Volume 1

PART I. BASIC PRINCIPLES OF INTELLECTUAL PROPERTY PROTECTION

CHAPTER 1. PATENTS

§ 1:1	Letters patent; letters close
§ 1:2	A patent as a grant; as a contract
§ 1:3	Patents as monopolies and as (intellectual)
	property—An inventor's "common-law" rights
§ 1:4	—Rights conferred by letters patent
§ 1:5	—Monopolies in historical context
§ 1:6	—Is a patent a monopoly?
§ 1:7	—Consequences of patents as monopolies
§ 1:8	— —A patent encompasses an indefinitely large
0.1.5	number of physical objects
§ 1:9	——A patent is not limited to a particular situs
§ 1:10	——A patent is a negative right
§ 1:11	———Exclusive right/right to exclude others
§ 1:12	———The hierarchy of patents
0.1.10	(dominance/subservience)
§ 1:13	Inventions and discoveries
§ 1:14	Patent rights, physical embodiments, and implied license to use
§ 1:15	The duration of patents
§ 1:16	—Extension of term due to regulatory review—
	Under the Patent Term Restoration Act of 1984
§ 1:17	— — Under the Patent Term Restoration Act of 1988
§ 1:18	—Extension of term due to prosecution delay
§ 1:19	——Factors affecting term extension—The
	guarantee of prompt office responses
§ 1:20	— —The guarantee of no more than three-year
	application pendency
§ 1:21	——The guarantee of adjustments for delays due to
	interferences, secrecy orders, and appeals
§ 1:21.30	 —Adjustments in view of applicant delay
§ 1:22	— —The guarantee of adjustments for delays due to
	interferences, secrecy orders, and appeals—Actual
	period of term adjustment

§	1:23	———Disputes as to the proper period of
2	1.04	adjustment
-	1:24	Patentability of ideas
_	1:25 1:26	Relation between invention and patents Summary of the revisions to the Patent Act by the
8	1,26	Leahy-Smith America Invents Act (hereinafter "AIA")
§	1:27	—Provisions of the AIA related to patent application and patent prosecution
§	1:28	—Provisions of the AIA related to new post grant procedures for issued patents
§	1:29	—Provisions of the AIA related to litigation and licensing of patents
§	1:30	Summary table of the AIA statutory and regulatory implementation
C	CHAP	TER 2. TRADE SECRETS
§	2:1	Introduction
§	2:1.50	Federal legislation—Defend Trade Secrets Act
§	2:2	Trade secret distinct from the physical object
-	2:3	Subject matter of trade secrets
§	2:4	Factors to be considered in recognizing a trade secret
§	2:5	Novelty or secrecy requirement
§	2:6	Unobviousness standard does not apply
§	2:7	Concreteness of the information
§	2:8	Unfair (improper) means
§	2:9	—Breach of contract
§	2:10	—Promissory estoppel
§	2:11	—Unjust enrichment
_	2:12	—Tort
-	2:13	—Lanham Act violation
-	2:14	—Crime
_	2:15	— —Under state law
_	2:16	— —Under federal law
-	2:16.50	Remedies
	2:17	Patenting versus maintenance as a trade secret
	2:18	Coexistence of patented and trade secret concepts
_	2:19	Coexistence of copyright and trade secret concepts
§	2:20	Significant U.S. Supreme Court Cases
		FER 3. TRADEMARKS
_		Definition of a trademark and service mark
-		How trademarks and service marks arise
_	3:3	—Use and registration
§	3:3.10	Trademark Prosecution and the Process of

	Trademark Examination for Trademark
	Registration
§ 3:3.12	Trademark Prosecution—Applicant's filing of the trademark application for registration
§ 3:3.14	Trademark Prosecution
§ 3:3.16	—Trademark Examining Attorney Final Office Action; and Applicant's Request for Reconsideration after Final and Appeal to Trademark Trial and Appeal Board
§ 3:3.18	—Trademark Examining Attorney Notice of Allowance, Registration, and Applicant's Required Statements of Use (SOU) or Extensions to File Statement of Use
§ 3:4	How trademarks and service marks arise—Marks capable of exclusive appropriation
§ 3:5	How trademarks and service marks may be lost
§ 3:6	What constitutes trademark or service mark infringement
§ 3:7	Remedies for trademark and service mark infringement
§ 3:8	Benefits of federal registration—The Lanham Act
§ 3:8.30	The Trademark Modernization Act (TMA)
§ 3:8.50	Federal preemption of state law trademark protection
§ 3:9	Marks and the Internet
§ 3:10	International protection of U.S. marks
§ 3:11	Summary table—Supreme court trademark cases and decisions

CHAPTER 4. COPYRIGHTS

- § 4:1 Rights embraced in a copyright
- § 4:2 What a copyright does and does not protect
- § 4:3 Copyrightable subject matter
- § 4:4 How copyright protection is secured
- § 4:5 What constitutes copyright infringement
- § 4:6 Defenses to copyright infringement
- § 4:7 Conduct of copyright infringement litigation
- § 4:8 Civil remedies
- § 4:9 Criminal penalties
- § 4:10 Significant U.S. Supreme Court cases

CHAPTER 5. MULTIPLE AND ALTERNATIVE PROTECTION: SUCCESSIVE AND SIMULTANEOUS

- § 5:1 Introduction
- § 5:2 Utility patent and design patent

- § 5:3 Patent and copyright § 5:4 Patent and trademark
- § 5:5 Patent and trade secret
- § 5:6 Copyright and trademark

CHAPTER 5A. DESIGN PATENTS, PLANT PATENTS, AND OTHER PATENT PROTECTIONS

§§ 5A:1 to 5A:9 [Reserved] § 5A:10 Statutory subject matter—Designs — — What constitutes a statutory design § 5A:11 § 5A:12 — Novelty of designs § 5A:13 — —Ornamentality of a design § 5A:14 — — Nonobviousness of designs § 5A:15 — — Originality of a design ——Infringement of a design patent § 5A:16 § 5A:16.20 ——Complaint for design patent infringement and initial defenses § 5A:16.50 ——Damages for infringement of design patents § 5A:16.75 Equitable Remedies for Infringement of Design **Patents** § 5A:17 Statutory subject matter—Designs—The design drawing — Other design patent peculiarities § 5A:18 USPTO Establishes a Design Patent Practitioner § 5A:18.30 Bar for Design Patent Practitioners International design applications at the USPTO § 5A:18.50 under the Hague Agreement for International Registration of Industrial Designs § 5A:19 Statutory subject matter—Plants

PART II. THE SUBSTANTIVE REQUISITES OF A VALID PATENT

CHAPTER 6. STATUTORY SUBJECT MATTER

§ 6:1	Statutory subject matter
§ 6:1.50	Summary of analysis for statutory subject matter
§ 6:2	Statutory subject matter—Processes
§ 6:3	— —Computer programs
§ 6:4	——"Use patents" and new uses
§ 6:5	— —Product-by-process claims
§ 6:6	—Products
§ 6:7	— — Machine

TABLE OF CONTENTS

§ 6:8	— —Manufacture
§ 6:9	——Composition of matter
	6:19 [Reserved]
§ 6:20	Nonstatutory subject matter
§ 6:21	—Subject matter specifically excluded by statute
§ 6:21.20	—Subject matter—Supreme Court Cases on Patent
·	Eligible Subject Matter
§ 6:21.50	Overview of Federal Circuit 35 U.S.C. § 101
	patentable subject matter analysis
§ 6:22	Nonstatutory subject matter—Discoveries
§ 6:23	—Subject matter the novel aspects of which require
	mental activity
§ 6:24	——Printed matter
§ 6:25	——Methods of doing business
§ 6:26	——Mental processes
§ 6:27	USPTO Guidance on patentable subject matter
	related patent applications involving laws of
	nature in light of the Supreme Court's decisions in
	Association for Molecular Pathology v. Myriad and
	Mayo v. Prometheus (the USPTO Myriad-Mayo
\$ C.07 FO	Guidance)
§ 6:27.50	Federal Circuit cases applying the Supreme Court decisions of Association for Molecular Pathology v.
	Myriad and Mayo v. Prometheus
§ 6:28	USPTO Guidance on patentable subject matter
y 0.20	related to patent applications involving computer
	programs and computer technology in light of the
	Supreme Court's decision in <i>Alice v. CLS Bank</i>
§ 6:28.50	Federal Circuit cases applying the Supreme Court
	decision of Alice v. CLS Bank
§ 6:28.60	Practitioner guidance for computer and software
	related inventions after Alice v. CLS Bank and
	related Federal Circuit cases
§ 6:28.70	Summary table of Federal Circuit decisions on
	Patent Eligible Subject Matter under 35 U.S.C.
	§ 101
§ 6:29	USPTO 2014 Interim Guidance on Patent Subject
0.000	Matter Eligibility
§ 6:30	USPTO Guidance—July 2015 update on subject
0.001	matter eligibility
§ 6:31	—May 2016 update on subject matter eligibility
§ 6:32	—July 2017—Quick reference sheet for identifying
\$ <i>c</i> .22	abstract ideas
§ 6:33	USPTO—2019 Revised Patent Subject Matter
§ 6:33.50	Eligibility Guidance Specific Examples for Guidance on Patent
გ ი.აა.აი	—Specific Examples for Guidance on Patent Eligible Subject Matter
§ 6:34	—Practical Effects of the USPTO 2019 Revised
2 0.04	Patent Subject Matter Eligibility Guidance

§ 6:35 Summary of USPTO Efforts to Provide Some Certainty to Patent Eligibility Subject Matter Jurisprudence

CHAPTER 7. NOVELTY

Introduction

0	
§ 7:1.40	The America Invents Act (AIA) § 102, conditions for
	patentability, novelty and loss of right to patent
§ 7:1.70	—Practitioner guidance

- § 7:1.72 —In view of public disclosure, in pubic use, or "on sale"
- § 7:2 Prior art

8 7.1

- § 7:3 Patents and printed publications as prior art
- § 7:4 Physical novelty required for anticipation
- § 7:5 Doctrine of inherency
- § 7:6 Novelty and anticipation
- § 7:7 35 U.S.C.A. § 102(a)
- § 7:8 35 U.S.C.A. § 102(b)
- § 7:9 —"In public use"
- § 7:10 ——Experimental use
- § 7:11 ——Secret use
- § 7:12 —"On sale"
- § 7:13 —Comparison of 35 U.S.C.A. § 102(a) and 35 U.S.C.A. § 102(b)
- § 7:14 Abandonment (35 U.S.C.A. § 102(c))
- § 7:15 Earlier, foreign-filed patent application (35 U.S.C.A. § 102(d))
- § 7:16 Prior art as of filing date (35 U.S.C.A. § 102(e))
- § 7:17 Applicant did not invent invention (35 U.S.C.A. § 102(f))
- § 7:18 Earlier making of invention by another (35 U.S.C.A. § 102(g))
- § 7:19 Late claiming and intervening rights—Statutory time limitations on claiming
- § 7:20 —Nonstatutory doctrine of late claiming
- § 7:21 —Intervening rights

CHAPTER 8. UTILITY

- § 8:1 Introduction
- § 8:2 Utility as a requisite of patentability
- § 8:3 "Useful" versus "how to use"
- § 8:4 Operability
- § 8:5 Immoral or illegal
- § 8:6 Standard for mechanical-type inventions
- § 8:7 Standard for chemical-type inventions

CHAPTER 9. NONOBVIOUSNESS

§ 9:1 Introduction

§ 9:2	The negative rules of invention
§ 9:3	The nonobviousness standard of 35 U.S.C.A. § 103
\$ 9:4	—The mental state of the inventor is irrelevant to
, 0.1	patentability
§ 9:5	—Proper application of 35 U.S.C.A. § 103
\$ 9:6	——Making antecedent factual determinations under
5 0.0	35 U.S.C.A. § 103
§ 9:7	——What constitutes "prior art" under 35 U.S.C.A.
5 0.1	§ 103
§ 9:8	— — — What constitutes a "difference" under 35
5 0.0	U.S.C.A. § 103
§ 9:9	——————————————————————————————————————
§ 9:10	— The perspective from which to draw a legal
5 0.10	conclusion under 35 U.S.C.A. § 103
§ 9:11	——"The subject matter as a whole"
§ 9:12	——"At the time the invention was made"
5 0.12	(hindsight, afterthoughts)
§ 9:13	—Comparison of the application of 35 U.S.C.A. § 103
5 0.10	in patent application prosecution and in patent
	enforcement proceedings
§ 9:14	——Patent application prosecution before the Patent
, 0.11	& Trademark Office—Prima facie obviousness and its
	rebuttal
§ 9:15	———Manner of adducing evidence in ex parte
5	prosecution
§ 9:16	——Patent enforcement proceedings—Presumption of
	patent validity
§ 9:17	— — — Manner of adducing evidence in inter partes
	enforcement proceedings
§ 9:18	—Appellate review of determinations under 35
	U.S.C.A. § 103
§ 9:19	—Case law prior to 35 U.S.C § 103 as viable precedent
§ 9:20	—Is there a constitutional standard of invention?
§ 9:21	Analogous and nonanalogous art
§ 9:22	Impact of certain factors on the application of 35
	U.S.C.A. § 103
§ 9:23	—Obviousness and equivalence
§ 9:24	—Combinations of old elements
§ 9:25	—Simplicity and sophistication
\$ 9:26	—Predictability ("obvious to try")
\$ 9:27	—Superiority, criticality, and optimization
\$ 9:28	—"Teaching away from"
\$ 9:29	—Identifying the source of a problem and its solution
\$ 9:30	—Infringer's promotion
\$ 9:31	—Chemical inventions
§ 9:32	——Structurally obvious compounds
§ 9:33	——Purity
_	——Chemical processes
くひいけ	—

§ 9:35	— — Change in physical form and physical mixtures
§ 9:36	Secondary considerations
§ 9:37	—Unexpected results
§ 9:38	—Synergism
§ 9:39	—The subtests of invention
§ 9:40	——Commercial success
§ 9:41	— —Long-felt demand
§ 9:42	——Commercial acquiescence
§ 9:43	——Skepticism of experts
§ 9:44	——Praise for the invention
§ 9:45	——Copying

PART III. INVENTORSHIP AND OWNERSHIP

CHAPTER 10. PRIORITY OF INVENTION

§ 10:1	Introduction
§ 10:1.40	America Invents Act (AIA) derivation procedures that will replace interference procedures—revisions to § 102(g) and 35 U.S.C.A. § 135
§ 10:1.70	—Practitioner Guidance
§ 10:2	Determination of priority
§ 10:3	—Conception
§ 10:4	—Reduction to practice
§ 10:5	—Diligence
§ 10:6	—Abandonment, suppression, and concealment
§ 10:7	—Derivation
§ 10:8	Interference practice—Inter partes proceedings
§ 10:9	—Corroborative evidence
§ 10:10	—Outline of patent interference proceedings
§ 10:11	Oral Arguments under the "new rules"
§ 10:12	Priority phase including briefs and related pleadings under the "new rules"
§ 10:13	Determinations by the Patent Trial and Appeal Board
§ 10:14	—Practitioner Guidance

CHAPTER 11. INVENTORSHIP

§ 11:1	Introduction
§ 11:2	The inventorship entity—In general
§ 11:2.30	—Artificial Intelligence (AI) Inventions
§ 11:3	—Joint invention—Conception as the "touchstone" of inventorship
§ 11:4	——Need for collaboration
§ 11:5	— —Patent Law Amendments Act of 1984

§ 11:6	——Presumption of correctness of inventorship as
	filed
§ 11:7	—Inventorship versus priority of invention
§ 11:8	—Inventorship entity and "prior art"
§ 11:9	Nonjoinder and misjoinder—In general
§ 11:10	—Correcting inventorship in patent applications
§ 11:11	—Correcting inventorship in issued patents
§ 11:12	——Presumption of correctness
§ 11:13	— — Diligence required?
§ 11:14	— —What constitutes "error without deceptive intention"?
§ 11:15	Substitution
§ 11:16	When patent application may be made by persons other than the inventor

CHAPTER 12. OWNERSHIP

§ 12:1	Introduction
§ 12:2	Transfer and sharing of patent rights
§ 12:3	—Assignment
§ 12:4	—License
§ 12:5	—Assignment versus exclusive license
§ 12:6	Proprietary rights among joint owners
§ 12:7	Viability of restrictions on the physical object
§ 12:8	Antitrust/misuse implications
§ 12:9	Rights of employer and employee inter se—In general
§ 12:10	—Shop right
§ 12:11	—General employment versus "hired to invent"
§ 12:12	—Contractual allocation of patent rights
§ 12:13	—Corporate officers
§ 12:14	—Enforcement
§ 12:15	Employee's obligations in regard to his employer's trade secrets
§ 12:16	Rights of a trustee in bankruptcy
§ 12:17	Government ownership and use
§ 12:18	Practitioner guidance in government contracting— Delivery of technical data and computer software to the government
§ 12:19	Government (FDA) approval of a generic drug or pharmaceutical that has been previously patented
§ 12:20	Government (FDA) approval of a biologic and biosimilar medical products
§ 12:21	Litigation approaches in enforcing biosimilar patents

Volume 2

PART IV. OBTAINING PATENT PROTECTION

CHAPTER 13. PREPARATION OF PATENT APPLICATIONS

§ 13:1	Introduction
§ 13:1.40	Patent application filing considerations and preliminary documents
§ 13:1.50	Required submissions for filing a patent
	application and common filing mistakes
§ 13:2	The oath or declaration
§ 13:3	—To accompany an application for an original patent
§ 13:4	—To accompany an application for a reissue patent
§ 13:5	The drawing
§ 13:6	Exhibits
§ 13:7	The specification
§ 13:8	—Title and abstract
§ 13:9	—Cross-references to other applications
§ 13:10	—Background of the invention
§ 13:11	—Summary of the invention
§ 13:12	—Brief description of the drawings
§ 13:13	—Detailed description
§ 13:14	— — "Written description" of the invention
§ 13:15	— —Enabling disclosure
§ 13:16	— —Best mode
§ 13:17	—The claims
§ 13:18	Duty of disclosure
§ 13:18.50	—Prior art search guidance and example search using prior art search tools
§ 13:19	Information disclosure (prior art) statements and proposed rules modifying Information Disclosure Statement (IDS) practice before the USPTO
§ 13:20	Information disclosure (prior art) statements— Content of information disclosure statements
§ 13:21	—Filing of information disclosure statements (37 C.F.R. § 1.97)
§ 13:22	Filing the Patent Application at the Patent and Trademark Office
§ 13:23	Filing the patent application at the Patent and Trademark Office—USPTO new rule for eFiling patent applications in structured text format (DOCX)

xxviii

CHAPTER 14. PATENT CLAIMS: ANALYSIS AND SYNTHESIS

§ :	14:1	The purpose of patent claims
§ :	14:1.20	Breadth of claims, claim language and scope
§	14:1.30	Supreme Court's review of the proper standard for definiteness under 35 U.S.C. § 112 in <i>Nautilus v. Biosig Instruments</i>
§	14:1.40	Federal Courts and the USPTO Examination and Post Grant Review of claims for definiteness after the decision of <i>Nautilus v. Biosig</i>
§ :	14:1.50	Courts should resolve claim construction prior to analyzing a claim for patentability requirements (35 U.S.C. §§ 101, 102, 103, and 112)
§	14:2	The purpose of patent claims—To define the invention
§	14:2.50	Standard of Review for Appellate Court Review of Claim Construction
§	14:3	The purpose of patent claims—To point out the invention
§ :	14:4	Claims must express novel structure
§ :	14:5	Structural versus functional language
§ :	14:6	The three unities implicit in all claims
§ :	14:7	Claim syntax
§ :	14:8	—Preamble or introductory phrase
§ :	14:9	—Transitional phrase
§ :	14:10	—Body of claim
§ :	14:11	Claim grammar
§ :	14:12	—Antecedent basis must be present
§ :	14:13	—Alternative language
§ :	14:14	—Markush group
§ :	14:15	—Double inclusion of elements
§ :	14:16	—Relative terminology
§ :	14:17	Expression of nonobviousness in the claims
§ :	14:18	Independent and dependent claims
§ :	14:19	Broadening and narrowing of claims
§	14:19.50	Functional claiming under 35 U.S.C. § 112 and clarity of the record
§	14:19.60	Functional claims in view of 35 U.S.C.A. § 112(f) and USPTO guidance
§	14:20	Broadening and narrowing of claims—Genus- species relationship
8	14:21	—Combination-subcombination relationship

CHAPTER 15. PROSECUTING PATENT APPLICATIONS

§ 15:1 Introduction

§ 15:1	.20 Patent & Trademark Office—Enhanced patent quality initiative
§ 15:1	- •
§ 15:1	
3 2012	Form
§ 15:1.	
3 2012	Applicants—Ombudsmen program
§ 15:2	The patent bar and invention promotion services
§ 15:3	
§ 15:4	—Privileges and responsibilities
§ 15:4	-
§ 15:4	
§ 15:4	_
§ 15:4	The USPTO Amends the Rules of Practice to Formalize the USPTO's Diversion Pilot Program to Avoid Formal Discipline of Patent Practitioners for Minor Misconduct Issues
§ 15:5	The patent bar and invention promotion services—
	Invention promotion services
§ 15:6	Filing the patent application
§ 15:7	—Benefit of the filing date of an earlier filed application [35 U.S.C.A. §§ 119, 120]
§ 15:8	
	provisional application [35 U.S.C.A. § 111(b)]
§ 15:9	—Fees
§ 15:1	 Continuing applications, continued examination, and res judicata
§ 15:1	1 ——Continuation
§ 15:13	2 — —Continuation-in-part
§ 15:13	-
§ 15:1	
§ 15:1	· · · · · · · · · · · · · · · · · · ·
§ 15:1	
§ 15:1	
§ 15:1	5.55 Summary of provisions for expediting examination of patent applications
§ 15:1	
§ 15:1	
§ 15:1	· · · · · · · · · · · · · · · · · · ·

TABLE OF CONTENTS

§ 15:15.80	Preissuance submissions by third parties
§ 15:16	Ex parte prosecution before the Primary
	Examiner—Action taken by the examiner
§ 15:16.01	Patent Prosecution and the Process of Patent Examination
§ 15:16.02	Patent Prosecution—USPTO Preliminary Review
	of a Filed Application with Notices of
	Requirements; and Applicant's Required
8 15.10.00	Response Before Examination
§ 15:16.03	—Patent Examiner First Action, including prior art search and formal examination; and
	Applicant's Required Response to the Examiner's
	Office Action
§ 15:16.04	—Patent Examiner's Final Office Action, including
	prior art search and formal examination; and
	Applicant's Required Response to the Final
0.45.40.05	Action
§ 15:16.05	—Patent Examiner's After Final Rejection,
	Advisory Action; and Applicant's Subsequent Response including Request for Continued
	Examination (RCE)
§ 15:16.06	—Patent Examiner's Notice of Allowance; and
3 10.10.00	Applicant's Response
§ 15:17	Ex parte prosecution before the Primary
	Examiner—Response by the applicant [37 C.F.R.
	§ 1.111]
§ 15:17.50	Access to USPTO files and filing Amendments via
	the electronic Patent Center
§ 15:18	Ex parte prosecution before the Primary
	Examiner—Response by the applicant [37 C.F.R.
\$ 15.10 50	§ 1.111]—Oral interview with examiner
§ 15:18.50	—Response by the applicant [37 C.F.R. § 1.111]— Oral interview with examiner—First Action
	Interview (FAI) Program
§ 15:18.70	—Practitioner guidance on obtaining and
3 10.10.10	conducting interviews
§ 15:19	—Response by the applicant [37 C.F.R. § 1.111]—
	Written response
§ 15:20	— —Time for response
§ 15:21	— — —How reckoned
§ 15:22	— — —Manner of transmission
§ 15:22.30	Abandonment of patent application, notice of
_	abandonment, and applicant response
§ 15:23	Ex parte prosecution before the Primary
	Examiner—Response by the applicant [37 C.F.R.
\$ 15.00 50	§ 1.111]—Revival of abandoned application
§ 15:23.50	USPTO Clarification of the Requirement for Additional Information in Petitions for Revival of
	Additional information in Fertions for Revival of Abandoned Applications and Issued Patents
	Based on Unintentional Delay
	Zazoa di Cimivondiai Dolay

PATENT LAW BASICS

§ 15:24	Ex parte prosecution before the Primary Examiner—Response by the applicant [37 C.F.R. § 1.111]—Revival of abandoned application—Un-
	avoidable delay
§ 15:25	— — —Unintentional delay
§ 15:26	—Reconsideration by the examiner
§ 15:27	—Response by the applicant to a final rejection
§ 15:28	—Incomplete actions and incomplete responses
§ 15:29	—Allowance
§ 15:30	—Amendment after allowance [37 C.F.R. § 1.312]
§ 15:30.10	The Patent Grant and the USPTO Issuing Electronic Patent Grants
§ 15:31	Appellate review
§ 15:32	—By the Patent and Trademark Office Patent Trial and Appeal Board (PTAB)—Composition and jurisdiction of the Board
§ 15:33	—By USPTO Patent Trial and Appeal Board (PTAB)—Ex parte appeal process
§ 15:33.10	—By Patent Trial and Appeal Board (PTAB)— Procedure—Fast-Track Appeals Pilot Program
§ 15:33.20	Pre-Appeal brief conference (Pre-Appeal Program)
§ 15:33.50	Appellate review—By the Patent and Trademark
	Office Patent Trial and Appeal Board (PTAB)—
	Procedure—Post-Prosecution Pilot Program (P3)
§ 15:34	———Appellant's brief and examiner's answer
§ 15:34.50	Common problems and causes for rejection of applicant's brief
§ 15:35	Appellate review—By the Patent and Trademark Office Patent Trial and Appeal Board (PTAB)—
	Procedure—Oral hearing
§ 15:36	—By the Patent and Trademark Office Patent Trial and Appeal Board—Decision and disposition
§ 15:37	—Court review
§ 15:38	— —Jurisdiction
§ 15:39	——Standard of review
§ 15:40	——Procedure
§ 15:41	Petitions to the Commissioner
§ 15:42	—Delegated authority to decide petitions
§ 15:43	——To the Group Director
§ 15:44	— — To the Office of Petitions
§ 15:44.50	——Automated Filing and Decision Process for
	Certain Petitions
§ 15:45	—Ripeness for, and the timing of, filing a petition
§ 15:46	—Form of petitions
§ 15:47	—Questions not specifically provided for
§ 15:48	—Suspension of rules
§ 15:49	—Judicial review

§ 15:50	Restriction, divisional applications, and double patenting
§ 15:50.5	
§ 15:51	Rejections
§ 15:52	—Under 35 U.S.C.A. § 102 (anticipation)
§ 15:53	—Under 35 U.S.C.A. § 103 (obviousness)
§ 15:53.5	Practitioner guidance for overcoming obviousness rejections
§ 15:54	Rejections—Under 35 U.S.C.A. § 101 (unpatentability)
§ 15:55	—Under 35 U.S.C.A. § 112
§ 15:56	— First paragraph (insufficient disclosure; undue breadth)
§ 15:57	——Second paragraph (indefinate claims)
§ 15:58	— — Duplicate claims
§ 15:59	— — —Aggregation
§ 15:60	—Under 35 U.S.C.A. § 132 (reconsideration)
§ 15:61	—Under 35 U.S.C.A. § 135(b)
§ 15:62	Affidavit practice
§ 15:63	-37 C.F.R. § 1.130 affidavit or declaration to
	disqualify commonly owned patent or published
	application as prior art
§ 15:64	-37 C.F.R. § 1.131 (affidavit of prior invention)
§ 15:65	—37 C.F.R. § 1.132 (affidavit traversing grounds of rejection)
§ 15:66	Fraud on or "inequitable conduct" before the Patent and Trademark Office
§ 15:67	AIA provision for Supplement Examination to review and resolve possible inequitable conduct
	matters
§ 15:68	[Reserved]
§ 15:69	[Reserved]
§ 15:70	[Reserved]
§ 15:71	[Reserved]
§ 15:72	[Reserved]
§ 15:73	[Reserved]
§ 15:74	Correction of defective patents—Disclaimer
§ 15:75	The law followed by the Patent and Trademark Office
§ 15:76	Statutory invention registration (S.I.R.) and
	defensive publication

CHAPTER 15A. POST-GRANT REVIEW OF ISSUED PATENTS

§ 15A:1 Introduction

0.171.0	
§ 15A:2	Correction of defective patents
§ 15A:3	—Certificates of correction
§ 15A:4	——Patent and Trademark Office mistake
§ 15A:5	——Applicant's mistake
§ 15A:6	—Reissue patents
§ 15A:7	—Reexamination
§ 15A:8	—Comparison of reexamination and reissue
§ 15A:9	Inter partes review
§ 15A:9.10	Constitutionality of post grant proceedings— Inter Partes Review (IPR)
§ 15A:9.15	Constitutionality of the Appointment of USPTO Administrative Patent Judges (APJs)
§ 15A:9.16	The USPTO in compliance with Supreme Court's decision in <i>Arthrex</i> initiates interim procedure for Director Review of challenges to PTAB decisions
§ 15A:9.20	The USPTO Board (PTAB) is required to institute an inter partes review on all claims raised by the petitioner for review
§ 15A:9.23	The USPTO Board (PTAB) Has Discretion Not to Institute Inter Partes Review (IPR) under 35 U.S.C. 314—Discretionary Denial to Institute Post Grant Proceedings—Serial "Follow-on" Petitions and Parallel Petitions for IPR
§ 15A:9.30	Final rules for the Patent Trial and Appeal Board (PTAB) to change its standard for claim construction in post-grant proceedings by eliminating Broadest Reasonable Interpretation (BRI) and adopting the "ordinary and customary meaning" standard of the federal district courts
§ 15A:9.40	Inter partes review—Procedural requirements and timelines
§ 15A:9.45	Scheduling Order and Summary Table of IPR Pleading Schedule after IPR Institution
§ 15A:9.50	Inter partes review—Selected cases
§ 15A:10	Post-grant review
§ 15A:11	Covered Business Method Patent Review
§ 15A:12	Comparison table for requirements for post-grant administrative procedures
§ 15A:13	The Patent Trial and Appeal Board (PTAB) and Trial Practice before the PTAB
§ 15A:13.20	—Trial Practice Guide—August 2018 Update
§ 15A:13.30	— —July 2019 Update
§ 15A:14	Summary practitioner guidance for filing post grant proceedings, including Inter Parties Review (IPR), Post Grant Review (PGR), and Covered Business Method review (CBM)

§ 15A:14.30	USPTO institutes Pilot Program for Motions to Amend (MTA) claims for post grant proceedings at PTAB
§ 15A:15	Possible alternative procedures after a patent's claims have been cancelled in AIA post grant proceedings
§ 15A:16	Summary of selected PTAB Inter Partes Review (IPR) cases that have been reversed or remanded by the Federal Circuit
§ 15A:16.30	Remedies Held to be Unavailable in Inter Partes Review (IPR) Cases
§ 15A:17	Patent Trial and Appeal Board—Consolidated Trial Practice Guide November 2019 Edition

PART V. PATENT INFRINGEMENT

CHAPTER 16. SUBSTANTIVE ASPECTS OF PATENT INFRINGEMENT

§ 16:1	Introduction
§ 16:2	Types of patent infringement
§ 16:3	—Direct infringement
§ 16:4	—Indirect infringement
§ 16:5	— —By inducement
§ 16:6	——Contributory infringement
§ 16:7	Construction of patent claims in infringement litigation
§ 16:8	—Doctrine of equivalents
§ 16:9	—Doctrine of prosecution history estoppel (file wrapper estoppel)
§ 16:10	—Reverse (inverse) doctrine of equivalents
§ 16:11	Presumption of validity
§ 16:12	Some consequences of invalidity
§ 16:13	Asserting claims held to be invalid in prior litigation

CHAPTER 17. PROCEDURAL ASPECTS OF PATENT INFRINGEMENT

Introduction
Pre-litigation vehicles to settle the infringement case—Cease and desist letters and demand letters
Jurisdiction
Venue
Parties
Declaratory judgment actions
Pleadings—Complaint
—Answer (defenses and counterclaims)

§ 17:8	Summary judgment
§ 17:9	Preliminary injunction
§ 17:9.50	Permanent injunction
§ 17:10	Discovery
§ 17:11	Trial
§ 17:11.30	—Testimony, witnesses, and evidence
§ 17:12	Appeal
§ 17:13	Supreme Court appellate jurisdiction in patent cases
§ 17:14	Summary table—Recent supreme court patent cases and decisions

CHAPTER 18. REMEDIES FOR PATENT INFRINGEMENT

§ 18:1	Introduction
§ 18:2	Damages
§ 18:3	—Compensatory damages
§ 18:4	——Direct damages—Measured by patent owner's lost profits
§ 18:5	— — Measured by a reasonable royalty
§ 18:6	———Additional remedy in the case of a design
	patent
§ 18:7	——Indirect damages
§ 18:8	——Interest on damages award
§ 18:9	—Patent marking
§ 18:10	—Exemplary (punitive) damages
§ 18:11	Injunction
§ 18:12	Attorney fees

PART VI. PATENTS IN GLOBAL CONTEXT: OBTAINING AND MAINTAINING RIGHTS ABROAD

CHAPTER 19. ELEMENTS OF TRANSNATIONAL PATENT LAW

§ 19:1	Introduction
§ 19:2	The territorial nature of intellectual property
§ 19:3	The Paris Union and UPOV
§ 19:4	The Patent Cooperation Treaty
§ 19:4.30	World Trade Organization (W.T.O.)
§ 19:4.70	Regional Patent Authorities and Jurisdictions
§ 19:5	—International stage (35 U.S.C.A. §§ 362–368)—PCT Chapter I
§ 19:5.30	Amending a PCT Application filed under PCT

xxxvi

	Chapter 1—World Intellectual Property Organization (W.I.P.O.)—Patent Cooperation Treaty—International stage (35 U.S.C.A. §§ 362–368)—PCT Chapter I
§ 19:6	Regional Patent Authorities and Jurisdictions— International stage (35 U.S.C.A. §§ 362–368)—
	PCT Chapter II
§ 19:7	—U.S. national stage (35 U.S.C.A. §§ 371–376)
§ 19:8	Export licenses
§ 19:9	Problems arising from importation and exportation of patented goods

CHAPTER 20. COMPARATIVE PATENT LAW: BRIEF SURVEY OF PRINCIPAL FOREIGN PATENT SYSTEMS

§ 20:1	Progress in harmonizing U.S. and foreign patent laws
§ 20:2	—Patent term and the event from which it is measured
§ 20:2.30	Highlights of some foreign laws—Japan
§ 20:2.50	—Germany
§ 20:2.70	—United Kingdom
§ 20:2.80	—Canada
§ 20:2.85	Highlights of some foreign patent laws—China
§ 20:3	Highlights of some foreign laws—Publication of pending applications
§ 20:4	—Accrual of infringement damages and the right to institute enforcement proceedings
§ 20:5	—Inter partes opposition proceeding
§ 20:6	Significant points yet to be harmonized
§ 20:7	—Novelty-defeating activities
§ 20:8	— Relative versus absolute novelty
§ 20:9	——Public use or "on sale" grace period
§ 20:10	—Priority of invention: First-to-invent versus first-to-file
§ 20:11	Patent Prosecution Highway (PPH) between the USPTO and the Patent Offices of Japan, the United Kingdom, Canada, Korea, Australia, Denmark, Singapore, Germany, Finland, the European Patent Office, and Hungary
§ 20:11.30	Suspended Participation of the USPTO in PPH proceedings with the Russian Intellectual Property Office (Rospatent), the Eurasian Patent Organization, and the Intellectual Property Office of Belarus—in view of Russia's invasion into Ukraine
§ 20:12	International patent law resources and Internet Website addresses

§ 20:13	Global initiatives for accelerated patent examination based on inventions for green technologies
§ 20:14	USPTO—Global/IP 5 PPH Pilot Program—Global Patent Prosecution Highway (GPPH) and IP5 Agreements based on the Bilateral Agreement for Patent Prosecution Highway (PPH) to expedite the examination of patent applications when an applicant seeks patent protection for the same invention in more than one participating country
§ 20:15	The Global Patent Dossier

FORMAT OF UNITED STATES PATENTS AND REEXAMINATION CERTIFICATES

- APPENDIX 1. Grant and Specification of an Original U.S. Utility Patent (Title Copy) (No. 5,543,706)
- APPENDIX 2. Grant and Specification of an Original U.S. Design Patent (Des. 378,181)
- APPENDIX 3. Specification of an Original U.S. Plant Patent (Pl. 2,160)
- APPENDIX 4. Specification of a Reissue of a U.S. Utility Patent (Re. 25,558)
- APPENDIX 5. Reexamination Certificate (B1 3,367,320)
- APPENDIX 6. Form requesting First Office Action Interview
 Program in order to Expedite Patent
 Examination and Prosecution
- APPENDIX 7. Sample Format for Revised Amendment Practice before the U.S. Patent & Trademark Office
- APPENDIX 8. Model Scheduling Order and Model Standing Protective Order for Trials and Post Grant Proceedings before the USPTO Patent Trial and Appeal Board (PTAB)

Table of Cases

Index