

Summary of Contents

Volume 1

Chapter 1.	Developments in the Practice of Estate Litigation
Appendix 1A.	Consolidated Practice Direction Concerning the Estates List in the Toronto Region
Chapter 2.	Challenging the Validity of Wills
Appendix 2A.	Forms
Chapter 3.	Orders for Assistance (formerly “Citation Orders”)
Appendix 3A.	Forms
Chapter 4.	Dependant Support Claims
Appendix 4A.	Forms
Chapter 5.	Passing of Accounts and Executors’ Fees
Appendix 5A.	Statement of Accounts
Appendix 5B.	Forms
Chapter 6.	Role of the Children’s Lawyer
Appendix 6A.	Applications For Payment Out Of Court
Appendix 6B.	Forms
Chapter 7.	The Roles of the Public Guardian and Trustee in Estate Litigation
Appendix 7A.	Forms
Appendix 7B.	Table of Concordance

Volume 2

Chapter 8.	Claims Against Estates: Summary Procedure
Appendix 8A.	Forms
Chapter 9.	Court-Appointed Guardians of Property and of the Person
Appendix 9A.	Forms
Chapter 10.	Proceedings Relating to the Presumption of Death
Appendix 10A.	Forms
Chapter 11.	Payments Into and Out of Court
Appendix 11A.	Comparative Legislation Chart

Appendix 11B.	Forms
Chapter 12.	Applications For the Opinion, Advice and Direction of the Court
Appendix 12A.	Forms
Chapter 13.	Retirement and Removal of Trustees
Appendix 13A.	Forms
Chapter 14.	Court Proceedings to Ascertain Heirs of an Estate
Appendix 14A.	Forms
Chapter 15.	Variations of Trusts
Appendix 15A.	Forms
Chapter 16.	Family Law Act Applications
Appendix 16A.	Forms
Chapter 17.	Proving a Lost Will in Court
Appendix 17A.	Forms
Chapter 18.	Evidence in Estate Litigation
Chapter 19.	Costs in Estate Litigation
Appendix 19A.	Tariffs
Appendix 19B.	Information for the Profession (From the Costs Subcommittee of the Civil Rule Committee)
Appendix 19C.	Precedent Costs Outline
Chapter 20.	Mediation of Estate Disputes
Chapter 21.	Solicitors' Negligence In Estate Matters
Chapter 22.	Limitation Periods in Estate Matters
Chapter 23.	Claims by Common Law Spouses Against Estates
Appendix 23A.	Relevant Estate Legislation
Chapter 24.	The Estate Trustee During Litigation
Chapter 25.	Resulting Trust, Unjust Enrichment and Related Equitable Rights and Remedies in Estate Litigation
Appendix 25A.	Forms
Chapter 26.	Challenging "Joint With Right Of Survivorship" Entitlement
Chapter 27.	A Matter of Opinion: Expert Evidence in Estate Litigation

Volume 3

Appendices

Appendix A. Legislation

SUMMARY OF CONTENTS

Appendix A1.	Absentees Act
Appendix A2.	Accumulations Act
Appendix A3.	Aliens' Real Property Act
Appendix A4.	Apportionment Act
Appendix A5.	Charities Accounting Act
Appendix A5.50.	Charities Accounting Act Regulation
Appendix A6.	Children's Law Reform Act (selected sections)
Appendix A7.	Criminal Code Bill C-14, An Act to amend the Criminal Code and to make related amendments to other Acts (medical assistance in dying)
Appendix A8.	Crown Administration of Estates Act
Appendix A9.	Declarations of Death Act, 2002
Appendix A10.	Escheats Act, 2015
Appendix A11.	Estate Administration Tax Act, 1998
Appendix A11.50.	Estate Administration Tax Act Regulation (selected sections)
Appendix A12.	Estates Act
Appendix A12.50.	Estates Act Regulation (selected sections)
Appendix A13.	Small Estates, O. Reg. 110/21
Appendix A14.	Estates Administration Act
Appendix A14.50.	Estates Administration Act Regulation (selected sections)
Appendix A15.	Evidence Act
Appendix A16.	Family Law Act and Regulation
Appendix A17.	Fraudulent Conveyances Act
Appendix A18.	Health Care Consent Act, 1996, and Regulation
Appendix A19.	Insurance Act (selected sections)
Appendix A20.	Land Titles Act (selected sections), Forms and Regulations
Appendix A21.	Limitations Act, 2002
Appendix A21.50.	Marriage Act (selected sections)
Appendix A22.	Mental Health Act, Regulations and Forms
Appendix A23.	Pension Benefits Act (selected sections) and Regulation
Appendix A24.	Public Guardian and Trustee Act and Regulation
Appendix A25.	Real Property Limitations Act
Appendix A25.50.	Registry Act (selected sections)
Appendix A26.	Settled Estates Act

Appendix A27.	Substitute Decisions Act, 1992, Regulations and Form
Appendix A28.	Succession Law Reform Act
Appendix A29.	Succession Law Reform Act Regulation
Appendix A30.	Trustee Act
Appendix A31.	Variation of Trusts Act
Appendix A32.	Rules of Civil Procedure (selected provisions)
Appendix A33.	Mediators' Fees (Rule 75.1, Rules Of Civil Procedure), O. Reg. 43/05
Appendix A34.	Rules of Civil Procedure Forms

Volume 4

Appendices (Continued)

Appendix IF.	Issues in Focus
Appendix PS.	Procedural Summaries
Appendix WP.	Words and Phrases

Bibliography

Table of Added Cases

Table of Cases

Index

Table of Contents

Volume 1

CHAPTER 1. DEVELOPMENTS IN THE PRACTICE OF ESTATE LITIGATION

- § 1:1 Merger of Ontario Courts and Elimination of “Surrogate Court”
- § 1:2 Substitute Decisions Act, 1992
- § 1:3 Amendments to the Trustee Act
- § 1:4 Rules of Procedure Regarding Estate Litigation
- Appendix 1A. Consolidated Practice Direction Concerning the Estates
List in the Toronto Region

CHAPTER 2. CHALLENGING THE VALIDITY OF WILLS

I. NATURE OF THE CHALLENGE

- § 2:1 Introduction
- § 2:2 Due Execution
- § 2:3 Testamentary Capacity
- § 2:4 Knowledge and Approval (“Suspicious Circumstances”)
- § 2:5 Undue Influence
- § 2:6 Onus of Proof

II. PROCEDURE — REVISED ESTATE RULES

A. GENERAL

- § 2:7 Overview

B. PROCEDURE UNDER RULE 75

- § 2:8 Notice of Objection
- § 2:9 Order for Return of Certificate of Appointment (R. 75.05)
- § 2:10 Notice to Objector
- § 2:11 Motion for Directions
- § 2:12 Estate Trustee During Litigation (Former Administrator
Pendente Lite)
- § 2:13 Submitting Rights to the Court
- § 2:14 Order to Accept or Refuse Appointment as an Estate Trustee
(Formerly Citation Order to Accept or Refuse Probate or
Administration — R. 74.15(1)(a), (b))
- § 2:15 Order to Revoke a Certificate of Appointment of Estate
Trustee

§ 2:16 Appeal

III. SUSPICIOUS CIRCUMSTANCES, KNOWLEDGE AND APPROVAL

§ 2:17 General

§ 2:18 *Vout v. Hay*

§ 2:19 Case Law after *Vout v. Hay*

§ 2:20 Onus of Proof

§ 2:21 Conclusion

IV. STRATEGIES TO QUASH A SPURIOUS WILL CHALLENGE

§ 2:22 Threat of a Costs Award

§ 2:23 Minimal Evidentiary Threshold

§ 2:24 Summary Judgment—The Basis — Rule 20

§ 2:25 —Case Law

§ 2:26 Offers to Settle

V. CASE LAW

§ 2:27 General Principles

§ 2:28 Standard Required—For Drafting Will

§ 2:29 —Retainer

§ 2:30 —Solicitor's Liability

§ 2:31 Nature of Incapacity—General Principles

§ 2:32 —Delusions

§ 2:33 —Eccentric Behaviour

§ 2:34 —Physical Infirmary

§ 2:35 —Senile Dementia

§ 2:36 —Miscellaneous Incapacities

§ 2:37 Suspicious Circumstances—General Principles

§ 2:38 —Burden of Proof

§ 2:39 —Relevant Time

§ 2:40 —Practice and Procedure

§ 2:41 Practice and Procedure—Costs

§ 2:42 —Miscellaneous

Appendix 2A. Forms

CHAPTER 3. ORDERS FOR ASSISTANCE (FORMERLY “CITATION ORDERS”)

§ 3:1 Introduction

§ 3:2 Order to Accept or Refuse Appointment: R. 74.15(1)(a) & (b)

§ 3:3 Order to Bring in a Testamentary Document: s. 9 of the Estates Act

§ 3:4 Order to Consent or Object to Proposed Appointment: R. 74.15(1)(c)

§ 3:5 Order to File Statement of Assets of the Estate: R. 74.15(1)(d) & (e)

TABLE OF CONTENTS

- § 3:6 Order to a Beneficiary Witness: R. 74.15(1)(f)
 - § 3:7 Order to Former Spouse Named as Estate Trustee: R. 74.15(1)(g)
 - § 3:8 Order to Pass Accounts: R. 74.15(1)(h)
 - § 3:9 Other Orders For Assistance: R. 74.15(1)(i)
- Appendix 3A. Forms

CHAPTER 4. DEPENDANT SUPPORT CLAIMS

- § 4:1 Nature of the Relief
- § 4:2 Initiation of Proceedings
- § 4:3 Status to Bring the Application
- § 4:4 Who is a “Dependant”?
- § 4:5 Limitation Period
- § 4:6 Interim Orders
- § 4:7 The Separated Spouse as “Dependant”
- § 4:8 Claims by Adult Children
- § 4:9 Preserving the Estate Pending Hearing
- § 4:10 Determination of “Adequate Provision” by Court
- § 4:11 Expanded Definition of “Estate” That Dependant Can Claim Against
 - § 4:12 —Insurance Policies
 - § 4:13 —Pre-Retirement Death Benefit
- § 4:14 The Effect of the Family Law Act
- § 4:15 Variation of Order
- § 4:16 Costs
- § 4:17 *Cummings v. Cummings*: The Moral Obligation of the Testator to a Dependant
- § 4:18 British Columbia’s *Wills Variation Act*: A Contrasting Statutory Framework
- § 4:19 A Review of the Case Law—Introduction
 - § 4:20 —1970 to 1979
 - § 4:21 —1980 to 1989
 - § 4:22 —1990 to 1999
 - § 4:23 —2000 to 2009
 - § 4:24 —2010 to 2019

Appendix 4A. Forms

CHAPTER 5. PASSING OF ACCOUNTS AND EXECUTORS’ FEES

I. OVERVIEW

- § 5:1 General
- § 5:2 When is it Necessary to Pass Accounts
- § 5:3 Compelling a Passing of Accounts
- § 5:4 Form of Accounts

II. SPECIFIC ACCOUNT ENTRY PROBLEMS

- § 5:5 Ex Dividends

- § 5:6 Mortgage Payments
- § 5:7 Accrued Interest to Date of Death
- § 5:8 Funeral Expenses
- § 5:9 Legal Fees
- § 5:10 Depreciation

III. PASSING OF ACCOUNTS—LIMITATION DEFENCE

- § 5:11 General

IV. PROCEDURE ON PASSING ACCOUNTS

- § 5:12 Unopposed Order v. Contested Hearing
- § 5:13 Material Required on Application to Pass Accounts
- § 5:14 Service of Application to Pass Accounts
- § 5:15 Responding to the Application
- § 5:16 Unopposed Order on Passing of Accounts
- § 5:17 Contested Hearing for Passing of Accounts

V. EXECUTORS' COMPENSATION

- § 5:18 Basis of Compensation for Personal Representative of an Estate
- § 5:19 Relevant Criteria in Determining Amount of Compensation
- § 5:20 Development of a Tariff Guideline
- § 5:21 Current Position of the Courts with Respect to the Tariff Guideline
- § 5:22 Some Specific Considerations with Respect to Executor's Compensation—Special Fee
- § 5:23 —Compensation in Large Estates
- § 5:24 —Where Solicitor is Both Fiduciary and Solicitor for the Estate
- § 5:25 —Assets Transferred *In Specie*
- § 5:26 —Compensation Agreements
- § 5:27 Charging the Executor's Compensation Against the Estate
- § 5:28 Division of Compensation Among Co-Trustees
- § 5:29 Use of Agents
- § 5:30 Pre-taking Compensation
- § 5:31 Practice and Procedure on Compensation Claims—General Procedure and Jurisdiction of the Court on Passing of Accounts
- § 5:32 —Representing the Beneficiary
- § 5:33 —Representing the Estate Trustee
- § 5:34 —Costs of the Passing of Account and Offers to Settle

VI. CASE LAW

- § 5:35 General
- § 5:36 Current Position of the Courts with Respect to the Tariff Guideline
- § 5:37 Where Solicitor is Both Fiduciary and Solicitor for the Estate

TABLE OF CONTENTS

- § 5:38 Compensation Agreements
- § 5:39 Costs on Contested Passing of Accounts
- § 5:40 Practice and Procedure
- § 5:41 Remuneration
- § 5:42 Entitlement to Compensation (Effect of Conduct)
- § 5:43 Accounting (General Principles)
- Appendix 5A. Statement of Accounts
- Appendix 5B. Forms

CHAPTER 6. ROLE OF THE CHILDREN'S LAWYER

I. GENERAL

- § 6:1 Introduction
- § 6:2 Historical Background

II. LITIGATION GUARDIAN OF LAST RESORT

- § 6:3 Minors
- § 6:4 Unborn and Unascertained Issue

III. MINORS' FUNDS

- § 6:5 General
- § 6:6 Payments into Court
- § 6:7 Payments Out of Court—Motion for Payment Out of Court
- § 6:8 —Children's Lawyer *Ex Parte* "Fiat" Procedure
- § 6:9 —Real Property

IV. GUARDIAN OF PROPERTY

- § 6:10 General

V. SETTLEMENTS ON BEHALF OF MINORS

- § 6:11 Jurisdiction
- § 6:12 Procedure

VI. PROCEEDINGS ABOUT THE WILL: VALIDITY AND INTERPRETATION

- § 6:13 Jurisdiction
- § 6:14 —Validity
- § 6:15 —Interpretation
- § 6:16 Procedure

VII. PASSING OF ACCOUNTS/AUDITS

- § 6:17 Jurisdiction
- § 6:18 Procedure

- § 6:19 Protocol with Public Guardian and Trustee
- § 6:20 Costs
- § 6:21 Guardian of Minor's Property

VIII. REMOVAL/REPLACEMENT OF TRUSTEES

- § 6:22 Jurisdiction
- § 6:23 Procedure
- § 6:24 Intention of Testator
- § 6:25 Encroachment on Capital
- § 6:26 Qualifications of New Trustees
- § 6:27 Misconduct, Mismanagement
- § 6:28 Passing of Accounts

IX. SUFFICIENCY OF SUPPORT AND ENTITLEMENT

- § 6:29 General
- § 6:30 Applications on Behalf of a Minor
- § 6:31 Applications Against the Estate Where There is a Minor Beneficiary
- § 6:32 Limitation Period of Six Months
- § 6:33 Conflict of Interest
- § 6:34 Passing of Accounts
- § 6:35 Assets
- § 6:36 Settlement for the Benefit of Minors
- § 6:37 Dependant Child, Posthumously Conceived

X. APPLICATIONS TO APPROVE THE SALE OF PROPERTY TO TRUSTEES

- § 6:38 Jurisdiction
- § 6:39 Procedure
- § 6:40 Previous Acquisitions by Trustee or Personal Representative
- § 6:41 Costs

XI. PRACTICE AND PROCEDURE, SALE OF LAND: SS. 15, 17 AND 22 OF THE ESTATES ADMINISTRATION ACT

- § 6:42 General
- § 6:43 Persons on Whose Behalf the Children's Lawyer Approves
- § 6:44 Purpose of the Act is Protection of Minors, Mentally Incapable Persons, and Non-Concurring Persons
- § 6:45 Duties of Estate Trustee Without a Will or Estate Trustee With No Power to Sell Real Property
- § 6:46 Approval of the Children's Lawyer Not Required
- § 6:47 Where All Beneficiaries Are Minors
- § 6:48 Approval of the Children's Lawyer
- § 6:49 Minors Age Sixteen or Over
- § 6:50 Vested Real Property

TABLE OF CONTENTS

§ 6:51 Application for the Approval of the Children's Lawyer

XII. PRACTICE AND PROCEDURE, SALE OF LAND OWNED BY MINORS: S. 59 OF CHILDREN'S LAW REFORM ACT

§ 6:52 General
§ 6:53 Purpose Of The Act
§ 6:54 Application For Court Approval
§ 6:55 Procedure Following Judgment
§ 6:56 Procedure Following Registration
§ 6:57 Mortgages

XIII. MORTGAGING PROPERTY OWNED BY A MINOR

§ 6:58 General
§ 6:59 Application For Court Approval

XIV. VARIATIONS OF TRUST; SOLICITOR-CLIENT PRIVILEGE

§ 6:60 Variations of Trust
§ 6:61 Solicitor-Client Privilege and Litigation Records at the office of the Children's Lawyer

Appendix 6A. Applications For Payment Out Of Court

Appendix 6B. Forms

CHAPTER 7. THE ROLES OF THE PUBLIC GUARDIAN AND TRUSTEE IN ESTATE LITIGATION

I. INTRODUCTION

§ 7:1 General

II. THE ROLES OF THE PUBLIC GUARDIAN AND TRUSTEE

A. GENERAL

§ 7:2 Introduction

B. AS ADMINISTRATOR, PERSONAL REPRESENTATIVE OR TRUSTEE

§ 7:3 General
§ 7:4 Quantum Meruit Claims
§ 7:5 Dependents' Claims
§ 7:6 Applications for Advice and Directions
§ 7:7 Applications to Prove or Challenge a Will

- § 7:8 Applications for Variations of Trusts
- § 7:9 Applications to Replace or Remove a Personal Representative
- § 7:10 Applications to Pass Accounts
- § 7:11 Other Civil Litigation

C. AS GUARDIAN OF PROPERTY OR GUARDIAN OF PERSONAL CARE OF AN INCAPABLE PERSON

- § 7:12 General
- § 7:13 Applications under the *Substitute Decisions Act, 1992* by the Public Guardian and Trustee
- § 7:14 Applications for Temporary Guardianship
- § 7:15 By a Person to Replace the Public Guardian and Trustee as Statutory Guardian of Property
- § 7:16 Other Actions on behalf of Incapable Persons for whom the Public Guardian and Trustee Acts as Guardian of Property

D. PROTECTING THE INTERESTS OF INCAPABLE PERSONS UNDER THE *SUBSTITUTE DECISIONS ACT, 1992*

- § 7:17 Arranging Counsel
- § 7:18 Reviewing Private Applications

E. AS COMMITTEE; AS LITIGATION GUARDIAN; PROVIDING ASSISTANCE TO COURT

- § 7:19 As Committee of an Absentee
- § 7:20 As Litigation Guardian of an Incapable Person or an Absentee—Civil Litigation
- § 7:21 —Motions by Counsel under r. 15.04
- § 7:22 Providing Assistance to the Court under Rule 7.08(5)

F. ROLES WITH RESPECT TO CHARITABLE PROPERTY

- § 7:23 General
- § 7:24 Charitable Bequests by Will
- § 7:25 Incorporation of Charities
- § 7:26 Complaints about Charities
- § 7:27 Regulations and Orders under the *Charities Accounting Act*
- § 7:28 Other Estate Litigation Involving Charitable Interests

G. OTHER

- § 7:29 Operation of the Accountant of the Superior Court of Justice
- § 7:30 Maintaining Trust Accounts for Charities
- § 7:31 Capacity Assessment Office

III. SERVICE; MEDIATION; LEGAL COSTS AND CONCLUSION

- § 7:32 Service on the Public Guardian and Trustee

TABLE OF CONTENTS

- § 7:33 Mediation
- § 7:34 Legal Costs
- § 7:35 Conclusion

IV. CASE LAW

- § 7:36 General
- § 7:37 PGT as Guardian of Property
- § 7:38 PGT as Litigation Guardian
- § 7:39 PGT's Capacity to Commence or Continue Action
- § 7:40 PGT and Charitable Organizations
- § 7:41 PGT and Dependents' Relief Legislation

Appendix 7A. Forms

Appendix 7B. Table of Concordance

Volume 2

CHAPTER 8. CLAIMS AGAINST ESTATES: SUMMARY PROCEDURE

- § 8:1 Introduction
- § 8:2 Procedure
- § 8:3 Nature of the Claim
- § 8:4 Major Problem with the Summary Procedure Available
- § 8:5 Conclusion

Appendix 8A. Forms

CHAPTER 9. COURT-APPOINTED GUARDIANS OF PROPERTY AND OF THE PERSON

I. INTRODUCTION

- § 9:1 Overview

II. STATUTORY PROVISIONS AND CASE LAW

- § 9:2 When Appointment Will Be Made
- § 9:3 —Capacity
- § 9:4 —Evidence
- § 9:5 —Capacity Assessment
- § 9:6 —Effect of Power of Attorney
- § 9:7 Choice of Guardian—Generally
- § 9:8 —Guardians of the Person
- § 9:9 —Appointment of Public Guardian and Trustee
- § 9:10 Powers And Duties
- § 9:11 —Anti-Ademption
- § 9:12 Rights Of Incapable Person's Beneficiaries
- § 9:13 Standard of Care

- § 9:14 Compensation—Guardians of Property
- § 9:15 —Guardians of the Person
- § 9:16 Costs

III. PROCEDURE

A. GUARDIAN OF PROPERTY — STANDARD PROCEDURE

- § 9:17 General
- § 9:18 Initial Documentation to be Prepared — Application Record—
Notice of Application
- § 9:19 —Affidavit of Applicant
- § 9:20 —Management Plans
- § 9:21 —Medical Affidavits
- § 9:22 Service of the Application Record
- § 9:23 Response to Service of Application Record—By “Respondents”
- § 9:24 —By the “Specified Relatives”
- § 9:25 Court Hearing and Judgment

B. GUARDIAN OF PROPERTY — SUMMARY DISPOSITION PROCEDURE

- § 9:26 General
- § 9:27 Initial Documentation to be Prepared
- § 9:28 Applicant Involves Either Two Assessors or One Assessor and
One Non-Assessor
- § 9:29 Assessments are Conducted
- § 9:30 Notice from Assessor(s)
- § 9:31 Issue Notice of Application and Send Copies to Assessor(s) and
Non-Assessor Providing a Statement (if Applicable)
- § 9:32 Receipt of Statements from Assessor(s) and Non-Assessor (if
Applicable)
- § 9:33 Preparing Application Record
- § 9:34 Service of the Application Record
- § 9:35 Response to Service of Application Record
- § 9:36 Submission of Material to Judge by Registrar — Section 77
- § 9:37 Disposition by the Court

C. GUARDIAN OF THE PERSON — STANDARD PROCEDURE

- § 9:38 General
- § 9:39 Initial Documentation to be Prepared
- § 9:40 Service of the Application Record
- § 9:41 Response to Service of Application Record
- § 9:42 Court Hearing and Judgment

D. GUARDIAN OF THE PERSON — SUMMARY DISPOSITION PROCEDURE

- § 9:43 General

TABLE OF CONTENTS

- § 9:44 Initial Documentation to be Prepared
- § 9:45 Two Assessors Meet with Alleged Mentally Incapable Person
- § 9:46 Assessments are Conducted
- § 9:47 Notice from Assessors
- § 9:48 Issue Notice of Application and Send Copies to Assessors
- § 9:49 Receipt of Statements from Assessors
- § 9:50 Preparing Application Record
- § 9:51 Service of the Application Record
- § 9:52 Response to Service of Application Record
- § 9:53 Submission of Material to Judge by Registrar — s. 77(2)
- § 9:54 Disposition by the Court

IV. CASE LAW

- § 9:55 Case Law

Appendix 9A. Forms

CHAPTER 10. PROCEEDINGS RELATING TO THE PRESUMPTION OF DEATH

- § 10:1 Introduction
- § 10:2 *Declarations of Death Act, 2002*—Regime Before the *Declarations of Death Act, 2002*
- § 10:3 —Application Under the Act
- § 10:4 Other Possible Applications—Application under the *Insurance Act*
- § 10:5 —Application under the Rules of Civil Procedure
- § 10:6 —Application under the *Marriage Act*
- § 10:7 Common Law Rules—Presumption of Death
- § 10:8 —Inference of Death
- § 10:9 —Time of Death
- § 10:10 *Absentees Act*
- § 10:11 *Canada Pension Plan*

Appendix 10A. Forms

CHAPTER 11. PAYMENTS INTO AND OUT OF COURT

- § 11:1 General Principles
- § 11:2 Legislation Respecting Payment Into Court
- § 11:3 —Affidavit
- § 11:4 —Notice of Application or Petition
- § 11:5 —Draft Order
- § 11:6 Payments Into Court Pursuant to the *Insurance Act* (Ontario)
- § 11:7 The Office of the Accountant of the Superior Court of Justice
- § 11:8 Payments Out of Court
- § 11:9 Procedure in Other Jurisdictions

Appendix 11A. Comparative Legislation Chart

Appendix 11B. Forms

CHAPTER 12. APPLICATIONS FOR THE OPINION, ADVICE AND DIRECTION OF THE COURT

- § 12:1 Applications Pursuant to Rule 14.05(3) of the *Rules of Civil Procedure*
- § 12:2 Court Documents Required
- § 12:3 Questions That May and May Not Be Asked of the Court
- § 12:4 Application Record
- § 12:5 Position of the Trustee on the Application
- § 12:6 Admissibility of Extrinsic Evidence
 - § 12:7 —Indirect extrinsic evidence is admissible
 - § 12:8 —Direct extrinsic evidence is generally inadmissible
 - § 12:9 —Direct extrinsic evidence is admissible only in order to resolve an equivocation in the will
- § 12:10 —Evidence: Courts of Probate versus Courts of Construction
- § 12:11 Costs

Appendix 12A. Forms

CHAPTER 13. RETIREMENT AND REMOVAL OF TRUSTEES

- § 13:1 Introduction
- § 13:2 Basic Concepts
- § 13:3 Renunciation
- § 13:4 Retirement by Deed
- § 13:5 Passing Over
- § 13:6 Court Removal
- § 13:7 Case Law

Appendix 13A. Forms

CHAPTER 14. COURT PROCEEDINGS TO ASCERTAIN HEIRS OF AN ESTATE

- § 14:1 Introduction
- § 14:2 Initiation of Proceedings
- § 14:3 Limitation Periods
- § 14:4 Onus of Proof and Case Law
- § 14:5 Pre-Trial Procedure
- § 14:6 Evidentiary Issues
- § 14:7 Presumption of Paternity
- § 14:8 DNA Testing
- § 14:9 Proper Position of Personal Representative
- § 14:10 Post-Trial Procedures
- § 14:11 Cost Considerations
- § 14:12 Untraceable Beneficiaries

Appendix 14A. Forms

CHAPTER 15. VARIATIONS OF TRUSTS

I. INTRODUCTION

§ 15:1 Overview

II. METHODS OF VARYING A TRUST – TERMINATION BY THE BENEFICIARY/IES

§ 15:2 The Rule in *Saunders v. Vautier*

§ 15:3 Power to Encroach or Resettle

§ 15:4 Inherent Jurisdiction

§ 15:5 *Trustee Act* Applications

§ 15:6 Proceedings under s. 13 of the *Charities Accounting Act*

§ 15:7 Variation of Trusts Legislation

§ 15:8 Acceleration of an Interest vs. Variation of a Trust

III. CRITERIA RELEVANT TO APPLICATIONS UNDER THE *VARIATION OF TRUSTS ACT*

§ 15:9 The Intention of the Testator/Settlor

§ 15:10 Benefit to Minors, Unborn or Incapable Beneficiaries

§ 15:11 Sufficiency of the Benefit: The “Prudent Adult” Test

§ 15:12 The Court’s Discretion

IV. PRACTICE ON A VARIATION OF TRUST APPLICATION

§ 15:13 Commencing the Application

§ 15:14 Negotiating with the Children’s Lawyer

§ 15:15 Deed of Arrangement

§ 15:16 The Application and Supporting Documentation

V. CASE LAW

§ 15:17 Case Law

Appendix 15A. Forms

CHAPTER 16. FAMILY LAW ACT APPLICATIONS

I. INTRODUCTION; RESPONSIBILITIES OF PERSONAL REPRESENTATIVE UNDER THE FLA

§ 16:1 Introduction

§ 16:2 Responsibilities of the Personal Representative of the Estate Under the FLA

II. ELECTION AND CONSEQUENCES OF ELECTING

§ 16:3 Nature of the Election

§ 16:4 Can the Election, Once Made, be Revoked Either by the Surviving Spouse or the Court?

- § 16:5 Limitation Periods — Extensions of Time
- § 16:6 Does Election Apply to Any Will of the Deceased Which May be Determined to be the Last Valid Will?
- § 16:7 Who Can Make the Election on Behalf of the Surviving Spouse?
- § 16:8 Issues Arising from the Fact that the Valuation Date is “the Date Before the Date on Which One of the Spouses Dies”
- § 16:9 —Treatment of Joint Property
- § 16:10 —Life Insurance
- § 16:11 —Income Tax Liability
- § 16:12 —Testamentary and Administrative Expenses
- § 16:13 “Property” — Does it Include an Interest in an Estate?
- § 16:14 Effect of Surviving Spouse’s Election on the Interpretation of the Will
- § 16:15 Which of the Beneficiaries of the Estate Bear the Liability for the Equalization Payment?

III. COURT PROCEDURE UNDER THE FLA

- § 16:16 Initiation of Proceedings
- § 16:17 —Applications
- § 16:18 —Actions
- § 16:19 Specific Statements That Must be Served and Filed
- § 16:20 Issues Before the Court — Court Orders—Value of Net Family Property — Type of Order
- § 16:21 —Unconscionability
- § 16:22 Continuation of Application by or Against Estate
- § 16:23 Relationship Between Equalization Order and Dependant Support Order
- § 16:24 Relationship Between Equalization Claim and Other Claimants Against the Estate

IV. SPOUSAL ISSUES; SUPPORT ORDERS

- § 16:25 Issues of Title Between Spouses
- § 16:26 Deemed Severance of Joint Tenancy in the Matrimonial Home with A Third Party
- § 16:27 Support Orders
- § 16:28 Determination of Possession of the Matrimonial Home
- § 16:29 Preservation Orders

V. CASE LAW REVIEW; CONCLUSION

- § 16:30 Case Law Review
- § 16:31 Conclusion — Working with the SLRA and the FLA

Appendix 16A. Forms

CHAPTER 17. PROVING A LOST WILL IN COURT

- § 17:1 Introduction

TABLE OF CONTENTS

- § 17:2 Procedure Under Rule 75.02
- § 17:3 Elements to Be Proved
- § 17:4 —Due Execution
- § 17:5 —Tracing Possession
- § 17:6 —Rebuttal of the Presumption of Destruction
- § 17:7 —Proof of Contents of the Will
- § 17:8 Form of Order
- § 17:9 Interplay with Substantial Compliance

Appendix 17A. Forms

CHAPTER 18. EVIDENCE IN ESTATE LITIGATION

- § 18:1 Introduction
- § 18:2 Due Execution of Will
- § 18:3 Testamentary Capacity, Undue Influence and Suspicious Circumstances
- § 18:4 Solicitor and Client Privilege
- § 18:5 Costs
- § 18:6 Pretrial Examination of Witnesses to Will (R. 31.10 of the Rules of Civil Procedure)
- § 18:7 Interpretation of Wills—What Extrinsic Evidence Is Admissible?
- § 18:8 Lost Will—Evidence Admissible to Rebut Presumption of Revocation
- § 18:9 Evidence of the Contents of a Lost Will
- § 18:10 Evidence in Dependant Support Cases
- § 18:11 Evidence in Applications for Appointment of a Guardian of Property
- § 18:12 Contested Passings of Accounts
- § 18:13 Claims Against the Estate—Corroboration
- § 18:14 Evidence in a *Pecore* Case
- § 18:15 Case Law Review

CHAPTER 19. COSTS IN ESTATE LITIGATION

- § 19:1 Introduction
- § 19:2 The Traditional Position
- § 19:3 The Former (and Short-Lived) Costs Grid
- § 19:4 Current Costs Guidelines
- § 19:5 The Principle of Proportionality
- § 19:6 Trend of the Courts Not to Award All Costs Out of the Estate
- § 19:7 “Loser Pays Principle” Governs
- § 19:8 Challenges to the Validity of Wills
- § 19:9 Out-of-Court Conduct and Social Media
- § 19:10 Interpretation of Wills
- § 19:11 Dependant Support Proceedings Or Will Variation
- § 19:12 Costs Awards in Other Types of Estate Litigation—Passing of Accounts
- § 19:13 —Guardianship

- § 19:14 —Disputes Between Beneficiaries
- § 19:15 —Allegations of Misconduct
- § 19:16 —Dispute Over an Estate Asset
- § 19:17 —Costs Ordered Against Solicitor for Estate Trustees
- § 19:18 Security for Costs
- § 19:19 Conclusion

Appendix 19A. Tariffs

Appendix 19B. Information for the Profession (From the Costs Subcommittee of the Civil Rule Committee)

Appendix 19C. Precedent Costs Outline

CHAPTER 20. MEDIATION OF ESTATE DISPUTES

I. INTRODUCTION

- § 20:1 Overview—Then
- § 20:1.50 —Now

II. SOME FUNDAMENTALS ABOUT MEDIATION

- § 20:2 Dispute Resolution
- § 20:3 Mediation as a Form of Dispute Resolution
- § 20:4 Position-based vs. Interest-based Bargaining
- § 20:5 The Mediator's Role
- § 20:6 The Mediation Process
- § 20:7 Advantages of Mediation
- § 20:8 When to Mediate
- § 20:9 How to Get Started
- § 20:10 “Win-Win” Results

III. WHY ESTATE DISPUTES WELL SUITED TO MEDIATION; MEDIATION CLAUSES IN WILLS

- § 20:11 Why Estate Disputes Are Well Suited to Mediation
- § 20:12 Mediation Clauses in Wills
- § 20:13 —Precatory Statements
- § 20:14 —Forfeiture Clauses
- § 20:15 —Damage Clauses
- § 20:16 —Engaging the Executor

IV. ENCOURAGING MEDIATION; MANDATORY MEDIATION IN ONTARIO; CONCLUSION

- § 20:17 Encouraging Mediation in Resolution of Estate Disputes
- § 20:18 Mandatory Mediation in Ontario
- § 20:19 Conclusion

CHAPTER 21. SOLICITORS' NEGLIGENCE IN ESTATE MATTERS

I. POTENTIAL LIABILITY; DUTY OF CARE

- § 21:1 Potential for Liability
- § 21:2 Duty of Care

II. AREAS OF POTENTIAL LIABILITY

- § 21:3 Improper Execution of a Will
- § 21:4 —Suggestions to Avoid Potential Liability
- § 21:5 Completing the Will in a Timely Manner: How Long Is “Too Long”?
- § 21:6 —Suggestions to Avoid Potential Liability
- § 21:7 Drafting Errors
- § 21:8 —Suggestions to Avoid Potential Liability
- § 21:9 Ascertaining Capacity and Independence of the Testator; Recording and Maintaining the Evidence
- § 21:10 —Suggestions to Avoid Potential Liability
- § 21:11 Administration of the Estate
- § 21:12 —Suggestions to Avoid Potential Liability
- § 21:13 Estate Litigation
- § 21:14 —Suggestions to Avoid Potential Liability

III. DISCOVERABILITY OF CLAIMS AGAINST A SOLICITOR

- § 21:15 General

IV. CASE LAW AND CONCLUSION

- § 21:16 Case Law
- § 21:17 Conclusion

CHAPTER 22. LIMITATION PERIODS IN ESTATE MATTERS

I. GENERAL

- § 22:1 Introduction
- § 22:2 The *Limitations Act, 2002*
- § 22:3 Basic and Ultimate Limitation Periods and the Rule of “Discoverability”
- § 22:4 Will Challenges
- § 22:5 Minors and Incapable Persons Under the *Limitations Act, 2002*

II. ESTATES STATUTES AND THE *LIMITATIONS ACT, 2002*

- § 22:6 General

- § 22:7 Estates Act
- § 22:8 Estates Administration Act—Real property in estates
- § 22:9 —Intestate distribution
- § 22:10 Family Law Act
- § 22:11 —Limitations in equalization calculations
- § 22:12 —Extension of time periods
- § 22:13 Succession Law Reform Act
- § 22:14 Trustee Act
- § 22:15 Limitations Act - Passing of Accounts

III. TRANSITION PROVISIONS; SUMMARY OF LIMITATION PERIODS; CASE LAW

- § 22:16 Transition Provisions
- § 22:17 Summary of Limitation Periods
- § 22:18 Case Law

CHAPTER 23. CLAIMS BY COMMON LAW SPOUSES AGAINST ESTATES

I. GENERAL

- § 23:1 Introduction
- § 23:2 Definition of “Marriage” and “Spouse”
- § 23:3 State of the Law in Ontario

II. COMMON LAW SPOUSES AND SAME-SEX PARTNERS

- § 23:4 Rights with Respect to Estates
- § 23:5 Support Obligations
- § 23:6 Unjust Enrichment, Constructive Trust and Quantum Meruit Claims
- § 23:7 Charter of Rights Cases and Common Law Spouses—
Introduction
- § 23:8 —*Miron v. Trudel*
- § 23:9 —*Johnson v. Sand*
- § 23:10 —*Walsh v. Bona*
- § 23:11 —*Droit de la famille - 091768*
- § 23:12 —*R v. Nguyen*

III. COST OF CONSTITUTIONAL CHALLENGE; REMEDIES AND CONCLUSION

- § 23:13 Paying the Costs for A Constitutional Challenge
- § 23:14 Remedies — A Hollow Victory?
- § 23:15 Conclusion

Appendix 23A. Relevant Estate Legislation

CHAPTER 24. THE ESTATE TRUSTEE DURING LITIGATION

I. INTRODUCTION

§ 24:1 General

II. APPOINTMENT

§ 24:2 General

§ 24:3 Law

§ 24:4 —Statutory Basis

§ 24:5 —When Will an Estate Trustee During Litigation Be Appointed?

§ 24:6 —Who Should Be Appointed?—The “Rule”

§ 24:7 —The Exception

§ 24:8 —Alternatives to a Corporate Estate Trustee during Litigation

§ 24:9 —Estate Trustee During Litigation vs Litigation Administrator

§ 24:10 Procedur—The Motion/Application

§ 24:11 —The Order

§ 24:12 —The Costs

§ 24:13 —The Certificate of Appointment

III. DUTIES AND AUTHORITY

§ 24:14 General

§ 24:15 Dealing with Assets

§ 24:16 Information Gathering

§ 24:17 Paying Debts and Liabilities

§ 24:18 Assisting in Resolving the Litigation

§ 24:19 Termination of Duties

IV. COMPENSATION; LIABILITY AND CONCLUSION

§ 24:20 Compensation

§ 24:21 Liability

§ 24:22 Conclusion

CHAPTER 25. RESULTING TRUST, UNJUST ENRICHMENT AND RELATED EQUITABLE RIGHTS AND REMEDIES IN ESTATE LITIGATION

§ 25:1 Introduction

§ 25:2 The Presumption of Advancement and the Presumption of Resulting Trust — the Supreme Court of Canada
Decisions in *Pecore v. Pecore* and *Saylor v. Madsen Estate*.

§ 25:3 The Presumption of Advancement and the Presumption of

- Resulting Trust — the Supreme Court of Canada
Decisions in *Pecore V. Pecore* and *Saylor V. Madsen*
Estate.—*Pecore v. Pecore*
- § 25:4 —*Saylor v. Madsen Estate*
- § 25:5 —*Recent Applications of the Presumption of Resulting Trust*
- § 25:5.50 *Application of Pecore Principles to Assets with a Designated Beneficiary*
- § 25:6 Unjust Enrichment
- § 25:7 Monetary Awards for Unjust Enrichment—Awards on the basis of *quantum meruit*: the “value received” approach
- § 25:8 —Mutual Conferral of Benefits
- § 25:9 —Awards on the basis of joint family venture: the “value surviving” approach
- § 25:10 Constructive Trust Awards
- § 25:11 Does the Beneficiary of A Constructive Trust Have Priority Over Creditors of the Deceased?
- § 25:12 Corroboration of Claim — Section 13
- § 25:13 Integration of Claims of Unjust Enrichment with Dependant Support Claims
- § 25:14 Part Performance of An Oral Contract
- § 25:15 Proprietary Estoppel
- § 25:16 Conclusion

Appendix 25A. Forms

Bibliography

CHAPTER 26. CHALLENGING “JOINT WITH RIGHT OF SURVIVORSHIP” ENTITLEMENT

- § 26:1 Introduction
- § 26:2 Evidentiary Presumptions
- § 26:3 —Presumption of Resulting Trust
- § 26:4 —Presumption of Advancement
- § 26:5 —Presumption of Undue Influence
- § 26:6 When Will the Court Apply the Presumptions
- § 26:7 Rebutting the Presumptions—Onus of Proof
- § 26:8 —Standard of Proof
- § 26:9 —Section 13 of the *Evidence Act*
- § 26:10 —Insufficient Evidence
- § 26:11 Beneficiary Designations
- § 26:12 Procedure
- § 26:13 —Orders for Assistance
- § 26:14 —Passing of Accounts
- § 26:15 —Availability of Summary Judgment
- § 26:16 —Costs
- § 26:17 Conclusion

CHAPTER 27. A MATTER OF OPINION: EXPERT EVIDENCE IN ESTATE LITIGATION

- § 27:1 Introduction

TABLE OF CONTENTS

§ 27:2	General Considerations: Preventing Expert “Overkill”
§ 27:3	The Expert’s Duty
§ 27:4	The Expert’s Report—Contents
§ 27:5	The Expert’S Report—Time for Service
§ 27:6	—Filing the Report
§ 27:7	—Medical Reports
§ 27:8	—Discoverability
§ 27:9	The Expert
§ 27:10	—Choosing an Expert
§ 27:11	—Retaining the Expert
§ 27:12	—The Expert’s Fees
§ 27:13	Preparing the Expert—Initial Stages
§ 27:14	The Expert’S Evidence—Presenting the Expert to the Court
§ 27:15	—Direct Examination
§ 27:16	—Cross Examination
§ 27:17	—“Best Behaviour”
§ 27:18	Specific Expert Evidence in Estate Litigation—Medical Experts
§ 27:19	—Forensic Experts (Document Examination)
§ 27:20	—Legal Expert Evidence
§ 27:21	Conclusions

Volume 3

APPENDICES

Appendix A. Legislation

Appendix A1.	Absentees Act
Appendix A2.	Accumulations Act
Appendix A3.	Aliens’ Real Property Act
Appendix A4.	Apportionment Act
Appendix A5.	Charities Accounting Act
Appendix A5.50.	Charities Accounting Act Regulation
Appendix A6.	Children’s Law Reform Act (selected sections)
Appendix A7.	Criminal Code Bill C-14, An Act to amend the Criminal Code and to make related amendments to other Acts (medical assistance in dying)
Appendix A8.	Crown Administration of Estates Act
Appendix A9.	Declarations of Death Act, 2002
Appendix A10.	Escheats Act, 2015
Appendix A11.	Estate Administration Tax Act, 1998
Appendix A11.50.	Estate Administration Tax Act Regulation (selected sections)
Appendix A12.	Estates Act

Appendix A12.50.	Estates Act Regulation (selected sections)
Appendix A13.	Small Estates, O. Reg. 110/21
Appendix A14.	Estates Administration Act
Appendix A14.50.	Estates Administration Act Regulation (selected sections)
Appendix A15.	Evidence Act
Appendix A16.	Family Law Act and Regulation
Appendix A17.	Fraudulent Conveyances Act
Appendix A18.	Health Care Consent Act, 1996, and Regulation
Appendix A19.	Insurance Act (selected sections)
Appendix A20.	Land Titles Act (selected sections), Forms and Regulations
Appendix A21.	Limitations Act, 2002
Appendix A21.50.	Marriage Act (selected sections)
Appendix A22.	Mental Health Act, Regulations and Forms
Appendix A23.	Pension Benefits Act (selected sections) and Regulation
Appendix A24.	Public Guardian and Trustee Act and Regulation
Appendix A25.	Real Property Limitations Act
Appendix A25.50.	Registry Act (selected sections)
Appendix A26.	Settled Estates Act
Appendix A27.	Substitute Decisions Act, 1992, Regulations and Form
Appendix A28.	Succession Law Reform Act
Appendix A29.	Succession Law Reform Act Regulation
Appendix A30.	Trustee Act
Appendix A31.	Variation of Trusts Act
Appendix A32.	Rules of Civil Procedure (selected provisions)
Appendix A33.	Mediators' Fees (Rule 75.1, Rules Of Civil Procedure), O. Reg. 43/05
Appendix A34.	Rules of Civil Procedure Forms

Volume 4

Appendix IF.	Issues in Focus
Appendix PS.	Procedural Summaries
Appendix WP.	Words and Phrases

Bibliography

Table of Added Cases

Table of Cases

TABLE OF CONTENTS

Index

