What's New 2026-2027 Edition

This update contains new cases, regulations, and commentary addressing:

- Broker-dealer registration requirements
- Financial responsibility requirements
- Consolidated Audit Trail reporting
- Regulation of cryptocurrency assets
- Trading and market structure requirements
- Form U5 and employment-related issues
- Arbitration and restrictive covenants
- International securities regulation
- Securities regulation in Mainland China and Hong Kong
- Cross-border regulation and substituted compliance