CHAPTER 1. INTRODUCTION TO LICENSING ISSUES

§ 1:1	Definition of license
§ 1:2	Comparison of assignments and licenses
§ 1:3	Creation of licenses
§ 1:4	—Express licenses
§ 1:5	—Implied licenses
§ 1:6	Types of rights licensed
§ 1:7	Exclusive licenses
§ 1:8	Non-exclusive licenses
§ 1:9	Choosing between exclusive and nonexclusive license
§ 1:10	Future rights; "fluid" membership in licensee class
§ 1:11	Restricted licenses
§ 1:12	—Duration
§ 1:13	—Manufacture, use or sale
§ 1:14	—Field of use
§ 1:15	—Geography
§ 1:16	—Quantity
§ 1:17	—Standing to sue
§ 1:18	License negotiations
§ 1:19	—Evaluation of market value

CHAPTER 2. CONTRACT LAW ASPECTS OF LICENSES

$\S~2:1$	Laws governing: Federal or State
§ 2:2	Forum: Federal or State
§ 2:3	Forum selection clauses; interpretation and enforcement
$\S 2:4$	Laws governing: Federal or State—Choice of law provisions
§ 2:5	Choice of law clause applied to forum selection clause
§ 2:6	Federal preemption of state contract law
§ 2:7	—Federal substantive law affecting licenses and
	assignments
§ 2:8	—Copyrights
§ 2:9	—Mask works
$\S 2:10$	—Patents
§ 2:11	—Trademarks
$\S 2:12$	—Effects of releases of federally created rights
$\S 2:13$	Requirements for formation of a license or assignment
	agreement
$\S 2:14$	—Agreement to agree
$\S 2:15$	—Requirement of a writing
$\S 2:16$	—Statute of frauds

§ 2:17	· ·
§ 2:18	— — Writing satisfying the statute
§ 2:19	
§ 2:20	— Other statutes
§ 2:21	— —Formal written agreements
§ 2:22	—Sufficient consideration arrived at through bargaining
§ 2:23	——Patent rights
§ 2:24	— —Trade secrets and know-how
§ 2:25	— —Uncopyrightable idea
§ 2:26	——Implied covenant of good faith and fair dealing
§ 2:27	Promissory estoppel
§ 2:28	Assignability
§ 2:29	Warranty of validity of the licensed patent
§ 2:30	Best efforts obligation
§ 2:31	Right to sue for past infringement
§ 2:32	Obligation to sue infringers
§ 2:33	Exclusion of exclusive licensor
§ 2:34	Assignment for purposes of litigation
§ 2:35	Right to sublicense
§ 2:36	Construction and interpretation of license or assignment
	agreements
§ 2:37	Ambiguity
§ 2:38	Parol evidence rule
§ 2:39	—Exceptions to the rule
§ 2:40	—Inapplicability of the rule
§ 2:41	——Fraud
§ 2:42	— —Mistake
§ 2:43	— —Non-existence of the contract
§ 2:44	Implied licenses
§ 2:45	—Shop right
§ 2:46	—Permissible repair
§ 2:47	—License to complete a patented combination
§ 2:48	—License to use purchased device
§ 2:49	—Use and sale of parts purchased from patentee or
	authorized sources
§ 2:50	—Equitable license
§ 2:51	—License to remedy patentee's breach
§ 2:52	—Implied license resulting from express grants
§ 2:53	Breach of the license agreement
$\S 2:54$	—Major or material breach
§ 2:55	—Minor breach
§ 2:56	—Anticipatory repudiation
$\S 2:57$	—Damages
§ 2:58	Rejection of patent application
§ 2:59	Rescission of license agreement
§ 2:60	—Fraud in the inducement
§ 2:61	Mistake
§ 2:62	—Mutual assent
§ 2:63	New use

- Appendix 2A. Patent Law Provisions on Assignments
- Appendix 2B. Copyright Law Provisions on Transfer of Ownership
- Appendix 2C. Copyright Law Provisions on Ownership and

Transfer of Mask Works

Appendix 2D. Lanham Act Provision on Assignment of Trademarks

CHAPTER 3. THE LICENSE AGREEMENT

- § 3:1 Overview and strategy
- § 3:2 Special patent considerations
- § 3:3 The introduction
- § 3:4 —Consideration
- § 3:5 Recitals
- § 3:6 Definitions
- § 3:7 —Patent rights
- § 3:8 —Know-how; confidential or trade secret information
- § 3:9 —Inventions
- § 3:10 —Sale
- § 3:11 —Elements of royalty and payments
- § 3:12 —Subsidiaries and affiliates
- § 3:13 Duration of license
- § 3:14 Granting clause
- § 3:15 —Intellectual rights transferred
- § 3:16 Exclusivity
- § 3:17 Territorial limits
- § 3:18 Restrictions on use
- § 3:19 Restrictions on quantity
- § 3:20 Restrictions on sales
- § 3:21 Right to sublicense
- § 3:22 Assignability
- § 3:23 Fixed fee payments
- § 3:24 —Lump sum payments
- § 3:25 ——Installment payments
- § 3:26 —Initial payment
- § 3:27 Royalty payments
- § 3:28 —Royalty basis
- § 3:29 —Royalty rate
- § 3:30 —Variable royalties
- § 3:31 —Minimum royalty clauses
- § 3:32 —Changes of royalty rates
- § 3:33 Payments for maintenance of licensed rights
- § 3:34 Other fees
- § 3:35 Best efforts clause
- § 3:36 Confidentiality clause
- § 3:37 Grant back clauses
- § 3:38 Patent markings clauses
- § 3:39 No contest clauses
- § 3:40 Records and reports clause

LICENSING

§ 3:41	Most favored nation clause
§ 3:42	Warranties
§ 3:43	—Implied warranties
§ 3:44	Express warranty of title
§ 3:45	Express warranty of non-infringement
§ 3:46	Disputes involving third party's patents
§ 3:47	Release for past infringement
§ 3:48	Equipment Equipment
§ 3:49	Technical assistance
§ 3:50	Quality control
§ 3:51	Liability and indemnification
§ 3:52	—Insurance
§ 3:53	—General liability release for employees
§ 3:54	Prior approval of changes
§ 3:55	Termination clauses
§ 3:56	Post-termination obligations clauses
§ 3:57	—Return of information and tangible property
§ 3.57 § 3:58	—Royalties
§ 3.56 § 3:59	—No-compete
§ 3:60	Termination—Access
§ 3:61	Force majeure
§ 3:62	Arbitration
§ 3:63	Choice of law and forum
§ 3:64	Damages
§ 3:65	Notice
-	Waiver clause
§ 3.66 § 3:67	Severability clause
§ 3:68	Integration and merger clauses
§ 3:69	Amendments clause
8 0.00	Timenuments clause
CHA	PTER 4. PATENTS
§ 4:1	Patent reform
§ 4:2	Patent rights in general
§ 4:3	Utility patents
§ 4:4	Design patents
§ 4:5	—Combination utility and design patents
§ 4:6	Plant patents
§ 4:7	Pioneer patents
§ 4:8	Process patents
§ 4:9	—America Invents Act and covered business methods
§ 4:10	—Infringement
§ 4:11	Combination patents
§ 4:11	Patent estate
§ 4.12 § 4:13	Inventions
§ 4:13 § 4:14	
0	—Distinguished from ideas
§ 4:15	—Patent and literature searches
§ 4:16	—Grace period
§ 4:17	Printed matter

§ 4:18 Patent applications § 4:19 —America Invents Act § 4:20 —Inventorship § 4:21 —Disclosure and description § 4:22 —America Invents Act—Best mode § 4:23 — — Third party submissions § 4:24 -Hague Agreement concerning International Registration of Industrial Designs § 4:25 Derivation proceedings § 4:26 Patent applications—Inequitable conduct —America Invents Act—Supplemental examination § 4:27 § 4:28 —Appeals § 4:29 —America Invents Act—Inter partes review § 4:30 ——Amending claims § 4:31 Duration § 4:32 Patent rights -Right to exclude § 4:33 § 4:34 —Third parties § 4:35 Claimed invention § 4:36 Patentability § 4:37 —Novelty and Prior Art; America Invents Act -Public use § 4:38 § 4:39 —America Invents Act—Prior art—Tax strategies -Nonobviousness § 4:40 Nonobviousness—Double patenting § 4:41 § 4:42 Patentability—America Invents Act—Nonobviousness § 4:43 —Reexamination based on prior art § 4:44 —Utility § 4:45 Marking § 4:46 —False marking ——America Invents Act § 4:47 § 4:48 Literal infringement § 4:49 Construing the claim -Procedure § 4:50 § 4:51 Claim construction—Appellate review **Equivalents** § 4:52 Remedies § 4:53 § 4:54 —Injunction § 4:55 —Injunction-Contempt § 4:56 —Damages § 4:57 —Increased damages Patents—Post-grant review § 4:58 § 4:59 — Grant of review § 4:60 ——Related proceedings —Decision and appeal § 4:61 Remedies—Damages—Design patents § 4:62 -Increased damages-Advice of counsel § 4:63 -Standard setting and RAND § 4:64

§ 4:65		der of parties
§ 4:66	-	udgment interest
§ 4:67 § 4:68		nventorship actions assertion entities
§ 4.66 § 4:69		ey fees
§ 4.05 § 4:70	Costs	ey lees
§ 4:70 § 4:71		nventor defense
§ 4:72		commercial use defense
§ 4:73	Laches	
§ 4:74		gth of delay
§ 4:75		able estoppel
§ 4:76	_	eral estoppel
Appendi	x 4A.	2019 Revised Patent Subject Matter Eligibility Guidance
Appendi	x 4B.	American Innovation at Risk: the Case for Patent Reform (Federal Trade Commission, Feb. 15, 2007)
Appendi	x 4C.	Examination Guidelines for Determining Obviousness Under 35 U.S.C. 103 in View of the Supreme Court Decision in KSR International Co. v. Teleflex Inc.
Appendi	x 4D.	A Guide to Filing A Non-Provisional (Utility) Patent Application U.S. Patent and Trademark Office
Appendi	x 4E.	A Guide To Filing A Design Patent Application U.S. Patent and Trademark Office
Appendi	x 4F.	Pilot Program for Green Technologies Including Greenhouse Gas Reduction
Appendi	x 4G.	Rules of Practice for Trials before the Patent Trial and Appeal Board and Judicial Review of Patent Trial and Appeal Board Decisions
Appendi	x 4H.	Patent Trial and Appeal Board Consolidated Trial Practice Guide (Abridged) (Nov. 2019)
Appendi	x 4I.	Changes to Implement Post-Grant Review Proceedings
Appendi	x 4J.	Changes to Implement Transitional Program for Covered Business Method Patents
Appendi	x 4K.	Transitional Program for Covered Business Method Patents—Definition of Technological Invention
Appendi	x 4L.	Changes to Implement Derivation Proceedings
Appendi	x 4M.	Changes to Implement the Inventor's Oath or Declaration Provisions of the Leahy-Smith America Invents Act
Appendi	x 4N.	Changes to Implement the First Inventor to File Provisions of the Leahy-Smith America Invents Act
Appendi	x 40.	Examination Guidelines for Implementing the First

TABLE OF CONTENTS	
	Inventor To File Provisions of the Leahy-Smith America Invents Act
Appendix 4P.	Rules and Regulations: Changes to Implement the Patent Law Treaty—Summary
Appendix 4Q.	Patent Assertion Entity Activity: An FTC Study
Appendix 4R.	Patent Cooperation Treaty
Appendix 4S.	Patent and Trademark Office: PTAB Rules of Practice for Instituting on All Challenged Patent Claims and All Grounds and Eliminating the Presumption at Institution Favoring Petitioner as to Testimonial Evidence (abridged) (Dec. 9, 2020)
Appendix 4T.	Rules of Practice to Allocate the Burden of Persuasion on Motions to Amend in Trial Proceedings Before the Patent Trial and Appeal Board
Appendix 4U.	Patent and Trademark Office: COVID-19 Prioritized Examination Pilot Program (abridged) (May 14, 2020)
Appendix 4V.	Copyright Registration Guidance: Works Containing Material Generated by Artificial Intelligence

CHAPTER 5. COPYRIGHTS

Subject matter
—Combination of utilitarian and artistic features
Nature of rights; right to prevent unauthorized copying
—Moral rights
Limitations on rights of copyright ownership
—Fair use
—Libraries
Copying services
Limitations on rights of copyright ownership—First sale
doctrine
—Works for hire
—Government edicts doctrine
—Collective works
Duration
—Works created after January 1, 1978
-Works created before but published or copyrighted after
January 1, 1978
Copyright duration—Subsisting copyrights
—Renewal
—Renewal term: assignment
Copyright notice
—Form
—Requirement and effect
—Collective works
—Corrections
Registration and deposit of copyrights

§ 5:25	—Requirement
§ 5:26	—Procedure for registration
§ 5:27	—Collective works
§ 5:28	—News websites
§ 5:29	—Registration refused
§ 5:30	Registration and deposit of copyright—Inaccurate information
§ 5:31	Berne Convention; Uruguay Round Agreements Act
§ 5:32	Infringement
§ 5:33	—Joint ownership defense
§ 5:34	—Copyright estoppel
§ 5:35	—Internet
§ 5:36	—Defense of license
§ 5:37	—Internet—Limitations on liability
§ 5:38	—Sampling of music recordings
§ 5:39	—Use after license terminated
§ 5:40	Remedies
§ 5:41	—Standing of exclusive licensee
$\S 5:42$	—Compilations
§ 5:43	—Impounding and disposition of infringing articles; criminal violations
§ 5:44	—Copyright Remedy Clarification Act
§ 5:45	—Statute of limitations
§ 5:46	Anti-bootlegging statute
§ 5:47	Costs
§ 5:48	Attorney fees
§ 5:49	Common law protection for sound recordings
§ 5:50	Compulsory license of musical works—Music Modernization Act
§ 5:51	——Musical Works Modernization Act
§ 5:52	——Classics Protection and Access Act
§ 5:53	——Allocations for Music Producers
§ 5:54	Receiving agents and the Webcaster Settlement Act of 2008
Appendi	
Appendi	
Appendi	
Appendi	x 5D. Resale Royalties: An Updated Analysis
Appendi	

CHAPTER 6. TRADEMARKS

§ 6:1 Definitions

```
§ 6:2
        Creation of rights
§ 6:3
        —Territoriality principle
        Requirements for protection
§ 6:4
        —Proper names
§ 6:5
        —Geographic discription
§ 6:6
§ 6:7
        -Geographic description-Misdescription
        -Government insignia
§ 6:8
§ 6:9
        —Disparagement
§ 6:10
        —Immoral or scandalous matter
        Tacking
§ 6:11
§ 6:12
        Registration
        —Federal procedure
§ 6:13
        —Foreign registrants
§ 6:14
        —U.S. licensed attorney
§ 6:15
§ 6:16
        —Federal procedure—Fraud
        —Duration
§ 6:17
§ 6:18
        —Secondary register
        —State registration
§ 6:19
        -Madrid Protocol
§ 6:20
§ 6:21
        "Genericide"
§ 6:22
        Abandonment
§ 6:23
        Acquiescence
§ 6:24
        Licensing
        —Quality control
§ 6:25
§ 6:26
        -Naked assignment
§ 6:27
        Licensing-Merger rule
§ 6:28
        Enforcement
§ 6:29
        Discovery: Patent and Trademark Office proceedings
§ 6:30
        Infringement suits
§ 6:31
        -Confusion
        —Reverse confusion
§ 6:32
§ 6:33
        —Confusion—Issue preclusion
        — Parody
§ 6:34
§ 6:35
       —Unauthorized sale
§ 6:36
       —Contributory infringement
§ 6:37
        -Remedies available
§ 6:38
        —Attorney's fees
§ 6:39
        —Remedies available—Injunctions
§ 6:40
        — Lost profits
§ 6:41
        ——Corrective advertising
§ 6:42
        -Fair use
        —First sale doctrine
§ 6:43
§ 6:44
        —First Amendment
       —Federal courts
§ 6:45
§ 6:46
       ——Authorized use
       — —Extraterritoriality
§ 6:47
        —State courts
§ 6:48
§ 6:49
       —Anti-dilution statutes
```

\$ 6:50 \$ 6:51 \$ 6:52 \$ 6:53 \$ 6:54 \$ 6:55 \$ 6:56 \$ 6:57 \$ 6:58 \$ 6:59	 — Trademark Dilution Revision Act of 2006 — State statutes — Trademark Counterfeiting Act — Civil remedies — Criminal sanctions — False endorsement — Liability of Internet site for counterfeit sales — Enforcement by U.S. Customs — Laches and progressive encroachment — Relationship to copyright protection
Append	lix 6A. Change of Ownership
Append	lix 6B. Requirement of U.S. Licensed Attorney for Foreign Trademark Applicants and Registrants (2019) (Abridged)
Append	lix 6C. Madrid Protocol
Append	lix 6D. Trademark Manual of Examining Procedure
CHA	PTER 7. TRADE DRESS
§ 7:1	Purpose and definition
§ 7:2	Bases for protection
§ 7:3	Requirements for protection
§ 7.5 § 7:4	Requirements for protection under trademark theory—
_	Nonfunctionality
§ 7:5	——Color
§ 7:6	— — Multi-features
§ 7:7	Requirements for protection—Distinctiveness
§ 7:8	Requirements for protection under trademark theory— Inherent distinctiveness; secondary meaning—Product design
§ 7:9	Likelihood of confusion
§ 7:10	Infringement
§ 7:11	Dilution
§ 7:12	Palming Off
§ 7:13	Conflict between trade dress and other laws
§ 7:14	—Copyright
§ 7:15	—Patents
OTT A	
	PTER 8. ANTI-BOOTLEGGING STATUTE
-	In general Forfeiture
U	
	Hybrid rights
	Right to record or re-communicate
§ 8:5	Constitutionality