What's New in the 2026-2027 Edition

This year's edition includes:

- A review of the SEC proposed revisions to its transfer agent rules and forms (September 1, 2026).
- An expanded discussion of cryptocurrency and tokenized securities including:
  - The SEC and CFTC Interpretive Release (March 17, 2026);
  - The SEC's Proposed Rules Regulation Crypto Asset (August 21, 2026); and
  - The SEC's "Innovation Exemption" (September 17, 2026).
- A discussion of revisions to FINRA's margin rules.