

Index

ABANDONMENT

- Patent audits, **5:18**
- Trademark, service mark and trade name audits, **6:73, 6:95**

ACQUIESCENCE

- Trademark, service mark and trade name audits, **6:74**

ACQUIRED DISTINCTIVENESS

- Trademark, Service Mark and Trade Name Audits (this index)

ACQUISITIONS AND MERGERS

- Copyright audits, **8:50**

ADJUSTMENT OF TERMS

- Patent audits, **5:43**

ADMINISTRATIVE PROCEEDINGS

- Patent Audits (this index)
- Trademark, service mark and trade name audits, document requests, **6:6**

ADVERTISERS AND ADVERTISING

- Insurance (this index)
- Internet, trademark, service mark and trade name audits, **6:103, 6:104**

AFFILIATES

- Internet domain name audits, registrations, **7:29**
- Preparation for due diligence by buyer, **2:9**

AGENCY ARRANGEMENTS

- International expansion, **13:114**

AGENTS

- Attorney-client privilege, **Form 28**
- Cooperation and escrow agreements, **13:34**

ALLOCATION OF RISK

- Schedule of Assets and Liabilities (this index)

AMERICA INVENTS ACT

- Patent audits
 - definition of rights, novelty post-AIA, **5:31**
 - pre-AIA, **5:30**
- prior user rights and exhaustion of rights
 - post-AIA, **5:47**
 - pre-AIA, **5:46**
- Post-grant patent review proceedings, **App A**

ANTICYBERSQUATTING PROTECTION ACT (“ACPA”)

- Internet domain name audits, domain names and trademark infringement, **7:36**

ASSET PURCHASES

- Structure of Transaction (this index)
- Valuation (this index)

ASSIGNMENTS

- Generally, **13:38 et seq.**
- Agreements, assignment of, **13:43**

INTELLECTUAL PROPERTY DUE DILIGENCE

ASSIGNMENTS—Cont'd

Copyright assignment, short-form, **Form 21**
Copyright Audits (this index)
Internet domain names, **7:27 to 7:30, 13:42**
Legalization of documents, **13:44**
Owner changes, recordal, **13:45**
Patents, **5:60 to 5:63, 5:85, 13:39, Form 20**
Recordal of assignments, **13:45**
Semiconductor chip product audits, **10:16, 10:24**
Short-form assignments, **13:38 et seq., Forms 19 to 21**
Trademark, service mark and trade name audits, **6:62, 6:63, 6:79, 13:41, Form 19**
Trade secret audits, **11:34**

ATTORNEY-CLIENT PRIVILEGE

Generally, **1:5**
Agents, patent, **1:5, Form 28**
Common interest doctrine, **1:8**
Communications with patent agents, determination of privilege, **Form 28**
Patent agent, **1:5, Form 28**
Preparation for due diligence, **1:12**
Waiver, disclosures resulting in, **1:6**
Work product doctrine, **1:7**

ATTORNEYS

Insurance (this index)
Preparation for due diligence, competence of counsel to parties, **1:3**

AUDITS

Copyright Audits (this index)
Database Audits (this index)
Domain names. **Internet Domain Name Audits** (this index)
Internet Domain Name Audits (this index)

AUDITS—Cont'd

Letters, **1:9 to 1:11**
Patent Audits (this index)
Post-transaction audit, **13:54**
Semiconductor Chip Product Audits (this index)
Service marks. **Trademark, Service Mark and Trade Name Audits** (this index)
Trademark, Service Mark and Trade Name Audits (this index)
Trade names. **Trademark, Service Mark and Trade Name Audits** (this index)
Trade Secret Audits (this index)

AUTHORITY OVER INTERNET DOMAIN NAME SYSTEM

Internet Domain Name Audits (this index)

“BESPEAKS CAUTION” DOCTRINE

Securities transactions, use of cautionary language, **13:24**

BIG DATA

Database audits, **9:2, 9:3**

BLANK FORMS

Copyright audits, **8:10**

BLOGS

Internet, copyright audits, **8:82**

BLURRING OF MARK

Defenses, **6:40**
Dilution by, **6:33, 6:34**

BRAND EXTENSION AND MERCHANDISING

Development, **13:109**

BUDGET

Preparation for due diligence, **2:27 to 2:29, 3:23**

INDEX

BUSINESS METHOD PATENTS

Post- and pre-AIA post-grant
patent review proceedings,
App A

CANCELLATIONS

Trademark, service mark and trade
name audits, **6:69, 6:101**

CERTIFICATES OF CORRECTION

Patent audits, **5:17**

CHECKLIST

Generally, **4:1 et seq., Form 16**

Applicant, defined, **4:5**

Author, defined, **4:6**

Budget for intellectual property,
4:21

Buyer's position on IPDD
checklist, **2:41**

Checklist and explanations, **4:3**

Competitors, **4:18**

Court acceptance of defendant
reliance on validity/
infringement opinions as
defense to willful infringement,
Form 30

Definitions, **4:4 to 4:13**

Documents, defined, **4:8**

Employees, defined, **4:9**

Exploit, defined, **4:10**

Foreign language documents, **4:22**

General items, **4:14 et seq.**

Initial document request, **4:2**

Insurance matters, **4:19, Form 35,**
Forms 28 to 30

Intellectual property, defined, **4:11**

Interested party, defined, **4:12**

International Top Level Domains,
Global Top Level Domains,
Form 33

Internet considerations, **4:23**

Inventor, defined, **4:13**

IP licensing checklist, **Form 16B**

License terms, **Form 35**

CHECKLIST—Cont'd

Market information, **4:17**

Personnel at relevant company, list
of, **4:15**

Preparation for IP due diligence/
internal audit by Target
Company, **Form 32**

Scope of documents produced,
4:20

Structure of securities transaction,
due diligence checklist,
Form 16A

Supplemental IP due diligence
checklist, IP/R&D valuation
information, **Form 16A**

Target Company, defined, **4:7**

Target Company contact individu-
als, **4:16**

Trade secret protection measures,
Form 17

CLAIM CONSTRUCTION

Patent audits, **5:21**

CLEARANCE SERVICES

Trademark, service mark and trade
name audits, **6:99**

CLOSING CONDITIONS

Schedule of assets and liabilities,
13:53

CLOUD COMPUTING

Database audits, generally, **9:26 to**
9:28

Data ownership provisions, **9:28**

Maximum protection, contract
terms, **9:27**

Protections, **9:26 to 9:28**

COLLECTING SOCIETIES

Copyright audits, **8:29**

COLLECTIVE WORKS

Copyright audits, **8:63**

.COM

Internet domain name audits,
authority over domain
system, **7:6**

INTELLECTUAL PROPERTY DUE DILIGENCE

COMMERCIAL USE OF MARK

Trademark, service mark and trade name audits, scope of rights in marks, **6:30**

COMMON LAW

Trademark, service mark and trade name audits, **6:44, 6:48, 6:66**

COMMUNICATIONS

Internet social networking sites, **6:108**

IPDD project communication.
Preparation for Due Diligence by Buyer (this index)

COMPETITION

Trademark, service mark and trade name audits, presence or absence of competition, **6:37**

COMPILATIONS

Copyright audits, **8:58, 8:63**
Database audits, limitations on rights under copyright law, **9:11**

COMPULSORY LICENSES

Copyright audits, **8:29**

COMPUTER FRAUD AND ABUSE ACT

Database audits, **9:18**

CONCURRENT USE

Trademark, service mark and trade name audits, **6:51, 6:84**

CONFIDENTIALITY

Preparation for due diligence, confidentiality agreement, **1:34, Form 2**

Sarbanes-Oxley Act, attorney disclosure of confidential information, **1:24 to 1:26**

Securities-related transactions, **13:12**

CONFLICTS

Internet domain name audits, domain names and trademarks, **7:10**

CONFUSION

Trademark, service mark and trade name audits, likelihood of confusion, **6:38**

CONSENT

Trademark, service mark and trade name audits, **6:52**

CONSENT AGREEMENTS

Trademark, service mark and trade name audits, **6:84**

CONSIDERATIONS

Transaction, transfer and post-transaction, **Form 31**

CONTINGENT LICENSING

Structure of transaction, **13:30**

CONTINUATIONS

Patent audits, **5:7**
Successor liability, **13:7**

CONTINUATIONS-IN-PART

Patent audits, **5:8**

COOPERATION AND ESCROW AGREEMENTS

Generally, **13:32 et seq.**
Agent for escrow, choosing, **13:34**
Cooperations, **13:37**
Escrows, **13:33 et seq.**
Know-how escrow verification procedures, **13:36**
Software escrow verification procedures, **13:35**
Technology escrow agreement, **Form 18**

COPYING

Data room, **1:45**

COPYRIGHT

Assignments, **13:40, Form 21**

INDEX

COPYRIGHT—Cont'd

- Audits. **Copyright Audits** (this index)
- Database Audits** (this index)
- Insurance, infringement coverage, **12A:10**
- Log for tracing ownership of copyrightable work, **Form 34**
- Management, preservation of current assets, **13:58**
- Short-form, assignment, **Form 21**

COPYRIGHT AUDITS

- Generally, **8:1 et seq.**
- Asset acquisitions, assignments and ownership of copyright, **8:50**
- Assignments
 - generally, **8:43 et seq.**
 - asset acquisitions, **8:50**
 - conflicting assignments and transfers, **8:48, 8:49**
 - divisibility principal, **8:46**
 - formalities, **8:44**
 - jointly authored works, **8:45**
 - mergers and asset acquisitions, **8:50**
 - nonexclusive license, priority, **8:49**
 - priority between conflicting transfer and nonexclusive license, **8:49**
 - recordal of assignments, **8:48**
 - scope of assigned rights, **8:46**
 - termination of assignments, **8:47**
- Benefits of registration, **8:53**
- Blank forms, **8:10**
- Blogs, Internet, **8:82**
- Categories of works, **8:5**
- Collecting societies, **8:29**
- Collective works, **8:63**
- Compilations, **8:58, 8:63**
- Compulsory licenses, **8:29**

COPYRIGHT AUDITS—Cont'd

- Conflicting assignments and transfers, **8:48, 8:49**
- Contributions to collective works or compilations, **8:63**
- Copyright notices, use of, **8:59**
- Crowdsourced content, Internet, **8:83**
- Customs recordation, **8:57**
- Deep Web, Internet, **8:90**
- Defense of copyrights, **8:69**
- Definition of rights
 - generally, **8:3 et seq.**
 - duration of rights, below
 - limitations on rights, below
 - protectable works, below
 - scope of rights, below
- Derivative works
 - generally, **8:58**
 - preexisting works, **8:62**
 - preparation, **8:14**
- Digital audio transmission, public performance or display of works, **8:18**
- Digital management information, Internet, **8:84**
- Digital Millennium Copyright Act, Internet service providers, **8:92**
- Display of works, **8:16, 8:18**
- Distribution of copies by sale or other transfer, or by rental, lease or lending, **8:15**
- Divisibility principal, assignments, **8:46**
- Documents requested, **8:2**
- Downstream licenses, **8:75**
- Drinking establishments, **8:32**
- Duration of rights
 - generally, **8:20 et seq.**
 - extension of term and restoration of copyrights, **8:24**
 - January 1, 1978, works created before, **8:21**

INTELLECTUAL PROPERTY DUE DILIGENCE

COPYRIGHT AUDITS—Cont'd

Duration of rights—Cont'd

January 1, 1978, works created
but not published or
copyrighted before, **8:22**

January 1, 1978, works created
on or after, **8:23**

Editing, private household exemp-
tions, **8:35**

Employees, creation of works by,
8:39 to 8:42

Enforcement of copyrights, **8:69**

Estoppel, **8:56**

Excluded works and information,
8:7 to 8:11

Exclusive rights, **8:18**

Exemptions, editing, private
households, **8:35**

Exhaustion of rights, **8:27**

Exploitation of copyrights
generally, **8:74 to 8:77**
downstream licenses, **8:75**
misuse, **8:76**

on-line, shareware, freeware
and open source distribu-
tion, **8:77**

Extension of term of copyrights,
8:24

Facts, **8:11**

Fair use, **8:26**

mass copying and distribution
without permission,
Internet, **8:81**

Food service establishments, **8:32**

Framing, Internet, **8:85**

Freeware distribution, **8:77**

Functional works, **8:9**

Geographic scope of copyrights,
8:73

Ideas, **8:8**

In-bound licenses

generally, **8:64 et seq.**

defense of copyrights, **8:69**

enforcement of copyrights, **8:69**

indemnifications, **8:68**

COPYRIGHT AUDITS—Cont'd

In-bound licenses—Cont'd

options, **8:70**

scope of license, **8:67**

termination rights, **8:66**

term of license, **8:65**

warranties, **8:68**

Indemnifications, **8:68**

Independent contractors, creation
of works by, **8:39 to 8:42**

Initial authorship, **8:37**

In-line imaging, Internet, **8:85**

Internet

generally, **8:80**

blogs/vlogs and spam in blogs,
8:82

crowdsourced content, **8:83**

Deep Web, **8:90**

digital audio transmission, right
to perform or display
works publicly by means
of, **8:18**

digital management informa-
tion, **8:84**

Digital Millennium Copyright
Act, Internet service
providers, **8:92**

limitation on liability, online
material, **8:30**

linking, framing/in-line imag-
ing, **8:85**

mass copying and distribution
without permission, **8:81**

online services content, **8:83**

scraper sites, **8:86**

service oriented architecture
(SOA), including Web ser-
vices, **8:87**

U.S. Copyright Office Registra-
tion database, **8:91**

voice over internet protocol
(VoIP) telephony, **8:88**

webcasting, **8:89**

Joint authors, **8:38, 8:45**

Laches, **8:56**

INDEX

COPYRIGHT AUDITS—Cont'd

Libraries and non-profit
educational institutions, **8:31**

Licenses

assignments, **8:49**
compulsory licenses, **8:29**
downstream licenses, **8:75**
in-bound licenses, above
nonexclusive license, **8:49**
open source distribution, **8:78**
term of license, **8:65**

Limitations on rights

generally, **8:25 et seq.**
additional limitations, **8:28**
collecting societies, **8:29**
compulsory licenses, **8:29**
exhaustion of rights, **8:27**
food service or drinking
establishments, **8:32**
internet service providers,
liability of, **8:30**
libraries and non-profit
educational institutions,
8:31
special interest groups, **8:34**
use and fair use, **8:26**
vending establishments, **8:33**

Linking, Internet, 8:85

Litigation, likeliness of, 8:79

Log for tracing ownership of copyright, Form 34

Maintenance, 8:55

Mass copying and distribution without permission, Internet, 8:81

Mergers, assignments and owner- ship of copyright, 8:50

Methods, 8:8

Misuse, 8:76

Moral rights, 8:19

Music downloads, right to perform or display works publicly by means of digital audio trans- mission, 8:18

Nonexclusive license, 8:49

COPYRIGHT AUDITS—Cont'd

Online and shareware distribution, 8:77

Online material, 8:30

Online services content, 8:83

Open source distribution, 8:78

Options, 8:70

Originality requirement, 8:6

Ownership of copyright

generally, **8:36 et seq.**

assignments, above

employees, creation of works
by, **8:39 to 8:42**

independent contractors, cre-
ation of works by, **8:39 to
8:42**

initial authorship, **8:37**

joint authors, **8:38**

log for tracing, **Form 34**

status of creator, **8:40**

Performance or display of works, 8:16, 8:18

Plans, 8:8

Preexisting or third party rights

generally, **8:61 et seq.**

collective works, **8:63**

compilations, **8:63**

contributions to collective
works or compilations,
8:63

derivative works of preexisting
works, **8:62**

in-bound licenses, above

Preregistration, 8:53

Priority between conflicting transfer and nonexclusive license, 8:49

Private household exemptions for editing, 8:35

Proprietary markings, 8:60

Protectable works

generally, **8:4 et seq.**

blank forms, **8:10**

categories of works, **8:5**

COPYRIGHT AUDITS—Cont'd

Protectable works—Cont'd
 excluded works and information, **8:7 to 8:11**
 facts, **8:11**
 functional works, **8:9**
 ideas, **8:8**
 methods, **8:8**
 originality requirement, **8:6**
 plans, **8:8**
 public domain information, **8:11**
 scenes a faire, **8:11**
 short phrases, **8:10**
 slogans, **8:10**
 systems, **8:8**
 useful articles, **8:9**
 words, **8:10**
 Protection of copyrights
 generally, **8:51 et seq.**
 authorship, **8:72**
 benefits of registration, **8:53**
 ©, **8:59**
 compilations, **8:58**
 copyright notices, use of, **8:59**
 customs recordation, **8:57**
 derivative works, **8:58**
 estoppel, **8:56**
 formalities of registration, **8:54**
 geographic scope of copyrights, **8:73**
 laches, **8:56**
 maintenance, **8:55**
 preregistration, **8:53**
 products, protection by scope of copyright, **8:71 to 8:73**
 proprietary markings, **8:60**
 registration, **8:52 to 8:54**
 scope of copyright, protection of products, **8:71 to 8:73**
 statute of limitations, **8:56**
 temporal scope of copyrights, **8:73**
 U.S. government, sales to, **8:60**
 Public domain information, **8:11**

COPYRIGHT AUDITS—Cont'd

Qualitative originality requirements, **8:6**
 Quantitative originality requirements, **8:6**
 Recordal of assignments, **8:48**
 Registration, **8:52 to 8:54**
 Reproduction of work in copies or phonorecords, **8:13**
 Restoration of copyrights, **8:24**
 Scenes a faire, **8:11**
 Scope of rights
 generally, **8:12 et seq.**
 authorization or licensing of any exclusive right, **8:18**
 derivative works, preparation of, **8:14**
 digital audio transmission, public performance or display of works, **8:18**
 distribution of copies by sale or other transfer, or by rental, lease or lending, **8:15**
 exclusive right, **8:18**
 moral rights, **8:19**
 performance or display of works, **8:16, 8:18**
 products, protection by scope of copyright, **8:71 to 8:73**
 reproduction of work in copies or phonorecords, **8:13**
 Scraper sites, Internet, **8:86**
 Service oriented architecture (SOA), including Web services, **8:87**
 Shareware distribution, **8:77**
 Short phrases, **8:10**
 Slogans, **8:10**
 Spamming, blogs, Internet, **8:82**
 Special interest groups, **8:34**
 Statute of limitations, **8:56**
 Summary, **8:93**
 Summary report form, **Form 11**
 Symbols, **8:59**
 Systems, **8:8**

INDEX

COPYRIGHT AUDITS—Cont'd

- Temporal scope of copyrights, **8:73**
- Termination of assignment, **8:47**
- Termination of license, **8:66**
- Term of license, **8:65**
- Third party rights. Preexisting or third party rights, above
- U.S. Copyright Office registration database, internet access, **8:91**
- U.S. government, sales to, **8:60**
- Use and fair use, **8:26**
- Vending establishments, **8:33**
- Voice over internet protocol (VoIP) telephony, **8:88**
- Warranties, **8:68**
- Webcasting, Internet, **8:89**
- Words, **8:10**
- YouTube, right to perform or display works publicly by means of digital audio transmission, **8:17**

CORRECTED PATENTS

- Patent audits, **5:17**

COST APPROACH

- Asset valuation method, **12:10**

COST PLANNING

- Preparation for Due Diligence by Buyer** (this index)

COUNTERFEITING

- Development of personnel, **13:104**

COUNTRY CODE TOP LEVEL DOMAINS (CCTLDS)

- Internet domain name audits, authority over domain name system, **7:7, 7:8**

CROSS-LICENSES

- Patent audits, **5:79**

CUSTOMS RECORDATION

- Copyright audits, **8:57**

CUSTOMS RECORDATION

—Cont'd

- Patent audits, **5:74**

“CYBERSTUFFING”

- Metatags, trademark, service mark and trade name audits, **6:106**

DARK WEB

- Internet** (this index)

DATABASE

- Definition, **9:5**

DATABASE AUDITS

- Generally, **9:1 to 9:30**
- Big data
 - generally, **9:2, 9:3**
 - internet of things, product of, **9:3**
- Caselaw, **9:8**
- Cloud Computing** (this index)
- Compilations, limitations on rights under copyright law, **9:11**
- Computer Fraud and Abuse Act, **9:18**
- Contract law
 - federal preemption of claims, **9:17**
 - protectable databases, **9:15**
- Copyright law
 - definition of rights, limitations, **9:20**
 - Digital Millennium Copyright Act, **9:13**
 - limitations on rights, **9:9 to 9:11, 9:20**
 - ownership of database, **9:12**
 - protectable databases, **9:7**
 - protection, **9:21**
 - scope and duration of rights, **9:9 to 9:11**
 - third party rights, **9:12**
- Database protection, **9:4**
- Definition of database, **9:5**
- Definition of rights, **9:20**

DATABASE AUDITS—Cont'd

Digital Millennium Copyright Act, **9:13**
 Documents requested, **9:6**
 Exploitation of databases, **9:22**
 Feist and progeny, **9:4**
 Historical perspective, **9:4**
 Internet considerations, **9:26**
 Inventions, database-related, patent protection, **9:14**
 Limitations on rights, copyright law, **9:9: to 9:11, 9:20**
 Litigation, likeliness of, **9:23**
 Maximum protection, contract terms, cloud computing, **9:27**
 Online databases, **9:25**
 Overview, **9:1**
 Ownership of database, **9:12**
 Patent protection for database-related inventions, **9:14**
 Privacy and security laws, compliance with, **9:24**
 Protectable databases
 generally, **9:9 to 9:11**
 contract law, protection under, **9:15**
 copyright law, generally, **9:7**
 Digital Millennium Copyright Act, **9:13**
 limitations on rights under copyright law, **9:9 to 9:11**
 ownership under copyright law, **9:12**
 scope and duration of rights under copyright law, **9:9 to 9:11**
 third party rights under copyright law, **9:12**
 trade secret protection, **9:16**
 Protections, cloud computing, **9:26 to 9:28**
 Social media, **9:29**
 Summary, **9:30**
 Summary report form, **Form 14**

DATABASE AUDITS—Cont'd

Third party rights under copyright law, **9:12**
 Tort law, federal preemption of claims, **9:17**
 Trade secret protection, **9:16**
 Trespass to chattels, **9:17**

DATA ROOM

Generally, **1:42 et seq.**
 Copying of documents, **1:45**
 Establishment, **1:42**
 Hospitality, **1:46**
 Index and explanatory notes, **Form 7**
 Location, **1:46**
 Procedures, **1:43 to 1:46, Form 4**
 Security, **1:46**
 Summary, **1:47**
 Virtual, **1:44**

DATE

Time and Date (this index)

DEADLINES

Preparation for due diligence, **1:40**

DECEPTION

Fraud (this index)

DEEP WEB

Internet (this index)

DE FACTOR MERGER

Successor liability, **13:6**

DEFECTIVE REGISTRATION STATEMENTS

Structure of transaction, § 11(a) of Securities Act, **13:14**

DEFEND TRADE SECRETS ACT

Generally, **11:3 to 11:10**
 Definitions, **11:4**
 Ex parte seizure provisions, **11:6**
 History, **11:3**
 Immunity, **11:9**

INDEX

DEFEND TRADE SECRETS ACT

—Cont'd

- Inevitable disclosure doctrine, **11:7**
- Statute of limitations, **11:5**
- Whistleblower protection, **11:8**

DEFENSE TO INFRINGEMENT

- Court acceptance of defendant reliance on validity/ infringement opinions as defense to willful infringement, checklist, **Form 30**

DEFINITION OF RIGHTS

- Copyright Audits** (this index)
- Database audits, **9:20**
- Internet Domain Name Audits** (this index)
- Patent Audits** (this index)
- Semiconductor Chip Product Audits** (this index)
- Trademark, Service Mark and Trade Name Audits** (this index)
- Trade Secret Audits** (this index)

DELEGATED SUBDOMAINS

- Internet domain name audits, anatomy of domain names, **7:3**

DEPARTMENT OF LABOR

- Trade secret audits, OSHA inspections, **11:49**

DERIVATION PROCEEDINGS

- Patent audits, **5:16**

DERIVATIVE WORKS

- Copyright Audits** (this index)
- Semiconductor chip product audits, **10:23**

DESIGN RIGHTS

- Summary report form, **Form 13**

DEVELOPMENT

- Generally, **13:96 et seq.**

DEVELOPMENT—Cont'd

- Anticounterfeiting personnel, **13:104**
- Asset management personnel, **13:98 et seq.**
- Brand extension and merchandising, **13:109**
- Contract negotiation and management personnel, **13:102**
- Creative personnel, **13:98**
- Enforcement activities, **13:106 to 13:109**
- Expansion of enforcement and licensing activities, **13:106 to 13:109**
- Human resources, investment in, **13:97 et seq.**
- In-house counsel, **13:100**
- International Expansion** (this index)
- Inventive personnel, **13:98**
- Licensing activities, **13:106 to 13:109**
- Outside counsel, **13:105**
- Paralegals, **13:101**
- Quality control personnel, **13:103**
- Strategic liaisons and acquisitions, **13:116**

DIGITAL AUDIO TRANSMISSIONS

- Copyright audits, right to perform or display works publicly, **8:17**

DIGITAL MILLENNIUM COPYRIGHT ACT

- Copyright audits, Internet service providers, **8:92**
- Database audits, **9:13**

DILUTION

- Internet domain name audits, domain names and trademark infringement, **7:35**

INTELLECTUAL PROPERTY DUE DILIGENCE

DILUTION—Cont'd

Trademark, Service Mark and Trade Name Audits (this index)

DISCLAIMERS

Patent audits, **5:9**

DISCLOSURES

Defend Trade Secrets Act, inevitable disclosure doctrine, **11:7**

Management of invention disclosure statements, **13:91**

Patent audits, **5:93**

Preparation for due diligence, **1:36 to 1:38**

Sarbanes-Oxley Act, attorney disclosure of confidential information, **1:24 to 1:26**

Schedule of assets and liabilities, **13:51, 13:52**

Securities Law (this index)

DISPUTE RESOLUTION

Internet domain name audits, **7:9, 7:32**

DISTINCTIVENESS

Trademark, Service Mark and Trade Name Audits (this index)

DISTRIBUTION

ARRANGEMENTS

Copyright audits, **8:15, 8:78**

International expansion, **13:114**

DIVISIBILITY PRINCIPAL

Copyright audits, assignments, **8:46**

DIVISIONAL PATENTS

Generally, **5:6**

DOCUMENTATION

IPDD projects. **Preparation for Due Diligence by Buyer** (this index)

DOCUMENTATION—Cont'd

Records and Recording (this index)

Reports (this index)

DOWNSTREAM LICENSES

Copyright audits, **8:75**

DRINKING ESTABLISHMENTS

Copyright audits, **8:32**

DUE DILIGENCE

Generally. **Preparation for Due Diligence** (this index)

Buyer. **Preparation for Due Diligence by Buyer** (this index)

Securities Law (this index)

Target Company. **Preparation for Due Diligence by Target Company** (this index)

DURATION OF RIGHTS

Copyright Audits (this index)

Internet domain name audits, **7:19 to 7:21**

Patent audits, **5:41 to 5:43, 5:83**

Semiconductor chip product audits, **10:6**

Trademark, Service Mark and Trade Name Audits (this index)

Trade secret audits, **11:28**

EDITING

Copyright audits, private household exemptions, **8:35**

.EDU

Internet domain name audits, authority over domain system, **7:6**

EMPLOYEES

Copyright audits, **8:39 to 8:42**

Employment agreements, **13:88, Form 24**

Employment manuals, **13:89, Form 25**

Patent audits, **5:55 to 5:59**

INDEX

EMPLOYEES—Cont'd

- Semiconductor chip product audits, **10:15**
- Trademark, service mark and trade name audits, **6:60**
- Trade Secret Audits** (this index)

ENCUMBRANCES

- Securities, material misstatements, **13:20**

ENVIRONMENTAL INSPECTIONS

- Trade secret audits, **11:46**

ESCROW AGREEMENTS

- Cooperation and Escrow Agreements** (this index)

ESTOPPEL

- Copyright audits, **8:56**
- Patent audits, **5:69**
- Trademark, service mark and trade name audits, **6:74**
- Trade secret audits, **11:51**

.EU

- Internet domain name audits, authority over domain system, **7:8**

EXAMINATIONS

- Ex parte reexaminations, patent audits, **5:12**
- Inter partes reexaminations reviews, patent audits, **5:13**
- Supplemental examinations patent audits, **5:14**

EXCLUSIVITY

- Copyright audits, **8:18**
- Internet domain name audits, **7:15**
- Patent audits, **5:84**
- Trademark, service mark and trade name audits, **6:78**

EXCULPATORY OPINIONS

- Attorney's checklist, insurance, **Form 29**

EXHAUSTION OF RIGHTS

- Copyright audits, **8:27**
- Patent audits, prior user rights post-AIA, **5:47**
- pre-AIA, **5:46**

EXIT PROCEDURES

- Management, **13:90, Form 26**

EX PARTE REEXAMINATIONS

- Patent audits, **5:12**
- Post- and pre-AIA post-grant patent review proceedings, **App A**

EXPERIMENTAL USE OR SALE

- Patent audits, **5:36**

EXPLOITATION

- Copyright Audits** (this index)
- Database audits, **9:22**
- Management, **13:94**
- Patent Audits** (this index)
- Preparation for due diligence by Target Company, **3:13, 3:18**
- Securities, material misstatements, **13:21**
- Semiconductor chip product audits, **10:29**
- Trademark, Service Mark and Trade Name Audits** (this index)
- Trade secret audits, **11:54**

EXTENSIONS

- Copyright audits, **8:24**
- Patent audits, **5:43**

FAILURE TO CONFORM, EXCLUSION OF

- Insurance, **12A:17**

FAIR USE

- Copyright audits, **8:26**
- Copyright audits, mass copying and distribution without permission, Internet, **8:81**

INTELLECTUAL PROPERTY DUE DILIGENCE

FAIR USE—Cont'd

Trademark, service mark and trade name audits, **6:55**

FALSE MARKING

Patent audits, protection of patent, **5:72**

FALSITY EXCLUSION

Insurance, **12A:16**

FAMOUS MARKS

Trademark, service mark and trade name audits, **6:24**

FEDERAL AND STATE AGENCIES, DISCLOSURES TO

Trade secret audits, **11:45**

FEDERAL PREEMPTION

Database audits, tort and contract claims, **9:17**

FEES

Internet domain name audits, **7:20**

FEIST AND PROGENY

Database audits, **9:4**
Database protection, **9:4**
Historical perspective, **9:4**

FINANCIAL ACCOUNTING STANDARDS BOARD (FASB)

Securities regulation, disclosures, **13:17**

FIRST SALE DOCTRINE

Semiconductor chip product audits, **10:8**

FOOD SERVICE ESTABLISHMENTS

Copyright audits, **8:32**

FOREIGN LANGUAGE DOCUMENTS

Checklist, **4:22**

FOREIGN SUBSIDIARIES

International expansion, **13:115**

FRAMING

Internet, trademark, service mark and trade name audits, **6:104**
Trademark, service mark and trade name audits, **6:104**

FRAUD

Sarbanes-Oxley Act, attorney disclosures, **1:25**
Successor liability, **13:8**
Trademark, service mark and trade name audits, likelihood of deception, **6:38**

FREEWARE DISTRIBUTION

Copyright audits, **8:77**

FUNCTIONAL WORKS

Copyright audits, **8:9**

GENERALLY ACCEPTABLE VALUATION APPROACHES

Generally, **12:9**

GENERICNESS

Trademark, service mark and trade name audits, **6:91**

GEOGRAPHIC SCOPE

Copyright audits, **8:73**
Patent audits, **5:94**
Semiconductor chip product audits, **10:28**
Trademark, service mark and trade name audits, **6:88**

GLOBAL TOP LEVEL DOMAINS

Checklist, International Top Level Domains, **Form 33**

GRANT-BACKS

Patent audits, **5:89**

HOSPITALITY

Data room, **1:46**

INDEX

IDEAS

Copyright audits, **8:8**

IMMUNITY

Defend trade secrets act, **11:9**

IMPLEADER

Generally, **13:79**

IMPLIED-IN-FACT ASSIGNMENTS

Patent audits, **5:57**

IMPLIED LICENSES

Patent audits, **5:69**

IMPORTATION

Semiconductor chip product
audits, **10:9**

IMPROVEMENTS

Patent audits, **5:89, 5:103**

IN-BOUND LICENSES

Copyright Audits (this index)

Patent Audits (this index)

INCOME APPROACH

Asset valuation method, **12:12**

INDEMNITY AND INDEMNIFICATION

Copyright audits, **8:68**

Patent audits, **5:90**

INDEPENDENT

CONTRACTORS

Copyright audits, **8:39 to 8:42**

Semiconductor chip product
audits, **10:15**

Trademark, service mark and trade
name audits, **6:61**

INDEPENDENT SEARCHES

Trademark, service mark and trade
name audits, **6:12**

INEVITABLE DISCLOSURE DOCTRINE

Defend Trade Secrets Act, **11:7**

INFRINGEMENT

Anticybersquatting Protection Act
("ACPA"), **7:36**

Checklist, court acceptance of
defendant reliance on
validity/infringement
opinions as defense to willful
infringement, **Form 30**

Insurance, copyright infringement
coverage, **12A:10**

Internet domain name audits,
domain names and trademark
infringement, **7:35, 7:36**

Patent Audits (this index)

Schedule of assets and liabilities,
infringement-related warran-
ties, **13:50**

Semiconductor chip product
audits, innocent infringement,
10:11

Trademark, Service Mark and
Trade Name Audits (this
index)

INHERENT DISTINCTIVENESS

Scope of rights in marks, **6:28**

IN-HOUSE COUNSEL

Development, **13:100**

INITIAL PUBLIC OFFERINGS

Securities, disclosure require-
ments, **13:13**

IN-LINE IMAGING

Internet, copyright audits, **8:85**

INNOCENT INFRINGEMENT

Semiconductor chip product
audits, **10:11**

INSURANCE

Generally, **12A:1 et seq.**

Advertisers and advertising
infringement in advertisement,
below

misappropriation of advertising
ideas or style of doing

INSURANCE—Cont’d

Advertisers and advertising
 —Cont’d
 business (1986 CGL ISO),
 12A:8
 use of another’s ideas in your
 “advertisement,” **12A:10**
 Analysis of potential coverage
 advertising injury provisions,
 12A:6
 commercial general liability
 policies, coverage for
 IP/antitrust litigation,
 12A:7
 elements, generally, **12A:4 et**
 seq.
 facts for purposes of coverage,
 12A:4
 IP/antitrust litigation, coverage
 under commercial general
 liability policies, **12A:7**
 personal injury provisions,
 12A:6
 reason for absence of potential
 coverage, **12A:5**
 Breach of contract, investigation
 of exclusions, **12A:21**
 Buyer’s right to acquire insurance
 under policies of Target
 Company
 generally, **12A:2 et seq.**
 analysis of potential coverage,
 above
 intellectual property coverage,
 12A:3
 Checklists, **4:19, Form 35, Forms**
 28 to 30
 Copyright infringement coverage,
 12A:12
 Defamation, goods, products, ser-
 vices, **12A:14**
 Defense fees recoverable, **12A:25**
Directors and Officers Insur-
 ance (this index)
 Disparagement, goods, products,
 services, **12A:14**

INSURANCE—Cont’d

Errors and Omissions Insurance
 (this index)
Euro Conversion (this index)
 Exclusions, generally. Investiga-
 tion of exclusions, below
 Failure to conform, investigation
 of exclusion of, **12A:17**
 Falsity exclusion, investigation of
 knowledge of falsity exclu-
 sion, **12A:16**
 Illusory exclusions, **12A:24**
 Infringement in advertisement
 copyright infringement cover-
 age, **12A:12**
 exclusions to investigate,
 12A:22
 slogans, **12A:11**
 trade dress, **12A:13**
 Intellectual property coverage,
 buyer’s right to acquire insur-
 ance under policies of Target
 Company, **12A:2**
 Intentional harm exclusion,
 investigation, **12A:18**
 Investigation of exclusions
 generally, **12A:15 to 12A:24**
 breach of contract, **12A:21**
 failure to conform, exclusion of,
 12A:17
 falsity exclusion, knowledge of,
 12A:16
 illusory, **12A:24**
 intentional harm, **12A:18**
 intentional harm exclusion,
 12A:18
 IP exclusions, investigation,
 below
 knowing violations of
 “personal and advertising
 injury,” **12A:22**
 knowledge of falsity, exclusion
 of, **12A:16**
 “knowledge of falsity” exclu-
 sion, **12A:16**

INDEX

INSURANCE—Cont’d

- Investigation of exclusions
 - Cont’d
 - unfair competition, **12A:23**
- IP exclusions, investigation of exclusions
 - ISO, **12A:19**
 - non-ISO, **12A:20**
- Knowing violations of “personal and advertising injury,” **12A:22**
- Knowledge of falsity exclusion, investigation, **12A:16**
- Misappropriation of advertising ideas or style of doing business (1986 CGL ISO), **12A:8**
- Slogans, infringement in advertisement, **12A:11**
- Target Company’s interests, potential coverage for pre-acquisition activities generally, **12A:3**
 - analysis of potential coverage, above
- Title IP claims that may fall within Target Company’s coverage, **12A:9**
- Trade dress, infringement in advertisement, **12A:13**
- Types of IP claims that may fall within Target Company’s coverage
 - advertisers and advertising, above
 - title, **12A:9**
- Unfair competition exclusion, **12A:23**
- Use of another’s ideas in your “advertisement,” **12A:10**

INTANGIBLE ASSETS

- Valuation** (this index)

INTENTIONAL HARM EXCLUSION

- Insurance, **12A:18**

INTENT-TO-USE

APPLICATIONS

- Trademark, service mark and trade name audits, **6:67**

INTERFERENCES

- Patent audits, **5:16**
- Post- and pre-AIA post-grant patent review proceedings, **App A**
- Trademark, service mark and trade name audits, **6:69**

INTERNATIONAL EXPANSION

- Generally, **13:110 et seq.**
- Agency arrangements, **13:114**
- Distribution arrangements, **13:114**
- Foreign subsidiaries, **13:115**
- Internet, global exposure through, **13:113**
- Legal considerations, **13:112**
- Localization of assets, **13:111**
- Regulatory considerations, **13:112**
- Translation of assets, **13:111**

INTERNATIONAL TOP LEVEL DOMAINS

- Checklist, Global Top Level Domains, **Form 33**

INTERNET

- Advertising, trademark, service mark and trade name audits, **6:103, 6:105**
- Assignment of domain names, **13:42**
- Banner/pop-up advertising, trademark, service mark and trade name audits, **6:103**
- Blogs, copyright audits, **8:82**
- Checklist, **4:23**
- Cloud Computing** (this index)
- Copyright audits
 - generally, **8:80**
 - blogs/vlogs and spam in blogs, **8:82**
- Deep Web, **8:90**

INTERNET—Cont'd

Copyright audits—Cont'd
 digital audio transmission, right to perform or display works publicly by means of, **8:17**
 digital management information, **8:84**
 Digital Millennium Copyright Act, Internet service providers, **8:92**
 limitation on liability, online material, **8:30**
 linking, framing/in-line imaging, **8:85**
 scraper sites, **8:86**
 service oriented architecture (SOA), including Web services, **8:87**
 U.S. Copyright Office Registration database, **8:91**
 voice over internet protocol (VoIP) telephony, **8:88**
 webcasting, **8:89**
 “Cyberstuffing,” trademark, service mark and trade name audits, **6:106**
 Dark Web
 generally, **7A:1, 7A:7 to 7A:11**
 challenges, **7A:10**
 copyright audits, **8:90**
 information available, **7A:8**
 intellectual property, **7A:9**
 limitations on searches, **7A:10**
 semiconductor chip product audits, **10:31**
 terminology, **7A:7**
 trademark, service mark and trade name audits, **6:109**
 Database audit, internet of things, **9:3**
 Database audits, **9:25, 9:26 to 9:28**
 Deep Web
 generally, **7A:1, 7A:4 to 7A:6**

INTERNET—Cont'd

Deep Web—Cont'd
 challenges, **7A:6**
 copyright audits, **8:90**
 domain names, **7:48**
 limitations, **7A:6**
 semiconductor chip product audits, **10:31**
 trademark, service mark and trade name audits, **6:109**
 trade secret audits, **11:59**
 Digital management information, **8:84**
 Domain name audits. **Internet Domain Name Audits** (this index)
 Framing
 copyright audits, **8:85**
 trademark, service mark and trade name audits, **6:104**
 In-line imaging, **8:85**
 International expansion, **13:113**
 Keyword advertising/sales, trademark, service mark and trade name audits, **6:105**
 Linking, framing/in-line imaging, **8:85**
 Management, preservation of current assets, **13:60**
 Metatags, “cyberstuffing,” trademark, service mark and trade name audits, **6:106**
 Patent audits, **5:104 to 5:108**
 Pop-up advertising, trademark, service mark and trade name audits, **6:103**
 Sales, keyword advertising, trademark, service mark and trade name audits, **6:105**
 Scraper sites, **8:86**
 Searches
 Dark Web, **7A:10**
 Deep Web, **7A:6**
 Surface Web, **7A:2, 7A:3**

INDEX

INTERNET—Cont'd

- Semiconductor chip product audits, Deep Web, **10:31**
- Service oriented architecture (SOA), including Web services, **8:87**
- Social media, **9:29**
- Social networking sites, **6:108**
- Spamming
 - blogs, copyright audits, **8:82**
 - trademark, service mark and trade name audits, **6:107**
- Surface Web
 - information available, **7A:3**
 - terminology, **7A:2**
- Trademark, service mark and trade name audits
 - generally, **6:102**
 - advertising, **6:103, 6:105**
 - banner/pop-up advertising, **6:103**
 - Deep Web, **6:109**
 - domain names, exemptions from liability, **6:54**
 - framing, **6:104**
 - keyword advertising/sales, **6:105**
 - metatags, “cyberstuffing,” **6:106**
 - pop-up advertising, **6:103**
 - sales, **6:105**
 - social networking sites, **6:108**
 - spamming, **6:107**
- Trade secret audits
 - generally, **11:56**
 - Deep Web, **11:59**
 - protection measures, **11:58**
 - risk of loss of trade secrets, **11:57**
- U.S. Copyright Office Registration database, **8:91**
- Vlogs, copyright audits, **8:82**
- Voice over internet protocol (VoIP) telephony, **8:88**
- Webcasting, copyright audits, **8:89**

INTERNET DOMAIN NAME

AUDITS

- Generally, **7:1 et seq.**
- Affiliates, registrations in name of, **7:29**
- Altered versions of name, use of, **7:43**
- Anatomy of domain names, **7:2 to 7:4**
- Anticybersquatting Protection Act (“ACAPA”), **7:36**
- Assignments and other transfers, **7:27 to 7:30**
- Authority over domain name system
 - generally, **7:5**
 - conflicts with domain names and trademarks, **7:10**
 - country code top level domains (ccTLDs), **7:7, 7:8**
 - dispute resolution, **7:9**
 - keyword registration, **7:10**
 - TLDs, generally, **7:2**
- Country code top level domains (ccTLDs), authority over domain name system, **7:7, 7:8**
- Deep Web, **7:48**
- Definition of rights
 - generally, **7:13 et seq.**
 - differing second-level domains, **7:23**
 - differing top-level domains and delegated subdomains, **7:24**
 - domain name “word,” entitlement to use, **7:17**
 - duration of rights, **7:19 to 7:21**
 - exceptions to rights, **7:22 to 7:24**
 - exclusivity of rights, **7:15**
 - fees, **7:20**
 - “lame delegations,” **7:21**
 - renewal, **7:20**

INTERNET DOMAIN NAME

AUDITS—Cont’d

Definition of rights—Cont’d
 scope of rights conferred by
 domain name registration,
7:14 to 7:18
 trademark rights accruing from
 domain-name-only use,
7:18
 worldwide scope of rights, **7:16**
 Delegated subdomains (zones),
7:3
 Dilution/domain names and
 trademark infringement, **7:35**
 Dispute resolution, authority over
 domain name system, **7:9**
 Dispute resolution policy, **7:32**
 Documents requests, **7:12**
 Duration of rights, **7:19 to 7:21**
 Exclusivity of rights, **7:15**
 Fees, **7:20**
 Homograph domain spoofing and
 “phishing,” **7:11**
 “Host not found” and other con-
 nection errors, **7:46**
 Infringing registrations, **7:34 to**
7:36
 Internet service providers,
 registrations in name of, **7:30**
 Keyword registration, **7:10**
 “Lame delegations,” **7:21**
 Litigation, likeliness of, **7:47**
 Multiple entitlements to single
 domain name, **7:33**
 “Phishing,” **7:11**
 Promotion and protection of name,
7:44
 Protection of domain name
 generally, **7:37, 7:39 to 7:41**
 scope of domain name, ade-
 quate protection of needs
 of Target Company, **7:39**
to 7:41
 second-level domains, **7:40**

INTERNET DOMAIN NAME

AUDITS—Cont’d

Protection of domain name
 —Cont’d
 top-level domains and delegated
 subdomains, **7:41**
 Registrant, Target Company as
 generally, **7:25 et seq.**
 affiliates, registrations in name
 of, **7:29**
 Anticybersquatting Protection
 Act (“ACPA”), **7:36**
 assignments and other transfers,
7:27 to 7:30
 dilution/domain names and
 trademark infringement,
7:35
 dispute resolution policy, **7:32**
 entitlement to registration, **7:31**
to 7:36
 formalities of assignments and
 other transfers, **7:28**
 infringing registrations, **7:34 to**
7:36
 initial entitlement, **7:26**
 internet service providers,
 registrations in name of,
7:30
 multiple entitlements to single
 domain name, **7:33**
 Registrars of domain names,
 exemptions from liability,
6:54
 Renewal, **7:20**
 .eu, authority over domain system
 .us, authority over domain system
 Second-level domains, **7:2**
 Spoofing and “phishing,” **7:11**
 Summary, **7:50**
 Summary report form, **Form 12**
 Third-level domains, **7:4**
 Third party rights, use of name as
 dependent on, **7:38**
 TLDs. Authority over domain
 name system, above

INDEX

INTERNET DOMAIN NAME

AUDITS—Cont'd

Trademark rights accruing from domain-name-only use, **7:18**

Trademarks, conflicts with, **7:10**

Unauthorized TLDs. Authority over domain name system, above

Use of domain name generally, **7:42 et seq.**
altered versions of name, use of, **7:43**

“host not found” and other connection errors, **7:46**

nonuse, **7:45**

promotion and protection of name, **7:44**

Worldwide scope of rights, **7:16**

INTERNET OF THINGS

Database audits, **9:3**

INTER PARTES

REEXAMINATION REVIEWS

Patent audits, **5:13**

Post- and pre-AIA post-grant patent review proceedings, **App A**

INTERVENTION

Generally, **13:81**

INTERVIEWS

Management, **13:90, Form 26**

Preparation for due diligence, confidentiality obligations toward third parties, **1:32**

IN-USE AND ON-SALE BARS

Patent audits, **5:33 to 5:36**

INVENTORY

Asset portfolio, **13:85**

Trademark, service mark and trade name audits, **6:3**

JOINDER AND SUBSTITUTION OF PARTIES

Generally, **13:76 et seq.**

Compulsory joinder, **13:78**

Dismissal, **13:82**

Impleader, **13:79**

Intervention, **13:81**

Permissive joinder, **13:80**

Transfer of interest, **13:77**

JOINT AUTHORS, INVENTION AND OWNERSHIP

Consequences of joint inventorship, **5:53**

Copyright audits, **8:38, 8:45**

Definition of joint inventorship, **5:51**

Improper attribution of joint inventorship, **5:54**

Patent audits, **5:50 to 5:59**

Rights of joint inventors, **5:52**

Semiconductor chip product audits, **10:14**

Trademark, service mark and trade name audits, **6:59**

Trade secret audits, **11:32**

JOINT VENTURES

Structure of transaction, **13:31**

KEYWORD

ADVERTISING/SALES

Internet, trademark, service mark and trade name audits internet, **6:105**

KEYWORD REGISTRATION

Internet domain name audits, **7:10**

LABORATORY NOTEBOOKS

Management, **13:92**

LACHES

Copyright audits, **8:56**

Patent audits, **5:68**

Trademark, service mark and trade name audits, **6:74**

Trade secret audits, **11:51**

“LAME DELEGATIONS”

Internet domain name audits, **7:21**

LAPSE

Trademark, service mark and trade name audits, **6:49**

LEASING COPIES

Copyright audits, **8:15**

LENDING COPIES

Copyright audits, **8:15**

LETTERS OF INTENT

Preparation for due diligence, **1:35, Form 3**

**LIBRARIES AND NON-PROFIT
EDUCATIONAL
INSTITUTIONS**

Copyright audits, **8:31**

LICENSES

Checklist for IP licensing, **Form 16B**

Checklist of license terms, **Form 35**

Copyright Audits (this index)

Development, **13:106 to 13:109**

IP licensing checklist, **Form 16B**

Management, preservation of current assets, **13:64 to 13:66**

Patent Audits (this index)

Semiconductor chip product audits, **10:25**

Trademark, Service Mark and Trade Name Audits (this index)

Trade secret audits, **11:19**

LIKELIHOOD OF CONFUSION

Trademark, service mark and trade name audits, scope of rights in marks, **6:38**

LIMITATION OF ACTIONS

Statutes of Limitation (this index)

LIMITATIONS ON RIGHTS

Copyright Audits (this index)

Database audits, copyright law, **9:9 to 9:11, 9:21**

Patent audits, **5:44 to 5:47**

Semiconductor Chip Product Audits (this index)

MAINTENANCE

Copyright audits, **8:55**

Patent audits, **5:66**

Semiconductor chip product audits, **10:20**

Trademark, service mark and trade name audits, **6:7, 6:70**

MALPRACTICE LIABILITY

Preparation for due diligence, **1:38**

MANAGEMENT

Generally, **13:84 et seq.**

Acquisition documents, **13:94**

Asset management, **13:55 et seq.**

Contract personnel agreements, **13:93**

Copyrights, preservation of current assets, **13:58**

Critical dates, **13:57 et seq., 13:83**

Databases, preservation of current assets, **13:63**

Development of personnel, **13:98 et seq.**

Employment agreements, **13:88, Form 24**

Employment manuals, **13:89, Form 25**

Exploitation documents, **13:94**

Internal policies and procedures, **13:87 et seq.**

Internet domain names, preservation of current assets, **13:60**

Interview and exit procedures, **13:90, Form 26**

Invention disclosure statements, **13:91, Form 27**

Inventory of asset portfolio, **13:85**

INDEX

MANAGEMENT—Cont'd

Joinder and Substitution of Parties (this index)

- Laboratory notebooks, **13:92**
- Licensing, preservation of current assets, **13:64 to 13:66**
- Litigation activities, **13:67 et seq.**
- Litigation-related critical dates, **13:83**
- Organization of asset portfolio, **13:85**
- Patents, preservation of current assets, **13:57**
- Pending litigation, **13:69 to 13:71**
- Preservation of current assets, **13:56 et seq.**
- Prioritization of assets, **13:86**
- Semiconductor chips and mask works, preservation of current assets, **13:61**
- Substitution of counsel, litigation activities, **13:75**
- Substitution of parties. **Joinder and Substitution of Parties** (this index)
- Threatened litigation, **13:72 to 13:74**
- Trademarks, preservation of current assets, **13:59**
- Trade secret policies and procedures, **13:95**
- Trade secrets, preservation of current assets, **13:62**
- Transaction document, litigation clauses in, **13:68**

MARKET APPROACH

- Asset valuation method, **12:11**

MARKING

- Patent audits, protection of patent, false marking, **5:72**

MATERIALITY

- Preparation for due diligence by buyer, **2:8**
- Securities Law** (this index)

MATERIAL MISSTATEMENTS

- Securities Law** (this index)

MEETINGS

- Preparation for due diligence by buyer, **2:31**

MERGERS AND ACQUISITIONS

- Copyright audits, **8:50**

METATAGS

- “Cyberstuffing,” trademark, service mark and trade name audits, **6:106**

METHODS

- Copyright audits, **8:8**
- Valuation** (this index)

MISTAKE

- Trademark, service mark and trade name audits, likelihood of mistake, **6:38**

MISUSE

- Copyright audits, **8:76**
- Patent audits, **5:98 to 5:100**
- Trade secret audits, **11:50**

MODELS

- Summary report form, **Form 13**

MORAL RIGHTS

- Copyright audits, **8:19**

MOTIVES

- Preparation for due diligence, **2:4, 2:6, 3:4 to 3:7**

NAKED LICENSES

- Trademark, service mark and trade name audits, **6:90**

NEGOTIATION OF CONTRACTS

- Development of personnel, **13:102**

.NET

- Internet domain name audits, authority over domain system, **7:6**

NETWORK PLANNING

Preparation for due diligence by
buyer, **2:16**

NONFUNCTIONALITY

Trade dress designs, **6:21**

NONOBVIOUSNESS

Patent audits, **5:32**

NOVELTY

Patent audits, definition of rights
generally, **5:29**
post-America Invents Act, **5:31**
pre-America Invents Act, **5:30**

OPEN SOURCE DISTRIBUTION

Copyright audits, licensing, **8:78**

OPPOSITIONS

Trademark, service mark and trade
name audits, **6:69, 6:101**

OPTIONS

Copyright audits, **8:70**
Patent audits, **5:88**
Trademark, service mark and trade
name audits, **6:82**

.ORG

Internet domain name audits,
authority over domain
system, **7:6**

ORGANIZATIONAL CULTURES

Preparation for due diligence,
compatibility, **3:8**

ORIGINALITY

Copyright audits, **8:6**

OSHA INSPECTIONS

Trade secret audits, **11:49**

OWNERSHIP

Assignments, recordal of owner
changes, **13:45**
Cloud computing, **9:28**
Copyright Audits (this index)
Database audits, **9:12**

OWNERSHIP—Cont'd

**Joint Authors, Invention and
Ownership** (this index)
Log for tracing ownership, **Form
34**
Patent Audits (this index)
Preparation for due diligence by
Target Company, **3:11**
**Semiconductor Chip Product
Audits** (this index)
**Trademark, Service Mark and
Trade Name Audits** (this
index)
Trade Secret Audits (this index)

PARALEGALS

Development, **13:101**

PATENT AUDITS

Generally, **5:1 to 5:109**
Abandoned applications, **5:18**
Adjustments of patent term, **5:43**
Administrative proceedings
generally, **5:9 et seq.**
abandoned applications, **5:18**
certificates of correction and
corrected patents, **5:17**
corrected patents, **5:17**
derivation proceedings, **5:16**
disclaimers, **5:9**
ex parte reexaminations, **5:12**
interferences, **5:16**
post-grant proceedings, **5:10**
post-grant reviews, **5:15**
reviews, **5:13, 5:15, 5:93**
statutory invention registration,
5:19
supplemental examinations,
5:14
America Invents Act
novelty, definition of rights
post-AIA, **5:31**
pre-AIA, **5:30**
prior user rights and exhaustion
of rights
post-AIA, **5:47**

INDEX

PATENT AUDITS—Cont'd

- America Invents Act—Cont'd
 - prior user rights and exhaustion of rights—Cont'd
 - pre-AIA, **5:46**
- Assignments, **5:60 to 5:63, 5:85**
- Business method patent law, **5:107**
- Business owning and /or using Internet-related technologies, **5:107**
- Certificates of correction and corrected patents, **5:17**
- Change of control, **5:85**
- Continuations, **5:7**
- Continuations-in-part, **5:8**
- Contracts, **5:60 to 5:63**
- Corrected patents, **5:17**
- Cross-licenses, **5:79**
- Customs recordation, **5:74**
- Defense of patent infringement, **5:87**
- Definition of rights
 - generally, **5:25 et seq.**
 - adjustments of patent term, **5:43**
 - duration of rights, **5:41 to 5:43**
 - extensions of patent term, **5:43**
 - initial patent term, **5:42**
 - limitations on rights, **5:44 to 5:47**
 - prior user rights and exhaustion of rights
 - post-AIA, **5:47**
 - pre-AIA, **5:46**
 - protectable inventions, below scope of rights, **5:40**
- Dependent technologies and improvements, **5:76 to 5:78**
- Derivation proceedings, **5:16**
- Diligence in practicing license, **5:91**
- Disclaimers, **5:9**
- Disclosure procedure, **5:93**
- Divisional patents, **5:6**
- Documents requested
 - generally, **5:2 et seq.**

PATENT AUDITS—Cont'd

- Documents requested—Cont'd
 - administrative proceedings, above
 - claim construction, **5:21**
 - exploitation and litigation, information pertaining to, **5:24**
 - infringement opinions, **5:23**
 - patent searches, **5:22**
 - portfolio, below
 - prosecution histories, **5:20**
 - validity opinions, **5:23**
- Duration of rights, **5:41 to 5:43, 5:83**
- Employees of Target Company, inventions created by, **5:55 to 5:59**
- Enablement, best mode, and written description under § 112, **5:38**
- Enforcement and failure to enforce, **5:67 to 5:70, 5:87, 5:99**
- Estoppel, **5:69**
- Exclusivity, **5:84**
- Exhaustion of prior user rights
 - post-AIA, **5:47**
 - pre-AIA, **5:46**
- Ex parte reexaminations, **5:12**
- Experimental use or sale, **5:36**
- Exploitation of patents
 - generally, **5:96 et seq.**
 - enforcement misuse, **5:99**
 - information pertaining to exploitation, **5:24**
 - licenses, **5:97, 5:100**
 - misuse, **5:98 to 5:100**
 - settlement agreements, **5:97**
- Extensions of patent term, **5:43**
- False marking, **5:72**
- Geographic scope of patents, **5:94**
- Grant-backs, **5:89**
- Impact of assignment vs. license, **5:64**

INTELLECTUAL PROPERTY DUE DILIGENCE

PATENT AUDITS—Cont'd

- Implied-in-fact assignments, inventions created by employees of Target Company, **5:64**
- Implied licenses, **5:69**
- Improvements, **5:89, 5:103**
- In-bound licenses
 - generally, **5:80 et seq.**
 - assignability and change of control, **5:85**
 - change of control, **5:85**
 - due diligence on licensors and their technologies, **5:81**
 - duration of license, **5:83**
 - enforcement and defense of patent infringement, **5:87**
 - exclusivity, **5:84**
 - improvements and grant-backs, **5:89**
 - options, **5:88**
 - scope of license, **5:86**
 - terms of license, **5:82 et seq.**
 - warranties and indemnifications, **5:90**
- Indemnifications, **5:90**
- Infringement
 - in-bound licenses, enforcement and defense of patent infringement, **5:87**
 - likelihood of litigation regarding patents, **5:102**
 - opinions, documents requested, **5:23**
- Initial ownership, **5:49**
- Interferences, **5:16**
- Internet
 - generally, **5:104**
 - business method patent law, **5:107**
 - business owning and /or using Internet-related technologies, **5:108**
 - internet-related technologies, **5:106**

PATENT AUDITS—Cont'd

- Internet—Cont'd
 - patent prosecution, **5:105**
- Inter partes reexamination reviews, **5:13**
- In-use and on-sale bars, **5:33 to 5:36**
- Joint inventorship
 - generally, **5:50**
 - consequences of joint inventorship, **5:53**
 - definition, **5:51**
 - improper attribution of joint inventorship, **5:54**
 - rights of joint inventors, **5:52**
- Laches, **5:68**
- Licenses
 - cross-licenses, **5:79**
 - diligence in practicing license, preexisting or third party rights, **5:91**
 - exploitation of patents, **5:97, 5:100**
 - impact of assignment vs. license, **5:64**
 - implied licenses, **5:69**
 - in-bound licenses, above
 - priority of licenses, assignments and security interests, **5:63**
- Limitations on rights, **5:44 to 5:47**
- Litigation, generally, **5:24, 5:70, 5:101 to 5:103, 5:105**
- Maintenance fees, **5:66**
- Misuse, **5:98 to 5:100**
- Nonobviousness, **5:32**
- Nonprovisional patents, **5:4**
- Novelty, definition of rights
 - generally, **5:29**
 - post-America Invents Act, **5:31**
 - pre-America Invents Act, **5:30**
- Options, **5:88**
- Ownership of patents by Target Company
 - generally, **5:48 et seq.**

INDEX

PATENT AUDITS—Cont'd

Ownership of patents by Target Company—Cont'd
 assignments of contracts, **5:60 to 5:63**
 contracts, **5:60 to 5:63**
 employees of Target Company, inventions created by, **5:55 to 5:59**
 impact of assignment vs. license, **5:64**
 implied-in-fact assignments, inventions created by employees of Target Company, **5:57**
 improper attribution of joint inventorship, **5:54**
 initial ownership, **5:49**
 joint inventorship, **5:51 to 5:54**
 priority of licenses, assignments and security interests, **5:63**
 security interests, **5:60, 5:63**
 shop rights, inventions created by employees of Target Company, **5:58**
 state laws, inventions created by employees of Target Company, **5:59**
Patent pending, patent, and patent number designations, use of, **5:71**
Portfolio
 generally, **5:3 et seq.**
 continuations, **5:7**
 continuations-in-part, **5:8**
 divisional patents, **5:6**
 nonprovisional patents, **5:4**
 provisional patent applications, **5:5**
Post-grant proceedings, **5:10**
Post-grant reviews, **5:15**
Preexisting or third party rights
 generally, **5:75 et seq.**
 cross-licenses, **5:79**

PATENT AUDITS—Cont'd

Preexisting or third party rights—Cont'd
 dependent technologies and improvements, **5:76 to 5:78**
 diligence in practicing license, **5:91**
 in-bound licenses, above symbiotic patents, **5:78**
Priority of licenses, assignments and security interests, **5:63**
Prior user rights and exhaustion of rights
 post-America Invents Act, **5:47**
 pre-America Invents Act, **5:46**
Proprietary markings, **5:73**
Prosecution histories, **5:20**
Prosecution history of patent, **5:95**
Prosecutions, internet considerations, **5:105**
Protectable inventions
 generally, **5:26 et seq.**
 assessment of patentability of Target Company's inventions in view of due diligence, **5:39**
 best mode under § 112, **5:38**
 enablement under § 112, **5:38**
 experimental use or sale, **5:36**
 in-use and on-sale bars, **5:33 to 5:36**
 nonobviousness, **5:32**
 novelty, **5:29, 5:30, 5:31**
 public use, **5:34**
 subject matter, **5:27**
 suppression, **5:37**
 utility, **5:28**
 written description under § 112, **5:38**
Protection of inventions
 generally, **5:92 et seq.**
 claims in, and prosecution history, of patents, **5:95**
 disclosure procedure, **5:93**

PATENT AUDITS—Cont'd

Protection of inventions—Cont'd
 geographic scope of patents, **5:94**
 review and disclosure procedure, **5:93**
 Protection of patent
 generally, **5:65 et seq.**
 customs recordation, **5:74**
 enforcement and failure to enforce, **5:67 to 5:70**
 estoppel, **5:69**
 false marking, **5:72**
 implied licenses, **5:69**
 laches, **5:68**
 maintenance fees, **5:66**
 patent pending, patent, and patent number designations, use of, **5:71**
 proprietary markings, **5:73**
 statute of limitations, **5:68**
 U.S. government, sales to, **5:73**
 venue, **5:70**
 Provisional patent applications, **5:5**
 Public use, **5:34**
 Reexamination
 ex parte reexamination, **5:12**
 inter partes reexamination reviews, **5:13**
 Reissues, **5:11**
 Reviews
 inter partes reexamination reviews, **5:13**
 post-grant reviews, **5:15**
 review and disclosure procedure, **5:93**
 Rights of employer, inventions created by employees of Target Company, **5:56**
 Security interests, **5:60, 5:63**
 Settlement agreements, **5:97**
 Shop rights, inventions created by employees of Target Company, **5:58**

PATENT AUDITS—Cont'd

State laws, inventions created by employees of Target Company, **5:59**
 Statute of limitations, **5:68**
 Summary report form, **Form 9**
 Supplemental examinations, **5:14**
 Suppression, **5:37**
 Symbiotic patents, **5:78**
 Technologies
 business owning and /or using Internet-related technologies, **5:108**
 internet-related technologies, **5:106**
 Terms of license, **5:82 et seq.**
 Third party rights. Preexisting or third party rights, above
 U.S. government, sales to, **5:73**
 Utility, **5:28**
 Validity opinions, **5:23**
 Venue, **5:70**
 Warranties and indemnifications, **5:90**

PATENT PENDING

Patent audits, use of designation, **5:71**

PATENTS

Assignments, **13:39, Form 20**
 Audits. **Patent Audits** (this index)
 Business method patents, post- and pre-AIA post-grant patent review proceedings, **App A**
 Database audits, **9:14**
 Management, preservation of current assets, **13:57**
 Post-grant patent review proceedings, post- and pre-AIA proceedings, **App A**
 Trade secret audits, **11:42**

PATENT TRIAL AND APPEAL BOARD

Post- and pre-AIA post-grant patent review proceedings, **App A**

INDEX

“PHISHING”

Internet domain name audits, **7:11**

PLANNING

Copyright audits, **8:8**

Due diligence. **Preparation for Due Diligence by Buyer** (this index)

PLANT TOURS

Preparation for due diligence, confidentiality obligations toward third parties, **1:32**

POST-GRANT PROCEEDINGS

Patent audits, **5:10**

POST-GRANT REVIEWS

Patent audits, **5:15**

PREEMPTION

Database audits, federal preemption of claims, **9:17**

PREEXISTING OR THIRD

PARTY RIGHTS

Copyright Audits (this index)

Database audits, **9:12**

Internet domain name audits, **7:38**

Patent Audits (this index)

Trademark, Service Mark and Trade Name Audits (this index)

Trade secret audits, **11:39, 11:52**

PREPARATION FOR DUE

DILIGENCE

Generally, **1:1 to 1:47, 2:1 et seq., 3:1 et seq., 4:1 et seq.**

Accountants' audit letters, **1:10**

Attorney-Client Privilege (this index)

Audit letters, **1:9 to 1:11**

Buyer. **Preparation for Due Diligence by Buyer** (this index)

Checklist (this index)

Communication between counsel and parties, **1:4**

PREPARATION FOR DUE

DILIGENCE—Cont'd

Competence of counsel to parties, **1:3**

Confidentiality agreement between buyer and Target Company, **1:34, Form 2**

Confidentiality obligations toward third parties, **1:30 to 1:32**

Control of documents, **1:41**

Copy request, **Form 5**

Data Room (this index)

Deadlines, **1:40**

Disclosures, **1:36 to 1:38**

External disclosure and publicity restrictions, **1:33**

Goals, **1:2**

Internal disclosure and publicity restrictions, **1:33**

Interviews, confidentiality obligations toward third parties, **1:32**

Legal implications of due diligence, **1:37**

Letters of intent, **1:35, Form 3**

Letter to Target Company, **Form 1**

Malpractice liability, **1:38**

Plant tours, confidentiality obligations toward third parties, **1:32**

Privileges

generally, **1:5 et seq.**

accountants' audit letters, **1:10**

Attorney-Client Privilege (this index)

audit letters, **1:9 to 1:11**

intellectual property due diligence audit letters, **1:11**

Product demonstrations, confidentiality obligations toward third parties, **1:32**

Production of documents, **1:41, Form 6**

Proprietary information of Target Company, **1:29**

**PREPARATION FOR DUE
DILIGENCE—Cont'd**

Publicity restrictions, **1:33**
 Representations, **1:36 to 1:38**
Sarbanes-Oxley Act (this index)
 Scheduling, **1:40**
 Scope of due diligence, **1:39**
 SEC professional conduct rules,
 1:12
 Securities law
 for matters pertaining to securi-
 ties in general. **Securities**
 Law (this index)
 generally, **1:12**
 Seller. **Preparation for Due Dili-**
 gence by Target Company
 (this index)
 Supplemental document request,
 Form 6
 Trade secrets of Target Company,
 1:29
 Warranties, **1:36 to 1:38**

**PREPARATION FOR DUE
DILIGENCE BY BUYER**

Generally, **2:1 et seq.**
 Actual cost, determination, **2:28**
 Affiliates of Target Company,
 inclusion of, **2:9**
 Alternatives of buyer, **2:4**
 Alternatives of Target Company,
 2:6
 Budget for due diligence. Cost
 planning and performance,
 below
 Capacity of Target Company to
 respond to due diligence, **2:7**
 Checklist, buyer's position on,
 2:41
 Compatibility of organizational
 cultures of buyer and Target
 Company, **3:8**
 Cost planning and performance
 generally, **2:25 et seq.**
 actual cost, determining, **2:28**

**PREPARATION FOR DUE
DILIGENCE BY BUYER
—Cont'd**

Cost planning and performance
 —Cont'd
 project budgeting, generally,
 2:27 to 2:29
 project cost estimate, **2:26**
 value of work performed,
 determining, **2:29**
 Counsel, **2:12 to 2:15**
 Defining the project objective, **2:3**
 et seq.
 Distribution of final report, **2:38**
 Domestic counsel, **2:13**
 Final report, **2:37, 2:38**
 Foreign counsel, **2:15**
 Goals of buyer, **2:4**
 Goals of Target Company, **2:6**
 Interim progress reports, **2:36**
 Internal memorandums, **2:34**
 Materiality standard, **2:8**
 Meetings, project communication
 and documentation, **2:31**
 Motives of buyer, **2:4**
 Motives of Target Company, **2:6**
 Network planning, **2:16**
 Organizational cultures of buyer
 and Target Company, **3:8**
 Organization of documents, **2:39**
 to 2:42
 Planning, **2:2 et seq.**
 Project communication and
 documentation
 generally, **2:30 et seq.**
 internal memorandums, **2:34**
 meetings, **2:31**
 project documentation and con-
 trolling changes, generally,
 2:32 to 2:34
 recordkeeping, **2:33**
 Recordkeeping, **2:33**
 Reporting to buyer
 generally, **2:35 et seq.**
 distribution of final report, **2:38**

INDEX

PREPARATION FOR DUE DILIGENCE BY BUYER —Cont'd

- Reporting to buyer—Cont'd
 - final report, **2:37, 2:38**
 - interim progress reports, **2:36**
 - report outline, **Form 8**
- Review of documents, **2:39 to 2:42**
- Schedule for due diligence
 - generally, **2:17 et seq.**
 - activity duration estimates, **2:18**
 - actual schedule performance, effect, **2:23**
 - incorporating project changes into schedule, **2:24**
 - IPDD schedule control, **2:21**
 - project control process, **2:22**
 - project start and finish times, **2:19**
 - schedule calculations, **2:20**
 - updating project schedule, **2:24**
- Scope of documents, **2:40**
- Statement of strategy, **2:5 et seq.**
- Team members
 - generally, **2:10 et seq.**
 - counsel, **2:12 to 2:15**
 - domestic counsel, **2:13**
 - foreign counsel, **2:15**
 - intellectual property counsel, **2:14**
 - technical employees and consultants, **2:11**
- Technical employees and consultants, **2:11**
- Understanding technological and creative intellectual property assets, **2:43**
- Value of work performed, determining, **2:29**
- Work breakdown structure, **2:16**

PREPARATION FOR DUE DILIGENCE BY TARGET COMPANY

- Generally, **3:1 et seq.**

PREPARATION FOR DUE DILIGENCE BY TARGET COMPANY—Cont'd

- Administrative matters, collection and preparation of documents, **3:29**
- Alternatives of buyer, **3:7**
- Alternatives of Target Company, **3:4 to 3:6**
- Assessment personnel, **3:18**
- Budget for intellectual property, **3:23**
- Checklist, **Form 32**
- Collection of documents, **3:27 to 3:29**
- Compatibility of organizational cultures of buyer and Target Company, **3:8**
- Creative personnel, **3:16**
- Current status of intellectual property portfolio, **3:9**
- Drafting and responding to intellectual property due diligence checklist, **3:26**
- Enforcement personnel, **3:18**
- Enforcement strategy, **3:14**
- Exploitation personnel, **3:18**
- Exploitation strategy, **3:13**
- Financial overview, **3:23**
- Focus, **3:3**
- Goals of buyer, **3:7**
- Goals of Target Company, **3:4 to 3:6**
- Inventive personnel, **3:16**
- Leadership of team members, **3:19**
- Motives of buyer, **3:7**
- Motives of Target Company, **3:4 to 3:6**
- Organizational cultures of buyer and Target Company, **3:8**
- Organization of documents, **3:24, 3:26**
- Organization of team members, **3:19**
- Ownership strategy, **3:11**

INTELLECTUAL PROPERTY DUE DILIGENCE

PREPARATION FOR DUE DILIGENCE BY TARGET COMPANY—Cont'd

Personnel

generally, **3:15 et seq.**
assessment, protection,
exploitation, and enforce-
ment personnel, **3:18**
intellectual property supervision
and management, **3:17**
inventive and creative person-
nel, **3:16**

Preparation of documents, **3:27 to 3:29**

Primary goals of Target Company, **3:5**

Production of documents, **3:30**

Protection personnel, **3:18**

Protection strategy, **3:12**

Scope, **3:2**

Scope of documents, **3:24, 3:25**

Secondary goals of Target
Company, **3:6**

Statement of strategy

generally, **3:10 et seq.**
enforcement strategy, **3:14**
exploitation strategy, **3:13**
ownership strategy, **3:11**
protection strategy, **3:12**

Team members

generally, **3:19 et seq.**
administrative aspects, **3:21**
leadership, **3:19**
legal control, **3:22**
organization, **3:19**
substantive aspects, **3:20**

Valuation of intellectual property, **3:23**

PRIORITY

Copyright audits, **8:49**

Patent audits, **5:63**

PRIVACY

Database audits, **9:24**

PRIVILEGES

Preparation for Due Diligence
(this index)

PRODUCTION OF DOCUMENTS

Preparation for due diligence,
1:41, Form 6

PROFESSIONAL CONDUCT RULES

Securities Law (this index)

PROPRIETARY INFORMATION

Preparation for due diligence, **1:29**

PROPRIETARY MARKINGS

Copyright audits, **8:60**

Patent audits, **5:73**

PROSECUTION HISTORIES

Patent audits, **5:20, 5:95**

Trademark, service mark and trade
name audits, **6:4, 6:87**

PROTECTION

Cloud Computing (this index)

Copyright Audits (this index)

Internet Domain Name Audits
(this index)

Patent Audits (this index)

Preparation for due diligence, **3:12**
Securities, material misstatements,
13:22

Semiconductor Chip Product Audits (this index)

Trademark, Service Mark and Trade Name Audits (this index)

Trade Secret Audits (this index)

PUBLIC BIDDING AND HEARING PROCESSES

Trade secret audits, **11:45**

PUBLIC DOMAIN INFORMATION

Copyright audits, **8:11**

INDEX

PUBLIC OFFERINGS

Securities, disclosure requirements, **13:13**

QUALIFIED LEGAL COMPLIANCE COMMITTEE

Sarbanes-Oxley Act, reporting requirements, **1:22**

QUALITATIVE ORIGINALITY REQUIREMENTS

Copyright audits, **8:6**

QUALITY CONTROL

Development of personnel, **13:103**
Trademark, service mark and trade name audits, **6:7**

QUANTITATIVE ORIGINALITY REQUIREMENTS

Copyright audits, **8:6**

RECOGNITION OF MARK

Trademark, service mark and trade name audits, **6:29**

RECORDS AND RECORDING

Assignments, **13:45**
Copyright audits, assignments, **8:48**
Management of laboratory notebooks, **13:92**
Preparation for due diligence by buyer, **2:33**
Trademark, service mark and trade name audits, **6:63**

REEXAMINATIONS

Ex parte reexaminations, patent audits, **5:12**
Inter partes reexaminations reviews, patent audits, **5:13**

REGISTRATION

Internet domain name audits, **7:10**

REGISTRATION OF COPYRIGHT

Copyright audits, access to U.S. Copyright Office registration database, **8:91**

REISSUES

Patent audits, **5:11**

RELIANCE

Court acceptance of defendant reliance on validity/
infringement opinions as defense to willful infringement, checklist, **Form 30**
Exculpatory opinions of counsel under U.S. IP laws, **Form 29**

RENEWALS

Internet domain name audits, **7:20**
Trademark, service mark and trade name audits, **6:72**

RENTAL OF COPY

Copyright audits, **8:15**

REPORTS

Asset valuation report, attributes, **12:14**
Preparation for Due Diligence by Buyer (this index)
Sarbanes-Oxley Act (this index)
Summary report forms, **Forms 9 to 15**

REPRESENTATIONS

Warranties (this index)

RESTORATION OF COPYRIGHTS

Copyright audits, **8:24**

REVERSE ENGINEERING

Semiconductor chip product audits, **10:10**
Trade secret audits, **11:21**

REVIEW

Patent Audits (this index)

REVIEW—Cont’d

Post- and pre-AIA post-grant
patent review proceedings,
App A

Preparation for due diligence by
buyer, document review, **2:39**
to **2:42**

**“SAFE HARBOR”
PROTECTION**

Sarbanes-Oxley Act, attorney
disclosures, **1:27**

SALES

Copyright audits, distribution of
copies, **8:15**

Internet, trademark, service mark
and trade name audits
internet, **6:105**

SANCTIONS

Sarbanes-Oxley Act, sanctions for
failure to comply, **1:28**

SARBANES-OXLEY ACT

Generally, **1:13**

Alternative reporting procedures,
1:22

Attorney conduct rules, generally,
1:14 et seq.

Attorney disclosure of confidential
information, **1:24 to 1:26**

Attorneys subject to rule
generally, **1:15**

appearing and practicing, **1:16**
issuer, **1:17**

Crime prevention, attorney
disclosure of confidential
information, **1:25**

Defense of self, attorney
disclosure of confidential
information, **1:25**

Disclosure of confidential infor-
mation, **1:24 to 1:26**

Escalation of report of material
violations “up the ladder,”
1:20

SARBANES-OXLEY ACT

—Cont’d

Exceptions to 205.3(b) reporting
requirements, **1:21**

Fraud prevention, attorney
disclosure of confidential
information, **1:25**

Material violations, reporting
requirements, generally, **1:19**
et seq.

Qualified Legal Compliance Com-
mittee, reporting require-
ments, **1:22**

Reporting material violations, gen-
erally, **1:19 et seq.**

“Safe harbor” protection for
disclosing attorneys, **1:27**

Sanctions for failure to comply,
1:28

SEC attorney conduct rules issued
pursuant to, **1:13**

Specific obligations of subject
attorneys, **1:18**

Triggering of obligations under,
1:23

“Up the ladder” reporting, **1:20**

When obligations are triggered,
1:23

“Whistleblower” protection for
disclosing attorneys, **1:27**

SCENES A FAIRE

Copyright audits, **8:11**

**SCHEDULE OF ASSETS AND
LIABILITIES**

Generally, **13:46 et seq.**

Allocation of risk, **13:46 to 13:53**

Closing conditions, **13:53**

Disclosures, **13:51, 13:52**

Due diligence as disclosure, **13:52**

Infringement-related warranties,
13:50

Ownership-related warranties,
13:49

Representations, **13:47**

INDEX

SCHEDULE OF ASSETS AND LIABILITIES—Cont'd

Schedules, **13:48, 13:51, 13:52**
Warranties, **13:47, 13:49, 13:50**

SCOPE OF RIGHTS

Copyright Audits (this index)
Internet domain name audits, **7:14 to 7:18**
Trademark, Service Mark and Trade Name Audits (this index)
Trade secret audits, **11:25 to 11:27**

SCRAPER SITES

Internet, copyright audits, **8:86**

SEARCHES

Dark Web, **7A:10**
Deep Web, **7A:6**
Patent audits, **5:22**
Surface Web, **7A:2, 7A:3**
Trademark, service mark and trade name audits, **6:12**

SEC

Generally. **Securities Law** (this index)
Filings, trade secret audits, **11:48**

SECURITIES LAW

See also **Structure of Transaction** (this index)
Attorney-client privilege, **1:12**
“Bespeaks caution” doctrine, **13:24**
Confidential treatment, request for, **13:12**
Defective registration statements under § 11(a) of Securities Act, **13:14**
Disclosures
 due diligence defense to disclosure liabilities, **13:23**
 initial public offerings and subsequent filings, **13:13**
 publicly-traded entities, **13:13**

SECURITIES LAW—Cont'd

Disclosures—Cont'd
 Section § 10(b) and Rule 10b-5 regulations, **13:15**
Due diligence
 generally, **13:11**
 disclosure liabilities, due diligence defense, **13:23**
 supplemental IP due diligence checklist, **Form 16A**
Encumbrances, material misstatements, **13:20**
Exploitation, material misstatements, **13:21**
Financial Accounting Standards Board (FASB), **13:17**
Initial public offerings and subsequent filings, disclosure, **13:13**
Materiality of fact of proposed transaction, **13:18**
“Materiality” under SEC Staff Accounting Bulletin and Financial Accounting Standards Board (FASB) and Statements of Financial Standards (SFAS), disclosures, **13:17**
“Materiality” under Supreme Court precedent, **13:16**
Material misstatements
 disclosures in securities filings, **13:19**
 encumbrances involving intellectual property, **13:20**
 exploitation of intellectual property, **13:21**
 protection of intellectual property, **13:22**
Preparation for due diligence, **1:12**
Protection of intellectual property, material misstatements, **13:22**
Publicly-traded entities, disclosure, **13:13**

INTELLECTUAL PROPERTY DUE DILIGENCE

SECURITIES LAW—Cont'd

Registration statements under
§ 11(a) of Securities Act,
defective, **13:14**
Sarbanes-Oxley Act (this index)
SEC professional conduct rules
generally, **1:12**
Sarbanes-Oxley Act, **1:13 et seq.**
SEC Staff Accounting Bulletin,
13:17
Statements of Financial Standards
(SFAS), **13:17**
Structure of transaction, generally,
13:10 to 13:13
Supplemental IP due diligence
checklist, **Form 16A**
Transaction structure, generally,
13:10 to 13:13

SECURITY

Database audits, **9:24**
Data room, **1:46**

SECURITY INTERESTS

Patent audits, **5:60, 5:63**
Structure of transaction, **13:29**

SEIZURE PROVISIONS

Defend Trade Secrets Act, **11:6**

SELF-DEFENSE

Sarbanes-Oxley Act, attorney
disclosures, **1:26**

SEMICONDUCTOR CHIP

PRODUCT AUDITS

Generally, **10:1 et seq.**
Assignments, **10:16, 10:24**
Deep Web, Internet, **10:31**
Definition of rights
generally, **10:3 et seq.**
duration of rights, **10:6**
limitations on rights, below
protectable works, **10:4**
scope of rights, **10:5**
Derivative works, **10:23**

SEMICONDUCTOR CHIP

PRODUCT AUDITS—Cont'd

Documents requested, **10:2**
Duration of rights, **10:6**
Employees, works created by,
10:15
Enforcement and failure to
enforce, **10:21**
Exploitation of mask works, **10:29**
First sale doctrine, **10:8**
Geographic scope of protection,
10:28
Importation, **10:9**
Independent contractors, works
created by, **10:15**
Initial ownership, **10:13**
Innocent infringement, **10:11**
Internet, Deep Web, **10:31**
Joint ownership, **10:14**
Licenses, **10:25**
Limitations on rights
generally, **10:7 et seq.**
first sale doctrine, **10:8**
importation, **10:9**
innocent infringement, **10:11**
reverse engineering, **10:10**
Litigation, likelihood of, **10:30**
Maintenance, **10:20**
Ownership of mask works
generally, **10:12 et seq.**
assignments, **10:16**
employees, works created by,
10:15
independent contractors, works
created by, **10:15**
initial ownership, **10:13**
joint ownership, **10:14**
U.S. government officers and
employees, works created
by, **10:15**
Preexisting or third party rights
generally, **10:22 et seq.**
assignments, **10:24**
derivative works, **10:23**
licenses, **10:25**

INDEX

SEMICONDUCTOR CHIP

PRODUCT AUDITS—Cont'd

- Protectable works, **10:4**
- Protection of mask works
 - generally, **10:17 et seq.**
 - enforcement and failure to enforce, **10:21**
 - geographic scope of protection, **10:28**
 - maintenance, **10:20**
 - registration, **10:18**
 - scope of mask works, protection of products, **10:26 to 10:28**
 - symbol, use of, **10:19**
 - temporal scope of protection, **10:28**
- Registration, **10:18**
- Reverse engineering, **10:10**
- Scope of mask works, protection of mask works, **10:26 to 10:28**
- Summary report form, **Form 15**
- Symbol, use of, **10:19**
- Temporal scope of protection, **10:28**
- Third party rights. Preexisting or third party rights, above
- U.S. government officers and employees, works created by, **10:15**

SEMICONDUCTOR CHIPS AND MASK WORKS

- Audits. **Semiconductor Chip Product Audits** (this index)
- Management, preservation of current assets, **13:61**

SERVICE MARK

- Trademark, Service Mark and Trade Name** (this index)

SERVICE ORIENTED

ARCHITECTURE (SOA)

- Internet, copyright audits, **8:87**

SERVICES

- Trademark, service mark and trade name audits, **6:99**

SHARE PURCHASES

- Structure of transaction, **13:9**

SHAREWARE

- Copyright audits, **8:77**

SHOP RIGHTS

- Patent audits, **5:58**

SHORT-FORM ASSIGNMENTS

- Assignments** (this index)

SHORT PHRASES

- Copyright audits, **8:10**

SLOGANS

- Copyright audits, **8:10**
- Insurance coverage for types of IP claims, **12A:11**

SOCIAL MEDIA

- Database audits, **9:29**

SOCIAL NETWORKING SITES

- Trademark, service mark and trade name audits, **6:108**

SPAMMING

- Copyright audits, **8:82**
- Trademark, service mark and trade name audits, **6:107**

SPECIAL INTEREST GROUPS

- Copyright audits, **8:34**

SPOOFING

- Internet domain name audits, **7:11**

STATEMENT OF STRATEGY

- Preparation for Due Diligence by Buyer** (this index)
- Preparation for Due Diligence by Target Company** (this index)

STATEMENTS OF FINANCIAL STANDARDS (SFAS)

Securities regulation, **13:17**

STATUTES OF LIMITATION

Copyright audits, **8:56**

Defend Trade Secrets Act, **11:5**

Patent audits, **5:68**

Trademark, service mark and trade name audits, **6:74**

Trade secret audits, **11:51**

STRENGTH OF MARKS

Trademark, service mark and trade name audits, **6:23**

STRUCTURE OF TRANSACTION

As to securities transactions.

Securities Law (this index)

Generally, **13:2 et seq.**

Asset purchases

generally, **13:3 to 13:8**

consolidation, successor liability, **13:6**

continuation of business or enterprise, successor liability, **13:7**

de factor merger, successor liability, **13:6**

fraud, successor liability, **13:8**

retained or divided rights, **13:4**

successor liability, **13:5 to 13:8**

“Bespeaks caution” doctrine, use of cautionary language, **13:24**

Closing, creating intellectual property-related conditions to, **13:26, Form 23**

Complete ownership, investments involving less than, **13:25 to 13:31**

Confidential treatment, securities-regulated transactions, **13:12**

Consolidation, successor liability, **13:6**

Contingent licensing rights for buyer, **13:30**

STRUCTURE OF TRANSACTION—Cont’d

Continuation of business or enterprise, successor liability, **13:7**

De factor merger, successor liability, **13:6**

Divided or retained rights, **13:4**

Fraud, successor liability, **13:8**

Joint ventures, **13:31**

Less than complete ownership, investments involving, **13:25 to 13:31**

Management participation, **13:28**

Post-closing contractual obligations, creating, **13:27**

Retained or divided rights, **13:4**

Securities-regulated transactions for matters pertaining to securities, generally. **Securities Law** (this index)

generally, **13:10 to 13:13**

Security interests in intellectual property rights, **13:29**

Share purchases, **13:9**

Successor liability, **13:5 to 13:8**

SUBSTITUTION OF COUNSEL

Litigation activities, **13:75**

SUBSTITUTION OF PARTIES

Joinder and Substitution of Parties (this index)

SUCCESSOR LIABILITY

Structure of transaction, **13:5 to 13:8**

SUPPLEMENTAL EXAMINATIONS

Patent audits, **5:14**

Post- and pre-AIA post-grant patent review proceedings, **App A**

SUPPRESSION

Patent audits, **5:37**

INDEX

SURFACE WEB

Internet (this index)

SYMBIOTIC PATENTS

Generally, **5:78**

SYMBOLS

Copyright audits, **8:59**

Semiconductor chip product audits, **10:19**

Trademark, service mark and trade name audits, **6:94**

SYSTEMS

Copyright audits, **8:8**

TARNISHMENT

Defenses, **6:40**

Dilution by, **6:33, 6:35**

TECHNICAL EMPLOYEES AND CONSULTANTS

Preparation for due diligence by buyer, **2:11**

TEMPORAL SCOPE OF PROTECTION

Copyright audits, **8:73**

Semiconductor chip product audits, **10:28**

Trademark, service mark and trade name audits, **6:88**

TERMINATION

Copyright audits, **8:47, 8:66**

Trademark, service mark and trade name audits, **6:79**

THIRD PARTIES

Preexisting or Third Party Rights (this index)

TIME AND DATE

Duration of Rights (this index)

Preparation for due diligence, deadlines, **1:40**

Statutes of Limitation (this index)

TITLE

Insurance coverage for types of IP claims, **12A:9**

TOP LEVEL DOMAINS

Checklist of international TLDs, Global Top Level Domains, **Form 33**

Internet Domain Name Audits (this index)

TORT LAW

Database audits, federal preemption of claims, **9:17**

TRADE DRESS

Designs, service mark and trade name audits, **6:19 to 6:21**

Insurance, infringement in advertisement, **12A:13**

TRADEMARK, SERVICE MARK AND TRADE NAME

Assignments, **13:41, Form 19**

Audits. **Trademark, Service Mark and Trade Name Audits** (this index)

Internet domain name audits, **7:18**

Management, preservation of current assets, **13:59**

TRADEMARK, SERVICE MARK AND TRADE NAME AUDITS

Generally, **6:1 et seq.**

Abandonment, **6:73, 6:95**

Acquiescence, **6:74**

Acquired distinctiveness
scope of rights in marks, **6:28**
trade dress designs, **6:20**

Actual dilution, **6:36**

Administrative proceedings, documents pertaining to, **6:6**

Altered form of mark, use of, **6:92**

Assignments, **6:62, 6:63, 6:79**

Blurring, dilution by, **6:33, 6:34**

Cancellations, **6:69, 6:101**

**TRADEMARK, SERVICE MARK
AND TRADE NAME**

AUDITS—Cont'd

- Changed goods and/or services, **6:93**
- Change of control, **6:79**
- Clearance services, **6:99**
- Commercial use, scope of rights in marks, **6:30**
- Common law rights, **6:44, 6:48, 6:66**
- Competition, presence or absence, scope of rights in marks, **6:37**
- Concurrent use, **6:51, 6:84**
- Confusion, likelihood of, **6:38**
- Consent agreements, **6:52, 6:84**
- Deception, likelihood of, **6:38**
- Defenses to claims of dilution by blurring or tarnishment, **6:40**
- Definition of rights
 - generally, **6:13 et seq.**
 - duration of rights, below
 - exceptions to rights, below
 - protectable marks, below
 - scope of rights in marks, below
 - supplemental rights: copyright, **6:56**
- Dilution
 - generally, **6:98**
 - infringement and, **6:97**
 - scope of rights in marks, below
- Distinctiveness
 - acquired distinctiveness, above
 - scope of rights in marks, **6:28**
- Document requests
 - generally, **6:2 et seq.**
 - administrative proceedings, documents pertaining to, **6:6**
 - exploitation of marks, evidence of, **6:9**
 - independent searches, **6:12**
 - inventory of trademark and trade name registrations in

**TRADEMARK, SERVICE MARK
AND TRADE NAME**

AUDITS—Cont'd

- Document requests—Cont'd
 - use and planned for use, **6:3**
 - litigation and trademark disputes, **6:10**
 - maintenance documents, **6:7**
 - ownership documents, **6:8**
 - pending applications, **6:5**
 - prosecution histories, **6:4**
 - quality control documents, **6:7**
 - relevant markets, dates of first use and lapse of use, list of, **6:11**
- Domain registrars, exemptions from liability, **6:54**
- Duration of rights
 - generally, **6:46 to 6:49**
 - common law rights, **6:48**
 - lapse in rights, **6:49**
 - registered marks, **6:47**
- Employees, marks created by, **6:60**
- Enforcement of marks, **6:81**
- Estoppel, **6:74**
- Exceptions to rights
 - generally, **6:50 et seq.**
 - concurrent use registrations, **6:51**
 - consent agreements, **6:52**
 - exemptions from liability, **6:53 to 6:55**
 - fair use, exemptions from liability, **6:55**
 - printers, publishers, and domain name registrars, exemptions from liability, **6:54**
- Exclusivity, **6:78**
- Exemptions from liability, **6:53 to 6:55**
- Exploitation of marks
 - generally, **6:89 et seq.**
 - abandonment, **6:95**

INDEX

TRADEMARK, SERVICE MARK AND TRADE NAME

AUDITS—Cont'd

- Exploitation of marks—Cont'd
 - altered form of mark, use of, **6:92**
 - changed goods and/or services, **6:93**
 - evidence, **6:9**
 - genericness, **6:91**
 - naked licenses, **6:90**
 - ® and ™, **6:94**
 - symbols, use of, **6:94**
- Fair use, exemptions from liability, **6:55**
- Famous marks, **6:24**
- Federal registration, rights conferred by, **6:41, 6:42**
- Genericness, **6:91**
- Geographic scope of marks, **6:88**
- Independent contractors, marks created by, **6:61**
- Independent searches, **6:12**
- Infringement, likelihood of litigation, **6:97**
- Inherent distinctiveness, scope of rights in marks, **6:28**
- Inherent or acquired distinctiveness, trade dress designs, **6:20**
- Initial ownership, **6:58**
- Intent-to-use applications, **6:67**
- Interferences, **6:69**
- Internet** (this index)
- Inventory of trademark and trade name registrations in use and planned for use, **6:3**
- Joint ownership, **6:59**
- Laches, **6:74**
- Lapse in rights, **6:49**
- Licenses
 - generally, **6:76 et seq.**
 - assignability, **6:79**
 - change of control, **6:79**
 - enforcement of marks, **6:81**

TRADEMARK, SERVICE MARK AND TRADE NAME

AUDITS—Cont'd

- Licenses—Cont'd
 - exclusivity, **6:78**
 - options, **6:82**
 - representations, **6:83**
 - scope of license, **6:80**
 - termination rights, **6:79**
 - term of license, **6:77**
 - warranties, **6:83**
- Likelihood of confusion, mistake, or deception, **6:38**
- Likelihood of dilution, **6:36**
- Litigation, likeliness of
 - generally, **6:96 et seq.**
 - clearance services, **6:99**
 - dilution, **6:97, 6:98**
 - infringement, **6:97**
 - opposition and cancellation proceedings, **6:101**
 - watching services, **6:100**
- Litigation and trademark disputes, **6:10**
- Maintenance, **6:7, 6:70**
- Mistake, likelihood of, **6:38**
- Naked licenses, **6:90**
- Nonfunctionality, trade dress designs, **6:21**
- Office actions and prosecution history, **6:68**
- Oppositions, **6:69, 6:101**
- Options, **6:82**
- Ownership of marks by Target Company
 - generally, **6:57 et seq.**
 - assignments, **6:62, 6:63**
 - documents, **6:8**
 - employees, marks created by, **6:60**
 - independent contractors, marks created by, **6:61**
 - initial ownership, **6:58**
 - joint ownership, **6:59**

TRADEMARK, SERVICE MARK AND TRADE NAME AUDITS—Cont'd	TRADEMARK, SERVICE MARK AND TRADE NAME AUDITS—Cont'd
Ownership of marks by Target Company—Cont'd recordal of assignments and liens, 6:63	Protection of marks—Cont'd maintenance, 6:70 office actions and prosecution history, 6:68 oppositions, cancellations, and interferences, 6:69 prosecution history, 6:87 registrations, applications and related documents, 6:65 to 6:69 renewals, 6:72 scope of marks, protection of actual use, 6:85 to 6:88 statements of use/ incontestability, 6:71 statute of limitations, 6:74 temporal scope of marks, 6:88
Prosecution histories, 6:4, 6:87	Qualification of U.S. registration, 6:15
Protectable marks generally, 6:14 et seq. inherent or acquired distinctive- ness, trade dress designs, 6:20 nonfunctionality, trade dress designs, 6:21 qualification of U.S. registra- tion, 6:15 state trademark registrations, 6:18 trade dress designs, 6:19 to 6:21 trade names and commercial names, 6:17 unregistered marks, rights in, 6:16	Quality control documents, 6:7 Recognition of mark in trading areas and channels of trade used, 6:29 Recordal of assignments and liens, 6:63 Registrations, applications and related documents, 6:65 to 6:69 Renewals, 6:72 Representations, 6:83 Scope of rights in marks generally, 6:22 et seq. actual use, protection by scope of marks, 6:85 to 6:88 blurring, dilution by, 6:33, 6:34 commercial use, 6:30 common law rights, 6:44 competition, presence or absence of, 6:37 defenses to claims of dilution by blurring or tarnishment, 6:40
Protection of marks generally, 6:64 et seq. abandonment, 6:73 acquiescence, 6:74 actual use, protection by scope of marks, 6:85 to 6:88 common law rights, 6:66 estoppel, 6:74 federal and state registrations, 6:66 geographic scope of marks, 6:88 goods and/or services, protec- tion by scope of marks, 6:86 intent-to-use applications, 6:67 laches, 6:74	

INDEX

TRADEMARK, SERVICE MARK AND TRADE NAME

AUDITS—Cont'd

Scope of rights in marks—Cont'd
dilution, generally, **6:32 to 2:40**
distinctiveness, inherent or
acquired, **6:28**
factors to consider when
determining if mark is
famous, **6:27 to 6:29**
famous marks, generally, **6:24,**
6:27
federal registration, rights
conferred by, **6:41, 6:42**
likelihood of confusion,
mistake, or deception, **6:38**
likelihood of or actual dilution,
6:36
Principal Register, rights
conferred by federal
registration, **6:41**
recognition of mark in trading
areas and channels of trade
used, **6:29**
state registration, rights
conferred by, **6:43**
strength of marks, **6:23**
Supplemental Register, rights
conferred by federal
registration, **6:42**
tarnishment, dilution by, **6:33,**
6:35
time use begins is after mark
has become famous, **6:31**
Trademark Dilution Revision
Act, key terms and
phrases, **6:26**
trade name rights, **6:45**
Statements of use/incontestability,
6:71
State registration, rights conferred
by, **6:43**
State trademark registrations, **6:18**
Statute of limitations, **6:74**
Strength of marks, **6:23**
Summary report form, **Form 10**

TRADEMARK, SERVICE MARK AND TRADE NAME

AUDITS—Cont'd

Supplemental rights: copyright,
6:56
Symbols, use of, **6:94**
Tarnishment, dilution by, **6:33,**
6:35
Temporal scope of marks, **6:88**
Termination rights, **6:79**
Term of license, **6:77**
Third party rights, use of marks as
dependent on
generally, **6:75 et seq.**
concurrent use and consent
agreements, **6:84**
consent agreements, **6:84**
licenses, above
Trade dress designs, **6:19 to 6:21**
Trademark Dilution Revision Act,
key terms and phrases, **6:26**
Unregistered marks, rights in, **6:16**
Warranties, **6:83**
Watching services, **6:100**

TRADEMARK DILUTION REVISION ACT

Historical context, **6:25**
Key terms and phrases, **6:26**

TRADE NAME

Trademark, Service Mark and
Trade Name (this index)

TRADE SECRET

Audits. **Trade Secret Audits** (this
index)
Database protection, **9:16**
Defend Trade Secrets Act (this
index)
Management and preservation of
current assets, **13:62**
Management policies and
procedures, **13:95**
Preparation for due diligence, **1:29**
Protection measures, **Form 17**

TRADE SECRET AUDITS

Generally, **11:1 to 11:60**
 Assignment, **11:34**
 Copyright applications, **11:43**
 Deep Web, Internet considerations, **11:59**
Defend Trade Secrets Act (this index)
 Definition of rights
 generally, **11:14 et seq.**
 duration of rights, **11:28**
 exceptions to rights, **11:29**
 federal employees, causes of action against, **11:27**
 protectable information, below scope of rights, **11:25 to 11:27**
 Department of Labor filings, **11:49**
 Documents requested, **11:11 to 11:13**
 Duration of rights, **11:28**
 Employees
 creation by, **11:33**
 nondisclosure agreements, use of, **11:40**
 Environmental inspections, **11:46**
 Estoppel, **11:51**
 Exploitation of trade secrets, **11:54**
 Federal employees, causes of action against, **11:27**
 Independent invention, discovery under, **11:20**
 Initial ownership, **11:31**
 Internet considerations
 generally, **11:56**
 Deep Web, **11:59**
 protection measures, **11:58**
 risk of loss of trade secrets, **11:57**
 Joint ownership, **11:32**
 Laches, **11:51**
 License from owner, discovery under, **11:19**
 Litigation, **11:44, 11:55**
 Misuse, **11:50**

TRADE SECRET AUDITS

—Cont'd

Nondisclosure agreements, use of, **11:39, 11:40**
 Not generally known and not readily ascertainable through proper means, **11:18**
 OSHA inspections, **11:49**
 Ownership of trade secrets
 generally, **11:30 et seq.**
 assignment, **11:34**
 employees, creation by, **11:33**
 initial ownership, **11:31**
 joint ownership, **11:32**
 Patents and patent applications, **11:42**
 Preexisting rights, dependence on, **11:52**
 Property rights, **11:2**
 Protectable information
 generally, **11:15 et seq.**
 independent invention, discovery under, **11:20**
 license from owner, discovery under, **11:19**
 not generally known and not readily ascertainable through proper means, **11:18**
 public use or display, observation of item in, **11:22**
 published literature, obtaining information from, **11:23**
 reverse engineering, discovery under, **11:21**
 value, **11:17**
 Protection of trade secrets
 generally, **11:35 et seq.**
 copyright applications, **11:43**
 employees, use of nondisclosure agreements, **11:40**
 environmental inspections, **11:46**
 estoppel, **11:51**

INDEX

TRADE SECRET AUDITS

—Cont'd

- Protection of trade secrets
 - Cont'd
 - federal and state agencies, disclosures to, **11:45**
 - Internet-specific measures, **11:58**
 - laches, **11:51**
 - litigation, **11:44**
 - misuse, **11:50**
 - nondisclosure agreements, use of, **11:39, 11:40**
 - OSHA inspections and Dept. of Labor filings, **11:49**
 - patents and patent applications, **11:42**
 - protective measures, **11:37 et seq.**
 - public bidding and hearing processes, **11:45**
 - registration, **11:36**
 - scope of law, protection of products, **11:53**
 - SEC filings, disclosure during, **11:48**
 - special circumstances, **11:41 et seq.**
 - specific protective measures, **11:38**
 - statute of limitations, **11:51**
 - third parties, use of nondisclosure agreements, **11:39**
 - U.S. government, sales to, **11:47**
- Protective measures, **11:37 et seq.**
- Public bidding and hearing processes, **11:45**
- Public use or display, observation of item in, **11:22**
- Published literature, obtaining information from, **11:23**
- Reasonable efforts to maintain secrecy, **11:24**

TRADE SECRET AUDITS

—Cont'd

- Registration, **11:36**
- Reverse engineering, discovery under, **11:21**
- Risk of loss of trade secrets, Internet considerations, **11:57**
- SEC filings, disclosure during, **11:48**
- Special considerations, **11:11, 11:13**
- Statute of limitations, **11:51**
- Third parties, **11:39, 11:52**
- Unique risks, **11:13**
- U.S. government, sales to, **11:47**
- Value, **11:17**

TRANSACTION, TRANSFER AND POST-TRANSACTION MATTERS

- Generally, **13:1 et seq., Form 31**
- Allocation of risk. **Schedule of Assets and Liabilities** (this index)
- Asset management. **Management** (this index)
- Assignments** (this index)
- Audit, post-transaction, **13:54**
- Cooperation and Escrow Agreements** (this index)
- Development** (this index)
- Escrow agreements. **Cooperation and Escrow Agreements** (this index)
- Management** (this index)
- Post-transaction matters, **13:54 et seq.**
- Schedule of Assets and Liabilities** (this index)
- Short-form assignments. **Assignments** (this index)
- Structure of Transaction** (this index)

TRESPASS TO CHATTELS

- Database audits, **9:19**

INTELLECTUAL PROPERTY DUE DILIGENCE

UNFAIR COMPETITION

Valuation and insurance coverage, exclusions, **12A:23**

“UP THE LADDER” REPORTING

Sarbanes-Oxley Act, **1:20**

U.S. COPYRIGHT OFFICE REGISTRATION DATABASE

Copyright audits, **8:91**

U.S. GOVERNMENT

Copyright audits, **8:60**

Officers and employees of, works created by, **10:15**

Patent audits, **5:73**

Trade secret audits, **11:47**

.US

Internet domain name audits, authority over domain system, **7:8**

USEFUL ARTICLES

Copyright audits, **8:9**

UTILITY

Patent audits, **5:28**

VALIDITY

Court acceptance of defendant reliance on validity/infringement opinions as defense to willful infringement, checklist, **Form 30**

VALUATION

Generally, **12:1 et seq.**

Assignment of valuation, **12:7**

Attributes of effective IP valuation report, **12:14**

Commercial intangible assets generally, **12:2**
examples, **12:3**

Conduct of valuation, reasons for, **12:6**

VALUATION—Cont’d

Cost approach valuation methods, **12:10**

Data gathering and due diligence, **12:8**

Elements of valuation assignment, **12:7**

Generally acceptable valuation approaches, **12:9**

Identification of individual to perform valuation, **12:15**

Income approach valuation methods, **12:12**

Insurance (this index)

Intangible assets

commercial intangible assets, above

differences between IP and other intangible assets, **12:5**

IP as subset, **12:4**

Intellectual property assets, generally, **12:1**

Market approach valuation methods, **12:11**

Methodologies

cost approach valuation methods, **12:10**

generally acceptable valuation approaches, **12:9**

income approach valuation methods, **12:12**

market approach valuation methods, **12:11**

Preparation for due diligence by buyer, determination of value of work performed, **2:29**

Reasons to conduct IP valuation, **12:6**

Report attributes, **12:14**

Source of valuation, identification, **12:15**

Synthesis and conclusion, **12:13**

Trade secret audits, **11:17**

INDEX

VENDING ESTABLISHMENTS

Copyright audits, **8:33**

VENUE

Enforcement, patents, **5:70**

VIRTUAL DATA ROOM

Generally, **1:44**

VLOGS

Internet, copyright audits, **8:82**

VOICE OVER INTERNET PROTOCOL (VOIP) TELEPHONY

Copyright audits, **8:88**

WAIVER

Attorney-client privilege, **1:6**

WARRANTIES

Generally, **Form 22**

Copyright audits, **8:68**

Insurance. See **Regulations and
Warranties Insurance** (this
index)

Patent audits, **5:90**

Preparation for due diligence, **1:36
to 1:38**

WARRANTIES—Cont'd

Schedule of assets and liabilities,
13:47, 13:49, 13:50

Trademark, service mark and trade
name audits, **6:83**

WATCHING SERVICES

Trademark, service mark and trade
name audits, **6:100**

WEBCASTING

Internet, copyright audits, **8:89**

“WHISTLEBLOWER” PROTECTION

Defend Trade Secrets Act, **11:8**

Sarbanes-Oxley Act, attorney
disclosures, **1:27**

WORDS

Copyright audits, **8:10**

WORK PRODUCT DOCTRINE

Generally, **1:7**

YOUTUBE

Copyright audits, right to perform
or display works publicly by
means of digital audio trans-
mission, **8:17**

