

Table of Contents

CHAPTER 1. INTRODUCTION

- § 1:1 The context for this book
- § 1:2 How this book is arranged

CHAPTER 2. ORIGINS OF THE FREEDOM OF INFORMATION ACT

- § 2:1 Overview
- § 2:2 History of the Act—Groundwork, 1955–60
- § 2:3 —Development, 1960–64
- § 2:4 —Passage, 1965–67
- § 2:5 Role of the press in passage of the Act
- § 2:6 A political perspective on the Freedom of Information Act
- § 2:7 Retrospective & International Views

CHAPTER 3. EVOLUTION AND AMENDMENT OF THE ACT

- § 3:1 Expectations and criticisms
- § 3:2 Uses of the new Act
- § 3:3 Agency attitudes and backlash actions against open information
- § 3:4 The role of the Department of Justice
- § 3:5 The Variable Roles of Congress
- § 3:6 Problems in the courts
- § 3:7 Proposals for amendments
- § 3:8 The 1974 Amendments
- § 3:9 The 1976 and 1986 Amendments
- § 3:10 The 1996 Amendments
- § 3:11 The 2007 Amendments
- § 3:12 The 2016 Amendments
- § 3:13 Defining foreseeable harm after the 2016 Amendments

CHAPTER 4. DEFINITIONS

- § 4:1 Overview
- § 4:2 “Agency”—Introduction
- § 4:3 Agency—Types of agencies
- § 4:4 The “Agencies” covered

FEDERAL INFORMATION DISCLOSURE

- § 4:5 Exclusions from “agency” status
- § 4:6 Agency status of the President’s staff
- § 4:7 Process of defining “agency” coverage
- § 4:8 When does a private record become an “agency record”?
- § 4:9 “Agency” includes federally chartered entities
- § 4:10 Agency status of federal grantees and contractors
- § 4:11 “Agency” excludes State and local entities
- § 4:12 Agency excludes the Congress and its support entities
- § 4:13 “Agency” does not include courts
- § 4:14 Scope of “Judicial Records”
- § 4:15 What is an agency “record”?
- § 4:16 Formats of records
- § 4:17 Records in computerized files
- § 4:18 The 552(c) “neither confirm nor deny records exist” option
- § 4:19 Records, not answers, are available
- § 4:20 Personal non-agency files
- § 4:21 Physical objects are not FOIA “records”
- § 4:22 Role of Justice Department policy guidance
- § 4:23 Grantee records
- § 4:24 Presidential records
- § 4:25 State public records
- § 4:26 Personal non-agency files—Record retention
- § 4:27 —Agency possession of records
- § 4:28 No duty to create new records
- § 4:29 Agency disposal of records
- § 4:30 Access to “identifiable” records
- § 4:31 Elements of “Any person” access claims
- § 4:32 Any person access—Motives
- § 4:33 Specific statutes banning access by foreign governments or representatives
- § 4:34 Requests by corporations
- § 4:35 Requests by prisoners
- § 4:36 Process of Obtaining Records
- § 4:37 Any person access and agency contractors
- § 4:38 Any person access by the press
- § 4:39 Congressional requests
- § 4:40 Access by unions
- § 4:41 Access by agents of other nations
- § 4:42 Requests for access
- § 4:43 Exempt record
- § 4:44 Access to a record

TABLE OF CONTENTS

- § 4:45 Availability of a record
- § 4:46 Fees
- § 4:47 “Non-Responsive” records within scope of request must be searched
- § 4:48 “Record” definition was revised as of 2017
- § 4:49 Effect of the 2016 Legislative changes
- § 4:50 Revised duties for Office of Government Information Services
- § 4:51 Creation of consolidated online request portal
- § 4:52 Proactive disclosures through records management
- § 4:53 Role of Native American tribal courts
- § 4:54 How FOIA interacts with newer technology

CHAPTER 5. POLITICAL ASPECTS OF ADVOCACY UNDER THE FOIA

- § 5:1 The politics of access
- § 5:2 The role of Congress in FOIA implementation
- § 5:3 Use of political channels for disputed access
- § 5:4 More web posting reduces FOIA requests

CHAPTER 6. AFFIRMATIVE PUBLICATION & RULE DISSEMINATION

- § 6:1 The special requirements of publication
- § 6:2 Federal Register publication
- § 6:3 The “general applicability” norm
- § 6:4 Exceptions and exemptions
- § 6:5 Federal Reports Act
- § 6:6 The manuals and policies availability requirement
- § 6:7 Administrative record of rulemaking
- § 6:8 Where and how to use (a)(1) and (a)(2) access opportunities
- § 6:9 Judicial action against nonavailability
- § 6:10 Defenses to nonavailability
- § 6:11 Tax cases
- § 6:12 Labor cases
- § 6:13 Prison cases
- § 6:14 Other cases
- § 6:15 Reaching the electronic FOIA archives
- § 6:16 Practice suggestions

CHAPTER 7. WINNING THE REQUEST AT THE AGENCY

PART 1. MAKING THE REQUEST

- § 7:1 Successful requests
- § 7:2 The content of an FOIA request
- § 7:3 What is not a FOIA request?
- § 7:4 Requests should follow the agency FOIA rule
- § 7:5 Failure of the request's description of records

PART 2. HANDLING THE REQUEST

- § 7:6 The processing of a request within the agency
- § 7:7 Electronic tracking of FOIA requests

PART 3. SEARCH DISPUTES

- § 7:8 Records search disagreements—Reasonableness
- § 7:9 Inadequacy of searches
- § 7:10 Records search disagreements—Adequacy standards
- § 7:11 Role of E-Discovery Tools in FOIA search “Adequacy”
- § 7:12 Improvements to search processes
- § 7:13 Examples of successful defenses of agency searches
- § 7:14 Searches “reasonably calculated” to find records

PART 4. PROOF OF SEARCH ADEQUACY

- § 7:15 Clarity of search affidavits
- § 7:16 Rebuttal of the agency search affidavit
- § 7:17 Records in files outside agency control
- § 7:18 Records search disagreements—Challengers and their burdens
- § 7:19 —Procedural standards

PART 5. LIMITATIONS ON SEARCHES

- § 7:20 Exclusions from searches
- § 7:21 Background of the FBI's 552(c) Exclusion
- § 7:22 Timing and cutoff dates
- § 7:23 Errors in search processes
- § 7:24 Targeting the search
- § 7:25 Use of information technologies in FOIA processing

PART 6. DENIAL OF REQUESTS

- § 7:26 The initial denial

TABLE OF CONTENTS

- § 7:27 Agency must make a “determination”
- § 7:28 Agency cannot parse record to withhold portions
- § 7:29 Producing records “promptly” after the “determination”
- § 7:30 Stating the reasons for denials
- § 7:31 Foreseeable harm required
- § 7:32 The initial denial—Appeal procedures
- § 7:33 Oral disclosure
- § 7:34 Other responses to denials
- § 7:35 Redactions from disclosed records

PART 7. THE RESPONSE THAT DOES NOT “CONFIRM OR DENY” RECORDS

- § 7:36 The special responses refusing to confirm or deny a record exists
- § 7:37 The initial denial—Denials relating to submitters’ interests in records
- § 7:38 Denial based on fee and cost issues
- § 7:39 The initial denial—Other denials

PART 8. FORMAT OF RECORDS

- § 7:40 Denials based on formats
- § 7:41 Format of record disclosure
- § 7:42 Importance of record “form” or “format”

PART 9. APPEALS

- § 7:43 The administrative appeal after denial
- § 7:44 The administrative appeals may induce partial disclosure or settlement
- § 7:45 When requesters opt to sue rather than appeal
- § 7:46 Exhaustion of appeals
- § 7:47 Constructive exhaustion
- § 7:48 Negotiating access before litigation
- § 7:49 Remands and deadlines
- § 7:50 Tactical aspects of the appeal
- § 7:51 Segregating and disclosing records
- § 7:52 Explanation of need is an option for requesters
- § 7:53 Effects of agency rules on appeals
- § 7:54 Improvements in administrative appeals processes

PART 10. DELAY

- § 7:55 Delays and time deadlines for agencies

FEDERAL INFORMATION DISCLOSURE

- § 7:56 Dealing with backlogs of delayed requests
- § 7:57 What are “normal” response times?
- § 7:58 Expanded resources for FOIA offices
- § 7:59 Delays and expedited requests
- § 7:60 Delays and pre-1996 controversies
- § 7:61 Delays and judicial remedies
- § 7:62 Delays and legislative history background
- § 7:63 Delays had been accepted prior to 1996 amendments
- § 7:64 Delays and “Prompt” disclosure
- § 7:65 “Unusual circumstances” explaining delays
- § 7:66 Use of “exceptional circumstances” for delays

PART 11. REMOVING PARTS OF RECORDS

- § 7:67 Deletions and excisions from records released
- § 7:68 Certified copies

PART 12. AGENCY DEFENSES

- § 7:69 Responding to agency defenses

PART 13. COSTS OF REQUESTS

- § 7:70 Fees for processing requests
- § 7:71 Effect of statutory amendments on timing
- § 7:72 Burden on appeal of fee waiver denial
- § 7:73 Fee waiver denial procedures
- § 7:74 Fee waiver & electronic files searching costs
- § 7:75 Refusal to pay fee justifies agency refusal to process request
- § 7:76 Fees & exhaustion of remedies
- § 7:77 Fee waiver denial upheld
- § 7:78 Waiver of search fees in the public interest
- § 7:79 Judicial review of fee waiver denials
- § 7:80 Public interest bases for fee waivers

PART 14. ELECTRONIC MEDIA

- § 7:81 Electronic records and the FOIA
- § 7:82 Electronic FOIA Searches
- § 7:83 Response sending requesters to existing agency website copies of records

PART 15. RECORDS ALREADY KNOWN

- § 7:84 Arguing for disclosure of already-“leaked” records

TABLE OF CONTENTS

- § 7:85 Reprocessing past requested records
- § 7:86 Agency responses to subpoenas
- § 7:87 Intersection with Federal Records Act
- § 7:88 Official acknowledgement & presidential tweets
- § 7:89 FOIA.GOV requests
- § 7:90 Applying “law of the case” to previous decision being re-litigated

CHAPTER 8. THE FREEDOM OF INFORMATION ACT IN COURT

PART 1. ELEMENTS

- § 8:1 Purpose of FOIA litigation
- § 8:2 Jurisdiction
- § 8:3 Venue
- § 8:4 Standing
- § 8:5 Pleadings
- § 8:6 Freedom of information litigation contrasted to mediation or civil discovery
- § 8:7 Burdens of proof
- § 8:8 Preparing the complaint
- § 8:9 Agency must establish “Foreseeable Harm” from disclosure

PART 2. AGENCY DEFENSES

- § 8:10 Relief
- § 8:11 Statute of Limitations
- § 8:12 Preliminary Injunctions
- § 8:13 Defense of nonexistence of responsive records
- § 8:14 Defense of destruction/retention of agency records
- § 8:15 No remedy after records released
- § 8:16 Litigating agency “policy or practice” of non-compliance
- § 8:17 Effect of prior adjudications
- § 8:18 Supplemental jurisdiction may be denied
- § 8:19 When state claims interact with parallel FOIA cases
- § 8:20 Res judicata
- § 8:21 Collateral estoppel
- § 8:22 Judicial estoppel
- § 8:23 Mootness
- § 8:24 Exhaustion of administrative remedies before suit
- § 8:25 Ripeness for adjudication

- § 8:26 Applying the rules against re-litigation of claims
- § 8:27 Effects of prior exemption claims
- § 8:28 Effect of the 2008 Supreme Court Sturgell decision
- § 8:29 Settlements of agency defenses under the 2007 mediation amendment
- § 8:30 Agency “bad faith” in asserting defenses
- § 8:31 Agency waiver of defenses for failure to assert them early
- § 8:32 Fugitives barred from litigating for FOIA access
- § 8:33 Spoliation claims

PART 3. DE NOVO REVIEW IN COURT

- § 8:34 De novo review decisions
- § 8:35 No deference is given for agency interpretations of FOIA
- § 8:36 Appellate review of de novo decisions

PART 4. IN CAMERA

- § 8:37 In camera judicial inspection—Policy
- § 8:38 Practical aspects of in camera review
- § 8:39 Findings from in camera document reviews
- § 8:40 Discretionary in-court settlement negotiation
- § 8:41 Sealing the record
- § 8:42 In camera judicial inspection—Discretion not to inspect

PART 5. INDEXING & DISCOVERY ISSUES

- § 8:43 Why would an agency index be rejected?
- § 8:44 Identifying authors in the affidavit
- § 8:45 Indexing, timing & delays
- § 8:46 Burden of proof
- § 8:47 Standards for Vaughn index preparation
- § 8:48 Index affidavits’ authorship
- § 8:49 “Bad faith” charges in the index affidavit
- § 8:50 Sufficient factual affidavits to support the index
- § 8:51 Segregable information
- § 8:52 Index demonstrating adequate search
- § 8:53 Indexing of records withheld, using sampling
- § 8:54 Affidavits and waiver issues
- § 8:55 Applying evidence rules to agency affidavits
- § 8:56 Pretrial practice: masters

TABLE OF CONTENTS

§ 8:57	Stipulations
§ 8:58	Intervention by affected persons
§ 8:59	Limited discovery rarely granted
§ 8:60	Why discovery is unavailable
§ 8:61	Secrecy and sealed files
§ 8:62	Protective orders
§ 8:63	Interrogatories
§ 8:64	Allowing limited discovery
§ 8:65	Presumption of good faith in affidavits
§ 8:66	Discovery prior to summary judgment motions
§ 8:67	Denials of discovery
§ 8:68	Denials of discovery for presidential documents
§ 8:69	Possession of records received after request

PART 6. SUMMARY JUDGMENT

§ 8:70	Summary judgment motion practice—Generally
§ 8:71	Limited discovery before summary judgment
§ 8:72	Procedural aspects of summary judgment
§ 8:73	Summary judgment without discovery
§ 8:74	Burdens on the agency
§ 8:75	Effect of finding additional records
§ 8:76	Review of agency FOIA regulations
§ 8:77	Equity powers
§ 8:78	Claims of agency estoppel
§ 8:79	Common law access replaced by statutory rights
§ 8:80	Requester's motive is irrelevant
§ 8:81	Agency response of "neither confirming nor denying" records existed
§ 8:82	Procedural difficulties with injunctions
§ 8:83	Mandamus is disfavored
§ 8:84	Remand to the agency
§ 8:85	Continuing jurisdiction
§ 8:86	Remedial options generally
§ 8:87	Remedial powers are limited
§ 8:88	No FOIA power to win tort damage awards
§ 8:89	The sovereign immunity bar
§ 8:90	Court remedies against delay
§ 8:91	Estoppel against change in agency positions
§ 8:92	Damages and sovereign immunity
§ 8:93	Administrative Procedure Act review

PART 7. ATTORNEY FEES

§ 8:94	Attorneys' fees and costs awards—Introduction
--------	---

FEDERAL INFORMATION DISCLOSURE

- § 8:95 Purpose
- § 8:96 Definitions for attorney fees
- § 8:97 Causal connection of request and release
- § 8:98 Effect of agency settlement offers
- § 8:99 Causation of the release
- § 8:100 Factors in calculating attorney fee award amounts
- § 8:101 When agencies win easily on fee requests
- § 8:102 Effect of late discovery of records
- § 8:103 Fee awards against multiple agencies
- § 8:104 Effects of publication and indexing orders
- § 8:105 Elements in discretionary attorney fee awards
- § 8:106 Considering effects from withholding
- § 8:107 Effects of *Buckhannon* decision
- § 8:108 Effect of 2007 amendments on fee awards
- § 8:109 No fee awards where no one prevailed
- § 8:110 No right to appointed counsel
- § 8:111 Civil plaintiffs seeking financial relief
- § 8:112 Agency approval for release needed for award of fees
- § 8:113 Fee award because of agency trial tactics
- § 8:114 Attorney fees factors
- § 8:115 Size of the fee award can be affected by requester's
and agency's motivations
- § 8:116 When plaintiffs have prevailed
- § 8:117 Settlements awarding fees
- § 8:118 Fees and court orders
- § 8:119 Comparisons to other fee award statutes
- § 8:120 Vaughn index and limited production
- § 8:121 Delay as a factor to consider in fee awards
- § 8:122 Award of costs to plaintiffs
- § 8:123 Catalyst theory for fee awards codified after 2007
- § 8:124 Effect on fee awards of the 2007 amendments
- § 8:125 How the 2007 amendments changed the test for fees
- § 8:126 No retroactive effect on pre-2007 fees
- § 8:127 Impacts of the post-2007 catalyst approach
- § 8:128 Agency delay as a factor in fee awards
- § 8:129 Catalyst theory for causing disclosure
- § 8:130 Procedure for attorney fee awards
- § 8:131 Entitlement to fees
- § 8:132 Broad discretion on fees
- § 8:133 Plaintiff's proof for fees
- § 8:134 Entitlement to fees
- § 8:135 Local court rules

TABLE OF CONTENTS

§ 8:136	Mootness issues affecting fees
§ 8:137	Appellate review of fee decisions
§ 8:138	Equal Access to Justice Act
§ 8:139	Settlements & attorney fees
§ 8:140	Applying the factors
§ 8:141	Reasonableness of amounts
§ 8:142	Reasonable basis test for the agency action
§ 8:143	Applying the catalyst theory
§ 8:144	When attorney fees are denied
§ 8:145	How the fee standards are applied in cases
§ 8:146	Interim fee awards
§ 8:147	Role of in camera review in justifying fee awards
§ 8:148	Timing of the fee requests
§ 8:149	The “insubstantial results” argument
§ 8:150	When faster processing is rewarded as a success
§ 8:151	Awards of costs
§ 8:152	Actions by appellate courts
§ 8:153	Index orders are not merits relief
§ 8:154	Assessing court orders as relief meriting fees
§ 8:155	Attorney fees & stipulations
§ 8:156	Burden to show waiver of exemption had occurred
§ 8:157	The fee award based on public benefit
§ 8:158	Fee awards & the “substantially prevailed” test
§ 8:159	Dissemination of records as a reason for fees
§ 8:160	Commercial requesters
§ 8:161	Procedural changes meriting fees
§ 8:162	Fees denied for private-only benefits
§ 8:163	Denial of attorney fees
§ 8:164	Routine voluntary release
§ 8:165	No FOIA jurisdiction, no fee awards
§ 8:166	Amount of award
§ 8:167	Pro se plaintiff’s eligibility for fee awards
§ 8:168	Rarest remedy: FOIA sanctions against agencies and requesters
§ 8:169	Criminal plea bargain can waive FOIA rights
§ 8:170	Applying the administrative record as the source of decisions

PART 8. OTHER EFFECTS OF FOIA LITIGATION

§ 8:171	Impacts of FOIA litigation on other cases—Civil discovery
§ 8:172	Effect of FOIA on False Claims Act cases

- § 8:173 Impacts of FOIA litigation on other cases—Criminal cases
- § 8:174 Plea bargains waive future FOIA rights
- § 8:175 Impacts of FOIA litigation on other administrative adjudications

PART 9. SETTLEMENTS & APPEALS

- § 8:176 Settlement terms
- § 8:177 Courts cannot fix compromises beyond FOIA's limits
- § 8:178 Appellate review—Issues on appeal
- § 8:179 Review of degree of success
- § 8:180 Appellate review—Procedural matters
- § 8:181 Winning an appellate stay of disclosure decisions
- § 8:182 Appellate review—The Supreme Court's contributions
- § 8:183 Standards for FOIA access to court-sealed records
- § 8:184 Responding to Congressional subpoenas
- § 8:185 Dismissals of abusive litigants
- § 8:186 Privacy impact statements
- § 8:187 Agency wins when requester waives objections
- § 8:188 Fees & parallel state actions

CHAPTER 9. CONDITIONS AND LIMITATIONS ON ACCESS

PART 1. DISCRETION & DISCLOSURE PRESUMPTIONS

- § 9:1 The presumption in favor of disclosure
- § 9:2 The historical rationale for the pro-disclosure presumption
- § 9:3 FOIA disregards motivation of requesters
- § 9:4 Benefit of the narrow withholding
- § 9:5 Burden on the agency to justify withholding
- § 9:6 Claims for withholding usually fail unless exemptions apply
- § 9:7 Unsuccessful agency reasons for withholding
- § 9:8 No general duty to balance benefits and harms from disclosure
- § 9:9 Court orders and statutes may apply
- § 9:10 Civil discovery use
- § 9:11 Effect of agency records destruction

PART 2. SPECIFIC REQUESTS

- § 9:12 The Requirement to specify the records sought

TABLE OF CONTENTS

- § 9:13 The requester's options
- § 9:14 Courts dislike "fishing expedition" requests
- § 9:15 Complex requests
- § 9:16 When records do not exist
- § 9:17 FOIA does not allow questions & answers
- § 9:18 Challenges to adequacy of searches for records
- § 9:19 Effects of the 1996 amendments

PART 3. DUTY FOR PARTIAL DISCLOSURES

- § 9:20 Agencies have a duty to disclose "any reasonably segregable portion" of exempt records
- § 9:21 Background on the disclosure of "any reasonably segregable portion" of exempt records
- § 9:22 The agency function in segregating disclosable portions
- § 9:23 Classes of documents may sometimes be withheld
- § 9:24 Burdens of proof regarding segregation and disclosure
- § 9:25 When segregation is not possible
- § 9:26 Segregation for disclosure not used for Glomar responses
- § 9:27 Procedural issues in segregability for release
- § 9:28 Special classes
- § 9:29 Intertwining of exempt portions
- § 9:30 Inferences and clues
- § 9:31 Segregating internal memos
- § 9:32 Segregating law enforcement records
- § 9:33 Segregation of business data
- § 9:34 Segregation and specific statutory powers
- § 9:35 Segregating personal privacy records

PART 4. DISCRETION TO RELEASE

- § 9:36 Exemptions are not mandatory: there is no mandate to withhold
- § 9:37 Policy objections
- § 9:38 No explanation needed for claiming exemption
- § 9:39 Courts must uphold discretion to invoke available exemptions
- § 9:40 Waivers and segregability
- § 9:41 Public interest
- § 9:42 Uses of agency discretion
- § 9:43 No inferences of wrongdoing from use of exemptions
- § 9:44 No withholding "except as specifically stated"

PART 5. DISCLOSURE OPENS RECORDS TO ALL

- § 9:45 Disclosure cannot be selective—No preferences
- § 9:46 If records are exempt, agency discretion to invoke exemption is broad
- § 9:47 Waiver by actual release
- § 9:48 Union claims to access
- § 9:49 Requester's motive is not relevant if record is not exempt
- § 9:50 Rights of the person who is the subject of an agency file
- § 9:51 Effects of past confidentiality promises
- § 9:52 No restraints are possible once records are released
- § 9:53 Private enforcers of federal laws
- § 9:54 Inconsistent actions of other agencies
- § 9:55 Law enforcement
- § 9:56 FOIA & tort litigation discovery

PART 6. EFFECTS OF PRIOR RELEASE

- § 9:57 Prior disclosures and current requests
- § 9:58 Waiver requires official disclosure
- § 9:59 Elements of official acknowledgement
- § 9:60 What releases are not “disclosure”?
- § 9:61 What is an “official acknowledgement” of a disclosure?
- § 9:62 Effect of congressional disclosure
- § 9:63 Does presidential tweet waive exempt status?
- § 9:64 Was the identical record disclosed?
- § 9:65 Was the agency disclosure voluntary and specific?
- § 9:66 Understanding the prior disclosure
- § 9:67 Types of disclosures
- § 9:68 Evolution of the archiving requirement
- § 9:69 Discovery regarding Releases
- § 9:70 Litigation use and waiver
- § 9:71 Records that are already public
- § 9:72 Records use in False Claims Act “qui tam” actions
- § 9:73 Effects of promises of confidentiality
- § 9:74 Preservation of agency records
- § 9:75 Penalizing employee improper release
- § 9:76 Experience with the “foreseeable harm” standard
- § 9:77 The core values debate
- § 9:78 Waiver of privilege claims
- § 9:79 Parallels in state statutes

Table of Contents

CHAPTER 10. RIGHTS OF THE PRIVATE SUBMITTER OF INFORMATION

PART 1. INTRODUCTION

- § 10:1 Overview
- § 10:2 Submitters' rights within the agency
- § 10:3 —General statutes
- § 10:4 —Specific statutes
- § 10:5 —Analyzing the disclosure
- § 10:6 Confidentiality marking and filing procedures

PART 2. USING EXECUTIVE ORDER 12,600

- § 10:7 Submitter actions under the executive order
- § 10:8 Notification rights under the executive order—
Background
- § 10:9 —Efficient processes
- § 10:10 —Recognizing confidentiality
- § 10:11 —Timing and class determinations
- § 10:12 —Exclusions from notification
- § 10:13 —Special considerations
- § 10:14 —Conditions for notification
- § 10:15 —Mechanics of notification
- § 10:16 —Agency decisions
- § 10:17 —Litigation by the submitter

PART 3. THE ADMINISTRATIVE RECORD FOR REVIEW

- § 10:18 The administrative record—Introduction
- § 10:19 —How much is needed
- § 10:20 —Submitter's prima facie case
- § 10:21 —Weakness of agency support
- § 10:22 —De novo review
- § 10:23 —Effect of voluntary submission
- § 10:24 —Applying the voluntariness standard

PART 4. ASPECTS OF JUDICIAL REVIEW

- § 10:25 Judicial review of the decision to disclose private data:—Standards

FEDERAL INFORMATION DISCLOSURE

- § 10:26 —form of Review
- § 10:27 —deference
- § 10:28 —effects of the executive order
- § 10:29 —support for the agency decision to disclose
- § 10:30 —effects of the *critical mass* decision
- § 10:31 —consistency with prior decisions
- § 10:32 —limitations
- § 10:33 —successful defenses
- § 10:34 Judicial review of challenges to the agency disclosure rules

PART 5. —LITIGATION ISSUES

- § 10:35 Litigation issues—Jurisdiction
- § 10:36 Special burden to establish jurisdiction
- § 10:37 How the reporters committee views these cases
- § 10:38 Litigation issues—Venue
- § 10:39 —Parties
- § 10:40 —No sovereign immunity defenses
- § 10:41 —Intervention
- § 10:42 —“Record”
- § 10:43 —Standing
- § 10:44 —Likelihood of success
- § 10:45 —Ripeness for court review & mootness
- § 10:46 —Submitter’s burden of proof
- § 10:47 —Disputes with no pending FOIA request
- § 10:48 Litigation issues: scope of coverage of records
- § 10:49 Litigation issues—Injunction standards
- § 10:50 —Meeting the standards for injunctive relief
- § 10:51 —Irreparable harm to persons
- § 10:52 —Public interest
- § 10:53 —Protective orders
- § 10:54 —Mediation
- § 10:55 —Declaratory judgment
- § 10:56 —Mandatory notice
- § 10:57 Limits on judicial constraints on FOIA process affecting one submitter
- § 10:58 Litigation issues—Subpoenas
- § 10:59 —Replevin of submitted documents
- § 10:60 —No damage awards
- § 10:61 —Remands
- § 10:62 —Discovery
- § 10:63 —De novo review

TABLE OF CONTENTS

§ 10:64 —Waiver

PART 6. AFTER DISCLOSURE OCCURS

§ 10:65 Remedies after mistaken disclosure

§ 10:66 After mistaken disclosure, can anyone be punished?

PART 7. POLICY & CONSTITUTIONAL ISSUES

§ 10:67 Purpose of reverse-foia cases: protection for technology

§ 10:68 Protection for confidential business statistics

§ 10:69 Constitutional issues on disclosure of private business data

§ 10:70 The protective motive underlying Chrysler v. Brown

§ 10:71 Employment statistical data after Chrysler

PART 8. CENTRAL ROLE OF TRADE SECRETS LEGISLATION

§ 10:72 The scope of exemption (b)(4) and of Section 1905

PART 9. GOVERNMENT CONTRACTOR ACTIONS

§ 10:73 Rights of government contractors—Generally

§ 10:74 Withdrawal of contractor submissions

§ 10:75 Contractor bid prices disclosure

§ 10:76 Contractor unit—pricing remedies

§ 10:77 The debate over access to contract pricing data

PART 10. DISCLOSURE’S SIDE EFFECTS

§ 10:78 The distorted disclosures problem

§ 10:79 Agency claims of doubtful secrecy in private submissions

§ 10:80 Agency claims of doubtful adverse effects from disclosure

§ 10:81 Disclosure and the role of a nexus statute favoring release

§ 10:82 Submitters’ misplaced reliance on statutes

§ 10:83 Effects of “leaks” of private submissions

§ 10:84 Disclosure and licensing proceedings

PART 11. ROLE OF THE INFORMATION QUALITY ACT

§ 10:85 Role of the Information Quality Act

- § 10:86 Effects of the Information Quality Act
- § 10:87 The future outlook for the protection of business information

CHAPTER 11. THE EXEMPTION FOR NATIONAL SECURITY INFORMATION

- § 11:1 Overview
- § 11:2 History and development of the (b)(1) exemption—Preenactment
- § 11:3 —Through watergate
- § 11:4 —The 1974 amendments
- § 11:5 —Judicial interpretations
- § 11:6 Executive privilege issues
- § 11:7 —State secrets
- § 11:8 —Background
- § 11:9 —Discovery
- § 11:10 —Political considerations
- § 11:11 Burdens of proof and procedure issues
- § 11:12 Applying the current Executive Order on classified secrets
- § 11:13 Executive Order 13556—Controlled unclassified information
- § 11:14 Applying the executive order—The effects of the 1995 executive order
- § 11:15 —Tying the order to the exemption
- § 11:16 Effects of classification of records
- § 11:17 Types of confidential information protected
- § 11:18 Physical protection of classified data
- § 11:19 Applying the executive order—The mosaic puzzle arguments
- § 11:20 —No implied agreements
- § 11:21 In camera review
- § 11:22 Challenges to exemption (b)(1) claims—Introduction
- § 11:23 —Burden of proof
- § 11:24 —Use of the executive order
- § 11:25 —Content of the agency presentation
- § 11:26 —Potential impact of disclosure is measured
- § 11:27 Diplomatic position papers
- § 11:28 Segregable portions disclosure
- § 11:29 CIPA is not available in FOIA litigation
- § 11:30 Bad faith claims of Exemption 1 status
- § 11:31 Judicial deference

TABLE OF CONTENTS

- § 11:32 No balancing is required
- § 11:33 Agency affidavits in exemption (b)(1) cases
- § 11:34 Compliance with the executive order
- § 11:35 Types of records withheld
- § 11:36 Waiver and obsolescence issues
- § 11:37 Timing of the claims of exemption
- § 11:38 Future of the (b)(1) exemption
- § 11:39 Public dissemination of pirated federal records
- § 11:40 Foreign government exclusion
- § 11:41 Coordination with other exemption defenses
- § 11:42 Military recommendations are classified
- § 11:43 “Official acknowledgement” doctrine

CHAPTER 12. THE INTERNAL PERSONNEL RULES EXEMPTION

- § 12:1 Overview
- § 12:2 Background of the (b)(2) exemption
- § 12:3 Elements of an exemption (b)(2) case: trivial matters—Introduction
- § 12:4 —Coverage
- § 12:5 —How the Supreme Court restricted (b)(2) coverage
- § 12:6 Elements of an exemption (b)(2) case: trivial matters
- § 12:7 —Markings and codes
- § 12:8 Records not exempted
- § 12:9 History of exemption 2 “trivial personnel matters” litigation
- § 12:10 Procedural issues
- § 12:11 The pre-2011 “circumvention of the agency” cases are no longer controlling law
- § 12:12 Exemption of matters that could aid circumvention of the agency—Agency burdens
- § 12:13 —Exclusions
- § 12:14 Internal personnel matters
- § 12:15 Importance of the Supreme Court’s interpretations
- § 12:16 Successful requests
- § 12:17 Agency manuals
- § 12:18 Other issues under the exemption

CHAPTER 13. THE EXEMPTION FOR SPECIFIC STATUTES PERMITTING WITHHOLDING

- § 13:1 Overview

FEDERAL INFORMATION DISCLOSURE

- § 13:2 Background of the (b)(3) exemption
- § 13:3 The 1976 history
- § 13:4 Changes after 1976
- § 13:5 Elements of a (b)(3) case
- § 13:6 Procedural issues
- § 13:7 Cases applying general norms
- § 13:8 The “no discretionary release” category
- § 13:9 The “particular criteria” category
- § 13:10 Specific withholding statutes—Tax records
- § 13:11 —Social Security records
- § 13:12 —Grand jury materials
- § 13:13 —Law enforcement and parole records
- § 13:14 —Central Intelligence Agency records
- § 13:15 —Federal Trade Commission records
- § 13:16 —The Privacy Act
- § 13:17 —Other statutes
- § 13:18 Statutes not triggering exemption 3 coverage
- § 13:19 Postal statutes
- § 13:20 Applying exemption 3 norms to other statutes
- § 13:21 Specific withholding statutes—Other statutes—
Homeland Security information
- § 13:22 Contracting statutes
- § 13:23 Banking and financial statutes
- § 13:24 Cultural Property Committee Meetings
- § 13:25 Special CIA exclusions
- § 13:26 Kennedy assassination files
- § 13:27 Other intelligence-protective exemptions
- § 13:28 Medical statutes

CHAPTER 14. CONFIDENTIAL BUSINESS INFORMATION

PART 1. OVERVIEW

- § 14:1 Introduction
- § 14:2 Effects on Exemption 4 of the Supreme Court’s 2019
Argus Decision
- § 14:3 Long term impacts of the *Argus Leader* Supreme Court
precedent
- § 14:4 How the Argus Dissent in 2019 defined the majority
opinion’s impact on FOIA requests

PART 2. TRADE SECRETS

- § 14:5 Trade secrets—Introduction

TABLE OF CONTENTS

§ 14:6	Trade secret definitions
§ 14:7	The Elements of trade secrets
§ 14:8	Trade secrets historical protections
§ 14:9	Types of Trade Secrets
§ 14:10	Trade secrets claims denied
§ 14:11	Trade secret loss without compensation
§ 14:12	Trade secrets: comparison to private licensing
§ 14:13	Trade secrets injunctions
§ 14:14	Pre-disclosure consultations
§ 14:15	Agency mechanisms for trade secrets protection
§ 14:16	Trade secrets under state alternative terms
§ 14:17	Duration of trade secret status
§ 14:18	Analogies of FOIA to private trade secrets litigation
§ 14:19	Valuation of a trade secret
§ 14:20	Research and testing data for product approval

PART 3. PRIVILEGED AND CONFIDENTIAL RECORDS

§ 14:21	“Privileged” information—Introduction
§ 14:22	Traditional privileges
§ 14:23	“Privileged” information coverage background
§ 14:24	Types of “Privileged” information
§ 14:25	Waiver of privileges
§ 14:26	“Privileged” in FOIA in relationship to Rule 26
§ 14:27	“Confidential” commercial information—Definitions
§ 14:28	Defining what is “commercial”
§ 14:29	Two types of confidential data
§ 14:30	Pandemic forced politically sensitive Decisions
§ 14:31	Impairment of future cooperation
§ 14:32	Value of the private information
§ 14:33	Proof of confidential status
§ 14:34	Voluntary and involuntary submissions
§ 14:35	“Confidential” exemption not dependent on requester’s knowledge
§ 14:36	“Confidential” status waiver
§ 14:37	“Confidential” status denied
§ 14:38	Confidentiality of product related complaints
§ 14:39	Timing and delays
§ 14:40	Types of records exempted
§ 14:41	Claims relating to government efficiency

PART 4. PRIVATE SECTOR ORIGIN OF THE DATA REQUESTED

- § 14:42 Information “obtained from a person”—Definitions
- § 14:43 —Coverage
- § 14:44 —Agencies cannot be persons
- § 14:45 —Grantee and contractor research
- § 14:46 —The controversies

PART 5. TYPES OF RECORDS

- § 14:47 “Financial” information
- § 14:48 Burden of showing records are “Commercial”

PART 6. LITIGATION

- § 14:49 Litigation of exemption (b)(4) cases—Notice to the submitter
- § 14:50 Burden of proof
- § 14:51 *Vaughn* index
- § 14:52 In camera review
- § 14:53 Detailed affidavits
- § 14:54 Segregation of disclosable portions
- § 14:55 Summary judgment standards
- § 14:56 Duty to involve records owners
- § 14:57 Nonparty participation in defense of confidential status
- § 14:58 Waiver
- § 14:59 Defense of required submissions
- § 14:60 Motives of requester
- § 14:61 Presentation of the defense
- § 14:62 Burden on the agency to show “Commercial” status
- § 14:63 Appellate review
- § 14:64 Role of linkages of information in defense of private data
- § 14:65 Risk of reverse-engineering from records disclosed
- § 14:66 Estoppel against agency disclosure
- § 14:67 Copyrights
- § 14:68 Specific rules
- § 14:69 Effect of redactions
- § 14:70 Roles of intervening data owners
- § 14:71 No “injury” from potential lawsuits

PART 7. HARMS

- § 14:72 The “substantial harm” *National Parks* standard

TABLE OF CONTENTS

- § 14:73 What is harm?
- § 14:74 Proof of harms
- § 14:75 How records are shared impacts exemption 4 status
- § 14:76 Reputational harm
- § 14:77 The *Critical Mass* standard of confidentiality
- § 14:78 Voluntary submissions
- § 14:79 Required submissions
- § 14:80 The confidentiality tests

PART 8. COMPETITIVE HARM

- § 14:81 Evidence of competitive harm—Background
- § 14:82 Measuring costs of data as a basis for valuation
- § 14:83 Degree of knowledge affects competitive harm
- § 14:84 Proof of value affects competitive harm
- § 14:85 Effects of loss of confidential status
- § 14:86 Contexts increasing claimed competitive harm
- § 14:87 Contexts reducing the claim of competitive harm
- § 14:88 Contexts favoring disclosure
- § 14:89 Aggregation of data and alternative solutions
- § 14:90 Examples of substantial harm
- § 14:91 Government contractors’ data exemption claims

PART 9. OTHER REASONS FOR WITHHOLDING

- § 14:92 The “deterrence of cooperation” standard—
Background
- § 14:93 Governmental interests served by withholding
records
- § 14:94 Deterrence and chilling of cooperation
- § 14:95 Burden of proof that disclosure would deter
cooperation
- § 14:96 Effects on potential impairment of regulatory work
- § 14:97 The Effect of agency promises of confidentiality
- § 14:98 Denial of confidentiality may have consequences

PART 10. PRESUBMISSION STAGES

- § 14:99 Presubmission procedures to justify exempt status

PART 11. AGENCIES’ POLICIES

- § 14:100 Agency rules—Food and Drug Administration—
Background
- § 14:101 FDA—Research and testing details

FEDERAL INFORMATION DISCLOSURE

- § 14:102 —Inspectional data
- § 14:103 —Procedural issues
- § 14:104 —Trade secrets
- § 14:105 Agency rules—Cosmetic ingredient disclosure
- § 14:106 FDA—Adverse experiences with regulated products
- § 14:107 Agency rules—Environmental Protection Agency—
Background
- § 14:108 EPA—Structure
- § 14:109 —Types of confidential data
- § 14:110 —Presubmission review
- § 14:111 —Specific pesticide data protection
- § 14:112 —Toxic chemicals data
- § 14:113 —Specific statutory disclosure commands
- § 14:114 Agency rules—Securities and Exchange Commission
- § 14:115 —Consumer Product Safety Commission
- § 14:116 —Federal Trade Commission
- § 14:117 —Department of Transportation
- § 14:118 Confidential private data entering agency
rulemaking dockets & settlement agreements

PART 12. OTHER ISSUES

- § 14:119 Constitutional issues
- § 14:120 Can government organizations have a “commercial”
secret?
- § 14:121 Evolution of the exemption
- § 14:122 Interaction of exemptions 3 and 4: Tobacco
Regulatory Filing Protections
- § 14:123 Value of corporate compliance program withholding
- § 14:124 No Protective Orders can foreclose agency disclosure
of non-exempt records
- § 14:125 FOIA status of developments in technology
- § 14:126 —Proposed 2023 Congressional changes to
Exemption 4

CHAPTER 15. THE AGENCY MEMORANDA EXEMPTION

PART 1. INTRODUCTION

- § 15:1 Background and scope of the exemption
- § 15:2 History of the exemption

PART 2. DEFINITIONS

- § 15:3 Definitions—Agencies

TABLE OF CONTENTS

- § 15:4 —Intra-agency and inter-agency transmission
- § 15:5 —Memoranda and letters
- § 15:6 —Unavailable to a party

PART 3. PRIVILEGES

- § 15:7 The privileges underlying the exemption—Overview
- § 15:8 —Background
- § 15:9 —The unusual *Merrill* decision
- § 15:10 —Civil litigation
- § 15:11 Understanding “deliberative process”
- § 15:12 When de novo review beyond the affidavits is appropriate

PART 4. FACTUAL PORTIONS OF RECORDS

- § 15:13 Factual records—Introduction
- § 15:14 —Factual records not exempted
- § 15:15 —Factual records that may be exempt
- § 15:16 —Intertwined facts and opinions
- § 15:17 —Selective summaries

PART 5. PREDECISIONAL RECORDS

- § 15:18 Predecisional memoranda are exempt—Policy
- § 15:19 —Exempted to avoid chilling effects on employees
- § 15:20 Measuring the risks of confusion & adverse effects
- § 15:21 Predecisional memoranda are exempt—Elements of predecisional status
- § 15:22 Exemption to promote candid discussion inside agencies
- § 15:23 Focus on function of the records
- § 15:24 Rulemaking administrative record exclusions
- § 15:25 Predecisional memoranda are exempt—Types of predecisional records
- § 15:26 Claims of potential confusion from premature disclosure
- § 15:27 Predecisional memoranda are exempt—Context of the records
- § 15:28 —Studies supporting rulemaking
- § 15:29 Requiring a subsequent decision for “predecisional” status
- § 15:30 Criteria for exemption of deliberative predecisional records
- § 15:31 Burdens of proof

FEDERAL INFORMATION DISCLOSURE

- § 15:32 Protection of hierarchical and group decisions
- § 15:33 Lists of proposed attendees for agency meetings
- § 15:34 Recording of presidential decisions
- § 15:35 Records of consultants and contractors
- § 15:36 End of the “Consultant Corollary”
- § 15:37 Ending use of consultant corollary defenses
- § 15:38 Congressional communications as “predecisional”
- § 15:39 Response to Congressional subpoenas for agency internal documents
- § 15:40 Timing of the decision addressed in the withheld memorandum
- § 15:41 Predecisional memoranda and the “reasonably foreseeable” test
- § 15:42 Timing of the record
- § 15:43 Continued confidentiality of a predecisional memo after agency decisions
- § 15:44 Draft documents
- § 15:45 Predecisional memoranda in investigations
- § 15:46 Exclusions from exemption 5 coverage
- § 15:47 Studying discretion & the veterans realignment study

PART 6. POST-DECISIONAL RECORDS

- § 15:48 Post-decisional nonexempt records
- § 15:49 Finality of agency decisions
- § 15:50 Factors favoring disclosure
- § 15:51 Factors supporting withholding for postdecisional records
- § 15:52 Segregation and disclosure
- § 15:53 “No-action” records
- § 15:54 State disclosure law factors

PART 7. LEGAL PRIVILEGES

- § 15:55 Attorney-client privilege
- § 15:56 Coverage of attorney-client records
- § 15:57 Attorney-client records and limits on their circulation
- § 15:58 Communications to the attorney
- § 15:59 Attorney-client privilege—Burden of proof
- § 15:60 Disclosable policy interpretations by agency counsel
- § 15:61 Attorney-client exemption claims denied
- § 15:62 Work product privilege—Background and policy
- § 15:63 Work product privilege elements
- § 15:64 Burden of proof on work product claims

TABLE OF CONTENTS

- § 15:65 Timing and finality
- § 15:66 Work product privilege Litigation
- § 15:67 Denial of work product privilege
- § 15:68 Coverage of the work product privilege

PART 8. OTHER PRIVILEGES

- § 15:69 Other recognized privileges
- § 15:70 Shifting burdens to demonstrate harm from disclosure

PART 9. WAIVER OF PRIVILEGES

- § 15:71 Waiver and exclusions
- § 15:72 Importance of protecting deliberative interactions

PART 10. LITIGATION

- § 15:73 Litigating the exemption issues—Burden of proof
- § 15:74 —Analysis of the agency process
- § 15:75 —Requester status
- § 15:76 Affidavit use
- § 15:77 Discovery of the agency decision elements
- § 15:78 Setting up the administrative appeal
- § 15:79 Use of the Vaughn index
- § 15:80 Litigation alternatives
- § 15:81 Summary judgment
- § 15:82 Standard for judicial review
- § 15:83 Effects of the *Sierra Club* Supreme court decision
- § 15:84 Role of equitable principles
- § 15:85 Role of in camera review
- § 15:86 Release of segregable portions
- § 15:87 Importance of segregability of records
- § 15:88 Future of the exemption
- § 15:89 Non-Exempt records officially acknowledged
- § 15:90 Explanations of an Agency’s Decision
- § 15:91 Applying a functional approach to factual data
- § 15:92 Role of staff members as a factor in Exemption 5 cases
- § 15:93 Collected staff opinions as Exemption 5 material
- § 15:94 Showing formation of the agency decision
- § 15:95 How courts should scrutinize “Adopted as Final” status
- § 15:96 When facts are inextricably intertwined with deliberations

- § 15:97 Candor in news media interactions
- § 15:98 “Winnowing” staff member mental processes
- § 15:99 Functional test looks for compelling factual record
- § 15:100 Supreme Court’s view of “Draft” documents under FOIA
- § 15:101 Supreme Court disfavors use of in camera reviews
- § 15:102 Claims of harm should be more than “Boilerplate”
- § 15:103 Segregation of documents
- § 15:104 Foreseeable Harm from Disclosure” evaluations
- § 15:105 Effect of 2016 Amendments
- § 15:106 Impacts of on-line access

CHAPTER 16. THE PERSONAL PRIVACY EXEMPTION

PART 1. BACKGROUND

- § 16:1 Overview
- § 16:2 History

PART 2. COVERAGE

- § 16:3 Definitions—Personnel files
- § 16:4 Examples of personnel files
- § 16:5 Files not deemed personnel files
- § 16:6 Considerations favoring exempt status
- § 16:7 Business-related activities
- § 16:8 —Medical files
- § 16:9 —Similar files—Introduction
- § 16:10 — —Background
- § 16:11 — —Sensitive data
- § 16:12 —Personalized financial data
- § 16:13 —Similar files—Denial of exemption
- § 16:14 — —Union list
- § 16:15 — —Other categories
- § 16:16 Effect of other Nations’ privacy laws

PART 3. INVASION OF PERSONAL PRIVACY

- § 16:17 Invasion of personal privacy—Introduction
- § 16:18 —Records whose release invades privacy
- § 16:19 Expectations of privacy
- § 16:20 Invasion of personal privacy—Comparing court and agency views

TABLE OF CONTENTS

- § 16:21 —Consequences arising from disclosure
- § 16:22 —Sufficient invasions of privacy
- § 16:23 —Waiver and disclosure
- § 16:24 “Clearly unwarranted”

PART 4. BALANCING OF INTERESTS

- § 16:25 Balancing of interests under exemption (b)(6)—
Introduction
- § 16:26 —Background
- § 16:27 —Basic steps in balancing
- § 16:28 —Burdens of proof
- § 16:29 Would disclosure “Shed Light” on agency activity?
- § 16:30 Balancing of interests under exemption (b)(6)—
Subjective aspects
- § 16:31 —Effect of the Supreme Court decisions
- § 16:32 —Weighing individual interests
- § 16:33 —The public interests in disclosure
- § 16:34 Derivative use
- § 16:35 Balancing of interests under exemption (b)(6)—
Private benefits
- § 16:36 —Long-term impacts
- § 16:37 —Effects of death
- § 16:38 —Denial of exempt status
- § 16:39 —Slight vs. no public interest
- § 16:40 —Criminal-related interests
- § 16:41 —Commercial interests
- § 16:42 —Litigation-related interests
- § 16:43 —Age of the records
- § 16:44 —Public need for access
- § 16:45 —Cases of low public interests
- § 16:46 —Future misuse potential
- § 16:47 —Motive
- § 16:48 —Low privacy interest
- § 16:49 —Low public benefit of disclosure
- § 16:50 Potential injury from identity disclosures
- § 16:51 Balancing of interests under exemption (b)(6)—Why
not consider requester’s needs?
- § 16:52 Requester must articulate how data helps oversight
of agency
- § 16:53 Balancing of interests under exemption (b)(6)—Later
derivative use claims
- § 16:54 —Potential harassment upon disclosure

- § 16:55 —Complaining witnesses
- § 16:56 —Agency employee identities
- § 16:57 —Linkages to other information
- § 16:58 Role of Federal Certificates of Confidentiality for
research identities
- § 16:59 Balancing of interests under exemption (b)(6)—Risks
to informants and employees
- § 16:60 —Factors favoring withholding
- § 16:61 —Benefits status favoring disclosure
- § 16:62 —Unions
- § 16:63 —Expectations of confidentiality
- § 16:64 —Prior availability of data to public
- § 16:65 —Exemption claims denied
- § 16:66 —Per se treatment

PART 5. APPLYING THE EXEMPTION

- § 16:67 Relationship to law enforcement exemption (b)(7)
- § 16:68 Explaining the potentials for harm
- § 16:69 The special case of privacy in labor disputes
- § 16:70 Mailing lists—Introduction
- § 16:71 —The Supreme Court’s virtual ban on list disclosure
- § 16:72 —Commercial interests
- § 16:73 —Balance of interests
- § 16:74 —Effects of the Supreme Court cases
- § 16:75 —List disclosures
- § 16:76 —Lists of retired employees
- § 16:77 —The older cases
- § 16:78 —Private labor uses
- § 16:79 Relations of Privacy Act with FOIA (b)(6)

PART 6. LITIGATION

- § 16:80 Litigation of exemption (b)(6) cases—Introduction
- § 16:81 —Burden of proof
- § 16:82 —Misconduct
- § 16:83 —Deference
- § 16:84 —Agency discretion
- § 16:85 —The “neither confirm nor deny” cases
- § 16:86 —In camera review
- § 16:87 —Segregable portions
- § 16:88 Waiver of privacy exempt status
- § 16:89 Meeting agency defenses
- § 16:90 —Promises of confidentiality

TABLE OF CONTENTS

§ 16:91	—Consent to disclosure
§ 16:92	—Equity arguments fail
§ 16:93	—Litigation-related defenses
§ 16:94	—Competing interests
§ 16:95	Remedies
§ 16:96	Future of the (b)(6) exemption

Table of Contents

CHAPTER 17. LAW ENFORCEMENT RECORDS

§ 17:1	Introduction
§ 17:2	Background
§ 17:3	Evolution of the section (b)(7) exemption
§ 17:4	The 1974 amendments
§ 17:5	The 1986 amendments
§ 17:6	Definitions—“Information for law enforcement purposes”—Introduction
§ 17:7	—Effect of the 1986 amendments
§ 17:8	—“Law enforcement”
§ 17:9	—“Compiled”
§ 17:10	Types of records covered
§ 17:11	Definitions—Agency cases
§ 17:12	—Court rejection of “law enforcement purpose” claims
§ 17:13	—Functional standards
§ 17:14	—Pre-1986 case law
§ 17:15	Withholding to avoid interference with enforcement under section (b)(7)(A)—Policy
§ 17:16	—Background
§ 17:17	—Operation of the exemption
§ 17:18	—Burdens of proof
§ 17:19	—Timing
§ 17:20	—“Interference”
§ 17:21	—Concrete proceedings
§ 17:22	—History
§ 17:23	—The Supreme Court’s views
§ 17:24	—Agency misconduct
§ 17:25	—Closed files
§ 17:26	—Coercion of employees
§ 17:27	—Disclosure would cause evasion
§ 17:28	—Deference
§ 17:29	—Identity of the requester
§ 17:30	—Inference of harm
§ 17:31	—Chilling effects
§ 17:32	—Effects on future enforcement
§ 17:33	—Concrete investigations

FEDERAL INFORMATION DISCLOSURE

- § 17:34 —Categorical coverage
- § 17:35 —Effects on foreign proceedings
- § 17:36 —Describing the interference
- § 17:37 —Conflicts of claims
- § 17:38 —Effects of agency misconduct
- § 17:39 Need to show meaningful benefit of disclosure
- § 17:40 Withholding to avoid interference with enforcement
under section (b)(7)(A)—Particular claims—
Immigration
- § 17:41 — —Labor
- § 17:42 — —Antitrust
- § 17:43 — —Counterintelligence
- § 17:44 — —Administrative
- § 17:45 — —Tax enforcement
- § 17:46 — —Independent counsel
- § 17:47 — —Discipline
- § 17:48 —Outcome is not determinative
- § 17:49 —Categories
- § 17:50 —Criminal investigations
- § 17:51 —Effects of publicity
- § 17:52 —Summary judgment
- § 17:53 —Rulemaking and tort
- § 17:54 —Exemption after case conclusion
- § 17:55 Withholding to protect fair adjudication for the
accused under section (b)(7)(B)
- § 17:56 Withholding to avoid an unwarranted invasion of
privacy under section (b)(7)(C)—Introduction
- § 17:57 —Policy
- § 17:58 —Privacy defined
- § 17:59 —The balancing of interests for and against
disclosure
- § 17:60 The *Glomar* Option to “neither confirm nor deny”
records exist
- § 17:61 Withholding to avoid an unwarranted invasion of
privacy under section (b)(7)(C)—The balancing of
interests for and against disclosure—Significance of
Reporters Committee
- § 17:62 — —Balances favoring disclosure
- § 17:63 — —Balancing by degrees
- § 17:64 — —Privacy interests not overridden
- § 17:65 — —Motives of the requestor
- § 17:66 Individual need of the requester is not controlling
- § 17:67 Withholding to avoid an unwarranted invasion of

TABLE OF CONTENTS

	privacy under section (b)(7)(C)—The balancing of interests for and against disclosure—Effect of Supreme Court decisions
§ 17:68	— —Statutory purposes of FOIA
§ 17:69	— —“Unwarranted” disclosure
§ 17:70	— —Contrasts to exemption (b)(6)
§ 17:71	— —Waivers
§ 17:72	— —The stigma problem with disclosure
§ 17:73	— —Exempted categories
§ 17:74	— —Exemption because of linkages
§ 17:75	— —Types of records protected by exemption (b)(7)(C)
§ 17:76	— —Pre-1989 categorization of exempt classes
§ 17:77	— —Privacy rights of investigated persons
§ 17:78	Interests of jail inmates
§ 17:79	Withholding to avoid an unwarranted invasion of privacy under section (b)(7)(C)—The balancing of interests for and against disclosure—Business and corporate privacy claims
§ 17:80	— —Effect of promises
§ 17:81	— —Anonymous information
§ 17:82	— —Names of third parties in investigative files
§ 17:83	— —Effect on unions
§ 17:84	— —Federal employee identities
§ 17:85	Prevention of harassment
§ 17:86	Withholding to avoid an unwarranted invasion of privacy under section (b)(7)(C)—The balancing of interests for and against disclosure—Denials of exemption (b)(7)(C) status
§ 17:87	— —Procedural issues in (b)(7)(C) cases
§ 17:88	— —Redaction and deletion
§ 17:89	— —Records exemption in civil and labor proceedings
§ 17:90	— —Source confidentiality and its limits
§ 17:91	— —Context gives rise to confidentiality
§ 17:92	— —Privacy after death
§ 17:93	Consideration of age of records
§ 17:94	Withholding to avoid an unwarranted invasion of privacy under section (b)(7)(C)—The balancing of interests for and against disclosure—Informants
§ 17:95	— —Payment records
§ 17:96	— —Witnesses
§ 17:97	The Supreme Court’s <i>Favish</i> decision

FEDERAL INFORMATION DISCLOSURE

- § 17:98 Withholding to protect confidential sources under
 section (b)(7)(D)—Introduction
- § 17:99 —Policy of exemption (b)(7)(D)
- § 17:100 —Defining “confidential”
- § 17:101 —No balancing of interests occurs in (b)(7)(D)
- § 17:102 —Burden of proof
- § 17:103 —Indirect harms
- § 17:104 —Effects of the 1986 amendments
- § 17:105 —Effect of the 1993 Supreme Court *Landano*
 decision
- § 17:106 —*Landano’s* presumed confidential test
- § 17:107 —Comparing exemptions (b)(6) and (b)(7)(D)
- § 17:108 —Background checks
- § 17:109 —Relationship to the Privacy Act
- § 17:110 —Future cooperation
- § 17:111 —Retaliation not basis for generic presumption
- § 17:112 —Admissions about criminal acts
- § 17:113 —Connection to crime
- § 17:114 —Promises of confidentiality
- § 17:115 —Programs of routine confidentiality
- § 17:116 —Administrative hearing witnesses
- § 17:117 —Categorical exemptions
- § 17:118 —Context of confidentiality
- § 17:119 —How agreement was made
- § 17:120 —Informant codes
- § 17:121 —Jeopardy to future enforcement
- § 17:122 —Anonymous letters
- § 17:123 —Pre-*Landano* categorical cases
- § 17:124 —Police-FBI sharing
- § 17:125 —State parallels
- § 17:126 —Victim information
- § 17:127 —Reprisal fears
- § 17:128 —Good news
- § 17:129 —Confidentiality not based on crime
- § 17:130 —Sources need not be persons
- § 17:131 —Effect of death of the source
- § 17:132 Age of the information acquired from the source
- § 17:133 Defining “sources”
- § 17:134 —Federal agencies
- § 17:135 —Business sources
- § 17:136 —Foreign sources
- § 17:137 —States and localities as sources
- § 17:138 National security cases

TABLE OF CONTENTS

§ 17:139	Withholding to protect enforcement techniques under section (b)(7)(E)
§ 17:140	Applying Exemption 7 to homeland security issues
§ 17:141	Withholding to protect individuals from retribution under section (b)(7)(F)
§ 17:142	Exclusions—“Neither confirm nor deny” under section 552(c)
§ 17:143	When confidentiality is lost or waived
§ 17:144	Litigation—Burdens of proof
§ 17:145	—Elements of proof
§ 17:146	—Presumptions and proof burdens
§ 17:147	—Proof regarding categories
§ 17:148	—Summary judgment proof
§ 17:149	—Standard of review
§ 17:150	—Indexing
§ 17:151	—Requester identity
§ 17:152	Class actions
§ 17:153	Litigation—Brief must follow affidavits
§ 17:154	—Proof as to specific cases and status
§ 17:155	—The Eighth Circuit analysis
§ 17:156	—Cross-examination of the agency
§ 17:157	—Fact-specific balancing
§ 17:158	—Timing
§ 17:159	—Role of the district court
§ 17:160	—In camera review
§ 17:161	—Vaughn index orders
§ 17:162	—Consideration of public need
§ 17:163	—Agency witnesses
§ 17:164	Waiver, other disclosures and leaks—Introduction
§ 17:165	Segregable portions disclosable
§ 17:166	Waiver, other disclosures and leaks—Burden of proof
§ 17:167	—Leaks
§ 17:168	—Effect of trial testimony
§ 17:169	—Reference to record is not waiver of record’s exemption
§ 17:170	—No waiver if records are not similar
§ 17:171	—Criminal cases
§ 17:172	—Conditional release disfavored
§ 17:173	—Waiver by the protected person
§ 17:174	—Broad disclosure of records diminishes “harm”
§ 17:175	—Waiver by the source
§ 17:176	—Delay in disclosure as a settlement offer

FEDERAL INFORMATION DISCLOSURE

- § 17:177 —Disclosure in a private proceeding is not waiver
- § 17:178 —Criminal record files
- § 17:179 —Witness speculation
- § 17:180 —Effect of accidents
- § 17:181 —Disclosure to Congress
- § 17:182 —Criminal status irrelevant
- § 17:183 —Agency need not solicit waivers
- § 17:184 —Once publicly disclosed, must be open
- § 17:185 —Effect of plaintiff's knowledge regarding records
- § 17:186 —State openness law effects
- § 17:187 —Effect of news media coverage
- § 17:188 —Submission of new affidavits
- § 17:189 —Partial disclosure not waiver
- § 17:190 —Speculation inadequate
- § 17:191 —Interviewed person consents
- § 17:192 —Categorical treatment, not balancing, of exclusions
- § 17:193 —Waiver is exceptional
- § 17:194 —Privacy interests despite anonymity
- § 17:195 —Only the agency, not one employee, can waive
- § 17:196 —Effects of publicity by the source
- § 17:197 —Express waiver of privacy rights
- § 17:198 —Sealed court records
- § 17:199 —Waiver is disfavored in (b)(7)(D)
- § 17:200 —Late exemption claims
- § 17:201 —Protection of multiple persons' privacy
- § 17:202 —Sharing with other enforcers
- § 17:203 —Waiver by sharing with file subject
- § 17:204 Stays pending adjudication of section (b)(7) cases
- § 17:205 Effects on labor cases
- § 17:206 Use of the exemption in other litigation
- § 17:207 Future of the exemption
- § 17:208 Searches & individual privacy issues
- § 17:209 False claims and criminal arrest information

CHAPTER 18. EXEMPTIONS FOR FINANCIAL INSTITUTIONS AND GEOLOGICAL EXPLORATION

- § 18:1 The (b)(8) exemption
- § 18:2 How the Exemption has been applied
- § 18:3 The (b)(9) exemption

TABLE OF CONTENTS

CHAPTER 19. DISCLOSURE OF RECORDS OF COURTS, CONGRESS AND PRIVATE ENTITIES

- § 19:1 Records of federal courts—FOIA is unavailable
- § 19:2 Records of the courts—Common law
- § 19:3 —Presentence reports
- § 19:4 —Litigation issues
- § 19:5 —Jury access
- § 19:6 —Advisory bodies
- § 19:7 —Sealing records
- § 19:8 Records of Congress
- § 19:9 Records of private persons are not FOIA—Accessible
- § 19:10 College, school and university records under FERPA
- § 19:11 Resolving FERPA disputes
- § 19:12 State election records
- § 19:13 Access to older judicial and prosecution records
- § 19:14 Balancing rights of access to sealed court records

CHAPTER 20. THE PRIVACY ACT: HISTORY, SCOPE AND DEFINITIONS

PART 1. INTRODUCTION

- § 20:1 Overview
- § 20:2 Background
- § 20:3 Enactment of the Privacy Act
- § 20:4 The Privacy Act after adoption

PART 2. DEFINITIONS

- § 20:5 Definitions—“Agency”—Introduction
- § 20:6 —The President’s office
- § 20:7 —The courts
- § 20:8 —Congress
- § 20:9 —Private sector
- § 20:10 —States
- § 20:11 —Bankruptcy court
- § 20:12 —Individual employees
- § 20:13 —Government corporations
- § 20:14 —“Individual”—Introduction
- § 20:15 —Citizenship required
- § 20:16 —Criminal defendants and convicts

FEDERAL INFORMATION DISCLOSURE

- § 20:17 —Families
- § 20:18 —Unions
- § 20:19 —Business relationships
- § 20:20 —Deceased persons
- § 20:21 —“Record”—Introduction
- § 20:22 —Courts
- § 20:23 —Form of records
- § 20:24 —Distinguishing non-“records”
- § 20:25 —Business related records
- § 20:26 —“About” an individual
- § 20:27 —Agency personnel documents
- § 20:28 —“System of records”—Introduction
- § 20:29 —Reasons for inclusion
- § 20:30 —Systems included
- § 20:31 —Excluded from systems
- § 20:32 —First Amendment rights protection
- § 20:33 —Personnel supervision records
- § 20:34 —Enforcement of Privacy Act rights
- § 20:35 —Possibility of retrieval
- § 20:36 —“Routine use”—Introduction
- § 20:37 —Publication required
- § 20:38 —Judicial review of inclusion
- § 20:39 —Background
- § 20:40 —Litigation over routine uses
- § 20:41 —Administrative implementation
- § 20:42 —Internal sharing within an agency
- § 20:43 —Law enforcement
- § 20:44 —Compatibility
- § 20:45 —Union claims
- § 20:46 —Examples of routine uses
- § 20:47 —“Consent”
- § 20:48 —“Disclosure”—Introduction
- § 20:49 —Not a disclosure
- § 20:50 —Disclosure applied
- § 20:51 —Other public disclosure
- § 20:52 —Litigation

PART 3. OTHER ASPECTS

- § 20:53 The Privacy Act and the census
- § 20:54 The Privacy Act and the social security number—
Introduction
- § 20:55 —Criteria

TABLE OF CONTENTS

- § 20:56 —Statutory provisions
- § 20:57 —Implementation
- § 20:58 —Litigation

PART 4. FOIA AND PRIVACY ACT

- § 20:59 The Privacy Act and the Freedom of Information Act—The law since the 1984 amendment
- § 20:60 —Suing under both acts
- § 20:61 —Prior law
- § 20:62 —Background prior to 1984 amendments
- § 20:63 —Balance of interests
- § 20:64 How the CASES Act affects individual consent to disclosures

CHAPTER 21. AGENCY RESPONSIBILITIES UNDER THE PRIVACY ACT

PART 1. INTRODUCTION

- § 21:1 Overview

PART 2. COLLECTION OF INFORMATION

- § 21:2 Agency collection of information—Background
- § 21:3 —Lack of specific requirements
- § 21:4 Effects of the Supreme Court decisions in *Nelson* and *Chrysler*
- § 21:5 Agency collection of information—Direct collection
- § 21:6 —Notices and informed consents
- § 21:7 —Balancing the competing interests

PART 3. USE OF INDIVIDUAL RECORDS

- § 21:8 Retention and use of information on individuals—Overview
- § 21:9 Relevance and necessity
- § 21:10 OMB guidance
- § 21:11 Accuracy of the records
- § 21:12 Burden of proof
- § 21:13 Timely records
- § 21:14 First Amendment rights
- § 21:15 The need to know

- § 21:16 Individual records
- § 21:17 Remedies
- § 21:18 Safeguards
- § 21:19 Matching files
- § 21:20 Completeness
- § 21:21 Releases
- § 21:22 Taxes
- § 21:23 Publication requirements

PART 4. CONSENT EXCEPTIONS

- § 21:24 Exceptions to the consent requirements—Introduction
- § 21:25 —Routine uses
- § 21:26 —Freedom of Information Act disclosures
- § 21:27 —Congress
- § 21:28 —Emergency and family disclosures
- § 21:29 —Courts
- § 21:30 —Notice to persons
- § 21:31 —Law enforcement
- § 21:32 —Exceptions and exemptions
- § 21:33 —Intertwined portions withheld
- § 21:34 —Unions
- § 21:35 —Agency head
- § 21:36 —Procedural issues

PART 5. INTERNAL CONTROL

- § 21:37 Agency internal control requirements

PART 6. PRIVACY ACT EXEMPTIONS

- § 21:38 General exemptions—Overview
- § 21:39 —Law enforcement
- § 21:40 —CIA exemption
- § 21:41 — —Homeland Security exemption
- § 21:42 —Legal standard
- § 21:43 —Pre-Act coverage
- § 21:44 —Remedies
- § 21:45 Specific exemptions—Overview
- § 21:46 —Exclusionary effects
- § 21:47 —Aspects not exempted
- § 21:48 —Statutory exemptions
- § 21:49 —Reasons for exemption
- § 21:50 —History

TABLE OF CONTENTS

- § 21:51 —OMB guidance
- § 21:52 —Investigatory activities by law enforcement
- § 21:53 —Narrowing effect
- § 21:54 —Coverage
- § 21:55 —Exemption regulations
- § 21:56 —Source information during a criminal investigation
- § 21:57 —Procedural aspects
- § 21:58 The litigation exemption

PART 7. PARTICULAR PRIVACY PROVISIONS

- § 21:59 Mailing lists

CHAPTER 22. ASSERTING AND LITIGATING INDIVIDUAL PRIVACY ACT RIGHTS

PART 1. RIGHTS OF ACCESS

- § 22:1 Introduction
- § 22:2 Rights of access—Use of access
- § 22:3 —How access is obtained
- § 22:4 —Proper identification of the requester
- § 22:5 —Copying fees
- § 22:6 —Extent of access
- § 22:7 —Files about organizations
- § 22:8 —The request
- § 22:9 —Search fees
- § 22:10 —Medical records
- § 22:11 —Timing
- § 22:12 —Notices of appeal rights
- § 22:13 —Agency defenses
- § 22:14 —Plaintiff's burden
- § 22:15 —Third party objections
- § 22:16 —Discovery
- § 22:17 —Formats of records released

PART 2. RIGHTS OF AMENDMENT AND CORRECTION

- § 22:18 Rights of amendment and correction—Overview
- § 22:19 —Process for amendment
- § 22:20 —Expungement

- § 22:21 —Placing rebuttal statements in the file
- § 22:22 —Rights of the plaintiff-requestor
- § 22:23 —Denial of amendment requests
- § 22:24 —Failed attempts at changing content of agency decisions
- § 22:25 —Appeals in court
- § 22:26 —Effect of exemptions
- § 22:27 —Effect of union contracts
- § 22:28 —Opinion and fact distinctions in records content

PART 3. PRIVACY ACT LITIGATION

- § 22:29 Privacy Act litigation: jurisdiction and procedure—
Background
- § 22:30 Privacy Act litigation: jurisdiction and procedure—
Jurisdiction
- § 22:31 Privacy Act litigation: jurisdiction and procedure—
Venue
- § 22:32 —Standard of review
- § 22:33 —Discovery
- § 22:34 —Summary judgment of dismissal
- § 22:35 —Identifying defendants
- § 22:36 Privacy Act litigation: jurisdiction and procedure—
Timing and statute of limitations
- § 22:37 Privacy Act litigation: jurisdiction and procedure—
Waiver
- § 22:38 —Standing
- § 22:39 —Res judicata
- § 22:40 —Mootness
- § 22:41 —Exhaustion of remedies
- § 22:42 —Agency affidavits and evidence
- § 22:43 —In camera review
- § 22:44 —Fee awards
- § 22:45 —Effects of other statutes
- § 22:46 —Exceptional cases
- § 22:47 —Other causes of action
- § 22:48 —Elements of the plaintiff's case
- § 22:49 — —Agency
- § 22:50 — —Individual records
- § 22:51 — —Exempt status
- § 22:52 — —Accuracy challenges
- § 22:53 — —Causal effect of agency action
- § 22:54 — —Plaintiff's burden of proof

TABLE OF CONTENTS

§ 22:55	Spoliation & negative inferences
§ 22:56	Supreme Court denies non-pecuniary damage awards
§ 22:57	Privacy Act litigation: jurisdiction and procedure— Elements of the plaintiff's case—Damages
§ 22:58	— —Adverse determinations
§ 22:59	—“Willful” violations
§ 22:60	—Agency defenses to Privacy Act suits—Overview
§ 22:61	—Agency defenses to Privacy Act suits—Lack of Privacy Act coverage
§ 22:62	— —Burden of proving exempt status
§ 22:63	— —Negligence
§ 22:64	— —Types of disclosures
§ 22:65	— —Destruction
§ 22:66	— —Damages issues
§ 22:67	— —Collateral estoppel
§ 22:68	— —Judicial deference
§ 22:69	— —Agency defenses
§ 22:70	— —Exhaustion of remedies
§ 22:71	— —Causation
§ 22:72	— —Segregation of disclosable files
§ 22:73	— —Settlement
§ 22:74	— —Constitutional claims
§ 22:75	Remedies for data breach cases
§ 22:76	Privacy Act litigation: jurisdiction and procedure— Remedies in Privacy Act suits—Overview
§ 22:77	— —Procedure
§ 22:78	— —Remedies of injunctions and damages
§ 22:79	— —Alternative claims
§ 22:80	— —Remedies not available
§ 22:81	— —Attorney fees
§ 22:82	— —Amendment and accounting
§ 22:83	— —When remedies are denied
§ 22:84	Criminal action

PART 4. PROTECTING FIRST AMENDMENT RIGHTS

§ 22:85	Protections for First Amendment rights—Background
§ 22:86	—Law enforcement exceptions
§ 22:87	—Remedies
§ 22:88	—Scope of protections
§ 22:89	Family Educational Rights & Privacy Act
§ 22:90	Addiction information

§ 22:91 Defining what data is “Personal”

CHAPTER 23. GOVERNMENT IN THE SUNSHINE

- § 23:1 Overview
- § 23:2 Definitions—“Agency”
- § 23:3 —“Meeting”
- § 23:4 Rights created by the Sunshine Act
- § 23:5 Agency responsibilities under the Sunshine Act
- § 23:6 Exemptions from open meetings
- § 23:7 —Special exemptions
- § 23:8 The Sunshine Act in court

CHAPTER 24. FEDERAL ADVISORY COMMITTEE ACT

- § 24:1 Overview
- § 24:2 Definitions—Advisory committee
- § 24:3 —Contractor panels
- § 24:4 —Courts
- § 24:5 —Special situations
- § 24:6 —Utilized committees
- § 24:7 —Balance of committees
- § 24:8 —Established groups
- § 24:9 —Committees established to advise
- § 24:10 —Excluded groups
- § 24:11 Operation of advisory committees
- § 24:12 Courts and the committees
- § 24:13 FACA and FOIA
- § 24:14 Declaratory judgment remedy

CHAPTER 25. PUBLICITY AND THE AGENCIES

- § 25:1 Federal agency publicity
- § 25:2 Solutions to the adverse publicity quandary
- § 25:3 Analyzing federal agency publicity: your legal options

CHAPTER 26. INTERNET & OTHER METHODS OF FEDERAL DISCLOSURE

- § 26:1 Internet disclosure via websites
- § 26:2 Rights to electronic access

TABLE OF CONTENTS

- § 26:3 Remedies for disclosure-related disputes
- § 26:4 Mandates for agency-compelled private disclosures
- § 26:5 Environmental and worker “right to know”

CHAPTER 27. STATE INFORMATION AND ACCESS LAWS

- § 27:1 Overview
- § 27:2 What should requesters do?
- § 27:3 Definitions of a public record
- § 27:4 Definitions—Required to be kept
- § 27:5 Broad statutory coverage
- § 27:6 Records outside statutory coverage
- § 27:7 Records of a public agency
- § 27:8 Access rights and policies
- § 27:9 Effect of supreme court *McBurney* decision
- § 27:10 Persons entitled to access
- § 27:11 Procedures for access
- § 27:12 Access to electronic records
- § 27:13 Exemptions and exceptions—Generally
- § 27:14 Litigation defenses
- § 27:15 Commercial submissions
- § 27:16 Personal privacy
- § 27:17 Law enforcement
- § 27:18 Special statutes
- § 27:19 Statutory classes of exemption
- § 27:20 Internal agency records
- § 27:21 Legal privileges
- § 27:22 Open meetings and sunshine laws
- § 27:23 Judicial review of access denials
- § 27:24 Preemption of state freedom of information laws by federal statutes
- § 27:25 District of Columbia laws
- § 27:26 Medical records
- § 27:27 Confidential business data
- § 27:28 Educational discipline records
- § 27:29 Frontiers of state privacy statutes
- § 27:30 Biometric privacy & facial recognition
- § 27:31 State privacy and abortion-tracking issues
- § 27:32 Rapid evolution of privacy legislation
- § 27:33 Cybersecurity state legislation
- § 27:34 State-federal cooperative developments

CHAPTER 28. FEDERAL “OPEN DATA” LEGISLATION

- § 28:1 Background
- § 28:2 OPEN
- § 28:3 Key Definitions
- § 28:4 Implementation
- § 28:5 Inventory & catalogue mandates
- § 28:6 Repository
- § 28:7 Access to other data assets
- § 28:8 Confidential Information Protection & Statistical
Efficiency Act of 2018
- § 28:9 Scope & definitions
- § 28:10 Coordination and oversight of policies
- § 28:11 Roles of Statistical agencies
- § 28:12 Effect on Other Federal Statutes
- § 28:13 No Preemption of state law
- § 28:14 Enforcement & penalties use of statistical data
- § 28:15 Confidentiality for statistics & input data
- § 28:16 Confidential information protection under section
3572
- § 28:17 Disclosure of statistical data or information.
- § 28:18 Criminal felony fines & penalties
- § 28:19 Privacy Impacts of Section 208 of the E-Government
Act

APPENDICES

- Appendix A. The Freedom of Information Act (5 U.S.C.A.
§ 552)
- Appendix B. The Privacy Act of 1974
- Appendix C. Government in the Sunshine Act
- Appendix D. Federal Advisory Committee Act
- Appendix E. Family Educational and Privacy Rights Act
(Buckley Amendment)
- Appendix F. CFR Finding Lists
- Appendix G. State Open Records Legislation
- Appendix H. Legislative Bibliography
- Appendix I. Model Forms

Table of Cases

Index