3:20**
Health provisions, generally, **21:8**
Legislative developments, **22:4**
Notification standards for breaches of ''unsecured'' protected information, **3:34**
Penalties for improper disclosures, **3:31**

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) —Cont'd
Security, notification standards for breaches of ''unsecured'' protected information, **3:34**

AMERICANS WITH DISABILITIES ACT OF 1990 (ADA)
Generally, **20:1 et seq.**
Commercial facilities. Title III overview, below
Conclusion, **20:9**
Department of Health and Human Services, Office of Civil Rights, Assurance of Compliance (HHS Form-690), **App 20:1**
Health care providers, public accommodations or commercial facilities under Title III, **20:4**
Public accommodations. Title III overview, below
Title III overview
generally, **20:2**
commercial facilities
generally, **20:3, 20:8**
health care providers, public accommodations or commercial facilities, **20:4**
health care providers, public accommodations or commercial facilities, **20:4**
public accommodations
generally, **20:3**
health care providers, public accommodations or commercial facilities, **20:4**
obligations of health care providers for places of public accommodation, **20:5**
tax benefits for compliance with ADA, **20:6**
tenants or building owners, obligations of health care providers, **20:7**

AMERICAN TAXPAYER RELIEF ACT (ATRA)
Health care reform: recent and pending legislation, **21:21**

ANALYSIS OF HEALTH CARE TRANSACTIONS
Generally, **1:1 et seq.**
Due diligence, determination of relevant health care ''due diligence'' requirements, **1:7**
Federal
agencies, types of, **App 1:2**
state programs involved, identification of, **1:3**
Governmental notices, approvals, and consents, determination of, **1:5**
Legislation and regulations, review pending and new, **1:8**
Nature of transaction, determination of, **1:4**
Parties and health care businesses involved, identification of, **1:2**
Programs, types of, **App 1:2**
Providers, types of, **App 1:1**
Third-party payment considerations, identification of, **1:6**

ANTIDISCRIMINATION LAWS
Americans with Disabilities Act of 1990 (ADA) (this index)
Compliance, OCR review of compliance with antidiscrimination laws, **20:13**
Department of Health and Human Services, Office of Civil Rights, Assurance of Compliance (HHS Form-690), **App 20:1**
Medicaid program, antidiscrimination statutes, **18:33**
Rehabilitation Act of 1973, Section 504 (this index)
Section 1557 of the Affordable Care Act
nondiscrimination requirements, health insurance marketplace, **20:16**

ANTI-DUMPING STATUTE
Federal Patient Anti-Dumping Statute (this index)

ANTI-FRAUD MEASURES
Health Care Reform: Recent and Pending Legislation (this index)
Sanctions (this index)

ANTI-KICKBACK LAW
Federal Anti-Kickback Law (this index)

ANTITRUST LAWS
Generally, **20:17 et seq.**
Acquisitions, antitrust considerations, **20:18**
Collaborative arrangements, antitrust considerations, **20:19**
Coronavirus, effect on antitrust enforcement, **20:43**
COVID-19, effect on antitrust enforcement, **20:43**
Department of Justice expedited business review procedure, **20:32**
Enforcement developments. Guidance, statements of antitrust enforcement policy in health care area, below
Exclusive dealing provisions, enforcement developments, **20:41**
Federal Trade Commission advisory opinion procedure, 1994 statements, **20:32**
Fee-related information, 1994 statements as to providers' collective provision of information to purchasers of health care services, **20:27**
Guidance, statements of antitrust enforcement policy in health care area
Accountable care organizations, 2011 guidance on, **20:38**
conclusion, **20:42**
Department of Justice expedited business review procedure, summary of 1994 statements, **20:32**
enforcement developments
generally, **20:39**
exclusive dealing provisions, **20:41**
mergers, **20:40**
''most-favored nations'' clauses, **20:41**
exclusive dealing provisions, enforcement developments, **20:41**

ANTITRUST LAWS—Cont'd
Guidance, statements of antitrust enforcement policy in health care area—Cont'd
Federal Trade Commission advisory opinion procedure, 1994 statements, **20:32**
fee-related information, 1994 statements as to providers' collective provision of information to purchasers of health care services, **20:27**
hospital
joint ventures, 1994 statements, **20:23, 20:24**
mergers, 1994 statements, **20:22**
information to purchasers, 1994 statements as to providers' collective provision of information to purchasers of health care services
generally, **20:25**
fee-related information, **20:27**
non-fee-related information, **20:26**
joint purchasing arrangements among health care providers, 1994 statements, **20:29**
joint ventures
hospital joint ventures, 1994 statements, **20:23, 20:24**
physician network joint ventures, below
mergers, enforcement developments, **20:40**
''most-favored nations'' clauses, enforcement developments, **20:41**
multiprovider networks
summary of 1994 statements, analytical statements relating to multiprovider networks, **20:31**
summary of 1996 statements, **20:36**
non-fee-related information, 1994 statements as to providers' collective provision of information to purchasers of health care services, **20:26**

ANTITRUST LAWS—Cont'd
Guidance, statements of antitrust enforcement policy in health care area—Cont'd
physician network joint ventures
summary of 1994 statements, **20:30**
summary of 1996 statements, **20:35**
price and cost information, 1994 statements as to provider participation in exchange of, **20:28**
summary of 1994 statements
generally, **20:20-20:31**
Department of Justice expedited business review procedure, **20:32**
evaluation of 1994 statements, **20:33**
Federal Trade Commission advisory opinion procedure, **20:32**
hospital joint ventures, **20:23, 20:24**
hospital mergers, **20:22**
information to purchasers, 1994 statements as to providers' collective provision of information to purchasers of health care services, above
joint purchasing arrangements among health care providers, **20:29**
multiprovider networks, analytical statements relating to, **20:31**
physician network joint ventures, **20:30**
price and cost information, provider participation in exchange of, **20:28**
summary of 1996 statements
generally, **20:34-20:36**
evaluation of 1996 statements, **20:37**
multiprovider networks, **20:36**
physician network joint ventures, **20:35**

ANTITRUST LAWS—Cont'd
Horizontal arrangements, antitrust considerations, **20:18**
Hospitals
joint ventures, 1994 statements of antitrust enforcement policy, **20:23, 20:24**
mergers, 1994 statements of antitrust enforcement policy, **20:22**
Information to purchasers, 1994 statements as to providers' collective provision of information to purchasers of health care services . Guidance, statements of antitrust enforcement policy in health care area, above
Joint purchasing arrangements among health care providers, 1994 statements of antitrust enforcement policy, **20:29**
Joint ventures
antitrust considerations, **20:19**
guidance, statement of antitrust enforcement policy in health care area, above
Managed care, antitrust concerns, **11:30**
Mergers
antitrust considerations, **20:18**
enforcement developments, **20:40**
hospital mergers, 1994 statements, **20:22**
"Most-favored nations" clauses, enforcement developments, **20:41**
Multiprovider networks. Guidance, statements of antitrust enforcement policy in health care area, above
Non-fee-related information, 1994 antitrust enforcement statements as to providers' collective provision of information to purchasers of health care services, **20:26**
Physician network joint ventures. Guidance, statements of antitrust enforcement policy in health care area, above
Price and cost information, 1994 antitrust enforcement statements

ANTITRUST LAWS—Cont'd
as to provider participation in exchange of, **20:28**
Price fixing, labor, **20:42**

"ANY WILLING PROVIDER" LAWS
Managed care, **11:36-11:38**
Out-of-network litigation, **11:38**
State developments, **11:37**

ARIZONA
Physician financial arrangements, restrictions on, **9:42**

ARMED FORCES PERSONNEL
TRICARE
formerly known as Civilian Health and Medical Program of the Uniformed Services (CHAMPUS), **19:4**

ASCENSION HEALTH ALLIANCE
Proposed FTC consent order, **App. 20:58**

ASSET REVALUATION
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)

ASSIGNMENTS
Accounts Receivable Financing (this index)
Health care government contractors, **20:128**

ASSISTANCE PROGRAMS
Medicare Part D, OIG guidance, **5:105**

ATTORNEY GENERALS
Civil enforcement, improper disclosure of protected information, **3:31**

ATTORNEYS
Initial Public Offerings (IPOs) (this index)

BALANCED BUDGET ACT (BBA)
Health policy provisions of select legislation, **21:2**

BANKRUPTCY
Distressed Providers, Bankruptcy and Creditors' Rights (this index)

BENEFICIARIES
Fraud Alerts, Office of Inspector General's (this index)

BILLING
Changes of ownership and new business organizations: reimbursement considerations, **8:36**
Federal Anti-Kickback Law (this index)
Physician financial arrangements, restrictions on, **9:2**

BIPARTISAN BUDGET ACT
Health care reform: recent and pending legislation, **21:22**

BOND FINANCING
Generally, **14:1 et seq.**
Bank qualified bonds, tax issues, **14:17**
Corporate considerations
bonds
generally, **14:19**
derivatives, **14:20**
ratings, **14:26**
continuing disclosure, **14:27**
covenants
generally, **14:25**
credit enhancement, **14:24**
disclosure, continuing, **14:27**
indentures
generally, **14:21**
master indentures, **14:22**
issuer, **14:18**
ratings, bond, **14:26**
repayment obligation, **14:23**
representations, **14:25**
transfer of bond proceeds, **14:23**
warranties, **14:25**
IRS enforcement activity, **14:17**
Ratings, corporate considerations, **14:26**
Tax issues
bank qualified bonds, **14:17**
change in use or ownership, **14:16**

BOND FINANCING—Cont'd
Tax issues—Cont'd
dollar limitation, $150 million, **14:11**
IRS enforcement activity, **14:17**
issuer, **14:3**
pooled financing, **14:12**
proceeds, use of bond proceeds
generally, **14:5**
joint venture arrangements, **14:10**
management agreements, **14:8**
mixed-use facilities, **14:7**
physician contracts, **14:8**
research facilities, **14:9**
unrelated trade or business, **14:6**
provider, tax-exempt status of, **14:4**
refunding bonds, **14:14**
reimbursement for capital expenditures, limitation on, **14:13**
taxable bonds, **14:17**
tax-exempt bonds, **14:2**
tax matters, **14:15**
VCAP, **14:17**
weighted average maturity limitation, **14:12**
VCAP, tax issues, **14:17**

BREACH OF PROTECTED HEALTH INFORMATION
Notification standards, **3:34**

BUDGET CONTROL ACT
Health care reform: recent and pending legislation, **21:21**

BUNDLED PAYMENTS FOR CARE IMPROVEMENT INITIATIVE
Managed care, **11:40**

"BUSINESS ASSOCIATES"
Health Insurance Portability and Accountability Act, "business associates" of covered entities, **3:28, 3:33**
Notification standards for breaches of "unsecured" protected information, **3:34**

BUSINESS CONSULTANTS
Fraud Alerts, Office of Inspector General's (this index)

BUSINESS MODELS
Physician Financial Arrangements, Restrictions on (this index)

BUSINESS ORGANIZATIONS
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)

BUSINESS TRANSACTIONS
Disclosure, **3:11, 3:14**
Physician Financial Arrangements, Restrictions on (this index)

CALIFORNIA
Corporate practice of medicine, **10:7**
Physician financial arrangements, restrictions on, **9:43**

CASE MIX GROUP (CMGs) PATIENT CLASSIFICATION SYSTEM
Medicare and medicaid, **17:67, 17:68**

CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS)
Medicare and Medicaid (this index)

CERTIFICATE OF NEED (CON)
Bankruptcy, certificate of need issues, **15:8**
State Regulation (this index)

CERTIFICATES OF PUBLIC ADVANTAGE
Ballad health, expiration of, FTC letter addressing Tennessee legislation concerning, **App. 20:57**

CHANGES OF OWNERSHIP AND NEW BUSINESS ORGANIZATIONS: REIMBURSEMENT CONSIDERATIONS
Generally, **8:1 et seq.**
Acquisitions of providers/suppliers with rejection of automatic assignment of Medicare

CHANGES OF OWNERSHIP AND NEW BUSINESS ORGANIZATIONS: REIMBURSEMENT CONSIDERATIONS—Cont'd
provider agreement, CMS survey and certification group letter, **App 8:3**
Asset revaluation
federal law governing
regulations, federal, below
Assignment of Medicare provider agreement during acquisitions of providers/suppliers, CMS survey and certification group letter, **App 8:3**
Billing, **8:36**
Change of ownership
generally, **8:1**
corporation, transactions that constitute change of ownership for purposes of Medicare, **8:4**
home health agencies, **8:11**
implications of change of ownership, **8:8**
leasing, transactions that constitute change of ownership for purposes of Medicare, **8:7**
limited liability companies, **8:6**
long-term care hospitals, **8:9**
Medicaid purposes, **8:12**
Medicare, transactions that constitute change of ownership for purposes of
generally, **8:2-8:7**
CMS survey and certification group letter, **App 8:3**
corporation, **8:4**
leasing, **8:7**
limited liability companies, **8:6**
nonprofit corporations, **8:5**
notice, sample Medicare notice of change of ownership, **App 8:2**
partnership, **8:2**
unincorporated sole proprietorship, **8:3**
nursing facilities, **8:10**

CHANGES OF OWNERSHIP AND NEW BUSINESS ORGANIZATIONS: REIMBURSEMENT CONSIDERATIONS—Cont'd
Change of ownership—Cont'd
partnership, transactions that constitute change of ownership for purposes of Medicare, **8:2**
unincorporated sole proprietorship, transactions that constitute change of ownership for purposes of Medicare, **8:3**
Common ownership or control issues. Related organizations, below
Corporation, transactions that constitute change of ownership for purposes of Medicare, **8:4**
Correction of errors, **8:37**
Date when determination becomes effective, **8:28**
Definitions, **8:26**
Departments of IHS or Tribe hospitals, **8:38**
Home health agencies, change of ownership, **8:11**
Inappropriate treatment of facility or organization as provider based and inappropriate billing, **8:36**
Indian health service and tribal facilities, **8:38**
Joint ventures, provider-based status, **8:32**
Leasing as transaction that constitutes change of ownership for purposes of Medicare, **8:7**
Liability of successor, **8:22**
Licensure, **8:31**
Limited liability companies, **8:6**
Long-term care hospitals, change of ownership, **8:9**
Management contracts, **8:34**
Medicare and Medicaid
assignment of Medicare provider agreement, CMS survey and certification group letter, **App 8:3**
change of ownership, above
payment liabilities, sample contract language of Medicare

CHANGES OF OWNERSHIP AND NEW BUSINESS ORGANIZATIONS: REIMBURSEMENT CONSIDERATIONS—Cont'd
Medicare and Medicaid—Cont'd
and Medicaid payment liabilities, **App 8:1**
sample Medicare notice of change of ownership, **App 8:2**
Nonprofit corporations, change of ownership—Medicare, **8:5**
Notice, sample Medicare notice of change of ownership, **App 8:2**
Nursing facilities, change of ownership, **8:10**
Obligations of hospital outpatient departments and hospital based entities, **8:33**
Off-campus subordinate facilities, additional requirements, **8:31**
Operational requirements for provider based status applicable to all subordinate facilities, **8:30 et seq.**
Overpayments from providers, statute of limitations on collecting, **8:21**
Partnership, transactions that constitute change of ownership for purposes of Medicare, **8:2**
Payment liabilities, sample contract language of Medicare and Medicaid payment liabilities, **App 8:1**
Provider-based status
generally, **8:23-8:38**
automatic assignment of Medicare provider agreement, CMS survey and certification group letter, **8:27**
billing, **8:36**
correction of errors, **8:37**
date when determination becomes effective, **8:28**
definitions, **8:26**
Departments of IHS or Tribe hospitals, **8:38**
inappropriate treatment of facility or organization as provider

CHANGES OF OWNERSHIP AND NEW BUSINESS ORGANIZATIONS: REIMBURSEMENT CONSIDERATIONS—Cont'd
Provider-based status—Cont'd
based and inappropriate billing, **8:36**
Indian Health Service and Tribal facilities, **8:38**
joint ventures, **8:32**
licensure, **8:31**
management contracts, **8:34**
obligations of hospital outpatient departments and hospital based entities, **8:33**
off-campus subordinate facilities, additional requirements, **8:31**
operational requirements for provider based status applicable to all subordinate facilities, **8:30 et seq.**
payment policy, **8:25**
responsibility for obtaining provider based determination, **8:27**
services under arrangement, **8:35**
site-neutral payment policy for certain newly-acquired, provider-based, off-campus hospital outpatient departments, **8:25**
standards, application of, **8:24**
subordinate facilities owned or operated by Indian Health Service or a Tribe, **8:38**
Tribal and Indian Health Service facilities, **8:38**
Recapture. Depreciation recapture, above
Related organizations
generally, **8:13**
common ownership or control issues
control, **8:16**
cumulative effect, **8:18**
fixed-term loan, **8:17**
option to purchase ownership interests in provider, **8:15**

CHANGES OF OWNERSHIP AND NEW BUSINESS ORGANIZATIONS: REIMBURSEMENT CONSIDERATIONS—Cont'd
Related organizations—Cont'd
common ownership or control issues—Cont'd
ownership, **8:14**
cumulative effect, common ownership or control issues, **8:18**
fixed-term loan, common ownership or control issues, **8:17**
option to purchase ownership interests in provider, common ownership or control issues, **8:15**
ownership, common ownership or control issues, **8:14**
practical issues, **8:20**
substantial business with unrelated parties, exception to related organization rule, **8:19**
Revaluation. Asset revaluation, above
Site-neutral payment policy for certain newly-acquired, provider-based, off-campus hospital outpatient departments, **8:25**
Skilled nursing facilities, change of ownership, **8:10**
Statute of limitations on collecting overpayments from providers, **8:21**
Subordinate facilities, operational requirements for provider based status applicable to, **8:30-8:38**
Successor liability, **8:22**
Unincorporated sole proprietorship, transactions that constitute change of ownership for purposes of Medicare, **8:3**

CHARITABLE HOSPITALS
IRS guidance, **5:116**

CHARITY CARE REQUIREMENTS
Hill-Burton Act and State Charity Care Requirements (this index)

CHILDREN'S HEALTH CARE PROVISIONS
State health care reform initiatives, **21:33**

CIVILIAN HEALTH AND MEDICAL PROGRAM OF THE UNIFORMED SERVICES (CHAMPUS)
Third-party payors
TRICARE, **19:4**

CLINICAL LABORATORIES
Clinical Laboratory Improvement Amendments Act of 1988 (this index)
Health care providers, **16:37**

CLINICAL LABORATORY IMPROVEMENT AMENDMENTS ACT OF 1988
Generally, **20:44 et seq.**
Accreditation, **20:57**
Certification
certificates of waiver, **20:48**
requirements, **20:46**
types of certificates, **20:47**
Clinical consultant, personnel qualifications, **20:52**
Complexity considerations, **20:49**
Coronavirus impact, **20:43**
COVID-19 impact, **20:43**
Enforcement, **20:58**
Inspections, **20:57**
Laboratories subject to Act, **20:45**
Laboratory directory, personnel qualifications, **20:51**
Personnel, laboratory personnel report to Department of Health and Human Services, **App 20:3**
Personnel qualifications
generally, **20:50**
clinical consultant, **20:52**
laboratory directory, **20:51**
laboratory personnel report to Department of Health and Human Services, **App 20:3**
technical consultant, **20:53**
testing personnel, **20:54**
Proficiency testing, **20:56**

CLINICAL LABORATORY IMPROVEMENT AMENDMENTS ACT OF 1988 —Cont'd
Quality system, **20:55**
Scope, laboratories subject to Act, **20:45**
Technical consultant, personnel qualifications, **20:53**
Testing personnel, qualifications, **20:54**
Waiver, certificates of, **20:48**

CMS SURVEY AND CERTIFICATION GROUP LETTER
Changes of ownership and new business organizations: reimbursement considerations, **App 8:3**

CODING PROCEDURES
Medicare and medicaid, **17:76**

CO-LOCATED DISCHARGES AND READMITTANCES
Medicare and medicaid, **17:81**

CO-LOCATED FACILITIES
Medicare and medicaid, **17:82**

COLORADO
Corporate practice of medicine, **10:12**

COMMON OWNERSHIP OR CONTROL ISSUES
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)

COMMUNITY SERVICES ASSURANCES
Hill-Burton Act and State Charity Care Requirements (this index)

COMPENSATION
Physician Financial Arrangements, Restrictions on (this index)
Safe Harbor Regulations (this index)

COMPLIANCE EFFORTS
Business consultants discouraging compliance efforts, **5:82**

COMPLIANCE EFFORTS—Cont'd
Fraud alerts, compliance program guidance documents, **5:106**

COMPREHENSIVE OUTPATIENT REHABILITATION FACILITIES (CORFs)
Outpatient and rehabilitation facilities, **16:13**

CONFLICT OF INTEREST
IRS sample conflict of interest policy, **App 5:6**

CONSOLIDATED APPROPRIATIONS ACT
Health care reform: recent and pending legislation, **21:25**

CONSULTANTS
Fraud Alerts, Office of Inspector General's (this index)

CONTINUING CARE RETIREMENT COMMUNITIES (CCRCs)
Long-term care facilities, **16:9**

CONTRACTORS
Health Care Government Contractors (this index)

CONTRACTS
Federal anti-kickback law, sample contract language on improper billing practices or payment arrangements, **App 4:1**

CONTROLLED SUBSTANCES ACT OF 1970
Generally, **20:70 et seq.**
Administration, **20:70**
Change of ownership of registered entity, implications of, **20:79**
Conclusion, **20:82**
Criteria and procedures for registration, **20:78**
Dispensing, registration of person dispensing controlled substances, **20:77**
Failure to register, penalties for, **20:80**
Freight forwarding facility, **20:75**

CONTROLLED SUBSTANCES ACT OF 1970—Cont'd
Independent activities, separate registration, **20:76**
Overview, **20:70**
Penalties for registration violations, **20:80**
Principle place of business, registration of each
generally, **20:71**
freight forwarding facility, **20:75**
sales office, registrant's, **20:73**
second office, practitioner's, **20:74**
warehouses, **20:72**
Recent DEA settlements, **20:81**
Sales office, registration of registrant's, **20:73**
Second office, registration of practitioner's, **20:74**
Separate registration for independent activities, **20:76**
Warehouses, registration of, **20:72**

CONVICTIONS
Disclosure of Ownership (this index)

CORONAVIRUS
Effect on antitrust enforcement, **20:43**
Public health emergency transition, **20:5**

CORPORATE PRACTICE OF MEDICINE
Generally, **10:1 et seq.**
AMA v. FTC, **10:16**
Conclusion, **10:22**
Doctrine of prohibition
historical basis, **10:3**
prohibition, statement of prohibition and underlying rationale, **10:2**
public policy considerations, **10:4**
Enforcement of prohibition doctrine
applications of doctrine
generally, **10:6**
California, **10:7**
Colorado, **10:12**
Illinois, **10:14**
Massachusetts, **10:9**

CORPORATE PRACTICE OF MEDICINE—Cont'd
Enforcement of prohibition doctrine—Cont'd
applications of doctrine—Cont'd
New Jersey, **10:11**
New York, **10:10**
Pennsylvania, **10:13**
Texas, **10:8**
mechanisms for enforcement, **10:5**
Erosion of prohibition doctrine
AMA v. FTC, **10:16**
exceptions to prohibition doctrine, below
new realities and abandonment of established precedents, **10:15**
Exceptions to prohibition doctrine
generally, **10:17**
freestanding emergency centers, **10:21**
HMOs, **10:19**
hospitals, **10:18**
management service contracts, **10:20**
MCOs, **10:19**
Freestanding emergency centers, exception to prohibition doctrine, **10:21**
HMOs, exception to prohibition doctrine, **10:19**
Hospitals, exception to prohibition doctrine, **10:18**
Management service contracts, exception to prohibition doctrine, **10:20**
MCOs, exception to prohibition doctrine, **10:19**
New realities and abandonment of established precedents, **10:15**

CORPORATIONS
Bankruptcy, corporate veil issues, **15:7**
Bond Financing (this index)
Changes of ownership, transactions that constitute change of ownership for purposes of Medicare, **8:4**
Medical practice. Corporate Practice of Medicine (this index)

CORRECTION OF ERRORS
Changes of ownership and new business organizations: reimbursement considerations, **8:37**

COSTS
Distressed providers, bankruptcy and creditors' rights, administrative expense claim for closing health care business, **15:14**
Medicare anti-markup rule, **9:7**

COVENANTS
Accounts receivable financing, **12:17**

COVID-19
Effect on antitrust enforcement, **20:43**
Public health emergency transition, **20:5**

CREDITORS' RIGHTS
Distressed Providers, Bankruptcy and Creditors' Rights (this index)

DEFINITIONS
Electronic health record, **3:33**
Personal health record, **3:34**
Physician Financial Arrangements, Restrictions on (this index)
Unsecured protected health information, **3:34**

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Clinical laboratory application, **App 20:2**
Laboratory personnel report, **App 20:3**
Office of Civil Rights, Assurance of Compliance (HHS Form-690), **20:1, App 20:1**

DEPARTMENT OF VETERANS AFFAIRS (VA) PROGRAMS
Third-party payors, **19:3**

DEPRECIATION RECAPTURE
Bankruptcy, successor liability in bankruptcy for depreciation recapture, **15:10**
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)

DEPRECIATION RECAPTURE —Cont'd

DEVICES
Medical Devices (this index)

DHS AND DHS PHYSICIANS
Physician Financial Arrangements, Restrictions on (this index)

DIAGNOSTIC TESTING FACILITY
Independent Diagnostic Testing Facility (IDTF) (this index)

DISABILITY DISCRIMINATION
Americans with Disabilities Act of 1990 (ADA) (this index)
Department of Health and Human Services, Office of Civil Rights, Assurance of Compliance (HHS Form-690), **App 20:1**

DISCLOSURE
Health information. Health Insurance Portability and Accountability Act (this index)
Initial public offerings (IPOs), Regulation FD, **13:25**
Ownership. Disclosure of Ownership (this index)

DISCLOSURE OF OWNERSHIP
Generally, **3:1 et seq.**
Business associates of covered entities, **3:28, 3:33**
Business transactions, disclosure of, **3:11, 3:14**
Complying with FTC's Health Breach Notification Rule, **App 3:4**
Convictions or sanctions
disclosure of principals convicted of program-related offenses, **3:13**
disclosure of those convicted of program-related offenses or subject to administrative sanctions
generally, **3:7**
agent, **3:8**

DISCLOSURE OF OWNERSHIP —Cont'd
Convictions or sanctions—Cont'd
disclosure of those convicted of program-related offenses or subject to administrative sanctions—Cont'd
managing employees, **3:9**
principals, **3:13**
Due diligence in transactions with health care providers, HIPAA application, **3:35**
Electronic health records, privacy obligations, **3:33**
Enhanced disclosures for nursing facilities, **3:3**
Fundraising, **3:27**
Group health plan sponsors, **3:29**
Guidance regarding HIPAA privacy standard, **3:32**
Health care providers, privacy concerns, **3:36**
Health Insurance Portability and Accountability Act (this index)
HIPAA. Health Insurance Portability and Accountability Act (this index)
Hiring of intermediary's former employees, **3:10**
Marketing, **3:26**
Medicare
Medicare Enrollment Application for DMEPOS Suppliers (Form CMS-855S), **App 3:1**
Medicare Part A, disclosure of ownership, financial, or control interests under, below
Medicare Part B, disclosure of ownership, financial, or control interests under, **3:12**
Medicare Enrollment Application for DMEPOS Suppliers (Form CMS-855S), **App 3:1**
Medicare Part A, disclosure of ownership, financial, or control interests under
generally, **3:2**
controlling interests, **3:6**
direct ownership, **3:4**

DISCLOSURE OF OWNERSHIP —Cont'd
Medicare Part A, disclosure of ownership, financial, or control interests under—Cont'd
indirect ownership, **3:5**
Medicare Part B, disclosure of ownership, financial, or control interests under, **3:12**
Mobile Health App Developers: FTC best practices, **App 3:3**
Patient privacy rights, **3:30**
Patient Protection and Affordable Care Act, enhanced disclosure, **3:3**
Penalties for improper disclosures, **3:31**
Physician financial relationships with entities furnishing Medicare covered designated health services, disclosure of, **3:15**
Public policy exceptions, **3:24**
Requirements, **3:23**
Research, **3:25**
Sanctions. Convictions or sanctions, above
Types of protected information, **3:22**
Use of protected information
generally, **3:20-3:32**
American Recovery and Reinvestment Act (ARRA), **3:20**
business associates of covered entities, **3:28, 3:33**
fundraising, **3:27**
group health plan sponsors, **3:29**
guidance regarding HIPAA privacy standard, **3:32**
HIPAA privacy rule, **3:21**
marketing, **3:26**
notification standards for breaches of ''unsecured'' protected information, **3:34**
patient privacy rights, **3:30**
penalties for improper disclosures, **3:31**
public policy exceptions, **3:24**
requirements, **3:23**
research, **3:25**

DISCLOSURE OF OWNERSHIP —Cont'd
Use of protected information —Cont'd
types of protected information, **3:22**

DISCOUNTS
Hospital discounts, OIG guidance on, **5:104**

DISCRIMINATION
Antidiscrimination Laws (this index)

DISTRESSED PROVIDERS, BANKRUPTCY AND CREDITORS' RIGHTS
Generally, **15:1 et seq.**
Administrative expense claim for closing health care business, **15:14**
Appointment of patient care ombudsman, **15:12**
Certificate of need issues, **15:8**
Corporate veil issues, **15:7**
Costs associated with closing health care business, **15:14**
Depreciation recapture, successor liability in bankruptcy for, **15:10**
HMO bankruptcies, **15:6**
Issues, miscellaneous, **15:5-15:9**
Licensure issues, **15:8**
Medicare, bankrupt provider's ability to continue to participate, **15:3**
Medicare provider number sold in bankruptcy, successor liability, **15:9**
Ombudsman, appointment, **15:12**
Overpayments, effect of bankruptcy on intermediaries' power to recover overpayments, **15:4**
Prebankruptcy, creditors in prebankruptcy context, **15:2**
Receipts, effect of bankruptcy on providers' ability receive payment, **15:4**
Records—storage of patient records where debtor is "health care business," **15:11**
Revenue, effect of bankruptcy on stream of revenue, **15:4**

DISTRESSED PROVIDERS, BANKRUPTCY AND CREDITORS' RIGHTS —Cont'd
Storage of patient records where debtor is "health care business," **15:11**
Transfer of patients, trustee's duty, **15:13**
Trustee's duty to transfer of patients of health care business, **15:13**

DOCUMENTS
Fraud alerts, compliance program guidance documents, **5:106**

DRUG REIMBURSEMENT
Sanctions, Office of Inspector General's work plan, **7:20**

DRUGS
Controlled Substances Act of 1970 (this index)
Federal Food, Drug and Cosmetic Act (FFDCA) (this index)
Medicare and Medicaid (this index)
Prescription drug coverage
Medicaid. Medicare and Medicaid (this index)
Medicare and Medicaid (this index)
Prescription Drug Marketing Act of 1987 (PDMA), **20:110**

DUE DILIGENCE
Accounts receivable financing, **12:15**
HIPAA applicability, transactions with health care providers, **3:35**

DURABLE MEDICAL EQUIPMENT (DME)
Medicare program, **17:41**
Suppliers
Fraud alerts of Office of Inspector General, telemarketing by durable medical equipment suppliers (OIG Special Advisory Bulletin), **5:91, App 5:17**
Home care providers, **16:17**

ELECTRONIC HEALTH RECORDS
Privacy obligations, **3:33**

EMERGENCY CARE
Community services assurance, Hill-Burton Act and state charity care requirements, **20:105**
Freestanding emergency centers, exception to prohibition doctrine, **10:21**
Patient Dumping (this index)

EMERGENCY MEDICAL TREATMENT AND ACTIVE LABOR ACT (EMTALA)
Patient dumping
administrative requirements under EMTALA, **5:63**
application of EMTALA to hospital inmates, **5:62**
penalties for EMTALA violations, **5:66, 20:69**

EMPLOYEES
Disclosure of ownership, hiring of intermediary's former employees, **3:10**
Safe harbor regulations, employees' safe harbors, **6:8**

EMPLOYER-BASED AND UNION-BASED MANAGED CARE PLANS
Managed Care (this index)

ENCODING AND TRANSMISSION
Medicare and medicaid, **17:64**

END-STAGE RENAL DISEASE (ESRD) FACILITIES
Outpatient and rehabilitation facilities, **16:14**

EQUIPMENT
Medical Equipment and Supplies (this index)

EXCEPTIONS
Notification standards for breaches of ''unsecured'' protected information, **3:34**

EXCEPTIONS—Cont'd
Physician Financial Arrangements, Restrictions on (this index)

EXECUTIVE ORDERS
Regulations, Executive Orders, Studies, and Coverage Policies (this index)

FAIR LABOR STANDARDS ACT
Generally, **20:129**

FAIR MARKET VALUE
Physician financial arrangements, restrictions on, **9:24**

FALSE CLAIMS
Sanctions (this index)

FEDERAL ANTI-KICKBACK LAW
Generally, **4:1 et seq.**
Case law, relevant, **4:4**
Contract, sample contract language on improper billing practices or payment arrangements, **App 4:1**
Exceptions, statutory, **4:3**
Fraud and abuse regulations, OIG advisory opinion on, **4:7**
Fraud and abuse representations, sample, **App 4:2**
Introduction, **4:1**
OIG advisory opinion on health care fraud and abuse regulations, **4:7**
Penalties, **4:2**
Physicians at risk, **4:8**
Practical considerations, **4:6**
Private enforcement, **4:5**

FEDERAL ASSIGNMENT OF CLAIMS ACT
Accounts receivable financing, **12:12**

FEDERAL COURT
Gainsharing, **5:49**

FEDERAL EMPLOYEE HEALTH BENEFITS PROGRAM (FEHBP)
Third-party payor, **19:5**

FEDERAL FALSE CLAIMS ACT
Sanctions, citizen enforcement provisions, **7:8**

FEDERAL FOOD, DRUG AND COSMETIC ACT (FFDCA)
Generally, **20:83**
Approval, premarket approval process, **20:84**
Enforcement, postmarket surveillance and enforcement activities, **20:85**
Medicare and Medicaid programs, effect of FDA approval on coverage, **20:86**
Postmarket surveillance and enforcement activities, **20:85**
Premarket approval process, **20:84**
Surveillance, postmarket surveillance and enforcement activities, **20:85**

FEDERAL GOVERNMENT ANTI-FRAUD, ABUSE AND WASTE INITIATIVES
Sanctions (this index)

FEDERAL HEALTH CARE PROGRAMS
Exclusion from participation in federal health care programs, Special Advisory Bulletin on effect of
generally, **5:52, App 5:13**
enforcement authority, statutory expansion of Office of Inspector General's enforcement authority, **5:53**
ongoing employer/contractor responsibilities, **5:56**
penalty for violating exclusionary provision, **5:55**
suggested actions for employers and contractors, **5:56**
text of bulletin, **5:54**
Sanctions, targeted federal health fraud enforcement initiatives, **7:11**

FEDERAL PATIENT ANTI-DUMPING STATUTE
Administrative requirements, **20:65**
Emergency Medical Treatment and Active Labor Act (EMTALA) (this index)

FEDERAL PATIENT ANTI-DUMPING STATUTE —Cont'd
EMTALA. Emergency Medical Treatment and Active Labor Act (EMTALA) (this index)
Hospital inpatients, applicability, **20:64**
Medical screening examination, conducting, **5:59, 20:61**
OIG/HCFA special advisory bulletin, **App 5:14**
Physician on-call coverage, **5:64, 20:66**
Physicians' obligations, **20:68**
Prior authorization for emergency care, obtaining, **5:61, 20:63**
Receiving hospitals, obligations of, **5:65, 20:67**
Stabilizing treatment, or appropriate transfer, providing, **5:60, 20:62**
Summary of anti-dumping statute, **5:57, 20:59**
When patient "comes to the Emergency Department," **5:58, 20:60**

FINAL RULE
Medicare and medicaid, **17:58-17:71**

FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)
Initial public offerings, **13:12**

FINANCIAL RELATIONSHIPS
Physician Financial Arrangements, Restrictions on (this index)

FINES
See Penalties; Sanctions (this index)

FLORIDA
Physician financial arrangements, restrictions on, **9:44-9:47**

FRAUD
Anti-fraud measures
Health Care Reform: Recent and Pending Legislation (this index)
Sanctions (this index)

FRAUD—Cont'd
Federal anti-kickback law, sample fraud and abuse representations, **App 4:2**
OIG Alerts. Fraud Alerts, Office of Inspector General's (this index)
Safe harbor regulations, fraud and abuse checklist, **App 6:1**
Sanctions (this index)

FRAUD ALERTS, OFFICE OF INSPECTOR GENERAL'S
Generally, **5:1 et seq.**
Abusive marketing practices, special fraud alert on home health fraud, **5:17**
Abusive practices, encouraging, **5:81**
Advisory opinions, **App 5:21, App 5:22, App 5:23, App 5:24**
Annual cost reports, special fraud alert on home health fraud, **5:15**
Anti-dumping. Patient Dumping (this index)
Anti-kickback law, advisory opinion on health care fraud and abuse regulations, **4:7**
Beneficiaries. Gifts and other inducements offered to beneficiaries (OIG special advisory bulletin), below
Business consultants' practices (OIG Special Advisory Bulletin)
generally, **5:77-5:82, App 5:8**
abusive practices, encouraging, **5:81**
compliance efforts, discouraging, **5:82**
illegal or misleading representations, **5:79**
promises and guarantees, **5:80**
questionable practices, **5:78**
Certifications in provision of medical equipment and supplies and home health services, special fraud alert on physician liability for. Physicians (this index)
Claims, special fraud alert on false or fraudulent claims and home health fraud, **5:14**

FRAUD ALERTS, OFFICE OF INSPECTOR GENERAL'S —Cont'd
Compliance efforts, discouraging, **5:82**
Compliance program guidance documents, **5:106**
Consultants. Business consultants' practices (OIG Special Advisory Bulletin), above
Consumer protection enforcement actions, special fraud alert on prescription drug marketing schemes and related state consumer protection enforcement actions, **5:7, App 5:5**
DME, prosthetics, orthotics, and supplies for home use, special fraud alert on physician liability for certification of, **5:39**
Double-billed items, special fraud alert on provision of medical supplies to nursing facilities, **5:20**
Dumping. Patient Dumping (this index)
Durable medical equipment suppliers, telemarketing by (OIG Special Advisory Bulletin), **5:91, App 5:17**
Enforcement authority, statutory expansion of Office of Inspector General's enforcement authority, **5:53**
Exclusion from participation in federal health care programs, special advisory bulletin on effect of. Federal Health Care Programs (this index)
Federal Health Care Programs (this index)
Gainsharing (this index)
Gifts and other inducements offered to beneficiaries (OIG Special Advisory Bulletin)
generally, **5:83-5:90, App 5:16**
additional regulatory considerations, **5:89**
analysis by OIG, **5:85-5:88**
background, **5:84**

FRAUD ALERTS, OFFICE OF INSPECTOR GENERAL'S —Cont'd
Gifts and other inducements offered to beneficiaries (OIG Special Advisory Bulletin)—Cont'd
implications, **5:90**
independent entities, providing benefits through, **5:88**
principles for applying the inducement prohibition, **5:87**
prohibition, elements of, **5:86**
Home Health Services (this index)
Hospices (this index)
Hospitals (this index)
Illegal or misleading representations, **5:79**
Incentives, special fraud alert on hospital incentives to physicians, **5:6, App 5:4**
Inducements. Gifts and other inducements offered to beneficiaries (OIG special advisory bulletin), above
Joint Ventures And Contractual Arrangements (this index)
Kickbacks (this index)
Lab Services (this index)
Managed Care Patients (this index)
Management agreement, ''shell'' analysis checklist, **App 5:2**
Medical Equipment And Supplies (this index)
Nursing Facilities (this index)
Patient Dumping (this index)
Phlebotomy services to physicians, special fraud alert on arrangements for provision of clinical lab services, **5:9**
Physician-owned entities, **5:92**
Physicians (this index)
Prescription drug marketing schemes and related state consumer protection enforcement actions, special fraud alert, **5:7, App 5:5**
Promises and guarantees, **5:80**
Questionable practices, **5:78**
Renal dialysis centers, special fraud alert on arrangements for provision of clinical lab services, **5:10**
Rental of physician office-space by those to whom physician refers, special fraud alert. Physicians (this index)
''Shell'' analysis checklist, management agreement, **App 5:2**
Solicitation of additional fraud alerts, **5:103**
Special advisory bulletin on hospital-physician ''gainsharing.'' Gainsharing (this index)
Tax-Exempt Organizations (this index)
Telemarketing by durable medical equipment suppliers (OIG Special Advisory Bulletin), **5:91, App 5:17**
Unprovided items, special fraud alert on provision of medical supplies to nursing facilities as to claims for items that are not provided as claimed, **5:20**

FTC'S HEALTH BREACH NOTIFICATION RULE
Complying with, **App 3:4**

FUNDRAISING
Health Insurance Portability and Accountability Act, protected health information used for fundraising, **3:27**

GAINSHARING
Hospital-physicians, Office of Inspector General's Special Advisory Bulletin on ''gainsharing''
generally, **5:41, App 5:12**
application to joint ventures and other arrangements, analysis in Bulletin, **5:47**
background, **5:42**
broad general pronouncement, analysis in Bulletin, **5:44**

GAINSHARING—Cont'd
Hospital-physicians, Office of Inspector General's Special Advisory Bulletin on ''gainsharing''—Cont'd
CMP and alternative payment models, statutory language changes, **5:50**
expeditious termination, analysis in Bulletin, **5:45**
federal court, gainsharing in, **5:49**
implications for hospitals and physicians, **5:51**
legislative relief, analysis in Bulletin, **5:46**
legitimate interest, analysis in Bulletin, **5:46**
payment models, statutory language changes to, **5:50**
permitted arrangements, analysis in Bulletin, **5:46**
subsequent clarifications and determinations, **5:48**
violation of provisions prohibiting payment to induce reduction or limitation of services, analysis in Bulletin, **5:43**

GIFTS
Fraud Alerts, Office of Inspector General's (this index)

GOVERNANCE
Tax-exempt hospitals, IRS guidance, **5:114**

GOVERNMENT CONTRACTORS
Health Care Government Contractors (this index)

GROUP HEALTH PLANS
Health Insurance Portability and Accountability Act, sponsors of group health plans, **3:29**

GROUP PRACTICES
Physician Financial Arrangements, Restrictions on (this index)
Safe harbor regulations, proposed additional safe harbors investment interests in entities of active group-practice members, **6:7**

GROUP PRACTICES—Cont'd

GUIDANCE
Disclosure of ownership, guidance regarding HIPAA privacy standard, **3:32**
Fraud alerts, compliance program guidance documents, **5:106**

HEALTH CARE FACILITIES
State regulation, licensure, accreditation, and registration, **2:4, 2:6**

HEALTH CARE GOVERNMENT CONTRACTORS
Generally, **20:126 et seq.**
Assignments, **20:128**
Labor requirements, **20:127**
Subcontracting, **20:128**

HEALTH CARE INDUSTRY, PLAYERS AND PAYORS
Generally, **16:1 et seq.**
Clinical laboratories, **16:37**
Disclosure of ownership and privacy concerns, **3:36**
Drug manufacturers, **16:38**
Home Care Providers (this index)
Hospitals (this index)
Independent Diagnostic Testing Facility (IDTF) (this index)
Long-Term Care Facilities (this index)
Manufacturers of drugs and medical devices, **16:38**
Medical-device manufacturers, **16:38**
Other providers, **16:36 et seq.**
Outpatient and Rehabilitation Facilities (this index)
Pharmacies, **16:39**
Primary Care Providers (this index)
Rehabilitation facilities. Outpatient and Rehabilitation Facilities (this index)
Specialty hospitals, **16:7**

HEALTH CARE PROFESSIONALS
Physicians (this index)

HEALTH CARE PROFESSIONALS —Cont'd
State regulation, licensure, accreditation, and registration, **2:3, 2:5**

HEALTH CARE QUALITY IMPROVEMENT ACT OF 1986
National Practitioner Data Bank (this index)

HEALTH CARE REFORM: RECENT AND PENDING LEGISLATION
Generally, **21:1 et seq.**
Affordable Care Act
chronic disease, prevention of, **21:13**
Community Living Assistance Services and Supports (CLASS), **21:17**
fees on the health industry, **21:18**
health industry impact and outlook, **21:19**
innovative medical therapies, improving access, **21:16**
public health, improving, **21:13**
revenue provisions, **21:18**
taxes on health industry, **21:18**
transparency and program integrity, **21:15**
workforce in health care, **21:14**
American Recovery and Reinvestment Act (ARRA)
disclosure and use of health information, **3:20**
health provisions, generally, **21:8**
notification standards for breaches of ''unsecured'' protected information, **3:34**
Obama Administration health reform, **21:8**
penalties for improper disclosures, **3:31**
security, **3:34**
American Taxpayer Relief Act, **21:21**
Anti-fraud Medicare provisions. Federal legislation, health policy provisions, below
Balanced Budget Act
Balanced Budget Refinement Act, **21:3**

HEALTH CARE REFORM: RECENT AND PENDING LEGISLATION—Cont'd
Balanced Budget Act—Cont'd
health policy provisions of select legislation, **21:2**
Medicare, Medicaid and SCHIP Benefits Improvement and Protection Act, **21:3**
Balanced Budget Refinement Act (BBRA), **21:3**
Bipartisan Budget Act, **21:22**
Budget Control Act, **21:21**
Children's health care provisions, state health care reform initiatives, **21:33**
Community Living Assistance Services and Supports (CLASS), **21:17**
Consolidated Appropriations Act, **21:25**
COVID-19
Public Health Emergency Transition, **21:5**
Deficit Reduction Act (DRA), **21:4**
Drugs. Medicare prescription drug coverage, below
Federal legislation, health policy provisions
Affordable Care Act, above
American Recovery and Reinvestment Act (ARRA), above
Balanced Budget Act, above
Balanced Budget Refinement Act (BBRA), **21:3**
Deficit Reduction Act (DRA), **21:4**
Medicare, Medicaid, and SCHIP Extension Act, **21:4**
Medicare, Medicaid and SCHIP Benefits Improvement and Protection Act, **21:3**
Medicare Improvements for Patients and Providers Act, **21:4**
Medicare Prescription Drug, Improvement, and Modernization Act (MMA), **21:4**
Other major Medicare payment legislation, **21:4**

HEALTH CARE REFORM: RECENT AND PENDING LEGISLATION—Cont'd
Federal legislation, health policy provisions—Cont'd
Tax Relief and Health Care Act, **21:4**
Fees on the health industry, **21:18**
Hospital price transparency, **21:28**
Innovative medical therapies, improving access, **21:16**
Insurance reforms, **21:10**
Managed care provisions, state health care reform initiatives, **21:31**
Maryland statewide reforms, **21:35**
Massachusetts statewide reforms, **21:37**
Medicaid. Medicare and Medicaid, below
Medicare and Medicaid
anti-fraud Medicare provisions. Federal legislation, health policy provisions, above
Medicaid provisions
federal legislation, health policy provisions, above
state health care reform initiatives, **21:30**
Medicare, Medicaid, and SCHIP Extension Act, **21:4**
Medicare, Medicaid and SCHIP Benefits Improvement and Protection Act (BIPA), **21:3**
Medicare Access and CHIP Reauthorization Act, **21:24**
Medicare Improvements for Patients and Providers Act, **21:4**
Medicare prescription drug coverage, below
Medicare provisions
federal legislation, health policy provisions, above
prescription drug coverage, below
prescription drug coverage. See Medicare and Medicaid (this index)

HEALTH CARE REFORM: RECENT AND PENDING LEGISLATION—Cont'd
Medicare prescription drug coverage. Prescription drug coverage, below
Mental health benefits, state health care reform initiatives, **21:32**
Middle Class Tax Relief and Job Creation Act, **21:20**
Obama Administration health reform generally, **21:7 et seq.**
Affordable Care Act
generally, **21:9**
chronic disease, prevention of, **21:13**
Community Living Assistance Services and Supports (CLASS) program, **21:17**
fees on the health industry, **21:18**
health industry impact and outlook, **21:19**
innovative medical therapies, improving access, **21:16**
insurance reforms, **21:10**
public health, improving, **21:13**
public programs, role of, **21:11**
quality and efficiency of care, **21:12**
revenue provisions, **21:18**
taxes on health industry, **21:18**
workforce in health care, **21:14**
American Recovery and Reinvestment Act (ARRA), above
Medicare, Medicaid, and SCHIP Extension Act, **21:4**
Medicare Access and CHIP Reauthorization Act (MACRA)
generally, **21:24**
alternative payment models, **17:36**
merit-based incentive payment system, **17:35**
Oregon statewide reforms, **21:36**
Prescription drug coverage
Medicare Prescription Drug, Improvement, and

HEALTH CARE REFORM: RECENT AND PENDING LEGISLATION—Cont'd
Prescription drug coverage—Cont'd
Modernization Act (MMA), **21:4**
Preventing surprise medical bills, **21:6**
Protecting Access to Medicare Act, **21:23**
Public health, improving, **21:13**
Public Health Emergency Transition COVID-19, **21:5**
Public programs, role of, **21:11**
Quality and efficiency of care, **21:12**
Revenue provisions, **21:18**
Security of information, American Recovery and Reinvestment Act, notification standards for breaches of ''unsecured'' protected information, **3:34**
State health care reform initiatives
generally, **21:29**
children's health care provisions, **21:33**
hospital price transparency, **21:28**
managed care provisions, **21:31**
Maryland statewide reforms, **21:35**
Massachusetts statewide reforms, **21:37**
Medicaid reform, **21:30**
mental health benefits, **21:32**
Oregon statewide reforms, **21:36**
statewide reforms, **21:34-21:37**
substance abuse benefits, **21:32**
Substance abuse benefits, state health care reform initiatives, **21:32**
Tax Cuts and Jobs Act (TCJA), **21:27**
Taxes on health industry, **21:18**
Tax Relief and Health Care Act, **21:4**
Transparency and program integrity, **21:15**
21st Century Cures Act, **21:26**
Workforce in health care, **21:14**

HEALTH CARE SERVICES AND PRODUCTS
FTC overview, **App 20:11**

HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)
Generally, **3:20 et seq.**
''Business associates'' of covered entities, **3:28, 3:33**
Covered entities, ''business associates'' of, **3:28, 3:33**
Disclosure and use of health information, generally, **3:20 et seq.**
Due diligence in transactions with health care providers, **3:35**
Fundraising, protected health information used for, **3:27**
Group health plans, sponsors of, **3:29**
Guidance regarding HIPAA privacy standard, **3:32**
Marketing, protected health information used for, **3:26**
Patient privacy rights, **3:30, 3:33**
Penalties for improper disclosures, **3:31**
Privacy concerns and disclosure, health care providers, **3:36**
Privacy protection requirements, **3:23**
Privacy rule under, generally, **3:21 et seq.**
Protected health information, types of, generally, **3:22**
Public policy exceptions, **3:24**
Research, protected health information used for, **3:25**
Sponsors of group health plans, **3:29**
Standard of privacy under Act, additional guidance regarding, **3:32**

HEALTH MAINTENANCE ORGANIZATIONS (HMOs)
Managed Care (this index)

HEALTH PLANNING AND CERTIFICATE OF NEED (CON)
State Regulation (this index)

HEALTH PLANS
Safe Harbor Regulations (this index)

HEARING-IMPAIRED PERSONS
Department of Health and Human Services, Office of Civil Rights, Assurance of Compliance (HHS Form-690), **App 20:1**

HILL-BURTON ACT AND STATE CHARITY CARE REQUIREMENTS
Generally, **20:96**
Community services assurance
generally, **20:103**
duration of community service obligation, **20:107**
emergency services, **20:105**
nondiscriminatory admission of community residents, **20:104**
overview, **20:103**
third-party programs, participation in, **20:106**
Federal Health Resources and Services Administration issues answers to FAQ, **20:109**
History, **20:96**
Overview, **20:96**
Sale of facilities to for-profit entities, **20:97**
State law requirements, **20:108**
Uncompensated care assurance
allocation plan, prepare and publish, **20:100**
annual compliance level, calculation of, **20:99**
applicability, **20:98**
determine eligibility for uncompensated services, **20:101**
liability for uncompensated services, **20:102**
notice of free care, plan and display, **20:100**

HIPAA
Health Insurance Portability and Accountability Act (this index)

HOME CARE PROVIDERS
Generally, **16:16 et seq.**
Durable medical equipment (DME) suppliers, **16:17**

HOME CARE PROVIDERS —Cont'd
Home health agencies (HHA's), **16:18**
Home infusion suppliers, **16:19**
Hospices, **16:20**
Private-duty nursing agencies, **16:18**

HOME HEALTH AGENCIES (HHAs)
Change of ownership, **8:11**
Home care providers, **16:18**
Medicare and medicaid, prospective payment system, **17:57**

HOME HEALTH SERVICES
Agencies. Home Health Agencies (HHAs) (this index)
Fraud alerts, office of inspector general's special fraud alert
generally, **5:13**
abusive marketing practices, **5:17**
annual cost reports, fraud in, **5:15**
certifications. Certifications in provision of medical equipment and supplies and home health services, special fraud alert on physician liability for, above
claims, false or fraudulent, **5:14**
kickbacks, **5:16**
Home Care Providers (this index)
Home Health Agencies (HHAs) (this index)
Medicare program prospective-payment system for home health agencies, **17:53**
Office of Inspector General's work plan, home health reviews, **7:14**
Physician Financial Arrangements, Restrictions On (this index)
Providers. Home Care Providers (this index)

HOME INFUSION SUPPLIERS
Home care providers, **16:19**

HOSPICES
Additional services, fraud alert as to hospice payments to nursing homes for, **5:32**

HOSPICES—Cont'd
Benefits, Office of Inspector General's Medicare Advisory Bulletin on hospice benefits
generally, **5:24, App 5:7**
diagnosis of terminal disease, **5:25**
health care needs relating to terminal condition, **5:26**
information, complete and accurate information about hospice election, **5:27**
Diagnosis of terminal disease, Office of Inspector General's Medicare Advisory Bulletin on hospice benefits, **5:25**
Election, Office of Inspector General's Medicare Advisory Bulletin on complete and accurate information about hospice election, **5:27**
Fraud alert, Office of Inspector General's special fraud alert on nursing home arrangements with hospices
generally, **5:29, App 5:10**
additional services, hospice payments to nursing homes for, **5:32**
kickbacks, practices that constitute suspected kickbacks, **5:30**
physician certification requirements, relaxation of, **5:28**
room and board, hospice payments to nursing homes for, **5:31**
Health care needs relating to terminal condition, Office of Inspector General's Medicare Advisory Bulletin on hospice benefits, **5:26**
Home care providers, **16:20**
Information, Office of Inspector General's Medicare Advisory Bulletin on complete and accurate information about hospice election, **5:27**
Kickbacks, fraud alert as to practices that constitute suspected kickbacks, **5:30**
Medicaid service-specific payment standards, **18:9**

HOSPICES—Cont'd
Nursing home arrangements. Fraud alert, Office of Inspector General's special fraud alert on nursing home arrangements with hospices, above
Physician certification requirements, relaxation of, **5:28**
Room and board, fraud alert as to hospice payments to nursing homes for room and board, **5:31**
Sanctions, hospice reviews, **7:16**

HOSPITALS
Generally, **16:2 et seq.**
Acute care hospitals, **16:3**
Antitrust Laws (this index)
Corporate practice of medicine, exception to prohibition doctrine, **10:18**
Discounts, Office of Inspector General's guidance, **5:104**
Fraud alerts, office of inspector general's
incentives, Incentives, below
Fraud alerts, office of inspector general's
Gainsharing (this index)
Gainsharing (this index)
Incentives
special fraud alert on hospital incentives to physicians, **5:6, App 5:4**
tax-exempt hospitals, IRS's audit guidelines for physician incentives, **5:109**
Long-term care hospitals, **16:5**
Office of Inspector General's work plan, hospital reviews, **7:13**
Patient Dumping (this index)
Psychiatric hospitals, **16:4**
Rehabilitation hospitals, **16:6**
Specialty Hospitals (this index)
Tax-Exempt Organizations (this index)

ILLEGAL ALIENS
Medicare, reimbursement for undocumented immigrants, **17:13**

ILLINOIS
Corporate practice of medicine, **10:14**

IMMIGRANTS
Medicare, reimbursement for undocumented immigrants, **17:13**

INDEPENDENT DIAGNOSTIC TESTING FACILITY (IDTF)
Generally, **16:24**
Enrollment not required
companies that lease/contract diagnostic testing equipment and/or non-physician personnel, **16:33**
joint venture between radiology group and hospital, **16:34**
lease/contract of diagnostic testing equipment, **16:33**
non-physician personnel, **16:33**
Enrollment required, **16:25**
Medicare Improvements for Patients and Providers Act of 2008 (MIPPA), **16:35**
Multi-state entities, **16:32**
Non-physician personnel requirements, **16:30**
Order requirements, **16:31**
Performance standards, **16:26**
Supervising physician requirements
direct supervision, **16:28**
general supervision, **16:27**
personal supervision, **16:28**
proficiency, **16:29**

INDIAN HEALTH SERVICE AND TRIBAL FACILITIES
Changes of ownership and new business organizations: reimbursement considerations, **8:38**

INDUCEMENTS
Fraud Alerts, Office of Inspector General's (this index)

INITIAL PUBLIC OFFERINGS (IPOs)
Generally, **13:1 et seq.**
Accountants, **13:9**
Attorneys. Counsel, below

INITIAL PUBLIC OFFERINGS (IPOs)—Cont'd
Company communications, managing, **13:16**
Counsel
issuer's counsel, **13:7**
underwriter's counsel, **13:8**
Disclosure by public companies, Regulation FD, **13:25**
Engagement letter, **13:14**
Equity securities ownership, **13:26**
Financial Industry Regulatory Authority (FINRA), **13:12**
Marketing
generally, **13:17**
agreement among underwriters, execution, **13:22**
execution of offering agreements
generally, **13:22**
agreement among underwriters, **13:22**
underwriting agreement, **13:22**
preliminary prospectus, **13:20**
roadshow, **13:21**
selling group, **13:19**
underwriting agreement, execution, **13:22**
underwriting group, **13:18**
Market overview of health care IPOs, **13:2, 13:3**
Participants
generally, **13:5**
accountants, **13:9**
counsel, above
Financial Industry Regulatory Authority (FINRA), **13:12**
Securities and Exchange Commission, **13:10**
state securities reviewers, **13:11**
underwriter, **13:6**
Periodic filings by public company, **13:24**
Preliminary prospectus, **13:20**
Public companies
equity securities ownership, **13:26**
periodic filings, **13:24**
Regulation D, **13:25**
Sarbanes-Oxley Act of 2002, **13:27**

INITIAL PUBLIC OFFERINGS (IPOs)—Cont'd
Registration process
company communications, managing, **13:16**
engagement letter, **13:14**
registration statement, preparation, **13:15**
structuring underwriting relationship, **13:13**
Registration statement, preparation, **13:15**
Regulation FD, **13:25**
Roadshow, **13:21**
Sarbanes-Oxley Act of 2002, **13:27**
Securities and Exchange Commission, **13:10**
Selling group, **13:19**
State securities reviewers, **13:11**
Structuring underwriting relationship, **13:13**
Subsequent public offerings, **13:23**
Underwriter, **13:6**
Underwriting agreement, execution, **13:22**
Underwriting group, **13:18**

IN-OFFICE ANCILLARY SERVICES
Physician financial arrangements, restrictions on, **9:30**

INSURANCE
Health Insurance Portability and Accountability Act (this index)
Managed care, insurance owned PPOs, **11:10**
Obama Administration health reform, Affordable Care Act, **21:10**
Safe harbor regulations, obstetrical malpractice insurance subsidies, **6:10**
Third-party payors, private insurers, **19:2**

INTEGRATED DELIVERY SYSTEM
Generally, **11:16**
See also Managed Care (this index)

INTERMEDIATE CARE FACILITIES
Intellectual disabilities (ICFs/MR), long-term care facilities, **16:10**

INTERRUPTED STAYS
Medicare and medicaid, **17:63, 17:80**

INVESTIGATIONS
Office of Inspector General's work plan, **7:26**

INVESTMENT INTERESTS
Physician Financial Arrangements, Restrictions on (this index)
Safe Harbor Regulations (this index)

IRF CLASSIFICATION
Medicare and medicaid, **17:59**

IRF PAYMENT
Medicare and medicaid, **17:60-17:67**

ISOLATED TRANSACTIONS
Physician financial arrangements, restrictions on, **9:23**

JOINT COMMISSION ON ACCREDITATION OF HEALTHCARE ORGANIZATIONS
State regulation, **2:7**

JOINT PURCHASING ARRANGEMENTS AMONG HEALTH CARE PROVIDERS
Antitrust laws, 1994 statements of antitrust enforcement policy, **20:29**

JOINT VENTURES AND CONTRACTUAL ARRANGEMENTS
Ancillary joint venture, exemption and unrelated business taxable income consequences, **5:113**
Antitrust Laws (this index)
Changes of ownership and new business organizations: reimbursement considerations, provider-based status, **8:32**

JOINT VENTURES AND CONTRACTUAL ARRANGEMENTS—Cont'd
Fraud alert, Office of Inspector General's Special Fraud Alert
generally, **5:1, 5:93-5:102, App 5:1, App 5:19**
advisory bulletin provisions, generally, **5:96, 5:98-5:101**
background, **5:97**
business structure, **5:3**
checklist, joint venture/partnership, **App 5:3**
financing, **5:4**
health care entities, implications for, **5:102**
investors, **5:2**
medical device manufacturers/distributors, physician investment in, **5:5**
physician-owned entities, **App 5:18**
physicians and physician group practices, generally, **5:93**
profit distributions, **5:4**
questionable contractual arrangements, **5:99**
registry arrangements, **5:95**
safe harbor protection, unavailability of, **5:100**
specimen processing arrangements, **5:94**
suspect contractual joint venture, indicia of, **5:101**
Independent diagnostic testing facility (IDTF), **16:34**
Physician Financial Arrangements, Restrictions on (this index)
Questionable contractual arrangements, **5:99**
Registry arrangements, **5:95**
Safe harbor protection, unavailability of, **5:100**
Specimen processing arrangements, **5:94**
St. David's Healthcare System tax exemption for participant in whole hospital joint venture, **5:112**

JOINT VENTURES AND CONTRACTUAL ARRANGEMENTS—Cont'd
Suspect contractual joint venture, indicia of, **5:101**
Tax-exempt hospitals, **5:110, 5:111, 5:113**

KENTUCKY
Physician financial arrangements, restrictions on, **9:48**

KICKBACKS
Federal Anti-Kickback Law (this index)
Fraud alerts, office of inspector general's
home health fraud, special fraud alert on, **5:16**
nursing facilities, special fraud alert on provision of medical supplies to, **5:21**
Home health fraud, special fraud alert on, **5:16**
Hospices, nursing home arrangements with, fraud alert as to practices that constitute suspected kickbacks, **5:30**
Nursing facilities, special fraud alert on provision of medical supplies to, **5:21**

LAB SERVICES
Clinical Laboratory Improvement Amendments Act of 1988 (this index)
Department of Health and Human Services
clinical laboratory application, **App 20:2**
laboratory personnel report, **App 20:3**
Fraud alert, special fraud alert on arrangements for provision of clinical lab services
generally, **5:8**
managed care patients, waiver of charges to, **5:11**
phlebotomy services to physicians, provision of, **5:9**

LAB SERVICES—Cont'd
Fraud alert, special fraud alert on arrangements for provision of clinical lab services—Cont'd
renal dialysis centers, lab pricing at, **5:10**
waiver of charges to managed care patients, **5:11**
Managed care patients, waiver of charges to, **5:11**
Phlebotomy services to physicians, provision of, **5:9**
Physician Financial Arrangements, Restrictions on (this index)
Renal dialysis centers, lab pricing at, **5:10**
Waiver of charges to managed care patients, **5:11**

LEASES
Changes of ownership and new business organizations, leasing as transaction that constitutes change of ownership for purposes of Medicare, **8:7**
Independent diagnostic testing facility (IDTF), diagnostic testing equipment, **16:33**
Physician Financial Arrangements, Restrictions on (this index)
Special fraud alert, rental of physician office-space by those to whom physician refers, **5:69-5:76**

LETTERS
Alan E. Reider, letter dated Dec. 24, 1991 from Kathleen A. Buto, **App 12:3**
CMS survey and certification group letter, assignment of Medicare provider agreement during acquisitions of providers/suppliers, **App 8:3**
Kathleen A. Buto, letter dated Dec. 17, 1991 from Alan E. Reider, **App 12:2**
Thomas C. Fox, letter dated Sept. 22, 1988 from Kathleen A. Buto, **App 12:1**

LICENSURE, ACCREDITATION AND REGISTRATION
Clinical Laboratory Improvement Amendments Act of 1988, **20:57**
Managed care, **11:22**
State Regulation (this index)

LIMITED LIABILITY COMPANIES
Changes of ownership and new business organizations: reimbursement considerations, **8:6**

LONG-TERM CARE FACILITIES
Continuing care retirement communities (CCRCs), **16:9**
Intermediate care facilities for the intellectual disabilities (ICFs/MR), **16:10**
Nursing facilities (NFs), **16:8**
Skilled nursing facilities (SNFs), **16:8**
"Specialty hospitals," **16:7**

LONG-TERM CARE HOSPITALS
Generally, **16:5**
Change of ownership, **8:9**

LTC-DRG RELATIVE WEIGHTS
Medicare and medicaid, **17:78**

LTCHs
Medicare and medicaid, **17:74**

MAINTENANCE OF CERTIFICATION PROGRAM
Certification programs, use of, **App. 20:12**

MALPRACTICE
National practitioner data bank, reports, **20:89**
Safe harbor regulations, insurance subsidies, **6:10**

MANAGED CARE
Generally, **11:1 et seq.**
Accountable care organizations, **11:39**
Accreditation, **11:22**
Antitrust concerns, **11:30**
"Any willing provider" laws generally, **11:36**

MANAGED CARE—Cont'd
''Any willing provider'' laws —Cont'd
out-of-network litigation, **11:38**
state developments, **11:37**
Bundled payments for care improvement initiative, **11:40**
Compensation of providers
generally, **11:23**
narrow networks, **11:25**
new sites for service, **11:26**
payments to providers, regulation, **11:24**
tiered networks, **11:25**
Corporate practice of medicine, MCO's exception to prohibition doctrine, **10:19**
Elements of, **11:17**
Employer-based and union-based managed care plans
generally, **11:27**
ERISA preemption, **11:28**
liability of employer-based plan, **11:29**
Fraud alerts, Office of Inspector General's, arrangements for provision of clinical lab services as to waiver of charges for managed care patients, **5:11**
Health care reform: recent and pending legislation, **21:31**
Health maintenance organizations (HMOs)
bankruptcy, **15:6**
group-practice model HMO, **11:5**
IPA model HMO, **11:7**
network model HMO, **11:6**
regulation of HMOs
federal regulation, **11:19**
state regulation, **11:18**
staff model HMO, **11:4**
types
generally, **11:3**
group-practice model HMO, **11:5**
IPA model HMO, **11:7**
network model HMO, **11:6**
staff model HMO, **11:4**
Insurance owned PPOs, **11:10**

MANAGED CARE—Cont'd
Managed care organizations (MCOs), corporate practice of medicine, MCOs exception to prohibition doctrine, **10:19**
Medicare and Medicaid coverage of managed care
fraud and abuse, managed care, **11:35**
Medicaid managed care, **11:33, 18:13**
Medicare managed care, **11:32**
State Children's Health Insurance Program, **11:34**
Narrow and tiered networks, compensation of providers, **11:25**
Out-of-network litigation, ''any willing provider'' laws, **11:38**
Physician hospital organizations, **11:15**
POSPs, **11:14, 11:21**
Preferred provider organizations (PPOs)
broker owned PPOs, **11:12**
employer owned PPOs, **11:11**
HMO owned PPOs, **11:13**
independent investor owned PPOs, **11:12**
insurance owned PPOs, **11:10**
provider owned PPOs, **11:9**
regulation, **11:20**
TPA owned PPOs, **11:13**
types
generally, **11:8**
broker owned PPOs, **11:12**
employer owned PPOs, **11:11**
HMO owned PPOs, **11:13**
independent investor owned PPOs, **11:12**
insurance owned PPOs, **11:10**
provider owned PPOs, **11:9**
TPA owned PPOs, **11:13**
Reform. Health Care Reform: Recent and Pending Legislation (this index)
Regulation of managed care programs
accreditation, **11:22**

MANAGED CARE—Cont'd
Regulation of managed care programs—Cont'd
POSPs, **11:21**
PPOs, **11:20**
Regulation of provider payments, **11:24**
State Children's Health Insurance Program, **11:34**
State health care reform initiatives, **21:31**
Tiered networks, compensation of providers, **11:25**
Types of managed care organizations
generally, **11:2**
health maintenance organizations (HMOs), above
integrated delivery system, **11:16**
physician hospital organizations, **11:15**
point of service plans, **11:14**
preferred provider organizations (PPOs), above
Union-based managed care plans. Employer-based and union-based managed care plans, above
Utilization review concerns, **11:31**

MANAGEMENT CONTRACTS
Changes of ownership and new business organizations: reimbursement considerations, **8:34**
Corporate practice of medicine, exception to prohibition doctrine for management service contracts, **10:20**
Safe harbor regulations, **6:11**
"Shell" analysis checklist, **App 5:2**

MARKETING
Health Insurance Portability and Accountability Act (HIPAA), protected health information used for marketing, **3:26**

MARKUPS
Medicare anti-markup rule, **9:7**

MARYLAND
Health care reform: pending legislation, **21:35**

MARYLAND—Cont'd
Physician financial arrangements, restrictions on, **9:49**

MASSACHUSETTS
Corporate practice of medicine, **10:9**
Health care reform: pending legislation, **21:37**

MATERIAL CHANGE
Final order
transaction of fc compassus, LLC, providence health services, **App 2:4**

MEDICAID
Medicare and Medicaid (this index)

MEDICAL DEVICES
Device user facility reporting. Safe Medical Devices Act of 1990 (SMDA), below
Joint ventures and contractual arrangements, physician investment in medical device manufacturers/distributors, special fraud alert, **5:5**
Manufacturers, **16:38**
Prosthetics and prosthetic devices, Medicare program, **17:42**
Reporting. Safe Medical Devices Act of 1990 (SMDA), below
Safe Medical Devices Act of 1990 (SMDA)
generally, **20:111**
annual reports, **20:122**
deaths, reporting, **20:118**
decisionmaking on submitting reports, **20:121**
device, definition, **20:115**
device user facility, definition, **20:114**
device user facility reporting
generally, **20:113**
annual reports, **20:122**
deaths, reporting, **20:118**
decisionmaking on submitting reports, **20:121**
device, definition, **20:115**
device user facility, definition, **20:114**

MEDICAL DEVICES—Cont'd
Safe Medical Devices Act of 1990 (SMDA)—Cont'd
device user facility reporting—Cont'd
enforcement, **20:125**
limits on disclosure and admissibility, **20:124**
record retention, **20:123**
requirements, reporting, **20:117-20:119**
serious injuries
definition, **20:116**
recordkeeping requirements, **20:120**
reporting, **20:119**
enforcement, **20:125**
limits on disclosure and admissibility, **20:124**
record retention, **20:123**
reporting. Device user facility reporting, above
requirements, reporting, **20:117-20:119**
serious injuries. Device user facility reporting, above

MEDICAL EQUIPMENT AND SUPPLIES
Devices. Medical Devices (this index)
Durable Medical Equipment (this index)
Fraud alerts, Office of Inspector General's
medically unnecessary items, special fraud alert on provision of medical supplies to nursing facilities as to claims for items that are not medically necessary, **5:19**
telemarketing by medical equipment suppliers (OIG special advisory bulletin), **App 5:17**
telemarketing by medical equipment suppliers (OIG Special Advisory Bulletin), **5:91**
Fraud alerts, Office of Inspector General's
certifications in provision of medical equipment and supplies

MEDICAL EQUIPMENT AND SUPPLIES—Cont'd
Fraud alerts, Office of Inspector General's—Cont'd
and home health services, special fraud alert on physician liability for. Physicians (this index)
Physicians (this index)
Independent diagnostic testing facility (IDTF), lease/contract of diagnostic testing equipment, **16:33**
Medical Devices (this index)
Physician financial arrangements, restrictions on, **9:21**
Telemarketing by durable medical equipment suppliers (OIG Special Advisory Bulletin), **5:91, App 5:17**
Work plan, Office of Inspector General's, **7:18**

MEDICARE AND MEDICAID
Accounts Receivable Financing (this index)
Adjustment of CMGs, **17:68**
Adjustments to payment systems, **17:83-17:85**
Admission and discharge assessments, **17:62**
Admissions
Medicaid program, below
payment system adjustments, admission threshold, **17:83**
Affordable Care Act, revisions to Medicare in, **17:23**
Ambulance services, Medicare program, **17:46**
Ambulatory surgical center services, **17:91**
Annual revisions, **17:22**
Antidiscrimination statutes, Medicaid program, **18:33**
Assistance programs, Medicare Part D—OIG guidance, **5:105**
Bankruptcy, bankrupt providers' ability to continue to participate, **15:3**

MEDICARE AND MEDICAID —Cont'd
BBA amendments, summary of Medicare payments after. Medicare program, below
Business organizations. Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)
Calculation of proposed federal payment rate, **17:86**
Case mix group (CMGs) patient classification system, **17:67, 17:68**
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)
Clinical lab services, Medicaid program service-specific payment standards for physician billing for clinical lab services, **18:11**
CMS survey and certification group letter, reimbursement considerations, changes of ownership and new business organizations, **App 8:3**
Coding procedures, **17:76**
Co-located discharges and readmittances, **17:81**
Co-located facilities, **17:82**
Common ownership, Medicare program related organization rule, **17:29**
Contributions. Medicaid program, below
Diagnostic-related groups (DRGs). Medicare program, below
Disclosure of Ownership (this index)
Drugs
Medicaid program, below
Durable medical equipment, Medicare program, **17:41**
Encoding and transmission, **17:64**
Federal Food, Drug and Cosmetic Act (FFDCA), effect of FDA approval on coverage, **20:86**
Final rule, **17:58-17:71**
Health Care Reform: Recent and Pending Legislation (this index)

MEDICARE AND MEDICAID —Cont'd
Home health agencies
Medicare program prospective-payment system, **17:53**
prospective payment system, **17:57**
Hospices, Medicaid service-specific payment standards, **18:9**
Immigrants, reimbursement for undocumented, **17:13**
Industry fees for drug importers and manufacturers, **18:22**
Institutional services, Medicaid service-specific payment standards, **18:7**
Interrupted stays, **17:63, 17:80**
IRF classification, **17:59**
IRF payment, **17:60-17:67**
Laboratory services, Medicare program, **17:40**
LTC-DRG relative weights, **17:78**
LTCHs, **17:74**
Managed Care (this index)
Medicaid program
generally, **18:1 et seq.**
admissions. Regulated admissions and rate equalization, below
antidiscrimination statutes, **18:33**
clinical lab services, service-specific payment standards for physician billing for clinical lab services, **18:11**
contributions. Provider contributions and taxes, below
coverage of services, generally, **18:4**
drugs
payment standards, **18:10**
sanctions, Medicaid drug reimbursement reports, **7:23**
special limits on prescription drugs, below
eligibility, **18:3**
hospices, service-specific payment standards, **18:9**
institutional services, service-specific payment standards, **18:7**

MEDICARE AND MEDICAID —Cont'd
Medicaid program—Cont'd
managed care plans, service-specific payment standards for payments to, **18:13**
Medicare participation requirements, **18:32**
minimum occupancy requirements, **18:34**
noninstitutional services, service-specific payment standards. Reimbursement, below
outpatient hospital and clinical services, service-specific payment standards, **18:11**
payment standards, general, **18:6**
pharmacy reimbursement, **18:21**
physicians
clinical lab services, service-specific payment standards for billing for, **18:10**
service-specific payment standards, **18:12**
prescription drugs
special limits on prescription drugs, below in this group
provider contributions and taxes
generally, **18:23**
fees, state, **18:30**
hospital donation programs, state, **18:28**
licenses, state, **18:30**
states with provider donation, contribution or tax programs, **18:27-18:30**
taxes on provider costs or revenues, state, **18:29**
voluntary contribution and provider-specific tax amendments of 1991, **18:24-18:26**
rate equalization. Regulated admissions and rate equalization, below
rebates for managed care organization utilization, **18:20**
regulated admissions and rate equalization
generally, **18:31**

MEDICARE AND MEDICAID —Cont'd
Medicaid program—Cont'd
regulated admissions and rate equalization—Cont'd
antidiscrimination statutes, **18:33**
Medicare participation requirements, **18:32**
minimum occupancy requirements, **18:34**
reimbursement
generally, **18:5**
clinical lab services, service-specific payment standards for physician billing for clinical lab services, **18:11**
drugs, service-specific payment standards, **18:10**
hospices, service-specific payment standards, **18:9**
institutional services, service-specific payment standards, **18:7**
managed care plans, service-specific payment standards for payments to, **18:13**
noninstitutional services, service-specific payment standards
generally, **18:8**
clinical lab services, physician billing for clinical lab services, **18:11**
drugs, **18:10**
hospices, **18:9**
managed care plans, payments to, **18:13**
outpatient hospital and clinical services, **18:11**
physician billing for clinical lab services, **18:10**
physicians, **18:12**
outpatient hospital and clinical services, service-specific payment standards, **18:11**
payment standards, general, **18:6**
physician billing for clinical lab services, service-specific

MEDICARE AND MEDICAID —Cont'd
Medicaid program—Cont'd
reimbursement—Cont'd
payment standards, **18:10**
physicians, service-specific payment standards, **18:12**
sanctions, Medicaid drug reimbursement reports, **7:23**
service-specific payment standards
institutional services, **18:7**
noninstitutional services, service-specific payment standards, above
sanctions, Medicaid drug reimbursement reports, **7:23**
service-specific payment standards. Reimbursement, above
special limits on prescription drugs
generally, **18:14**
authorized generic drugs, rebate amounts, **18:16**
brand-name drugs, rebate amounts, **18:16**
expanded drug coverage, **18:19**
generic drugs, rebate amounts, **18:17**
mechanics of rebate payments, **18:18**
nongeneric drugs, rebate amounts, **18:16**
rebate amounts
generally, **18:15**
authorized generic drugs, **18:16**
brand-name drugs, **18:16**
generic drugs, **18:17**
mechanics of rebate payment, **18:18**
taxes. Provider contributions and taxes, above
Medicare
Advantage, Office of Inspector General's, **7:22**
Part D
sanctions, OIG's work plan, **7:19**

MEDICARE AND MEDICAID —Cont'd
Medicare—Cont'd
program
contractor practices, **7:21**
Medicare Advantage, Office of Inspector General's, **7:22**
Medicare Part D
sanctions, Office of Inspector General's work plan, **7:19**
Medicare Access and CHIP Reauthorization Act (MACRA) reforms
alternative payment models, **17:36**
health care reform: recent and pending legislation, **21:24**
merit-based incentive payment system, **17:35**
Medicare program
generally, **17:1 et seq.**
adjustment of CMGs, **17:68**
admission and discharge assessments, **17:62**
admission threshold, payment system adjustments, **17:83**
Affordable Care Act, revisions in, **17:23**
alternative payment models, MACRA reforms, **17:36**
ambulance services, **17:46**
annual revisions, **17:22**
BBA amendments, summary of Medicare payments after. Skilled nursing services, prospective payment system, below
calculation of proposed federal payment rate, **17:86**
case mix group (CMGs) patient classification system, **17:67, 17:68**
coding procedures, **17:76**
co-located discharges and readmittances, **17:81**
co-located facilities, **17:82**
common ownership, related organization rule, **17:29**

MEDICARE AND MEDICAID —Cont'd
Medicare program—Cont'd
conditions of coverage, IRF payment, **17:60**
coverage, **17:3**
diagnostic-related groups (DRGs). Prospective payment systems (PPSs) for inpatient and outpatient hospital services, below
durable medical equipment, **17:41**
eligibility, **17:2**
encoding and transmission, **17:64**
final rule, **17:58-17:71**
home health agencies, prospective payment system, **17:53**
immigrants, reimbursement for undocumented, **17:13**
inpatient hospital provisions in Affordable Care Act, **17:20**
interrupted stay cases, **17:80**
interrupted stays, **17:63**
IRF classification, **17:59**
IRF payment, **17:60-17:67**
laboratory services, **17:40**
LTC-DRG relative weights, **17:78**
LTCHs, **17:74**
market basket adjustments, **17:90**
Medicare Access and CHIP Reauthorization Act (MACRA) reforms
alternative payment models, **17:36**
health care reform: recent and pending legislation, **21:24**
merit-based incentive payment system, **17:35**
Medicare Part D
patient assistance programs, OIG guidance, **5:105**
merit-based incentive payment system, MACRA reform, **17:35**
moratorium on new facilities and beds, **17:87**
new facilities, **17:87**
new market basket and other adjustments, payment system

MEDICARE AND MEDICAID —Cont'd
Medicare program—Cont'd
adjustments, **17:85**
non-co-located admissions, **17:83**
noninstitutional services
generally, **17:39 et seq.**
ambulance services, **17:46**
durable medical equipment, **17:41**
laboratory services, **17:40**
orthotics, **17:42**
prosthetics and prosthetic devices, **17:42**
radiology services, **17:44**
rehabilitation services, **17:45**
renal dialysis services, **17:43**
orthotics, **17:42**
Outpatient provisions in Affordable Care Act, **17:23**
patient assessment, **17:61**
patient classification, **17:75**
patient's rights, **17:66**
payment provisions, **17:77-17:87**
payment rates, **17:69-17:71**
payment system adjustments, **17:83-17:85**
penalties, **17:65**
physicians' payments, resource-based relative value scale (RBRVS)
generally, **17:32 et seq.**
balance billing, restrictions on, **17:37**
methodology and annual updates, **17:33**
private contracts between beneficiaries and physicians/practitioners, **17:38**
quality and value, linking reimbursement to, **17:34**
productivity adjustment, **17:89**
prospective payment systems (PPSs) for inpatient and outpatient hospital services
generally, **17:5, 17:6**
additional payments made under hospital PPS system
generally, **17:10**

MEDICARE AND MEDICAID —Cont'd
Medicare program—Cont'd
prospective payment systems (PPSs) for inpatient and outpatient hospital services —Cont'd
additional payments made under hospital PPS system —Cont'd
blood clotting factor costs, **17:17**
direct graduate medical education, **17:15**
disproportionate share hospitals, **17:11**
end-stage renal disease (ESRD) discharges, **17:16**
excluded (pass-through) costs, **17:18**
immigrants, reimbursement for undocumented, **17:13**
indirect medical education, **17:12**
inpatient hospital capital related costs, **17:13**
outliner cases, **17:14**
reimbursement and quality, linkage between, **17:19**
reimbursement for undocumented immigrants, **17:13**
conclusion, **17:24**
diagnostic-related groups (DRGs)
classification and calculation of DRGs/MS-DRGs, **17:7**
revision to DRG classification scheme, **17:9**
outpatient hospital services, **17:21**
prospective payment systems (PPSs) for inpatient rehabilitation facilities
generally, **17:58-17:71**
adjustment of CMGs, **17:68**
admission and discharge assessments, **17:62**

MEDICARE AND MEDICAID —Cont'd
Medicare program—Cont'd
prospective payment systems (PPSs) for inpatient rehabilitation facilities—Cont'd
case mix group (CMGs) patient classification system, **17:67, 17:68**
encoding and transmission, **17:64**
final rule, **17:58-17:71**
inpatient hospital provisions in Affordable Care Act, **17:20**
interrupted stays, **17:63**
IRF classification, **17:59**
IRF payment, **17:60-17:67**
patient assessment, **17:61**
patient's rights, **17:66**
payment rates, **17:69-17:71**
penalties, **17:65**
quality reporting program, **17:72**
requirements and conditions, **17:58**
special non-transfer cases, **17:71**
transfer cases, **17:70**
prospective payment systems (PPSs) for long term care hospitals
generally, **17:73-17:90**
admission threshold, payment system adjustments, **17:83**
background, **17:73**
calculation of proposed federal payment rate, **17:86**
coding procedures, **17:76**
co-located discharges and readmittances, **17:81**
co-located facilities, **17:82**
interrupted stay cases, **17:80**
LTC-DRG relative weights, **17:78**
LTCHs, **17:74**
new facilities, **17:87**
new market basket and other adjustments, payment system adjustments, **17:85**
non-co-located admissions, **17:83**

MEDICARE AND MEDICAID —Cont'd
Medicare program—Cont'd
prospective payment systems (PPSs) for long term care hospitals—Cont'd
patient classification, **17:75**
payment provisions, **17:77-17:87**
payment system adjustments, **17:83-17:85**
short stay outliers, **17:79**
site neutral payments, **17:84**
temporary moratorium on new facilities and beds, **17:87**
prosthetics and prosthetic devices, **17:42**
quality data, **17:88**
quality reporting program, **17:72**
radiology services, **17:44**
reasonable cost reimbursement
generally, **17:25**
payment methodology, **17:27**
reasonable costs, **17:26**
rehabilitation services, **17:45**
reimbursement, **17:4**
immigrants, undocumented, **17:13**
prospective payment systems (PPSs) for inpatient and outpatient hospital services, **17:8, 17:13**
reasonable cost reimbursement, above
related organization rule
generally, **17:28**
common ownership, **17:29**
control, **17:30**
exception to rule, **17:31**
renal dialysis services, **17:43**
requirements and conditions, **17:58**
resident classification system, proposed, **17:56**
resource-based relative value scale (RBRVS). Physicians' payments, resource-based relative value scale (RBRVS), above
RUG. Skilled nursing services, prospective payment system, below in this group
site neutral payments, **17:84**
skilled nursing services, prospective payment system
generally, **17:47 et seq.**
BBA amendments, summary of Medicare payments after
generally, **17:49**
Resource Utilization Group, Version III (''RUG-III''), below
consolidated billing, **17:55**
federal payment rates, **17:53, 17:54**
Pre-BBA amendments, summary of Medicare payments, **17:48**
Resource Utilization Group, Version III (''RUG-III'')
generally, **17:50**
MDS assessments, **17:52**
RUG assignment, **17:51**
RUG. Resource Utilization Group, Version III (''RUG-III''), above in this group
special non-transfer cases, **17:71**
temporary moratorium on new facilities and beds, **17:87**
transfer cases, **17:70**
Medicare program
Medicare Part B (this index)
Sanctions (this index)
Minimum occupancy requirements, Medicaid program, **18:34**
Moratorium on new facilities and beds, **17:87**
New facilities, **17:87**
New market basket and other adjustments, payment system adjustments, **17:85**
Noninstitutional services, service-specific payment standards. Medicaid program, above
Organizations. Changes of Ownership and New Business Organizations: Reimbursement

MEDICARE AND MEDICAID —Cont'd
Considerations (this index)
Orthotics, Medicare program, **17:42**
Outpatient hospital and clinical services, Medicaid service-specific payment standards, **18:11**
Patient assessment, **17:61**
Patient assistance programs, Medicare Part D—OIG guidance, **5:105**
Patient classification, **17:75**
Patient's rights, **17:66**
Payment provisions, **17:77-17:87**
Payment rates, **17:69-17:71**
Payment system adjustments, **17:83-17:85**
Penalties, **17:65**
Physicians
Medicaid program, above
payments. Physicians' payments, resource-based relative value scale (RBRVS). Medicare program, above
Prescription drug coverage
Medicaid. Medicaid program, above
Prospective payment systems (PPSs) for inpatient and outpatient hospital services. Medicare program, above
Prosthetics and prosthetic devices, Medicare program, **17:42**
Provider contributions and taxes. Medicaid program, above
Quality reporting program, inpatient rehabilitation facilities, **17:72**
Reasonable cost reimbursement. Medicare program, above
Regulated admissions and rate equalization. Medicaid program, below
Rehabilitation services, Medicare program, **17:45**
Reimbursement
Medicaid program, above
Medicare program, above
Reimbursement
Changes of Ownership and New Business Organizations:

MEDICARE AND MEDICAID —Cont'd
Reimbursement—Cont'd
Reimbursement Considerations (this index)
Related organization rule. Medicare program, above
Renal dialysis services, Medicare program, **17:43**
Requirements and conditions, **17:58**
Resident classification system, proposed, **17:56**
Resource-based relative value scale (RBRVS). Medicare program, above
Resource Utilization Group, Version III (''RUG-III''). Medicare program, above
RUG. Medicare program, above
Sanctions (this index)
Short stay outliers, **17:79**
Site neutral payments, payment system adjustments, **17:84**
Skilled nursing services, prospective payment system. Medicare program, above
Special non-transfer cases, **17:71**
Taxes. Medicaid program, above
Transfer cases, **17:70**

MEDICARE ANTI-MARKUP RULE
Physician financial arrangements, restrictions on, **9:7**

MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT OF 2008 (MIPPA)
Generally, **16:35**

MEDICARE PART B
Disclosure of ownership, financial, or control interests under, **3:12**
Payment for Part B drugs, **17:92**

MEDICARE PART D
Patient assistance programs, OIG guidance, **5:106**
Payment for Part D drugs, **17:93**

MEDICARE PROVIDER NUMBER
Sale of during bankruptcy, successor liability, **15:9**

MENTAL HEALTH BENEFITS
State health care reform initiatives, **21:32**

MERGERS
Antitrust Laws (this index)

MICHIGAN
Physician financial arrangements, restrictions on, **9:50**

MIDDLE CLASS TAX RELIEF AND JOB CREATION ACT
Health care reform: recent and pending legislation, **21:20**

MOBILE HEALTH APP DEVELOPERS
FTC best practices, **App 3:3**

MODEL TRUST AGREEMENT
Public Health Service Act, Section 609, **App 20:4**

"MOST-FAVORED NATIONS" CLAUSES
Antitrust laws, enforcement developments, **20:41**

MULTIPROVIDER NETWORKS
Antitrust Laws (this index)

MULTI-PURPOSE EXCEPTIONS
Physician Financial Arrangements, Restrictions on (this index)

MULTI-STATE ENTITIES
Independent diagnostic testing facility (IDTF), **16:32**

MUTUAL FUNDS
Physician financial arrangements, restrictions on, **9:15**

NATIONAL PRACTITIONER DATA BANK
Generally, **20:87 et seq.**
Adverse actions, reports, **20:18, 20:90**
Confidentiality of reported information, **20:94**

NATIONAL PRACTITIONER DATA BANK—Cont'd
Failure to report, sanctions, **20:92**
Health Care Quality Improvement Act of 1986, establishment of National Practitioner Data Bank, **20:87 et seq.**
Hospitals, duty to obtain information, **20:93**
Information to be reported. Reports, below
Malpractice payments, reports, **20:89**
Medicare and Medicaid, exclusion from programs, **20:91**
Practical considerations, **20:95**
Reports
adverse actions, **20:18, 20:90**
confidentiality of reported information, **20:94**
failure to report, sanctions, **20:92**
information to be reported
adverse actions, **20:18, 20:90**
malpractice payments, **20:89**
Medicare and Medicaid, exclusion from programs, **20:91**
malpractice payments, **20:89**
Medicare and Medicaid, exclusion from programs, **20:91**
sanctions, failure to report, **20:92**
when and where to report, **20:88**
Sanctions, failure to report, **20:92**

NEW FACILITIES
Medicare and medicaid, **17:87**

NEW HAMPSHIRE
Physician financial arrangements, restrictions on, **9:51**

NEW JERSEY
Corporate practice of medicine, **10:11**
Physician financial arrangements, restrictions on, **9:52**

NEW YORK
Corporate practice of medicine, **10:10**

NON-PHYSICIAN PERSONNEL
Independent diagnostic testing facility (IDTF), **16:30, 16:33**

NONPROFIT CORPORATIONS
Change of ownership, Medicare, **8:5**

NURSING FACILITIES (NFs)
Anti-fraud, abuse and waste initiatives, nursing home reviews, **7:15**
Change of ownership, **8:10**
Enhanced disclosure under Patient Protection and Affordable Care Act, **3:3**
Fraud alerts, office of inspector general's
services, special fraud alert on provision of services in nursing facilities
generally, **5:33, App 5:9**
claims, false or fraudulent, **5:34**
what to look for, **5:35**
supplies, special fraud alert on provision of medical supplies to
generally, **5:18**
double-billed items, claims for items that are double billed, **5:20**
kickbacks, **5:21**
medically unnecessary items, claims for items that are not medically necessary, **5:19**
other fraudulent practices, **5:22**
transactions, nursing facility supply transactions, **5:23**
unnecessary items, claims for items that are not medically necessary, **5:19**
unprovided items, claims for items that are not provided as claimed, **5:20**
Long-term care facilities, **16:8**
Patient Protection and Affordable Care Act, enhanced disclosure under, **3:3**

NURSING HOMES
Nursing Facilities (NFs) (this index)

OBAMA ADMINISTRATION HEALTH REFORM
Health Care Reform: Recent and Pending Legislation (this index)

OBSTETRICAL MALPRACTICE
Safe harbor regulations, insurance subsidies, **6:10**

OFFICE OF INSPECTOR GENERAL (OIG)
Fraud Alerts, Office of Inspector General's (this index)
Hospital discounts, guidance, **5:104**
Medicare Part D, OIG guidance on patient assistance programs, **5:105**
Sanctions (this index)
Special advisory bulletins. Fraud Alerts, Office of Inspector General's (this index)
Work plan. Sanctions (this index)

OHIO
Physician financial arrangements, restrictions on, **9:53**

OIG SPECIAL ADVISORY BULLETINS
Fraud Alerts, Office of Inspector General's (this index)

OMBUDSMAN
Distressed providers, bankruptcy and creditors' rights, appointment of patient care ombudsman, **15:12**

ORDER REQUIREMENTS
Independent diagnostic testing facility (IDTF), **16:31**

OREGON
Health care reform: pending legislation, **21:36**

ORTHOTICS
Medicare program, **17:42**

OUT-OF-NETWORK LITIGATION
Managed care, ''any willing provider'' laws, **11:38**

OUTPATIENT AND REHABILITATION FACILITIES
Generally, **16:11 et seq.**
Ambulatory surgical centers (ASCs), **16:12**

OUTPATIENT AND REHABILITATION FACILITIES—Cont'd
Comprehensive outpatient rehabilitation facilities (CORFs), **16:13**
End-stage renal disease (ESRD) facilities, **16:14**
Medicaid service-specific payment standards, **18:11**
Medicare Part B, **17:92**
Medicare Part D, **17:93**
Rehabilitation agencies, **16:15**

OVERPAYMENTS
Bankruptcy, effect of bankruptcy on intermediaries' power to recover overpayments, **15:4**
Statute of limitations on collecting overpayments from providers, **8:21**

OWNERSHIP
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)
Disclosure of Ownership (this index)
Physician Financial Arrangements, Restrictions on (this index)

PANDEMIC
Effect on antitrust enforcement, **20:43**
Public health emergency transition, **20:5**

PARTNERSHIPS
Changes of ownership, transactions that constitute change of ownership for purposes of Medicare, **8:2**

PATHOLOGY LABORATORY
Physician Financial Arrangements, Restrictions on (this index)

PATIENT ASSESSMENT
Medicare and medicaid, **17:61**

PATIENT ASSISTANCE PROGRAMS
Medicare Part D, OIG guidance, **5:105**

PATIENT CLASSIFICATION
Medicare and medicaid, **17:75**

PATIENT DUMPING
Federal Patient Anti-Dumping Statute (this index)

PATIENT RIGHTS
Disclosure of ownership, **3:30**
Medicare and medicaid, **17:66**
Privacy rights under Health Insurance Portability and Accountability Act, **3:30, 3:33**

PAYMENT
Arrangements. Federal Anti-Kickback Law (this index)
Medicare and medicaid
admission threshold, system adjustments, **17:83**
Medicare market basket adjustments, **17:90**
Medicare productivity adjustment, **17:89**
new market basket and other adjustments, **17:85**
non-co-located admissions, **17:83**
provisions, **17:77-17:87**
quality data, **17:88**
rates, **17:69-17:71**
system adjustments, **17:83-17:85**
Site-neutral payment policy for certain newly-acquired, provider-based, off-campus hospital outpatient departments, **8:25**

PENALTIES
See also Sanctions (this index)
Emergency Medical Treatment and Active Labor Act (EMTALA), patient dumping, **5:66, 20:69**
Health Insurance Portability and Accountability Act, penalties for improper disclosure, **3:31**
Medicare and medicaid, **17:65**
Physician financial arrangements, restrictions on, **9:3**
Sanctions (this index)

PENNSYLVANIA
Corporate practice of medicine, **10:13**

PERFORMANCE STANDARDS
Independent diagnostic testing facility (IDTF), **16:26**

PERSONAL SERVICES
Physician Financial Arrangements, Restrictions on (this index)
Safe harbor regulations, **6:11**

PHARMACIES
Health care providers, pharmacies as, **16:39**

PHYSICAL THERAPY
Rehabilitation (this index)

PHYSICIAN CERTIFICATION REQUIREMENTS
Hospices, relaxation of physician certification requirements, **5:28**

PHYSICIAN FINANCIAL ARRANGEMENTS, RESTRICTIONS ON
Generally, **9:1 et seq.**
Arizona state law, **9:42, App 9:1**
Arrangement, joint ventures/services furnished under
application of exception, **9:40**
factual example, **9:39**
Assistance to compensate a nonphysician practitioner, **9:25**
Basic standard, overview, **9:2**
Billing prohibitions, generally, **9:2**
Business models/transactions
DHS physician group space lease
application of exception, **9:34**
factual example, **9:33**
DHS physician personal services arrangement
application of exception, **9:36**
factual example, **9:35**
joint ventures/services furnished ''under arrangement''
application of exception, **9:40**
factual example, **9:39**

PHYSICIAN FINANCIAL ARRANGEMENTS, RESTRICTIONS ON—Cont'd
Business models/transactions —Cont'd
physician group and pathology laboratory
application of exception, **9:38**
factual example, **9:37**
California state law, **9:43**
Civil money penalties, **9:3**
Compensation arrangement exceptions
generally, **9:19**
equipment rental, **9:21**
fair market value, **9:24**
indirect compensation arrangements, **9:27**
isolated transactions, **9:23**
office space rental, **9:20**
overview of Stark Law, **9:19**
personal service arrangements, **9:22**
rental of office space, **9:20**
Definitions
DHS, **9:6**
entity/furnishing, **9:8**
immediate family member, **9:4**
Medicare Anti-Markup Rule, **9:7**
physician, **9:4**
referral, **9:5**
DHS (designated health services)
definition, **9:6**
lease of space by DHS physician group, **9:33, 9:34**
personal services arrangement with DHS physician, **9:35, 9:36**
DHS physician group space lease
application of exception, **9:34**
factual example, **9:33**
DHS physician personal services arrangement
application of exception, **9:36**
factual example, **9:35**
Dual prohibitions, overview, **9:2**
Entity/furnishing, definition, **9:8**
Equipment rental, exceptions applicable to compensation

PHYSICIAN FINANCIAL ARRANGEMENTS, RESTRICTIONS ON—Cont'd
arrangements, **9:21**
Exceptions
compensation arrangements, **9:14-9:29**
multi-purpose exceptions, **9:28-9:30**
mutual funds, **9:15**
ownership, **9:14**
publicly traded securities, **9:15**
Puerto Rico, hospitals located in, **9:16**
rural providers, **9:17**
temporary non-compliance, **9:31**
whole hospital exception, **9:18**
Fair market value, exceptions applicable to compensation arrangements, **9:24**
Financial relationships
compensation arrangements
direct/stand in shoes, **9:12**
indirect, **9:13**
direct compensation arrangements/stand in shoes, **9:12**
direct ownership or investment interests, **9:10**
indirect compensation arrangements, **9:13**
indirect ownership or investment interests, **9:11**
investment interests
direct, **9:10**
indirect, **9:11**
overview of Stark Law, **9:9**
ownership interests
direct, **9:10**
indirect, **9:11**
Florida state law, **9:44-9:47**
Gainsharing (this index)
Group practices
DHS physician group space lease, above
pathology laboratory and physician group, below
Hospitals located in Puerto Rico, exception, **9:16**

PHYSICIAN FINANCIAL ARRANGEMENTS, RESTRICTIONS ON—Cont'd
Immediate family member, definition, **9:4**
Indirect compensation arrangements, exceptions, **9:27**
In-office ancillary services, multi-purpose exceptions, **9:30**
Investment interests
direct, **9:10**
indirect, **9:11**
Isolated transactions, exceptions applicable to compensation arrangements, **9:23**
Joint ventures/services furnished "under arrangement"
application of exception, **9:40**
factual example, **9:39**
Kentucky state law, **9:48**
Lease of space
compensation arrangement exceptions, office space rental, **9:20**
DHS physician group
application of exception, **9:34**
factual example, **9:33**
office space rental, compensation arrangement exceptions, **9:20**
Maryland state law, **9:49**
Medicare Anti-Markup Rule, **9:7**
Memorandum from Claudia Foutz, Arizona Board of Medical Examiners, (March 2006), **App 9:1**
Michigan state law, **9:50**
Multi-purpose exceptions
in-office ancillary services, **9:30**
overview of Stark Law, **9:28**
physician services, **9:29**
Mutual funds, exceptions, **9:15**
New Hampshire state law, **9:51**
New Jersey state law, **9:52**
Nonpayment, enforcement by, **9:3**
Office space rental, compensation arrangement exceptions, **9:20**
Ohio state law, **9:53**
Overview of Stark Law
compensation arrangement exceptions, **9:19-9:25**

PHYSICIAN FINANCIAL ARRANGEMENTS, RESTRICTIONS ON—Cont'd
Overview of Stark Law—Cont'd
definitions, **9:4-9:7**
exceptions, generally, **9:14-9:29**
financial relationships, **9:9-9:13**
multi-purpose exceptions, **9:28-9:30**
temporary non-compliance exception, **9:31**
Ownership interests
direct, **9:10**
exceptions, **9:14**
financial relationships, **9:10, 9:11**
indirect, **9:11**
Pathology laboratory and physician group
application of exception, **9:38**
factual example, **9:37**
Penalties and sanctions, **9:3**
Personal service arrangements
compensation arrangement exceptions, **9:22**
DHS physician
application of exception, **9:36**
factual example, **9:35**
multi-purpose exceptions, physician services, **9:29**
Physician, definition, **9:4**
Physician group and pathology laboratory
application of exception, **9:38**
factual example, **9:37**
Prohibitions, overview, **9:2**
Publicly traded securities, exceptions, **9:15**
Puerto Rico, exception for hospitals located in, **9:16**
Referral, definition, **9:5**
Rentals
equipment rental, compensation arrangement exceptions, **9:21**
lease of space, above
Rural providers, exception, **9:17**
Sanctions and penalties, **9:3**
Self-referral prohibitions, generally, **9:2**

PHYSICIAN FINANCIAL ARRANGEMENTS, RESTRICTIONS ON—Cont'd
Stark Law and regulations, generally, **9:2-9:38**
State law
generally, **9:41**
Arizona, **9:42**
California, **9:43**
Florida, **9:44-9:47**
Kentucky, **9:48**
Maryland, **9:49**
Michigan, **9:50**
New Hampshire, **9:51**
New Jersey, **9:52**
Ohio, **9:53**
Temporary non-compliance exception, **9:31**
Timeshare arrangements, **9:26**
Whole hospital exception, **9:18**

PHYSICIAN HOSPITAL ORGANIZATIONS
Managed care, **11:15**

PHYSICIANS
Certifications in provision of medical equipment and supplies and home health services, special fraud alert on physician liability for
generally, **5:36, App 5:11**
consequences of improper physician certification, **5:40**
DME, prosthetics, orthotics, and supplies for home use, physician certification for, **5:39**
home health services, physician certification for, **5:38**
proper physician certification procedures
generally, **5:37**
consequences of improper physician certification, **5:40**
DME, prosthetics, orthotics, and supplies for home use, physician certification, **5:39**
home health services, physician certification for, **5:38**

PHYSICIANS—Cont'd
Disclosure of physician financial relationships with entities furnishing Medicare covered designated health services, **3:15**
Federal anti-kickback law, risk to physicians, **4:8**
Federal Patient Anti-Dumping Statute (this index)
Financial arrangements. Physician Financial Arrangements, Restrictions On (this index)
Fraud alerts, office of inspector general's
certifications. Certifications in provision of medical equipment and supplies and home health services, special fraud alert on physician liability for, above
incentives. Incentives, below
rental of office space. Rental of physician office-space by those to whom physician refers, special fraud alert, below
Fraud alerts, office of inspector general's
Gainsharing (this index)
Incentives
hospital incentives, special fraud alert on hospital incentives to physicians, **5:6, App 5:4**
tax-exempt hospitals, IRS's audit guidelines for physician incentives, **5:109**
Medicare and Medicaid (this index)
Physician Financial Arrangements, Restrictions on (this index)
Physician network joint ventures. Antitrust Laws (this index)
Primary care providers, physicians as, **16:22**
Rental of physician office-space by those to whom physician refers, special fraud alert
generally, **5:67, App 5:15**
building common space, **5:75**
common space, **5:74, 5:75**
DEMPOS suppliers, application to, **5:69**

PHYSICIANS—Cont'd
Rental of physician office-space by those to whom physician refers, special fraud alert—Cont'd
exclusive office space, **5:73**
interior office common space, **5:74**
questionable arrangements
generally, **5:68**
DEMPOS suppliers, application to, **5:69**
rental agreement, appropriateness of, **5:69**
rental amounts, **5:70**
time and space considerations, **5:71**
rental agreement, appropriateness of, **5:69**
rental amounts
building common space, **5:75**
calculations of rental amounts, **5:72-5:75**
exclusive office space, **5:73**
interior office common space, **5:74**
questionable arrangements, **5:70**
safe harbor protection, **5:76**
Restrictions on financial arrangements. Physician Financial Arrangements, Restrictions On (this index)
Sanctions, Office of Inspector General's work plan, **7:17**
Supervising physicians. Independent Diagnostic Testing Facility (IDTF) (this index)

PRACTITIONERS
Safe Harbor Regulations (this index)

PREFERRED PROVIDER ORGANIZATIONS (PPOs)
Managed Care (this index)

PRESCRIPTION DRUG MARKETING ACT OF 1987 (PDMA)
Federal law affecting health care transactions, **20:110**

PRESCRIPTION DRUGS
Generally. Drugs (this index)

PRESCRIPTION DRUGS—Cont'd
Marketing, Prescription Drug Marketing Act of 1987 (PDMA), **20:110**
Medicaid. Medicare and Medicaid (this index)
Medicare and Medicaid (this index)

PRICE FIXING
Labor, **20:42**

PRICE TRANSPARENCY
Hospitals, reform inititative, **21:28**

PRIMARY CARE PROVIDERS
Generally, **16:21**
Physicians, **16:22**
Rural health clinics (RHC's), **16:23**

PRIVACY
Health Insurance Portability and Accountability Act (HIPAA) (this index)

PRIVATE-DUTY NURSING AGENCIES
Home care providers, **16:18**

PRIVATE ENFORCEMENT
Federal anti-kickback law, **4:5**

PROGRAM FRAUD CIVIL REMEDIES ACT
Sanctions, **7:6**

PROMISES AND GUARANTEES
Fraud alerts, office of inspector general's, **5:80**

PROSTHETICS AND PROSTHETIC DEVICES
Medicare program, **17:42**

PROTECTING ACCESS TO MEDICARE ACT
Health care reform: recent and pending legislation, **21:23**

PROVIDER-BASED STATUS
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)

PROVIDERS
Health Care Industry, Players And Payors (this index)

PUBLIC HEALTH SERVICE ACT
Model trust agreement, **App 20:4**

PUBLIC HEALTH SERVICES (PHS)
Third-party payor, **19:6**

PUBLICLY TRADED SECURITIES
Physician financial arrangements, restrictions on, **9:15**

PUBLIC POLICY
Health Insurance Portability and Accountability Act (HIPAA), public policy exceptions, **3:24**

PUERTO RICO
Physician financial arrangements, restrictions on, **9:16**

QUESTIONABLE PRACTICES
Fraud alerts, Office of Inspector General's, **5:78**

RADIOLOGY SERVICES
Medicare program, **17:44**

RECORDS
Access to Records (this index)
Bankruptcy and creditors' rights, storage of patient records where debtor is "health care business," **15:11**

REFERRALS
Physician Financial Arrangements, Restrictions On (this index)
Rental of physician office-space by those to whom physician refers, special fraud alert. Physicians (this index)

REFORM
Legislation. Health Care Reform: Recent and Pending Legislation (this index)
Studies affecting health care regulation and reform, **22:2**

REGULATION FD
Initial public offerings (IPOs), **13:25**

REGULATIONS, EXECUTIVE ORDERS, STUDIES, AND COVERAGE POLICIES
Generally, **22:1 et seq.**
Affordable Care Act, legislative developments, **22:4**
Agency for Healthcare Research and Quality (AHRQ), cost effectiveness and outcomes research, **22:3**
American Recovery and Reinvestment Act, legislative developments, **22:4**
Medicare and Medicaid (this index)
National coverage decisions. Medicare and Medicaid (this index)
State Regulation (this index)
Studies affecting health care regulation and reform, **22:2**

REHABILITATION
Facilities. Outpatient and Rehabilitation Facilities (this index)
Medicare program, **17:45**
Outpatient and Rehabilitation Facilities (this index)
Rehabilitation Act of 1973, Section 504 (this index)

REHABILITATION ACT OF 1973, SECTION 504
Generally, **20:10**
Any program or activity receiving federal financial assistance, coverage, **20:11**
Compliance
OCR review of compliance with antidiscrimination laws, **20:13**
other federal discrimination laws, OCR review of compliance with antidiscrimination laws, **20:13**
requirements for, **20:14**
Title VI of Civil Rights Act of 1964, OCR review of compliance with antidiscrimination laws, **20:13**
Conclusion, **20:15**
Coverage, **20:11**

REHABILITATION ACT OF 1973, SECTION 504—Cont'd
Financing, coverage of any program or activity receiving federal financial assistance, **20:11**
Requirements, **20:12**
Section 1557 of the Affordable Care Act
nondiscrimination requirements, health insurance marketplace, **20:16**

REHABILITATION HOSPITALS
Generally, **16:6**

REIMBURSEMENT
Bond Financing (this index)
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)
Medicare and Medicaid (this index)

RELATED ORGANIZATIONS
Changes of Ownership and New Business Organizations: Reimbursement Considerations (this index)
Medicare and Medicaid (this index)

RENAL DIALYSIS SERVICES
Medicare program, **17:43**

RENTALS
Physician Financial Arrangements, Restrictions on (this index)

REQUIREMENTS AND CONDITIONS
Disclosure of ownership, **3:23**
Medicare and medicaid, **17:58**

RESEARCH
Health Insurance Portability and Accountability Act, protected health information used for research, **3:25**

RESOURCE UTILIZATION GROUP, VERSION III ("RUG-III")
Medicare and Medicaid (this index)

RURAL AREAS
Physician financial arrangements, restrictions on, **9:17**
Rural health clinics (RHCs), **16:23**
Safe harbor regulations, proposed additional safe harbors for investment interests in entities in rural areas, **6:5**

RURAL HEALTH CLINICS (RHCs)
Primary care providers, **16:23**

SAFE HARBOR REGULATIONS
Generally, **6:1 et seq.**
Ambulatory surgical centers, proposed additional safe harbors for investment interests in, **6:6**
Categories of safe harbors, **6:1**
Compensation. Practitioner compensation safe harbors, below
Employees' safe harbors, **6:8**
Fraud and abuse checklist, **App 6:1**
Group practice, practitioner investment, **6:7**
Health plans, safe harbors for, **6:13**
Investment interest safe harbors
generally, **6:2**
ambulatory surgical centers, proposed additional safe harbors for investment interests in, **6:6**
group practice, practitioner investment, **6:7**
large publicly traded entities, **6:3**
proposed additional safe harbors
ambulatory surgical centers, investment interests in, **6:6**
group practice, practitioner investment, **6:7**
rural areas, investment interests in entities in, **6:5**
rural areas, proposed additional safe harbors for investment interests in entities in, **6:5**
small entities, **6:4**
Joint ventures and contractual arrangements, unavailability of safe harbor protection, **5:100**

SAFE HARBOR REGULATIONS —Cont'd
Large publicly traded entities, investment interest safe harbors, **6:3**
Management contract safe harbors, **6:11**
Obstetrical malpractice insurance subsidies, **6:10**
Personal services safe harbors, **6:11**
Practitioner compensation safe harbors
generally, **6:8-6:10**
obstetrical malpractice insurance subsidies, **6:10**
recruitment of practitioners, **6:9**
Recruitment of practitioners, **6:9**
Rental, space and equipment rental safe harbors, **6:11**
Rural areas, proposed additional safe harbors for investment interests in entities in, **6:5**
Sale of practice safe harbor, **6:12**
Small entities, investment interest safe harbors, **6:4**

SAFE MEDICAL DEVICES ACT OF 1990 (SMDA)
Medical Devices (this index)

SALES OF PRACTICES
Safe harbor regulations, sale of practice safe harbor, **6:12**

SANCTIONS
Generally, **7:1 et seq.**
See also Penalties (this index)
Abuse. Federal government anti-fraud, abuse and waste initiatives, above
Anti-fraud. Federal government anti-fraud, abuse and waste initiatives, below
Civil money penalties, **7:5**
Corporate integrity agreement FAQs, **7:28**
Disclosure of Ownership (this index)
Drug reimbursement under Part B, Office of Inspector General's work plan, **7:20**

SANCTIONS—Cont'd
False claims
Federal False Claims Act, citizen enforcement provisions, **7:8**
Medicare False Claims Act, **7:7**
Federal anti-kickback law, penalties, **4:2**
Federal False Claims Act, citizen enforcement provisions, **7:8**
Federal government anti-fraud, abuse and waste initiatives
generally, **7:10**
corporate integrity agreement FAQs, **7:28**
fraud and abuse control program, **7:27**
Medicaid Fraud Control Units Annual Report: Fiscal Year 2024, **7:29**
Office of Inspector General's work plan, below
targeted federal health fraud enforcement initiatives, **7:11**
Fraud. Federal government anti-fraud, abuse and waste initiatives, above
Health care providers and suppliers, Office of Inspector General's work plan, **7:17**
Health Insurance Portability and Accountability Act, penalties for improper disclosure, **3:31**
Home health reviews, Office of Inspector General's work plan, **7:14**
Hospice reviews, Office of Inspector General's work plan, **7:16**
Hospital reviews, Office of Inspector General's work plan, **7:13**
Investigations, Office of Inspector General's work plan, **7:26**
Medicaid drug reimbursement reports, **7:23**
Medical equipment and supplies, Office of Inspector General's work plan, **7:18**
Medicare Advantage, Office of Inspector General's work plan, **7:22**

SANCTIONS—Cont'd
Medicare and Medicaid
contractor operations under Medicare, **7:21**
criminal offenses under Medicare, **7:9**
exclusion from participation
generally, **7:2**
mandatory exclusions, **7:3**
permissive exclusions, **7:4**
Medicare Advantage, **7:22**
Medicare contractor operations, **7:21**
Medicare False Claims Act, **7:7**
Medicare Part D, **7:19**
Office of Inspector General's work plan, below
Part B, payments for prescription drugs, **7:20**
Medicare contractor operations, **7:21**
Medicare False Claims Act, **7:7**
Medicare Part B, payments for prescription drugs, **7:20**
Medicare Part D, Office of Inspector General's work plan, **7:19**
Nursing home reviews, Office of Inspector General's work plan, **7:15**
Office of Inspector General's work plan
generally, **7:12**
contractor operations under Medicare, **7:21**
drug reimbursement under Part B, **7:20**
health care providers, **7:17**
home health reviews, **7:14**
hospice reviews, **7:16**
hospital reviews, **7:13**
investigations, **7:26**
legal issues, miscellaneous, **7:26**
Medicaid drug reimbursement reports, **7:23**
Medicaid services, **7:24**
medical equipment and supplies, **7:18**
Medicare Advantage, **7:22**

SANCTIONS—Cont'd
Office of Inspector General's work plan—Cont'd
Medicare and Medicaid
Medicaid drug reimbursement reports, **7:23**
Medicaid services, **7:24**
Medicare contractor operations, **7:21**
other CMS issues, **7:25**
Medicare contractor operations, **7:21**
Medicare Part B, **7:20**
Medicare Part D, **7:19**
nursing home reviews, **7:15**
other CMS issues, **7:25**
physicians, **7:17**
suppliers, **7:17**
Penalties, civil money, **7:5**
Physician financial arrangements, restrictions on, **9:3**
Physicians, Office of Inspector General's work plan, **7:17**
Program Fraud Civil Remedies Act, **7:6**
Reimbursement, Medicaid drug reimbursement reports, **7:23**
Suppliers, Office of Inspector General's work plan, **7:17**
Tax-exempt hospitals, IRS's audit guidelines for intermediate sanctions, **5:108**
Waste. Federal government anti-fraud, abuse and waste initiatives, above
Work plan. Office of Inspector General's work plan, above

SARBANES-OXLEY ACT OF 2002
Initial public offerings (IPOs), **13:27**

SECURITIES AND EXCHANGE COMMISSION
Initial public offerings, **13:10**

SECURITY OF INFORMATION
American Recovery and Reinvestment Act (ARRA), notification standards for breaches of ''unsecured'' protected information, **3:34**

SECURITY OF INFORMATION —Cont'd
Health care reform: recent and pending legislation, **3:34**

SELF-REFERRAL PROHIBITIONS
Physician Financial Arrangements, Restrictions on (this index)

SHORT STAY OUTLIERS
Medicare and medicaid, **17:79**

SKILLED NURSING FACILITIES (SNFs)
Change of ownership, **8:10**
Long-term care facilities, **16:8**
Medicare and Medicaid (this index)

SMALL ENTITIES
Safe harbor regulations, investment interest safe harbors, **6:4**

SPECIAL ADVISORY BULLETINS
Fraud Alerts, Office of Inspector General's (this index)

SPECIALTY HOSPITALS
Long-term care facilities, **16:7**

SPECIMEN PROCESSING
Fraud alerts of Office of Inspector General, joint ventures and contractual arrangements, **5:94**

STARK LAW AND REGULATIONS
Physician Financial Arrangements, Restrictions on (this index)

STATE CHILDREN'S HEALTH INSURANCE PROGRAM
Government managed care, **11:34**

STATE REGULATION
Generally, **2:1 et seq.**
Accreditation. Licensure, accreditation, and registration, below
Certificate of need (CON). Health planning and certificate of need (CON), below
Health care facilities, licensure, accreditation, and registration, **2:4, 2:6**

STATE REGULATION—Cont'd
Health care professionals, licensure, accreditation, and registration, **2:3, 2:5**
Health maintenance organizations (HMOs), **11:18**
Health planning and certificate of need (CON)
generally, **2:8**
application for CON. CON application and review process, below
CON application and review process
generally, **2:13**
expedited review, **2:14**
review, **2:16**
standard review, **2:15**
conclusion, **2:19**
enforcement, **2:18**
expedited CON review, **2:14**
federal health planning laws, **2:9**
projects subject to CON review, **2:11**
review. CON application and review process, above
standard CON review, **2:15**
state certificate of need laws, **2:10**
transferability of CON, **2:17**
transfer of ownership of existing health care facility, **2:12**
Licensure, accreditation, and registration
generally, **2:2**
accreditation, **2:7**
bankruptcy, licensure issues, **15:8**
health care facilities, **2:4, 2:6**
health care professionals, **2:3, 2:5**
notice of intent (licensure), sample, **App 2:1**
Managed care, ''any willing provider'' laws, **11:36-11:38**
Physician Financial Arrangements, Restrictions On (this index)
Registration. Licensure, accreditation, and registration, above

STOCK
Initial Public Offerings (IPOs) (this index)

STORAGE OF PATIENT RECORDS
Distressed providers, bankruptcy and creditors' rights, **15:11**

STUDIES
Regulations, Executive Orders, Studies, and Coverage Policies (this index)

SUBCONTRACTING
Health care government contractors, **20:128**

SUBSIDIES
Safe harbor regulations, obstetrical malpractice insurance subsidies, **6:10**

SUBSTANCE ABUSE BENEFITS
State health care reform initiatives, **21:32**

SUPERVISING PHYSICIANS
Independent Diagnostic Testing Facility (IDTF) (this index)

SUPPLIERS
Sanctions, Office of Inspector General's work plan, **7:17**

TAX CUTS AND JOBS ACT (TCJA)
Health care reform: recent and pending legislation, **21:27**

TAXES
Bond Financing (this index)
Medicare and Medicaid (this index)
Tax Cuts and Jobs Act (TCJA), **21:27**
Tax-Exempt Organizations (this index)

TAX-EXEMPT ORGANIZATIONS
ACA requirements for tax-exempt hospitals, **5:115**
Ancillary joint venture, exemption and unrelated business taxable income consequences, **5:113**
Charitable hospitals, IRS guidance, **5:116**
Governance, IRS guidance, **5:114**
Incentives, physician, **5:109**
Intermediate sanctions, **5:108**

TAX-EXEMPT ORGANIZATIONS —Cont'd
IRS's audit guidelines for tax-exempt hospitals, generally, **5:107 et seq.**
Joint ventures, **5:111, 5:113**
Revenue Ruling 98-15, **5:111**
St. David's Healthcare System tax exemption for participant in whole hospital joint venture, **5:112**

TELECOMMUNICATIONS
Department of Health and Human Services, Office of Civil Rights, Assurance of Compliance (HHS Form-690), **App 20:1**

TELEMARKETING
Fraud alerts of Office of Inspector General, telemarketing by durable medical equipment suppliers (OIG Special Advisory Bulletin), **5:91, App 5:17**

TEMPORARY NON-COMPLIANCE
Physician financial arrangements, restrictions on, **9:31**

TEXAS
Corporate practice of medicine, **10:8**

THIRD-PARTY PAYORS
Generally, **19:1 et seq.**
Department of Veterans Affairs (VA) programs, **19:3**
Federal Employee Health Benefits Program (FEHBP), **19:5**
Insurers, private, **19:2**
Medicare and Medicaid (this index)
Public Health Services (PHS) programs, **19:6**
TRICARE
formerly known as Civilian Health and Medical Program of the Uniformed Services (CHAMPUS), **19:4**

THIRD-PARTY SERVICE PROVIDERS
Notification standards for breaches of "unsecured" protected information, **3:34**

TRANSFER OF PATIENTS
Distressed providers, bankruptcy and creditors' rights, trustee's duty to transfer patients of health care business, **15:13**

TRICARE
Third-party payors
formerly known as Civilian Health and Medical Program of the Uniformed Services (CHAMPUS), **19:4**

TRUSTS AND TRUSTEES
Distressed providers, bankruptcy and creditors' rights, trustee's duty to transfer of patients of health care business, **15:13**
Model trust agreement pursuant to Section 609 of Public Health Service Act, **App 20:4**

21ST CENTURY CURES ACT
Health care reform: recent and pending legislation, **21:26**

UNCOMPENSATED CARE ASSURANCE
Hill-Burton Act and State Charity Care Requirements (this index)

UNINCORPORATED SOLE PROPRIETORSHIP
Changes of ownership, transactions that constitute change of ownership for purposes of Medicare, **8:3**

UNION-BASED MANAGED CARE PLANS
Managed Care (this index)

UNRELATED BUSINESS TAXABLE INCOME
Tax-exempt organizations, ancillary joint venture, **5:113**

VENDORS OF PERSONAL HEALTH RECORDS
Notification standards for breaches of "unsecured" protected information, **3:34**

VETERANS
Accounts receivable financing, assignment of veteran's benefits, **12:13**
Third-party payors, Department of Veterans Affairs (VA) programs, **19:3**

WARRANTIES
Accounts receivable financing, **12:17**

WASTE
Sanctions (this index)

WHOLE HOSPITAL EXCEPTION
Physician financial arrangements, restrictions on, **9:18**