INDEX

```
absenteeism, appointment of committee, and, 140
Absentees Act
    absentee defined, 140
    appointment of committee, and, 140
    locating missing beneficiaries, 215-217
accounts, passing of
    affidavit verifying accounts, 182-183
    assets, valuation, control, custody and inventory of, 184-185
    audit, costs of, 194-195
    compulsory, 192-193
    corporate information
        disclosure to beneficiaries, 188-189
        form of accounting for, 187-188
    court, powers of, 195
    form of accounts, 185-187
    notice of application to pass accounts, 182
    notice of objection to accounts, 184
    obligation to keep and pass accounts
        attorney and guardian accounts, 178-180
        executor, administrator and trustee accounts, 180-181
    practice points, 181-182
    service, 183
    voluntary, 193-194
    who may pass accounts, 177-178
    with hearing, 190-192
    without hearing, 189-190
administrator pendente lite, see certificate of appointment of estate trustee
  during litigation
admissibility of expert opinion, generally, 40-41, 168-170
appointment of estate trustee in non-contentious proceedings
    certificates of appointment
        application for, 80-81
        authenticating certificate, 99
        confirmation by resealing of appointment of estate trustee with or
            without will, 92-93
        confirmation of estate trustees, 99-100
        during litigation, 93-94
        estate trustee with a will, 81-87
        estate trustee without a will, 88-90
        foreign estate trustee's nominee as estate trustee without a will, 91-92
        generally, 79-80
        issuing certificate, 98-99
```

```
order to accept or refuse appointment, 105-106
        order to consent or object to proposed appointment, 106-107
        succeeding estate trustee with a will, 87-88
        succeeding estate trustee without a will, 90-91
    estate administration tax, payment, 96-98
    Forms, 100-102, Appendix 1B
    general procedure, 94-96
    generally, 79
    material required from estate Registrar, 75-76
    where certificate shows deposited will or codicil, 76
armed forces, members, wills of, 26
beneficiaries, locating missing
    beneficiary identification issue
        distribution on intestacy, 210-212
        distribution under will, 209
    court application to ascertain heirs, 217
    how to identify missing heir
        initial searches, 212-213
        professional researcher, 213-214
    how to locate and notify missing heir, 214
    legal obligation to locate
        generally, 207-208
        reasonable inquiries, defined, 208-209
    liability, 218
    missing persons
        Absentees Act, 215-217
        Declarations of Death Act, 2002, 215, 216, 217
bond requirements for appointment of estate trustee during litigation
    Bond - Insurance or Guarantee Company, Form 74.32, Appendix 1B
    Bond - Personal Sureties, Form 74.33, Appendix 1B
    generally, 137-138
breach of fiduciary duty claims
    generally, 231-232
    parent/child estrangement, 232-233
    parental obligation
        evidence, 234-235
        generally, 233
        limitation periods, 233-234
Browne v. Dunn, rule in, 175
business records, admissibility, 167-168
capacity assessments
    Capacity Assessment Office (CAO), 276-277
    form of opinion, 42-43
    generally, 32
    retrospective assessments, admissibility of expert evidence, 40-41
certificate of appointment of estate trustee during litigation
```

```
appointment during litigation, 135-137
    bond requirements, 137-138
    Forms, 141, Appendix 1B
    litigation administrator, appointment, 138
    litigation guardian
        absenteeism, 140
        generally, 138-139
        mentally incapable persons, 139
        minors, 139-140
        procedural rules, and, 140-141
        Request for Appointment of Litigation Guardian, Form 7A, Appendix 1B
    objection to issuance
        Forms, 134, Appendix 1B
        motion for direction, 132
        notice of objection, 129-131
        notice to applicant, 131
        notice to objector, 131-132
        practice points, 132-134
        vacating notice of objection, 131
    return
        effect of order, 119
        motion for directions, 120
        motion for return of certificate, 118-119
        release of certificate, 120-121
    revocation, 117-118
certificate of appointment of estate trustee in non-contentious proceedings
    application for, 80-81
    authenticating certificate, 99
    confirmation by resealing of appointment of estate trustee with or without
    will, 92-93
    confirmation of estate trustees, 99-100
    during litigation, 93-94
    estate administration tax, payment, 96-98
    estate trustee with a will, 81-87
    estate trustee without a will, 88-90
    foreign estate trustee's nominee as estate trustee without a will, 91-92
    Forms, 100-102, Appendix 1B
    general procedure, 94-96
    generally, 79-80
    issuing certificate, 98-99
    succeeding estate trustee with a will, 87-88
    succeeding estate trustee without a will, 90-91
    where certificate shows deposited will or codicil, 76
Children's Lawyer, Office of the (OCL)
    generally, 140, 141
    notice of application for appointment of estate trustee with will, 83, 87
```

```
notice of proceedings, 50
    role of, 285
    service of notice of application on, 184
claims against estate under Estates Act
    application for order allowing claim
        claim against estate, 124
        date and manner of trial, 126
        limitation periods, 125-126
    Forms, 128, Appendix 1B
    generally, 123
    notice of contestation, 124
    powers and orders of court
        enforcement of judgment for established liquidate claims, 127
        unliquidated claims, 127
    solicitor of record, 127-128
common form, proof in, 61
compliance with statutory provisions, mandatory and substantial, 21-24
corroboration, 161-164
costs in estate litigation
    generally, 219-221, 229
    McDougald Estate v. Gooderham, when estate should pay costs, 222-225
    Sweetnam v. Williamson Estate, new approach to costs awards, 226-229
    whether estate pays all costs, 225-226
Declarations of Death Act, 2002, 215, 216, 217
deemed undertaking rule
    case law, 240-242
    evidence to which rule applies, 237
    exceptions to rule, 238
    generally, 238-239
    Juman v. Doucette - underlying principles, 238
    order for examination of non-party witness, 239-240
deposit of will, see will deposit
directions, application or motion for
    Forms, 150, Appendix 1B
    generally, 143-144
    legal issues, 149
    mediation, 146-147
    order, 145-146
    pleadings, 147
    practice and procedure, 149
    service, 144-145
    statement of claimed served, where failure to file pleadings, 147-148
    submission of rights to court, 148-149
    trial by jury, 149-150
directory of estate registrars and Ontario Superior Courts of Justice, Appendix 5
discoverability, limitation periods and, 58-60
```

```
discovery where litigation guardian has been appointed, 290-294
Estate Administration Tax Act, 1998
    2015 regulation, 297
    additional returns/amendments
        incorrect or incomplete information, 302
        subsequently discovered property, 302
        valuation unknown, 302
    assessment, 302-303
    contesting the assessment
        drafting protection, 304
        liability issues, 303
        offence, 304
        reporting letters, 304-306
    estate information return, 298-299
    filing requirement, 298
    inclusion of assets, 299-301
    valuation, 301
estate administration tax, payment, 96-98
estate information returns, 298-299
estate trustee during litigation, see certificate of appointment of estate trustee
  during litigation
estate trustee's duty to propound will, 48
Estates Act, see also claims against estate under Estates Act
    s. 2 – depositing will for safekeeping with registrar, 72
    s. 6 – security bond required, 85, 137
    s. 8 – trial by jury, 149-150
    s. 9 – production of instruments purporting to be testamentary, 104-105
    ss. 21 and 22 - caveats, 129
    s. 23 – parties to a will challenge, 48-49, 178
    s. 28 – appointment of estate trustee during litigation, 136
    s. 29 – parties entitled to be appointed estate trustee, 65-66
    s. 32 – valuation of deceased's property, 97
    s. 35 – where bond required, 85, 137
    ss. 44 and 45 - claims against estate, 123-125, 127
    s. 49 – powers of judge on passing of accounts, 180-181, 185
    s. 52(3) – security required before letters of administration sealed, 93
evidentiary issues
    admissible evidence for proving contents of lost or destroyed wills, 64-65
    "evidence cheat sheet"
        admissibility of expert opinion, generally, 168-170
        Browne v. Dunn, rule in, 175
        business records, admissibility, 167-168
        corroboration, 161-164
        generally, 161
        hearsay, 172
        lay witnesses, 164-167
```

```
past recollection recorded/present recollection revived, 173-175
        retrospective opinions on testamentary capacity, 170-172
    examination for discovery, 158-161
    expert opinion evidence, admissibility, 40-41, 168-170
    generally, 43-44, 155-156
    medical witnesses, 157-158, 166
    onus, 156-157
expert opinion, see also capacity assessments
    admissibility of evidence generally, 40-41, 168-170
    medical witnesses, 157-158, 166
    retrospective assessment, and, 43
    retrospective opinions on testamentary capacity, 170-172
fees payable in estate matters, Appendix 2
fiduciary duty, see breach of fiduciary duty claims
first client meeting, information to obtain
    consanguinity, 5
    copy of will(s), 5-6
    generally, 3-5
    inventory of estate, 6
    likely parties, 3-4
    personal circumstances of client, 6
    retainer, 11-12
    will checklist, 7-11
foreign estate, certificate of appointment of estate trustee without will
    Forms, 102, Appendix 1B
    generally, 91-92
formal validity/due execution
    armed forces, mariners, international wills, 26
    compliance, mandatory and substantial, 21-24
    formal wills, due execution, 19-21
    generally, 17-18
    holograph wills, 24-25
    Rules of Civil Procedure, 26-27
Forms, Appendix 1B
fraud
    allegations, unfounded, costs consequences, 149
    due execution of formal wills, 19
    revocation of certificate of appointment, and, 118
    Statute of Frauds, 22, 244
    substantive validity of will, and, 27, 38
glossary, Appendix 4
Hall v. Bennett Estate
    nature and extent of retainer agreement, 265-266
    test for testamentary capacity, 29-30
hearsay evidence
    generally, 172
```

```
past recollection recorded/present recollection revived, 173-175
heirs, missing, see missing beneficiaries, locating
holograph wills
    affidavit attesting to handwriting and signature of deceased, Form 74.9, 84,
    Appendix1B
    execution, 19-20
    generally, 5, 7, 24-25
    validity, 17-18
in rem rights, 47
independent legal advice, 12, 13, 14, 55, 204
international wills, 26, 77
intestacy, 56-58
joint retainer
    client consent to, 14-15
    considerations before accepting, 13-14
    duty of lawyer, 11-12
    independent legal advice, 12
    separate representation, vs., 15
Juman v. Doucette - underlying principles of deemed undertaking rule, 238
limitation periods, 58-60
litigation administrator, appointment, 138
litigation guardian
    absenteeism, 140
    appointment and commencement of litigation, 283-287
    generally, 138-139
    mentally incapable persons, 139
    minors, 139-140
    procedural rules, and, 140-141
    Request for Appointment of Litigation Guardian, Form 7A, Appendix 1B
    role of, 286
"litigation-proof" will or trust – strategic steps, 266-268
lost or destroyed wills, proof of
    evidence, admissible, for proving contents, 64-65
    presumption of revocation, 62
    procedural rules, 62-63
    revocation, conditional, 64
    test, 63-64
mariners, wills of, 26
McDougald Estate v. Gooderham, when estate should pay costs, 222-225, 229
mediation, see preparation for mediation, pre-trial or trial
mentally incapable persons, acting for
    generally, 273
    litigation guardians of, 139
    mental capacity defined
        Law Society Rules of Professional Conduct, 277-278
        practical considerations and common law interpretations, 274-275
```

```
Rules of Civil Procedure, 275-277
        Substitute Decisions Act, 1992, 273-274
    practice
        Stage #1: initial meeting and retainer, 279-283
        Stage #2: commencing litigation/appointment of litigation guardian,
        283-287
        Stage #3: potential issues during litigation, 287-290
        Stage #4: discoveries and trial, 290-294
        Stage #5: settlement, 294-296
    role of professionals in protecting those with diminished capacity
        drafting solicitor's role, 38-39
        medical practitioner's role, 39-40
minors, see also mentally incapable persons, acting for
    litigation guardians of, 139-140
minutes of settlement in will challenges, drafting, 54-55
mirror wills in joint estate planning
    considerations before accepting joint retainers to draft, 13-14
    generally, 12-14
    mistake in signing, 33
missing beneficiaries, locating
    beneficiary identification issue
        distribution on intestacy, 210-212
        distribution under will, 209
    court application to ascertain heirs, 217
    how to identify missing heir
        initial searches, 212-213
        professional researcher, 213-214
    how to locate and notify missing heir, 214
    legal obligation to locate
        generally, 207-208
        reasonable inquiries, defined, 208-209
    liability, 218
    missing persons
        Absentees Act, 215-217
        Declarations of Death Act, 2002, 215, 216, 217
mutual wills in joint estate planning, 12-14
negligence of solicitor
    duty of care, 259-262
    duty to advise outside express terms of retainer, 264
    examination for discovery
        examination questions, 269-272
        examining drafting solicitor, 268-269
    generally, 255-256, 272
    Hall v. Bennett Estate, nature and extent of retainer agreement, 265-266
    retainer
        duty to advise outside express terms of retainer, 264
```

```
Hall v. Bennett Estate, nature and extent of retainer agreement, 265-266
        nature of, 262-264
    standard of care, 256-258
    strategic steps - making will or trust "litigation-proof", 266-268
objection to issuing certificate of appointment
    Forms, 134, Appendix 1B
    motion for direction, 132
    notice of objection, 129-131
    notice to applicant, 131
    notice to objector, 131-132
    practice points, 132-134
    vacating notice of objection, 131
orders for assistance
    accounts, order to pass, 111
    appointment
        order to accept or refuse, 105-106
        order to consent or object to proposed appointment, 106-107
    beneficiary witness, order to, 109
    former spouse, order to, 110-111
    Forms, 113, Appendix 1B
    further particulars, order for, 108-109
    generally, 103
    other matters, order for, 112
    procedure to obtain, 112-113
    production of instruments purporting to be testamentary, 104-105
    statement of assets of estate, order to file, 107-108
passing of accounts
    affidavit verifying accounts, 182-183
    assets, valuation, control, custody and inventory of, 184-185
    audit, costs of, 194-195
    compulsory, 192-193
    corporate information
        disclosure to beneficiaries, 188-189
        form of accounting for, 187-188
    court, powers of, 195
    form of accounts, 185-187
    notice of application to pass accounts, 182
    notice of objection to accounts, 184
    obligation to keep and pass accounts
        attorney and guardian accounts, 178-180
        executor, administrator and trustee accounts, 180-181
    practice points, 181-182
    service, 183
    voluntary, 193-194
    who may pass accounts, 177-178
    with hearing, 190-192
```

```
without hearing, 189-190
past recollection recorded/present recollection revived, 173-175
practice points
    application or motion for direction, 149-150
    objection to certificate of appointment, 132-134
    passing of accounts, 181-182
precedents, Appendix 1A
preparation for mediation, pre-trial or trial
    client, preparation, 53
    closing argument, 203
    directions of court regarding, 146-147
    documents, preparation, 52-53
    generally, 51, 197
    judgment, 203-204
    know the mediator, 51-52
    mandatory
        generally, 197-198
        preparation, 198-199
    pre-trial checklist, 199-201
    purpose, 52
    sample judgment - Judgment After Trial of an Issue (or on Motion),
    Appendix 1B
    settlement, 204
    trial considerations, checklist, 201-202
    trial of issues, 201
pre-trial preparation, see preparation for mediation, pre-trial or trial
probate
    common form, proof in, 61
    lost or destroyed wills, proof of
        evidence, admissible, for proving contents, 64-65
        presumption of revocation, 62
        procedural rules, 62-63
        revocation, conditional, 64
        test, 63-64
    solemn form, proof in, 61-62
procedural flowchart: contentious and non-contentious proceedings, Appendix 3
proceedings, non-contentious
    appointment of trustee, 65
    depositing will for safekeeping
        accessing deposited will, 73-75
        digital resources for will registration, 75
        generally, 71-72
        locating deposited will, 73
        registrar, with, 72-73
    generally, 65-66
    will deposit generally, 71
```

```
proceedings, rules for commencement
    contentious proceedings, generally, 66-67
    estate administration during litigation, 67
    interested parties, request for notice of commencement of proceeding, 67-68
    non-contentious proceedings
        appointment of trustee, 65
        generally, 65-66
propounding will, estate trustee's duty, 48
protection of individuals with diminished capacity, role of professionals in
    drafting solicitor's role, 38-39
    medical practitioner's role, 39-40
Public Guardian and Trustee, Office of the (PGT)
    application for appointment of estate trustee without will, and, 90
    mentally incapable persons, and, 139, 141
    notice of application for appointment of estate trustee with will, 83, 87
    notice of proceedings, 50
    role, of, 285-286
    service of notice of application on, 184
reasonable inquiries, defined, 208-209
retainers
    client consent to joint retainer, 14-15
    considerations before accepting joint retainers to draft mirror wills, 13-14
    generally, 11-12
    mirror and mutual wills, 12-13
    separate representation, 15
return of certificate of appointment in contentious proceedings
    effect of order, 119
    generally, 117-118
    motion for directions, 120
    motion for return of certificate, 118-119
    release of certificate, 120-121
revocation of certificate of appointment in contentious proceedings, 117-118
Scott v Cousins, suspicious circumstances surrounding making of will, 34-35
separate representation, 15
settlement, see also mentally incapable persons, acting for
    drafting minutes of, 54-55
    generally, 204
    litigation guardian, where, 294-296
    Notice of Settlement, Form 75.11, Appendix 1B
    Rejection of Settlement, Form 75.12, Appendix 1B
solemn form, proof in, 61-62
solicitor's negligence, see negligence of solicitor
standing in will challenges
    generally, 48-49
    notice of proceedings, 49-50
    series of wills, many parties, 50-51
```

```
Statute of Frauds, 22, 244
submission of rights to court
    application or motion for directions, 152-153
    Forms, 154, Appendix 1B
    generally, 148-149, 150
    statement of claim, 154
    statement of submission of rights, 151-152
substantive validity
    fraud, 38
    generally, 27
    knowledge and approval and mistake, 33-34
    suspicious circumstances, 34-36
    testamentary capacity, 28-33
    undue influence, 36-38
Substitute Decisions Act, 1992
    s. 1(1) – definitions, 274
    s. 3(1) – counsel for person with capacity in issue, 285-286
    s. 6 – criteria for designating individual incapable of managing property, 276
    s. 39 - directions from court, 289
    s. 42 – passing of accounts, 178
    s. 45 – criteria for designating individual incapable for personal care, 276
    s. 58 – finding of incapacity, 139
    s. 59 - guardianship, 139
    s. 68 - directions from court, 289
    s. 79 – order for assessment, 277
Succession Law Reform Act
    Pt. II – intestacy, 56
    Pt. V – claims for dependant support, 45
    s. 1(1) – definitions, 18
    ss. 3-4 – formal requirements of due execution, 19, 20
    s. 5 - exceptions to formal compliance for members of Canadian Forces, 19, 26
    s. 6 - holograph will, 19-20, 24
    s. 7 – signature requirements, 20
    s. 12(1) - bequests to witness, 95-96, 109
    s. 17(2)- gift voided after termination of marriage, 96, 110
    s. 42 – international wills, 26
    s. 44 – intestacy where spouse and no issue, 57
    s. 45 – preferential shares, 57
    s. 47 – consanguinity, 5, 57
summary judgment, 55-56
Sweetnam v. Williamson Estate, new approach to costs awards in estate
  litigation, 226-229
testamentary capacity
    capacity assessments, 32, 40-43
    generally, 28
    onus and burden of proof, 33
```

```
retrospective opinions on testamentary capacity, use and admissibility, 170-
    test for capacity, 29-32
testamentary freedom
    generally, 44-45
    limits, 45
    void for public policy, 46-47
trial preparation, see preparation for mediation, pre-trial or trial
Trustee Act
    s. 23 – passing of accounts, 178
    s. 38 – discoverability exception, 60
    s. 53 – distribution of assets, notice to heirs, 209
undue influence
    challenging validity of will, 36-38
    role of professionals in protecting individuals
        drafting solicitor's role, 38-39
        expert opinion evidence, admissibility, 40-42
        form of opinion, 42-43
        medical practitioner's role, 39-40
        retrospective assessments and settlements, 43
unjust enrichment
    Canadian case law
        Belvedere v. Brittain Estate, 248-249
        Lata v. Rush, 251-252
        Lavoie v. Trudel, 252-253
        McMillan v. Johnson Estate, 250-251
    elements, 243
    generally, 243-244, 253-254
    remedies, 247-248
    the Trilogy
        developments since, 246-247
        generally, 245
validity of will, see also formal validity/due execution
    formal, 17-27
    in rem rights, 47
    substantive, 27-38
    when formal and substantive validity do not matter, 44-47
Vout v. Hay, suspicious circumstances surrounding making of will, 34, 156
will checklist, 7-11
will deposit
    deposit for safekeeping
        accessing deposited will, 73-75
        digital resources for will registration, 75
        generally, 71-72
        locating deposited will, 73
        registrar, with, 72-73
```

Forms, 78, Appendix 1B generally, 71 other Canadian jurisdictions, where wills deposited, 76-78 will, four types, 17 will registration, digital resources for, 75 Wills, Estates and Succession Act (B.C.), 18, 23-24