

# Summary of Contents

## Volume 1

- Chapter AU. Securities Regulation in Australia
- Appendix AU-1. Australian Securities and Investments Commission Act 2001 (as amended through September 28, 2017)
  - Appendix AU-2. Corporations Act 2001 (as amended through March 25, 2020)
  - Appendix AU-3. ASIC Info Sheet 225 on Crypto-assets
- Chapter CA. Securities Regulation in Canada
- Appendix CA-1. Ontario Securities Act: R.S.O. 1990, chapter S.5 (as amended)
  - Appendix CA-2. Ontario Securities Act: R.R.O. Regulation 1015
  - Appendix CA-3. National Instrument 45-106 (Prospectus and Registration Exemptions) *[Reserved]*
  - Appendix CA-4. Multilateral Instrument 61-101—Protection of Minority Security Holders in Special Transactions
  - Appendix CA-5. National Instrument 71-101 Multi-Jurisdictional Disclosure System
- Chapter CN. Securities Regulation in the People’s Republic of China
- Appendix CN-1. Securities Law of the People’s Republic of China 2020
- Chapter CO. Securities Regulation in Colombia
- Appendix CO-1. Research References
- Chapter HK. Securities Regulation in Hong Kong
- Appendix HK-1. Securities and Futures Ordinance (Ord. No. 5 of 2002)

## Volume 2

- Chapter ID. Securities Regulation in Indonesia

- Appendix ID-1. The Capital Market Law Number 8 Year 1995
- Appendix ID-2. Capital Market Organization Number 45 Year 1995
- Appendix ID-3. Capital Market Formal Investigative Procedures Number 46 Year 1995
- Appendix ID-4. Revocation of Capital Market Decree No. 1548/KMK.013/1990 of the Minister of Finance As Last Amended by Decree No. 284/KMK.010/1995
- Appendix ID-5. Foreign Ownership of Investment Funds Number 646/KMK.010/1995
- Chapter JP. Securities Regulations in Japan
  - Appendix JP-1. Financial Instruments and Exchange Act
  - Appendix JP-2. Order for the Enforcement of the Financial Instruments and Exchange Act
- Chapter KR. Securities Regulation in Korea
  - Appendix KR-1. Commercial Act (Republic of Korea)
  - Appendix KR-2. Securities and Exchange Act
- Chapter MY. Securities Regulation in Malaysia
  - Appendix MY-1. Laws of Malaysia Act 671 Capital Markets and Services Act 2007
  - Appendix MY-2. Securities Industry (Central Depositories) Act, 1991 (Act 453) as amended
  - Appendix MY-3. Securities Commission Act, 1993 (Act 498) as amended
- Chapter MX. Securities Regulation in Mexico
  - Appendix MX-1. Securities Market Law
  - Appendix MX-2. General Regulations Applicable to Issuers of Securities and Other Participants in the Securities Market
  - Appendix MX-3. Foreign Investment Law

## **Volume 3**

- Chapter NZ. Securities Regulation in New Zealand
  - Appendix NZ-1. Financial Markets Conduct Act 2013
  - Appendix NZ-2. Listing Rules 2024
- Chapter PH. Securities Regulation in Philippines
  - Appendix PH-1. The Securities Regulation Code

## SUMMARY OF CONTENTS

- Appendix PH-2. The Securitization Act of 2004
- Appendix PH-3. 2015 Implementing Rules and Regulations of the Securities Regulation Code
- Appendix PH-4. Securities and Exchange Commission SRC Rule 68, as amended [Part I – General Financial Reporting Requirements; Part II—Additional Requirements for Issuers of Securities to the Public]

## **Volume 4**

- Chapter SG. Securities Regulation in Singapore
  - Appendix SG-1. Banking Act (Chapter 19) [Excerpts]
  - Appendix SG-2. Companies Act (Chapter 50) [Excerpts]
  - Appendix SG-3. Securities and Futures Act 2001
- Chapter TW. Securities Regulation in Taiwan
  - Appendix TW-1. Taiwan Securities and Exchange Act, Relevant Laws and Regulations
  - Appendix TW-2. Taiwan Company Act (11/12/2001)
  - Appendix TW-3. Corporate Governance Best-Practice Principles for TSEC/GTSM Listed Companies (Amended 12/31/2003)
- Chapter TH. Securities Regulation in Thailand
  - Appendix TH-1. Thailand Securities and Exchange Act, Relevant Laws and Regulations

## **Volume 5**

- Chapter US. Securities Regulation in the United States
  - Appendix US-1. Non-Financial Disclosure Requirements of Forms F-1, F-3, F-4 and Form 20-F
- Chapter VN. Securities Regulation in Vietnam
  - Appendix VN-1. Law on Securities (English language version by Allens Linklaters, Hanoi and reproduced with permission)