

Summary of Contents

Volume 1

- Chapter 1. Biotechnology and the Patent System
- Chapter 2. Patent Eligibility of Living Organisms Per Se
- Chapter 3. Further Consideration of Judicial Exceptions to Patent Eligibility
- Chapter 4. Novelty, Nonobviousness, and Double Patenting
- Chapter 5. Disclosure Requirements
- Chapter 6. Infringement
- Chapter 7. Claim Drafting
- Chapter 8. Procedure and Practice in the USPTO
- Chapter 9. Utility Patent Protection of Plant and Animal Varieties
- Chapter 10. Ownership and Licensing

Volume 2

- Chapter 11. Plant Patent Protection
- Chapter 12. The Plant Variety Protection Act and the UPOV
- Chapter 13. Protection of Biological Invention Abroad
- Chapter 14. Other Forms of Protection for Biotechnology

Appendix A—United States Materials on the Deposit of Microorganisms

- APPENDIX A1. Manual of Patent Examining Procedure § 608.01(p): Deposit of Microorganisms
- APPENDIX A1(1) Suggestion for Deposit of Biological Material
- APPENDIX A2. Rule on Deposit of Biological Materials for Patent Purposes, 37 C.F.R. §§ 1.801 to 1.809, effective January 1, 1990
- APPENDIX A2(1) PTO Draft Policy Statement on the Deposit of Biological Materials (1986)

- APPENDIX A2(2) PTO Advance Notice of Proposed Rulemaking on the Deposit of Biological Materials (June 19, 1987), 52 Fed. Reg. 34080 (Sept. 9, 1987) and 1080 Official Gazette 47 (Sept. 29, 1987)
- APPENDIX A2(3) PTO Notice of Proposed Rulemaking on Deposit of Biological Materials for Patent Purposes (Sept. 9, 1988), 53 Fed. Reg. 39420 (Oct. 6, 1988) and 1095 Official Gazette 47 (Oct. 25, 1988)
- APPENDIX A2(4) PTO Notice of Final Rule on Deposit of Biological Materials for Patent Purposes (July 21, 1989), 1106 Official Gazette 37 (Sept. 12, 1989)
- APPENDIX A3. PTO Notice on Designation of International Depository Authorities Under the Budapest Treaty (July 14, 1978)
- APPENDIX A4. PTO Notice on Entry into Force of the Budapest Treaty (July 14, 1980)
- APPENDIX A5. PTO Notice on Request for Certification of Deposits Made Under the Budapest Treaty (Sept. 4, 1987)
- APPENDIX A6. PTO Rules and Regulations, Changes to the Time Period for Making any Necessary Deposit of Biological Material (April 27, 2001)
- APPENDIX A7. Rules on Applications as amended September 8, 2000

Appendix B—Budapest Treaty Materials on Deposit of Microorganisms

- APPENDIX B1. Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, effective August 19, 1980
- APPENDIX B2. Budapest Treaty Regulations, effective August 19, 1980, with Amendments effective January 31, 1981
- APPENDIX B3. Parties to the Treaty
- APPENDIX B4. International Depository Authorities Under the Treaty

SUMMARY OF CONTENTS

Appendix C—Patent Cooperation Treaty Materials on Deposit of Microorganisms

- APPENDIX C1. PCT Rule 13^{bis}: Microbiological Inventions (effective January 31, 1981)
- APPENDIX C2. PCT Applicant's Guide, Annex M1: References to Deposits of Microorganisms
- APPENDIX C3. PCT Applicants' Guide, Annex M3, Form PCT/RO/134: Microorganisms
- APPENDIX C4. Information for PCT Applicants Designating the European Patent Organization, Official Journal 2/81 at 49–51

Appendix D—European Patent Convention Materials on Deposit of Microorganisms

- APPENDIX D1. Rule 28 (Original), effective October 7, 1977
- APPENDIX D2. Rule 28 (Amended), effective June 1, 1980
- APPENDIX D3. Rule 28a, effective June 1, 1980
- APPENDIX D4. European Patent Office Notice re European Patent Applications and European Patents in Which Reference Is Made to Microorganisms, Official Journal 8/86, July 8, 1986
- APPENDIX D5. European Patent Office Notice on Recognition of Microbiological Experts for the Purpose of EPC Rule 28, Official Journal 9/81

Appendix E

APPENDIX E. *[Reserved]*

Appendix F—Sequence Listings

- APPENDIX F1. Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 37 C.F.R. §§ 1.821 to 1.825, effective October 1, 1990
- APPENDIX F1(1) Draft Sequence Listing Rules (Sept. 23, 1988)
- APPENDIX F1(2) PTO Notice of Proposed Rulemaking (March 7, 1989): Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 54 Fed. Reg. 18671 (May 2, 1989), 1102 Official Gazette 34 (May 16, 1989)

- APPENDIX F1(3) PTO Notice of Final Rule (April 20, 1990):
Requirements for Patent Applications
Containing Nucleotide Sequence and/or
Amino Acid Sequence Disclosures, 55
Fed. Reg. 18,230 (May 1, 1990), 1114
Official Gazette 33 (May 15, 1990)
- APPENDIX F1(4) PTO Proposed Rules on Nucleotide and/or
Amino Acid Sequence Listings (October
10, 1996)
- APPENDIX F1(5) PTO Rules and Regulations, Requirements
for Patent Applications Containing
Nucleotide Sequence and/or Amino Acid
Disclosures (June 1, 1998)
- APPENDIX F1(6) Requirements for Patent Applications
Containing Sequence Disclosures, 37
C.F.R. §§ 1.821, 1.823–1.825, Effective
December 18, 2000
- APPENDIX F2. 1990 and 1998 Sequence Listing Rules
- APPENDIX F2(1) Covered Applications and Sequences
- APPENDIX F2(2) Overview of Requirements
- APPENDIX F2(3) Mandatory Components of the Sequence
Listing: the “General Information”
Header
- APPENDIX F2(4) Mandatory Components of the Sequence
Listing: “Sequence Information”
- APPENDIX F2(5) Mandatory Components of the Sequence
Listing: “Sequence Information”—Length
- APPENDIX F2(6) Mandatory Components of the Sequence
Listing: “Sequence Information”—Type
- APPENDIX F2(7) Mandatory Components of the Sequence
Listing: “Sequence Information”—
Strandedness
- APPENDIX F2(8) Mandatory Components of the Sequence
Listing: “Sequence Information”—
Topology
- APPENDIX F2(9) Mandatory Components of the Sequence
Listing: “Sequence Information”—
Organism
- APPENDIX F2(10) Mandatory Components of the Sequence
Listing: “Sequence Information”—
Presentation of features

SUMMARY OF CONTENTS

- APPENDIX F2(11) Enumeration of Amino Acid Sequences
- APPENDIX F2(12) Enumeration of Nucleic Acid Sequences
- APPENDIX F2(13) Formal Processing
- APPENDIX F3. Standard for Presentation of Nucleotide and Amino Acid Sequence Listings Using eXtensible Markup Language in Patent Applications
- APPENDIX F3(1) Adoption of Updated WIPO Standard ST.26; Revision to Incorporation by Reference

Appendix G—Plant Variety Protection Materials

- APPENDIX G1. United States Plant Variety Protection Act, 7 U.S.C. §§ 2321–2582 and Uncodified Sections
- APPENDIX G2. PVPA Regulations and Rules of Practice (Revised July 2013)
- APPENDIX G3(A) Guidelines Exhibit A: Origin and Breeding History
- APPENDIX G3(B) Guidelines Exhibit B: Statement of Distinctness
- APPENDIX G3(E) Guidelines Exhibit E: Statement of the Basis of Ownership
- APPENDIX G4. Application for Plant Variety Protection Certificate

Appendix H—Miscellaneous U.S. Materials

- APPENDIX H1. PTO Notice, Microorganisms—Patentable Subject Matter (July 28, 1980)
- APPENDIX H2. Patentable Subject Matter—Living Subject Matter
- APPENDIX H3. PTO Notice, Plant Life—Patentable Subject Matter (October 8, 1985)
- APPENDIX H4. PTO Notice, Animals—Patentability (April 7, 1987)
- APPENDIX H5. PTO Notice, Petitioning to Make Applications Relating to Biotechnology Special (June 22, 1988)
- APPENDIX H6. MPEP § 708.02, Petition to Make Special (Section VII, Inventions Relating to Recombinant DNA)

- APPENDIX H7. Background Information on Examining Tech Center 1600, Biotechnology
- APPENDIX H8. Biotechnology
- APPENDIX H9. Final PTO Utility Examination Guidelines
- APPENDIX H10. Proposed Utility Examination Guidelines at 60 FR 97 (Jan. 3, 1995)
- APPENDIX H11. Synopsis of Application of Utility Guidelines
- APPENDIX H12. House Report on Biotechnological Process Patents
- APPENDIX H13. Appropriations Bill (HR 3610) Containing Patent Limitations on Medical Procedures
- APPENDIX H14. Interim Examination Guidelines for Species Claims to Chemical Compositions Based Upon a Single Prior Art Reference (Feb. 13, 1997)
- APPENDIX H15. Training Materials for Examining Patent Applications with Respect to 35 USC 112 1st Paragraph—Enablement of Chemical/ Biotechnical Applications (1996)
- APPENDIX H16. PTO Final Rule for Submitting Nucleotide Sequences (Effective July 1, 1998)
- APPENDIX H17. Request for Comments on Interim Guidelines for Examination of Patent Applications under the 35 U.S.C. 112 para. 1 “Written Description” Requirement (June 15, 1998)
- APPENDIX H18. Request for Comments on the Revised Interim Guidelines for Examination of Patent Applications under the 35 U.S.C. 112 para. 1 “Written Description” Requirement (Dec. 21, 1999)
- APPENDIX H19. Revised Interim Written Description Guideline Training Materials
- APPENDIX H20. Revised Utility Examination Guidelines; Request for Comments
- APPENDIX H21. Revised Interim Utility Guidelines Training Materials
- APPENDIX H22. PTO Policy Statement on Genetic Inventions (Mar. 16, 2000)
- APPENDIX H23. Suggestions for the Resolution of Apparent Ambiguities Created by the Use of Percentage Sequence Identity Language in Claims

SUMMARY OF CONTENTS

- APPENDIX H24. The Metes and Bounds of Claims Reciting Percent Sequence Similarity or Similar Language
- APPENDIX H25. Guidelines for Examination of Patent Applications Under the 35 U.S.C. 122, 1, “Written Description” Requirement (Jan. 5, 2001)
- APPENDIX H26. Utility Examination Guidelines (Jan. 5, 2001)
- APPENDIX H27. Examination of Patent Applications Containing Nucleotide Sequences

Appendix J—Miscellaneous Non-U.S. Materials

- APPENDIX J1. European Patent Convention Article 53: Exceptions to Patentability
- APPENDIX J2. EPC Guidelines for Examination, Excerpts from Chapter II: Inventions Relating to Microorganisms (Guideline 6)
- APPENDIX J3. EPC Guidelines for Examination, Excerpts from Chapter IV, Plant and Animal Varieties Excluded from Patentability (Guidelines 3.4 and 3.5)
- APPENDIX J4. WIPO Standard ST.25
- APPENDIX J5. Trilateral Project 24.1: Biotechnology—Comparative Study on Biotechnology Patent Practices—Comparative Study Report

Table of Cases

Index