

Table of Contents

CHAPTER 1. RULE 701

- § 1:1 Introduction to Rule 701 and its application to expert witness testimony
- § 1:2 Overlap with Rule 702
- § 1:3 Illustrative cases on the boundaries of Rule 701

CHAPTER 2. RULE 702 & DAUBERT

- § 2:1 Introduction to Rule 702
- § 2:2 The 2023 Amendments to Rule 702
- § 2:3 Cases illustrating boundaries on when expert testimony is required
- § 2:4 The Daubert and Kumho Tire rulings
- § 2:5 —Recent and leading cases in the First Circuit
- § 2:6 —Recent and leading cases in the Second Circuit
- § 2:7 —Recent and leading cases in the Third Circuit
- § 2:8 —Recent and leading cases in the Fourth Circuit
- § 2:9 —Recent and leading cases in the Fifth Circuit
- § 2:10 —Recent and leading cases in the Sixth Circuit
- § 2:11 —Recent and leading cases in the Seventh Circuit
- § 2:12 —Recent and leading cases in the Eighth Circuit
- § 2:13 —Recent and leading cases in the Ninth Circuit
- § 2:14 —Recent and leading cases in the Tenth Circuit
- § 2:15 —Recent and leading cases in the Eleventh Circuit
- § 2:16 —Recent and leading cases in the D.C. Circuit
- § 2:17 —Recent and leading cases in the Federal Circuit
- § 2:18 The key Daubert factors
- § 2:19 —Is the testimony based on prior, independent research?
- § 2:20 —Frye’s revenge: Is the theory generally accepted in the relevant scientific community?
- § 2:21 —Has the theory been subjected to peer review and publication?
- § 2:22 —Has the expert used a standard methodology in deriving the opinion?
- § 2:23 —Is the expert’s opinion tested and testable?
- § 2:24 —What is an acceptable error rate?

EXPERT WITNESSES IN CIVIL TRIALS

- § 2:25 The relevance “fit”; experts’ opinions must assist the jury
- § 2:26 *In re: Roundup Products Liability Litigation* and the exclusion of expert testimony—Different rates of reversal by Circuit
- § 2:27 Daubert as applied in products liability cases
- § 2:28 —Testing
- § 2:29 —General acceptance
- § 2:30 —Peer review and publication
- § 2:31 —Rate of error
- § 2:32 —Differential analysis
- § 2:33 —Connection with litigation
- § 2:34 —Alternative design
- § 2:35 —Other cases
- § 2:36 *Biestek v. Berryhill*: Expert’s refusal to provide data or facts underlying opinion
- § 2:37 Daubert as applied in toxic tort epidemiology cases
- § 2:38 Daubert as applied in toxic tort epidemiology cases brought under the Jones Act
- § 2:39 Daubert as applied in medical causation cases
- § 2:40 Daubert as applied in professional malpractice cases
- § 2:41 —Reliability analysis
- § 2:42 —Illustrative cases
- § 2:43 Daubert as applied in intellectual property cases
- § 2:44 Daubert as applied to customs and practices in various industries
- § 2:45 —Illustrative cases
- § 2:46 Practical experience and training as proper basis for qualification as expert
- § 2:47 Daubert as applied to damages opinions
- § 2:48 —Illustrative cases
- § 2:49 Daubert as applied to class actions and class certification
- § 2:50 Daubert as applied in land condemnation cases
- § 2:51 —Comparable sales approach
- § 2:52 —Income capitalization approach
- § 2:53 —Cost approach
- § 2:54 Daubert and the states
- § 2:55 —States in the First Circuit
- § 2:56 —States in the Second Circuit
- § 2:57 —States in the Third Circuit

TABLE OF CONTENTS

- § 2:58 —States in the Fourth Circuit
- § 2:59 —States in the Fifth Circuit
- § 2:60 —States in the Sixth Circuit
- § 2:61 —States in the Seventh Circuit
- § 2:62 —States in the Eighth Circuit
- § 2:63 —States in the Ninth Circuit
- § 2:64 —States in the Tenth Circuit
- § 2:65 —States in the Eleventh Circuit
- § 2:66 —The District of Columbia
- § 2:67 Expert witnesses in blockchain and
cryptocurrency
- § 2:68 Expert witnesses in sports betting

CHAPTER 3. RULE 703

- § 3:1 Introduction to Rule 703
- § 3:2 Rule 703 in application
- § 3:3 Relationship between Daubert and Rule 703

CHAPTER 4. RULE 704

- § 4:1 Introduction to Rule 704
- § 4:2 Rule 704 in application
- § 4:3 Selected cases considering whether a particular
opinion calls for a legal conclusion

CHAPTER 5. RULE 705

- § 5:1 Introduction to Rule 705
- § 5:2 Rule 705 in practice

CHAPTER 6. RULE 706

- § 6:1 Introduction to Rule 706
- § 6:2 Allocation or responsibility for payment
- § 6:3 Court appointed experts as witnesses
- § 6:4 Expert witness “hot tub” hearings
- § 6:5 Special concerns relating to indigent criminal
defendants

CHAPTER 7. WORKING WITH EXPERTS—RETENTION AND INITIAL ISSUES

- § 7:1 Finding the right expert
- § 7:2 Retaining the expert, general rules and issues

EXPERT WITNESSES IN CIVIL TRIALS

- § 7:3 Misrepresentations concerning an expert's payments
- § 7:4 Using a “blind” expert
- § 7:5 Conflicts of interest
- § 7:6 Retainer agreement
- § 7:7 Contingency fees
- § 7:8 Excessive fees
- § 7:9 Payment of the expert witness
- § 7:10 Government employees
- § 7:11 Expert witnesses in the age of COVID-19
- § 7:12 Generative artificial intelligence and large language models: Rapidly growing technologies, potential applications, and anticipated risks

CHAPTER 8. EXPERT DISCOVERY

- § 8:1 Significant 2010 revision to law regarding expert discovery
- § 8:2 Initial cases interpreting and applying the 2010 Amendments to the Federal Rules of Civil Procedure
- § 8:3 The new Rule 26: Brave new world or Gordian knot?
- § 8:4 Selected decisions addressing amended Rule 26
- § 8:5 Consulting experts
- § 8:6 —Under Rule 35
- § 8:7 Discovery of a consulting expert's files
- § 8:8 Testifying experts
- § 8:9 —Some practical discovery concerns
- § 8:10 Experts relying on the work of other experts
- § 8:11 Experts relying upon only information provided by attorney
- § 8:12 Spoliation
- § 8:13 Missing-witness rule
- § 8:14 Expert's access to confidential information
- § 8:15 Experts and test evidence
- § 8:16 Expert witness report
- § 8:17 —Statement of opinions, reasons, and basis
- § 8:18 —Other requirements
- § 8:19 —Failure to comply with Rule 26 requirements
- § 8:20 —Avoiding problems
- § 8:21 — —Adequacy
- § 8:22 — —Sufficiency
- § 8:23 — —Timeliness

TABLE OF CONTENTS

§ 8:24	Interrogatories and your opponent's expert
§ 8:25	Experts and Rule 30(b)(6) depositions
§ 8:26	Discovery of an expert's financial history
§ 8:27	The potential ramifications of withdrawing an expert
§ 8:28	Working with your expert to prepare to depose the other side's expert, and thoughts on whether to bring your expert with you to the deposition
§ 8:29	"Rules of the road" in deposing an expert
§ 8:30	Sowing the seeds of destruction in the deposition
§ 8:31	Deposing experts during and after the COVID-19 pandemic
§ 8:32	Deposition outline
§ 8:33	—Illustration with commentary
§ 8:34	Duty to supplement discovery
§ 8:35	Videotaping depositions of experts for use at trial
§ 8:36	Experts and summary judgment

CHAPTER 9. PREPARING EXPERTS FOR A DEPOSITION

I. FOUNDATION: PREPARING A FACT WITNESS FOR DEPOSITION

§ 9:1	Introduction
§ 9:2	Follow a systematic approach
§ 9:3	First cardinal rule: Tell the truth
§ 9:4	Diagram the three buckets: facts, guessing and opinion
§ 9:5	Covering the topics and documents; introducing the concepts of "safe harbors" and "policing the questions"
§ 9:6	Would we ever send a pilot of an F-15 into battle without some practice?

II. FOUNDATION: PREPARING THE EXPERT FOR A DEPOSITION

§ 9:7	Understand how the expert diagram is different than the fact witness diagram
§ 9:8	Covering the topics and documents; testing, sampling, and introducing the concepts of "safe harbors" and "policing the questions"
§ 9:9	The expert is your F-15 fighter pilot

- § 9:10 Do not forget *Daubert* or the prevailing rules in your jurisdiction

CHAPTER 10. EVIDENTIARY HEARINGS AND MOTIONS IN LIMINE

- § 10:1 Evidentiary hearings
- § 10:2 Experts and class certification hearings
- § 10:3 Types of motions in limine
- § 10:4 —Qualifications
- § 10:5 —Specialized expert’s qualifications
- § 10:6 —Failure to identify an expert witness
- § 10:7 —Insufficient discovery disclosures
- § 10:8 —Lack of reliability
- § 10:9 —Relevance or Rule 403 grounds
- § 10:10 —Other grounds providing a basis for objection at trial
- § 10:11 “Substantial similarity” doctrine; issues and expert application
- § 10:12 Offer of proof

CHAPTER 11. TRIAL

- § 11:1 Opening statement
- § 11:2 Planning for direct testimony
- § 11:3 Supplementing expert testimony with information from government Web sites and electronic data
- § 11:4 Preparing documents
- § 11:5 Establishing rapport
- § 11:6 Questioning technique
- § 11:7 —The narrative approach
- § 11:8 Expert witnesses and summaries
- § 11:9 —Checklist for using summaries
- § 11:10 Expert witnesses and treatises
- § 11:11 Cross-examination
- § 11:12 Objections
- § 11:13 Specific trial objections
- § 11:14 —Ambiguous and unintelligible
- § 11:15 —Compound question
- § 11:16 —Asked and answered
- § 11:17 —Mischaracterizing or misquoting
- § 11:18 —Document speaks for itself
- § 11:19 —Leading

TABLE OF CONTENTS

- § 11:20 —Argumentative
- § 11:21 —Assumes facts not in evidence
- § 11:22 —Speculation
- § 11:23 —Relevance
- § 11:24 —Competence
- § 11:25 —Foundation
- § 11:26 —Cumulative
- § 11:27 —Best evidence
- § 11:28 —Improper hypothetical
- § 11:29 —Ultimate issue
- § 11:30 —Invades province of the jury
- § 11:31 Use of expert evidence in closing argument

CHAPTER 12. COMMENTS ON SOME TRANSCENDENT ELEMENTS OF TRIAL PRACTICE AND A VIEW FROM THE INSIDE

- § 12:1 Setting the stage
- § 12:2 Story No 1: The birth of a trial lawyer, something
buried in Paducah, Kentucky
- § 12:3 Story No. 2: The truly unwinnable case becomes
winnable
- § 12:4 Story No. 3: Sometimes, the best facts are hidden
in plain sight
- § 12:5 Lessons learned
- § 12:6 A few words from the inside

APPENDICES

- Appendix A. Sample and Illustrative Documents
- Appendix B. Federal Rules of Evidence
- Appendix C. Selected Federal Rules of Civil Procedure
- Appendix D. Excerpts from Report of the Civil Rules
Advisory Committee
- Appendix E. Summary of Concepts

Table of Laws and Rules

Table of Cases

Index