

Index

A

ACCEPTING OR REJECTING CASE

- Generally, **R 1.16; § 1.16-1**
- Appointment, rejecting, **R 6.2; §§ 6.2-1, 6.2-2**
- Contract, retainer, **§ 1.2-1(a)**
- Pro bono work, **R 6.2; §§ 6.2-1, 6.2-2**
- Prospective Clients, Duties to, **R 1.18; § 1.18-1**
- Reasons for rejection, **§ 1.2-1(b)**
- Wrong reasons, rejection, **§ 1.2-1(b)**

ACCOUNTANTS

- Multidisciplinary Practice of Law, **§ 5.5-3(c)**
- Unauthorized practice of law, **§ 5.5-3(c)**

ACCUSATIONS AGAINST LAWYER

- Generally, **R 8.2(a)**

ACTUAL OR APPARENT REPRESENTATION

- Organization as client, **§ 1.13-3**

ADMINISTRATIVE LAW ROLE

- Generally, **R 3.9; §§ 3.9-1, 3.9-2, 3.9-3**
- Adjudicatory proceedings distinguished, **§ 3.9-2**
- Agency disclosure, **§ 3.9-1**
- Authority disclosure, **§ 3.9-1**

ADMIRALTY PRACTICE

- Advertising, **R 7.4(c); § 7.4-2**

ADMISSION TO BAR

- See also, Unauthorized Practice of Law
- Generally, **R 8.1; §§ 8.1-1 to 8.4-4**
- Constitutional issues, **§ 8.1-3(a)**
- Disciplinary actions, **R 8.1; §§ 8.1-1 et seq.**
- Falsity, material, in application, **§ 8.1-2**
- Furthering application, **§ 8.1-4**

ADVANCES TO CLIENT

- See also, Champerty and Maintenance, **R 1.8(e); § 1.8-6**
- Conflicts of interest, **R 1.8(e); § 1.8-6**
- Fees, **§§ 1.5-6(b) to 1.5-6(c)(2)**

ADVERSE INTERESTS

- Conflicts of Interest, this index
- Fairness to Opposing Party and Counsel, this index

ADVERSE WITNESSES

Client, examining own, § 1.7-6(c)

ADVERTISING

Generally, **R 7.1, 7.2, 7.2-1 et seq., 7.3, 7.3-1 et seq., 7.4, 7.4-1 et seq., 7.5-1 et seq., 7.5; §§ 7.1-1 et seq.**

Affiliated and associated law firms, § 7.1-3(d)

Certification in field of practice, **R 7.4(d); § 7.4-3**

Comparing lawyers' services, § 7.1-3(b)

Expectations, unjustified, §§ 7.1-3(a), 7.1-3(a)(1)

False or misleading

Generally, **R 7.1; §§ 7.1-1 et seq.**

Comparing lawyers' services, § 7.1-3(b)

History, §§ 7.0-3 to 7.0-5

Judicial title used by former judge, § 7.1-3(a)(1)

Jury awards, past, § 7.1-3(a)

Material statement or omission, § 7.1-2

Of counsel relationship, § 7.1-3(c)

Potentially Misleading Statements, § 7.1-3

Prohibitory vs disclosure requirements, § 7.1-1(b)

Websites, § 7.1-3(e)

Fees, referral, § 7.2-3(a)

Historical background, §§ 7.0-1 to 7.0-5

Judicial title, former judge, § 7.1-3(a)(1)

Jury awards, past, § 7.1-3(a)

Law firms, affiliated and associated, § 7.1-3(d)

Law firm websites, § 7.1-3(e)

Legal services organizations, referrals, §§ 7.2-3(c), 7.2-3(d)

Of counsel relationship, § 7.1-3(c)

Profession, limits, § 1-6(e)

Recordkeeping, **R 7.2(c); § 7.2-4(b)**

Referrals, **R 7.2(b); §§ 7.2-3 to 7.2-3(f)**

Soliciting business, history, §§ 7.0-4 to 7.0-4(d)

Specialty practice, **R 7.4; § 7.4-1**

Unjustified expectations, §§ 7.1-3(a), 7.1-3(a)(1)

Websites, § 7.1-3(e)

ADVICE OF COUNSEL

Generally, **R 2.1; §§ 2.1-1, 2.1-2**

Breach of contract, § 1.2-4(d)

Constitutional issues, § 1.2-4(f)

Defamation in course of litigation, § 1.2-4(b)

Fraud, § 1.2-4(f)

Malicious prosecution in course of litigation, § 1.2-4(c)

ADVOCATE-WITNESS RULE

Evidence and Witnesses, this index

AGENCY PRINCIPLES

Actual or apparent representation, organizations, § 1.13-3

INDEX

AGENCY PRINCIPLES—Cont'd

Confidentiality, § 1.6-7

Withdrawal, § 1.16-2(a)

AGGREGATE SETTLEMENTS

Conflicts of interest, R 1.8(g); § 1.8-8

AIDING UNAUTHORIZED PRACTICE

Unauthorized practice of law, § 5.5-6

ALTER EGO DOCTRINE

Communication with represented person, § 4.2-6(a)

Corporation as client, § 1.13-7(c)

AMERICAN LAW INSTITUTE

History, § 1-3(b)

ANCILLARY BUSINESSES

Law-related services, R 1.8(a); §§ 1.8-2; 5.7, 5.7-1

APPEALS

Conflicts of interest

Imputed disqualification, §§ 1.10-7(d)(2), 1.10-7(d)(4)

Positional conflicts, § 1.7-6(o)

APPEARANCE OF IMPROPRIETY

Defined, §§ 1-2(b), 1.10-7(d)(1)

Judges, this index

APPOINTED COUNSEL

Pro Bono or Appointed Counsel, this index

APPORTIONING FEES

Conflicts of interest, § 1.11-3(e)(4)

ARBITRATION

Conflicts of interest

Disqualifying arbitrator, § 1.12-1(b)

Malpractice, § 1.8-9(a)(4)

Fees, § 1.5-2(c); Appendix F

Judges' extra-judicial activities, § 10.3-3.9

ARGUMENTS

Candor and truthfulness, R 3.3 Comment 3

Fairness to opposing party and counsel, § 3.4-6

Frivolous Claims or Arguments, this index

ATTORNEY-CLIENT PRIVILEGE

Confidentiality, this index

AUDITS

Confidentiality, R 2.3 Comment 6

Fees, hourly, § 1.5-5(a)

Trust fund accounts, § 1.15-3; Appendix C

B

BARRATRY, CHAMPERTY, AND MAINTENANCE

Generally, § 1.5-6(c)(1)

BIAS OR PREJUDICE

Disqualification of judge, generally, CJC 2.3; §§ 10.2-2.3

Misconduct impeding judicial administration, §§ 8.4-2(e), 8.4-2(e)(1)

BLOOD RELATIVES

Conflicts of interest, R 1.7 Comment 11; § 1.7-6(g)

BROADCAST MEDIA

Barring from courtroom, § 10.2-2.10(j)

BUNDLING LEGAL SERVICES

See Unbundling Legal Services

BURDEN OF PROOF

Conflicts of interest

Former clients, § 1.9-5

Imputed disqualification, § 1.10-6(c)

BUSINESS CARDS

Law firm name, § 7.5-2

BUSINESS DEALINGS

Conflicts of interest, § 1.8-2(a)

Investing in the client, § 1.8-2(a)(1)

Judges' extra-judicial activities, § 10.3-3.11

C

CAMPAIGN CONDUCT

Contributions to obtain government appointments, §§ 7.6-1 et seq.

Judges, generally, R 8.2(b); Canon 5; § 10.5-3

Personally soliciting or accepting campaign funds, § 10.4-4.4(h)

CANDIDATES FOR OFFICE

Judge candidate behavior, R 8.2(b)

CANDOR AND TRUTHFULNESS

See also, Fairness to Opposing Party and Counsel; Undercover Operations, this index

Adverse legal authority disclosure, § 3.3-2

Affirmative misrepresentation, § 3.3-3(a)

Argument, misleading, R 3.3 Comment 4

Case authority, adverse, disclosing, § 3.3-2

Confidentiality, § 3.3-8

Constitutional issues, R 3.3 Comment 12; § 3.5-5(b)

Courts, generally, R 3.3; §§ 4.1-1 et seq.

Criminal cases

Disclosure remedy, § 3.3-5

INDEX

CANDOR AND TRUTHFULNESS—Cont'd

Criminal cases—Cont'd

Perjury, **R 3.3 Comments 10-12**

Discovery before trial, § **3.3-6**

Duty of candor, generally, **R 3.3; § 3.3-1**

Ex parte proceedings, **R 3.3 Comment 14; § 3.3-9**

Facts, material, disclosing, **R 3.3, 4.1; §§ 3.3-3; 4.1-1 et seq.**

Fairness to opposing party and counsel, § **3.4-1**

False evidence, **R 3.3 Comments 5-8, 9; § 3.3-3(c)**

False or misleading ads. Advertising, this index

Formal discovery proceedings, § **3.3-4**

Fraud, generally, **R 3.3, 4.1; §§ 3.3-1 et seq.; 4.1-1 et seq.**

Historical background, §§ **3.3-5(a) to 3.3-5(e)**

Material facts, **R 3.3, 4.1; §§ 3.3-3; 4.1-1 et seq.**

Misrepresentation, generally, **R 3.3, 3.3-3(b); 4.1-3, 4.1; §§ 3.3-3(a)**

Omission as misrepresentation, **R 3.3; § 3.3-3(b)**

Perjury

Constitutional issues, § **3.3-5(b)**

Criminal defendant, **R 3.3 Comment 7**

Remedies, § **3.3-5(e)**

Pretrial discovery, § **3.3-6**

Remedies, generally, **R 3.3 Comment 10; § 3.3-3(c)**

Third parties, generally, **R 4.1; §§ 4.1-1 to 4.1-3**

Withdrawal, § **3.3-8**

CASE LOAD

Diligence, § **1.3-2(d)**

Rejecting cases, § **1.2-1(b)**

CELL PHONES

Confidentiality, § **1.6-2(c)**

CERTIFICATION OF SPECIALTIES

Advertising, **R 7.4(c); § 7.4-3**

CHAMPERTY, MAINTENANCE, AND BARRATRY

Generally, § **1.5-6(c)(1)**

CHARACTER WITNESS

Judges, appearance of impropriety, § **10.3-3.3(d)**

CHARITABLE ORGANIZATIONS

Judges' extra-judicial activity, § **10.3-3.7**

CHECKS AND DRAFTS

Trust fund overdrafts, § **1.15-4; Appendix A**

CHILDREN

Disability of minority, **R 1.14; 1.14-1**

CHOICE OF LAW

Disciplinary jurisdiction, **R 8.5; § 8.5-1(b)**

CIVIC ORGANIZATION EXCEPTION

Disqualification of judge, §§ 10.2-2.11(f)(5), 10.3-3.7(b)

CLERKS, JUDICIAL

Conflicts of interest

Judges, former, disqualification, § 1.12-1(a)

Law Clerks, this index

CLOSING ARGUMENTS

Fairness to opposing party and counsel, § 3.4-6

COACHING WITNESSES

Fairness to opposing party and counsel

Generally, § 3.3-3(e)

Volunteering information, § 3.4-7

COLLECTIVE BARGAINING UNIT MEMBERSHIP

Conflicts of interest, § 1.7-6(j)

COMMUNICATIONS

Generally, R 1.4; §§ 1.4-1, 1.4-2

Advertising, this index

Alter egos, § 4.2-6(a)

Consent of party's lawyer, § 4.2-2

Criminal cases, §§ 4.2-3, 4.2-3(a)

Direct communication between clients, § 4.2-5

Employees, former, §§ 4.2-6(c), 4.2-6(d)

Ex parte communication, R 3.5(b); § 3.5-3

Expert witnesses, § 4.2-6(b)

Extraneous matters, § 4.2-4

Informing client, § 1.4-1

Parties to actions. Represented parties, below

Privileged communications. Confidentiality, this index

Publicity, R 3.6; §§ 3.6-1 to 3.6-3

Reasonable communication, § 1.4-1(d)

Recording, surreptitious, § 4.4-2(b)

Represented parties

Generally, R 4.2; §§ 4.2-1 et seq.

Alter egos, § 4.2-6(a)

Clients' direct communication, § 4.2-5

Consent of party's lawyer, §§ 4.2-2(a) to 4.2-2(c)

Criminal cases, §§ 4.2-3, 4.2-3(a)

Employees, former, §§ 4.2-6(c), 4.2-6(d)

Expert witnesses, § 4.2-6(b)

Extraneous matters, § 4.2-4

Privileged information, employees, § 4.2-6(d)

Sanctions, § 4.2-2(c)

Trade secrets, employees with, § 4.2-6(d)

Witnesses, expert, § 4.2-6(b)

Sanctions, represented parties, § 4.2-2(c)

INDEX

COMMUNICATIONS—Cont'd

- Specialty practice, **R 7.4; § 7.4-3**
- Trade secrets, employees with, **§ 4.2-6(d)**
- Truth in statements to others, **R 4.1; §§ 4.1-1 to 4.1-3**
- Witnesses, expert, **§ 4.2-6(b)**

COMPENSATION

- Fees, this index

COMPETENCE

- Generally, **R 1.1; §§ 1.1-1, 1.1-2**
- Continuing education, **R 1.1 Comment 5; § 1.1-1(b)**
- Emergencies, **§ 1.1-1(c)**
- Experience distinguished, **§ 1.1-1(a)**
- Knowledge and skill, **R 1.1 Comments 1-4**
- Malpractice, **§§ 1.1-2(a), 1.1-2(b)**
- Peer review, **§ 1-6(c)**
- Preparedness, **R 1.1 Comment 4**

COMPETING BUSINESSES

- Conflicts of interest, **§ 1.7-3(c)**

COMPUTER PROGRAMS

- Practice of law, **§ 5.5-3(d)**

CONFIDENTIALITY

- Generally, **R 1.6; §§ 1.6-1 to 1.6-12(h)**
- Adverse disclosures, **R 1.6 Comments 6-9; §§ 1.6-10(a), 1.6-10(b)**
- Agency principles, **§ 1.6-7**
- Attorney-client privilege. See entries throughout this topic
- Authorized disclosure, generally, **R 1.6 Comment 5**
- Confidence, defined, **§ 1.6-1(a)**
- Conflicts of interest
 - Former clients, **R 1.9 Comments 6-9; §§ 1.8-3; 1.9-1(b)(5), 1.9-6**
 - Government information, **§ 1.11-3(g)**
 - Protecting, generally, **§ 1.7-1(a)**
 - Using client information, **R 1.8(b); § 1.8-3**
- Consultation outside law firm, **§ 1.6-3**
- Co-parties, **§ 1.6-6**
- Cordless phones, **§ 1.6-2(c)**
- Court orders, **§ 8.3-2(d)**
- Crime-fraud exception, **§§ 1.6-12(e), 1.6-12(g)**
- Death
 - Former client, **§ 1.6-5(b)**
 - Imminent death exception, **§ 1.6-12(e)(2)**
- Departing lawyers, confidentiality agreements imposed on, **§ 5.6-1(e)**
- Disabled persons, **R 1.14 Comment 8**
- Disadvantaging client, **R 1.6 Comments 11-15; §§ 1.6-10(a), 1.6-10(b)**
- Discretionary disclosure, **§§ 1.6-12, 1.6-12(h)**
- E-mail, **§ 1.6-2(c)**

CONFIDENTIALITY—Cont'd

- Evidence, false, § 1.6-12(f)(2)
- Exceptions to confidentiality, generally, R 1.6; § 1.6-12
- False evidence, § 1.6-12(f)(2)
- Fax misdirected, § 1.6-2(b)
- Fee, disclosure for, § 1.6-12(c)
- Former client
 - Generally, R 1.6 Comment 18; § 1.6-5
 - Alive, § 1.6-5(a)
 - Dead, § 1.6-5(b)
- Fraud exception, § 1.6-12(g)
- Future crime intent, §§ 1.6-12(e), 1.6-12(g)
- Generally known information, §§ 1.6-1(b), 1.6-10(b)
- Government agency lawyers, § 1.13-7(f)
- Government lawyers' duties, § 1.6-8
- Hospital records, § 1.6-6
- Imminent death exception, § 1.6-12(e)(2)
- Inadvertent disclosure
 - Generally, § 1.6-2(a)
 - Cordless phones, § 1.6-2(c)
 - E-mail, § 1.6-2(c)
 - Fax, § 1.6-2(b)
 - Remedies, § 1.6-2(b)
- Joint defense consortium, § 1.6-6
- Judges, CJC R. 2.10
- Law Firms, this index
- Law-related services, § 5.7-2
- Liability, discretionary disclosure, § 1.6-12(h)
- Misconduct response, § 1.6-12(d)
- Model Rules, generally, § 1-9(c)(6)
- Money, disclosure for, § 7-11.3
- Noisy withdrawal, R 1.6 Comments 16-17; § 1.6-12(e)(6)
- Prejudicing client, R 1.6 Comments 6-15; §§ 1.6-10(a), 1.6-10(b)
- Prospective client protection, § 1.6-4
- Publicity; trial, R 3.6; §§ 3.6-1, 3.6-2, 3.6-3
- Relating to representation, § 1.6-1(b)
- Required disclosure
 - Ethics rules, § 1.6-12(a)
 - Order of court or tribunal, §§ 1.6-12(b), 1.6-12(b)(1)
 - Statutes, § 1.6-12(b)
- Restatement governing Lawyers, § 1.6-10(b)
- Return of documents inadvertently disclosed, § 1.6-2(b)
- Revealing client information, generally, R 1.6; § 1.6-12
- Sale of practice, R 1.17 Comments 7-9
- Secret, defined, § 1.6-1(a)
- Selling information, § 1.6-12(c)
- Serious injury, § 1.6-12(e)(2)

INDEX

CONFIDENTIALITY—Cont'd

- Subagency principles, § **1.6-6**
- Substantial bodily harm, § **1.6-12(e)(2)**
- Supervisory duty, firm, § **1.6-7**
- Tarasoff case, § **1.6-12(h)**
- Unauthorized practice, § **5.5-5**
- Waiver of confidentiality
 - Generally, **R 1.6; § 1.6-11**
 - Express waiver, § **1.6-11(a)**
 - Implied waiver, § **1.6-11(b)**
- Warning duty, § **1.6-12(h)**
- Withdrawal, **R 1.6 Comments 16-18; § 1.6-12(e)(6)**
- Wrongful conduct response, § **1.6-12(d)**

CONFLICT OF LAW

- Disciplinary jurisdiction, **R 8.5; § 8.5-1(b)**

CONFLICTS OF INTEREST

- Generally, **R 1.7-1.12; §§ 1.7-1 to 1.12-1**
- Accounts receivable, § **1.8-2(a)**
- Acquiring interest, **R 1.8(i); §§ 1.8-10 to 1.8-10(b)**
- Advances to client, **R 1.8(e); § 1.8-6**
- Adverse interests
 - Competing businesses, § **1.7-3(c)**
 - Consultation between attorneys, §§ **1.7-6(h) to 1.7-6(h)(5)**
 - Directly adverse, § **1.7-1(b)**
 - Former clients, **R 1.9 Comments 8-9; §§ 1.9-1(a) to 1.9-1(b)(6)**
 - Legal vs factual positions, § **1.7-6(o)(6)**
 - Negotiations, §§ **1.7-3(d), 1.7-6(f)**
 - Positional conflicts, §§ **1.7-6(o)(1) to 1.7-6(o)(6)**
 - Simultaneously representing, §§ **1.7-3(a) to 1.7-3(d)**
 - Waiver of conflict, § **1.7-3(b)**
- Adverse witness, client as, § **1.7-6(c)**
- Aggregate settlements, **R 1.8(g); § 1.8-8**
- Agreements
 - Malpractice liability limits, §§ **1.8-9(a)(2) to 1.8-9(a)(3)**
 - Third party payment, **R 1.8 Comments 11-12, R 1.8(f); § 1.8-7**
- Appeals
 - Imputed disqualification, §§ **1.10-7(d)(2) to 1.10-7(d)(4)**
 - Positional conflicts, § **1.7-6(o)(6)**
- Apportioning fees, successive employments, § **1.11-3(e)(4)**
- Arbitration
 - Disqualifying arbitrator, § **1.12-1(c)**
 - Malpractice, § **1.8-9(a)(4)**
- Blood relatives, **R 1.7 Comment 11; § 1.7-6(g)**
- Burden of proof
 - Former clients, § **1.9-5**
 - Imputed disqualification, § **1.10-6(c)**

CONFLICTS OF INTEREST—Cont'd

- Business dealings with client, § 1.8-2(a)
- Cash advances to client, **R 1.8(e)**; § 1.8-6
- Clerks, judicial
 - Judges, former, disqualification, § 1.12-1(a)
 - Successive government and private employment, § 1.11-3(h)(1)
- Collective bargaining unit membership, § 1.7-6(j)
- Compensation. Fees, below
- Competing businesses, simultaneous representation, § 1.7-3(c)
- Confidentiality
 - Former clients, **R 1.9 Comments 6-7**; §§ 1.8-3; 1.9-1(b)(5), 1.9-6
 - Government information, § 1.11-3(g)
 - Protecting, generally, § 1.7-1(a)
 - Using client information, **R 1.8(b)**; § 1.8-3
- Conflicts counsel used for discrete matters to avoid disqualification, § 1.7-3(e)
- Consent
 - Generally, §§ 1.7-4(a), 1.7-4(b)
 - Consultation, **R 1.7 Comments 18-20**
 - Full disclosure, consent, § 1.7-4(a)
 - Securing consent, §§ 1.7-4(a), 1.7-4(b)
 - Waiver of conflict, below
- Constitutional right to counsel, § 1.7-6(d)
- Consultation
 - Generally, §§ 1.7-6(h)(1) to 1.7-6(h)(5)
 - Disclosure to client, § 1.7-6(h)(5)
 - Imputation, § 1.7-6(h)(2)
 - Litigation, generally, §§ 1.7-6(h)(1) to 1.7-6(h)(5)
 - Non-litigation vs litigation, §§ 1.7-6(h)(3), 1.7-6(h)(4)
- Contingent fees
 - Generally, § 1.5-3(b)
 - Property interest in cause of action, § 1.8-10(b)
- Corporation as client
 - Directors, § 1.7-6(l)
 - Dual representation, **R 1.13 Comment 12**
 - Fiduciary duty, § 1.7-6(k)
- Corporations
 - Directors, § 1.7-6(l)
 - Fiduciary duty, § 1.7-6(k)
- Countersuits, malpractice, § 1.8-9(b)(2)
- Criminal cases, § 1.7-6(d)
- Current clients, **R 1.8**
- Defense duty of insurer, extent, § 1.7-6(n)(5)
- Directly adverse, § 1.7-1(b)
- Directors
 - Corporation and counsel, § 1.7-6(l)
 - Legal services organizations, **R 6.3**; §§ 1.7-6(m); 6.3-1
- Discrete and isolatable transaction, § 1.11-3(b)

INDEX

CONFLICTS OF INTEREST—Cont'd

Disqualification

Former client's adverse interest, §§ 1.9-1(a) to 1.9-1(b)(5)

Hot potato doctrine, § 1.7-5

Imputed disqualification, below

Judges, former, **R 1.12**; §§ 1.12-1 to 1.12-2

Motions

Generally, § 1.7-6(p)

Imputed disqualification, §§ 1.10-7(a) to 1.10-7(d)(4)

Withdrawal, hot potato doctrine, § 1.7-5

Disqualifying lawyers, §§ 1.7-1(e), 1.7-3(b), 1.7-6(p)

Effective counsel, criminal cases, § 1.7-6(d)

Entity vs member loyalty, § 1.7-6(k)

Estate planning, basic rule, § 1.7-6(a)

Expert testimony

Basic rule, § 1.7-6(b)

Former clients, § 1.9-2

Family relationships, **R 1.7 Comment 5**; § 1.7-6(g)

Fees

Generally, §§ 1.5-1 to 1.5-6

Acquiring interest in action, § 1.8-10(b)

Apportioning fees, successive employments, § 1.11-3(f)

Contingent fees

Generally, § 1.5-3(b)

Property interest in cause of action, § 1.8-10(b)

Fixed fees, § 1.5-6(d)

Forfeiture, imputed disqualification, § 1.10-7(c)

Malpractice liability limits, § 1.8-9(b)(2)

Settling fee disputes, § 1.8-9(b)(2)

Successive government and private employment, § 1.11-3(e)(4)

Third party payment, **R 1.8 Comments 11-12**, **R 1.8(f)**; § 1.8-7

Financial advances to client, **R 1.8(e)**; § 1.8-6

Firm, defined, imputed disqualification, **R 1(c)**; § 1.10-6, **R 1.10 Comment 1**

Fixed fees, § 1.5-6(d)

Formal Opinion 93-377, positional conflicts, § 1.7-6(o)(4)

Former clients

Generally, **R 1.9**; §§ 1.9-1 to 1.9-6(f)

Activities or viewpoints not in accordance with client's interests, 1.9-1(b)(6)

Adverse position, **R 1.9 Comments 8-9**

Burden of proof, § 1.9-5

Confidentiality, generally, **R 1.9 Comments 5-7**; §§ 1.8-3, 1.9-1(b)(5)

Expert witness attorney, § 1.9-2

Generally known, § 1.9-3

Implied waiver, § 1.9-6(d)

Imputed knowledge, § 1.9-4

Law firm changes, **R 1.9 Comments 4, 5**; §§ 1.9-1(b) to 1.9-1(b)(5)

R 1.9 and 1.10 compared, § 1.9-1(a)

CONFLICTS OF INTEREST—Cont'd

- Former clients—Cont'd
 - Substantial relationship test, § **1.9-1(b)(3)**
 - Waiver, §§ **1.9-6(a) to 1.9-6(f)**
- Former judges. Judges, former, below
- Full disclosure, consent, § **1.7-4(a)**
- Generally known, former clients, § **1.9-3**
- Gifts from clients, **R 1.8(c); § 1.8-4**
- Government agency employers. Successive, below
- Government lawyers
 - Imputed disqualification, §§ **1.10-1(c); 1.11-3(e)(1), 1.11-4(b)**
 - Successive employments, **R 1.11; §§ 1.11-1 to 1.11-4(b)**
- Hot potato doctrine, § **1.7-5**
- Idiosyncratic personal interests, § **1.7-6(i)**
- Implied waiver
 - Generally, § **1.7-1(d)**
 - Former clients, § **1.9-6(d)**
- Imputed disqualification
 - Generally, **R 1.10 Comments 2-8; §§ 1.10-1 to 1.10-7(d)(4)**
 - Appealing disqualification, §§ **1.10-7(d)(2), 1.10-7(d)(4)**
 - Burden of proof, § **1.10-6(c)**
 - Consultation, §§ **1.7-6(h)(2), 1.7-6(h)(4)**
 - Current association with firm, § **1.10-5(b)**
 - Disciplinary measures, § **1.10-7(a)**
 - Disqualification, generally, §§ **1.10-7(a) to 1.10-7(d)(4)**
 - Fee forfeiture, § **1.10-7(c)**
 - Firm, defined, **R 1(c); § 1.10-5, R 1.10 Comment 1**
 - Government lawyers excepted, § **1.10-1(c)**
 - Judges, former, disqualification, § **1.12-2**
 - Leaving law firm, generally, §§ **1.10-6(a), 1.10-6(b)**
 - Malpractice, § **1.10-7(b)**
 - Mandamus, § **1.10-7(d)(3)**
 - Motion to disqualify, §§ **1.10-7(d)(1) to 1.10-7(d)(3)**
 - Non-lawyers, screening, § **1.10-4**
 - Of counsel relationships, § **1.10-5(d)**
 - Other lawyer's conflict, generally, § **1.10-1(a)**
 - Restatement governing Lawyers, screening, § **1.10-3**
 - Sanctions, §§ **1.10-7(a) to 1.10-7(d)(4)**
 - Screening, §§ **1.10-2(b), 1.10-3, 1.10-4**
 - Successive government and private employment, §§ **1.11-3(e)(1), 1.11-4(b)**
 - Temps, lawyers, § **1.10-5(c)**
 - Testimony by lawyer, § **3.7-2**
 - Waiver of conflict, § **1.10-2(a)**
- Imputed knowledge, former clients, § **1.9-4**
- Insurance matters
 - Generally, §§ **1.7-6(n)(1) to 1.7-6(n)(5)**
 - Coverage disputes and insured's confidences, § **1.7-6(n)(5)**

INDEX

CONFLICTS OF INTEREST—Cont'd

Insurance matters—Cont'd

Defense duty extent dispute, § 1.7-6(n)(5)

Settlement notice, §§ 1.7-6(n)(2), 1.7-6(n)(3)

Intercourse with client, **R. 1.8(j)**; § 1.8-11

Investing in the client, § 1.8-2(a)(1)

Job negotiation, adverse parties, § 1.7-6(f)

Judges, former, disqualification

Generally, **R 1.12**; §§ 1.12-1 to 1.12-2

Arbitrators, partisan, § 1.12-1(b)

Imputation of disqualification, § 1.12-2

Law clerk, § 1.12-1(a)

Mediators distinguished, § 1.12-1(c)

Screening, § 1.12-2

Waiver of conflict, § 1.12-1(d)

Labor organization membership, § 1.7-6(j)

Law clerks

Judges, former, disqualification, § 1.12-1(a)

Successive government and private employment, § 1.11-3(h)(1)

Law Firms, this index

Law-related services, § 5.7-2

Legal aid organizations

Private attorney on board, § 1.7-6(m)

Private clients, § 1.2-1(d)

Liability insurance settlement notice, §§ 1.7-6(n)(2), 1.7-6(n)(3), 1.7-6(n)(4)

Liability limits. Malpractice, below

Lien interest, § 1.8-10(a)

Literary rights, **R 1.8 Comment 9**, **R 1.8(d)**; § 1.8-5

Litigation interest, **R 1.8 Comment 16**, **R 1.8(i)**; §§ 1.8-10 to 1.8-10(b)

Litigation vs counseling, § 1.7-2(b)

Loyalty to client, **R 1.7 Comments 1-5**

Malpractice liability limits

Generally, **R 1.8(h)**; §§ 1.8-9(a) to 1.8-9(b)(2)

Agreements, §§ 1.8-9(a)(2) to 1.8-9(a)(3)

Arbitration agreements, § 1.8-9(a)(4)

Countersuits, § 1.8-9(b)(2)

Fee disputes, § 1.8-9(b)(2)

Imputed disqualification, § 1.10-7(b)

Partnership agreements, § 1.8-9(a)(3)

Prospective limits, §§ 1.8-9(a)(1) to 1.8-9(b)(2)

Settling fee disputes, § 1.8-9(b)(2)

Subsequent limitation, §§ 1.8-9(b) to 1.8-9(b)(2)

Mandamus, imputed disqualification, § 1.10-7(d)(3)

Marriage to attorney, **R 1.7 Comment 11**; § 1.7-6(g)

Materially limited, § 1.7-1(b)

Mediators distinguished from judges, § 1.12-1(c)

Money advances to client, **R 1.8(e)**; § 1.8-6

CONFLICTS OF INTEREST—Cont'd

Motion to disqualify

Generally, § **1.7-6(p)**

Imputed disqualification, §§ **1.10-7(d)(1) to 1.10-7(d)(4)**

Multiple clients

Adverse matters, §§ **1.7-3(a) to 1.7-3(d)**

Co-parties, § **1.7-2**

Criminal cases, § **1.7-6(d)**

Government and private clients, § **1.7-6(e)**

Related matters, §§ **1.7-2(a) to 1.7-2(c)**

Negotiations

Adverse parties, §§ **1.7-3(d), 1.7-6(f)**

Successive employments, §§ **1.11-3(h), 1.11-3(h)(1)**

Non-lawyers, screening, § **1.10-4**

Notice

Screening, § **1.11-3(e)(2)**

Settlement notices to insured, §§ **1.7-6(n)(2), 1.7-6(n)(3)**

Of counsel, imputed disqualification, § **1.10-6(d)**

Overreaching, **R 1.8(a); § 1.8-2**

Penalties, imputed disqualification, §§ **1.10-7(a) to 1.10-7(d)(4)**

Personal and substantial responsibility, § **1.11-3(c)**

Personal interests, **R 1.7 Comments 10-12; § 1.7-6(i)**

Positional conflicts

Generally, §§ **1.7-6(o) to 1.7-6(o)(6)**

Formal Opinion 93-377, § **1.7-6(o)(4)**

Former clients, **R 1.9 Comments 8-9**

Model code and rules compared, § **1.7-6(o)(2)**

Restatement Governing Lawyers, § **1.7-6(o)(3)**

Preemption, successive employments, § **1.11-3(f)**

Private attorney with criminal prosecution powers, § **1.7-6(d)(2)**

Private to government employment, §§ **1.11-4(a), 1.11-4(b)**

Pro bono work, §§ **1.2-1(d), 1.2-1(e)**

Professional liability insurance settlement, §§ **1.7-6(n)(2), 1.7-6(n)(3)**

Prohibited transactions, generally, **R 1.8; §§ 1.8-1 to 1.8-12**

Property interest in cause of action

Generally, **R 1.8 Comment 16, R 1.8(i); §§ 1.8-10 to 1.8-10(b)**

Contingent fees, § **1.8-10(b)**

Liens, § **1.8-10(a)**

Prosecutors who dismiss criminal charges in exchange for criminal defendants dropping civil rights claims, § **1.7-6(d)(3)**

Pro se parties, disclosure, **R 4.3; § 4.3-1**

Publication rights, **R 1.8 Comment 9, R 1.8(d); § 1.8-5**

Public employee, § **1.1-3(d)**

Reasonableness of dealings, **R 1.8 Comments 1-4**

Relationship, family, **R 1.7 Comment 11; § 1.7-6(g)**

Release-Dismissal Agreements, § **1.7-6(d)(3)**

Restatement Governing Lawyers

Positional conflicts, § **1.7-6(o)(3)**

INDEX

CONFLICTS OF INTEREST—Cont'd

Restatement Governing Lawyers—Cont'd

Screening, § **1.10-3**

Revolving door. Successive government and private employment, below

Right to counsel, criminal cases, § **1.7-6(d)**

Sanctions, imputed disqualification, §§ **1.10-7(a) to 1.10-7(d)(4)**

Screening

Amendments to Rule 1.10(a), § **1.10-4**

Government and private firms, successive, §§ **1.11-3(e) to 1.11-3(e)(4)**

Imputed disqualification, §§ **1.10-2(b), 1.10-3, 1.10-4**

Judges, former, § **1.12-2**

Non-lawyer employees, § **1.10-4**

Notice of screening, § **1.11-3(e)(2)**

Restatement Governing Lawyers, § **1.10-3**

Settlements

Aggregate settlements, **R 1.8(g); § 1.8-8**

Malpractice action, § **1.8-9(b)(1)**

Notice to insured, §§ **1.7-6(n)(3), 1.7-6(n)(4)**

Sexual relations with clients, § **1.8-11**

Spouse/attorneys, **R 1.7 Comment 11; § 1.7-6(g)**

Strategic disqualification motions, § **1.7-1(g)**

Substantial relationship test, former clients, § **1.9-1(b)(3)**

Substantial responsibility, § **1.11-3(c)**

Successive government and private employment

Generally, **R 1.11; §§ 1.11-1 to 1.11-4(b)**

Apportioning fees, § **1.11-3(e)(4)**

Confidential government information, § **1.11-3(g)**

Discrete and isolatable transaction, § **1.11-3(b)**

Fee apportionment, § **1.11-3(e)(4)**

Historical background, § **1.11-2**

Imputed disqualification, §§ **1.11-3(e)(1), 1.11-4(b)**

Judicial law clerks, § **1.11-3(h)(1)**

Law clerks, § **1.11-3(h)(1)**

Matter, § **1.11-3(b)**

Negotiating for private employment, §§ **1.11-3(h), 1.11-3(h)(1)**

Notice of screening, § **1.11-3(e)(2)**

Personal and substantial responsibility, § **1.11-3(c)**

Preemption by law, § **1.11-3(f)**

Private employment, generally, § **1.11-3(a)**

Private to government employment, generally, §§ **1.11-4(a), 1.11-4(b)**

Public employee, § **1.11-3(d)**

Revolving door, generally, **R 1.11; §§ 1.11-1, 1.11-2**

Screening, §§ **1.11-3(e)(1) to 1.11-3(e)(4)**

Substantial responsibility, § **12-3(c)**

Waiver of conflict, § **1.11-3(e)(3)**

T.C. Theatre Corp. case, former clients, § **1.9-1(b)(3)**

Temps, lawyers, disqualification, § **1.10-6(c)**

CONFLICTS OF INTEREST—Cont'd

- Third-party payment of fee, **R 1.8 Comments 11-12, R 1.8(f)**
- Trial/appellate court distinction, positional conflicts, **§ 1.7-6(o)(4)**
- Union membership, **§ 1.7-6(j)**
- Vicarious disqualification, **§ 1.7-1(e)**
- Waiver of conflict
 - Generally, **§§ 1.7-1(c), 1.7-1(d)**
 - Adverse interests, **§ 1.7-3(b)**
 - Advocate-witness rule, **§ 3.7-3**
 - Consent, **§ 1.7-4**
 - Former clients, **§§ 1.9-6(a) to 1.9-6(f)**
 - Imputed disqualification, **§ 1.10-2(a)**
 - Judges, former, disqualification, **§ 1.12-1(d)**
 - Multiple clients, related matters, **§ 1.7-2(c)**
 - Successive government and private employment, **§ 1.11-3(e)(3)**
 - Withdrawal, hot potato doctrine, **§ 1.7-5**

CONSENT

- Conflicts of Interest, this index

CONSTITUTIONAL LAW

- Admission to bar, **1-3(a), § 8**
- Conflicts of interest, **§ 1.7-6(d)**
- Judges' speech restrictions, **§§ 10.5-3(b), 10.5-4**

CONSULTATION

- Advocate witness rule, **§ 3.7-4**
- Conflicts of Interest, this index

CONSULTATIONS WITH ANOTHER LAWYER

- Confidentiality, **§ 1.6-3**

CONTEMPT OF COURT

- Frivolous claims or arguments, **§ 3.1-2(a)**

CONTINGENT FEES

- Generally, **R 1.5; §§ 1.5-1(i), § 1.5-3(b), 11.5-3**
- Conflicts of interest, **§ 1.5-3(b)**
- Criminal cases, **§ 1.5-3(d)**
- Defense counsel, civil case, **§ 1.5-3(i)**
- Domestic relations, **R 1.5; § 1.5-3(e)**
- Early settlement offers, **§ 1.5-3(g)**
- Expert witnesses, **§ 3.4-3**
- Fairness to opposing party and counsel, **§ 3.4-3(b)**
- Objections, **§ 1.5-3(c)**
- Personal injury, cases not involving, **§ 1.5-3(f)**
- Property interest in cause of action, **§ 1.8-10(b)**
- Reverse contingent fees, **§ 1.5-3(i)**
- Settlement offers, **R 1.5; § 1.5-3(g)**
- Varying depending on stage, **§ 1.5-3(h)**

INDEX

CONTINUING EDUCATION

Competence, **R 1.1 Comment 5**; § 1.1-1(b)

CONTRACTS

Accepting case, § 1.2-1(a)

Advising breach, § 1.2-4(d)

Conflicts of interest

Malpractice liability limits, §§ 1.8-9(a)(2) to 1.8-9(a)(4)

Third party payment, **R 1.8 Comments 11-12**, **R 1.8(f)**; § 1.8-7

Mary Carter agreements, § 3.4-8

Restrictive Covenants and Contracts, this index

Retaining counsel, § 1.2-1(a)

Scope of representation, § 1.2-3

CONTUMACY

Fairness to opposing party and counsel, §§ 3.4-5(a), 3.4-5(b)

CO-PARTIES

Confidentiality, § 1.6-6

CORDLESS PHONES

Confidentiality, § 1.6-2(c)

CORPORATIONS

Generally, **R 1.13**; §§ 1.13-1 et seq.

ABA Task Force on Corporate Responsibility, § 1.13-2(d)

Actual or apparent representation, § 1.13-3

Alter ego doctrine, § 1.13-7(c)

Conflicts of interest

Directors, § 1.7-6(l)

Dual representation, **R 1.13 Comment 12**

Fiduciary duty, § 1.7-6(k)

Derivative actions, **R 1.13 Comments 13-14**; § 1.13-6

Directors, conflicts of interest, § 1.7-6(l)

Dual representation, **R 1.13 Comment 12**

Exhausting internal remedies, §§ 1.13-2(b), 1.13-2(e)

Family issues, generally, §§ 1.13-7 et seq.

Fee sharing, §§ 5.4-1(e)(1), 5.4-1(e)(2)

Fiduciary duty, § 1.7-6(k); § 1.13-1(a)

Parent and subsidiary, §§ 1.13-7 et seq.

Piercing corporate veil, § 1.13-7(c)

Sarbanes-Oxley, § 1.13-2(c)

Single entity, parent and subsidiary as, § 1.13-7(b)

Subsidiary and parent, §§ 1.13-7 et seq.

Unauthorized practice of law

Insurance company's in-house counsel, § 5.5-7(b)

Pro se litigants, § 5.5-7(a)

CORRUPT INFLUENCE

Generally, **R 3.5(a)**; § 3.5-1

COUNSELING ROLE OF LAWYER

Generally, **R 2.1**; §§ **2.1-1, 2.1-2**

Advocate role distinguished, § **2.1-1**

Evaluator role distinguished, § **2.1-2**

COUNTERSUITS

Malpractice liability limits, § **1.8-9(b)2**

CRIME-FRAUD EXCEPTION

Confidentiality rule, §§ **1.6-12(e)(5), 1.6-12(6)**

CRIMINAL CASES

Conflicts of interest, § **1.7-6(d)**

Contingent fees, § **1.5-3(d)**

Counseling or aiding crime, § **1.2-4**

Exculpatory evidence, § **3.8-2(c)**

Fairness to opposing party and counsel, § **3.4-1**

Guilty client, representing, § **1.2-1(c)**

Pretrial publicity, § **3.8-2(e)**

Probable cause, § **3.8-2(a)**

Prosecutors' duties

Generally, **R 3.8**; §§ **3.8-1 to 3.8-2(e)**

Exculpatory evidence, § **3.8-2(c)**

Pretrial publicity, § **3.8-2(e)**

Probable cause, § **3.8-2(a)**

Pro se defendants, § **3.8-2(b)**

Subpoenaing lawyers, § **3.8-2(d)**

Pro se defendants, § **3.8-2(b)**

Publicity, **R 3.6**; §§ **3.6-1 to 3.6-3**

Scope of representation, **R 1.2 Comments 9-13**

Subpoenaing lawyers, § **3.8-2(d)**

Threatening criminal charges, § **4.4-1(b)**

Unauthorized practice of law, § **5.5-3**

D

DEATH

Confidentiality

Former client, § **1.6-5(b)**

Imminent death exception, § **1.6-12(e)(2)**

Disposition of client files, **R 1.17**; § **1.17-2**

Fees, sharing with lay person, § **5.4-1(c)**

DECEDENTS' ESTATES

Conflicts of interest, § **1.7-6(a)**

DECISION-MAKING AUTHORITY

Lawyer/client split, § **1.2-2**

DECLARATORY JUDGMENT

Unauthorized practice of law, § **5.4-4**

INDEX

DECLINING CASE

Accepting or Rejecting Case, this index

DECORUM

Disruptive behavior, **R 3.5(d); § 3.5-2**

Judges, candidates for election, **R 8.2(b)**

Judges, this index

DEFAMATION

Counseling or aiding torts, **§ 1.2-4(b)**

DEFENSE DUTY OF INSURER

Conflicts of interest, **§ 1.7-6(n)(5)**

DELAYING LITIGATION

Generally, **R 3.2; § 3.2-1**

For the convenience of the lawyer, **§ 3.2-2**

DE MINIMIS ECONOMIC INTEREST

Judges, **§ 10.2-2.11(f)(4)**

DE MINIMIS INTEREST

Disqualification of judge, **§ 10.2-2.11(f)(4)**

DEPRESSION

Public image of lawyers, **§ 1-7**

DERIVATIVE ACTIONS

Corporation as client, **R 1.13 Comments 13, 14; § 1.13-6**

DIFFERENTIATION

Lawyer's and client's acts and views, **§ 1.2-1(c)**

DIFFICULT CASES

Rejecting, **§ 1.2-1(b)**

DILATORY TACTICS

Generally, **R 3.2; § 3.2-1**

Third party relations, **R 4.4**

DILIGENCE

Generally, **R 1.3; §§ 1.3-1 et seq.**

Neglect, defined, **§ 1.3-2(c)**

Special Circumstances for Sole Practitioners, **§ 1.3-4**

Waiver, **§ 1.3-3**

Workload, **§ 1.3-2(d)**

DIRECTLY ADVERSE

Conflicts of interest, **§ 1.7-1(b)**

DIRECTORS

Conflicts of interest

Corporation and counsel, **§ 1.7-6(l)**

Legal services organizations, **R 6.3; § 1.7-6(m); § 6.3-1**

Corporation and counsel, **§ 1.7-6(l)**

DIRECTORS, CONFLICTS OF INTEREST

Corporation as client, § 1.7-6(l)

DISABILITY OF A CLIENT

Representing persons under disability, R 1.14; 1.14-1

DISASTER PREPAREDNESS

Safekeeping property, § 1.15-7

DISBARRED OR SUSPENDED LAWYER

Jurisdiction over, § 8.5-1(d)

Unauthorized practice of law, § 5.5-6(c)

DISBURSEMENTS

Flat fees, §§ 6-6.5, 6-6.6

DISCHARGING LAWYER

Generally, R 1.16 Comments 4-6; §§ 1.16-2(a), 1.16-2(b), 1.16-3

DISCIPLINARY ACTIONS

Admission to bar, application, R 8.1; § 8.1-1 et seq.

Foreign states, acts in, R 8.5; § 8.5-1

Judges, CJC R. 2.15; § 10.2-2.15

Law firm members, § 35-2.2

Misconduct, generally, §§ 8.4-1(a), 8.4-2(a), 8.4-2(b), 8.4-2(h)

Model rules, generally, Appendix I

Reciprocal discipline, § 8.5-1(c)

Suspended and disbarred attorneys, jurisdiction over, § 8.5-1(d)

Threatening, § 4.4-1(b)

DISCOVERY BEFORE TRIAL

Candor and truthfulness, § 3.3-6

Fairness to opposing party and counsel

Abuse in civil cases, § 3.4-2(b)

Criminal case, physical evidence, § 3.4-2(a)

Requests, § 3.4-4

Volunteering information, caution against, § 3.4-7

DISCRETION OF COUNSEL

Confidential matter disclosure, §§ 1.6-12(e), 1.6-12(f)

DISCRIMINATION

Fees, § 1.6-1(k)(1)

Judges, appearance of impropriety, § 10.3-3.6(b)(4)

Law firms, § 5.2-3

Misconduct, offensive speech, § 8.4-2(e)(1)

DISQUALIFICATION OF JUDGE

Generally, §§ 10.2-2.11 to 10.2-2.14

Acquaintances, § 10.2-2.11(d)(2)(v)(3)

Actual bias, § 10.2-2.11(e)(2)

Attorneys

Acquaintance, § 10.2-2.11(d)(2)(v)(3)

INDEX

DISQUALIFICATION OF JUDGE—Cont'd

Attorneys—Cont'd

Family relationships, §§ **10.2-2.11(f)**, **10.2-2.11(f)(3)**

Judge as former attorney, § **10.2-2.11(j)**

Bias or prejudice, generally, § **10.2-2.11(f)(2)**

Bonds, government, exception, § **10.2-2.11(f)(7)**

Catch-all disqualification clause, § **10.2-2.11(d)**

Civic organization exception, economic interest, § **10.2-2.11(f)(5)**

Conflicts of interest. See lines throughout this topic

De minimis interest, § **10.2-2.11(f)(iii)(3)**

Duty to sit, § **10.2-2.11(d)(2)(1)**

Economic interest

Generally, § **10.2-2.11(f)(4)**

Civic organization exception, § **10.2-2.11(f)(5)**

Defined, § **10.2-2.11(f)(4)(ii)**

De minimis interest, § **10.2-2.11(f)(4)(iv)**

Exceptions, §§ **10.2-2.11(f)(vii)** to § **10.2-2.11(f)(7)**

Family of judge, § **10.2-2.11(f)(4)(v)**

Government bond exception, § **10.2-2.11(f)(7)**

Knowledge of interest, § **10.2-2.11(f)(4)(vi)**

Legal or equitable interest, § **10.2-2.11(f)(4)(ii)(3)**

Mutual funds, § **10.2-2.11(f)(4)(vii)**

Mutual insurance exception, § **10.2-2.11(f)(6)**

Ex parte communications by judges, § **10.2-2.9**

Extrajudicial bias, § **10.3-3.6**

Family relationships

Economic interest, § **10.2-2.11(f)(4)(2)(iii)**

Lawyer in proceeding, § **10.2-2.11(3)**

Material witness, § **10.2-2.11(f)**

Party to action, § **10.3-35(b)**

Federal standard, waiver, § **10.2-2.11(n)(2)**

Financial interest. Economic interest, above

Government bond exception, economic interest, § **10.2-2.11(f)(7)**

Impartiality might reasonably be questioned, § **10.2-2.11(d)**

Intemperate remarks, § **10.2-2.11(e)(4)**

Knowledge of judge

Economic interest, § **10.2-2.11(f)(4)**

Substantially affected by outcome, § **10.2-2.11(f)(4)**

Law clerk, former, representing party, § **10.2-2.11(d)(2)(v)(4)**

Material witness

Acquaintance of judge, § **10.2-2.11(d)(2)(v)(3)**

Family relationship, § **10.2-2.11(k)**

Judge as, § **10.2-2.11(f)(3)(iv)**

Mutual funds, § **10.2-2.11(f)(4)(vii)**

Mutual insurance exception, economic interest, § **10.2-2.11(f)(6)**

Partiality, generally, § **10.2-2.11(e)**

Participation in same case, prior, § **10.2-2.11(l)**

DISQUALIFICATION OF JUDGE—Cont'd

Personal bias or prejudice

Generally, § 10.2-2.11(e)

Actual bias or prejudice, § 10.2-2.11(e)

Extrajudicial bias, § 10.2-2.11(e)

Prior participation in same case, § 10.2-2.11(l)

Religious affiliation, § 10.2-2.11(e)(6)

Remittal of disqualification, § 10.2-2.11(n)

Subject matter in controversy, economic interest, § 10.2-2.11(f)(4)(iii)

Substantially affected by outcome, § 10.2-2.11(f)(4)

Waiving disqualification, § 10.2-2.11(n)

Witnesses

Acquaintance, § 10.2-2.11(d)(2)(v)(3)

Family relationship, § 10.2-2.11(f)

Judge as, § 10.2-2.11(k)

DISQUALIFICATION OF LAWYERS

Conflicts of Interest, this index

DISRUPTIVE BEHAVIOR

Generally, R 3.5(d); § 3.5-2

DIVORCE OR SEPARATION

Contingent fees, R 1.5; § 1.5-3(e)

DO-IT-YOURSELF LEGAL KITS

Unauthorized practice of law, § 5.5-3(d)

DOMESTIC RELATIONS

Contingent fees, R 1.5; § 1.5-3(e)

DUAL REPRESENTATION

Corporation as client, R 1.13 Comment 12

E

EARLY SETTLEMENT OFFERS

Contingent fees, § 1.5-3(g)

EAVESDROPPING

Generally, § 4.4-2

ECONOMIC INTEREST

Disqualification of Judge, this index

EFFECTIVE ASSISTANCE OF COUNSEL

Criminal cases, § 1.7-6(d)

ELECTIONS

Judge candidate behavior, R 8.2(b)

ELECTRONIC SOCIAL MEDIA

Ex parte communications by judges, § 10.2-2.9(b)(2)

INDEX

ELECTRONIC SURVEILLANCE

Generally, § 4.4-2

E-MAIL

Confidentiality, § 1.6-2(c)

EMERGENCIES

Competence, § 1.1-1(c)

Disabled persons, representing, **R 1.14 Comments 9-10; § 1.14-3**

Emergency Legal Services for a Disabled Person, § 1.14-3

EMOTIONAL DISTRESS

Public image of lawyers, § 1-7

ENDORISING CLIENT'S ACTS OR BELIEFS

Separateness of lawyer, § 1.2-1(c)

ESTATE PLANNING

Conflicts of interest, § 1.7-6(a)

EVALUATION ROLE OF LAWYER

Generally, **R 2.3; §§ 2.3-1 to 2.3-3**

Confidentiality, **R 2.3 Comment 4**

Consent of client, § 2.3-2

Limitation on scope, § 2.3-3

EVALUATOR ROLE OF LAWYER

Counseling role distinguished, § 2.1-2

EVIDENCE AND WITNESSES

Advocate-witness rule

Generally, **R 3.7; §§ 3.7-1 et seq.**

Conflicts of interest with lawyer as expert witness, generally, § 1.7-6(b)

Consultation, § 3.7-4

Imputed disqualification, § 3.7-2

Judicial enforcement, § 3.7-5

Rationale, § 3.7-1(a)

Waiving disqualification, § 3.7-3

Confidentiality, § 1.6-12(f)(2)

Exculpatory evidence, prosecutor's duty, § 3.8-2(c)

Fairness to Opposing Party and Counsel, this index

False evidence

Candor and truthfulness, **R 3.3 Comments 5-8; § 3.3-4**

Confidentiality, § 1.6-12

Fairness to opposing party and counsel, § 3.4-3(e)

Perjury: Candor and Truthfulness, this index

EXCULPATORY EVIDENCE

Prosecutor's duties, § 3.8-2(c)

EXHAUSTING INTERNAL REMEDIES

Corporation as client, §§ 1.13-2(b), 1.13-2(e)

EXHIBITS

Fairness to opposing party and counsel, § 25-2

EX PARTE COMMUNICATIONS

Generally, R 3.5(b); § 3.5-3

Candor and truthfulness, R 3.3 Comment 14; § 3.3-9

Judges, this index

EXPEDITING LITIGATION

Generally, R 3.2; § 3.2-1

Delay for the Convenience of the Lawyer, § 3.2-2

Reasonable efforts, § 3.2-1

EXPENSE REIMBURSEMENT

Judges, § 10.3-3.14(b)

EXPERT TESTIMONY

Conflicts of interest, lawyer as expert witness

Basic rule, § 1.7-6(b)

Former clients, § 1.9-2

Proving malpractice and ethical violations, § 1-9(c)(4)

Represented parties, § 4.2-6(b)

EXTRA-JUDICIAL ACTIVITIES

Judges, this index

F

FAIRNESS TO OPPOSING PARTY AND COUNSEL

Generally, R 3.4; §§ 3.4-1 et seq.

Abuse of discovery, civil cases, § 3.4-2(b)

Agreements, Mary Carter, § 3.4-8

Argument of counsel, § 3.4-6

Candor to court distinguished, § 3.4-1

Citing unpublished opinions, § 3.4-5(a)

Closing arguments, § 3.4-6

Coaching witnesses

Generally, § 3.4-3(e)

Volunteering information, § 3.4-7

Contingent fee, lawyer-witness, § 3.4-3(b)

Counsel table, misleading court about identities, § 3.4-5(b)

Criminal case, physical evidence, § 3.4-2(a)

Discovery

Abuse in civil cases, § 3.4-2(b)

Criminal case, physical evidence, § 3.4-2(a)

Requests, § 3.4-4

Volunteering information, caution against, § 3.4-7

Disobeying tribunal, indirect, § 3.4-5

Evidence and witnesses

Discovery, obstructing, §§ 3.4-2(a), 3.4-2(b)

INDEX

FAIRNESS TO OPPOSING PARTY AND COUNSEL—Cont'd

- Evidence and witnesses—Cont'd
 - Inadmissible evidence, tactics, § 3.4-6
 - Payments to witnesses, §§ 3.4-3(a) to 3.4-3(e)
 - Perjury, subornation, § 3.4-3(e)
 - Volunteering information, caution against, § 3.4-7
- Fact witness, expert witness compared, § 3.4-3(c)
- False testimony, counseling, § 3.4-3(e)
- Fees
 - Contingent fee, lawyer-witness, § 3.4-3(b)
 - Witnesses, §§ 3.4-3(a) to 3.4-3(d)
- Final argument, § 3.4-6
- Fraud on court, person and counsel table, § 3.4-5(b)
- Inadmissible evidence, tactics, § 3.4-6
- Inducements to testify, prosecution, § 3.4-3(d)
- Mary Carter agreements, § 3.4-8
- Opinions, unpublished, citing, § 3.4-5(a)
- Payments to witnesses
 - Generally, § 3.4-3
 - Coaching, § 3.4-3(e)
 - Contingent fee, lawyer-witness, § 3.4-3(b)
 - Expert witness, § 3.4-3(c)
 - Inducements, prosecutors, § 3.4-3(d)
- Perjury, subornation, § 3.4-3(e)
- Physical evidence, criminal case, § 3.4-2(a)
- Preparing witnesses, § 3.4-3(e)
- Requests for discovery, § 3.4-4
- Suborning perjury, § 3.4-3(e)
- Summation to jury, § 3.4-6
- Trial tactics, § 3.4-6
- Unpublished opinions, citing, § 3.4-5(a)
- Volunteering information, coaching witness, § 3.4-7
- Witnesses. Evidence and witnesses, above

FALSE ACCUSATION OF OTHER LAWYER

- Generally, R 8.2(a)

FALSE EVIDENCE

- Evidence and Witnesses, this index

FALSE OR MISLEADING ADVERTISING

- Advertising, this index

FAMILY ISSUES

- Corporation as client, §§ 1.13-7 et seq.

FAMILY RELATIONSHIPS

- Conflicts of interest, R 1.7 Comment 11; § 1.7-6(g)
- Disqualification of Judge, this index

FAX

Confidentiality, § 1.6-2(b)

FEDERAL RULES OF APPELLATE PROCEDURE (FRAP)

Frivolous claims or arguments, § 3.1-2(a)

FEDERAL RULES OF CIVIL PROCEDURE (FRCP)

Frivolous claims or arguments, §§ 3.1-2, 3.1-2(c), 3.1-2(d)

FEES

Generally, **R 1.5**; §§ 1.5-1(a) et seq.

Advances to clients, §§ 1.5-6(b) to 1.5-6(c)(2)

After-the-fact analysis, § 1.5-1(c)

Agreements

Contingent fees, settlement offer affecting, § 1.5-3(g)

Discrimination, § 1.5-1(k)(1)

Interpreting, § 1.5-2(a)

Writing, §§ 1.5-1(k), 1.5-1(k)(1)

Arbitration, § 1.5-2(c); **Appendix F**

Audits, § 1.5-5(a)

Caps on fees, § 1.5-6(e)

Collection suit, § 1.5-2(b)

Conflicts of Interest, this index

Contingent Fees, this index

Corporate counsel, sharing, § 5.4-1(e)

Credit cards, § 1.5-2(b)

Death, sharing fee with lay persons, § 5.4-1(b)

Disbursements, §§ 1.5-6(e), 1.5-6(f)

Discrimination, § 1.5-1(k)(1)

Disputes, generally, **R 1.5 Comment 9**; §§ 1.5-2(a) et seq.

Fairness to opposing party and counsel

Contingent fee, lawyer-witness, § 3.4-3(b)

Witnesses, §§ 3.4-3(a) to 3.4-3(e)

Fee shifting laws, § 1.5-1(j)

Fixed fees

Generally, **R 1.8(e)**; §§ 1.5-6(a) et seq., 1.5-6(b) to 1.5-6(c)(2)

Advances to clients, §§ 1.5-6(b) to 1.5-6(c)(2)

Caps, § 1.5-6(e)

Client incentives, § 1.5-6(a)

Conflicts of interest, § 1.5-6(d)

Disbursements, §§ 1.5-6(e), 1.5-6(f)

Loans to clients, § 1.5-6(c)(2)

Opinions regarding, § 1.5-6(g)

Valuing disbursements, § 1.5-6(f)

Flat fees. Fixed fees, above in this topic

Forfeiture, model rules, § 1-9(c)(5)

Forfeiture and conflict of interest, § 1.10-7(c)

Groupon and sharing fees, § 5.4-1(f)

INDEX

FEES—Cont'd

Hourly fees

Generally, §§ **1.5-1(b)**, **1.5-5(a)** et seq.

Alternative types, § **1.5-5(c)**

Audits, § **1.5-5(a)**

Objections, § **1.5-5(b)**

Joint responsibility assumption, §§ **1.5-4(c)**, **1.5-4(d)**

Judges, referral fees, § **10.3-3.10(d)**

Loans to clients, § **1.5-6(c)(2)**

Mediation, § **1.5-2(d)**

Non-refundable retainers, § **1.5-1(e)**

Opinions regarding flat fees, § **1.5-6(g)**

Opportunity costs, § **1.5-1(d)**

Payment terms, **R 1.5 Comments 4, 5**

Price-fixing by state action, § **1.5-1(g)**

Pro bono organizations, sharing fee, § **5.4-1(e)**; § **6.1-4(b)**

Rate or basis

Generally, **R 1.5 Comment 1**

Communicating, **R 1.5**

Community practice, § **1.5-1(f)**

Reasonableness, generally, §§ **1.5-1(a)** et seq.

Reasonableness, generally, § **1.5-1(a)** et seq.

Referral fees

Advertising, § **7.2-3(a)**

Judges' extra-judicial activities, § **10.4-5(b)**

Sale of practice, sharing with lay person, § **5.4-5(c)**

Selling practice, **R 1.17 Comment 10**

Sharing

Generally, §§ **1.5-4(a)** et seq.

Corporate counsel, § **5.4-1(e)**

Different firms, § **1.5-4(b)**

Joint responsibility, §§ **1.5-4(c)**, **1.5-4(d)**

Judges, § **10.4-5(a)**

Laypersons, §§ **5.4-1(a)** to **5.4-1(f)**

Pro bono organizations, § **6.1-4(b)**

Same firm, **R 1.5**; § **1.5-4(a)**

Temporary workers, § **1.5-4(e)**

Shifting, § **1.5-1(j)**

Special circumstances, § **1.5-1(h)**

Surcharges to clients when the law firm uses a contract lawyer, §§ **1.5-4(d)**; **1.5-4(e)**

Task-based billing. Fixed fees, below in this topic

Temporary workers, § **1.5-4(e)**

Unauthorized practice remedies, § **5.5-5**

Written agreements, §§ **1.5-1(k)**, **1.5-1(k)(1)**

FIDUCIARY DUTIES

Judges' extra-judicial activities, § **10.4-2**

FIDUCIARY DUTY

Corporation as client, § 1.7-6(k); § 1.13-1(a)

Profession, § 1-6(f)

FIELDS OF PRACTICE

Advertising, R 7.4; § 7.4-1

FINAL ARGUMENT

Fairness to opposing party and counsel, § 3.4-6

FINANCIAL ADVANCES TO CLIENT

Conflicts of interest, R 1.8(e); § 1.8-6

FINANCIAL INTEREST

Economic interest. Disqualification of Judge, this index

FIRMS

Law Firms, this index

FIRST AMENDMENT

Accusing judges or lawyers, § 8.2-1

Lawyer advice, § 1.2-5

Publicity, trial, R 3.6; §§ 3.6-1 to 3.6-3

FIXED FEES

Generally, §§ 1.5-6(a) et seq.

Conflicts of interest, § 1.5-6(d)

FOREIGN LAWYERS

Practicing in the United States, § 5.5-2(e)

FOREIGN STATE OR COUNTRY

Disciplinary actions, jurisdiction, R 8.5; §§ 8.5-1(a), 8.5-1(b)

Disciplinary jurisdiction, R 8.5; §§ 8.5-1(a), 8.5-1(b), 8.5-2

FORFEITURE

Fees, model rules, § 1-9(c)(5)

FORMER CLIENTS

Confidentiality

Generally, R 1.6 Comment 18; § 1.6-5

Alive, § 1.6-5(a)

Dead, § 1.6-5(b)

Conflicts of Interest, this index

FRAUD

Admission to bar, application, § 8.1-2

Advice of counsel, § 1.2-4(f)

Candor and Truthfulness, this index

Confidentiality exception, § 1.6-12(g)

Defined, § 1-10

Fairness to opposing party and counsel, § 3.4-5(b)

Piercing corporate veil, § 1.13-7(c)

Truthfulness, third party relations, R 4.1; §§ 4.1-1 to 4.1-3

INDEX

FRAUD ON COURT

Candor and Truthfulness, this index

FREEDOM OF SPEECH

Accusing judges or lawyers, § 8.2-2

Judges

Political activities, § 10.4-4.1(b)(4)

Publicity; trial, **R 3.6**; §§ 3.6-1 to 3.6-3

FRIENDS AND ACQUAINTANCES

Disqualification of judge, § 10.2-2.11(d)(2)(v)(3)

FRIVOLOUS CLAIMS OR ARGUMENTS

Generally, **R 3.1**; §§ 3.1-1 et seq.

Common law, § 3.1-2(b)

Contempt of court, § 3.1-2(a)

Criminal cases, § 3.1-1(c)

FRAP 38, § 3.1-2(a)

FRCP 11, generally, §§ 3.1-2, 3.1-2(c), 3.1-2(d)

Judicial management tools, generally, § 3.1-2

Objective test, § 3.1-1(a)

Rejecting, § 1.2-1(b)

Sanctions, §§ 3.1-2, 3.1-2(a)

Tax cases, § 22-1(b)

Torts at common law, § 3.1-2(b)

28 USCA 1927, § 3.1-2(a)

G

GENERALLY KNOWN INFORMATION

Conflicts of interest, § 1.9-3

GENTILE DECISION

Publicity and comment, §§ 3.6-1 to 3.6-3

GIFTS

Judges, § 10.3-3.13

GIFTS FROM CLIENTS

Conflicts of interest, **R 1.8(c)**; § 1.8-4

GOVERNMENT AGENCY LAWYERS

Generally, §§ 1.13-7(d) to 1.13-7(f)

Confidentiality, § 1.6-8; § 1.13-7(f)

Conflicts of interest

Imputed disqualification, §§ 1.10-1(b); 1.11-3(e)(1), 1.11-4(b)

Successive employments, **R 1.11**; §§ 12-1 to 12-4.2

Disclosures, § 1.13-7(f)

Entire government rule, § 1.13-7(g)

Private clients, simultaneously representing, §§ 1.13-7(e), 1.13-7(f)

Representing government, generally, **R 1.13 Comment 9**

GOVERNMENT AGENCY LAWYERS—Cont'd

- Restrictive covenants and contracts, § 5.6-2(c)
- Screening, §§ 1.11-3(e)(1) to 1.11-3(e)(4)
- Simultaneously representing private clients, §§ 1.13-7(d), 1.13-7(f)
- Specific agency rule, § 1.13-7(e)

GOVERNMENT BONDS

- Disqualification of judge, § 10.3-34(g)(3)

GROUPON

- Sharing fees, § 5.4-1(f)

GUARDIANS

- Disabled persons, R 1.14(b); § 1.14-1

H

HALF-TRUTHS

- Disclosure, R 4.1; §§ 4.1-1 to 4.1-3

HARASSMENT

- Rejecting cases, § 1.2-1(b)
- Third party relations, R 4.4; § 4.4-1(a)

HARASSMENT, SEXUAL

- Judges, § 10.3-6(b)

HARDBALL

- Public image of lawyers, § 1-7

HEARING OF CASES

- Judges' duty to sit, Canon 3B(1)§ 10.3-1

HISTORY

- Generally, §§ 1-1(a) et seq.
- Advertising, §§ 7.0-1 to 7.0-5
- American Law Institute, § 1-3(b)
- Candor and truthfulness, §§ 3.3-5 to 3.4-4(g)
- Canons of Ethics, § 1-1(c)
- Code of Professional Responsibility, § 1-1(d)
- Construction with other laws, § 1-5
- Hoffman resolutions, § 1-1(a)
- Judges' ethics, §§ 10.0-1 to 10.0-3
- Model Rules of Professional Conduct
 - Generally, § 1-1(e)(1) et seq.
 - Adopt by states, § 1-1(e)(4)
 - Comments, § 1-1(e)(3)
 - Kutak Commission, § 1-1(e)(1)
 - Language change, § 1-1(e)(2)
- Profession, § 1-6(a)
- Public image of lawyers, § 1-7
- Sharswood's lectures, § 1-1(b)

INDEX

HOSPITAL RECORDS

Confidentiality, § 1.6-6

HOT POTATO DOCTRINE

Withdrawal, § 1.7-5

I

IMAGE OF LAWYERS

Barracudas, § 1-7

IMMINENT DEATH

Confidentiality exception, § 7-11(e)

IMMUNITY FROM SUIT

Judges reporting misconduct, § 10.2-2.15(d)

IMPARTIALITY MIGHT REASONABLY BE QUESTIONED

Disqualification of judge, Canon 2; § 10.2-2.11(d)

IMPUTATION

Disqualification of judge, § 10.2-2.11(f)(3)(ii)(1)

IMPUTED DISQUALIFICATION

Conflicts of Interest, this index

IMPUTED LIABILITY

Malpractice, § 1.1-2(b)

INADVERTENT DISCLOSURE

Confidentiality, this index

INCOMPETENT PERSONS

Representing, R 1.14; § 1.14-2

INDIGENT PERSONS

Pro bono work, generally, § 6.1-4

INDUCEMENTS TO TESTIFY

Fairness to opposing party and counsel, § 3.4-3(d)

IN-HOUSE COUNSEL

Registration, Appendix K

INJUNCTIONS

Unauthorized practice of law, § 5.5-5

INSURANCE

Disqualification of judge, § 10.2-2.11(f)(6)

Legal service plans, solicitation, § 7.3-3

Mary Carter agreements, § 3.4-8

Unauthorized practice of law, § 5.5-7(b)

INSURANCE MATTERS

Conflicts of Interest, this index

INTEMPERATE REMARKS

Disqualification of judge, § 10.3-26

INTEREST ON LAWYER TRUST ACCOUNTS (IOLTA)

Generally, § 1.15-6

Pro bono work, § 6.1-3(b)

INTERMEDIATION

Mediation distinguished, § 20-1

Reasons underlying deletion of Rule 2.2, § 2.2-2

INTERNET

Advertising, law firm websites, § 7.1-3(e)

INVIDIOUS DISCRIMINATION

Judges, appearance of impropriety, § 10.3-3.6(b)(4)

IOLTA

Interest on lawyer trust accounts, this index

J

JOB NEGOTIATION

Conflicts of interest, § 1.7-6(f)

JOINT DEFENSE CONSORTIUM

Confidentiality, § 1.6-6

JOINT RESPONSIBILITY ASSUMPTION

Sale of practice compared, § 1.17-1

JUDGES

Generally, §§ 10.0-10.4

Adjudicative duties generally, CJC R. 2.2, 2.4A, 2.7, 2.8

Advertising with judicial title, § 10.3-3.11

Appearance of impropriety

Generally, CJC Canon 1; R. 1.2; § 10.1-1.0(e)

Applicability on or off bench, §§ 10.1-1.2(c), 10.1-1.2(c)(2)

Character witness, § 10.3-3.3(d)

Compliance with law, § 10.1-1.1(c)

Criminal conviction, prior, unnecessary, § 10.1-1.1(c)(3)

Discriminatory organization, membership, § 10.3-3.6(c)

Fact witness, § 10.3-3.3(c)

Independent judgment, § 10.1-1.0(c)

Invidious discrimination, § 10.3-3.6(b)(4)

Non-judicial capacity, § 10.1-1.1(c)(2)

Obeying law, § 10.1-1.1(c)

Prestige of office, preserving, § 10.1-1.3(d)

Speech protections, § 10.1-1.2(c)

Standard of review, § 10.1-1.2(d)(2)

Witness, judge as, § 10.3-3.3

INDEX

JUDGES—Cont'd

Appointments

Extra-judicial activities, **CJC R. 3.4, R. 3.8**

Judge's appointments, **CJC R. 2.13**

Arbitration, extra-judicial, § **10.3-3.9**

Attorneys

Disqualification of Judges, this index

Extra-judicial activities, § **10.3-3.10**

Bias or prejudice. Disqualification of Judges, this index

Broadcast media in courtroom, § **10.2-2.10(j)**

Business dealings, extra-judicial, § **10.3-3.11**

Cameras in courtroom, § **10.2-2.10(j)**

Campaign conduct, **R 8.2(b); Canon 4**

Character witness, appearance of impropriety, § **10.3-3.3(d)**

Charitable activity, extra-judicial, § **10.3-3.7(b)**

Civic activity, extra-judicial, § **10.3-3.7(b)**

Clamor, unswayed by, § **10.2-2.2(c)**

Committee, campaign finance, **10.4-4.4**

Competence, maintaining, § **10.2-2.5**

Compliance with law, appearance of impropriety, § **10.1-1.1(c)**

Confidential information, disclosing, **CJC R. 2.8(C)**

Conflicts of interest. Disqualification of Judge, this index

Constitutional speech restrictions, § **10.4-4.1(f)**

Continuing education, § **10.2-2.5**

Court personnel exception, ex parte communication, § **10.2-2.9(f)**

Criminal conviction, appearance of impropriety, § **10.1-1.1**

Criticism, **R 8.2(a); § 8.2-3**

Decorum and temperament

Generally, **CJC R. 2.8(A), R. 2.8(B)**

Candidates for election, **R 8.2(b)**

Disqualification, intemperate remarks, § **10.2-2.11(e)(4), 10.3-3.1(e)**

Patience, § **10.2-2.8(c)**

Sexual harassment, § **10.2-2.3(a)**

Staff, judicial, **CJC R. 2.15; § 10.2-2.8(d)**

De minimis economic interest, § **10.2-2.11(f)(3)(iii)**

Disciplinary responsibilities, **CJC R. 2.15; § 10.2-2.15**

Disclosing privileged information, **CJC R. 2.8(C)**

Discrimination, appearance of impropriety, §§ **10.2-2.3(a), 10.3-3.1(e)**

Disinterested expert exception, ex parte communication, § **10.2-2.9(e)**

Disqualification of Judge, this index

Duty to sit, §§ **10.2-2.1, 10.2-2.5**

Economic interest. Disqualification of Judges, this index

Election candidates' conduct, **R 8.2(b)**

Ex parte communications

Generally, **CJC R. 2.9; §§ 10.2-2.9(a) to 10.2-2.9(i)**

Authorized, when, § **10.2-2.9(h)**

Court personnel exception, § **10.2-2.9(f)**

JUDGES—Cont'd

Ex parte communications—Cont'd

Disinterested expert exception, § 10.2-2.9(e)

Electronic social media, § 10.2-2.9(b)(2)

Ex parte communications by judges, § 10.2-2.9(b)

Court in which the case is pending, § 10.2-2.9(c)

Electronic social media, § 10.2-2.9(b)(2)

Public comments as part of judge's official duties and to explain the procedures of the court, § 10.2-2.9(c)

Remedy for improper ex parte comments by judge, § 10.2-2.9(b)

Pending actions, § 10.2-2.9(c)

Settlement conferences, § 10.3-13

Expense reimbursement, extra-judicial, § 10.3-3.14(b)

Extra-judicial activities

Generally, **Canon 3**; §§ 10.3-3.0 et seq.

Appointments, §§ 10.3-3.4, 10.3-3.8(b)

Arbitration, § 10.3-3.9

Attorney fees, § 10.3-3.10

Business dealings, § 10.3-3.11

Charitable activity, § 10.3-3.7(b)

Civic activity, § 10.3-3.7(b)

Compensation

Generally, **Canon 3**

Attorney fees, § 10.3-3.10

Expense reimbursement, § 10.3-3.14(b)

Gifts, § 10.3-3.13

Reimbursing expenses, § 10.3-3.14(b)

Reporting, § 10.3-3.15

Expense reimbursement, § 10.3-3.14(b)

Fees, attorney, § 10.3-3.14(b)

Fiduciary activities, § 10.3-3.8

Financial activities, § 10.3-3.11

Gifts, § 10.3-3.13

Government activities, § 10.3-3.4

Mediation, § 10.3-3.9

Political activities, below

Practicing law, § 10.3-3.10

Referral fees, § 10.3-3.10(d)

Reimbursing expenses, § 10.3-3.14(b)

Sharing fees, § 10.3-3.10(d)

Speaking, § 10.3-3.1(d)

Splitting fees, § 10.3-3.10(c)

Teaching, § 10.3-3.1(d)

Writing, § 10.3-3.1(d)

Faithfulness to law, §§ 10.1-1.1(c), 10.2-2.2(b)

Family relationships. Disqualification of Judges, this index

Fear of criticism, unswayed by, **Canon 3B(2)**; § 10.2-2.4(b)

Fees, attorney, extra-judicial, § 10.3-3.10

INDEX

JUDGES—Cont'd

Fiduciary activities, extra-judicial, § 10.3-3.8

Finances

Disqualification of Judges, this index

Extra-judicial activities, §§ 10.3-3.12, 10.3-3.14

Political activities, below

Freedom of speech

Appearance of impropriety, § 10.2-2.10

Political activities, 10.4-4.1(d)

Gifts, extra-judicial, § 10.3-3.13

Government activities, extra-judicial, § 10.3-3.4

Harassment, sexual, § 10.3-3.6(b)(2)

Hearing of cases, duty, § 10.2-2.7

Historical background, §§ 10.0-1 to 10.0-3(e)

Immunity from suit for reporting misconduct, § 10.2-2.14(d)

Impropriety. Appearance of impropriety, above

Independent judgment

Generally, Canon 1; § 10.1-0(c)

Influencing corruptly, R 3.5(a); § 3.5-1

Integrity, Canon 1; § 10.0(f)

Intemperate remarks, §§ 10.2-2.8, 10.2-2.11(e)(4)

Invidious discrimination, appearance of impropriety, § 10.3-3.6(b)(4)

Judges, sued in their personal capacity, § 10.2-2.10(c)

Jurors, criticizing, § 10.2-2.8(e)

Media in courtroom, § 10.2-2.10(j)

Mediation, extra-judicial, § 10.2-2.9

Misconduct. Reporting unethical conduct, below

Obedying law, appearance of impropriety, § 10.1-1.1(c)

Partisan interests, unswayed by, § 10.2-2.4(c)

Part-time judges, political activities, §§ 10.0-4(d), 10.0-4(e), 10.0-4(f)

Personal capacity, suing judge, § 10.2-2.10(c)

Political activities

Generally, Canon 4; §§ 10.4-4.0 et seq.

Campaign conduct, R 8.2(b); Canon 4; § 10.4-4.1

Committee establishment, § 10.4-4.4(b)

Constitutional speech restrictions, § 10.4-4.1(d)

Definition of judge, § 10.0-4(a)

Donors, campaign finance, § 10.4-4.4

Finances

Generally, § 10.4-4.4

Committee establishment, § 10.4-4.4(b)

Knowledge of donors, § 10.4-4.4(d)

Time limits, § 10.4-4.4(c)

General political conduct, § 10.4-4.1

Knowledge of donors, § 10.4-4.4(d)

Part-time judges, §§ 10.0-4(d), 10.0-4(e), 10.0-4(f)

Personally soliciting or accepting campaign funds, § 10.4-4.4(h)

JUDGES—Cont'd

Political activities—Cont'd

Retired judges, § **10.0-4(c)**Speech restrictions, § **10.4-4.1(d)**Practicing law, extra-judicial activities, § **10.3-3.10**Prejudice or bias, § **10.2-2.3**

Privilege

Immunity from suit for reporting misconduct, § **10.2-2.14(d)**Reporting unethical conduct, **CJC R. 2.15**Prompt disposition of business, §§ **10.2-2.5, 10.2-2.7(b)**Pro tempore judge, political activity, § **10.0-4(f)**

Public comments by judges to the media, see Judges, Ex parte Comments

Public hearings, extra-judicial activities, § **10.3-3.2**Publishing, extra-judicial activities, § **10.3-3.1(d)**

Recusal. Disqualification of Judge, this index

Referral fees, extra-judicial activities, § **10.3-3.10(d)**Reimbursing expenses, extra-judicial activities, § **10.3-3.14(b)**

Reporting unethical conduct

Generally, § **10.2-2.15**Absolute judicial privilege, § **10.2-2.14(d)**Judges reporting judges, § **10.2-2.15(b)**Lawyers, judges reporting, § **10.2-2.15(c)**Lawyers reporting judges, § **8.3-3**Reporting judicial misconduct and reporting by judges, § **8.3-6**Retired judges, political activities, § **10.0-4(c)**Right to be heard, ex parte communication, § **10.2-2.9(b)**Screening former judges, § **1.10-4**Settlement conferences, ex parte, § **10.2-2.9**Sexual harassment, § **10.3-3.6(b)(2)**Sharing fees, extra-judicial activities, § **10.3-3.10(d)**Speaking, extra-judicial activities, § **10.3-3.1(d)**

Speech restrictions

Appearance of impropriety, § **10.2-2.9**Ex parte communication, § **10.4-4.1(d)**Splitting fees, extra-judicial activities, § **10.3-3.10(c)**

Standard of review, appearance of impropriety

Sued in their personal capacity, § **10.2-2.10(c)**Teaching, extra-judicial activities, § **10.3-3.1(d)**Television in courtroom, § **10.2-2.10(j)**

Temperament. Decorum and temperament, above

Time for compliance with Judicial Code, § **10.0-4(g)**Unswayed by partisan interests, etc., § **10.2-2.4(c)**

Witnesses

Appearance of impropriety, § **10.3-3.1(d)**

Disqualification of Judges, this index

Writing, extra-judicial activities, § **10.3-3.1(d)**

INDEX

JURISDICTION

- Discipline, acts in foreign state, **R 8.5; § 8.5-1**
- Suspended and disbarred attorneys, jurisdiction over, **§ 8.5-1(d)**
- Unauthorized practice of law, **§ 5.5-2**

JURORS

- Influencing corruptly, **R 3.5(a); § 3.5-1**
- Judges criticizing, **CJC R. 2.8(B); § 10.2-2.8(e)**

K

KNOWLEDGE AND SKILL

- Competence, **R 1.1 Comments 1-4**

L

LABOR ORGANIZATIONS

- Conflicts of interest, **§ 1.7-6(j)**

LAW CLERKS

- Conflicts of interest
 - Judges, former, disqualification, **§ 1.12-1(a)**
 - Successive government and private employment, **§ 1.11-3(h)(1)**
- Disqualification of judge, **§ 10.2-2.11(d)(2)(v)(4)**

LAW FIRMS

- Advertising, **§ 7.1-3(d)**
- Ancillary businesses, **R 1.8(a), 4-2, 5.7; §§ 4-1**
- Assistants, non-lawyer, **R 5.3; §§ 7-7.2; 37-1, 37-2**
- Confidentiality
 - Consulting outside, **§ 7-3**
 - Contractors, outside, **§ 5.3-2**
 - Imputation, **§ 1.6-6(a)**
 - Non-lawyer assistants, **§ 1.6-7(b)**
 - Same firm, within, **§ 1.6-7(a)**
 - Supervisory duty, **§ 1.6-7**
- Conflicts of interest
 - Former clients' confidentiality, **§§ 1.9-1(a) to 1.9-1(b)(5)**
 - Imputed disqualification, **R 1.10; §§ 1.10-1 to 1.10-7(d)(4)**
 - Successive government and private jobs, **R 1.11; §§ 1.11-1 to 1.11-4(b)**
- Consulting outside, confidentiality, **§ 1.6-3**
- Departing lawyers, **§§ 5.6-1(d), 5.6-1(e); § 7.3-4**
- Discipline, intra-firm, **§ 5.1-3**
- Discrimination, employment, **§ 5.2-3**
- Duties as member, generally, **R 5.1; § 5.1-1**
- Ethics liability, ethics liability compared, **§ 5.1-4**
- Identity programs, names, **§ 7.5-2**
- Imputation
 - Confidentiality, **§ 1.6-6(a)**
 - Conflicts of interest, **R 1.10; §§ 1.10-1 to 1.10-7(d)(4)**

LAW FIRMS—Cont'd

- Joint responsibility assumption, sale of practice compared, § 1.5-4(d)
- Law-related services, **R 1.8(a), 1.3-2(c), 5.7; § 5.7-1**
- Lay persons, managerial responsibility, § 5.4-3(a)
- Liability, tort or ethics, § 5.4-4
- Malpractice liability, imputed, § 1.1-2(b)
- Membership duties, generally, **R 5.1, 5.2-1, 5.2; §§ 5.1-1**
- Names
 - Generally, **R 7.5; §§ 7.5-1 et seq.**
 - Identity programs, § 7.5-2
 - Partnerships, implied, § 7.5-4
 - Public officers, § 7.5-1
 - Trade names, § 7.5-3
- Non-lawyer assistants, **R 5.3; §§ 1.6-7(b); 5.3-1, 5.3-2**
- Partnerships, implied, § 7.5-4
- Public officers, names, § 7.5-1
- Sale of practice
 - Generally, **R 1.17; §§ 1.17-1, 1.17-2**
 - Sole practitioner's death, **R 1.17; § 1.17-2**
- Solicitation by departing lawyers, § 7.3-4
- Supervisory duties, **R 5.1, 5.2-1 to 5.2-3, 5.2; §§ 1.6-7; 5.1-2**
- Tort liability, ethics liability compared, § 5.1-4
- Trade names, § 7.5-3
- Words and phrases, § 1-10
- Wrongful discharge, § 5.2-2; § 1.16-3

LAW REFORM ACTIVITIES AFFECTING CLIENT

- Generally, **R 6.4; §§ 4.5-1 to 4.5-3**
- Adverse activities, **R 6.4; § 4.5-1**
- Coinciding activities, **R 6.4; § 4.5-2**
- Conflicts of interest, **R 6.4; §§ 4.5-1 to 4.5-3**
- Secret identity of client, **R 6.4; § 4.5-3**

LAW-RELATED SERVICES

- Generally, **R 5.7; §§ 5.7-1 to 5.7-2(b)**
- Ancillary to business of firm, § 5.7-1
- Definition, **R 5.7(b); § 5.7-1**
- Special ethics issues, §§ 5.7-2 to 5.7-2(b)

LEGAL AUDITING OF LAW FIRM BILLING

- Generally, §§ 1.5-1(c); 6-1.3

LEGAL SERVICE PLANS

- Solicitation, § 7.3-3

LEGAL SERVICES ORGANIZATIONS

- Generally, **R 6.3; § 6.3-1**
- Advertising, §§ 7.2-3(c), 7.2-3(d)
- Conflicts of interest, §§ 1.2-1(d), 1.2-1(e), 1.7-6(m)
- Director, private attorney, § 1.7-6(m)

INDEX

LEGAL SERVICES ORGANIZATIONS—Cont'd

Membership, **R 6.3; § 6.3-1**

Private clients, **§ 1.2-1(d)**

LEGISLATORS

Misconduct by lawyer/legislators, **§ 8.4-3**

LETTERHEADS

Law firm name, **§ 7.5-2**

LIABILITY

Confidential matter disclosure, **§ 1.6-12(e)**

Law firms, **§ 5.1-4**

Malpractice, **§ 1.1-2(b)**

LIABILITY INSURANCE SETTLEMENT

Conflicts of interest, **1.7-6(n)(3), §§ 1.7-6(n)(3)**

LIENS

Conflicts of interest, **§ 1.8-1(a)**

LIMITATION OF ACTIONS

Foreign state actions, disciplinary jurisdiction, **R 8.5; § 8.5-2**

LITERARY RIGHTS

Conflicts of interest, **R 1.8 Comment 3, R 1.8(d); § 1.8-5**

LOANS TO CLIENTS

Fixed fees, **§ 1.5-6(c)(2)**

LOCAL RULES

Withdrawal, **§ 1.16-2(a)**

LOYALTY TO CLIENT

Conflicts of interest, **R 1.7 Comments 1-5**

LYING

Third party relations, **R 4.1; §§ 4.1-1 to 4.1-3(c)**

M

MAINTENANCE, CHAMPERTY, AND BARRATRY

Generally, **§ 1.5-6(c)(1)**

MALICIOUS PROSECUTION

Counseling or aiding torts, **§ 1.2-4(c)**

MALPRACTICE

Competence, **§§ 1.1-2(a), 1.1-2(b)**

Conflicts of Interest, this index

Governing law, **§ 1-9(c)(3)**

Imputed liability, **§ 1.1-2(b)**

Model rules, **§ 1-9(c)(3)**

Waiving competence, **§ 1.1-2(a)**

MANAGERIAL RESPONSIBILITY

Lay persons sharing, prohibition, § 5.4-3(a)

MANDAMUS

Disqualification, § 1.10-7(d)(3)

MANDATORY PRO BONO

Generally, §§ 6.1-2(a) to 6.1-2(d)

MANDATORY WITHDRAWAL

Generally, R 1.16 Comments 2, 3; § 1.16-2(b)(1)

MARRIAGE TO ATTORNEY

Conflicts of interest, R 1.7 Comment 11; § 1.7-6(g)

MARY CARTER AGREEMENTS

Fairness to opposing party and counsel, § 3.4-8

MATERIAL FACTS

Candor and truthfulness, R 3.3, 4.1; §§ 3.3-3; 4.1-1 et seq.

MATERIALLY LIMITED

Conflicts of interest, § 1.7-1(b)

MATRIMONIAL ACTIONS

Contingent fees, R 1.5; § 1.5-3(e)

MEDIA

Barring from courtroom, § 10.2-2.10(j)

MEDIATION

Generally, R 2.2; § 2.2-1; Appendix G

Adjudication distinguished, § 1.12-1(c)

Arbitrator, partisan distinguished, § 1.12-1(b)

Confidentiality, R 2.2 Comments 6, 7

Conflicts of interest, § 1.7-2(b), 1.7-2(d)

Fees, § 1.5-2(d)

Intermediation distinguished, § 2.2-1

Judges' extra-judicial activities, § 10.3-3.9(b)

Multi-client mediation, § 2.2-1

Privilege, R 2.4 Comment 5

Third Party Neutral, et seq, § 2.4-1

MENTAL ANGUISH

Public image of lawyers, § 1-7

MENTAL INCOMPETENTS

Emergency Legal Services, § 1.14-3

Representing, R 1.14; §§ 1.14-1 to 1.14-2

MERITORIOUS CLAIMS AND CONTENTIONS

Frivolous Claims or Arguments, this index

MISCONDUCT

Generally, R 8.4; §§ 8.4-1 et seq.

INDEX

MISCONDUCT—Cont'd

- Administration of justice, impeding, §§ 8.4-2(e), 8.4-2(e)(1)
- Agency in disciplinary rule violation, §§ 8.4-2(a), 8.4-2(b)
- Appearance of impropriety, § 8.4-2(i)
- Assisting Judicial Code violation, § 8.4-2(g)
- Corrupt influence of government official, implying, § 8.4-2(f)
- Criminal action, threatening in civil case, § 8.4-2(h)
- Criminal acts, § 8.4-2(c)
- Disciplinable conduct defined, generally, § 8.4-1(a)
- Disciplinary action, threatening, § 8.4-2(h)
- Disciplinary rule violation, §§ 8.4-2(a), 8.4-2(b)
- Dishonesty, generally, § 8.4-2(d)
- Fairness to Opposing Party and Counsel, this index
- Government official, implying corrupt influence, § 8.4-2(f)
- Implying corrupt influence of government official, § 8.4-2(f)
- Judicial Code violation, assisting, § 8.4-2(7)
- Lawyer-legislators, §§ 8.4-3(a), 8.4-3(b)
- Legislators, lawyers as, §§ 8.4-3(a), 8.4-3(b)
- Politically incorrect speech, § 8.4-2(e)(1)
- Prejudicing judicial administration, §§ 8.4-2(e), 8.4-2(e)(1)
- Racist speech, § 8.4-2(e)(1)
- Reporting Misconduct, this index
- Sexist speech, § 8.4-2(e)(1)
- Speech, offensive, § 8.4-2(e)(1)
- Threats in civil cases, § 8.4-2(h)
- Unauthorized Practice of Law, this index

MISREPRESENTATION

- Candor and Truthfulness, this index
- False advertising. Advertising, this index

MODEL RULES OF PROFESSIONAL CONDUCT

- Historical background, §§ 1-1(e) to 1-1(e)(4)
- Malpractice laws, § 1-9(c)(3)
- Preamble, § 1-9(b)
- Rule of reason, § 1-9(c)(1)
- Scope, § 1-9(a)

MOTION TO DISQUALIFY

- Conflicts of interest
 - Generally, § 1.7-6(p)
 - Imputed disqualification, §§ 1.10-7(d) to 1.10-7(d)(4)

MULTI-DISCIPLINARY PRACTICE (MDP)

- Unauthorized practice of law, § 5.5-3(c)

MULTI-JURISDICTIONAL PRACTICE OF LAW (MJP)

- Unauthorized practice of law, § 5.5-2

MULTIPLE CLIENTS

- Conflicts of Interest, this index

MUTUAL FUNDS

Disqualification of judge, § 10.2-2.11(f)(4)(vii)

MUTUAL INSURANCE

Disqualification of judge, § 10.2-2.11(f)(6)

N

NAMES

Law Firms, this index

NECESSITY DOCTRINE

Disqualification of judge, § 10.2-2.11(d)(2)(iii)

NEGLECT

Diligence, § 1.3-2(b)

NEGOTIATIONS

Conflicts of interest

Adverse parties, §§ 1.7-3(d), 1.7-6(f)

Successive employments, §§ 1.11-3(h), 1.11-3(h)(1)

NOISY WITHDRAWAL

Confidentiality, R 1.6 Comments 14-15; § 1.6-12(e)(6)

NONLEGAL BACKGROUND

Attorney not to ignore, R 2.1 § 2.1-3

NON-REFUNDABLE RETAINERS

Fees, § 1.5-1(e)

O

OF COUNSEL

Advertising, § 7.1-3(c)

Disqualification, imputed, § 1.10-6(d)

OMISSION OF MATERIAL FACT

Generally, R 4.1; §§ 4.1-1 to 4.1-3(c)

OPINIONS OF CLIENT

Separateness of lawyer, R 1.2 Comment 5; § 1.2-1(c)

OPPORTUNITY COSTS

Fees, § 1.5-1(d)

ORGANIZATION AS CLIENT

Generally, R 1.13; §§ 1.13-1 et seq.

Actual or apparent representation, § 1.13-3

Corporation as Client, this index

Exhausting internal remedies, §§ 1.13-2(b), 1.13-2(e)

Government Agency Lawyers, this index

Partnerships, § 1.13-4

Trade organizations, § 1.13-5

INDEX

OVERDRAFTS

Trust fund accounts, § 1.15-4; Appendix A

OVERREACHING

Conflicts of interest, R 1.8(a); §§ 1.8-2, 1.8-2(a)

P

PARENT AND SUBSIDIARY CORPORATIONS

Corporation as client, §§ 1.13-7 et seq.

PARTIES TO ACTIONS

Communication, this index

Confidentiality among co-parties, § 1.6-6

Disqualification of judge

Acquaintance, § 10.2-2.11(d)(2)(v)

Family relationship, § 10.2-2.11(f)(4)(v)

Fairness to Opposing Party and Counsel, this index

Pro Se Parties, this index

PARTNERSHIPS

Law firm name implying, § 7.5-4

Representing, generally, § 1.13-4

Unauthorized practice of law, §§ 5.5-7(a) et seq.

Words and phrases, § 1-10

PART-TIME JUDGES

Continuing part-time judges, § 10.0-4(d)

Periodic part-time judges, § 10.0-4(c)

Pro tempore part-time judges, § 10.0-4(f)

PATIENCE

Judges, § 10.2-2.8(c)

PAY TO PLAY

Generally, R. 7.6; § 7.6-1

Enforcement, § 7.6-2

Judicial Code, § 10.2-2.13(c)

PENALTIES

Sanctions, this index

PERJURY

Candor and Truthfulness, this index

Fairness to opposing party and counsel, § 3.4-3(e)

PERMISSIVE WITHDRAWAL

Generally, R. 1.16 Comment 7; §§ 1.16-2(b)(2), 1.16-2(b)(3)

PERSONAL BIAS OR PREJUDICE

Disqualification of judge

Generally, § 10.2-2.11(e)

Actual bias or prejudice, § 10.2-2.11(e)(6)

PERSONAL BIAS OR PREJUDICE—Cont'd

Disqualification of judge—Cont'd
Extrajudicial bias, § 10.2-2.11(e)(3)

PERSONAL INJURY

Confidentiality exception, § 1.6-12(e)(2)
Contingent fees, § 1.5-3(f)

PERSONAL OPINIONS

Rejecting cases, § 1.2-1(b)

PHYSICAL EVIDENCE

Fairness to opposing party and counsel, § 3.4-1

PIERCING CORPORATE VEIL

Corporation as client, § 1.13-7(c)

POLITICAL ACTIVITIES

Contributions to obtain government appointments, §§ 7.6-1 et seq.
Judges, this index

POSITIONAL CONFLICTS

Conflicts of Interest, this index

POWER COUPLED WITH AN INTEREST

Withdrawal, § 1.16-2(a)

PREEMPTION

Conflicts of interest, successive employments, § 1.11-3(f)

PREJUDICE

Confidential matter disclosure, R 1.6 Comments 11-15; §§ 1.6-10(a), 1.6-10(b)

PREPAREDNESS

Competence, R 1.1 Comment 4

PRICE-FIXING

State action, § 1.5-1(g)

PRIVILEGED COMMUNICATIONS

Confidentiality, this index
First Amendment, this index
Judges, this index
Represented parties, § 4.2-6(d)

PROBABLE CAUSE

Prosecutor's duties, § 3.8-2(a)

PRO BONO OR APPOINTED COUNSEL

See also Legal Services Organizations
Generally, R 6.1; §§ 6.1-1 et seq.
Accepting or rejecting, R 6.2; §§ 6.2-1, 6.2-2
Alternative service, § 6.1-2(d)
Aspirational rule, § 6.1-2(a)
Compelling reasons for rejecting appointment, R 6.2; § 6.2-2

INDEX

PRO BONO OR APPOINTED COUNSEL—Cont'd

- Compulsory pro bono proposals, §§ 6.1-2(a) to 6.1-2(d)
- Conflicts of interest, §§ 1.2-1(d), 1.2-1(e)
- Constitutional limitations on the power of the federal government to use its spending power to regulate legal services, § 6.3-1
- Fees, generally, §§ 6.1-4(a), 6.1-4(b)
- Financial support for programs, §§ 6.1-3(a), 6.1-3(b)
- Indigent persons, generally, § 6.1-4(a)
- Interest on lawyer trust account (IOLTA) programs, § 6.1-3(b)
- IOLTA programs, financial support, § 6.1-3(b)
- Mandatory pro bono work, §§ 6.1-2(a) to 6.1-2(d)
- Proposals, compulsory pro bono, §§ 6.1-2(a) to 6.1-2(d)
- Rejecting appointment, R 6.2; §§ 6.2-1, 6.2-2
- Sharing fee awards, § 5.4-1(a); 6.1-4(b)
- Trust account (IOLTA) programs, § 6.1-3(b)

PROFESSION

- Generally, §§ 1-6 et seq.
- Advertising limits, § 1-6(e)
- Certification, § 1-6(b)
- Competition, limiting, § 1-6(e)
- Fiduciary relationship, § 1-6(f)
- History, § 1-6(a)
- Incompetence, peer review, § 1-6(c)
- Peer review, incompetence, § 1-6(c)
- Selflessness, § 1-6(d)

PROFESSIONAL LIABILITY INSURANCE

- Conflicts of interest, §§ 1.7-6(n)(2), 1.7-6(n)(3)

PROPERTY INTEREST IN CAUSE OF ACTION

- Generally, R 1.8 Comment 16, R 1.8(i); §§ 1.8-10 to 1.8-10(b)

PROPORTIONALITY

- Permissive withdrawal, § 1.6-2(b)(3)

PROSECUTOR'S DUTIES

- Civil cases, § 3.8-3
- Criminal Cases, this index

PRO SE LITIGANTS

- Unauthorized practice of law
 - Aiding unauthorized practice, § 5.5-6(b)
 - Corporations, § 5.5-7(a)

PRO SE PARTIES

- Dealing with, generally, R 4.3; § 4.3-1
- Prosecutor's duty, § 3.8-2(b)

PROSPECTIVE CLIENTS

- Generally, R. 1.18; § 1.18-1 et seq
- Confidentiality protection, §§ 1.6-4, 1.18-1(b)

PROSPECTIVE CLIENTS—Cont'd

Imputed Disqualification, § 1.18-2

Loyalty, § 1.18-1(c)

PROTECTION FUNDS

Trust fund accounts, § 1.15-6

PUBLICATION RIGHTS

Conflicts of interest, R 1.8 Comment 9, R 1.8(d); § 1.8-5

PUBLIC EMPLOYEE

Conflicts of interest, § 1.11-3(d)

PUBLIC IMAGE OF LAWYERS

Barracudas, § 1-7

PUBLICITY

Generally, R 3.6; §§ 3.6-1 to 3.6-3

Comment by lawyer, R 3.6; § 3.6-1

Criminal cases, § 3.8-2(e)

Gentile case, §§ 3.6-2, 3.6-3

Prosecutor's duty, § 3.8-2(e)

PUBLIC OFFICERS

Law firm names, § 7.5-1

R

RACISM

Misconduct, § 8.4-2(e)(1)

RATIFYING CLIENT'S ACTS

Separateness of lawyer, R 1.2 Comment 5; § 1.2-1(c)

REASONABLENESS

Communication, § 1.4-1(d)

Conflicts of interest, R 1.8 Comments 1, 2

Expediting litigation, § 3.2-1

Fees, §§ 1.5-1(a) et seq.

Words and phrases, § 1-10

RECIPROCITY

Unauthorized practice of law, § 5.5-2

RECORDING CONVERSATIONS

Surreptitious recording, § 4.4-2

RECORDKEEPING

Advertising, R 7.2(b); § 7.2-3

Trust fund accounts, § 1.15-1(b); Appendix B

RECUSAL OF JUDGE

Disqualification of Judge, this index

INDEX

REFERRAL FEES

- Advertising, § 10.3-3.10(d)
- Judges' extra-judicial activities, § 10.4-5(b)

REJECTING CASE

- Accepting or Rejecting case, this index

RELATED SERVICES

- Law-Related Services, this index

RELATING TO REPRESENTATION

- Confidentiality, § 1.6-1(b)

RELIGIOUS AFFILIATION

- Disqualification of judge, § 10.2-2.11(e)(5)

REMARKS

- Disqualification of judge, § 10.2-2.11(e)(4)

REPORTING MISCONDUCT

- Generally, R 8.3; §§ 8.3-1 et seq.
- Assistance programs, § 8.3-2(b)
- Confidentiality, § 8.3-2(a)
- Constitutional privileges, § 8.3-2(c)
- Conversion by lawyer/client, § 8.3-1(c)
- Duty to report, generally, § 8.3-1
- Fitness called into question, § 8.3-1(c)
- Fraud by lawyer/client, § 8.3-1(c)
- Historical background, §§ 8.3-1(a), 8.3-1(b)
- Judges, this index
- Judicial misconduct and reporting by judges, § 8.3-6
- Privilege
 - Generally, § 8.3-2(a) et seq
 - Confidentiality, § 8.3-2(a)
 - Constitutional privileges, § 8.3-2(c)
 - Lawyer assistance programs, § 8.3-2(b)
 - Self-incrimination, § 8.3-2(c)
- Self-incrimination privilege, § 8.3-2(c)
- Self-reporting, § 8.3-1(d)(3)
- Serious violations, §§ 8.3-1(c), 8.3-1(d)(1)

RESTATEMENT GOVERNING LAWYERS

- Generally, §§ 1-3, 1.4
- American Law Institute background, § 1-3(b)
- Change in law, proposed, § 1-4(b)
- Confidentiality, § 1.6-10(b)
- Conflicts of interest
 - Positional conflicts, § 1.7-6(o)(3)
- Screening, § 1.10-3
- Influence, § 1-4(a)
- Lobbying efforts, § 1-4(c)

RESTATEMENT GOVERNING LAWYERS—Cont'd

- Screening, § 1.10-3
- Statistics, citation, § 1-4(a)
- Withdrawal, permissive, § 1.16-2(b)(4)

RESTRICTIVE COVENANTS AND CONTRACTS

- Generally, **R 5.6; §§ 5.6-1 et seq.**
- Confidentiality agreements imposed on departing lawyers, §§ 5.6-1(e)
- Contracts, generally, §§ 5.6-1(a) to 5.6-1(e)
- Court enforcement of contracts, § 5.6.1(b)
- Departing lawyers, §§ 5.6-1(d), 5.6-1(e)
- Government agency lawyers, § 5.6-2(c)
- Hiring the former adversary, § 5.6-2(a)
- Respondent, lawyer as, § 5.6-2(b)
- Retirement agreements, § 5.6-1(c)
- Settlements, restrictive covenants, §§ 5.6-2 et seq.

RETAINING OR DISCHARGING ATTORNEY

- Contract, § 1.2-1(a)
- Wrongful discharge, § 5.2-2; § 1.16-3

RETIREMENT

- Restrictive covenants and contracts involving the retirement of a lawyer, § 5.6-1(c)

REVERSE CONTINGENT FEES

- Generally, § 1.5-3(i)

RIGHT TO COUNSEL

- Criminal cases, § 1.7-6(d)

RULE OF NECESSITY

- Disqualification of judge, § 10.2-2.11(d)(2)(iii)

RULE OF REASON

- Model rules, § 1-9(c)

S

SAFEKEEPING OF PROPERTY

- Trust Fund Accounts, this index

SALE OF PRACTICE

- Generally, **R 1.17; §§ 1.17-1, 1.17-2**
- Confidentiality, **R 1.17 Comments 7-9**
- Death of sole practitioner, **R 1.17; § 1.17-2**
- Fees, sharing with lay person, § 1.17-1
- Single purchaser, **R 1.17 Comments 5, 6**
- Termination of practice, **R 1.17 Comments 2-4**

SANCTIONS

- Conflicts of interest, §§ 1.10-7(a) to 1.10-7(d)(4)
- Frivolous claims or arguments, §§ 3.1-2, 3.1-2(a)

INDEX

SANCTIONS—Cont'd

Represented parties, communicating directly, §§ 4.2-1, 4.2-2(c)
Standards, **Appendices H, I**

SCOPE OF REPRESENTATION

Generally, **R 1.2**; §§ 1.2-1(a) et seq.

SCREENING

Conflicts of Interest, this index

SETTLEMENT

Conflicts of interest
Aggregate settlements, **R 1.8(g)**; § 1.8-8
Malpractice action, § 1.8-9(b)(1)
Notice to insured, §§ 1.7-6(n)(2), 1.7-6(n)(3)
Contingent fees, **R 1.5**; § 1.5-3(g)
Ex parte communication, § 10.2-2.9(g)
Restrictive covenants and contracts, §§ 5.6-2 et seq.

SEXISM

Misconduct, § 8.4-2(e)

SEXUAL HARASSMENT

Judges, § 10.2-2.3(a)

SEXUAL RELATIONS WITH CLIENTS

Conflicts of interest, § 1.8-11

SHARING FEES

Fees, this index

SHIFTING FEES

Statutes, § 1.5-1(j)

SIGNS

Law firm name, § 7.5-2

SOCIAL MEDIA

Ex parte communications by judges, § 10.2-2.9(b)(2)

SOFTWARE

Practice of law, § 5.5-3(d)

SOLE PRACTITIONER

Death, disposition of client files, **R 1.17**; § 1.17-2

SOLICITATION

See also Advertising
Generally, **R 7.3**; §§ 7.3-1 to 7.3-4
Departing lawyers taking clients, § 5.6-1(d); § 7.3-4
Direct contact with prospect, §§ 7.3-2(a) to 7.3-2(b)
Legal service plans, § 7.3-3

SPEAKING, EXTRA-JUDICIAL ACTIVITIES

Judges, § 10.3-3.1(d)

SPECIALTY PRACTICE

Advertising, **R 7.4; § 7.4-2**

SPEECH RESTRICTIONS

Judges

Appearance of impropriety, **§ 10.2-8(a)**

Ex parte communication, **§ 10.3-9(a)**

Political activities, **§§ 10.5-4(a), 10.5-4(b)**

Misconduct, **§ 8.4-2(e)**

SPLITTING FEES

Sharing fees. Fees, this index

SPOUSES

Conflicts of interest, **R 1.7 Comment 11; § 1.7-6(g)**

SPURIOUS CLAIMS OR ARGUMENTS

Frivolous Claims or Arguments, this index

STANDARD OF REVIEW, APPEARANCE OF IMPROPRIETY

Judges, **§ 10.2-2**

STANDING TO SUE

Judges

Personal capacity, suing judge, **Canon 3B(8); § 10.2-2.10(c)**

STATUTES OF LIMITATION

Foreign state actions, disciplinary jurisdiction, **R 8.5; § 8.5-1(b)**

SUBJECT MATTER IN CONTROVERSY

Disqualification of judge, **§ 10.2-2.11(l)**

SUBORNING PERJURY

Fairness to opposing party and counsel, **§ 3.4-3(e)**

SUBPOENAS

Criminal cases, **§ 3.8-2(d)**

SUBSIDIARY CORPORATIONS

Corporation as client, **§§ 1.13-7 et seq.**

SUBSTANTIAL BODILY HARM

Confidentiality exception, **§ 1.6-12(e)(2)**

SUBSTANTIAL RELATIONSHIP TEST

Conflicts of interest, **§ 1.9-1(b)(3)**

SUBSTANTIAL RESPONSIBILITY

Conflicts of interest, **§ 1.11-3(c)**

SUCCESSIVE EMPLOYMENTS

Conflicts of Interest, this index

SUMMATION TO JURY

Fairness to opposing party and counsel, **§ 3.4-6**

INDEX

SURVEILLANCE

Tape recording conversations, § 4.4-2(b)

T

TACTICS

Fairness to opposing party and counsel, § 3.4-6

TAPE RECORDING

Surveillance, surreptitious, § 4.4-2(b)

TASK-BASED BILLING

Fixed fees. Fees, this index

TAX CASES

Frivolous claims or arguments, § 3.1-1(b)

TEACHING, EXTRA-JUDICIAL ACTIVITIES

Judges, § 10.3-3.1(d)

TELEVISION IN COURTROOM

Judges, § 10.2-2.10(j)

TEMPORARY EMPLOYEES

Conflicts of interest, § 1.10-5(c)

TERMINATING REPRESENTATION

Discharging lawyer

Generally, **R 1.16 Comments 4-6**; §§ 1.16-2(a), 1.16-2(b)(4), 1.16-3

Wrongful discharge, § 1.16-3

Sale of practice, **R 1.17 Comments 2-5**

Withdrawal, this index

TESTIMONY

Evidence and Witnesses, this index

THIRD PARTY RELATIONS

Fee payment, **R 1.8 Comment 4**; § 1.8-7

Harassment, **R 4.4**; § 4.4-1(a)

Threatening criminal charges, § 4.4-1(c)

Truthfulness, **R 4.1**; §§ 4.1-1 to 4.1-3(c)

THIRD PARTY RELATIONSHIPS

Candor and truthfulness, **R 4.1** §§ 4.1-1 to 4.1-3(c)

Dilatory tactics, **R 4.4**

Disciplinary action threats, § 4.4-1(b)

Evaluation role, **R 2.3**; §§ 2.3-1 to 2.3-3

Recording conversations, § 4.4-2(b)

THREATS

Criminal charges, § 4.4-1(b)

Misconduct, § 8.4-2(h)

TRADE NAMES

- Law firm names, § 7.5-3
- Represented parties, communicating with, § 4.2-6(d)

TRADE ORGANIZATIONS

- Representing, generally, § 1.13-5

TRIAL

- Disruptive behavior, R 3.5(d); § 3.5-2
- Publicity, R 3.6; §§ 3.6-1 to 3.6-2

TRUST FUND ACCOUNTS

- Generally, R 1.15; §§ 1.15-1 et seq.
- Audits, § 1.15-3; Appendix C
- Disaster preparedness, § 1.15-7
- Disputes over trust fund property, § 1.15-1(e)
- Establishing, §§ 1.15-1(a) to 1.15-1(e)
- Interest on lawyer trust accounts (IOLTA), § 1.15-6
- Notice
 - Overdraft, § 1.15-4; Appendix A
 - Payee, § 1.15-2
- Overdraft notice, § 1.15-4; Appendix A
- Payee notification, § 1.15-1(d); Appendix D
- Pro bono or appointed counsel, § 6.1-3
- Protection funds, § 1.15-5; Appendix E
- Recordkeeping, § 1.15-1(b); Appendix B
- Safekeeping, generally, § 1.15-1(b)

U

UNAUTHORIZED PRACTICE OF LAW

- See also, Restrictive Covenants and Contracts
- Generally, R 5.5; §§ 5.5-3 et seq.
- Accountants, § 5.5-3(c)
- Aiding in unauthorized practice, §§ 5.5-6(a) to 5.5-6(c)
- Associations' practice of law, §§ 5.5-7(a) et seq.
- Computer programs as practice of law, § 5.5-3(d)
- Corporations
 - Insurance company's in-house counsel, § 5.5-7(a)
 - Pro se litigants, § 5.5-7(a)
- Crime, § 5.5-5
- Crossing state lines, § 5.5-2
- Declaratory judgment, § 5.5-5
- Disbarred or suspended lawyer, aiding, § 5.5-6(c)
- Do-it-yourself kits as practice of law, § 5.5-3(d)
- Entities' practice of law, §§ 5.5-7 to 5.5-7(b)
- Fees
 - Action defense, § 5.5-3(c)
 - Sharing with lay persons, §§ 5.4-1(a) to 38-1(e)(2)

INDEX

UNAUTHORIZED PRACTICE OF LAW—Cont'd

- Injunctions, § 5.5-3(c)
- Insurance company's in-house counsel, § 5.5-7(b)
- Jurisdiction, § 5.5-2
- Kits as practice of law, § 5.5-3(d)
- Loss of attorney client privilege not a remedy, § 5.5-5
- Multi-disciplinary practice (MDP), § 5.5-23
- Partnerships' practice of law, §§ 5.5-7(a) et seq.
- Practice of law, defined, §§ 5.5-1, 5.5-3(c)
- Pro se litigants
 - Aiding unauthorized practice, § 5.5-6
 - Corporations, § 5.5-7(a)
- Rationale, generally, § 5.5-2
- Remedies, generally, § 5.5-5

UNBUNDLING LEGAL SERVICES

- Generally, § 1.2-3(e), 1.5-6(d)

UNDERCOVER OPERATIONS

- Generally, § 3.4-3(e), 4.2-1(b)

UNION MEMBERSHIP

- Conflicts of interest, § 1.7-6(j)

UNPUBLISHED OPINIONS

- Fairness to opposing party and counsel, § 3.4-5(a)

V

VIDEO CAMERAS IN COURTROOM

- Judges, § 10.2-2.10(j)

W

WAIVER AND ESTOPPEL

- Confidentiality, this index
- Conflicts of Interest, this index
- Diligence, § 1.3-3
- Disqualification of judge, § 10.2-2.11(n)

WARNINGS

- Confidentiality exception, § 1.6-12(h)

WEBSITES

- Advertising, § 7.1-3(e)

WITHDRAWAL

- Generally, R 1.16; §§ 1.16-2 et seq.
- Agency principles, § 1.16-2(a)
- Candor and truthfulness, §§ 3.3-3, 3.3-6
- Confidentiality, R 1.6 Comments 16-17; § 1.6-12(e)(6)

WITHDRAWAL—Cont'd

Discharging lawyer

Generally, **Comments 4-6; §§ 1.16-2(a), R 1.16, 1.16-2(b)(4)**

Wrongful discharge, § **1.16-3**

Hot potato doctrine, § **1.7-5**

Local rules governing, § **1.16-2(a)**

Mandatory withdrawal, **R 1.16 Comments 2, 3; § 1.16-2(b)(1)**

Overriding principles, generally, § **1.16-2(a)**

Permissive withdrawal, **R. 1.16 Comment 7; §§ 1.16-2(b)(2), 1.16-2(b)(3)**

Power coupled with an interest, § **1.16-2(a)**

Proportionality and permissive withdrawal, § **1.16-2(b)(3)**

Restatement Governing Lawyers, permissive withdrawal, § **1.16-2(b)(3)**

Wrongful discharge, § **1.16-3**

WITNESSES

Disqualification of judge

Acquaintance, § **10.2-2.11(d)(2)(v)**

Family relationship, § **10.2-2.11(f)**

Judge as, **CJC R. 3.3**

Evidence and Witnesses, this index

Judges

Appearance of impropriety, § **10.2-2.11(k), 10.3-3.3**

Disqualification of Judges, this index

WORDS AND PHRASES

Generally, §§ **1-2(a), 1-10**

Appearance of impropriety, § **1-2(a)(1)**

Aspirational duty, § **1-2(a)**

Belief, § **1-10**

Consultation, § **1-10**

Fraud, § **1-10**

Judicial duties, **Canon 3A**

Law firm, § **1-10**

Law-related services, **R 5.7(b); § 5.7-1**

Mandatory duty, § **1-2(a)**

Partner, § **1-10**

Practice of law, §§ **5.5-1(a) to 5.5-1(d)**

Profession, § **1-6(e)**

Reasonable, § **1-10**

Selling practice, § **1.17-1**

Substantial, § **1-10**

WORKLOAD

Diligence, § **1.3-2(d)**

Rejecting cases, § **1.2-1(b)**

WRITING, EXTRA-JUDICIAL ACTIVITIES

Judges, § **10.3-3.1(d)**

INDEX

WRONGFUL DISCHARGE

Attorney, generally, § **1.16-3**; § **5.2-2**

Withdrawal, § **1.16-3**

