

Table of Contents

CHAPTER 1. THE PURPOSES OF SENTENCING

- § 1:1 Generally
- § 1:2 Three major purposes of sentencing
- § 1:3 The principles of evidence-based practice
- § 1:4 Consideration of least restrictive alternative disposition of criminal cases

CHAPTER 2. THE FELONY PLEA

- § 2:1 Plea bargaining—Authority of the court and prosecutor
 - § 2:2 —Offer by the prosecution
 - § 2:3 —Approval by the court
 - § 2:4 —Nature of the offer
 - § 2:5 —Ineffective assistance of counsel
 - § 2:6 —Confusion over the offer
 - § 2:7 —Specific performance of plea bargain
 - § 2:8 —Impact of restrictions on plea bargaining
 - § 2:9 —Indicated sentence
 - § 2:10 —Remedy for improper judicial plea bargain
 - § 2:11 Taking the plea
 - § 2:12 —Statement of the terms of the plea
 - § 2:13 —Waiver of constitutional rights
 - § 2:14 —Advisement of the direct consequences of the plea
 - § 2:15 —Indirect or collateral consequences
 - § 2:16 —The factual basis for the plea
 - § 2:17 —Statement of findings by the court
 - § 2:18 —Additional waivers
 - § 2:19 —Financial disclosure for restitution
 - § 2:20 —Plea agreement after trial
 - § 2:21 Advisement of firearms exclusion (§ 29810)
- Appendix 2A. Oral Arraignment on Felony Plea
- Appendix 2B. Crimes Subject to Gun Relinquishment Under § 29810

CHAPTER 3. REFERRAL TO PROBATION AND SETTING OF SENTENCING

- § 3:1 Misdemeanor convictions—Summary sentencing

- § 3:2 —Misdemeanor sex offenses
- § 3:3 —Information to consider
- § 3:4 Referral for felony convictions—Necessity of referral
- § 3:5 Contents of the probation report
- § 3:6 —Hearsay statements
- § 3:7 Timing of the sentencing hearing—Misdemeanors
- § 3:8 —Felonies
- § 3:9 Service of the probation report
- § 3:10 Access to the probation report
- § 3:11 —Request for disclosure of report

CHAPTER 4. WITHDRAWAL OF OR SETTING ASIDE GUILTY PLEA

- § 4:1 Generally
- § 4:2 Showing of good cause
- § 4:3 Burden of proof
- § 4:4 Liberal construction
- § 4:5 Estoppel
- § 4:6 Effect of granting motion
- § 4:7 Duty of the court
- § 4:8 Time limit for motion
- § 4:9 Grounds for the motion—Mistake, ignorance, inadvertence or any other factor overreaching defendant's free and clear judgment
- § 4:10 —Defendant not represented by counsel; good cause still must be shown
- § 4:11 —Defendant was denied effective assistance of counsel
- § 4:12 —Breach of plea agreement
- § 4:13 —Defendant was not correctly advised of the consequences of the plea
- § 4:14 —Mental incompetence at the time of the plea
- § 4:15 —Where the plea contemplates an illegal sentence
- § 4:16 —Judge who took plea becomes unavailable
- § 4:17 —Co-defendants
- § 4:18 —Other grounds
- § 4:19 The people's right to set aside a plea
- § 4:20 Counsel's obligation to make a motion to withdraw a plea
- § 4:21 No reconsideration of order granting motion to withdraw plea
- § 4:22 Specific performance instead of withdrawal of plea
- § 4:23 Post-judgment motions to withdraw a plea—
Coram nobis
- § 4:24 —Habeas corpus

TABLE OF CONTENTS

- § 4:25 Immigration consequences—Advisement of immigration consequences by the court
- § 4:26 —*Padilla v. Kentucky*—Counsel’s duty to advise of immigration consequences
- § 4:27 —Motion to withdraw guilty plea for failure to advise of immigration consequences
- § 4:28 Motion to vacate conviction or sentence based on immigration advisement (§ 1473.7)
- § 4:29 Use of section 1385
- § 4:30 *Sumstine* motions to collaterally attack plea

CHAPTER 5. EVIDENCE-BASED SENTENCING PRACTICES

- § 5:1 The problem
- § 5:2 The challenge
- § 5:3 Purposes of sentencing
- § 5:4 —Accountability (“just deserts”)
- § 5:5 —Public safety
- § 5:6 —Restitution/restoration
- § 5:7 The legislative response
- § 5:8 The role of the courts
- § 5:9 What is Evidence-Based Practice?
- § 5:10 Principles of Evidence-Based Practice
- § 5:11 The risk principle—Who should receive services
- § 5:12 The needs principle—What are the risk factors
- § 5:13 The treatment principle—What works
- § 5:14 Probation violations
- § 5:15 The proper use of EBP at sentencing

CHAPTER 6. THE SENTENCING HEARING

- § 6:1 The presence of the defendant—Felony cases
- § 6:2 —Misdemeanor cases
- § 6:3 What the court may consider
- § 6:4 —The transcript of the plea
- § 6:5 —Report of the probation officer
- § 6:6 —Statements in mitigation and aggravation
- § 6:7 —Private probation report (§ 1204)
- § 6:8 —Testimony of witnesses
- § 6:9 —Reports prepared under section 1203.03
- § 6:10 —Facts of charges dismissed as part of a plea bargain
- § 6:11 —Factors that were implicitly found not true by the jury
- § 6:12 —Defendant’s perjury
- § 6:13 —Letters
- § 6:14 —Reports of experts

- § 6:15 What the court should not consider
- § 6:16 —Evidence that a court later determines was
illegally seized
- § 6:17 —Arrests and convictions when diversion
successfully completed
- § 6:18 —Arrests not resulting in convictions
- § 6:19 —Juvenile records sealed under Welfare and
Institutions Code section 389
- § 6:20 —Specified marijuana offenses
- § 6:21 —Dismissed charges not transactionally related
- § 6:22 Rejection of plea bargain
- § 6:23 Opening comments
- § 6:24 —Calling of the case
- § 6:25 —Legal cause preventing judgment; waiver of
arraignment
- § 6:26 —Statement of what was read and considered
- § 6:27 —Tentative sentence
- § 6:28 —Further comments

CHAPTER 7. DEFERRED ENTRY OF JUDGMENT; DIVERSION; ALTERNATIVE SENTENCING

- § 7:1 Generally
- § 7:2 Drug diversion (§§ 1000–1000.6)
- § 7:3 Bad check diversion program (§ 1001.60)
- § 7:4 Child abuse and neglect diversion
- § 7:5 Diversion of cognitive developmentally disabled
persons (§§ 1001.20–1001.34)
- § 7:6 Diversion for misdemeanor offenses
- § 7:7 Prostitution/drug offenses and AIDS education
(§ 1001.10(b))
- § 7:8 Multiple charges
- § 7:9 Restitution fee
- § 7:10 Pregnant and parenting women’s alternative
sentencing program act (§§ 1174–1174.9)
- § 7:11 Home detention; electronic monitoring
(§§ 1203.016 and 1203.017)—Home detention
(§ 1203.016)
- § 7:12 —Involuntary home detention (§ 1203.017)
- § 7:13 Home detention; Electronic monitoring
(§§ 1203.016 and 1203.017)—Custody credits
- § 7:14 Work, job training or education furlough (§ 1208)
- § 7:15 Sealing of record after diversion
- § 7:16 Dismissal of charges
- § 7:17 Diversion of military veterans charged with a
misdemeanor (§ 1001.80)
- § 7:18 Mental health diversion (§ 1001.36)

TABLE OF CONTENTS

§ 7:19	Mental health diversion—Crimes eligible for diversion
§ 7:20	—When diversion may be granted
§ 7:21	—Determining eligibility and suitability for diversion
§ 7:22	—Statutory eligibility for diversion
§ 7:23	—Statutory suitability for diversion
§ 7:24	—Preserving judicial discretion
§ 7:25	—Need for a psychological report
§ 7:26	—Program requirements
§ 7:27	—Firearms restrictions
§ 7:28	—Termination or modification of treatment
§ 7:29	—Successful completion of diversion
§ 7:30	—Diversion of persons incompetent to stand trial
§ 7:31	—Funding and placement issues
§ 7:32	Primary Caregiver Diversion (§ 1001.83)
§ 7:33	Caregiver Diversion—Voluntary participation by the court
§ 7:34	—Components of the program
§ 7:35	—Qualification requirements
§ 7:36	—Defendant’s participation
§ 7:37	—Criminal record
§ 7:38	—Retroactivity of statute
§ 7:39	Court initiated misdemeanor diversion (§§ 1001.95, <i>et seq.</i>)
§ 7:40	Diversion of mentally incompetent misdemeanor offenders (§ 1370.01)
§ 7:41	Diversion for theft offenses (§ 1001.81)
Appendix 7A.	Procedural Checklist for Mental Health Diversion (§§ 1001.35 and 1001.36)
Appendix 7B.	Offenses listed in P.C. § 667(e)(2)(C)(iv)
Appendix 7C.	Mental Health Diversion—§ 1001.36
Appendix 7D.	Payment For Expert Report

CHAPTER 8. THE PROBATION SENTENCE

§ 8:1	The purposes of probation
§ 8:2	Eligibility for probation
§ 8:3	Presumptively eligible for probation
§ 8:4	—Factors to consider in granting or denying probation
§ 8:5	Eligible for probation only in unusual cases
§ 8:6	—Pleading and proof of disqualifying factor
§ 8:7	—Applicable crimes
§ 8:8	Ineligible for probation in all circumstances
§ 8:9	—Pleading and proof of the disqualification

- § 8:10 —Defendants statutorily ineligible for a grant of probation
- § 8:11 —Ability to dismiss disqualifying factor
- § 8:12 Probation—Illegal alien status
- § 8:13 The probation sentence—Suspend imposition or execution of state prison sentence
- § 8:14 —Summary probation
- § 8:15 —The length of probation
- § 8:16 Misdemeanor offenses
- § 8:17 Felony Offenses
- § 8:18 Domestic Violence Cases
- § 8:19 Driving under the influence
- § 8:20 When a case has a mix of probation terms
- § 8:21 Effective date of AB 1950
- § 8:22 Extension of term of probation
- § 8:23 Imposition of custody term as a condition of probation
- § 8:24 Miscellaneous issues
- § 8:25 Extension of term of probation
- § 8:26 The probation sentence—The conditions of probation
- § 8:27 —Specific terms of probation
- § 8:28 Acceptance of terms
- § 8:29 Modification of terms of probation
- § 8:30 Transfer of probation to another county
- § 8:31 Request for sentencing while on probation—§ 1203.2a
- § 8:32 Application of *People v. Leiva*
- § 8:33 Application of competency procedures to probationers (§ 1368)
- § 8:34 Early termination of probation
- § 8:35 Right to automatic conviction record relief; advisement by the court
- § 8:36 Prehearing release of probationers (AB 1228)
- Appendix 8A. Crimes Excluded From The One-Year Or Two-Year Limit On Probation Due To Specific Probation Lengths (Pen. Code, §§ 1203a(b), 1203.1(l)(1))
- Appendix 8B. Crimes Excluded From The Two-Year Limit On Probation Due To Inclusion In Penal Code Section 667.5(c) OR SECTION 1203.1(l)(2)
- Appendix 8C. Checklist for Prehearing Release on Probation Violations

CHAPTER 9. PROPOSITION 36: SUBSTANCE ABUSE AND CRIME PREVENTION ACT OF 2000

- § 9:1 The purpose of Proposition 36

TABLE OF CONTENTS

§ 9:2	Effective date of Proposition 36
§ 9:3	Eligibility for treatment
§ 9:4	—Eligible crimes
§ 9:5	—Ineligible crimes
§ 9:6	—Mixed eligibility
§ 9:7	—Eligible defendants
§ 9:8	Probation—Referral to probation department
§ 9:9	—Conditions of probation
§ 9:10	—Drug testing
§ 9:11	—Fees
§ 9:12	—Use of drug tests
§ 9:13	—Revocation of probation for program failure
§ 9:14	—Transfer of cases to county of residence
§ 9:15	Disposition after violation of probation
§ 9:16	—Non-drug-related violations
§ 9:17	—Drug-related violations
§ 9:18	Custody status of the defendant pending disposition—New drug possession offense
§ 9:19	—Drug-related violation of probation
§ 9:20	Sentence to state prison after violation of probation
§ 9:21	Persons on probation for drug offenses as of effective date
§ 9:22	Defendants on probation for non-drug-related crimes
§ 9:23	Expungement
§ 9:24	—Status of expunged convictions
§ 9:25	Defendants on Parole
§ 9:26	Defendants subject to the Three Strikes Law
§ 9:27	—Sentencing for current crime (§ 1210.1(b)(1))
§ 9:28	—Violation of probation (§ 1210.1(e)(1))
§ 9:29	—Parolees (§ 3063.1(b)(1))
§ 9:30	Procedure under Proposition 36

CHAPTER 10. APPLICATION OF *CUNNINGHAM V. CALIFORNIA*

§ 10:1	Generally
§ 10:2	Introduction
§ 10:3	— <i>Blakely v. Washington</i> (2004) 542 U.S. 296
§ 10:4	— <i>United States v. Booker</i> (2005) 543 U.S. 220
§ 10:5	— <i>Cunningham v. California</i> (2007) 549 U.S. 270
§ 10:6	— <i>Alleyne v. United States</i> (2013) 133 S.Ct. 2151
§ 10:7	Application of <i>Cunningham</i> to california sentencing
§ 10:8	—Application of <i>Cunningham</i> to cases final prior to January 22, 2007
§ 10:9	The legislative response

- § 10:10 —Practice under the amended statute
- § 10:11 —Ex post facto concerns
- § 10:12 —Correction of a drafting omission in SB 40
- § 10:13 —Pleading aggravating circumstances
- § 10:14 Sentencing circumstances where *Cunningham* and *Blakely* do not apply
- § 10:15 Sentencing circumstances where *Cunningham* and *Blakely* do apply
- § 10:16 Practical application of *Cunningham/Blakely*—Indeterminate terms
- § 10:17 —Determinate term enhancements added to indeterminate terms
- § 10:18 —Three Strikes cases
- § 10:19 —Misdemeanor sentences

CHAPTER 11. SENTENCING AFTER REALIGNMENT

- § 11:1 Generally
- § 11:2 Felony commitments
- § 11:3 —Defendants committed to county jail (§ 1170(h)(5))
- § 11:4 —Felonies excluded from county jail
- § 11:5 —Felonies specifying punishment in state prison and felonies without a designated housing
- § 11:6 —Conflicts in the designation of punishment
- § 11:7 —Alternatives to Commitment to Jail or Prison
- § 11:8 —No Parole Following Release From Jail Commitment
- § 11:9 Imposition of Sentence Under section 1170(h)(5)
- § 11:10 Imposition of sentence under section 1170(h)—Practical application
- § 11:11 —Sentencing script
- § 11:12 —Concurrent and consecutive sentences
- § 11:13 Use of flash incarceration (§ 1203.35)
- § 11:14 Imposition of sentence under section 1170(h)—The early release
- § 11:15 —Modification or termination of a sentence imposed under section 1170(h)
- § 11:16 —Violations of mandatory supervision
- § 11:17 —Tolling of supervision period
- § 11:18 —Transfer of supervision under section 1203.9; transfer to another state
- § 11:19 The Misdemeanor Sentence
- § 11:20 Effective Date of Section 1170(h)
- § 11:21 Multiple Counts, Mixed Punishment
- § 11:22 Multiple counts, mixed punishment—Application of the exclusion provisions
- § 11:23 —Application of sections 1170(d) and (e)

TABLE OF CONTENTS

§ 11:24	—Crimes committed in county jail while serving sentence under section 1170(h)
§ 11:25	—Reconciliation of realignment legislation with probation ineligibility statutes
§ 11:26	—Exercise of discretion under sections 17(b), 1203.4, and 1203.41
§ 11:27	—Execution of a prior suspended sentence
§ 11:28	—Status of defendants sentenced to state prison prior to October 1, 2011
§ 11:29	—Crimes punishable by “state prison” or “pursuant to subdivision (h) of Section 1170”
§ 11:30	—Commitment under section 1170(h)(5) as a “prior” under section 667.5(b)
§ 11:31	—Prior convictions in another jurisdiction (§ 668)
§ 11:32	—Collection of victim restitution
§ 11:33	—Restitution fines
§ 11:34	—Expansion of home detention programs
§ 11:35	—Contracts with Department of Corrections and Rehabilitation and other counties
§ 11:36	—Cases from multiple jurisdictions
§ 11:37	Multiple counts, mixed punishment— Commitments to the California Rehabilitation Center (CRC) (Welf. & Inst. §§ 3050, et seq.)
§ 11:38	Multiple counts, mixed punishment—Application of California Vehicle Code, § 41500
§ 11:39	—Application of Section 1368 proceedings
§ 11:40	—Parole/ PRCS advisement at sentencing
§ 11:41	—Attorney fees
§ 11:42	—Supervision fees
§ 11:43	—Affordable Care Act
§ 11:44	—Work furlough programs
§ 11:45	—Application of section 1203.2a to commitments under section 1170(h)
§ 11:46	—Application of <i>People v. Leiva</i>
§ 11:47	—Violations occurring during period when mandatory supervision is not active
§ 11:48	—Ability of the court to issue a criminal protective order
§ 11:49	—Sentencing violations of section 4573 and 4573.5; denial of equal protection
§ 11:50	Certificate of rehabilitation
§ 11:51	Mitigating change in law applicable if case on appeal from order revoking split sentence
§ 11:52	Persons on mandatory supervision resentenced after violent felony
§ 11:53	Custody Credits—Sentences to county jail
§ 11:54	—Sentences to state prison

SENTENCING CALIFORNIA CRIMES

- § 11:55 —Credit for sentences imposed after October 1, 2011, for crimes committed prior to the effective date
- § 11:56 —Violations of probation
- § 11:57 —Equal protection
- § 11:58 —Summary of rules governing calculation of credits
- § 11:59 —Specialized work credits
- § 11:60 —Credits and parole eligibility as a result of a federal court order
- § 11:61 —Custody credits for crime committed while on mandatory supervision
- § 11:62 Custody credits—Additional material on custody credits
- § 11:63 Postrelease Community Supervision (PRCS) (§§ 3450-3465)
- § 11:64 Postrelease Community Supervision (PRCS)—Applicable crimes
- § 11:65 —Length of PRCS
- § 11:66 —Conditions of PRCS
- § 11:67 —PRCS revocation fine
- § 11:68 —Violation of PRCS
- § 11:69 —Transfer of PRCS (§ 3460)
- § 11:70 —Demands for production
- § 11:71 —Application of Section 1368 proceedings
- § 11:72 —Legal issues related to PRCS
- § 11:73 —Affordable Healthcare Act
- § 11:74 —Work furlough programs
- § 11:75 —Contracts for medical services
- § 11:76 —Application of *People v. Leiva*
- § 11:77 Parole- Adjudication of Parole Violations (§ 3000.08, effective July 1, 2013)
- § 11:78 Parole—Inmates subject to new procedure
- § 11:79 —Supervision procedure by agency
- § 11:80 —Referral to court
- § 11:81 —Acceptance of proposed sanctions
- § 11:82 —Parole revocation fine
- § 11:83 —Application of Section 1368 proceedings
- § 11:84 —Potential conflict with Marsy’s Law
- § 11:85 —Potential application of *Valdivia* consent decree
- § 11:86 —Affordable Healthcare Act
- § 11:87 —Work furlough programs
- § 11:88 —Application of *People v. Leiva*
- § 11:89 Issuance of criminal protective orders for persons on mandatory supervision, PRCS or parole
- § 11:90 Entry of a stipulated order of modification after waiver of appearance

TABLE OF CONTENTS

§ 11:91	Application of Section 1368 to Mandatory Supervision, PRCS and Parole
§ 11:92	Application of <i>Valdivia</i> Consent Decree to Parole
§ 11:93	Determination of probable cause for detention
§ 11:94	Use of hearsay
§ 11:95	Tolling of the supervision period
§ 11:96	Review of court's decision
Appendix 11A.	Table of Crimes Punishable in State Prison or County Jail Under Section 1170(h)
Appendix 11B.	Summary of Sentencing Under Pen. Code, § 1170, subd. (h)
Appendix 11C.	Modification, Revocation, & Termination of Supervision
Appendix 11D.	PRCS/Parole Advisement at Sentencing
Appendix 11E.	Parole Violation Flow Chart

CHAPTER 12. BASIC STATE PRISON OR SECTION 1170(H) SENTENCE (DETERMINATE SENTENCING LAW)

§ 12:1	Generally
§ 12:2	Deny probation
§ 12:3	Determine the sentence
§ 12:4	—The base term
§ 12:5	Imposition of the upper term of imprisonment, mandatory imposition of the lower term of imprisonment, service of term imposed for an enhancement
§ 12:6	Imposing an upper term of imprisonment
§ 12:7	Required imposition of the low term of imprisonment
§ 12:8	Place of custody for service of sentence on enhancement follows the base term
§ 12:9	Selecting the base term—Court's discretion
§ 12:10	Determine the sentence—Enhancements
§ 12:11	Required striking of enhancements under section 1385
§ 12:12	Striking or staying conduct enhancements, generally
§ 12:13	Determine the sentence—Fines in addition to a prison or section 1170(h) term
§ 12:14	Convictions involving alcohol or drugs
§ 12:15	Record of conviction
Appendix 12A.	State Prison/Section 1170(h) Sentence Checklist
Appendix 12B.	Table of Conduct Enhancements

Appendix 12C. Table of Status Enhancements

CHAPTER 13. MULTIPLE COUNTS

- § 13:1 Generally
- § 13:2 Whether any counts are subject to section 654—
Overview of application of section 654
- § 13:3 —Context of section 654
- § 13:4 —Indivisible course of conduct
- § 13:5 —Multiple victims of violence
- § 13:6 —Application to enhancements
- § 13:7 —Waiver of error
- § 13:8 —Examples of the application of section 654
- § 13:9 Application of section 654 in setting maximum
term under section 1026
- § 13:10 Whether any counts are subject to section 654—
Procedure
- § 13:11 —Lesser-included offenses
- § 13:12 —Appellate review
- § 13:13 “Splintering” of counts or charges
- § 13:14 —Cases discussing “splintering”
- § 13:15 Whether to impose consecutive or concurrent
sentences
- § 13:16 —Factors relating to the crimes
- § 13:17 —Mandatory consecutive sentencing
- § 13:18 Prison or section 1170(h) sentence with
concurrent terms
- § 13:19 Prison or section 1170(h) sentence with
consecutive terms
- § 13:20 Crimes committed in prison
- § 13:21 Sentences with extremely long terms

**CHAPTER 14. PRISON SENTENCE
WITH MULTIPLE CASES**

- § 14:1 Generally
- § 14:2 The package disposition
- § 14:3 Sequential sentencing

**CHAPTER 15. CREDIT FOR TIME
SERVED**

- § 15:1 Generally
- § 15:2 General rule
- § 15:3 Introduction
- § 15:4 The applicable rules
- § 15:5 Exclusion from the enhanced credit provisions
- § 15:6 Calculation of credits
- § 15:7 Additional issues
- § 15:8 Additional credit issues—Aggregate credits

TABLE OF CONTENTS

§ 15:9	—When credits exceed the sentence (“paper commitment”)
§ 15:10	—Full actual time and conduct credits
§ 15:11	—Credits earned over different time periods
§ 15:12	—Limitations on custody credits
§ 15:13	—Denial of pretrial conduct credits
§ 15:14	—Waiver of credits
§ 15:15	—Credits after appeal or reconsideration of sentence
§ 15:16	—Correction of credits
§ 15:17	—Appeal of credit calculation
§ 15:18	—Applying custody credits to fines
§ 15:19	—Credit for participation in rehabilitation programs (§ 4019.4)
§ 15:20	—Credits after resentencing
§ 15:21	—Credits with resentencing with violent felony
§ 15:22	—Specialized work credits
§ 15:23	Oral statement of custody credits
§ 15:24	Custody credits while in state prison
§ 15:25	Custody credit for juveniles on electronic monitoring

Appendix 15A. Summary of Key Credit Provisions

CHAPTER 16. VICTIM RESTITUTION

§ 16:1	Right to restitution
§ 16:2	Scope of restitution obligation: probation vs. state prison/section 1170(h)
§ 16:3	<i>Harvey</i> waiver
§ 16:4	“Direct Victim” for purpose of restitution
§ 16:5	—Personal loss recoverable
§ 16:6	—When victim has died
§ 16:7	Order for income deduction (§ 1202.42)
§ 16:8	Statement of calculation
§ 16:9	Traditional tort rules
§ 16:10	Economic loss
§ 16:11	Non-economic loss
§ 16:12	Restitution hearings
§ 16:13	—Participation by district attorney at restitution hearing
§ 16:14	—Ability to pay
§ 16:15	—Victim’s insurance
§ 16:16	—Restitution order vs. civil proceeding
§ 16:17	—Offset to defendant
§ 16:18	Payments by the State Victim Compensation and Government Claims Board
§ 16:19	Payments by the State Bar Client Security Fund (Bus. & Prof. Code § 6140.5)

- § 16:20 Independent civil action
- § 16:21 Joint and several liability
- § 16:22 Extension of probation
- § 16:23 Lien procedures for collection of restitution
(§ 1202.42(g))
- § 16:24 Enforcement as a civil judgment
- § 16:25 Collection procedures for victim restitution
- § 16:26 Collection fee
- § 16:27 Reserving jurisdiction on state prison
commitment
- § 16:28 Custody in lieu of payment of restitution
- § 16:29 Change of restitution order following appeal
- § 16:30 Death of defendant pending appeal
- § 16:31 Victim contact information sent to CDCR
- § 16:32 Restitution obligation of accessory after the fact
- § 16:33 Determination of restitution after transfer of
supervision
- § 16:34 Determination after probation has expired

CHAPTER 17. RESTITUTION FINES AND OTHER ASSESSMENTS

- § 17:1 Restitution fines (§ 1202.4(b))—Amount of fine
- § 17:2 —Alternate formula
- § 17:3 —Factors to consider
- § 17:4 —Ability to pay
- § 17:5 —Collection fee
- § 17:6 —Change of fine
- § 17:7 —Use of seized funds
- § 17:8 —Civil judgment
- § 17:9 —Diversion fee
- § 17:10 —Appeal
- § 17:11 Additional restitution fines (§§ 1202.44 and
1202.45)
- § 17:12 —Probation revocation fine (§ 1202.44)
- § 17:13 —Parole revocation fine (§ 1202.45)
- § 17:14 Restitution fines after realignment legislation
- § 17:15 —Misdemeanors
- § 17:16 —Felonies when defendant placed on probation
- § 17:17 —Felonies when defendant committed to state
prison or under section 1170(h)
- § 17:18 Restitution fines in multiple cases
- § 17:19 Custody in lieu of payment of fines and
assessments
- § 17:20 Special fines and assessments (if applicable)
- § 17:21 —Court's duty to detail all assessments
- § 17:22 —Court operations assessment (§ 1465.8)
- § 17:23 —Criminal facility assessment (Gov't Code
§ 70373(a)(1))

TABLE OF CONTENTS

- § 17:24 —Criminal surcharge (§ 1465.7)
- § 17:25 —Fines and assessments as conditions of probation
- § 17:26 —Ability to pay assessments, generally
- § 17:27 —Attorney fees (§ 987.8)
- § 17:28 —Custody in lieu of payment of fine; installment payment
- § 17:29 Ability of court to dismiss restitution fines
- § 17:30 Termination of specified fees and fines

CHAPTER 18. CONCLUDING THE SENTENCE

- § 18:1 Registration requirements
 - § 18:2 —Sex offenders (§ 290(c)(2))
 - § 18:3 —Narcotics offenders (Health & Safety Code § 11590)
 - § 18:4 —Arson offenders (§ 457.1)
 - § 18:5 —Gang offenders (§ 186.30)
 - § 18:6 —Registration is not punishment
 - § 18:7 —Discriminatory enforcement of registration
 - § 18:8 Appeal rights
 - § 18:9 DNA data bank (§ 296(a)(1))
 - § 18:10 Referral to probation for report under section 1203c
 - § 18:11 Notice of prohibition of use or possession of firearms
 - § 18:12 Exoneration of bail
 - § 18:13 Advisement of Certificate of Rehabilitation and Pardon
 - § 18:14 Advisement of parole
 - § 18:15 Remanding the defendant
 - § 18:16 Bail pending appeal
 - § 18:17 Statement of views (§ 1203.01)
- Appendix 18A. Table of Crimes Prohibiting Possession of Firearm/Notice

CHAPTER 19. SEX CRIMES

- § 19:1 Generally
- § 19:2 Potential sentencing issues
- § 19:3 The Containment Model for management of sex offenders
- § 19:4 Restrictions on grant of probation
- § 19:5 —Sex crimes where defendant is ineligible for probation
- § 19:6 —Sex crimes where defendant is eligible for probation only in unusual circumstances
- § 19:7 —Grant of probation for violation of sections 288 or 288.5 with special findings (§ 1203.066(d))

SENTENCING CALIFORNIA CRIMES

- § 19:8 Required evaluations—Required evaluations if probation is denied
- § 19:9 —Required evaluations before probation is granted
- § 19:10 —Reports under section 288.1
- § 19:11 —Reports under section 1203.067
- § 19:12 Risk assessment under sections 290.04–290.07
- § 19:13 Mandatory conditions of probation
- § 19:14 Victim restitution in sex crime cases
- § 19:15 Fines and assessments—Restitution fine for child abuse prevention (§ 294)
- § 19:16 —Victim-Witness Assistance Fund
- § 19:17 —Department of Justice Sexual Habitual Offender Fund (“Sex Offender Fine”) (§ 290.3)
- § 19:18 —Civil assessment (§ 261.5(e)(1))
- § 19:19 “One Strike” law (§ 667.61)
- § 19:20 —Applicable crimes
- § 19:21 —Potential sentences
- § 19:22 —Use of enumerated circumstances
- § 19:23 —Conduct credits
- § 19:24 —Consecutive sentences
- § 19:25 —Interplay of the One Strike law and section 667.6
- § 19:26 —Multiple victims
- § 19:27 —Pleading and proof of allegations
- § 19:28 Habitual Sex Offenders (“Two Strikes” Law) (§ 667.71)
- § 19:29 Relationship between the one, two and three strikes laws
- § 19:30 —One strike and two strikes cases
- § 19:31 —Sentencing with the three strikes law
- § 19:32 Continuous sexual abuse of a child and included offenses (§ 288.5)
- § 19:33 Consecutive sentencing
- § 19:34 —Section 667.6
- § 19:35 —Other circumstances where consecutive sentencing is required
- § 19:36 Custody credits—Section 2933.1
- § 19:37 —Sections 269, 667.61 and 667.71
- § 19:38 Collateral consequences—Registration (§§ 290, *et seq.*)
- § 19:39 —Post-conviction AIDS testing (§ 1202.1)
- § 19:40 —Blood and saliva samples, fingerprinting (§ 296)
- § 19:41 —Chemical castration (§ 645)
- § 19:42 —Order prohibiting contact with victim
- § 19:43 —Conditions of parole
- § 19:44 —Sex offender registration law effective January 1, 2021

TABLE OF CONTENTS

Appendix 19A. Sex Crimes Registerable Under Section
290

**CHAPTER 20. THREE STRIKES
SENTENCING**

- § 20:1 Generally
- § 20:2 Basic sentencing structure
- § 20:3 Qualifying prior convictions and adjudications
- § 20:4 —California adult felony convictions
- § 20:5 —Out-of-state felony convictions and
adjudications
- § 20:6 —California juvenile felony adjudications under
Welfare and Institutions Code section 707(b)
- § 20:7 Characteristics of strike prior convictions
- § 20:8 —Date applicable to statutes defining strikes
- § 20:9 —Priors have no “washout”
- § 20:10 —Strike status determined at time of conviction
- § 20:11 —Sentence to state prison not required
- § 20:12 —Priors need not be “separately brought and
tried”
- § 20:13 Pleading and proof of prior convictions
- § 20:14 —Jurisdiction: pleading, burden of proof, and
right to jury trial
- § 20:15 —Jurisdiction: issues tried by the court
- § 20:16 —Jurisdiction: issues tried by the jury
- § 20:17 —Process: trial on prior convictions
- § 20:18 Evidence to prove truth of prior conviction
- § 20:19 —Record of conviction, defined
- § 20:20 —Record of conviction, individual items
- § 20:21 —Evidence that may not be used to prove a
prior strike
- § 20:22 —“Rap sheets” and other evidence not part of
the record of conviction
- § 20:23 General sentencing principles for all three
strikes cases
- § 20:24 —No aggregate term limitation
- § 20:25 —No probation or diversion; mandatory state
prison
- § 20:26 —Proposition 36 drug treatment and three
strikes
- § 20:27 —Duty to impose enhancements; no dual use of
facts
- § 20:28 —Limitation on conduct credits
- § 20:29 Application of *Cunningham v. California*
- § 20:30 —*Cunningham* has no application to the
determination of a prior strike
- § 20:31 Application of *Apprendi* and sections 1170 and
1170.1 to three strikes sentencing

- § 20:32 Calculation of sentence with one prior strike
(single count)
- § 20:33 —Current offense with a determinate term
- § 20:34 —Current offense with an indeterminate term
- § 20:35 Proposition 36: Sentencing the multiple strike
offender
- § 20:36 Defendants excluded from the new sentencing
provisions
- § 20:37 Calculation of sentence with two or more prior
strikes (single count)
- § 20:38 —The calculation of a third strike sentence
always is a two step process
- § 20:39 —Applying Step One: calculating the “greatest
minimum term”
- § 20:40 —Applying Step Two: adding enhancements
- § 20:41 —Examples of third strike sentencing
- § 20:42 —Crimes without stated minimum terms
- § 20:43 Punishment for attempts to commit a felony
- § 20:44 Concurrent/consecutive sentencing of multiple
counts, generally—When consecutive
sentencing is mandatory
- § 20:45 —When consecutive sentencing is discretionary
- § 20:46 —Factors to consider
- § 20:47 Procedure for consecutive sentencing of multiple
counts—Multiple-count second strike
sentencing
- § 20:48 —Multiple-count third strike sentences
- § 20:49 —Multiple terms with determinate and
indeterminate sentences
- § 20:50 Dismissal of strikes (§ 1385)
- § 20:51 —Summary of *People v. Superior Court (Romero)*
- § 20:52 —The motion to dismiss a prior strike
- § 20:53 —Authority to dismiss enhancements; effect
- § 20:54 —The hearing on the motion to dismiss prior
strike
- § 20:55 —Factors the court may consider in ruling on
motion to dismiss strikes
- § 20:56 —Factors the court may not consider in ruling
on motion to dismiss strikes
- § 20:57 —Court must make findings
- § 20:58 Exercise of discretion in striking prior strikes
- § 20:59 Specification of offense as misdemeanor (§ 17(b))
- § 20:60 —Authority to specify “wobblers” as
misdemeanors
- § 20:61 —Hearing on the motion to specify an offense as
a misdemeanor
- § 20:62 Proposition 36: petition for resentencing as
second strike offender
- § 20:63 The Petition

TABLE OF CONTENTS

§ 20:64	Initial Screening of the Petition
§ 20:65	The Qualification Hearing
§ 20:66	Order of the Court on resentencing
§ 20:67	The Right to Counsel
§ 20:68	Successive petitions
§ 20:69	Amendment of NGI commitment (§ 1170.127)
§ 20:70	Constitutional Challenge to Disqualifying Prior Conviction
§ 20:71	Appellate Review
Appendix 20A.	Proposed Petition for Resentencing
Appendix 20B.	Proposed Order Denying Petition for Resentencing After Initial Screening
Appendix 20C.	Proposed Waiver of Personal Appearance by Petitioner
Appendix 20D.	Proposed Order Re Hearing on Request for Resentencing
Appendix 20E.	Resources Available from CDCR
Appendix 20F.	Table of Crimes That Will Qualify For Third Strike Sentencing

Table of Contents

CHAPTER 21. GANG CRIMES (§ 186.22)

- § 21:1 Generally
- § 21:2 A discrete crime: participation in criminal street gang (§ 186.22(a))
- § 21:3 —Punishment
- § 21:4 —Application of section 654
- § 21:5 A discrete crime: Participation in criminal street gang (§ 186.22(a))—Gang registration
- § 21:6 An enhancement: crime committed to benefit a criminal street gang (§ 186.22(b))
- § 21:7 —Enhanced felony punishment
- § 21:8 —Three strikes law
- § 21:9 Vicarious liability for firearm enhancements under section 12022.53
- § 21:10 An alternative sentencing scheme for misdemeanors and felonies (§ 186.22(d))
- § 21:11 Other STEP act offenses
- § 21:12 Dismissal in the interests of justice
- § 21:13 Relationship to sections 12031(a)(2)(C), 12021.5 and 12025
- § 21:14 As a special circumstance for murder (§ 190.2(a)(22))
- § 21:15 Gang conditions of probation

Appendix 21A. Summary of STEP Act (Section 186.22)

CHAPTER 22. MISDEMEANOR AND INFRACTION SENTENCES

- § 22:1 Generally
- § 22:2 Conducting the hearing—Presence of the defendant
- § 22:3 —Right to counsel
- § 22:4 Probation report
- § 22:5 Arraignment for judgment
- § 22:6 Evidence at the hearing
- § 22:7 Sentencing rules
- § 22:8 Probation
- § 22:9 —Types of probation
- § 22:10 —Length of probation
- § 22:11 —Terms and conditions of probation
- § 22:12 —Refusal of probation
- § 22:13 “Wobbler” offenses/section 17(b)

- § 22:14 Custodial options
- § 22:15 Fines
- § 22:16 Applying custody credits to fines
- § 22:17 General infractions
- § 22:18 Vehicle code infractions
- § 22:19 City ordinances
- § 22:20 Community service in lieu of infraction fines
- § 22:21 Infractionalizing a misdemeanor
- § 22:22 Civil Compromise (§ 1377-1378)

CHAPTER 23. MISCELLANEOUS SENTENCING ISSUES

- § 23:1 Sentence After Violation of Probation
- § 23:2 Sentence after violation of probation—
Reinstatement
- § 23:3 —Denial of reinstatement
- § 23:4 —Imposition of sentence suspended
- § 23:5 —Execution of sentence suspended
- § 23:6 —Credits
- § 23:7 —Setting aside order of revocation and sentence
- § 23:8 Domestic violence cases
- § 23:9 Misdemeanor cases sentenced with state prison
- § 23:10 *Cruz/Vargas* waivers
- § 23:11 —Form of waiver
- § 23:12 —Nature of *Cruz* hearing
- § 23:13 California Rehabilitation Center (CRC)—
Termination of civil addict program: (Welf. &
Inst. Code § 3051)
- § 23:14 —Sentencing of defendant upon return from
CRC
- § 23:15 Diagnostic study (§ 1203.03)
- § 23:16 —Contents of report received by the court
- § 23:17 —Procedure
- § 23:18 Specification of offense as misdemeanor (§ 17(b))
- § 23:19 Dismissal of allegations or enhancements
(§ 1385)
- § 23:20 Hate crimes (§ 422.85)
- § 23:21 Not guilty by reason of insanity (§ 1026)
- § 23:22 Request for sentencing under sections 1203.2a
and 1381
- § 23:23 Transferring probation or mandatory supervision
(§ 1203.9)
- § 23:24 —Transfer of jurisdiction
- § 23:25 —Procedure for transfer
- § 23:26 Compassionate release
- § 23:27 Military veterans
- § 23:28 Sentencing a defendant on parole

TABLE OF CONTENTS

§ 23:29	Dismissals under sections 1203.4, 1203.4a, and 1203.41—Section 1203.4
§ 23:30	Dismissals under sections 1203.4 and 1203.4a—Section 1203.4a
§ 23:31	Dismissal under section 1203.41 and 1203.42
§ 23:32	Sentencing juveniles tried as an adult
§ 23:33	—Homicide cases
§ 23:34	—Nonhomicide cases
§ 23:35	—Recall of juvenile life cases
§ 23:36	Housing defendants with the division of juvenile justice
§ 23:37	Mentally disordered offenders (§§ 2960 <i>et seq.</i>)
§ 23:38	—Initial commitment
§ 23:39	—Extension of commitment
§ 23:40	Sexually violent predators
§ 23:41	Certificate of rehabilitation and pardon
§ 23:42	Determination of factual innocence (§ 851.8)
§ 23:43	Cruel and unusual punishment
§ 23:44	Sheriff's Voluntary Alternative Sentencing Program
§ 23:45	Accomplice Liability (SB 1437 and SB 775)
§ 23:46	Accomplice liability—Law prior to January 2019
§ 23:47	—Effective dates of SB 1437 and SB 775
§ 23:48	—Amendment of the felony murder rule
§ 23:49	—Elimination of the natural and probable consequences doctrine
§ 23:50	—Other issues related to amendment of sections 188 and 189
§ 23:51	Accomplice liability—Petition for resentencing (§ 1172.6)
§ 23:52	Constitutionality of SB 1437
§ 23:53	Motion to vacate conviction or sentence based on immigration advisement (§ 1473.7)
§ 23:54	Suspension of driving privilege
§ 23:55	Removal of invalid sentence enhancements (§§ 1172.7 and 1172.75)
§ 23:56	Proposition 36 (Drugs and Theft)—“Homeless, Drug Addiction, and Theft Reduction Act” and Related Legislation
§ 23:57	Effective date of changes
§ 23:58	Relationship of Proposition 36 to other criminal laws
§ 23:59	Property offenses
§ 23:60	Drug offenses
§ 23:61	Uniform standards for postconviction proceedings (§ 1171) and postconviction discovery (§ 1054.9)
§ 23:62	Standards of treatment court programs
§ 23:63	Finality for the purposes of <i>Estrada</i>

- Appendix 23A. Split CDCR/Mental Health Commitment
Appendix 23B. Checklist for Hearing under Pen. Code,
§ 1172.6

CHAPTER 24. INDETERMINATE SENTENCES

- § 24:1 Pronouncement of judgment
§ 24:2 Sentencing multiple counts
§ 24:3 Ordering service of term
§ 24:4 Parole term

CHAPTER 25. PROPOSITION 47

- § 25:1 Introduction
§ 25:2 Effective Date
§ 25:3 Exclusion From Benefits of Proposition 47
§ 25:4 Reduction of Penalties
§ 25:5 Petition for Redesignation of a Crime as a Misdemeanor
§ 25:6 Petition for resentencing—Persons who may petition for relief
§ 25:7 —Procedure for resentencing of a crime
§ 25:8 The filing of a petition
§ 25:9 Petition for resentencing—Initial screening of the petition
§ 25:10 —The qualification hearing
§ 25:11 —The resentencing as a misdemeanor
§ 25:12 Application for reclassification of a crime
§ 25:13 Application for reclassification—Persons who may apply for relief
§ 25:14 —Procedure for reclassification
§ 25:15 Right to counsel
§ 25:16 Application to the Three Strikes law
§ 25:17 Appellate review
§ 25:18 Additional issues—Refund of fees and fines
§ 25:19 —Cases transferred to different county
§ 25:20 —Persons committed under section 1368
§ 25:21 —Prison priors under section 667.5(b)
§ 25:22 —DNA samples
§ 25:23 —Plea bargains
§ 25:24 —Application of the “dangerousness” standard to parole hearings
§ 25:25 —Felony warrants; failure to appear
§ 25:26 —Mixed counts
§ 25:27 —Ability to apply for certificate of rehabilitation (§ 4852.01)
§ 25:28 —Application to section 12022.1 (out-on-bail enhancement)

TABLE OF CONTENTS

§ 25:29	—Application to section 1320.5 (felony failure to appear)
§ 25:30	—The court’s reporting responsibilities
§ 25:31	—Whether the court may grant a reclassification motion after case expunged under section 1203.4
§ 25:32	—Parole violations
§ 25:33	—Registration as a narcotics offender
§ 25:34	—Effect of Proposition 47 on § 2933.1 credit limits
§ 25:35	—Effect of Proposition 47 on commitment as a Mentally Disordered Offender (MDO)
§ 25:36	—Persons found not guilty by reason of insanity
§ 25:37	Additional issues—Effect of resentencing on gang conviction under section 186.22, subdivision (a)
Appendix 25A.	Text of Proposition 47
Appendix 25B.	Table of Disqualifying Prior Convictions
Appendix 25C.	Proposition 47 Crimes
Appendix 25D.	Notice of Petition and Response
Appendix 25E.	Table of Crimes Listed in P.C. § 667(e)(2)(C)(iv)—“Super Strikes”
Appendix 25F.	Order After Petition for Resentence or Reclassification

CHAPTER 26. PROPOSITION 57

§ 26:1	Introduction
§ 26:2	Effective Date
§ 26:3	Parole and credits for state prison inmates
§ 26:4	Constitutional Amendment
§ 26:5	Parole consideration
§ 26:6	Conduct credits
§ 26:7	Regulations
§ 26:8	No resentencing relief
§ 26:9	Elimination of direct filing in juvenile cases
§ 26:10	History of prosecuting juvenile offenses in criminal court
§ 26:11	The provisions of Proposition 57
§ 26:12	Senate Bill No. 1391
§ 26:13	Return of case to juvenile court for disposition (AB 1423)
§ 26:14	Retroactive application to juvenile cases
§ 26:15	The transfer hearing
Appendix 26A.	Regulations for The Implementation of Proposition 57

CHAPTER 27. PROPOSITION 64

§ 27:1	Introduction
--------	--------------

SENTENCING CALIFORNIA CRIMES

- § 27:2 Application of the law related to Propositions 36 and 47
- § 27:3 Effective Date
- § 27:4 —Application of the *Estrada* rule
- § 27:5 Reduced Penalties for Specified Marijuana Offenses—Provisions applicable to adults
- § 27:6 —Provisions applicable to juveniles
- § 27:7 Resentencing Provisions—Currently serving a sentence
- § 27:8 —Eligible persons
- § 27:9 —Eligible crimes
- § 27:10 —Persons currently serving a sentence
- § 27:11 —Procedure for resentencing
- § 27:12 Redesignation Provisions—Completed sentence
- § 27:13 Redesignation Provisions—Eligible persons
- § 27:14 Redesignation Provisions—Eligible crimes
- § 27:15 Redesignation Provisions—Persons who have completed their sentence
- § 27:16 Redesignation Provisions—Procedure for redesignation or dismissal
- § 27:17 Right to Counsel
- § 27:18 Right to Counsel—Preparation of the petition or application and initial screening
- § 27:19 Right to Counsel—The qualification hearing
- § 27:20 Right to Counsel—The resentencing
- § 27:21 Appellate review
- § 27:22 Additional issues—Previously imposed fees and fines
- § 27:23 Additional Issues—Cases transferred to different county
- § 27:24 —Felony warrants; failure to appear
- § 27:25 —Ability to apply for certificate of rehabilitation (Pen. Code § 4852.01)
- § 27:26 —The court’s reporting responsibilities
- § 27:27 —Registration requirement
- § 27:28 —Requests for relief when record destroyed
- § 27:29 —Plea agreements
- § 27:30 Additional Provisions of the Act—Destruction of records (§ 11361.5)
- § 27:31 —Lawful activity not probable cause for search or arrest
- § 27:32 Expedited review of petitions for relief under Section 11361.8
- Appendix 27A. Changes to Criminal Penalties Under Proposition 64
- Appendix 27B. Proposition 64: Text of Resentencing Provisions: Health & Safety Code, § 11361.8

TABLE OF CONTENTS

CHAPTER 28. CALIFORNIA RACIAL JUSTICE ACT OF 2020

- § 28:1 Introduction
- § 28:2 Declaration of legislative intent
- § 28:3 Effective date
- § 28:4 Operative version of section 745
- § 28:5 Penal Code section 745
- § 28:6 Procedure for determining a violation of Section 745, subdivision (a)
- § 28:7 Remedies for violating section 745, subdivision (a)
- § 28:8 Additional issues
- § 28:9 Penal Code § 1473—Habeas Proceedings
- § 28:10 Penal Code § 1473.7—Persons Out of Custody
- Appendix 28A. Bibliography of Materials on Implicit Bias

CHAPTER 29. CORRECTION OF SENTENCING ERRORS

- § 29:1 Generally
- § 29:2 Clerical errors
- § 29:3 —Correction of custody credits and fees and fines
- § 29:4 Judicial errors
- § 29:5 —Void or unauthorized judgment
- § 29:6 —Modification of judgment
- § 29:7 Recall of sentence
- § 29:8 Procedures for recall and resentencing
- § 29:9 Setting aside order of revocation and sentence
- § 29:10 Sentencing following appeal
- § 29:11 —Correction of sentencing error
- § 29:12 —Conviction reversed and defendant convicted again and resentenced
- § 29:13 —Restitution fines (§§ 1202.4(b), 1202.44, 1202.45)
- § 29:14 Resentencing of defendant based on military service
- Appendix 29A. Statutory Changes Triggering Court's Authority to Recall Sentence
- Appendix 29B. Form of Order Setting Matter for Status Conference
- Appendix 29C. Notice of Appellate Rights (Pen. Code, § 1172.1(d))
- Appendix 29D. Form Petition Under Penal Code § 1172.1
- Appendix 29E. **ATTACHMENT 29E: P.C. § 1172.1**

**RECALL AND RESENTENCING
CHECKLIST**

APPENDICES

APPENDIX A. Glossary of Sentencing Terms

APPENDIX B. Resources for Sentencing Issues

Table of Laws and Rules

Table of Cases

Index