

Table of Laws and Rules

CIVIL RIGHTS ACT OF 1964

Sec.	Sec.
Title VII	Pg. 798

CLAYTON ACT

Sec.	Sec.	Sec.	Sec.
3	Pg. 792	7A(d)	Pg. 1180

EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974

Sec.	Sec.	Sec.	Sec.
201(2).....	Pg. 1060	401(a)(1).....	Pg. 1060
301(c)(3).....	Pg. 1060		

FEDERAL TRADE COMMISSION ACT

Sec.	Sec.
5	Pg. 792

INTERNAL REVENUE CODE

Sec.	Sec.	Sec.	Sec.
1	Pgs. 41, 400	56(b)(3)	Pg. 688
1(h)	Pgs. 41, 1160	57(a)(7)	Pg. 1161
1(h)(11)	Pg. 424	79.....	Pg. 1060
1(h)(11)(B)(i)	Pg. 44	83.....	Pgs. 397, 680
11(a)	Pg. 1160	83(a)	Pgs. 397-398, 680
11(b)	Pg. 44	83(b).....	Pgs. 398, 685
11(b)(3)(B)	Pg. 526	83(i).....	Pg. 684
41(b)	Pg. 405	100(a)	Pg. 51
41(d)	Pg. 405	105	Pg. 1060

INTERNAL REVENUE CODE—Continued

Sec.	Sec.	Sec.	Sec.
108	Pg. 1163	351(a)	Pgs. 51, 53, 75
108(b)(5)	Pg. 1163	351(d)(1)	Pg. 51
108(e)(2)	Pg. 1163	351(g)	Pg. 1192
108(e)(4)	Pg. 1163	351(g)(2)	Pgs. 52, 1195
108(e)(10)	Pg. 1163	351(g)(3)(A)	Pgs. 52, 1195
162	Pg. 41	354(a)(1)	Pg. 1192
162(m)	Pgs. 45, 1061	354(a)(2)(A)	Pg. 1192
162(m)(4)(C)	Pg. 45	356	Pg. 1195
163	Pgs. 400, 882	356(a)(2)	Pg. 1195
163(e)	Pgs. 401, 882	357(b)(1)	Pg. 52
163(e)(5)	Pgs. 883, 894	357(c)(1)	Pg. 52
163(j)	Pgs. 400, 424	358(a)	Pg. 1192
172	Pg. 56	362(b)	Pg. 53
172(b)(3)	Pg. 56	362(e)(2)(A)	Pg. 53
172(g)	Pg. 56	362(e)(2)(C)	Pg. 54
174	Pg. 897	368	Pgs. 64, 1056, 1192
195(b)(1)	Pg. 60	368(a)(1)	Pg. 1192
199A(a)	Pg. 54	368(a)(1)(A)	Pg. 56
243	Pg. 424	368(a)(1)(B)	Pg. 1193
243(a)	Pg. 400	368(a)(1)(C)	Pg. 1193
248(a)(1)(B)	Pg. 60	368(a)(2)(B)	Pg. 1193
248(a)(2)	Pg. 60	368(a)(2)(D)	Pg. 1194
264(a)(1)	Pg. 303	368(a)(2)(E)	Pg. 1194
280G(a)	Pg. 300	368(a)(2)(G)	Pg. 1194
280G(b)(2)(A)	Pg. 300	368(c)	Pgs. 53, 1193
280G(b)(5)(A)	Pg. 300	382	Pgs. 1056-1057, 1196
280G(c)	Pg. 300	382(b)(1)	Pg. 1057
301	Pg. 303	382(c)	Pg. 1057
301(c)	Pg. 400	385(b)	Pg. 400
302	Pg. 400	401	Pg. 1059
302(b)(1)	Pg. 303	409A	Pg. 300
305(a)	Pg. 398	409A(a)(4)	Pg. 694
316(a)	Pg. 400	409A(b)(1)	Pg. 695
318(a)	Pg. 1195	421	Pg. 687
331	Pg. 1162	421(a)(2)	Pg. 688
336	Pg. 45	421(b)	Pg. 687
336(a) and (b)	Pg. 1162	422(b)	Pg. 688
337	Pg. 1186	422(d)	Pg. 688
338	Pgs. 1056, 1196	441(i)	Pg. 60
338(h)(10)	Pgs. 1056, 1197	444	Pg. 60
351	Pgs. 51-53, 54, 75	444(c)(1)	Pg. 60

TABLE OF LAWS AND RULES

INTERNAL REVENUE CODE—Continued

Sec.	Sec.	Sec.	Sec.
453	Pg. 1056	1202	Pgs. 426, 1161
465(a)(1)	Pg. 58	1202(a)	Pg. 1161
465(b)(1)	Pg. 58	1202(b)	Pg. 1161
465(b)(4)	Pg. 58	1202(e)(4)	Pg. 1161
467	Pg. 813	1202(g)	Pg. 1161
469(a)(2)	Pg. 59	1244	Pg. 1161
469(b)	Pg. 59	1271-1275	Pg. 401
469(c)(1)	Pg. 59	1361(b)(1)	Pg. 45
469(e)(2)(A)	Pg. 59	1361(b)(1)(C)	Pg. 45
469(g)	Pg. 59	1361(b)(1)(D)	Pgs. 41, 45
469(h)(1)	Pg. 59	1361(b)(3)	Pg. 46
469(h)(2)	Pg. 59	1361(c)(5)	Pg. 45
702	Pg. 41	1362(b)(1)(B)	Pg. 46
704(a)	Pg. 42	1362(c)(1)(B)	Pg. 45
704(b)	Pg. 42	1362(d)(3)	Pg. 55
704(c)	Pg. 58	1362(d)(3)(A)	Pg. 46
704(c)(1)	Pg. 295	1363	Pg. 46
704(c)(1)(A)	Pg. 53	1366	Pg. 46
704(c)(1)(B)	Pg. 53	1366(d)(1)	Pg. 56-57
704(d)(1)	Pg. 57	1366(d)(3)	Pg. 55
705(a)	Pg. 57	1367	Pg. 1162
706	Pg. 41	1368(c)	Pg. 46
706(b)	Pg. 60	1371(b)(1)	Pg. 55
707(a)(2)(B)	Pg. 53	1371(e)(1)	Pg. 47
709(b)(1)(A)(ii)	Pg. 60	1371(e)(2)	Pg. 55
709(b)(1)(B)	Pg. 60	1374	Pgs. 47, 1162
721	Pg. 52	1374(a)	Pgs. 46, 55
721(a)	Pg. 51	1374(d)(7)(A)	Pg. 55
723	Pg. 53	1375	Pg. 47
731	Pgs. 41, 54	1375(a)	Pg. 55
731(c)(1)(A)	Pg. 42	1378	Pg. 60
752(a)	Pg. 57	1401	Pg. 50
752(b)	Pgs. 42, 57	1402	Pg. 50
1032	Pg. 1196	1402(a)(13)	Pg. 51
1042	Pg. 1173	1411	Pg. 1160
1042(b)(2)	Pg. 1159	2703(b)	Pg. 303
1042(c)(1)	Pg. 1159	3403	Pg. 73
1042(c)(3)	Pg. 1159	4975	Pg. 1190
1045	Pg. 1161	4980B	Pg. 796
1061	Pg. 693	4999(a)	Pg. 300
1201(a)	Pg. 1160	6031	Pg. 41

INTERNAL REVENUE CODE—Continued

Sec.	Sec.	Sec.	Sec.
6221.....	Pg. 44	7519(b).....	Pg. 60
6223.....	Pg. 44	7872.....	Pgs. 401, 1060

ROBINSON-PATMAN ACT

Sec.	Sec.
1.....	Pg. 790

SARBANES-OXLEY ACT

Sec.	Sec.	Sec.	Sec.
306(a).....	Pg. 1041	404(b).....	Pgs. 475, 921
306(a)(2).....	Pg. 1041	404(c).....	Pg. 1033
404.....	Pgs. 1004, 1032	906.....	Pg. 1035
404(a).....	Pg. 1033		

SECURITIES ACT OF 1933

Sec.	Sec.	Sec.	Sec.
2(11).....	Pg. 515	12(b).....	Pg. 477
2(15).....	Pg. 482	13.....	Pg. 477
3(a)(10).....	Pg. 1197	15.....	Pg. 524
3(a)(11).....	Pg. 500	17.....	Pg. 525
3(b).....	Pg. 499	18.....	Pg. 476-478
4(1).....	Pg. 515	27(a)(3).....	Pg. 539
4(a)(2).....	Pg. 481	27(a)(3)(B)(v).....	Pg. 539
4(a)(5).....	Pg. 481-482	27(a)(3)(B)(vi).....	Pg. 539
5.....	Pgs. 471, 500, 509, 957	27(c).....	Pg. 539
11.....	Pgs. 528, 538, 957, 964	27A(a).....	Pgs. 528, 538
11(f)(2).....	Pg. 538	27A(b).....	Pg. 538
12.....	Pg. 958	27A(c).....	Pg. 538
12(1).....	Pg. 18	3 and 4.....	Pgs. 472, 525
12(a)(2).....	Pg. 964		

TABLE OF LAWS AND RULES

SECURITIES ACT

Sec.	Sec.	Sec.	Sec.
2(11).....	Pgs. 533, 1155	5(c).....	Pg. 932
2(a)(3).....	Pg. 496	10(a)(3)	Pg. 521
2(a)(10)	Pg. 932	11	Pgs. 987, 1030
3	Pg. 528	12	Pg. 524
4(2)	Pg. 513	12(a)(2)	Pgs. 937, 939, 967
4(3)	Pg. 971	15	Pg. 73
4(a)(1)	Pgs. 533, 1155, 1158	15(b)	Pg. 532
4(a)(2)	Pgs. 1155, 1158	18	Pg. 934
5.....	Pgs. 486, 535, 961	18(b)(4)	Pg. 513

SECURITIES EXCHANGE ACT OF 1934

Sec.	Sec.	Sec.	Sec.
Title 12(b)	Pg. 1008	16	Pgs. 1037, 1136
3(a)(4).....	Pg. 924	16(a).....	Pg. 1006
10(b) ..	Pgs. 525, 531, 958, 1036, 1184	16(b)	Pgs. 682, 1006, 1036, 1041, 1060, 1156, 1186
10b	Pg. 1065	18.....	Pgs. 981, 1030, 1033, 1054
10b-5	Pg. 1036	20(a)	Pg. 532
12	Pgs. 477, 922, 964-965, 1013, 1013, 1061, 1072	20(c)	Pg. 1022
12(b).....	Pgs. 929, 942	21(a).....	Pgs. 534, 1029
12(g). Pgs. 498, 969, 1006, 1036, 1053		21D(a)(3).....	Pg. 539
13.....	Pgs. 491, 503, 518, 961, 969, 1006, 1051	21D(a)(3)(B)(v).....	Pg. 539
13(a)	Pgs. 498, 972, 1021, 1032	21D(a)(3)(B)(vi).....	Pg. 539
13(d).....	Pg. 1014	21D(c).....	Pg. 539
14.....	Pg. 1006	21D(g)	Pg. 538
14(a).....	Pg. 1058	21D(g)(5).....	Pg. 539
14A.....	Pg. 1020	21E(a)	Pgs. 528, 538
15	Pg. 510	21E(b)	Pg. 538
15(d)....	Pgs. 491, 503, 518, 961, 969, 972, 1006, 1008, 1021, 1032, 1051, 1053, 1072	21E(c).....	Pg. 538
		29(b)	Pg. 436
		29b.....	Pg. 437

SHERMAN ACT

Sec.	Sec.	Sec.	Sec.
1.....	Pg. 791	2.....	Pg. 791

TRUST INDENTURE ACT

Sec.	Sec.
304(a)(8)	Pg. 969

UNITED STATES CODE ANNOTATED

8 U.S.C.A. Sec.	Sec.	15 U.S.C.A. Sec.	Sec.
1160 et seq.	Pg. 797	78u-4(b)(2)	Pg. 537
		78ff	Pg. 1044
11 U.S.C.A. Sec.	Sec.	78ff(a)	Pg. 1046
1145	Pg. 1163	771(1)	Pg. 527
Ch 11	Pg. 1163	771(2)	Pg. 527
		781(g)	Pg. 969
15 U.S.C.A. Sec.	Sec.	1127	Pg. 772
2	Pg. 791	1681 et seq.	Pg. 796
13	Pg. 790	7241	Pg. 1034
13c	Pg. 791		
14	Pg. 792	17 U.S.C.A. Sec.	Sec.
18a(d)	Pg. 1180	901 et seq.	Pg. 773
77	Pg. 968		
77d(a)(6)	Pg. 494	18 U.S.C.A. Sec.	Sec.
77d-1(b)(2)	Pg. 496	1030 et seq.	Pg. 780
77k(a)	Pg. 526	1350	Pg. 1035
77k(b)(3)(B)(i)	Pg. 526		
77o	Pg. 532	26 U.S.C.A. Sec.	Sec.
77p(b)	Pg. 540	1 to 9722	Pg. 796
77q(a)	Pg. 1040	1144	Pg. 779
77v	Pg. 540		
78j	Pg. 1040	29 U.S.C.A. Sec.	Sec.
78j(b)	Pg. 1036	201 et seq.	Pg. 796
78j-1(g)	Pg. 1026	621 et seq.	Pg. 795
78n	Pg. 1040	651 et seq.	Pg. 798
78n(a)	Pg. 1058	1001 et seq.	Pg. 796
78p(b)	Pgs. 1036, 1060	1161 et seq.	Pg. 796
78t	Pg. 532	2611 et seq.	Pg. 797
78t(a)	Pg. 1045		
78t(c)	Pg. 1022	35 U.S.C.A. Sec.	Sec.
78t-1	Pg. 1045	102(g)	Pg. 769
78t-1(b)(3)	Pg. 1045	116	Pg. 767
78u(d)	Pg. 1044		
78u-1	Pg. 1044	42 U.S.C.A. Sec.	Sec.
78u-1(a)(3)	Pg. 1046	2000e et seq.	Pg. 798
78u-4(b)(1)(B)	Pg. 537	12101 et seq.	Pg. 795

TABLE OF LAWS AND RULES

UNITED STATES PUBLIC LAWS

Pub. L. No.	Sec.	Pub. L. No.	Sec.
98-376 (1984)	Pgs. 1036, 1041	104-290 (1996).....	Pgs. 487, 494
99-514 (1986)	Pg. 811	115-97, § 13001 (Dec. 22, 2017) ...	Pg.
100-704 (1988)	Pgs. 1036, 1041		1160
101-429 (1990). Pgs.	1023, 1036, 1041		

TREASURY REGULATIONS

Reg.	Sec.	Reg.	Sec.
1.83-1(a)(1)	Pg. 397	1.368-1(e).....	Pg. 1196
1.83-1(c)	Pg. 687	1.368-1(e)(2)(v)	Pg. 1196
1.83-3(a)(1)	Pg. 680	1.385-1(b).....	Pg. 401
1.83-3(a)(2)	Pgs. 680, 686	1.385-3(b).....	Pg. 401
1.83-3(a)(5)	Pg. 681	1.422-5(d)(3)	Pg. 689
1.83-3(a)(6)	Pg. 681	1.469-5T(a).....	Pg. 59
1.83-3(a)(7)	Pg. 681	1.704-1(b)(2)	Pg. 42
1.83-3(b)	Pg. 681	1.704-1(b)(2)(ii)(b).....	Pg. 42
1.83-3(c)(1).....	Pg. 681	1.704-1(b)(2)(ii)(d)(3)	Pg. 43
1.83-3(c)(2).....	Pg. 682	1.704-1(b)(2)(iii).....	Pg. 43
1.83-3(d)	Pg. 682	1.704-1(b)(2)(iii)(b)	Pg. 43
1.83-3(e)	Pg. 689	1.704-1(b)(2)(iii)(d)	Pg. 43
1.83-3(h).....	Pgs. 398, 682	1.704-1(b)(2)(iv)(f).....	Pg. 692
1.83-3(i).....	Pg. 398	1.721-1(b)(1)	Pg. 692
1.83-3(j).....	Pg. 682	1.752-2(a)	Pg. 58
1.83-5(a)	Pg. 683	1.752-2(b)	Pg. 58
1.83-5(b)	Pg. 683	1.752-2(c)	Pg. 58
1.83-6.....	Pgs. 683, 685	1.752-3(a)	Pg. 58
1.83-7	Pg. 686	1.1275-4(a).....	Pg. 403
1.83-7(a)	Pg. 686	1.1366-2(a)(2)(i)	Pg. 57
1.83-7(b)	Pg. 686	301.6223-1	Pg. 44
1.162-8.....	Pg. 45	301.7701-2(a)	Pg. 49
1.351-1(a)	Pg. 52	301.7701-2(c)(1).....	Pg. 50
1.351-1(a)(1)	Pg. 53	301.7701-3(a)	Pg. 50
1.351-1(b)(1)	Pg. 52	301.7701-3(b)(1).....	Pg. 50
1.351-1(c)(1)(ii).....	Pg. 51	301.7701-3(c)(1)(iv).....	Pg. 50
1.368-1(d).....	Pg. 1196	1275-4	Pg. 569

PROPOSED TREASURY REGULATIONS

Reg.	Sec.
1.1402(a)-2(g)	Pg. 51

CODE OF FEDERAL REGULATIONS

C.F.R. Sec.	Sec.	17 C.F.R. Sec.	Sec.
210.2-07	Pg. 1027	230.251(d)(2)(ii).....	Pg. 966
230.501(a)	Pg. 484	230.258	Pg. 968
17 C.F.R. Sec.	Sec.	230.260	Pg. 967
201(t)	Pg. 496	230.405.....	Pg. 1028
202(a).....	Pg. 498	230.436	Pg. 955
202(b).....	Pg. 498	240.10A-3.....	Pg. 1024
203.....	Pg. 496	240.10A-3(d).....	Pg. 1025
204.....	Pg. 498	240.10A-3(e).....	Pg. 1024
205.1 to 205.7.....	Pg. 532	240.10b-5	Pgs. 1036, 1040
205.2.....	Pg. 533	240.10b5-1.....	Pg. 1040
205.2(a)	Pg. 532	240.10b5-2.....	Pg. 1040
205.3(b)(2).....	Pg. 1069	240.12b-2	Pg. 1028
205.3(b)(4).....	Pg. 1069	240.12g-6	Pg. 499
205.3(b)(8).....	Pg. 1069	240.13a-15.....	Pg. 1032
210-08	Pgs. 930, 983	240.14a-1 et seq.	Pg. 1053
227 et seq.	Pg. 494	240.14e-3	Pg. 1040
227.100	Pg. 494	240.16b-3	Pg. 1060
227.201	Pg. 495	245.101(b)	Pg. 1041
227.204	Pg. 497	245.100 to 245.104	Pg. 1041
227.204(c).....	Pg. 497	301(a).....	Pg. 495
227.205(b)	Pg. 497	501(a)(5).....	Pg. 959
229.406.....	Pg. 1035		
230.169	Pg. 968	31 C.F.R. Sec.	Sec.
230.251(b)	Pg. 959	10.37(c)(1).....	Pg. 21

FEDERAL RULES OF CIVIL PROCEDURE

Rule	Sec.
11(b)	Pg. 539

TABLE OF LAWS AND RULES

**ABA COMM. ON ETHICS AND PROFESSIONAL
RESPONSIBILITY**

Op. No.	Sec.
335.....	Pg. 534

INTERNAL REVENUE SERVICE FORM

Form	Sec.	Form	Sec.
2553.....	Pg. 87	8716.....	Pg. 60

INTERNAL REVENUE SERVICE NOTICES

Notice	Sec.	Notice	Sec.
10-38	Pg. 1052	2005-70	Pg. 54
2005-43	Pg. 693		

**INTERNAL REVENUE SERVICE REVENUE
PROCEDURES**

Rev. Proc.	Sec.	Rev. Proc.	Sec.
75-21.....	Pg. 813	93-27	Pgs. 397, 692
75-28.....	Pg. 813	2001-28	Pg. 813
77-37	Pg. 1193	2001-43.....	Pg. 692-693
79-48.....	Pg. 813	2001-43	Pg. 692
93-27.....	Pg. 692-693		

INTERNAL REVENUE SERVICE REVENUE RULINGS

Rev. Rul.	Sec.	Rev. Rul.	Sec.
55-540	Pg. 812	84-111.....	Pg. 54
66-365	Pg. 1193	87-41.....	Pg. 808
72-265	Pg. 403	88-76.....	Pg. 71
74-44.....	Pg. 47	93-61	Pg. 1195
75-83	Pg. 1195	93-61	Pg. 1195

EXCHANGE ACT RELEASE

No.	Sec.	No.	Sec.
33-8185	Pg. 533	6835	Pg. 964
34-17831.....	Pg. 534	55929.....	Pg. 1032
34-17831.....	Pg. 534	61595.....	Pg. 1072
34-47276.....	Pg. 1069	62434.....	Pg. 1052
34-53185.....	Pg. 982	63247.....	Pg. 1072
34-54302A	Pg. 696		

EXCHANGE ACT RULE

Rule	Sec.	Rule	Sec.
3a4-1	Pgs. 514, 924	14a-6(b).....	Pg. 1018
3a51-1	Pg. 519	14a-8	Pg. 1055
10A-3.....	Pg. 1024	14a-16(a)(1).....	Pg. 1018
10A-3(d).....	Pg. 1025	15c2-4.....	Pg. 522
10b-5.....	Pgs. 1065, 1182, 1184	15d-14	Pg. 1035
10b-17	Pg. 1052	15d-15.....	Pgs. 1021, 1032
12b-2.....	Pgs. 1008, 1028, 1032-1033	15d-15(e).....	Pgs. 1010, 1021
12b-25	Pg. 1022	16(a) and (b).....	Pg. 1038
13a-14	Pg. 1035	16a-(h).....	Pg. 517
13a-15.....	Pgs. 1021, 1032	16a-1(f).....	Pg. 1041
13a-15(e).....	Pgs. 1010, 1021		

SEC FORM

Form	Sec.	Form	Sec.
Part IS-1.....	Pgs. 970, 971, 972, 972, 973, 973, 973, 974, 974, 975, 975, 975, 976, 977, 977, 977, 978, 979, 979, 979, 980, 982, 983	D.....	Pg. 89
S-1, S-3, etc.....	Pgs. 933, 986, 1156	S-1, item 11(d).....	Pg. 945
1A, item 11	Pg. 945	S-1, item 11(m).....	Pg. 945
1-A, item 27	Pg. 945	S-1. Pgs. 480, 933, 953, 962, 970, 970, 971, 972, 972, 973, 973, 973, 974, 974, 975, 975, 975, 976, 977, 977, 977, 978, 979, 979, 979, 980, 982, 983, 984, 984, 984, 984, 985, 985, 1058, 1156, 1187	
1A, item 39	Pg. 945	S-2 and S-3.....	Pg. 1156
Part F/S1-A ..	Pgs. 480, 961, 964, 966	S-3	Pgs. 520, 561, 561-562, 894, 1058, 1157-1158
8-A.....	Pg. 942	S-4.....	Pgs. 481, 1058, 1185, 1187
8-K.....	Pgs. 986, 1179, 1185	S-8.....	Pg. 503
10-K.....	Pgs. 479, 986, 1054	SB-2	Pg. 970
10-Q	Pgs. 986, 1185		
20-F.....	Pg. 481		
144	Pg. 1154		

TABLE OF LAWS AND RULES

SEC REGULATION

Sec.	Sec.	Sec.	Sec.
item 102	Pg. 979	item 508	Pg. 974
item 103	Pg. 974	item 509	Pg. 977
item 201	Pg. 980	item 510	Pg. 977
item 202	Pg. 976	item 511	Pg. 984
item 303	Pg. 979	item 512.....	Pgs. 985, 1157
item 304	Pg. 984	item 601.....	Pg. 985
item 401	Pg. 975	item 701.....	Pgs. 972, 984
item 402	Pgs. 921, 980-981	item 702.....	Pg. 984
item 403	Pg. 975	item 601(b)(5)	Pgs. 526, 972
item 404	Pg. 979	1-02(w).....	Pg. 954
item 501	Pg. 970	3-05.....	Pg. 954
item 502	Pg. 972	229.10(b).....	Pg. 492
item 503(c).....	Pg. 972	405.....	Pg. 503
item 504.....	Pgs. 944, 972	506	Pgs. 476, 513, 934
item 505	Pg. 973	504 or 505	Pg. 529
item 506	Pg. 973	Art. 8.....	Pgs. 480, 930, 954, 983
item 507	Pg. 973		

SEC RELEASE

Rel. No.	Sec.	Rel. No.	Sec.
IA-5206.....	Pg. 1005	33-10618.....	Pg. 1005
IC-33203	Pg. 1005	33-11138.....	Pg. 1044
IC-33426	Pg. 1005	34-43154 (Aug. 15, 2000)....	Pg. 1030
33-3844 (Oct. 8, 1957)	Pg. 496	34-58288 (Aug. 7, 2008)	Pg. 1029
33-7856 (April 28, 2000).....	Pg. 437	34-69279 (Apr. 2, 2013).....	Pg. 1029
33-7881 (Aug. 15, 2000)	Pg. 1030	34-69959 (July 10, 2013)	Pg. 485
33-8124 (Aug. 29, 2002)	Pg. 1035	34-83875.....	Pg. 1005
33-8176 (Jan. 22, 2003)	Pg. 1032	34-85381.....	Pg. 1005
33-8809 (June 20, 2007).....	Pg. 1032	34-96492.....	Pg. 1044
33-9415 (July 10, 2013).....	Pg. 485	2006-114 (July 11, 2006)	Pg. 1034
33-9974	Pgs. 494, 497	33-9052; 34-60280 (July 10,	
33-9974	Pg. 496	2009).....	Pg. 696
33-10532.....	Pg. 1005	33-7856 (May 4, 2000).....	Pg. 436
33-10582.....	Pg. 486	34-42728 (May 4, 2000).....	Pg. 436

SEC RULE

Rule	Sec.	Rule	Sec.
10b-17	Pg. 1048	144.....	Pg. 520
13a-14	Pg. 1034	501(a).....	Pg. 483
15d-14.....	Pg. 1034	1001	Pg. 502

SEC SCHEDULE

Sec.	Sec.	Sec.	Sec.
Sched. K-1	Pg. 41	Sched. 14A, item 14	Pg. 1187

SECURITIES ACT RELEASE

Rel. No.	Sec.	Rel. No.	Sec.
33-6455	Pgs. 493, 523	33-9089.....	Pg. 1019
33-6835	Pg. 944	33-9287	Pg. 487
33-7645	Pg. 504	34-6335	Pg. 527
33-7646	Pg. 504	6825	Pg. 490
33-8655	Pg. 982	7497	Pg. 942
33-8732A	Pg. 696	9142.....	Pg. 1033
33-8760.....	Pg. 1033		

SECURITIES ACT RULE

Sec.	Sec.	Sec.	Sec.
134.....	Pg. 938	416.....	Pg. 938
144	Pgs. 561, 946, 1154, 1154	419.....	Pg. 519
145	Pg. 1185	424(b).....	Pg. 940
163	Pgs. 931, 937	427	Pg. 1157
163A.....	Pg. 932	433.....	Pg. 937
164.....	Pg. 937	4332	Pg. 937
168.....	Pg. 931	433(e).....	Pg. 937
169	Pg. 931-932	436.....	Pg. 955
172 to 174	Pg. 941	461.....	Pg. 939
175.....	Pg. 946	463.....	Pg. 972
176.....	Pg. 527	501(a).....	Pg. 482
405.....	Pgs. 519, 1028, 1155	501(a)(5).....	Pg. 959
406	Pgs. 947, 947	506.....	Pg. 894
415.....	Pgs. 520, 1035, 1157		

TABLE OF LAWS AND RULES

FEDERAL REGISTER

64 Fed. Reg.	Sec.	75 Fed. Reg.	Sec.
45150.....	Pg. 1028	68702.....	Pg. 1072
75 Fed. Reg.	Sec.	89 Fed. Reg.	Sec.
11232.....	Pg. 1072	38342-01.....	Pg. 804

HOUSE REPORTS

No.	Sec.
369	Pgs. 528, 536

CALIFORNIA BUSINESS AND PROFESSIONS CODE

Sec.	Sec.	Sec.	Sec.
6146.....	Pg. 11	16,601	Pg. 1187
6148.....	Pg. 11	17000-17101.....	Pg. 791
16,001	Pg. 805	17001	Pg. 791
16,002	Pg. 805	16,602 and 16,602.5	Pg. 1188
16600 to 16602.....	Pg. 81	16602 and 16602.5	Pg. 779
16600	Pgs. 779, 780, 794, 805, 1187-1188		

CALIFORNIA CIVIL CODE

Sec.	Sec.
47.....	Pg. 786

CALIFORNIA CORPORATIONS CODE

Sec.	Sec.	Sec.	Sec.
158.....	Pg. 40	409(a)(1).....	Pg. 294
204(a)(10).....	Pg. 69	409(e).....	Pg. 294
300(c).....	Pg. 1066	500 et seq.....	Pgs. 395, 408
308	Pg. 1067	705(e).....	Pg. 296
309.....	Pgs. 65-66, 69, 83, 85	706.....	Pg. 560
309(a).....	Pg. 83	706(b).....	Pg. 296
310	Pgs. 66, 1064	710.....	Pg. 295
310(a).....	Pg. 408	1101.1	Pg. 1063
317.....	Pg. 85	1202(b)	Pg. 1190
317(g).....	Pg. 85	1800 et seq.	Pg. 1067

CALIFORNIA CORPORATIONS CODE—Continued

Sec.	Sec.	Sec.	Sec.
1900 et seq.	Pg. 1067	17703.04(b)	Pg. 74
2115	Pg. 69-70	17707.07(a)(1)(B).....	Pg. 73
2115(a).....	Pg. 70	25102(f)	Pgs. 88-89, 504, 512
14601(g).....	Pg. 40	25102(o)	Pg. 504
14610(c).....	Pg. 40	25104(a)	Pg. 1158
15911.11	Pg. 64	25116	Pg. 887
17,000 et seq.	Pg. 48	25116(a)	Pg. 395
17,101.....	Pg. 48	25117	Pg. 887
17351(a)(4)	Pg. 1068	25117(a)	Pg. 395
17550	Pg. 55	25118(a)	Pg. 395
17701.04(b)	Pg. 71	25501.5	Pg. 437
17703.01(a)	Pg. 73	25504	Pg. 73
17703.04(a)(2).....	Pg. 72		

CALIFORNIA LABOR CODE

Sec.	Sec.	Sec.	Sec.
925.....	Pg. 70	2870-2872.....	Pg. 774
2860	Pg. 774		

CALIFORNIA PENAL CODE

Sec.	Sec.
630-637.3	Pg. 786

CALIFORNIA REVENUE AND TAXATION CODE

Sec.	Sec.
19708	Pg. 73

CALIFORNIA RULES OF PROFESSIONAL CONDUCT

Rule	Sec.
2-100	Pg. 1200

TABLE OF LAWS AND RULES

CALIFORNIA STATE BAR RULES, RULES OF PROFESSIONAL CONDUCT

Rule	Sec.
3-700	Pg. 1070

COLORADO REVISED STATUTES

Sec.	Sec.	Sec.	Sec.
7-80-101 et seq.....	Pg. 48	8-2-113.....	Pg. 1187

DELAWARE CODE

Del. Code Ann. Sec.	Sec.	6 Del. Code Ann. Sec.	Sec.
102(b)(7)	Pgs. 69, 80	18-209.....	Pg. 55
141.....	Pg. 69	18-303(a).....	Pg. 48
226	Pg. 1067	8 Del. Code Ann. Sec.	Sec.
330.....	Pg. 887	144	Pg. 1064
6 Del. Code Ann. Sec.	Sec.	144(a).....	Pg. 408
8-209.....	Pg. 75	145.....	Pg. 85
18-101 et seq.....	Pg. 48	275	Pg. 1067

FLORIDA STATUTES

Sec.	Sec.	Sec.	Sec.
517.061(11)	Pgs. 504, 512	608.401 et seq.....	Pg. 48
542.33.....	Pg. 81		

GEORGIA CODE

Sec.	Sec.
97-109(C).....	Pg. 1158

ILLINOIS COMPILED STATUTES

765 Ill. Comp. Stat.	Sec.	815 Ill. Comp. Stat.	Sec.
1060/1	Pg. 774	5/4G	Pg. 504
815 Ill. Comp. Stat.	Sec.		
5/4A	Pg. 1158		

ILLINOIS REVISED STATUTES

Ch.	Sec.	Ch.	Sec.
121½, para. 137.4(A)	Pg. 1158	140, para. 301	Pg. 774
121½, para. 137.4G.....	Pgs. 504, 512		

KANSAS STATUTES

Sec.	Sec.
17-7601 et seq.....	Pg. 48

MASSACHUSETTS GENERAL LAWS

Ch	Sec.	Ch	Sec.
112, § 12X.....	Pg. 81	112, § 74D.....	Pg. 81

MICHIGAN COMPILED LAWS ANNOTATED

Sec.	Sec.	Sec.	Sec.
445.761	Pg. 1187	451.802(b)(9)(D)(3).....	Pg. 513
451.802(b)(9)(A).....	Pg. 513		

MINNESOTA STATUTES

Sec.	Sec.
181.78	Pg. 774

MONTANA CODE

Sec.	Sec.
28-2-703	Pg. 1187

NEVADA REVISED STATUTES

Sec.	Sec.	Sec.	Sec.
78.138(1).....	Pg. 69	78.138(7)(b)	Pg. 69
78.138(3).....	Pg. 69		

TABLE OF LAWS AND RULES

NEW JERSEY STATUTES

Sec.	Sec.	Sec.	Sec.
49:3-50(b)(9).....	Pgs. 504, 513	49:3-50(b)(12).....	Pgs. 504, 513

NORTH CAROLINA GENERAL STATUTES

Sec.	Sec.	Sec.	Sec.
66-57.1.....	Pg. 774	66-57.2.....	Pg. 774

NORTH DAKOTA CENTURY CODE

Sec.	Sec.
9-08-06	Pg. 1187

OKLAHOMA STATUTES

15 Okla. Stat. Sec.	Sec.	15 Okla. Stat. Sec.	Sec.
217-219	Pg. 1187	218-219.....	Pg. 1187

SOUTH DAKOTA CODIFIED LAWS

Sec.	Sec.	Sec.	Sec.
53-9-8.....	Pg. 1187	53-9-9.....	Pg. 1187

TEXAS TAX CODE ANNOTATED

Sec.	Sec.
171.001(a).....	Pg. 79

UTAH CODE

Sec.	Sec.
48-2c-101.....	Pg. 48

WASHINGTON REVISED CODE

Sec.	Sec.	Sec.	Sec.
49.44.140	Pg. 774	49.44.150	Pg. 774

WASHINGTON ADMINISTRATIVE CODE

Sec.	Sec.
46D-46A-090.....	Pg. 513

WYOMING STATUTES

Sec.	Sec.	Sec.	Sec.
17-15-101 et seq.....	Pg. 48	17-15-136	Pg. 71

MODEL CODE OF PROFESSIONAL RESPONSIBILITY

	Sec.	Sec.	
DR 5-105(c)	Pgs. 11, 17	EC 5-15	Pgs. 11, 17
EC 5-1	Pg. 13		

REVISED UNIFORM LIMITED PARTNERSHIP ACT

Sec.	Sec.
303.....	Pg. 39

UNIFORM COMMERCIAL CODE

Sec.	Sec.
Art. 9	Pg. 883

RESTATEMENT SECOND, TORTS

Sec.	Sec.
623A, Comment e	Pg.

TABLE OF LAWS AND RULES

RESTATEMENT, TORTS

Sec.	Sec.
757, Comment b.....	Pg.

Table of Cases

A

A. & E. Plastik Pak Co. v. Monsanto Co., 396 F.2d 710 (9th Cir. 1968)—9:28

A. H. Cox & Co. v. Star Machinery Co., 653 F.2d 1302 (9th Cir. 1981)—9:32

Aktiebolaget Bofors v. U.S., 194 F.2d 145 (D.C. Cir. 1951)—9:28

Albert Pick-Barth Co. v. Mitchell Woodbury Corp., 57 F.2d 96 (C.C.A. 1st Cir. 1932)—9:32

Alves v. C.I.R., 734 F.2d 478 (9th Cir. 1984)—4:6, 8:9

American Broadcasting Companies, Inc. v. Wolf, 52 N.Y.2d 394, 438 N.Y.S.2d 482, 420 N.E.2d 363 (1981)—9:14

Apollo Capital Fund, LLC v. Roth Capital Partners, LLC, 158 Cal. App. 4th 226, 70 Cal. Rptr. 3d 199 (2d Dist. 2007)—6:32

Application Group, Inc. v. Hunter Group, Inc., 61 Cal. App. 4th 881, 72 Cal. Rptr. 2d 73 (1st Dist. 1998)—9:42, 9:46, 14:18

AREI II Cases, 216 Cal. App. 4th 1004, 157 Cal. Rptr. 3d 368 (1st Dist. 2013)—6:43

Armorlite Lens Co. v. Campbell, 340 F. Supp. 273 (S.D. Cal. 1972)—9:7

Aronson v. Lewis, 473 A.2d 805 (Del. 1984)—2:21

Aronson v. Quick Point Pencil Co., 440 U.S. 257, 99 S. Ct. 1096, 59 L. Ed. 2d 296 (1979)—9:9, 9:11

AT&T Mobility LLC v. Concepcion, 563 U.S. 333, 131 S. Ct. 1740, 179 L. Ed. 2d 742 (2011)—9:45

Autobacs Strauss, Inc., In re, 473 B.R. 525 (Bankr. D. Del. 2012)—2:28

Automotriz Del Golfo De California S. A. De C. V. v. Resnick, 47 Cal. 2d 792, 306 P.2d 1, 63 A.L.R.2d 1042 (1957)—2:21

B

Bancroft-Whitney Co. v. Glen, 64 Cal. 2d 327, 49 Cal. Rptr. 825, 411 P.2d 921, 24 A.L.R.3d 795 (1966)—9:15, 9:16

Basic Inc. v. Levinson, 485 U.S. 224, 108 S. Ct. 978, 99 L. Ed. 2d 194, 24 Fed. R. Evid. Serv. 961, 10 Fed. R. Serv. 3d 308 (1988)—12:31, 14:14

Belhumeur v. Dawson, 229 F. Supp. 78 (D. Mont. 1964)—6:27

Benchmark Capital Partners IV, L.P. v. Vague, 2002 WL 1732423 (Del. Ch. 2002)—10:13

Bergum v. Weber, 136 Cal. App. 2d 389, 288 P.2d 623 (2d Dist. 1955)—9:14

Bilski v. Kappos, 561 U.S. 593, 130 S. Ct. 3218, 177 L. Ed. 2d 792 (2010)—9:2

Bily v. Arthur Young & Co., 3 Cal. 4th 370, 11 Cal. Rptr. 2d 51, 834 P.2d 745, 48 A.L.R.5th 835 (1992)—6:47

Borne Chemical Co., Inc. v. Dicrotrow, 85 A.D.2d 646, 445 N.Y.S.2d 406 (2d Dep't 1981)—2:39

Brown v. Halbert, 271 Cal. App. 2d 252, 76 Cal. Rptr. 781, 38 A.L.R.3d 718 (1st Dist. 1969)—14:4

Buckaloo v. Johnson, 14 Cal. 3d 815, 122 Cal. Rptr. 745, 537 P.2d 865 (1975)—9:21
 Buxbom v. Smith, 23 Cal. 2d 535, 145 P.2d 305 (1944)—9:17

C

Calkins v. Boeing Co., 8 Wash. App. 347, 506 P.2d 329 (Div. 1 1973)—9:7
 Campbell v. C.I.R., 943 F.2d 815 (8th Cir. 1991)—4:6, 8:15
 Campbell v. Rayburn, 129 Cal. App. 2d 232, 276 P.2d 671 (4th Dist. 1954)—9:21
 Canadian Indus. Alcohol Co. v. Nelson, 38 Del. 26, 188 A. 39 (1936)—14:8
 Cargill, Inc. v. Monfort of Colorado, Inc., 479 U.S. 104, 107 S. Ct. 484, 93 L. Ed. 2d 427 (1986)—9:31
 Central Bank of Denver, N.A. v. First Interstate Bank of Denver, N.A., 511 U.S. 164, 114 S. Ct. 1439, 128 L. Ed. 2d 119 (1994)—6:47
 Century Refining Co. v. Hall, 316 F.2d 15 (10th Cir. 1963)—9:17
 Chameleon Engineering Corp. v. Air Dynamics Inc., 101 Cal. App. 3d 418, 161 Cal. Rptr. 463 (5th Dist. 1980)—9:20, 9:22
 Chapman v. C. I. R., 618 F.2d 856 (1st Cir. 1980)—14:26

Chromalloy American Corp. v. Fischmann, 716 F.2d 683 (9th Cir. 1983)—9:9
 Clark v. Lauren Young Tire Center Profit Sharing Trust, 816 F.2d 480 (9th Cir. 1987)—9:12
 Community for Creative Non-Violence v. Reid, 490 U.S. 730, 109 S. Ct. 2166, 104 L. Ed. 2d 811 (1989)—9:50
 Compco Corp. v. Day-Brite Lighting, Inc., 376 U.S. 234, 84 S. Ct. 779, 11 L. Ed. 2d 669 (1964)—9:11

Consolidated Management Group, LLC v. Department of Corporations, 162 Cal. App. 4th 598, 75 Cal. Rptr. 3d 795 (1st Dist. 2008)—6:32

Copeland v. Baskin Robbins U.S.A., 96 Cal. App. 4th 1251, 117 Cal. Rptr. 2d 875 (2d Dist. 2002)—14:8

Cyan, Inc. v. Beaver County Employees Retirement Fund, 583 U.S. 416, 138 S. Ct. 1061, 200 L. Ed. 2d 332 (2018)—6:56

D

Davis v. Snow, 25 Ohio N.P. (n.s.) 178, 1923 WL 2056 (Mun. Ct. 1923)—14:8

Diamond v. C. I. R., 492 F.2d 286 (7th Cir. 1974)—4:6

Diodes, Inc. v. Franzen, 260 Cal. App. 2d 244, 67 Cal. Rptr. 19 (2d Dist. 1968)—9:14

Dirks v. S.E.C., 463 U.S. 646, 103 S. Ct. 3255, 77 L. Ed. 2d 911 (1983)—12:57

Dorr-Oliver, Inc. v. U. S., 193 Ct. Cl. 187, 432 F.2d 447 (1970)—9:7

Dr. Miles Medical Co. v. John D. Park & Sons Co., 220 U.S. 373, 31 S. Ct. 376, 55 L. Ed. 502 (1911)—9:25

D'sa v. Playhut, Inc., 85 Cal. App. 4th 927, 102 Cal. Rptr. 2d 495 (2d Dist. 2000)—9:46

E

Edwards v. Arthur Andersen LLP, 44 Cal. 4th 937, 81 Cal. Rptr. 3d 282, 189 P.3d 285 (2008)—9:42, 9:47

Eli Lilly and Co. v. Aradigm Corp., 376 F.3d 1352 (Fed. Cir. 2004)—9:2

Equity-Linked Investors, L.P. v. Adams, 705 A.2d 1040 (Del. Ch. 1997)—10:13

Erlich v. Etner, 224 Cal. App. 2d 69, 36 Cal. Rptr. 256 (2d Dist. 1964)—9:23

TABLE OF CASES

Escott v. BarChris Const. Corp.,
283 F. Supp. 643, 12 Fed. R.
Serv. 2d 588, 2 A.L.R. Fed. 86
(S.D. N.Y. 1968)—6:45

Essex Universal Corp. v. Yates,
305 F.2d 572, 13 A.L.R.3d 346
(2d Cir. 1962)—14:4

Ethicon, Inc. v. U.S. Surgical
Corp., 135 F.3d 1456, 48 Fed.
R. Evid. Serv. 1226 (Fed. Cir.
1998)—9:2

Ethyl Gasoline Corporation v. U.S.,
309 U.S. 436, 60 S. Ct. 618, 84
L. Ed. 852 (1940)—9:28

F

Fashion Originators' Guild of
America v. Federal Trade
Commission, 312 U.S. 457,
312 U.S. 668, 61 S. Ct. 703, 85
L. Ed. 949 (1941)—9:41

F.D.I.C. v. O'Melveny & Myers, 969
F.2d 744 (9th Cir. 1992)—6:47

F.D.I.C. ex rel. County Bank v.
Hawker, 2012 WL 2068773
(E.D. Cal. 2012)—2:40

Fina Oil and Chemical Co. v.
Ewen, 123 F.3d 1466 (Fed. Cir.
1997)—9:2

Frame v. Merrill Lynch, Pierce,
Fenner & Smith, Inc., 20 Cal.
App. 3d 668, 97 Cal. Rptr. 811
(1st Dist. 1971)—14:18

Frank Lyon Co. v. U. S., 435 U.S.
561, 98 S. Ct. 1291, 55 L. Ed.
2d 550 (1978)—9:57

Frederick Chusid & Co. v. Mar-
shall Leeman & Co., 279 F.
Supp. 913 (S.D. N.Y. 1968)—
9:14

Freeman v. Jergins, 125 Cal. App.
2d 536, 271 P.2d 210 (2d Dist.
1954)—14:9

Freeman & Mills, Inc. v. Belcher
Oil Co., 11 Cal. 4th 85, 44 Cal.
Rptr. 2d 420, 900 P.2d 669
(1995)—14:8

Fusion Capital Fund II, LLC v.
Ham, 614 F.3d 698 (7th Cir.
2010)—2:21

G

Gatz Properties, LLC v. Auriga
Capital Corp., 59 A.3d 1206
(Del. 2012)—2:29

Genelux Corporation, In re, 126
A.3d 644 (Del. Ch. 2015)—
2:42

George R. Whitten, Jr., Inc. v. Pad-
dock Pool Builders, Inc., 508
F.2d 547 (1st Cir. 1974)—9:32

Gold v. Los Angeles Democratic
League, 49 Cal. App. 3d 365,
122 Cal. Rptr. 732 (2d Dist.
1975)—9:21

Goodman v. Kennedy, 18 Cal. 3d
335, 134 Cal. Rptr. 375, 556
P.2d 737 (1976)—6:47

Gould v. Ruefenacht, 471 U.S. 701,
105 S. Ct. 2308, 85 L. Ed. 2d
708 (1985)—14:13

Gustafson v. Alloyd Co., Inc., 513
U.S. 561, 115 S. Ct. 1061, 131
L. Ed. 2d 1 (1995)—6:46

H

Hardman v. U.S., 827 F.2d 1409
(9th Cir. 1987)—14:27

Hartford-Empire Co. v. U.S., 323
U.S. 386, 65 S. Ct. 373, 89 L.
Ed. 322 (1945)—9:28

Helvering v. Southwest Consol.
Corp., 1942-1 C.B. 218, 315
U.S. 194, 62 S. Ct. 546, 86 L.
Ed. 789, 42-1 U.S. Tax Cas.
(CCH) P 9248, 28 A.F.T.R.
(P-H) P 573 (1942)—14:26

Herman & MacLean v. Hud-
dleston, 459 U.S. 375, 103 S.
Ct. 683, 74 L. Ed. 2d 548
(1983)—11:95

Hill v. Mobile Auto Trim, Inc., 725
S.W.2d 168 (Tex. 1987)—9:14

Hooker Chemicals and Plastics
Corp. v. U. S., 219 Ct. Cl. 161,
591 F.2d 652 (1979)—2:13

Hybritech Inc. v. Monoclonal Anti-
bodies, Inc., 802 F.2d 1367
(Fed. Cir. 1986)—9:2

I

- Imperial Ice Co. v. Rossier, 18 Cal. 2d 33, 112 P.2d 631 (1941)—9:20
 Isern v. Ninth Court of Appeals, 925 S.W.2d 604 (Tex. 1996)—4:26
 Ishmael v. Millington, 241 Cal. App. 2d 520, 50 Cal. Rptr. 592 (3d Dist. 1966)—6:47
 Israel Bio-Engineering Project v. Amgen, Inc., 475 F.3d 1256 (Fed. Cir. 2007)—9:2

J

- Jones v. H. F. Ahmanson & Co., 1 Cal. 3d 93, 81 Cal. Rptr. 592, 460 P.2d 464 (1969)—14:4
 Jones v. Humanscale Corp., 130 Cal. App. 4th 401, 29 Cal. Rptr. 3d 881 (4th Dist. 2005)—9:42

K

- Kalashian v. Advent VI Ltd. Partnership, 1996 WL 33399950 (Cal. App. Dep't Super. Ct. 1996)—10:13
 Kern County Land Co. v. Occidental Petroleum Corp., 411 U.S. 582, 93 S. Ct. 1736, 36 L. Ed. 2d 503 (1973)—14:16
 Kewanee Oil Co. v. Bicron Corp., 416 U.S. 470, 94 S. Ct. 1879, 40 L. Ed. 2d 315 (1974)—9:9, 9:11
 Kingsley v. Silver's Lunch Stores, 231 A.D. 513, 248 N.Y.S. 49 (1st Dep't 1931)—14:8
 Kline v. First Western Government Securities, Inc., 24 F.3d 480 (3d Cir. 1994)—6:49

L

- Landreth Timber Co. v. Landreth, 471 U.S. 681, 105 S. Ct. 2297, 85 L. Ed. 2d 692, Fed. Sec. L. Rep. (CCH) P 92047 (1985)—14:13
 Leegin Creative Leather Products,

Inc. v. PSKS, Inc., 551 U.S. 877, 127 S. Ct. 2705, 168 L. Ed. 2d 623, 35 A.L.R. Fed. 2d 631 (2007)—9:25

- Licocci v. Cardinal Associates, Inc., 445 N.E.2d 556 (Ind. 1983)—9:14
 Liebermann v. Frangiosa, 844 A.2d 992 (Del. Ch. 2002)—2:42
 Lojek v. Thomas, 716 F.2d 675 (9th Cir. 1983)—9:12
 Loral Corp. v. Moyes, 174 Cal. App. 3d 268, 219 Cal. Rptr. 836 (6th Dist. 1985)—9:15
 Lumley v. Gye, 118 Eng. Rep. 749 (Q. B. 1853)—9:20

M

- Manichaeon Capital, LLC v. Exela Technologies, Inc., 251 A.3d 694 (Del. Ch. 2021)—2:28
 Masoni v. Board of Trade of San Francisco, 119 Cal. App. 2d 738, 260 P.2d 205 (1st Dist. 1953)—9:21
 Matarese v. Moore-McCormack Lines, 158 F.2d 631, 170 A.L.R. 440 (C.C.A. 2d Cir. 1946)—9:7
 Molien v. Kaiser Foundation Hospitals, 27 Cal. 3d 916, 167 Cal. Rptr. 831, 616 P.2d 813, 16 A.L.R.4th 518 (1980)—9:22
 Moore v. Kayport Package Exp., Inc., 885 F.2d 531, 14 Fed. R. Serv. 3d 765 (9th Cir. 1989)—6:46
 Morgan's Home Equipment Corp. v. Martucci, 390 Pa. 618, 136 A.2d 838 (1957)—2:39
 Mueller Brass Co. v. Reading Industries, Inc., 352 F. Supp. 1357 (E.D. Pa. 1972)—9:2

N

- Nationwide Mut. Ins. Co. v. Darden, 503 U.S. 318, 112 S. Ct. 1344, 117 L. Ed. 2d 581 (1992)—9:50
 Non-Compete Clause Rule, 89 FR 38342-01.—3:4

TABLE OF CASES

North American Catholic Educational Programming Foundation, Inc. v. Gheewalla, 930 A.2d 92 (Del. 2007)—2:21

P

Paladin Associates, Inc. v. Montana Power Co., 328 F.3d 1145, 56 Fed. R. Serv. 3d 1201 (9th Cir. 2003)—9:30

Panther Partners Inc. v. Ikanos Communications, Inc., 681 F.3d 114 (2d Cir. 2012)—11:102

Passante v. McWilliam, 53 Cal. App. 4th 1240, 62 Cal. Rptr. 2d 298 (4th Dist. 1997)—1:6

Pepper v. Litton, 308 U.S. 295, 60 S. Ct. 238, 84 L. Ed. 281 (1939)—14:4

Perlman v. Feldmann, 219 F.2d 173, 50 A.L.R.2d 1134 (2d Cir. 1955)—14:4

Perry v. Apex Smelting Co., 477 F.2d 137 (6th Cir. 1973)—9:7

Pinter v. Dahl, 486 U.S. 622, 108 S. Ct. 2063, 100 L. Ed. 2d 658 (1988)—1:8, 6:46, 11:95

R

Reeves v. Hanlon, 33 Cal. 4th 1140, 17 Cal. Rptr. 3d 289, 95 P.3d 513 (2004)—9:15

Responsible Citizens v. Superior Court, 16 Cal. App. 4th 1717, 20 Cal. Rptr. 2d 756 (5th Dist. 1993)—1:4

Reves v. Ernst & Young, 494 U.S. 56, 110 S. Ct. 945, 108 L. Ed. 2d 47 (1990)—6:2

Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc., 506 A.2d 173, 66 A.L.R.4th 157 (Del. 1986)—14:7

Rice's Toyota World, Inc. v. Commissioner of Internal Revenue, 81 T.C. 184, 1983 WL 14860 (1983)—9:57

Roberts v. Ball, Hunt, Hart, Brown & Baerwitz, 57 Cal. App. 3d

104, 128 Cal. Rptr. 901 (2d Dist. 1976)—6:47

Rohm and Haas Co. v. Adco Chemical Co., 689 F.2d 424 (3d Cir. 1982)—9:7

Rosenbaum v. CytoDyn Inc., 2021 WL 4775140 (Del. Ch. 2021)—12:21

Rosenberg v. J.C. Penney Co., 30 Cal. App. 2d 609, 86 P.2d 696 (3d Dist. 1939)—9:23

Ruiz v. Affinity Logistics Corp., 667 F.3d 1318 (9th Cir. 2012)—2:22

Ryan v. Gifford, 918 A.2d 341, 29 A.L.R.6th 799 (Del. Ch. 2007)—8:18

Ryan, LLC v. Federal Trade Commission, 746 F. Supp. 3d 369 (N.D. Tex. 2024)—3:4, 9:46

S

Salman v. U.S., 580 U.S. 39, 137 S. Ct. 420, 196 L. Ed. 2d 351 (2016)—12:50

Salzberg v. Sciabacucchi, 227 A.3d 102 (Del. 2020)—6:56

Sammons & Sons v. Ladd-Fab, Inc., 138 Cal. App. 3d 306, 187 Cal. Rptr. 874 (2d Dist. 1982)—9:11

Seaman's Direct Buying Service, Inc. v. Standard Oil Co., 36 Cal. 3d 752, 206 Cal. Rptr. 354, 686 P.2d 1158, 39 U.C.C. Rep. Serv. 46 (1984)—14:8

Sears, Roebuck & Co. v. Stiffel Co., 376 U.S. 225, 84 S. Ct. 784, 11 L. Ed. 2d 661 (1964)—9:11

S.E.C. v. Cavanagh, 445 F.3d 105 (2d Cir. 2006)—6:49

S.E.C. v. Franklin, 175 Fed. Appx. 467 (2d Cir. 2006)—6:49

S.E.C. v. M & A West, Inc., 538 F.3d 1043 (9th Cir. 2008)—6:49

S.E.C. v. Warde, 151 F.3d 42, 49 Fed. R. Evid. Serv. 1131 (2d Cir. 1998)—12:57

S.E.C. v. W.J. Howey Co., 328 U.S.

- 293, 66 S. Ct. 1100, 90 L. Ed. 1244, 163 A.L.R. 1043 (1946)—6:2
- Securities and Exchange Commission v. Qi, 2018 WL 5263187 (C.D. Cal. 2018)—1:7
- Securities and Exchange Commission v. Ralston Purina Co., 346 U.S. 119, 73 S. Ct. 981, 97 L. Ed. 1494 (1953)—6:6, 6:7
- Securities and Exchange Commission v. Texas Gulf Sulphur Co., 401 F.2d 833, 2 A.L.R. Fed. 190 (2d Cir. 1968)—12:50
- SEC V. GREG A. GADEL AND DANIEL J. SKRYPEK, (U.S.D.C. MINNESOTA, CIVIL ACTION NUMBER 06-CV-(5)6D, FILED JUNE 7, 2006), Litigation Release No. 19720, Release No. 2439, Release No. AE - 2439, 88 S.E.C. Docket 508, 2006 WL 1547989 (S.E.C. Release No. 2006)—11:134
- SEC V. TYSON FOODS, INC. AND DONALD TYSON, CIVIL ACTION NO. 05 0841(JDB) IN THE MATTER OF TYSON FOODS, INC. AND DONALD TYSON, EXCHANGE ACT REL. NO. 34-51625, Litigation Release No. 19208, 85 S.E.C. Docket 969, 2005 WL 990560 (S.E.C. Release No. 2005)—11:134
- Sewall v. Walters, 21 F.3d 411 (Fed. Cir. 1994)—9:2
- Shatterproof Glass Corp. v. Libbey-Owens Ford Co., 758 F.2d 613 (Fed. Cir. 1985)—9:2
- Shin Nippon Koki Co., Ltd. v. Irvin Industries, Inc., 186 U.S.P.Q. 296, 1975-1 Trade Cas. (CCH) ¶ 60347, 1975 WL 15505 (N.Y. Sup 1975)—9:26, 9:29
- Silberg v. Anderson, 50 Cal. 3d 205, 266 Cal. Rptr. 638, 786 P.2d 365 (1990)—9:23
- Smith v. Van Gorkom, 488 A.2d 858, 46 A.L.R.4th 821 (Del. 1985)—2:40
- STAAR Surgical Co. v. Waggoner, 588 A.2d 1130 (Del. 1991)—2:42
- Standard Oil Co. of California v. United States, 337 U.S. 293, 69 S. Ct. 1051, 93 L. Ed. 1371 (1949)—9:41
- Standard Parts Co. v. Peck, 264 U.S. 52, 44 S. Ct. 239, 68 L. Ed. 560, 32 A.L.R. 1033 (1924)—9:7
- State Oil Co. v. Khan, 522 U.S. 3, 118 S. Ct. 275, 139 L. Ed. 2d 199 (1997)—9:25
- State Rubbish Collectors Ass'n v. Siliznoff, 38 Cal. 2d 330, 240 P.2d 282 (1952)—9:22
- Steckman v. Hart Brewing, Inc., 143 F.3d 1293 (9th Cir. 1998)—11:102
- Stephenson v. Drever, 16 Cal. 4th 1167, 69 Cal. Rptr. 2d 764, 947 P.2d 1301 (1997)—3:5
- Stone v. Travis, Fed. Sec. L. Rep. (CCH) ¶ 93697, 2006 WL 334648 (S.D. N.Y. 2006)—6:44
- Stone ex rel. AmSouth Bancorporation v. Ritter, 911 A.2d 362 (Del. 2006)—2:21
- Strategix, Ltd. v. Infocrossing West, Inc., 142 Cal. App. 4th 1068, 48 Cal. Rptr. 3d 614 (4th Dist. 2006)—14:18
- Summers v. Vogel, 332 F.2d 810 (C.C.P.A. 1964)—9:2

T

- Tampa Elec. Co. v. Nashville Coal Co., 365 U.S. 320, 81 S. Ct. 623, 5 L. Ed. 2d 580 (1961)—9:41
- Tellabs, Inc. v. Makor Issues & Rights, Ltd., 551 U.S. 308, 127 S. Ct. 2499, 168 L. Ed. 2d 179 (2007)—6:51
- Texaco, Inc. v. Pennzoil, Co., 729 S.W.2d 768 (Tex. App. Houston 1st Dist. 1987)—4:26, 7:2, 14:8
- Times-Picayune Pub. Co. v. U.S.,

TABLE OF CASES

- 345 U.S. 594, 73 S. Ct. 872, 97 L. Ed. 1277 (1953)—9:41
- Triplex Shoe Co. v. Rice & Hutchins, 17 Del. Ch. 356, 152 A. 342, 72 A.L.R. 934 (1930)—2:42
- TSC Industries, Inc. v. Northway, Inc., 426 U.S. 438, 96 S. Ct. 2126, 48 L. Ed. 2d 757 (1976)—11:52, 12:31, 12:50
- U**
- United States Securities and Exchange Commission v. Collyard, 861 F.3d 760 (8th Cir. 2017)—6:31
- Universal Elec. Corp. v. Golden Shield Corp., 316 F.2d 568 (1st Cir. 1963)—9:14
- U.S. v. O'Hagan, 521 U.S. 642, 117 S. Ct. 2199, 138 L. Ed. 2d 724, 191 A.L.R. Fed. 747 (1997)—12:48
- U.S. v. Topco Associates, Inc., 405 U.S. 596, 92 S. Ct. 1126, 31 L. Ed. 2d 515 (1972)—9:26
- U.S. Securities & Exchange Commission v. Hui Feng, 935 F.3d 721 (9th Cir. 2019)—1:7
- V**
- VantagePoint Venture Partners 1996 v. Examen, Inc., 871 A.2d 1108 (Del. 2005)—2:22
- W**
- Waggoner v. Laster, 581 A.2d 1127 (Del. 1990)—2:42
- Western Filter Corp. v. Argan, Inc., 540 F.3d 947 (9th Cir. 2008)—14:22
- White Motor Co. v. U.S., 372 U.S. 253, 83 S. Ct. 696, 9 L. Ed. 2d 738, 6 Fed. R. Serv. 2d 1077 (1963)—9:26
- Willis v. Santa Ana Community Hospital Ass'n, 58 Cal. 2d 806, 26 Cal. Rptr. 640, 376 P.2d 568 (1962)—9:21
- Wilson v. Saintine Exploration and Drilling Corp., 872 F.2d 1124 (2d Cir. 1989)—6:46
- Winner Acceptance Corp. v. Return on Capital Corp., 2008 WL 5352063 (Del. Ch. 2008)—2:28
- Wright v. U.S., 482 F.2d 600 (8th Cir. 1973)—14:27
- Wright v. Williams, 47 Cal. App. 3d 802, 121 Cal. Rptr. 194 (2d Dist. 1975)—6:47
- Z**
- Zalk v. General Exploration Co., 105 Cal. App. 3d 786, 164 Cal. Rptr. 647 (2d Dist. 1980)—14:9
- Zimmerman v. Bank of America Nat. Trust and Savings Ass'n, 191 Cal. App. 2d 55, 12 Cal. Rptr. 319 (1st Dist. 1961)—9:20