Introduction to the 2026-2027 Edition

This 2026-2027 of Regulation of Financial Planners, written by top securities law experts Thomas P. Lemke and Gerald T. Lins, provides up-to-date coverage of financial planning. It defines financial planning and covers related topics, including state and federal regulations, and civil and criminal liability, SEC requirements and much more.

- Updates to most of the chapters. Material reviewed throughout.