

# Index

## **ABUSE OF SUBPOENA PROCESS**

- Generally, § 11:5
- Criminal proceedings, § 12:9
- Disobedience penalties, § 11:5
- Grand juries, § 13:4
- Interference with service, criminal penalties for, § 11:5
- Quashal Motions** (this index)
- Rogue subpoenas, §§ 11:5; 12:9
- Service errors, attorney liabilities for, § 11:4
- Sheriff, advantages of service by, § 11:4

## **ADMINISTRATIVE SUBPOENA AND SUMMONS PRACTICE**

- Attorney discipline proceedings, § 6:2
- Authority to compel testimony, § 2:1
- CCP §§ 1985.3 and 1985.4 applicability
  - generally, § 6:2
  - Right to Privacy Act conflicts, § 8:1
  - telephone company consumer records, § 9:4
- CCP § 1985.6 applicability, § 6:2
- CMIA release authorizations, § 7:4
- Compelling testimony, arbitrators' authority, § 2:1
- Consumer record privacy protections, § 6:2
- Digital Millennium Copyright Act (DMCA), § 6:5
- Extraterritorial effect of subpoena or summons, § 8:5
- Extraterritorial summonses, § 8:5
- Immunity** (this index)
- Jurisdiction, § 3:4
- Medical practice investigations, § 7:1
- Medical Records** (this index)
- Noticed Production** (this index)

## **ADMINISTRATIVE SUBPOENA AND SUMMONS PRACTICE**

### **—Cont'd**

- Out-of-state service of subpoena or summons, § 8:5
- Parties and party status, § 1:1
- Peace Officer Personnel Records** (this index)
- Privacy protections for consumer record, § 6:2
- Private Financial Records** (this index)
- Right to Financial Privacy Act** (this index)
- State bar proceedings, § 6:2
- Stranger to action defined, § 1:3
- Summonses, § 8:5
- Surrogates for parties, § 1:2
- Telephone Records** (this index)
- Third party medical records, privacy protections, § 7:1
- Workers' compensation proceedings, § 6:2

## **ADULT EMPLOYEE**

- Checklist, method of service, § 1:13

## **AFFIDAVITS**

- CCP § 1985 affidavits, § 11:2
- Close-to-the-vest affidavits, § 11:2
- Criminal law subpoenas, affidavits supporting, § 12:3
- Drafting suggestions, § 11:2
- Good cause assertions, § 1:11
- Service affidavits, § 11:4
- Subpoenas duces tecum applications, § 11:2

## **AGENTS**

- See also **Surrogates, Party** (this index)
- Records of, Right to Privacy Act authorizations, § 8:5

**AGENTS—Cont’d**

Service of process on out-of-state entities, § 3:9

**AGE REQUIREMENTS**

See **Minors** (this index)

**AGREEMENT TO APPEAR**

Generally, § 11:4

Criminal proceedings, § 12:6

**AIR TRAVEL**

Mileage calculations, § 1:6

Witness fees, § 5:2

**AMENABILITY TO SERVICE**

**Immunity** (this index)

**Jurisdiction, Subpoena** (this index)

**Service of Subpoenas** (this index)

**APEX DISCOVERY**

Notice vs service of process, § 1:2

**APPEARANCE OR PRESENCE**

See also **Noticed Production** (this index)

Arbitration subpoena practice, failure to appear, § 11:9

Checklist, nonparty deponent, § 1:15

Continued presence rule, § 2:1

Foreign subpoenas, § 3:10

Nonparty deponent, § 1:15

Small claims subpoenas, § 11:6

Trial and deposition appearances distinguished, § 1:2

**ARBITRATION SUBPOENA**

**PRACTICE**

Application, § 11:7

Complaint against witness for disobedience, § 11:12

Concealment of witness to avoid service, declaration of, § 11:10

Disobedience

complaint against witness for, § 11:12

penalties for, § 11:5

Duces tecum subpoena, § 11:8

Expenses, notice of motion for, § 11:9

Form of subpoena, § 11:2

**ARBITRATION SUBPOENA**

**PRACTICE—Cont’d**

Forms

application, § 11:7

complaint against witness for disobedience, § 11:12

concealment of witness to avoid service, declaration of, § 11:10

duces tecum subpoena, § 11:8

failure to appear or serve subpoena, § 11:9

notice of motion for expenses, § 11:9

**Immunity** (this index)

Issuance of subpoena, § 11:2

**Medical Records** (this index)

Noticed production, § 11:1

Parties and party status, § 1:1

Public employee witness fees, § 5:4

Request for statement of witnesses and evidence for limited civil cases, § 11:12

Stranger to action defined, § 1:3

Surrogates for parties, § 1:2

When subpoena necessary, § 11:1

**ARRESTS-MADE RECORDS**

Generally, § 10:5

**ATTENDANCE**

Notice to attorney, § 2:4

Witness in, § 2:1

**ATTORNEY-CLIENT PRIVILEGE**

Discovery of electronically stored information, waiver of attorney-client privilege, § 11:3

**ATTORNEYS AT LAW**

Discipline proceedings, administrative subpoena practice, § 6:2

Discovery of electronically stored information, waiver of attorney-client privilege, § 11:3

Fee awards

Public Records Act, § 10:4

quashal motions, § 11:5

Financial records of attorneys, Right to Privacy Act authorizations, § 8:5

## INDEX

### **ATTORNEYS AT LAW—Cont'd**

- Foreign subpoenas, local counsel,  
§ 3:10
- Notice for attendance at trial and production of documents, § 2:4
- Privilege logs, § 11:2
- Records in possession of, § 2:1
- Right to Counsel** (this index)
- Right to Privacy Act enforcement, fee awards, § 8:9
- Service errors, attorney liabilities for, § 11:4
- Service of subpoenas by, § 11:4

### **AUTHORITY**

- See **Surrogates, Party** (this index)

### **AUTOMOBILE DEALERSHIPS**

- Records, Right to Privacy Act authorizations, § 8:5

### **AUTOPSY RECORDS**

- Generally, § 7:2
- CMIA protections, § 7:4

### **BANK RECORDS**

- Private Financial Records** (this index)
- Right to Financial Privacy Act** (this index)

### **BELIEF**

- See **Information and Belief** (this index)

### **BROADSIDE SUBPOENAS**

- Generally, § 11:2

### **BROKERS**

- Financial records, Right to Privacy Act authorizations, § 8:5

### **BURDEN OF PROOF**

- Right to discovery, § 1:2

### **BURDENSOMENESS**

- Good cause applications, required showing, § 1:2

### **BUSINESS RECORDS**

- Additional payments to witnesses, § 5:9
- Business defined, § 1:8
- CCP § 998 awards, § 5:8

### **BUSINESS RECORDS—Cont'd**

- Checklist, § 1:14
- Computerized records, costs of retrieving information from, § 5:3
- Cost awards
  - generally, § 5:1
  - bad faith fee charges, § 5:3
  - Evidence C § 1563, § 5:1
- Criminal law subpoena practice, § 12:3
- Custodians of Records** (this index)
  - Definition, § 5:3
  - Evidence C § 1563 cost awards, § 5:1
  - Evidence C § 1560-1565 rules, § 5:3
  - Excessive fee charges, § 5:3
  - Fees. Cost awards, above
  - Form of subpoenas, § 11:2
  - Hotels
    - identifying record sources, § 9:5
    - telephone company definition, inclusion in, § 9:1
  - Identifying record sources, § 9:5
  - Information or information possessor as subject of subpoena, § 5:3
  - Nonresidents, § 3:9
  - Out-of-state situs, § 3:9
  - Party vs nonparty business records, § 5:3
  - Stranger businesses, costs, § 5:3
  - Telephone companies
    - defined, § 9:1
    - identifying record sources, § 9:5
  - Time for service of subpoena, § 11:4
  - Waivers of custodian appearances, § 5:3
  - When fees payable, § 5:3

### **BYSTANDERS**

- See also **Strangers** (this index)
- Records of, § 2:1
- Witness in attendance defined, § 2:1

### **CALLER ID RECORDS**

- Generally, § 9:1

### **CHECKLISTS**

- Adult employee, method of service, § 1:13

**CHECKLISTS—Cont’d**

- Business records, subpoena for, § 1:14
- Employee records, subpoena for, § 1:13
- Location of deposition, §§ 1:14; 1:15
- Method of service, § 1:12 to 1:15
- Minor employee, method of service, § 1:13
- Motion to quash or modify, § 1:13
- Nonparty deponent, § 1:15
- Objection to production, § 1:13
- Payment of fees and costs, §§ 1:14; 1:15
- Personal appearance, § 1:15
- Production, § 1:15
- Responses, § 1:13 to 1:15
- Time of service, §§ 1:12; 1:13

**CHECK SELLERS AND CASHERS**

- Trust fund records, Right to Privacy Act authorizations, § 8:5

**CHILD-ABUSE**

- Reporting requirements vs medical records privacy, § 7:3

**CHILDREN**

- See **Minors** (this index)

**CIVIL DISCOVERY ACT OF 1986**

- Discovery of electronically stored information, § 11:3
- Noticed discovery, § 2:3

**CLASS ACTIONS**

- Burdensomeness of discovery, good cause showings, § 1:2
- Deposition notices, § 1:2
- Necessity of discovery, good cause showings, § 1:2
- Parties, § 1:2
- Purpose of discovery, good cause showings, § 1:2
- Surrogates, party, § 1:2
- Unnamed members, party status, § 1:2

**COMMUNITY PROPERTY**

- Spouses, party status, § 1:2

**COMPUTERIZED RECORDS**

- Costs of retrieving information from, § 5:3
- Telephone records, § 9:2

**CONCEALED WITNESSES**

- Service of subpoenas, §§ 11:4; 11:10

**CONFERENCE SUBPOENAS**

- Generally, § 3:6

**CONFIDENTIALITY**

- See **Privacy Rights** (this index)

**CONFIDENTIALITY OF MEDICAL INFORMATION ACT (CMIA)**

- Generally, § 7:4
- See also **Medical Records** (this index)

**CONSENT**

- See **Agreement to Appear** (this index)
- Release of records, §§ 6:10; 6:22

**CONSOLIDATED ACTIONS**

- Parties and party status, § 1:1

**CONSTRUCTIVE SERVICE**

- Substituted service, § 11:4

**CONSUMER RECORDS**

- Generally, §§ 6:1; 6:2
- Advocacy considerations, § 6:1
- Agents’ records, § 8:5
- Automobile dealership records, § 8:5
- Brokers’ financial records, § 8:5
- CCP §§ 1985.3 and 1985.4 protections of private records generally, § 6:2
- Right to Privacy Act conflicts, § 8:1
- telephone company consumer records, § 9:4
- CCP § 1985.6 applicability, § 6:2
- CCP § 1985.3 notices under Right to Privacy Act, § 8:5
- Check sellers’ and cashers’ trust fund records, § 8:5
- Consent to release of records, § 6:10
- Consumer defined, § 9:2
- Cost award applications, § 6:2

## INDEX

### CONSUMER RECORDS—Cont'd

- Credit information, telephone company, § 9:2
- Credit union escrow account records, § 8:5
- Custodians of Records** (this index)
- Customer defined, § 8:2
- Declaration in support of motion to quash, § 6:13
- Definition, § 9:2
- Definition of consumer, § 6:2
- Deposition, notice of taking, § 6:8
- Discovery, stored electronic media, § 6:6
- E-mail, § 6:6
- Forms
  - consent to release of records, § 6:10
  - declaration of issuance, subpoena duces tecum, § 6:19
  - deposition, notice of taking, § 6:8
  - enforcement against nonparty, subpoena duces tecum, §§ 6:18; 6:20
  - notice to consumer, § 6:8
  - proof of service, § 6:9
  - quash or modify, subpoena duces tecum, §§ 6:11; 6:18
  - social media, § 6:7
  - written objection, subpoena duces tecum, § 6:19
- Franchise sales records, § 8:5
- Government agencies, subpoenas issued by, § 6:2
- Government agency records, § 6:2
- Health care service plan records, § 8:5
- Insurance brokers records, § 8:5
- Internet service providers, § 6:5
- Investment advisers' financial records, § 8:5
- Lawyers' financial records, § 8:5
- Local agencies, subpoenas issued by, § 6:2
- Media, stored electronic, § 6:6
- Memorandum of points in opposition to motion for order quashing, § 6:14

### CONSUMER RECORDS—Cont'd

- Notice requirements
  - generally, § 6:4
  - CCP §§ 1985.3 and 1985.4 protections of private records, § 6:2
  - Right to Privacy Act, § 8:5
- Notice to consumer and notice of taking deposition, § 6:8
- Objections to service, § 6:2
- Personal property broker records, § 8:5
- Personal records defined, § 6:2
- Private Financial Records** (this index)
- Proof of service, § 6:9
- Real estate brokers' financial records, § 8:5
- Release of records, consent to, § 6:10
- Right to Privacy Act
  - generally, § 6:1
  - notice protections, § 8:7
- Securities sellers' financial records, § 8:5
- Social media, subpoena duces tecum, § 6:7
- State agencies, subpoenas issued by, § 6:2
- Staying deposition, notice of motion for order, § 6:12
- Stored electronic media, § 6:6
- Subpoena as directed to information possessor rather than to information, § 5:3
- Subpoena duces tecum
  - declaration of issuance, § 6:19
  - enforce against nonparty, motion to, §§ 6:18; 6:20
  - quash or modify, §§ 6:11; 6:18
  - social media, § 6:7
  - written objection to, § 6:19
- Telephone Records** (this index)
- Time for service of subpoena, § 11:4
- Unemployment insurance claims, employers' records relevant to, § 8:5
- Witness defined, § 6:2

**CONTINUED PRESENCE RULE**

- CCP § 2064, § 2:1

**CONVENIENCE**

Presumed convenience, § 1:6

**COORDINATED ACTIONS**

Parties and party status, § 1:1

Trial court designations, § 1:7

**COPYRIGHTS**

Digital Millennium Copyright Act (DMCA), § 6:5

**CORPORATIONS**

See also **Entities** (this index); **Parties and Party Status** (this index)

Controlling persons, party status, § 1:2

Dominant persons, party status, § 1:2

Foreign subpoenas, § 3:10

Managing agents, surrogate status, § 1:2

Out-of-state vs foreign (non-US) entities, §§ 1:9; 3:9

Party surrogates, corporations as, § 1:2

Person status, § 1:8

Sole stockholder, party status, § 1:2

Subpoena vs noticed depositions, § 1:2

**COST AWARDS**

Generally, § 5:1 et seq.

See also **Witness Fees** (this index)

Appeals, consideration of cost disputes on, § 5:1

Business records custodians generally, § 5:1

CCP § 998 awards, § 5:8

CCP § 998, § 5:8

CCP § 1033.5, § 5:8

Consumer record applications, § 6:2

Court-appointed experts, §§ 5:5; 5:8

Evid C § 1563, § 5:1

Expert witness fees, §§ 5:5; 5:8

Forma pauperis litigants, § 5:8

Interpreters

fees and cost awards, § 5:6

indigents, appointments for, § 5:7

Local rules, § 5:1

Mileage and miles distinguished, § 1:6

**COST AWARDS—Cont'd**

Paternity experts, §§ 5:5; 5:8

**Public Employee Witness Fees** (this index)

Settlement offers, § 5:5

Translators

generally, § 5:6

indigents, appointments for, § 5:7

**COSTS**

Discovery of electronically stored information, § 11:3

How much. See **Witness Fees** (this index)

Who pays. See **Cost Awards** (this index)

**COUNSEL**

**Attorneys at Law** (this index)

**Right to Counsel** (this index)

**COUNTIES**

See also **Local Agencies** (this index)

Subpoena jurisdiction limitations, § 3:1

Wemyss rule, § 3:6

**COURT-APPOINTED EXPERTS**

Fees, taxing of, §§ 5:5; 5:8

**COURT-ORDERED MEDICAL EXAMINATIONS**

Physician-patient privilege exception, § 7:2

Psychotherapist-patient privilege exception, § 7:3

**CREDIT CARD RECORDS**

Subpoenas duces tecum, § 9:3

**CREDIT UNIONS**

Escrow account records, Right to Privacy Act authorizations, § 8:5

**CRIMINAL LAW SUBPOENA PRACTICE**

Generally, § 12:1 et seq.

Affidavits, supporting, § 12:3

Arrests-made records, § 10:5

Bad check prosecutions, § 8:5

Business record production, § 12:3

## INDEX

### CRIMINAL LAW SUBPOENA PRACTICE—Cont'd

CCP §§ 1985.3 and 1985.4  
    applicability  
    generally, § 6:2  
    Right to Privacy Act conflicts,  
    § 8:1  
    telephone company consumer  
    records, § 9:4  
Civil and criminal jurisdiction  
    distinguished, § 3:4  
CMIA release authorizations, § 7:4  
Compulsory process, Sixth Amend-  
ment rights to, § 9:4  
Consumer record privacy protections,  
§ 6:2  
Contact vs residence jurisdiction,  
§ 2:1  
County limitations on subpoena juris-  
diction, § 1:6  
Fees to witnesses, § 5:1  
Foreign (non-US) witnesses,  
    subpoena jurisdiction, § 3:3  
Forms, U.S. District Court  
    generally, § 12:10 to 12:15  
    complaint records, production,  
    § 12:14  
    deposition, testimony, § 12:13  
    documents, production, § 12:12  
    grand jury testimony, § 12:15  
    motion to quash subpoena, § 12:17  
    objects, production, § 12:12  
    state law enforcement personnel,  
    production, § 12:14  
    testimony, §§ 12:11; 12:13; 12:15  
**Habeas Corpus ad Testificandum**  
    (this index)  
Immunities, § 4:5  
Issuance of subpoenas, § 12:3  
Jurisdiction, § 12:2  
**Law Enforcement Records** (this  
    index)  
Magistrates, issuance of subpoenas  
by, § 3:6  
Mail service, §§ 3:3; 12:2  
**Medical Records** (this index)  
Mileage fees, § 5:1  
Mileage limits on personal appear-  
ance subpoenas, § 3:6

### CRIMINAL LAW SUBPOENA PRACTICE—Cont'd

Nonresidents, noticed discovery  
    from, § 2:1  
Noticed production, § 12:1  
Notice requirements of Right to  
Privacy Act, § 8:5  
150 mile limit, §§ 12:4; 13:4  
Out-of-state service, § 12:2  
Parties and party status, § 1:1  
Penal C § 476a prosecutions, § 8:5  
Penal Code jurisdictional limitations,  
§ 3:4  
Physician-patient privilege, § 7:2  
Piggyback search warrants, § 13:4  
Prisoner witnesses, § 2:2  
Privacy protections, consumer  
records, § 6:2  
**Private Financial Records** (this  
    index)  
Psychiatric records of victim, duces  
tecum subpoena, § 12:18  
PUC § 2891 limitations on telephone  
record production, § 9:4  
Quash, motion to, § 12:16  
**Right to Financial Privacy Act** (this  
    index)  
Service  
    generally, § 12:5  
    mail, § 3:3  
Sixth Amendment rights, § 9:4  
Stranger to action defined, § 1:3  
Subpoena jurisdiction  
    generally, § 3:4  
    foreign (non-US) witnesses, § 3:3  
    mail service, § 3:3  
    territorial limits, § 3:3  
    Uniform Act, §§ 3:3; 12:2  
**Telephone Records** (this index)  
Territorial limits of subpoena juris-  
diction, § 3:3  
Trial court defined, § 1:7  
Trial courts designated to issue and  
enforce subpoenas, § 3:6  
Uniform Act to Secure Attendance of  
Witnesses  
    immunities, § 4:5  
    subpoena jurisdiction, §§ 3:3; 12:2



**CRIMINAL LAW SUBPOENA  
PRACTICE—Cont’d**

U.S. District Court. Forms, U.S.  
District Court, above  
Victim’s psychiatric records, duces  
tecum subpoena, § 12:18  
Who must be subpoenaed, § 12:1  
Witness fees  
generally, §§ 5:1; 12:8  
civil vs criminal matter determina-  
tions, § 1:6

**CUSTODIANS OF RECORDS**

Appearance, when required, § 5:3  
Diligent search testimony, § 10:3  
Foundation questioning, § 10:3  
Nonresident, § 3:9  
Peace officer record custodians, pro-  
duction of records through,  
§ 10:3  
Standing to challenge subpoenas,  
§ 9:3  
Subpoena directed to information  
possessor rather than to informa-  
tion, § 5:3  
Waivers of custodian appearances,  
§ 5:3  
Witness fees, § 5:3

**DANGEROUS PATIENTS**

Reporting requirements vs medical  
records privacy, § 7:3

**DECLARATIONS**

See **Affidavits** (this index)

**DEFINITIONS**

Access number, § 9:2  
Agent, § 1:2  
Appearance, § 1:2  
Business, § 1:8  
Business record, § 5:3  
Calling records, § 9:2  
CCP § 1989 jurisdiction, § 1:4  
Consumer, §§ 6:2; 9:2  
Credit information, § 9:2  
Customer, § 8:2  
Deposition appearance, § 1:2  
Distance, § 1:6  
Entity, §§ 1:2; 1:8

**DEFINITIONS—Cont’d**

Expert witness, § 5:5  
Financial institution, § 8:2  
Firefighter, § 5:4  
Foreign entity, §§ 1:10; 3:9  
Good cause, § 1:11  
Immunity, § 4:1  
Investigation, § 8:3  
Judicial officer, § 2:1  
Jurisdiction, subpoena, § 1:4  
Legal immunity, § 4:1  
Local agency, § 10:2  
Mileage and miles, § 1:6  
Negative definitions, statutory, § 1:5  
Nonresidence, § 1:5  
Ordinary deposition, § 2:1  
Out-of-state entity, §§ 1:9; 3:9  
Party, § 1:1  
Patient, §§ 7:2; 7:3  
Peace officer, §§ 5:4; 10:2  
Pen register, § 9:2  
Person, § 1:8  
Personal service, § 11:4  
PHI, § 7:5  
Presumed convenience, § 1:6  
Public employee witness fees, § 5:4  
Quasi-immunity, § 4:1  
Residence, § 1:5  
Sojourning, § 1:5  
State agency, § 10:2  
Stranger, § 1:3  
Subpoena immunity, § 4:1  
Surrogate, § 1:2  
Telephone records, § 9:2  
Trap, telephone, § 9:2  
Trial appearance, § 1:2  
Trial court, § 1:7  
Writing, § 10:2

**DEMAND PRODUCTION**

See **Noticed Production** (this index)

**DEPOSITION NOTICES**

Class actions, § 1:2  
Hughes litigation, § 1:2  
Surrogate vs stranger status, §§ 1:2;  
1:3



## INDEX

### DEPOSITION SUBPOENAS

Generally, § 3:8  
See also **Trial Subpoenas** (this index)  
Appearances, trial and deposition appearances distinguished, § 1:2  
CCP § 2025(b)(2) hold, § 11:2  
CCP § 2025(e), § 3:6  
CCP § 1985.5 enhancements, § 11:2  
CCP § 2020 rules, § 2:3  
Clerk, issuance by, § 11:2  
Consumer records, deposition, notice of taking, § 6:8  
Distance limitations on, § 1:5  
Foreign subpoenas, § 3:10  
Form, § 11:2  
Form, U.S. District Court, § 12:13  
Hardship and distance limitations, § 1:5  
Hughes litigation, § 1:2  
**Immunity** (this index)  
Interstate and International Depositions and Discovery Act, § 3:10  
Issuance, § 11:2  
**Jurisdiction, Subpoena** (this index)  
Jurisdiction to issue, § 3:6  
Legislative immunity, § 4:4  
Managing agents, surrogate status, § 1:2  
Mandatory form, § 11:2  
Nonparty discovery, §§ 2:3; 11:2  
Notice of taking, consumer records, § 6:8  
Physical evidence production demands, § 3:9  
Records-and-testimony subpoenas, § 11:5  
Stranger organizations, § 3:8  
Time for service, § 11:4  
Travel expenses, § 5:2  
Unnamed members of classes, § 1:2  
U.S. District Court form, § 12:13  
Wemyss rule, § 3:6

### DIGITAL MILLENNIUM

#### COPYRIGHT ACT (DMCA)

Subpoena power, § 6:5

### DIPLOMATIC IMMUNITY

Generally, § 4:2

### DIRECTORS, CORPORATE

Surrogates, status as, § 1:2

### DISCOVERY

CCP § 1985.8, § 11:3  
CCP § 2031.285, § 11:3  
CCP § 1985.8(f), § 11:3  
CCP § 1985.8(l), § 11:3  
Civil Discovery Act, § 11:3  
**Consumer Records** (this index)  
Criminal proceedings, § 12:7  
Deposition, notice of taking, § 6:8  
Electronic Discovery Act, § 11:3  
Electronic media, stored, §§ 6:6; 11:3  
E-mail, § 6:6  
**Employment Records** (this index)  
**Financial Records** (this index)  
Foreign subpoenas, § 3:10  
FRCP Rule 45, § 11:3  
FRE Rule 502, § 11:3  
Interparty discovery (Evid C §§ 1043-1047)  
    generally, § 10:3  
    elements of motion, § 10:3  
    relevancy determinations, § 10:3  
    subpoena practice compared, § 10:1  
    supporting declarations, § 10:3  
    written notice to agency, § 10:3  
Interstate and International Depositions and Discovery Act, § 3:10  
Media, stored electronic, § 6:6  
**Medical Records** (this index)  
Nonsubpoenaed. See **Noticed Production** (this index)  
**Noticed Production** (this index)  
**Peace Officer Personnel Records** (this index)  
Special Education Division  
    subpoena to give videoconference testimony under oath, information sheet, § App D  
    subpoena to produce records, information sheet, § App D  
Stored electronic media, § 6:6  
Subpoena practice compared, § 10:1

**DISCOVERY—Cont’d**

Third party discovery in criminal proceedings, § 12:7

**DISOBEDIENCE OF SUBPOENA**

Generally, § 11:5

Arbitration subpoenas, §§ 11:5; 11:11

Criminal proceedings, § 12:9

Grand jury subpoenas, § 13:4

**DISSOLUTION PROCEEDINGS**

Residence, § 1:5

**DISTANCE**

See also **Mileage** (this index)

Definitions of, § 1:6

Mileage and miles distinguished, § 1:6

150 mile limit, §§ 12:4; 13:4

Strangers, distance limitations, § 1:5

**DOING BUSINESS**

Subpoena jurisdiction over out-of-state entities, § 3:9

**DOMICILE**

See also **Residents and Residence** (this index)

Residence and, § 1:5

**DUCES TECUM SUBPOENAS**

Affidavits, supporting, § 11:2

Arbitration, § 11:8

Broadside subpoenas, § 11:2

Business record subpoenas, § 11:2

Calcor Space Facility decision, § 11:2

CCP § 1985 affidavits, § 11:2

CCP §§ 1985.3 and 1985.4 protections of private records generally, §§ 6:2; 8:1

telephone company consumer records, § 9:4

CCP § 1985.6 applications, § 6:3

CCP § 1985.3 service requirements, § 6:2

Civil proceeding evidence rules, § 9:3

CMIA release authorizations, § 7:4

Consumer records demands, standing to challenge, § 9:3

**DUCES TECUM SUBPOENAS**

**—Cont’d**

Court returns, § 8:5

Credit card records, § 9:3

Criminal discovery, § 12:7

Custodians, challenges by, § 9:3

Declaration of issuance, § 6:19

Deposition subpoena, use to obtain physical evidence, § 3:9

Drafting suggestions, § 11:2

Employment records

generally, § 6:3

declaration of order to enforce, nonparty employee, § 6:25

motion to enforce, nonparty employee, § 6:24

written objection by nonparty employee, § 6:23

Enforcement against nonparty, §§ 6:18; 6:20

Entity-owned evidence, § 3:9

Evidence in custody of nonresident, § 3:9

Form, § 11:8

Grand jury authority to issue, §§ 13:4; 13:5

Grand jury investigations, § 8:6

**Habeas Corpus ad Testificandum** (this index)

HIPAA restrictions, § 7:5

**Immunity** (this index)

**Jurisdiction, Subpoena** (this index)

Lawful process, issuance as, § 9:3

Ministerial issuance, § 9:3

Nonjudicial issuance, § 9:3

Nonparty employee, employment records

declaration of order to enforce, § 6:25

motion to enforce, § 6:24

written objection by, § 6:23

Nonresidents’ records, § 3:9

Notice requirements of Right to Privacy Act, § 8:5

Out-of-state evidence of California entity, § 3:9

Personnel records, § 6:3

Physical evidence in custody of non-resident, § 3:9

## INDEX

### DUCES TECUM SUBPOENAS

#### —Cont'd

Prior judicial determination, issuance as, § 9:3

**Private Financial Records** (this index)

Privilege logs, § 11:2

Proposition 8, § 9:3

Psychiatric records of victim, § 12:18

Purpose limitations, § 3:9

Quash, motion to, § 12:16

Quash or modify, §§ 6:11; 6:18

Records-only subpoenas, § 11:5

Returns

generally, § 8:5

nonjudicial issuance, § 9:3

Secret court orders, § 8:5

Shock value, § 2:3

Social media, § 6:7

Standing to challenge, § 9:3

Subpoena jurisdiction generally, § 3:9

**Telephone Records** (this index)

Time for service, § 11:4

Trial, production at, § 11:2

Truth in evidence constitutional provision, § 9:3

Victim's psychiatric records, § 12:18

When required, § 2:1

Workers' Compensation Appeal Board, § App D

Written objection to, §§ 6:19; 6:23

### DUE DILIGENCE

Service of subpoenas, § 12:5

### DUE PROCESS

**Minimum Contacts** (this index)

### ELECTRONIC DISCOVERY ACT

Generally, § 11:3

### ELECTRONIC MEDIA

Discovery, §§ 6:6; 11:3

### E-MAIL

Discovery, stored electronic media, § 6:6

### EMPLOYMENT RECORDS

Generally, § 6:3

### EMPLOYMENT RECORDS

#### —Cont'd

CCP § 1985.6 applications, § 6:3

Checklist, § 1:13

Consent to release, § 6:22

Definition, § 10:2

Fees, § 6:28

Forms, § 6:20 to 6:28

Motion to enforce subpoena duces tecum against nonparty employee, § 6:24

Nonparty employee

agreement to allow production of, § 6:27

declaration of order to enforce subpoena duces tecum against, § 6:25

motion to enforce subpoena duces tecum against, § 6:24

written objection by, § 6:23

Notice to employee and notice of taking, § 6:20

Order quashing, modifying, or directing compliance with subpoena, § 6:28

**Peace Officer Personnel Records** (this index)

Personnel records defined, § 10:2

Proof of service, § 6:21

Release records, consent to, § 6:22

Relevancy determinations, § 10:3

Right to Privacy Act authorizations, § 8:5

Social media, subpoena duces tecum, § 6:7

Subpoena as directed to information possessor rather than to information, § 5:3

Time of service, § 1:13

Unemployment insurance claims, access to employers' records relevant to, § 8:5

Written objection by nonparty employee, § 6:23

### ENFORCEMENT OF SUBPOENAS

CCP § 1985.5 enhancements, § 11:2

CCP § 2020(g) enforcement mechanisms, § 11:2

Consumer records, §§ 6:18; 6:20

## ENFORCEMENT OF SUBPOENAS —Cont'd

Disobedience of subpoena penalties  
generally, § 11:5  
arbitration subpoenas, § 11:5  
criminal proceedings, § 12:9  
grand jury subpoenas, § 13:4  
Subpoena duces tecum against,  
employment records  
declaration for order enforcing,  
§ 6:25  
motion to enforce, § 6:24

## ENTITIES

See also **Parties and Party Status**  
(this index)  
**Corporations** (this index)  
Foreign subpoenas, § 3:10  
Out-of-state, §§ 1:9; 3:9  
Person status, § 1:8  
**Surrogates, Party** (this index)

## EVIDENCE

See also **Records** (this index)  
Exclusionary rule, § 9:3  
Location as affecting subpoena juris-  
diction, § 3:1  
**Physical Evidence** (this index)  
Proposition 8, § 9:3  
Truth in evidence constitutional pro-  
vision, § 9:3

## EXPERT WITNESSES

Bias and fees, cross examination on,  
§ 5:9  
CCP § 1033.5(a)(8) awards, § 5:8  
Court-appointed experts, §§ 5:5; 5:8  
Criminal proceedings, § 12:9  
Deposition costs, §§ 5:5; 5:8  
Fees, § 5:5  
Involuntary experts, § 12:9  
Negotiated fees, § 5:9  
Overpaid experts, jury inferences,  
§ 5:9  
Paternity experts, §§ 5:5; 5:8  
Public employee experts, §§ 5:5; 5:8  
Treating physicians, § 5:5

## FEDERAL COMMUNICATIONS COMMISSION

Telephone records, § 9:1

## FEDERAL ENCLAVES

Subpoena jurisdiction, §§ 3:1; 3:3

## FEDERALISM

Grand jury subpoena practice, § 13:3  
Jurisdictional limitations on state  
courts, § 3:1

## FEDERAL LONG ARM STATUTE

Generally, § 3:3

## FEDERAL OFFICERS

Subpoena jurisdiction, § 3:5

## FEDERAL PRISONERS

Noticed discovery, § 2:2  
Walter De Shirland, trial, § App A

## FEDERAL RECORDS

Subpoena jurisdiction, § 3:5

## FEES

Checklist, §§ 1:14; 1:15  
Employment records, order quashing,  
modifying, or directing compli-  
ance with subpoena, § 6:28  
How much. See **Witness Fees** (this  
index)  
Who pays. See **Cost Awards** (this  
index)

## FIFTH AMENDMENT

Grand jury subpoena practice, § 13:5

## FINANCIAL RECORDS

**Business Records** (this index)  
**Consumer Records** (this index)  
Grand jury subpoenas, § 13:4  
**Private Financial Records** (this  
index)  
**Right to Financial Privacy Act** (this  
index)  
Subpoena as directed to information  
possessor rather than to informa-  
tion, § 5:3  
Trust records, § 5:3

## FIREFIGHTERS

Definition, § 5:4

## INDEX

### **FOREIGN DIPLOMATIC PERSONNEL**

Immunities, personal and subpoena,  
§ 4:2

### **FOREIGN (NON-US) ENTITIES**

Out-of-state entities distinguished,  
§§ 1:9; 1:10; 3:9

### **FOREIGN (NON-US) WITNESSES**

Subpoena jurisdiction, § 3:3

### **FOREIGN SUBPOENAS**

Appearances, § 3:10

Fees, § 3:10

Form and content, § 3:10

Interstate and International Depositions and Discovery Act, § 3:10

Local counsel, § 3:10

### **FORMA PAUPERIS FEES**

Taxation of, § 5:8

### **FORMS**

Generally, § App D

**Arbitration Subpoena Practice** (this index)

Consumer records, § 6:7 et seq.

Employment records, § 6:20 to 6:28

U.S. District Court. **Criminal Law Subpoena Practice** (this index)

### **FORMS OF SUBPOENAS**

Generally, § 11:2

Arbitrations, § 11:2

Business record production, § 11:2

Criminal law practice, § 12:3

Deposition subpoenas, § 11:2

Foreign subpoenas, § 3:10

Judicial Council adopted and approved forms, § 11:2

Trial subpoenas, § 11:2

### **FRANCHISES**

Sales records, Right to Privacy Act authorizations, § 8:5

### **GOOD CAUSE**

Generally, § 1:11

Affidavit, proof by, § 1:11

Burden of proof, § 1:2

### **GOOD CAUSE—Cont'd**

Burdensomeness, required showing,  
§ 1:2

Class actions, unnamed members,  
§ 1:2

Information and belief affidavits,  
§ 1:11

Local rule, § 1:11

Necessity, required showing, § 1:2

Purpose of discovery, required showing, § 1:2

Required showing, § 1:2

Unnamed members of classes, § 1:2

### **GOVERNMENT AGENCIES**

See also **Administrative Subpoena and Summons Practice** (this index)

Consumer record protections, § 6:2

**Local Agencies** (this index)

**Right to Financial Privacy Act** (this index)

**State Agencies** (this index)

### **GOVERNMENT WITNESSES**

See **Public Employee Witness Fees** (this index)

### **GRAND JURY SUBPOENA PRACTICE**

Generally, §§ 8:6; 13:1 et seq.

Abuse of subpoena process, § 13:4

Authority for issuance, § 13:4

Civil vs criminal investigations, § 8:6

**Consumer Records** (this index)

Contact jurisdiction, § 13:3

County records, noticed production,  
§ 13:2

**Custodians of Records** (this index)

Disobedience, § 13:4

District Court, federal, § 12:15

Duces tecum subpoenas, §§ 13:4;  
13:5

Enforcement, § 13:4

Federal and California grand jury procedures compared, § 13:1

Federal supremacy limits, § 13:3

Fifth amendment right against self-incrimination, § 13:5

Financial records, § 13:4

**GRAND JURY SUBPOENA  
PRACTICE—Cont’d**

Hawkins decision, § 13:1

Howell decision, § 13:4

**Immunity** (this index)

Investigatory authority generally,  
§ 8:6

Jurisdiction

generally, § 13:3

challenges to grand juries, § 13:1

Magistrates, issuance of subpoenas  
by, § 3:6

**Medical Records** (this index)

Mileage limits on personal appear-  
ance subpoenas, § 3:6

Morton Salt decision, § 13:1

Noticed production, § 13:2

Notice requirements of Right to  
Privacy Act, § 8:5

**Peace Officer Personnel Records**  
(this index)

Penal Code jurisdictional limitations,  
§ 3:4

Piggyback search warrants, § 13:4

Powers of grand juries generally,  
§ 13:1

Preliminary hearing rights of criminal  
defendants, § 13:1

Prisoner witnesses, § 2:2

**Private Financial Records** (this  
index)

Proposition 115, § 13:1

PUC § 2891 limitations on telephone  
record production, § 9:4

Quashal motions, § 13:4

Raven decision, § 13:1

Reform of grand jury system, propos-  
als for, § 13:1

**Right to Financial Privacy Act** (this  
index)

Search warrant authority, § 13:4

Service, § 13:4

Subpoena jurisdiction generally,  
§ 3:4

Subpoenas duces tecum, §§ 13:4;  
13:5

**Telephone Records** (this index)

Territorial limits on jurisdiction,  
§ 13:3

**GRAND JURY SUBPOENA  
PRACTICE—Cont’d**

Trial courts designated to issue and  
enforce subpoenas, § 3:6

Witness fees, § 13:4

**HABEAS CORPUS AD  
TESTIFICANDUM**

Bagwell decision, § 2:2

Govt C § 68093 witness fees, § 5:2

Noticed discovery, § 2:2

Walter De Shirland, trial, §§ 2:2;  
App A

Witness fee entitlement, § 5:2

**HAGUE DISCOVERY  
CONVENTION**

Burden of proof as to application,  
§ 3:2

**HARDSHIP**

Distance limitations on deposition  
subpoenas, § 1:5

Mileage determinations and, § 1:6

Presumed convenience, § 1:6

**HEALTH**

See also **Medical Records** (this  
index)

Protected health information (PHI),  
§ 7:5

**HEALTH CARE SERVICE PLANS**

Records, Right to Privacy Act  
authorizations, § 8:5

**HEALTH INSURANCE  
PORTABILITY AND  
ACCOUNTABILITY ACT  
(HIPAA)**

Generally, § 7:5

**HIGHWAY PATROL (CHP)  
OFFICERS**

Service on

generally, § 11:2

criminal matters, § 12:5

time for, § 11:4

**HOMELESS PERSONS**

Residence determinations, § 1:5

## INDEX

### IMMUNITY

- Article III jurisdictional immunity, § 4:2
- Fifth amendment right against self-incrimination, § 13:5
- Foreign diplomatic personnel, § 4:2
- Jurisdictional immunities, § 4:2
- Legislators, § 4:4
- Production, § 13:5
- Subpoena immunity
  - generally, § 4:1 *et seq.*
  - criminal cases witnesses, § 4:5
  - definition, § 4:1
  - foreign diplomatic personnel, § 4:2
  - jurisdictional immunities, § 4:2
  - jurisdiction and immunity, concepts compared, § 4:1
- legislators
  - generally, § 4:4
  - quasi-immunity, § 4:1
- treaty immunity, § 4:2
- Uniform Act to Secure Attendance of Witnesses in Criminal Cases, § 4:5
- Vienna Conventions, §§ 4:3; App B, C
  - waivers by diplomatic personnel, § 4:3
- Treaty immunity, § 4:2
- Vienna Conventions, §§ 4:3; App B, C
- Waivers by diplomatic personnel, § 4:3

### IN COURT

- See also **Noticed Production** (this index)
- Out-of-court and in-court depositions distinguished, § 2:1

### INDIGENTS

- Forma pauperis fees, taxation of, § 5:8
- Interpreters and translators, appointments for, § 5:7

### INFORMATION AND BELIEF

- Good cause affidavits, § 1:11
- Reasonable belief, § 1:11

### IN PERSONAM JURISDICTION

- Generally, §§ 3:8; 3:9
- See also **Jurisdiction** (this index)
- Contact vs residence jurisdiction, § 2:1
- Defects in, effect on subpoena jurisdiction, § 3:7
- Doing business and jurisdiction over out-of-state entities, § 3:9
- Foreign (non-US) vs out-of-state exercises, § 3:2
- Minimum contacts limitations, § 3:2
- Reasonableness of exercise, § 3:2
- Registration and jurisdiction over out-of-state entities, § 3:9
- Residence and, § 1:5
- Subpoena jurisdiction distinguished
  - generally, § 3:2
  - see also **Jurisdiction, Subpoena** (this index)

### IN REM JURISDICTION

- Generally, § 3:2
- See also **Jurisdiction** (this index)

### INSURANCE

- Brokers' records, Right to Privacy Act authorizations, § 8:5
- Examinations, § 7:2
- Residence, policy designations, § 1:6

### INTERFERENCE WITH SERVICE

- Criminal penalties, § 11:5

### INTERNATIONAL DISCOVERY

- Hague Convention, burden of proof as to application, § 3:2

### INTERNET

- Consumer records, service providers, § 6:5
- Social media, subpoena duces tecum, § 6:7

### INTERPRETERS

- Fees and cost awards, § 5:6
- Indigents, appointments for, § 5:7

### INTERSTATE AND INTERNATIONAL DEPOSITIONS AND DISCOVERY ACT

- Jurisdiction, subpoena, § 3:10



## **INVASION OF PRIVACY**

See **Privacy Rights** (this index)

## **INVESTIGATIONS**

See also **Right to Financial Privacy Act** (this index)

Definition, § 8:3

Files, investigatory, § 10:4

Financial institutions, crimes against, § 8:4

Financial institutions, crimes by, § 8:4

Fraud investigations, § 8:4

Grand jury investigations, § 8:6

## **INVESTMENT ADVISERS**

Financial records, Right to Privacy Act authorizations, § 8:5

## **ISSUANCE OF SUBPOENAS**

Generally, § 11:2

Arbitrations, § 11:2

CCP § 2020(g) enforcement mechanisms, § 11:2

Clerk, issuance by, § 11:2

Criminal law practice, § 12:3

Deposition subpoenas, § 11:2

150 mile limit, §§ 12:4; 13:4

Trial subpoenas, § 11:2

Who may issue

generally, § 11:2

criminal subpoenas, § 12:3

150 mile limit, §§ 12:4; 13:4

## **JUDICIAL COUNCIL FORMS**

Adopted and approved forms, § 11:2

## **JUDICIAL OFFICERS**

Definition, § 2:1

## **JUDICIAL SUBPOENAS**

Right to Privacy Act, § 8:5

## **JURISDICTION**

Generally, § 3:1

Article III jurisdictional immunity, § 4:2

Borrowed jurisdiction, § 13:3

CCP § 1989 jurisdiction, § 1:4

Choice of law threshold, § 3:7

Defects in, effect on subpoena jurisdiction, § 3:7

## **JURISDICTION—Cont'd**

Deposition and trial court subpoenas distinguished, § 3:6

Diplomatic immunity, § 4:2

Extraterritorial powers of state courts, § 3:1

Federalism conflicts, § 3:1

Federal long arm statute, § 3:3

Foreign diplomatic personnel, § 4:2

Grand juries, § 13:3

Immunity and jurisdiction, concepts compared, § 4:1

**In Personam Jurisdiction** (this index)

**In Rem Jurisdiction** (this index)

Jurisdiction to determine, § 3:7

**Noticed Production** (this index)

Plenary jurisdiction

generally, § 3:1

federal rule, § 3:7

Subpoena jurisdiction distinguished generally, § 3:1

see also **Jurisdiction, Subpoena** (this index)

## **JURISDICTION, SUBPOENA**

Generally, § 3:1 *et seq.*

See also **Jurisdiction** (this index)

Administrative subpoenas and summonses

generally, § 3:4

extraterritorial effect, § 8:5

Advantages of noticed discovery, § 2:3

Arbitrators, authority of, § 2:1

Area concept of mileage determinations, § 1:6

Borrowed jurisdiction, § 13:3

CCP § 1989 limitations, § 3:4

Choice of law threshold, § 3:7

Civil matters, who is subject to service, § 3:4

Contact jurisdiction, § 13:3

Contact test, § 3:4

Contact vs residence jurisdiction, § 2:1

Continued presence rule, § 2:1

Corporations, service on, § 1:8

County limitations, §§ 1:6; 3:1

## INDEX

### JURISDICTION, SUBPOENA

#### —Cont'd

County of trial court and mileage determinations, § 1:6  
Criminal law practice, §§ 3:4; 12:2  
Criminal subpoenas, § 3:3  
Definition, §§ 1:4; 3:1  
Deposition and trial court subpoenas distinguished, § 3:6  
Depositions, §§ 3:6; 3:8  
Distance limitations, § 1:5  
Duces tecum subpoenas, § 3:9  
Entities, service on, § 1:8  
Evidence, physical, location of, § 3:1  
Extraterritorial effect of administrative subpoenas and summonses, § 8:5  
Federal enclaves, §§ 3:1; 3:3  
Federalism conflicts, § 3:1  
Federal long arm statute, § 3:3  
Federal officers, § 3:5  
Federal records, § 3:5  
Foreign (non-US) vs out-of-state exercises, § 3:2  
Foreign (non-US) witnesses, § 3:3  
Foreign subpoenas, § 3:10  
Grand juries  
    generally, § 3:4  
    contact jurisdiction, § 13:3  
    territorial limits, § 13:3  
Habeas corpus ad testificandum, § 2:2  
**Immunity** (this index)  
Information or information possessor as subject of, § 5:3  
Injunctions by out-of-state courts, § 3:8  
In personam jurisdiction distinguished, § 3:2  
International discovery, § 3:2  
Interstate and International Depositions and Discovery Act, § 3:10  
Jurisdiction and, § 3:1  
Jurisdiction to determine, § 3:7  
Legislative immunity, § 4:4  
Magistrates, § 3:6  
Mail service, § 3:3  
Mileage calculations, § 1:6

### JURISDICTION, SUBPOENA

#### —Cont'd

Minimum contacts limitations, § 3:2  
Nonresidents, §§ 2:1; 3:2  
Noticed discovery strategies compared, § 2:3  
**Noticed Production** (this index)  
Organizations, service on, § 1:8  
Out-of-county subpoenas, § 1:6  
Out-of-state courts, injunctions by, § 3:8  
Out-of-state service  
    generally, § 3:3  
    administrative subpoenas, § 8:5  
    foreign subpoenas, § 3:10  
Persons, subpoenas to testify or do other personal acts, § 3:8  
Physical evidence  
    generally, § 3:9  
    location of, § 3:1  
Plenary jurisdiction distinguished, § 3:1  
Prisoners, § 2:2  
Quashal motions, § 2:3  
Registration of out-of-state entities, § 3:9  
Residence requirements, § 1:5  
Settlement conferences, § 3:6  
Shock value of subpoena, § 2:3  
Stranger organizations, § 3:8  
Strategic considerations for avoiding subpoenaed discovery, § 2:3  
Subject matter jurisdictional defects, § 3:7  
Superior Court, § 3:6  
Temporarily present nonresidents, § 3:2  
Territorial limits  
    generally, § 3:3  
    grand juries, § 13:3  
Trial courts designated to issue and enforce subpoenas, § 3:6  
Twin Lock decision, § 3:4  
Two methods of witness production, § 2:3  
Uniform Act to Secure Attendance of Witnesses, §§ 3:3; 12:2  
Void subpoenas, § 1:5

## **JURISDICTION, SUBPOENA**

### **—Cont’d**

Wemyss rule, § 3:6

Who is subject to, § 3:4

## **LAW ENFORCEMENT RECORDS**

Generally, § 10:1 et seq.

Arrests-made records, § 10:5

Complaints against officers, records of, § 10:3

Currently-within-the-system limitation, § 10:4

Form, U.S. District Court, § 12:14

Investigatory files, § 10:4

Juvenile litigation, § 10:3

Personnel records. See **Peace Officer Personnel Records** (this index)

Public Records Act

generally, § 10:4

attorneys’ fees, § 10:4

currently-within-the-system limitation, § 10:4

disclosure of records through, § 10:1

enforcement, § 10:4

exemptions, § 10:4

fast track proceedings, § 10:4

investigatory file exception, § 10:4

overview, § 10:1

reproduction costs, § 10:4

Records retention policies, § 10:3

U.S. District Court, form, § 12:14

## **LAWYERS**

See **Attorneys at Law** (this index)

## **LEGISLATIVE SUBPOENAS**

Right to Privacy Act protections, § 8:2

## **LEGISLATORS**

Immunities, § 4:4

## **LICENSED PRIVATE INVESTIGATORS**

Service of subpoenas by, § 11:4

## **LOCAL AGENCIES**

**Administrative Subpoena and Summons Practice** (this index)

## **LOCAL AGENCIES—Cont’d**

County records, noticed production, § 13:2

Definition, § 10:2

Noticed production of county records, § 13:2

Records retention policies, § 10:3

**Right to Financial Privacy Act** (this index)

Subpoenas issued by, § 6:2

## **LOCAL RULES**

Cost awards, § 5:1

Good cause determinations, § 1:11

Preemption by state law, § 1:11

## **LOCATION OF DEPOSITION**

Checklist, §§ 1:14; 1:15

## **LODGING EXPENSES**

Witness fees, § 5:2

## **LONG ARM STATUTES**

See also **In Personam Jurisdiction** (this index)

Federal long arm statute, § 3:3

## **MAGISTRATES**

Subpoena jurisdiction, § 3:6

## **MANAGING AGENTS**

Surrogates, status as, § 1:2

## **MEAL EXPENSES**

Witness fees, § 5:2

## **MEASUREMENT**

Mileage and miles distinguished, § 1:6

## **MEDICAL RECORDS**

Generally, § 7:1 et seq.

Authorized releases

CMIA provisions, § 7:4

HIPAA provisions, § 7:5

Autopsy records

generally, § 7:2

CMIA protections, § 7:4

Child-abuse reporting requirements, § 7:3

Confidential communications

physicians, § 7:2

psychotherapists, § 7:3

## INDEX

### **MEDICAL RECORDS—Cont'd**

- Confidentiality of Medical Information Act (CMIA), § 7:4
- Constitutional privacy rights, § 7:1
- Coroner investigation exception to CMIA protections, § 7:4
- Court-ordered medical examinations
  - physician-patient privilege exception, § 7:2
  - psychotherapist-patient privilege exception, § 7:3
- Dangerous-patient exception to psychotherapist-patient privilege, § 7:3
- Diagnosis exception to CMIA protections, § 7:4
- Federal and state protections generally, § 7:1
  - Confidentiality of Medical Information Act (CMIA), § 7:4
  - federal preemption, § 7:5
  - Health Insurance Portability and Accountability Act (HIPAA), § 7:5
- Health Insurance Portability and Accountability Act (HIPAA), § 7:5
- Hospital records, § 7:2
- Insurance examinations, § 7:2
- Law decision, § 7:5
- Names of patients
  - physician-patient privilege, § 7:2
  - psychotherapist-patient privilege, § 7:3
- Nonresident custodians, § 3:9
- Patient defined, §§ 7:2; 7:3
- Patients' rights, physicians' standing to assert, § 7:1
- Payment collection exception to CMIA protections, § 7:4
- Peace officer personnel records, § 10:3
- Peer review exception to CMIA protections, § 7:4
- Physician-patient privilege, § 7:2
- Protected health information (PHI), § 7:5
- Psychotherapist-patient privilege, § 7:3

### **MEDICAL RECORDS—Cont'd**

- Quality assurance exception to CMIA protections, § 7:4
- Redacted records, § 7:2
- Release authorizations, § 7:4
- Reproductive Rights Law Enforcement Act, § 7:6
- Sperm donors, § 11:2
- Standing to assert privacy rights, § 7:1
- Statutory privileges
  - physician-patient privilege, § 7:2
  - privacy rights compared, § 7:1
  - psychotherapist-patient privilege, § 7:3
  - waiver, § 7:1
- Subpoena as directed to information possessor rather than to information, § 5:3
- Third party medical records, discovery of, § 7:1
- Tort claimants, court-ordered medical examinations
  - physician-patient privilege exception, § 7:2
  - psychotherapist-patient privilege exception, § 7:3
- Treatment exception to CMIA protections, § 7:4
- Waivers
  - CMIA protections, § 7:4
  - construction, § 7:1
  - privacy rights, § 7:1
  - release authorizations, § 7:4
  - statutory privileges, § 7:1

### **MILEAGE**

- Air miles, § 1:6
- Area concept, § 1:6
- CCP § 2025(e), § 3:6
- Costs, calculating. See **Witness Fees** (this index)
- Costs, who pays. See **Cost Awards** (this index)
- County of trial court and mileage determinations, § 1:6
- Criminal law subpoena practice, § 3:6
- Crow-fly miles, § 1:6

**MILEAGE—Cont'd**

- Definition, § 1:6
- Generous vs stiff-arm calculation practices, § 1:6
- Govt C § 68093 calculations, § 1:6
- Grand jury subpoena practice, § 3:6
- Hardship determinations based on, § 1:6
- Mileage and miles distinguished, § 1:6
- 150 mile limit, §§ 12:4; 13:4
- Payments, how much. See **Witness Fees** (this index)
- Payments, who responsible. See **Cost Awards** (this index)
- Presumed convenience, § 1:6
- Reasonableness of route chosen, § 1:6
- Reimbursements. See **Witness Fees** (this index)
- Sojourning and mileage calculations, § 1:5
- Subpoena jurisdiction calculations, § 1:6
- Travel expenses. See **Witness Fees** (this index)
- Wemyss rule, § 3:6
- Witness Fees** (this index)

**MINIMUM CONTACTS**

- Jurisdiction, restrictions on exercise of, § 3:2
- Subpoena jurisdiction, contact and residence distinguished, § 3:4

**MINORS**

- Checklist, method of service, § 1:13
- Law enforcement records, § 10:4
- Minor employee, § 1:13
- Service of subpoenas on generally, § 11:4
- checklist, § 1:13
- criminal subpoenas, § 12:5

**MODIFICATION**

- Checklist, motion to modification, § 1:13
- Employment records, motion to modify, § 6:28

**MOTION PRACTICE**

- See **Quashal Motions** (this index)

**MULTIPLE RESIDENCES**

- Definitions, § 1:5

**MUNICIPAL WITNESSES**

- See **Public Employee Witness Fees** (this index)

**NECESSARY AND PROPER CLAUSE**

- Generally, § 3:5

**NECESSITY FOR DISCOVERY**

- Good cause applications, required showing, § 1:2

**NONPARTIES**

- See **Strangers** (this index)

**NONRESIDENTS**

- See also **Residents and Residence** (this index)
- Business records, § 3:9
- Definition, § 1:5
- Duces tecum subpoenas, § 3:9
- Noticed discovery, § 2:1
- Private records, § 3:9
- Records of, § 2:1
- Stranger organizations, § 3:8
- Subpoena jurisdiction, §§ 2:1; 3:2
- Temporary presence, § 3:2

**NONSUBPOENA DISCOVERY**

- See **Noticed Production** (this index)

**NOTICE AND NOTICE REQUIREMENTS**

- See also **Noticed Production** (this index)
- CCP §§ 1985.3 and 1985.4 protections of private records, § 6:2
- CCP §§ 1985.3 requirements, § 6:2
- Consumer, notice to, § 6:8
- Consumer record protections, § 6:2
- Deposition, notice of taking, § 6:8
- Notice to employee and notice of taking, § 6:20
- Quash or modify, duces tecum subpoenas, § 6:11

## INDEX

### NOTICED PRODUCTION

- Generally, § 2:1 et seq.
- Advantages of, § 2:3
- Arbitrations, §§ 2:1; 11:1
- Bystanders, requiring testimony of, § 2:1
- CCP §§ 1985.3 and 1985.4 protections of private records, § 6:4
- CCP § 1990 orders, § 2:1
- Civil Discovery Act of 1986, § 2:3
- Civil matters generally, § 11:1
- Consumer record protections, § 6:4
- Court presence, compelled testimony from person in, § 2:1
- Criminal law practice, § 12:1
- Criminal proceedings, § 2:1
- Deposition notices
  - class actions, § 1:2
  - surrogate vs stranger status, §§ 1:2; 1:3
- Economy of vs subpoena jurisdiction, § 2:3
- Federal prisoners, § 2:2
- Grand jury practice, § 13:2
- Habeas corpus ad testificandum, § 2:2
- Internet service providers, § 6:5
- Nonresidents, § 2:1
- Noticed depositions
  - Hughes litigation, § 1:2
  - surrogates, § 1:2
- Prisoners
  - generally, § 2:2
  - civil proceedings, § 11:1
  - criminal proceedings, § 12:1
  - grand jury proceedings, § 13:2
- Records, when required, § 2:1
- Residence status, § 2:1
- Strategic considerations for avoiding subpoenaed discovery, § 2:3
- Subpoena jurisdiction strategies compared, § 2:3
- Surrogates, party, §§ 2:2; 2:3
- Temporarily present nonresidents, § 3:2
- Two methods of witness production, § 2:3

### NOTICED PRODUCTION—Cont'd

- Witness fees, subpoenaed vs noticed attendance, § 5:2
- Witness in attendance rule, § 2:1

### OBJECTIONS TO SERVICE

- See also **Quashal Motions** (this index)
- Employee records request, § 6:2
- Witness objections, § 6:2

### OBJECTION TO PRODUCTION

- Checklist, § 1:13

### OFFICERS, CORPORATE

- Surrogates, status as, § 1:2

### ORDINARY DEPOSITION

- Definition, § 2:1

### ORGANIZATIONS

- Person status, § 1:8

### OUT-OF-COURT DEPOSITIONS

- In-court depositions distinguished, § 2:1

### OUT-OF-STATE ENTITIES

- Agent for service of process, § 3:9
- Definition, §§ 1:9; 3:9
- Doing business vs. registration, significance of, § 3:9
- Foreign (non-US) entities distinguished, §§ 1:9; 1:10; 3:9
- Registration, significance of, § 3:9
- Secretary of State, service on, § 11:4

### OUT-OF-STATE PROCEEDING

- Interstate and International Depositions and Discovery Act, § 3:10

### PARTIES AND PARTY STATUS

- Generally, § 1:1
- See also **Standing** (this index)
- Agents of parties, § 1:2
- Bystanders, requiring testimony of, § 2:1
- Class actions, § 1:2
- Consolidated actions, § 1:1
- Coordinated actions, § 1:1
- Corporation officers, managing agents, and dominant persons, § 1:2

**PARTIES AND PARTY STATUS  
—Cont’d**

Discovery, interparty, access to records by, § 10:1  
In personam and subpoena jurisdiction distinguished, § 3:2  
Nonparties. See **Strangers** (this index)  
Officers of parties, § 1:2  
Prisoners as party litigants, § 2:2  
Severed actions, § 1:1  
Sole stockholder of corporation, § 1:2  
Spouses of parties, § 1:2  
Stranger to action, § 1:3  
Surrogates distinguished, § 1:2  
Unnamed members of classes, § 1:2

**PATERNITY EXPERTS**

Cost awards, §§ 5:5; 5:8

**PAYMENT OF FEES AND COSTS**

Checklist, §§ 1:14; 1:15

**PEACE OFFICER PERSONNEL  
RECORDS**

Generally, § 10:1 et seq.  
Administrative litigation, § 10:3  
Categories of peace officers, §§ 5:4; 10:2  
CCP §§ 1985.3 and 1985.4 applicability generally, § 6:2  
Right to Privacy Act conflicts, § 8:1  
telephone company consumer records, § 9:4  
Civil litigation, § 10:3  
Complaints against officers, records of, § 10:3  
Confidentiality protections, § 10:3  
Criminal proceedings, § 10:3  
Custodians, production of records through, § 10:3  
Definitions, §§ 5:4; 10:2  
Discovery, interparty, production of records by, § 10:1  
Evid C §§ 1043-1047 generally, § 10:3

**PEACE OFFICER PERSONNEL  
RECORDS—Cont’d**

Evid C §§ 1043-1047—Cont’d  
complaints against officers, records of, § 10:3  
confidentiality protections, § 10:3  
elements of motion, § 10:3  
subpoena practice compared, § 10:1  
supporting declarations, § 10:3  
written notice to agency, § 10:3  
Exempt information  
Evid C §§ 1043-1047 applications, § 10:3  
Public Records Act, § 10:4  
Gov C § 6250 applications generally, § 10:4  
Public Records Act, below  
Local agency defined, § 10:2  
Medical records, § 10:3  
Personnel records defined, § 10:2  
Privacy protections, § 10:3  
Protective orders, § 10:3  
Psychological records, § 10:3  
Public safety employee defined, § 10:2  
Records retention policies, § 10:3  
Relevancy determinations, § 10:3  
Reproduction costs, § 10:4  
State agency defined, § 10:2  
Subpoena as directed to information possessor rather than to information, § 5:3  
Subpoenas duces tecum to custodian, § 10:3  
Written notice to agency, § 10:3

**PEACE OFFICERS**

Definitions, §§ 5:4; 10:2  
Employment records of. See **Peace Officer Personnel Records** (this index)  
**Highway Patrol (CHP) Officers** (this index)  
Investigations by. See **Law Enforcement Records** (this index)  
Service on  
generally, § 12:5  
shock value, § 2:3



## INDEX

### **PEN REGISTERS**

Generally, § 9:2

### **PERSON**

Definition, § 1:8

### **PERSONAL PROPERTY BROKERS**

Records, Right to Privacy Act authorization, § 8:5

### **PERSONAL SERVICE**

See **Service of Subpoenas** (this index)

### **PERSONNEL RECORDS**

Definition, § 6:2

**Employment Records** (this index)

**Peace Officer Personnel Records** (this index)

### **PHYSICAL EVIDENCE**

See also **Records** (this index)

Entity-owned, territorial limitations on subpoena duces tecum, § 3:9

**Medical Records** (this index)

Nonresident custodians, § 3:9

Out-of-state situs, § 3:9

Subpoena jurisdiction generally, § 3:9

### **PHYSICIAN-PATIENT PRIVILEGE**

Generally, § 7:2

Burden of establishing, § 7:2

Child-abuse reporting requirements, § 7:3

Commitment proceedings, § 7:2

Confidentiality of Medical Information Act (CMIA), § 7:4

Criminal proceedings, § 7:2

HIPAA restrictions, § 7:5

Names of patients, § 7:2

Psychotherapist-patient privilege distinguished, § 7:3

Redacted medical records, § 7:2

Waiver, § 7:1

Workers' compensation claims, § 7:2

### **PHYSICIANS**

Treating physician witness fees, § 5:5

### **PRELIMINARY HEARINGS**

Generally, § 13:1

### **PRESENCE, APPEARANCE AND**

See **Noticed Production** (this index)

### **PRESUMED CONVENIENCE**

Definition, § 1:6

### **PRETRIAL CONFERENCE SUBPOENAS**

Generally, § 3:6

### **PRISONERS**

See also **Habeas Corpus ad Testificandum** (this index)

CCP § 1997 rules, § 2:2

Civil vs criminal proceeding testimony rules, § 2:2

Counsel rights of pro per litigants, § 2:2

Custodial officers, peace officer status, § 5:4

Federal prisoners, § 2:2

Govt C § 68093 witness fees, § 5:2

Noticed discovery

generally, § 2:2

civil proceedings, § 11:1

criminal proceedings, § 12:1

grand jury proceedings, § 13:2

Party litigants, prisoners as, § 2:2

Penal C rules, § 2:2

### **PRIVACY RIGHTS**

Generally, § 7:1

See also **Right to Financial Privacy Act** (this index)

Autopsy records

generally, § 7:2

CMIA protections, § 7:4

Backdoor inquiries, § 9:3

Caller ID information, § 9:1

CCP §§ 1985.3 and 1985.4 protections of private records

generally, § 6:2

Right to Privacy Act conflicts, § 8:1

telephone company consumer records, § 9:4

CCP § 1985.6 protections of employment records, §§ 6:2; 6:3

**PRIVACY RIGHTS—Cont’d**

- Child-abuse reporting requirements, § 7:3
- Confidential communications
  - Discovery of electronically stored information, § 11:3
  - physicians, § 7:2
  - psychotherapists, § 7:3
- Constitutional protections, § 6:2
- Constitutional rights, § 7:1
- Credit card records, § 9:3
- Dangerous-patient exception to psychotherapist-patient privilege, § 7:3
- Discovery of electronically stored information, confidentiality, § 11:3
- Duces tecum subpoenas, § 9:3
- Health information, § 7:5
- Insurance examinations, § 7:2
- Medical Records** (this index)
- Nonjudicial subpoenas duces tecum, § 9:3
- Patients’ rights, physicians’ standing to assert, § 7:1
- Peace officers, as to personnel records, § 10:3
- Physician-patient privilege, § 7:2
- Protected health information (PHI), § 7:5
- Psychotherapist-patient privilege, § 7:3
- Reasonable expectation of privacy, § 9:3
- Relevancy determinations as to personnel records, § 10:3
- Sperm donors, § 11:2
- Standing to assert, § 7:1
- Standing to challenge subpoenas, § 9:3
- Telephone records, § 9:3
- Tort claimants, court-ordered medical examinations
  - physician-patient privilege exception, § 7:2
  - psychotherapist-patient privilege exception, § 7:3
- Unlisted telephone numbers, § 9:2
- Waiver, § 7:1

**PRIVATE FINANCIAL RECORDS**

- Generally, § 8:1 *et seq.*
- See also **Consumer Records** (this index)
- Agents, § 8:5
- Backdoor inquiries, § 9:3
- Brokers, § 8:5
- CCP §§ 1985.3 and 1985.4 protections
  - generally, § 6:2
  - Right to Privacy Act conflicts, § 8:1
  - telephone company consumer records, § 9:4
- Check sellers and cashers, § 8:5
- Credit card records, § 9:3
- Credit information, telephone company, § 9:2
- Credit unions, § 8:5
- Customer defined, § 8:2
- Employers’ records relevant to unemployment insurance claims, § 8:5
- Financial institution defined, § 8:2
- Franchise sales, § 8:5
- Grand jury investigations, § 8:6
- Health care service plans, § 8:5
- Insurance brokers, § 8:5
- Investigations
  - definition, § 8:3
  - Right to Privacy Act restrictions, § 8:4
- Investment advisers, § 8:5
- Lawyers’ records, § 8:5
- Passive receipt of financial information by state agent, § 8:3
- Personal property brokers, § 8:5
- Real estate brokers, § 8:5
- Reasonable expectation of privacy, § 9:3
- Search warrants
  - generally, § 8:5
  - restrictions on, § 8:4
- Secret court orders under Right to Privacy Act, § 8:5
- Securities sellers, § 8:5
- Subpoenas duces tecum, restrictions on, § 8:4

## INDEX

### PRIVATE FINANCIAL RECORDS

#### —Cont'd

**Telephone Records** (this index)

Title insurance records, § 8:2

Unemployment insurance claims,  
employers' records relevant to,  
§ 8:5

### PRIVATE INVESTIGATORS

Service of subpoenas by, § 11:4

### PRIVATE RECORDS

Nonresidents, § 3:9

### PRIVILEGE

Discovery of electronically stored  
information, § 11:3

**Medical Records** (this index)

**Physician-Patient Privilege** (this  
index)

Privilege logs, § 11:2

**Psychotherapist-Patient Privilege**  
(this index)

### PRIVILEGE LOGS

Generally, § 11:2

### PROBATE PROCEEDINGS

Residence, § 1:5

### PROCESS

See **Service of Subpoenas** (this  
index)

### PRODUCTION IMMUNITY

Fifth amendment right against self-  
incrimination, § 13:5

### PRODUCTION ON NOTICE AND DEMAND

See **Noticed Production** (this index)

### PRO PER LITIGANTS

Prisoners, counsel rights, § 2:2

### PROTECTED HEALTH INFORMATION (PHI)

HIPAA provisions, § 7:5

### PROTECTIVE ORDERS

Foreign subpoenas, § 3:10

Peace officer personnel records,  
§ 10:3

### PSYCHOLOGICAL RECORDS

See also **Medical Records** (this  
index)

Peace officer personnel records,  
§ 10:3

Victim's psychiatric records, duces  
tecum subpoena, § 12:18

### PSYCHOTHERAPIST-PATIENT PRIVILEGE

Generally, § 7:3

Child-abuse reporting requirements,  
§ 7:3

Confidentiality of Medical Informa-  
tion Act (CMIA), § 7:4

Court-ordered medical examinations,  
§ 7:3

Dangerous-patient exception, § 7:3

HIPAA restrictions, § 7:5

Names of patients, § 7:3

Physician-patient privilege  
distinguished, § 7:3

Psychologists, § 7:3

Waiver, § 7:1

### PUBLIC EMPLOYEES

**Public Employee Witness Fees** (this  
index)

Public safety employee defined,  
§ 10:2

Time for service of subpoena, § 11:4

### PUBLIC EMPLOYEE WITNESS FEES

Generally, § 5:4

Additional payments to witnesses,  
§ 5:9

Arbitration proceedings, § 5:4

Continuance expenses, § 5:4

Cost awards, § 5:8

Cross examination, continuances for,  
§ 5:4

Custodial officers, § 5:4

Deposition costs, § 5:4

Entitlements, § 5:4

Ethical considerations and voluntary  
payments, § 5:9

Expert witness fees, §§ 5:5; 5:8

Extra day expenses, § 5:4

Firefighters, § 5:4

**PUBLIC EMPLOYEE WITNESS  
FEES—Cont'd**

Govt C § 68096.1 mileage calculations, § **1:6**  
Multiple appearances, payment for, § **5:4**  
Peace officers, § **5:4**  
Salary compensation, § **5:4**  
Service of subpoenas, § **11:4**  
Taxing as costs, § **5:8**  
Travel expenses, § **5:4**  
Voluntary payments, § **5:9**

**PUBLIC OFFICERS**

**Peace Officers** (this index)  
**Right to Financial Privacy Act** (this index)

**PUBLIC RECORDS**

**Local Agencies** (this index)  
**State Agencies** (this index)  
Statutory disclosure requirements, § **10:1**

**PUBLIC RECORDS ACT (PRA)**

Generally, §§ **10:1; 10:4**  
Attorneys' fees, § **10:4**  
Currently-within-the-system limitation, § **10:4**  
Disclosure of records through, § **10:1**  
Enforcement, § **10:4**  
Fast track proceedings, § **10:4**  
Investigatory file exception, § **10:4**

**PUBLIC UTILITIES COMMISSION**

Telephone records generally, § **9:1**  
CCP § 1985.3 protections, § **9:4**  
PUC § 2891 limitations, § **9:4**

**PURPOSE OF DISCOVERY**

Good cause applications, required showing, § **1:2**

**QUASHAL MOTIONS**

Generally, §§ **2:3; 11:5**  
Attorneys' fees, § **11:5**  
Burdensomeness of discovery, § **1:2**  
Checklist, motion to quash, § **1:13**  
Criminal proceedings, § **12:9**  
Duces tecum subpoena, § **12:16**

**QUASHAL MOTIONS—Cont'd**

Employment records, § **6:28**  
Form, § **12:17**  
Grand jury proceedings, § **13:4**

**REAL ESTATE BROKERS**

Financial records, Right to Privacy Act, § **8:5**

**REASONABLE DILIGENCE**

Walton decision, § **12:5**

**RECORDS**

**Autopsy Records** (this index)  
**Business Records** (this index)  
Bystanders, records of, § **2:1**  
**Consumer Records** (this index)  
**Custodians of Records** (this index)  
**Employment Records** (this index)  
**Financial Records** (this index)  
**Law Enforcement Records** (this index)  
**Medical Records** (this index)  
Nonparty records demanded of party, § **2:1**  
Nonresidents, records of, § **2:1**  
**Peace Officer Personnel Records** (this index)  
**Private Financial Records** (this index)  
**Public Records Act** (this index)  
Retention policies, peace officer personnel records, § **10:3**  
Subpoena directed to information possessor rather than to information, § **5:3**  
**Telephone Records** (this index)

**RECORDS-ONLY SUBPOENAS**

Generally, § **11:5**

**REGISTERED PROCESS  
SERVERS**

Generally, § **11:4**

**REPRODUCTION COSTS**

Public Records Act, § **10:4**

**REPRODUCTIVE RIGHTS LAW  
ENFORCEMENT ACT**

Medical records, § **7:6**

## INDEX

### RESIDENTS AND RESIDENCE

- Definitions, § 1:5
- Dissolution proceedings, § 1:5
- Distance limitations on deposition subpoenas, § 1:5
- Domicile and, § 1:5
- Homeless persons, § 1:5
- In personam jurisdiction, § 1:5
- Insurance policy designations, § 1:6
- Mileage fee determinations, § 1:5
- Multiple residences, § 1:5
- Noticed discovery and residence status, § 2:1
- Presumed convenience, § 1:6
- Probate proceedings, § 1:5
- Simultaneous multiple residences, § 1:5
- Sojourning, § 1:5
- Time of service as fixing, § 1:5
- Vehicle license requirements, § 1:5

### RESPONSES

- Checklist, § 1:13 to 1:15

### RIGHT TO COUNSEL

- Prisoner litigants, § 2:2

### RIGHT TO FINANCIAL PRIVACY ACT

- Generally, § 8:1 et seq.
- See also **Private Financial Records** (this index)
- Administrative subpoenas or summonses, § 8:5
- Admissibility of evidence obtained in violation of act, § 8:9
- Agencies subject to act, § 8:3
- Agents' records, § 8:5
- Attorneys' fee awards, § 8:9
- Attorneys' financial records, § 8:5
- Authorizations, § 8:5
- Automobile dealership records, § 8:5
- Backdoor inquiries, § 9:3
- Bad check prosecutions, § 8:5
- Brokers' financial records, § 8:5
- CCP §§ 1985.3 and 1985.4 applicability, § 6:2
- CCP §§ 1985.3 and 1985.4 conflicts, § 8:1
- CCP § 1985.3 notices, § 8:5

### RIGHT TO FINANCIAL PRIVACY ACT—Cont'd

- Check sellers' and cashers' trust fund records, § 8:5
- Civil vs criminal grand jury investigations, § 8:6
- Connecticut Indemnity Co. decision, § 8:2
- Consumer protection supervisory function exclusions, § 8:8
- Contractors, Registrar of, supervisory function exclusions, § 8:8
- Controller, State, supervisory function exclusions, § 8:8
- Course-of-business disclosures, § 8:4
- Courts, application to, § 8:3
- Credit union escrow account records, § 8:5
- Criminal law subpoenas, § 8:5
- Criminal remedies, § 8:9
- Customer defined, § 8:2
- Customers' rights, § 8:7
- Damages actions, § 8:9
- Descriptions of financial records, § 8:5
- Duces tecum subpoenas
  - nonjudicial issuance, § 9:3
  - restrictions on, § 8:4
- Employees subject to act, § 8:3
- Employer records relevant to unemployment insurance claims, § 8:5
- Employment Development Department, supervisory function exclusions, § 8:8
- Enforcement, § 8:9
- Equalization, Board of, supervisory function exclusions, § 8:8
- Evidence obtained in violation of act, admissibility of, § 8:9
- Exclusions, supervisory, § 8:8
- Financial institutions
  - account information inquiries, § 8:4
  - course-of-business disclosures, § 8:4
  - crimes against, investigations of, § 8:4
  - definition, § 8:2

## **RIGHT TO FINANCIAL PRIVACY ACT—Cont'd**

Financial institutions—Cont'd  
Gov C § 7471(d) defense, § 8:9  
investigations initiated by, § 8:4  
law violation reports by, § 8:4  
liabilities, § 8:9  
limitations on, § 8:4  
records of disclosures, maintenance of, § 8:7  
remedies for violations, § 8:9  
violation of law reports by, § 8:4  
Financial records  
descriptions, § 8:5  
grand jury investigations, § 8:6  
search warrants for, § 8:5  
Franchise sales records, § 8:5  
Franchise Tax Board, supervisory function exclusions, § 8:8  
Fraud investigations, § 8:4  
Govt C § 7473 authorizations, § 8:5  
Govt C § 7471(d) defense, § 8:9  
Grand juries, restrictions on, § 8:3  
Grand jury investigations, § 8:6  
Grand jury subpoenas, § 8:5  
Health care service plan records, § 8:5  
Huston decision, § 8:4  
Information protected, § 8:2  
Insurance brokers' records, § 8:5  
Insurance Department, supervisory function exclusions, § 8:8  
Investigations  
definition, § 8:3  
financial institution crimes, investigations of, § 8:4  
financial institutions, investigations initiated by, § 8:4  
fraud investigations, § 8:4  
notices to customers, § 8:5  
restrictions, § 8:4  
Investment advisers' financial records, § 8:5  
Judicial bodies, application to, § 8:3  
Judicial subpoenas, § 8:5  
Law violation reports by financial institutions, § 8:4  
Lawyers' financial records, § 8:5

## **RIGHT TO FINANCIAL PRIVACY ACT—Cont'd**

Legislative subpoenas, § 8:2  
Limitations on financial institutions, § 8:4  
Local Agency Security Administrator, supervisory function exclusions, § 8:8  
No-direct involvement defense, § 8:9  
Notice rights of customers, § 8:7  
Notices to customers of investigations, § 8:5  
Officers subject to act, § 8:3  
Passive receipt of financial information by state agent, § 8:3  
Penal C § 476a prosecutions, § 8:5  
Personal property broker records, § 8:5  
Persons protected, § 8:2  
Preemption of conflicting statutes, § 8:1  
Public policy, § 8:9  
Real estate brokers' financial records, § 8:5  
Real Estate Department, supervisory function exclusions, § 8:8  
Records of disclosures, maintenance of, § 8:7  
Records protected, § 8:2  
Remedies, § 8:9  
Scope of act, §§ 8:2; 8:3  
Search warrants  
applications, § 8:5  
financial record demands, § 8:5  
restrictions on, § 8:4  
Secret court orders, § 8:5  
Securities sellers' financial records, § 8:5  
Self help rights of customers, § 8:7  
Statute of limitations, § 8:9  
Subpoenas duces tecum applications, §§ 8:4; 8:5  
Summonses, administrative, § 8:5  
Supervisory function exclusions, § 8:8  
Title insurance records, § 8:2  
Unemployment insurance claims, employers' records relevant to, § 8:5

## INDEX

### **RIGHT TO FINANCIAL PRIVACY ACT—Cont'd**

- Vicarious liability, § 8:9
- Violation of law reports by financial institutions, § 8:4
- Waivers of authorization requirements, § 8:5

### **ROGUE SUBPOENAS**

- Generally, § 11:5
- Criminal proceedings, § 12:9

### **SAFETY EMPLOYEES**

- Public safety employee defined, § 10:2

### **SCHEDULING**

- Checklist, § 1:12 to 1:15

### **SEARCH WARRANTS**

- Financial records, § 8:5
- Grand jury authority to issue, § 13:4
- Howell decision, § 13:4
- Piggyback search warrants, § 13:4
- Right to Privacy Act restrictions, §§ 8:4; 8:5
- Truth in evidence constitutional provision, § 9:3
- Wire taps, § 9:2

### **SECURITIES REGULATION**

- Right to Privacy Act authorizations, § 8:5

### **SERVICE OF SUBPOENAS**

- Generally, § 11:4
- Affidavits of service, § 11:4
- Agent designated for service of process, § 3:9
- Agreement to appear in lieu of service
  - generally, § 11:4
  - criminal proceedings, § 12:6
- Attorneys, service by, § 11:4
- CCP § 1989 limitations, § 3:4
- CCP § 1985.3 requirements, § 6:2
- Checklists, § 1:12 to 1:15
- Concealed witnesses, § 11:4
- Corporations, service on, § 1:8
- Criminal law subpoena practice, § 12:5

### **SERVICE OF SUBPOENAS —Cont'd**

- Disobedience of subpoena penalties
  - generally, § 11:5
  - arbitration subpoenas, § 11:5
  - criminal proceedings, § 12:9
  - grand jury subpoenas, § 13:4
- Due diligence, § 12:5
- Entities, service on, § 1:8
- Fee demands
  - generally, §§ 1:5; 5:2
  - validity challenges, § 11:4
- Fees for service by sheriff, § 11:4
- Foreign (non-US) witnesses, § 3:3
- Foreign subpoenas, § 3:10
- Grand jury subpoenas, § 13:4
- Highway patrol (CHP) officers, service of trial subpoenas on
  - generally, § 11:2
  - criminal matters, § 12:5
  - time for service, § 11:4
- Interference with service, criminal penalties for, § 11:5
- Jurisdiction, § 1:4
- Mail service
  - generally, § 3:3
  - criminal matters, § 12:2
- Minors, service on
  - generally, § 11:4
  - criminal subpoenas, § 12:5
- Organizations, service on, § 1:8
- Out-of-state service
  - generally, §§ 1:4; 3:3
  - criminal matters, § 12:2
  - foreign subpoenas, § 3:10
  - of administrative subpoenas and summonses, § 8:5
- Peace officers, service on, § 12:5
- Personal service defined, § 11:4
- Prepaid witness fee demands. Fee demands, above
- Proof
  - consumer records, § 6:9
  - employment records, § 6:21
- Public employee witness fee requirements, § 11:4
- Reasonable diligence showings, § 12:5



## SERVICE OF SUBPOENAS

### —Cont’d

- Registered process servers, § 11:4
- Sheriff, service by, § 11:4
- Substituted service, § 11:4
- Telegraphic service, § 12:5
- Territorial limits, § 3:3
- Time for service
  - generally, § 11:4
  - criminal matters, § 12:5
- Walton decision, § 12:5
- When service required. See **Noticed Production** (this index)
- Who can be served, § 3:4
- Who can serve, § 11:4
- Witness fee demands. Fee demands, above

## SETTLEMENT OF LITIGATION

- Conference subpoenas, § 3:6
- Cost awards after settlement offers, § 5:5

## SEVERED ACTIONS

- Parties and party status, § 1:1

## SMALL CLAIMS SUBPOENAS

- Generally, § 11:6

## SOCIAL MEDIA

- Duces tecum subpoena, § 6:7

## SOJOURNING

- See also **Residents and Residence** (this index)
- Definition, § 1:5

## SPOUSES

- Party status, § 1:2

## STANDING

- See also **Parties and Party Status** (this index)
- Custodians of records, subpoena challenges by, § 9:3
- Subpoenas duces tecum challenges, § 9:3

## STATE AGENCIES

- See also **Administrative Subpoena and Summons Practice** (this index)

## STATE AGENCIES—Cont’d

- Definition, § 10:2
- Public Records Act access to peace officer personnel records, § 10:4
- Records retention policies, § 10:3
- Right to Financial Privacy Act** (this index)
- Subpoenas issued by, § 6:2

## STRANGERS

- See also **Parties and Party Status** (this index)
- Business records of stranger businesses, costs, § 5:3
- CCP § 2020(c) subpoenas, § 3:8
- Checklist, personal appearance and production, § 1:15
- Criminal discovery from third parties, § 12:7
- Definition, § 1:3
- Deposition subpoenas
  - generally, § 11:2
  - witness fees, § 5:2
- Discovery from nonparties, § 11:2
- Distance limitations on deposition subpoenas, § 1:5
- Employment records
  - agreement to allow production of, § 6:27
  - declaration for order to enforce subpoena duces tecum against, § 6:25
  - motion to enforce subpoena duces tecum against, § 6:24
  - written objection by nonparty employee, § 6:23
- Enforcement of subpoena duces tecum, consumer records, §§ 6:18; 6:20
- Medical records of third parties, discovery of, § 7:1
- Motion to enforce subpoena duces tecum against, employment records, § 6:24
- Nonresident, § 3:8
- Redacted medical records, § 7:2
- Standing** (this index)
- Standing to assert privacy rights, § 7:1

## INDEX

### STRANGERS—Cont'd

- Subpoena duces tecum against,
  - employment records
  - declaration for order enforcing,
    - § 6:25
  - motion to enforce, § 6:24
- Subpoenas to stranger organizations,
  - § 3:8
- Third party medical records,
  - discovery of, § 7:1
- Voluntary attendance witness fees,
  - § 5:2
- Witness fees, § 5:2
- Written objection by nonparty employee, employment records,
  - § 6:23

### SUBSTITUTED SERVICE

- Generally, § 11:4

### SUMMONSES, ADMINISTRATIVE

- Generally, § 8:5
- See also **Administrative Subpoena and Summons Practice** (this index)

### SUPERIOR COURT

- Foreign subpoenas, § 3:10
- Subpoena jurisdiction, § 3:6

### SUPREMACY CLAUSE

- Generally, § 3:5

### SURROGATES, PARTY

- Generally, § 1:2
- Civil Discovery Act of 1986, § 2:3
- Class actions, § 1:2
- Corporations as, § 1:2
- Deposition attendance witness fees,
  - § 5:2
- Managing agents of corporations,
  - § 1:2
- Noticed depositions, § 1:2
- Noticed Production** (this index)
- Spouses of parties, § 1:2
- Strangers distinguished, § 1:3
- Trial vs deposition appearances, § 1:2
- Voluntary attendance witness fees,
  - § 5:2
- Witness fees, § 5:2

### TELEPHONE RECORDS

- Generally, §§ 9:1 et seq.; 9:5
- Access number defined, § 9:2
- Advocacy considerations, § 9:5
- Backdoor inquiries, § 9:3
- Business records of telephone companies, § 9:1
- Caller ID information, § 9:1
- Calling records defined, § 9:2
- CCP § 1985.3 protections of private records, § 9:4
- Computerization of records, § 9:2
- Consumer defined, § 9:2
- Credit information defined, § 9:2
- Criminal proceedings, production in,
  - § 9:4
- Custodians of Records** (this index)
- Definitions, § 9:2
- Exclusionary rule, § 9:3
- Federal and state statutes, § 9:1
- Federal Communications Commission, § 9:1
- Interstate and intrastate regulation,
  - § 9:1
- Listed party defined, § 9:2
- Nonpublished service defined, § 9:2
- Pen register defined, § 9:2
- Privacy protections, § 9:3
- Proposition 8, § 9:3
- Public Utilities Commission
  - generally, § 9:1
  - CCP § 1985.3 protections, § 9:4
  - PUC § 2891 limitations, § 9:4
- Public utility defined, § 9:2
- Pub Util C § 2891 limitations, § 9:4
- Reasonable expectation of privacy,
  - § 9:3
- Search and seizure rule limitations,
  - § 9:3
- Service area defined, § 9:2
- Subpoena as directed to information possessor rather than to information, § 5:3
- Subpoenas duces tecum, § 9:3
- Subscriber defined, § 9:2
- Tariff defined, § 9:2
- Trap defined, § 9:2

**TELEPHONE RECORDS—Cont’d**

- Truth in evidence constitutional provision, § 9:3
- Unauthorized releases of information, § 9:3
- Unlisted telephone numbers, § 9:2
- Wire taps, § 9:2

**TIME OF SERVICE**

- Checklists, §§ 1:12; 1:13

**TRANSLATORS**

- Fees and cost awards, § 5:6
- Indigents, appointments for, § 5:7

**TRAP, TELEPHONE**

- Definition, § 9:2

**TRAVEL EXPENSES**

- See **Witness Fees** (this index)

**TRAVEL RECORDS**

- See **Telephone Records** (this index)

**TREATING PHYSICIANS**

- Witness fees, § 5:5

**TREATY IMMUNITY**

- Generally, § 4:2

**TRIAL COURT**

- Appearances, trial and deposition distinguished, § 1:2
- Definition, §§ 1:7; 3:6
- Deposition and trial court subpoenas distinguished, § 3:6
- Judicial officer defined, § 2:1
- Subpoena jurisdiction, § 3:6

**TRIAL SUBPOENAS**

- See also **Deposition Subpoenas** (this index)
- Agreement to appear in lieu of service
  - generally, § 11:4
  - criminal proceedings, § 12:6
- CCP § 1985.5 enhancements, § 11:2
- CCP § 2020(g) enforcement mechanisms, § 11:2
- Expert witnesses, § 12:9
- Form, § 11:2

**TRIAL SUBPOENAS—Cont’d**

- Highway patrol (CHP) officers, service on
  - generally, § 11:2
  - criminal matters, § 12:5
  - time for service, § 11:4
- Immunity** (this index)
- Issuance, § 11:2
- Jurisdiction, Subpoena** (this index)
- Legislative immunity, § 4:4
- Physical evidence production demands, § 3:9

**TRUST RECORDS**

- Finance C § 1582 discovery, § 5:3

**UNEMPLOYMENT INSURANCE**

- Right to Privacy Act authorizations to inspect employers’ records, § 8:5

**VEHICLE LICENSES**

- Residence requirements, § 1:5

**VIENNA CONVENTIONS**

- Consular Relations, §§ 4:3; App C
- Diplomatic Relations, §§ 4:3; App B

**VOID SUBPOENAS**

- Residence jurisdiction defects, § 1:5
- Witness fee payment demands, § 11:4

**WAIVER**

- Business records, waivers of custodian appearances, § 5:3
- Discovery of electronically stored information, waiver of attorney-client privilege, § 11:3
- Immunity, waivers by diplomatic personnel, § 4:3
- Medical Records** (this index)
- Right to Financial Privacy Act, waivers of authorization requirements, § 8:5

**WALTER DE SHIRLAND**

- Trial of, § App A

**WHEN SUBPOENA NECESSARY**

- See **Noticed Production** (this index)

**WITNESSES**

- See also **Witness Fees** (this index)

## INDEX

### WITNESSES—Cont'd

- Arbitration subpoena practice,  
request for statement of wit-  
nesses, § 11:12
- CCP §§ 1985.3 witnesses, § 6:2
- Concealed witnesses
  - avoidance of service, § 11:10
  - service problems, § 11:4
- Consumer records, witness definition  
for purposes of, § 6:2
- Federal prisoners, § 2:2
- Immunity** (this index)
- In attendance witness defined, § 2:1
- Indigents
  - forma pauperis fees, taxation of,  
§ 5:8
  - interpreters and translators,  
appointments for, § 5:7
- Information or information possessor  
as subject of subpoena, § 5:3
- Interpreters
  - fees and cost awards, § 5:6
  - indigents, appointments for, § 5:7
- Non-party. See **Strangers** (this  
index)
- Public Employee Witness Fees** (this  
index)
- Translators
  - fees and cost awards, § 5:6
  - indigents, appointments for, § 5:7
- Trial witnesses' fees, § 5:2
- Voluntary attendance, § 5:2

### WITNESS FEES

- Generally, § 5:1 et seq.
- See also **Cost Awards** (this index)
- Additional payments to witnesses,  
§ 5:9
- Add-on fees, § 5:1
- Advance payment, § 5:2
- Air fare, § 5:2
- Appeals, consideration of cost  
disputes on, § 5:1
- Awards of costs, § 5:1
- Bad faith fee charges, § 5:3
- Business record fees and costs
  - generally, § 5:3
  - CCP § 998 awards, § 5:8
- Caps on fees, § 5:9

### WITNESS FEES—Cont'd

- Catchall fees, § 5:2
- Categories of, § 5:1
- CCP § 1033.5(a)(7) statutory fees,  
§ 5:2
- CCP § 2020(f), § 1:5
- Choice of law, § 5:1
- Civil vs criminal matter determina-  
tions, § 1:6
- Cluster approach, § 5:1
- Computerized records, costs of  
retrieving information from,  
§ 5:3
- Continuance expenses for public  
employee witnesses, § 5:4
- Cost bills, § 5:1
- Court-appointed experts, §§ 5:5; 5:8
- Courtroom attendance, § 5:2
- Criminal cases, § 5:1
- Criminal subpoenas, § 12:8
- Cross examination of experts, § 5:9
- Custodians of business records,  
appearance requirements, § 5:3
- Demand for advance payment, § 5:2
- Deposition attendance, § 5:2
- Deposition costs
  - expert witnesses, § 5:5
  - public employee witness fees,  
§ 5:4
- Disputes, resolving
  - business records, § 5:3
  - public employee fees, § 5:4
- Enforcement, § 5:1
- Ethical considerations and voluntary  
payments, § 5:9
- Evidence C § 1563 awards, § 5:1
- Excessive fee charges, § 5:3
- Excess payments, § 5:9
- Expert witnesses, §§ 5:5; 5:8
- Extra day expenses for public  
employee witnesses, § 5:4
- Family C § 7557 statutory fees, § 5:2
- Forma pauperis fees, taxation of,  
§ 5:8
- Govt C § 68093 fees, § 5:2
- Govt C § 68097 fees, § 5:2
- Grand jury subpoenas, § 13:4
- How payable, § 5:3

**WITNESS FEES—Cont'd**

- Indigents
  - forma pauperis fees, taxation of, § 5:8
  - interpreters and translators, appointments for, § 5:7
- Interpreters
  - fees and cost awards, § 5:6
  - indigents, appointments for, § 5:7
- Local rules, § 5:1
- Lodging expenses, § 5:2
- Meals, § 5:2
- Mileage
  - generally, § 5:2
  - residence determinations, § 1:5
- Mileage and miles distinguished, § 1:6
- Multiple business records, fees and costs, § 5:3
- Negotiated payments, § 5:9
- Noticed attendance, § 5:2
- Offers to pay, § 5:2
- Ordinary civil witness fees, § 5:2
- Payment. See **Cost Awards** (this index)
- Per diem, § 5:2
- Prepayment demands, §§ 1:5; 5:1; 5:2
- Prisoners, § 5:2
- Process servers, demand for payment, § 5:2
- Public employees, § 5:4
- Public Employee Witness Fees** (this index)
- Residence determinations, § 1:5
- Significance of statutory witness fees, § 5:9
- Sojourning and mileage calculations, § 1:5

**WITNESS FEES—Cont'd**

- Statutory exceptions to ordinary civil witness fees, § 5:2
- Strangers, § 5:2
- Subpoenaed vs noticed attendance, § 5:2
- Surrogates, § 5:2
- Timing of demand for payment, § 5:2
- Translators
  - fees and cost awards, § 5:6
  - indigents, appointments for, § 5:7
- Travel expenses, § 5:2
- Treating physicians, § 5:5
- Trial witnesses, § 5:2
- Voluntary attendance, § 5:2
- Voluntary payments, § 5:9
- When fees payable, § 5:3
- Who is entitled, §§ 5:1; 5:2

**WITNESS IN ATTENDANCE**

- Definition, § 2:1

**WORKERS' COMPENSATION PROCEEDINGS**

- Physician-patient privilege, § 7:2
- Subpoena practice, § 6:2
- Workers' Compensation Appeal Board, § App D

**WORK PRODUCT**

- Discovery of electronically stored information, § 11:3
- Privilege logs, § 11:2

**WRIT**

- Subpoena as, § 3:1

**WRITING**

- Definition, § 10:2
- Employment records, objection by nonparty employee, § 6:23
- Objection by nonparty employee, employment records, § 6:23