

Index

ADMINISTRATIVE APPEALS

Freedom of Information Act, this
index

ADVISORY COMMITTEES

Federal Advisory Committee Act, this
index

AFFIDAVITS

Freedom of Information Act, this
index

AGENCY MEMORANDA EXEMPTION

Freedom of Information Act, this
index

ANTITRUST

Law enforcement records, FOIA
exemption, **17:42**

APPEALS

Freedom of Information Act, this
index
Privacy Act, this index

ARCHIVES

Freedom of Information Act, this
index

ATTORNEY-CLIENT PRIVILEGE

Agency memoranda exemption.
Freedom of Information Act,
this index

ATTORNEYS' FEES

Freedom of Information Act, this
index
Privacy Act, **22:44, 22:80**

BAD FAITH

Freedom of Information Act, this
index

BENEFIT OF DISCLOSURE

Need to show, **16:48, 17:39**

BURDEN OF PROOF

Freedom of Information Act, this
index
Privacy Act, this index

BUSINESS INFORMATION

Confidential business information.
Freedom of Information Act,
this index

CENSUS

Privacy Act, **20:53**

CENTRAL INTELLIGENCE AGENCY

Privacy Act, CIA exemption, **21:40**
Statutes permitting withholding,
13:14

CHEMICALS

FOIA, toxic chemicals data, **14:106**

CLASS ACTIONS

FOIA exemption for law enforcement
records, **17:151**

COLLATERAL ESTOPPEL

FOIA litigation, **8:10**
Privacy Act litigation, **22:67**

CONFIDENTIAL BUSINESS INFORMATION

Freedom of Information Act, this
index

CONFIDENTIAL SOURCES

Law enforcement records. Freedom
of Information Act, this index

CONGRESS

Freedom of Information Act, this
index

CONGRESS—Cont'd

Privacy Act, this index
Records of Congress, **19:8**

CONSENT

Freedom of Information Act, this index
Privacy Act, this index

CONSUMER PRODUCT SAFETY COMMISSION

FOIA, agency rules, **14:109**

COPYRIGHTS

Freedom of Information Act (FOIA), **14:62**

CORPORATIONS

Freedom of Information Act, this index

COSTS

Freedom of Information Act, this index

COURTS AND COURT RECORDS

Generally, **19:1 to 19:7**
Advisory bodies, **19:6**
Common law, **19:2**
Federal court records, FOIA unavailable, **19:1**
Freedom of Information Act, this index
Jury access, **19:5**
Litigation issues, **19:4**
Presentence reports, **19:3**
Privacy Act, this index
Sealing records, **19:7**

CRIMINAL CASES

Impacts of FOIA litigation, **8:151**
Privacy Act, **22:83**

CULTURAL PROPERTY

COMMITTEE MEETINGS

Specific statutes permitting withholding, **13:24**

DAMAGES

Freedom of Information Act, this index

DAMAGES—Cont'd

Privacy Act, this index

DECLARATORY JUDGMENT

Private submitter of information litigation, **10:53**

DEFENSES

Freedom of Information Act, this index
Privacy Act, this index
State information and access laws, **27:14**

DELAY

Freedom of Information Act, this index

DESTRUCTION OF AGENCY RECORDS

Freedom of Information Act (FOIA), this index
Privacy Act, agency defenses, **22:65**

DISCLOSURE

Freedom of Information Act, this index
Privacy Act, this index

DISCOVERY

Freedom of Information Act, this index
Privacy Act, this index

DISMISSAL

Abusive litigants, **8:162**

E-DISCOVERY TOOLS

Role in adequacy of Freedom of Information Act searches, **7:11**

ELECTIONS

State election records, **19:12**

ELECTRONIC ACCESS

Internet and Other Methods of Federal Disclosure, this index

ELECTRONIC RECORDS

Freedom of Information Act, this index

INDEX

ELECTRONIC RECORDS—Cont'd

State information and access laws,
27:12

ENVIRONMENTAL PROTECTION AGENCY

Freedom of Information Act, this
index

EQUAL ACCESS TO JUSTICE ACT

Attorneys' fees, FOIA, **8:117**

ESTOPPEL

Freedom of Information Act, this
index

FALSE CLAIMS ACT

Freedom of Information Act, this
index

FAMILY EDUCATIONAL RIGHTS TO PRIVACY ACT (FERPA)

College, school and university
records, **19:10**

Resolving disputes, **19:11**

FEDERAL ADVISORY COMMITTEE ACT

Generally, **24:1 et seq.**

Advisory committee, defined, **24:2**

Balance of committees, defined, **24:7**

Committees established to advise,
defined, **24:9**

Contractor panels, defined, **24:3**

Courts, defined, **24:4**

Courts and committees, **24:12**

Established groups, defined, **24:8**

Excluded groups, defined, **24:10**

FACA and FOIA, **24:13**

Operation of advisory committees,
24:11

Special situations, defined, **24:5**

Utilized committees, defined, **24:6**

FEDERAL TRADE COMMISSION

Freedom of Information Act, this
index

FEES

Freedom of Information Act, this
index

Privacy Act, this index

FINANCIAL INFORMATION

Freedom of Information Act, this
index

FIRST AMENDMENT

Privacy Act, this index

FOOD AND DRUG ADMINISTRATION

Freedom of Information Act, this
index

FOREIGN GOVERNMENT EXCLUSION

Freedom of Information Act (FOIA),
11:40

FREEDOM OF INFORMATION ACT (FOIA)

Generally, **2:1 et seq.**

Access to records

defined, **4:42**

identifiable records, **4:28**

Adequacy of search. Records
searches, below

Administrative appeals

generally, **7:39 et seq.**

after denial of request, **7:39**

agency memoranda exemption,
15:71

agency rules, effects on appeals,
7:49

constructive exhaustion, **7:43**

exhaustion of appeals, **7:42**

explanation of need as option for
requesters, **7:48**

fee waiver, denial, burden on
appeal, **7:69**

improvements in administrative
appeals process, **7:50**

negotiating access before litigation,
7:44

opting to sue rather than appeal,
7:41

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Administrative appeals—Cont'd
 - partial disclosure or settlement, inducing, **7:40**
 - remands and deadlines, **7:45**
 - segregating and disclosing records, **7:47**
 - tactical aspects, **7:46**
- Administrative Procedure Act review, **8:76**
- Administrative record
 - private submitter of information, rights of, below
 - rulemaking, **6:7**
- Adverse experiences with FDA regulated products, **14:100**
- Affidavits
 - agency memoranda exemption litigation, **15:69**
 - confidential business information, **14:49**
 - indexes and affidavits. De novo review, below
 - national security exemption, agency affidavits, **11:33**
- Affirmative publication and rule dissemination
 - generally, **6:1 et seq.**
 - access opportunities ((a)(1) and (a)(2)), where and how to use, **6:8**
 - administrative record of rulemaking, **6:7**
 - electronic FOIA archives, reaching, **6:15**
 - exceptions and exemptions, **6:4**
 - Federal Register publication, **6:2**
 - Federal Reports Act, **6:5**
 - general applicability norm, **6:3**
 - labor cases, **6:12**
 - manuals and policies, availability requirement, **6:6**
 - nonavailability
 - defenses, **6:10**
 - judicial action, **6:9**
 - other cases, **6:14**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Affirmative publication and rule dissemination—Cont'd
 - practice suggestions, **6:16**
 - prison cases, **6:13**
 - special requirements of publication, **6:1**
 - tax cases, **6:11**
- Agencies
 - attitudes of agencies, **3:3**
 - backlash against open information, **3:3**
 - Congress and support entities, exclusion, **4:12**
 - courts, exclusion for, **4:13**
 - covered agencies, **4:4, 4:7**
 - definitions, **4:2 et seq.**
 - exclusions from agency status, **4:5**
 - federal grantees and contractors, agency status, **4:10**
 - federally chartered entities, agency status, **4:9**
 - indexes and affidavits. De novo review, below
 - interpretations of act, no deference given, **8:22**
 - records in files outside agency control, **7:17**
 - records of agencies, below
 - requests to agencies, below
 - state and local entities, exclusion, **4:11**
 - types of agencies, **4:3**
- Agency memoranda exemption
 - generally, **15:1 et seq.**
 - administrative appeal, setting up, **15:71**
 - alternatives to litigation, **15:73**
 - attorney-client privilege
 - generally, **15:50**
 - burden of proof, **15:52**
 - communications to attorney, **15:52**
 - coverage of attorney-client records, **15:51**
 - denied claims, **15:56**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Agency memoranda exemption
—Cont'd
attorney-client privilege—Cont'd
limits on circulation of attorney-client records, **15:52**
background, **15:1**
burdens of proof
attorney-client privilege, **15:54**
litigating exemption issues, **15:66**
predecisional records, **15:28**
work product privilege, **15:59**
candid discussion inside agencies, exemption to promote, **15:19**
common interest of agency and contractor, **15:33**
congressional communications as “predecisional,” **15:34**
consultants and contractors, records of, **15:32**
contractors
common interest of agency and contractor, **15:33**
records of, **15:32**
definitions
agencies, **15:3**
intra-agency and inter-agency transmission, **15:4**
memoranda and letters, **15:5**
unavailable to party, **15:6**
disclosure, factors favoring, **15:45**
discovery of agency decision elements, **15:70**
draft documents, **15:40**
elements of predecisional status, **15:18**
factual portions of records
generally, **15:11**
intertwined facts and opinions, **15:14**
nonexempt records, **15:12**
possibly exempt records, **15:13**
selective summaries, **15:15**
finality of agency decisions, **15:44**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Agency memoranda exemption
—Cont'd
function of records, focus on, **15:20**
future of exemption, **15:79**
hierarchical and group decisions, protection of, **15:29**
history of exemption, **15:2**
in camera review, role of, **15:77**
intertwined facts and opinions, **15:14**
investigations, **15:41**
judicial review, standard for, **15:75**
litigation
generally, **15:66 to 15:79**
administrative appeal, setting up, **15:71**
affidavit use, **15:69**
alternatives to litigation, **15:73**
analysis of agency process, **15:67**
burden of proof, **15:66**
discovery of agency decision elements, **15:70**
equitable principles, role of, **15:76**
future of exemption, **15:79**
in camera review, role of, **15:77**
requester status, **15:68**
segregable portions, release of, **15:78**
standard for judicial review, **15:75**
summary judgment, **15:74**
Vaughn index, use of, **15:72**
work product privilege, **15:61**
no action records, **15:48**
policy interpretations by agency counsel, disclosable, **15:55**
post-decisional records
generally, **15:43 to 15:49**
disclosure, factors favoring, **15:45**
finality of agency decisions, **15:44**

**FREEDOM OF INFORMATION
ACT (FOIA)—Cont'd**

Agency memoranda exemption
—Cont'd
post-decisional records—Cont'd
no action records, **15:48**
nonexempt records, **15:43**
segregation and disclosure,
15:47
state disclosure law factors,
15:49
withholding, factors favoring,
15:46
pre-decisional records
generally, **15:16 to 15:40**
burdens of proof, **15:28**
candid discussion inside agen-
cies, exemption to promote,
15:19
chilling effect on employees,
records exempted to avoid,
15:17
common interest of agency and
contractor, **15:33**
congressional communications
as “predecisional,” **15:34**
consultants and contractors,
records of, **15:32**
context of records, **15:24**
continued confidentiality after
agency decisions, **15:39**
deliberative predecisional
records, criteria for exemp-
tion, **15:27**
draft documents, **15:40**
elements of predecisional status,
15:18
exclusions from exemption 5
coverage, **15:42**
function of records, focus on,
15:20
hierarchical and group deci-
sions, protection of, **15:29**
investigations, **15:41**
policy underlying exemption,
15:16 et seq.

**FREEDOM OF INFORMATION
ACT (FOIA)—Cont'd**

Agency memoranda exemption
—Cont'd
pre-decisional records—Cont'd
premature disclosure, claims of
potential confusion, **15:23**
presidential decisions, recording
of, **15:31**
reasonably foreseeable test,
15:37
rulemaking
administrative record exclu-
sions, **15:21**
studies supporting, **15:25**
subsequent decision for
“predecisional” status,
requiring, **15:26**
timing of decision addressed in
withheld memorandum,
15:36
timing of record, **15:38**
types of exempt predecisional
records, **15:22**
premature disclosure, claims of
potential confusion, **15:23**
presidential decisions, recording
of, **15:31**
privileges
generally, **15:7**
attorney-client privilege, above
this group
background, **15:8**
civil litigation, **15:10**
Merrill decision, **15:9**
other recognized privileges,
15:64
overview, privileges underlying
exemption, **15:7**
waiver and exclusions, **15:65**
work product privilege, below
this group
proposed attendees at agency
meetings, **15:30**
reasonably foreseeable test,
predecisional records, **15:37**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Agency memoranda exemption
 - Cont'd
 - rulemaking
 - administrative record exclusions, **15:21**
 - studies supporting, **15:25**
 - scope of exemption, **15:1**
 - segregation and disclosure, **15:47, 15:78**
 - selective summaries, **15:15**
 - summary judgment, **15:74**
 - timing
 - decision addressed in withheld memorandum, **15:36**
 - record, timing of, **15:38**
 - work product privilege, **15:60**
- Vaughn index, use in litigation, **15:72**
- withholding, factors favoring, **15:46**
- work product privilege
 - generally, **15:57**
 - burden of proof, **15:59**
 - coverage, **15:63**
 - denial of privilege, **15:62**
 - elements, **15:58**
 - finality, **15:60**
 - litigation, **15:61**
 - timing, **15:60**
- Agency responses to subpoenas, **7:82**
- Already known records
 - arguing for disclosure, **7:80**
 - effects of prior release, **9:69**
 - personal privacy exemption, **16:59**
- Amendments
 - 1974 amendments
 - generally, **3:8**
 - law enforcement records, **17:4**
 - national security exemption, **11:4**
 - 1976 amendments, **3:9**
 - 1986 amendments
 - generally, **3:9**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Amendments—Cont'd
 - 1986 amendments—Cont'd
 - law enforcement records, **17:5, 17:7, 17:104**
 - 1996 amendments
 - generally, **3:10**
 - delays prior to amendments, **7:59**
 - specific requests, **9:19**
 - 2007 amendments
 - generally, **3:11**
 - attorneys' fees, below
 - settlement of agency defenses under 2007 mediation amendment, **8:17**
 - proposals, **3:7**
- Antitrust claims, law enforcement records, **17:42**
- Any person access claims
 - agency contractors, **4:35**
 - elements, **4:29**
 - motives, **4:30**
 - press, **4:36**
- Appeals
 - administrative appeals, above
 - attorneys' fees, appellate review, **8:116, 8:131**
 - confidential business information, **14:58**
 - de novo decisions, appellate review, **8:23**
 - issues on appeal, **8:155**
 - judicial review, below
 - procedural matters, **8:157**
 - Standards for FOIA access to court-sealed records, **8:160**
 - stay of disclosure decisions, **8:158**
 - Supreme Court's contributions, **8:16, 8:159**
- Appointed counsel, no right to, **8:92**
- Archives
 - electronic FOIA archives, reaching, **6:15**
 - evolution of archiving requirement, **9:66**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Attorney-client privilege. Agency memoranda exemption, above

Attorneys' fees

- generally, **8:77 to 8:148**
- agency approval for release needed for fee award, **8:93**
- amendments to FOIA (2007)
 - changes to test for fees, **8:105**
 - effect on fee awards, **8:104**
 - impacts of post-2007 catalyst approach, **8:107**
 - no retroactive effect on pre-2007 fees, **8:106**
- amount of award, **8:120, 8:145**
- appellate review, **8:116, 8:131**
- application of fee standards, **8:124**
- applying factors, **8:119**
- appointed counsel, no right to, **8:92**
- assessing court orders as relief meriting fees, **8:133**
- Buckhannon decision, effects of, **8:89**
- catalyst theory
 - applying, **8:122**
 - causing disclosure, **8:109**
 - codified after 2007, **8:103**
 - impacts of catalyst approach, **8:107**
- causation, request and release, **8:80, 8:82**
- commercial requesters, **8:139**
- cost awards, **8:102, 8:130**
- court orders, **8:98**
- definitions, **8:79**
- delay as factor to consider, **8:101, 8:108**
- denial of fees
 - generally, **8:123, 8:142**
 - private-only benefits, **8:141**
- discretionary awards, **8:87, 8:112**
- dissemination of records as reason for fees, **8:138**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Attorneys' fees—Cont'd

- easy agency wins on fee requests, **8:83**
- entitlement to fees, **8:111**
- Equal Access to Justice Act, **8:117**
- factors, **8:95**
- faster processing, when rewarded as success, **8:129**
- in camera review, role in justifying fee awards, **8:126**
- indexing orders
 - effects of, **8:86**
 - not merits relief, **8:132**
 - Vaughn index and limited production, **8:100**
- insubstantial results argument, **8:128**
- interim fee awards, **8:125**
- late discovery of records, effect of, **8:84**
- local court rules, **8:114**
- mootness issues affecting fees, **8:115**
- multiple agencies, fee awards against, **8:85**
- no FOIA jurisdiction, no fee awards, **8:144**
- other fee award statutes, comparisons, **8:99**
- plaintiffs
 - cost awards to plaintiffs, **8:102**
 - prevailing party, **8:96**
 - proof for fees, **8:113**
 - pro se plaintiffs, eligibility for fee awards, **8:146**
- prevailing party
 - no fee awards where no one prevailed, **8:91**
 - when plaintiffs prevailing, **8:96**
- private-only benefits, fees denied, **8:141**
- procedural changes meriting fees, **8:140**
- procedure for fee awards, **8:110**
- proof for fees, plaintiff's, **8:113**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Attorneys' fees—Cont'd

pro se plaintiffs, eligibility for fee awards, **8:146**

publication, effects of, **8:86**

public benefit, fee award based on, **8:136**

purpose, **8:78**

reasonable basis test for agency action, **8:121**

reasonableness of amount, **8:120**

routine voluntary release, **8:143**

rules of court, local, **8:114**

sanctions against agencies and requesters, **8:147**

settlement offers, effect of, **8:81**

settlements, **8:97, 8:118**

stipulations, **8:134**

substantially prevailed test, **8:137**

timing of fee requests, **8:127**

trial tactics, fee award because of, **8:94**

Vaughn index and limited production, **8:100**

waiver of exemption, burden to show, **8:135**

withholding, considering effects, **8:88**

Availability of record, **4:33**

Backlogs of delayed requests, dealing with, **7:52**

Bad faith

defenses, agency bad faith in asserting, **8:18**

index affidavit, bad faith charges, **8:35**

national security exemption, **11:30**

Balancing benefits and harms from disclosure, no general duty, **9:8**

Banking statutes permitting withholding, **13:23**

Burden of proof

adequacy of search, **7:18**

agency index, **8:33**

agency memoranda exemption, above

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Burden of proof—Cont'd

confidential business information, **14:46, 14:89**

fee waiver denial, appeal of, **7:69**

law enforcement records, below litigation, **8:3**

national security exemption, **11:11, 11:23**

personal privacy exemption, **16:26, 16:75**

private submitter of information litigation, **10:44**

segregation and disclosure, **9:24**

summary judgment, **8:57**

withholding, burden on agency to justify, **9:5**

Business information

confidential business information, below

trade secrets, below

Causation of release, attorneys' fees, **8:80, 8:82**

Certified copies, **7:64**

CIA, statutes permitting withholding, **13:14**

Class actions, law enforcement records, **17:151**

Classified information. National security exemption, below

Collateral estoppel, **8:10**

Common law access replaced by statutory rights, **8:62**

Complaint, preparing, **8:4**

Complex requests, **9:15**

Computerized files, records in, **4:16**

Confidential business information

generally, **14:1 et seq.**

aggregation of data and alternative solutions, **14:83**

appellate review, **14:58**

background of exemption, **14:2**

burden of proof, **14:46, 14:89**

chilling of cooperation, **14:88**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information
—Cont'd
competitive harm
generally, **14:75 to 14:85**
aggregation of data and alternative solutions, **14:83**
confidential status, effect of loss of, **14:79**
contexts favoring disclosure, **14:82**
contexts increasing and reducing claimed harm, **14:80, 14:81**
costs of data, measuring as basis for valuation, **14:76**
degree of knowledge, **14:77**
evidence, **14:75**
government contractors' data exemption claims, **14:85**
proof of value, **14:78**
substantial harm, examples, **14:84**
confidentiality
Critical Mass standard, **14:71**
promises, effect of, **14:91**
tests, **14:74**
confidential records. Privileged and confidential records, below
confidential status, effect of loss of, **14:79**
constitutional issues, **10:67, 14:112**
consumer Product Safety Commission, agency rules, **14:108**
contexts favoring disclosure, **14:82**
contexts increasing and reducing claimed harm, **14:80, 14:81**
copyrights, **14:62**
costs of data, measuring as basis for valuation, **14:76**
defense of required submissions, **14:55**
definitions, **14:26, 14:27**
degree of knowledge, competitive harm, **14:77**
delays, **14:36**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information
—Cont'd
denial of confidentiality, **14:35, 14:92**
deterrence and chilling of cooperation, **14:88**
deterrence cooperation standard, **14:86**
disclosure, contexts favoring, **14:82**
DOT, agency rules, **14:111**
Environmental Protection Agency, below
estoppel against agency disclosure, **14:61**
evidence of competitive harm, **14:75**
evolution of exemption, **14:114**
exempted records, types of, **14:37**
financial information, **14:44**
Food and Drug Administration, below
future cooperation, impairment, **14:29**
government contractors' data exemption claims, **14:85**
government efficiency, claims related to, **14:38**
government interests served by withholding, **14:87**
government organizations and "commercial" secrets, **14:113**
harms
generally, **14:67 to 14:74**
competitive harm, above this group
confidentiality tests, **14:74**
Critical Mass standard of confidentiality, **14:71**
proof of harms, **14:69**
reputational harm, **14:70**
required submissions, **14:73**
substantial harm National Parks standard, **14:67**
voluntary submissions, **14:72**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information

—Cont'd

harms—Cont'd

what is harm, **14:68**

impairment of future cooperation,
14:29

in camera review, **14:48**

information “obtained from a
person”

generally, **14:39 to 14:43**

agencies cannot be persons,
14:41

controversies, **14:43**

coverage, **14:40**

definitions, **14:39**

grantee and contractor research,
14:42

intervening data owners, roles of,
14:65

involuntary submissions, **14:32**

knowledge, requester's,

confidential exemption not
dependent on, **14:33**

linkages of information, role in

defense of private data, **14:59**

litigation

generally, **14:45 to 14:65**

affidavits, detailed, **14:49**

appellate review, **14:58**

burden of proof, **14:46**

copyrights, **14:62**

defense of required submissions,
14:55

estoppel against agency
disclosure, **14:61**

in camera review, **14:48**

intervening data owners, roles
of, **14:65**

linkages of information, role in
defense of private data,
14:59

motives of requester, **14:56**

nonparty participation in defense
of confidential status, **14:53**

notice to submitter, **14:45**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information

—Cont'd

litigation—Cont'd

potential lawsuits, no “injury”
from, **14:66**

presentation of defense, **14:57**

record owners, duty to involve,
14:52

redactions, effect of, **14:64**

reverse engineering, risk from
records disclosed, **14:60**

segregation of disclosable por-
tions, **14:50**

specific rules, **14:63**

summary judgment standards,
14:51

Vaughn index, **14:47**

waiver, **14:54**

motives of requester, **14:56**

nonparty participation in defense
of confidential status, **14:53**

notice to submitter, litigation of
exemption (b)(4) cases, **14:45**

partial disclosures, segregating
business data, **9:33**

postenactment history of exemp-
tion, **14:3**

potential lawsuits, no “injury”
from, **14:66**

presentation of defense, **14:57**

presubmission procedures to
justify exempt status, **14:93**

private sector origin of data
requested. Information
“obtained from a person,”
above

privileged and confidential records

generally, **14:20 to 14:38**

commercial, defining, **14:27**

confidential commercial infor-
mation, definitions, **14:26**

confidential data, types of, **14:28**

coverage background, **14:22**

definitions, **14:26, 14:27**

delays, **14:36**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information
 —Cont'd
 privileged and confidential records
 —Cont'd
 denial of confidential status,
 14:35
 exempted records, types of,
 14:37
 future cooperation, impairment,
 14:29
 government efficiency, claims
 related to, **14:38**
 involuntary submissions, **14:32**
 privileged information, types of,
 14:23
 proof of confidential status,
 14:31
 requester's knowledge,
 confidential exemption not
 dependent on, **14:33**
 Rule 26, FOIA "privileged" in
 relationship to, **14:25**
 timing, **14:36**
 traditional privileges, **14:21**
 value of private information,
 14:30
 voluntary submissions, **14:32**
 waiver of confidential status,
 14:34
 waiver of privileges, **14:24**
 proof
 competitive harm, proof of
 value, **14:78**
 confidential status, **14:31**
 harms, **14:69**
 regulatory work, potential impair-
 ment, **14:90**
 reputational harm, **14:70**
 requester's knowledge,
 confidential exemption not
 dependent on, **14:33**
 required submissions, **14:73**
 reverse engineering, risk from
 records disclosed, **14:60**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information
 —Cont'd
 reverse FOIA cases, protection for
 confidential business
 statistics, **10:66**
 Rule 26, FOIA "privileged" in
 relationship to, **14:25**
 SEC, agency rules, **14:108**
 segregation of disclosable portions,
 14:50
 substantial harm
 examples, **14:84**
 National Parks standard, **14:67**
 summary judgment standards,
 14:51
 timing, **14:36**
 tobacco regulatory filings, **14:115**
 trade secrets, below
 types of confidential data, **14:28**
 types of privileged information,
 14:23
 types of records exempted, **14:37**
 value of private information, **14:30**
 voluntary submissions, **14:32**,
 14:72
 waiver
 generally, **14:54**
 confidential status, **14:34**
 privileges, **14:24**
 withholding, other reasons
 generally, **14:86 to 14:92**
 burden of proof that disclosure
 would deter cooperation,
 14:89
 confidentiality promises, effect
 of, **14:91**
 denial of confidentiality, conse-
 quences, **14:92**
 deterrence and chilling of
 cooperation, **14:88**
 deterrence cooperation standard,
 14:86
 government interests served by
 withholding, **14:87**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Confidential business information

—Cont'd

withholding, other reasons

—Cont'd

potential impairment of regulatory work, **14:90**

Confidentiality

business information. Confidential

business information, above

law enforcement records, below

predecisional records, continued

confidentiality after agency decisions, **15:39**

promises of confidentiality

generally, **9:51, 9:71**

confidential business information, **14:91**

law enforcement records, **17:80, 17:114**

personal privacy exemption, **16:84**

rights of private submitter,

confidentiality marking and filing procedures, **10:6**

Confidential sources. Law enforcement records, below

Confirming or denying existence of record. Refusal to confirm or deny existence of record, below

Congress

agency exclusion, Congress and support entities, **4:12**

agency memoranda exemption, congressional communications as “predecisional,” **15:34**

congressional disclosure, effect of, **9:61**

congressional requests, **4:37**

law enforcement records, disclosure to, **17:179**

records of Congress, **19:8**

role in FOIA implementation, **5:2**

subpoenas, **8:161, 15:35**

variable roles, **3:5**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Consent

law enforcement records, **17:189**

personal privacy exemption, **16:85**

Constitutional issues, confidential business information, **10:67, 14:112**

Consultant records, agency

memoranda exemption, **15:32**

Consumer Product Safety Commission, agency rules, **14:109**

Content of FOIA request, **7:2**

Contracting statutes permitting withholding, **13:22**

Contractors

agency memoranda exemption, **15:32, 15:33**

agency status, federal contractors, **4:10**

any person access, **4:35**

confidential business information, grantee and contractor research, **14:42**

data exemption claims, government contractors', **14:85**

private submitter of information, rights of, below

Control of agency, records outside, **7:17**

Copyrights, **14:62**

Core values debate, **9:75**

Corporations

law enforcement records, business and corporate privacy claims, **17:79**

requests by corporations, **4:32**

Cosmetic ingredient disclosure, **14:99**

Costs of litigation, awards, **8:102, 8:130**

Costs of requests

generally, **7:66 to 7:76**

electronic files searching costs, fee waiver and, **7:70**

exhaustion of remedies, fees and, **7:72**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Costs of requests—Cont'd

fee waiver

denial

burden on appeal, **7:68**

judicial review, **7:75**

procedures, **7:69**

upheld, **7:73**

electronic files searching costs,
7:70

public interest, **7:74, 7:76**

processing requests, fees for, **7:66**

refusal to pay as justification for
refusal to process request,
7:71

statutory amendments, effect on
timing, **7:67**

Counsel, appointed, no right to, **8:92**

Counterintelligence claims, law
enforcement records, **17:43**

Courts and court records

generally, **19:1 to 19:7**

agency exclusion, **4:13**

discretion of court, below

federal court records, FOIA
unavailable, **19:1**

law enforcement records, role of
district court, **17:158**

Criminal cases, impacts of FOIA liti-
gation, **8:151**

Criminal investigations, **17:50**

Criticisms, **3:1**

Cultural property committee meet-
ings, **13:24**

Cutoff dates, records searches, **7:21**

Damages

private submitter of information
litigation, **10:58**

sovereign immunity, **8:75**

tort damages, no FOIA power,
8:71

Death, privacy after

law enforcement records, **17:92,**
17:131

personal privacy exemption, **16:34**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Declaratory judgment, private
submitter of information litiga-
tion, **10:53**

Defenses

generally, **8:5 to 8:18**

bad faith in asserting defenses,
8:18

collateral estoppel, **8:10**

confidential business information,
14:55, 14:57

destruction/retention of agency
records, **8:6**

fugitives barred from litigating for
access, **8:20**

judicial estoppel, **8:11**

mootness, **8:12**

nonavailability, affirmative publi-
cation and rule dissemination,
6:10

nonexistence of responsive
records, **8:5**

no remedy after records released,
8:7

personal privacy exemption, **16:83**
et seq.

prior adjudications, effect of, **8:8**

prior exemption claims, effects of,
8:15

private data, disclosure of, success-
ful defenses, **10:33**

relitigation of claims, applying
rules against, **8:14**

res judicata, **8:9**

responding to agency defenses,
7:65

search disputes, examples of suc-
cessful defenses, **7:13**

settlement of agency defenses
under 2007 mediation amend-
ment, **8:17**

Supreme Court Sturgell decision,
effect of, **8:16**

waiver of defenses for failure to
assert early, **8:21**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Definitions

- generally, **4:1 et seq.**
- access to record, **4:42**
- agency, **4:2 et seq.**
- agency memoranda exemption, above
- any person access, **4:29 et seq.**
- attorneys' fees, **8:79**
- availability of record, **4:43**
- commercial, **14:27**
- confidential commercial information, **14:26**
- fees, **4:44**
- identifiable records, **4:28**
- information "obtained from a person," **14:39**
- law enforcement records, below
- personal non-agency files, **4:19, 4:24, 4:25**
- personnel files, **16:3**
- records, **4:14 et seq.**
- sources, **17:133 to 17:137**
- trade secrets, **14:5**

Delay

- generally, **7:51 et seq.**
- acceptance of delays prior to 1996 amendments, **7:59**
- attorneys' fees, delay as factor to consider, **8:101, 8:108**
- backlogs of delayed requests, dealing with, **7:52**
- court remedies, **8:73**
- expanded resources for FOIA offices, **7:54**
- expedited requests, **7:55**
- indexing, **8:32**
- judicial remedies, **7:57**
- legislative history background, **7:58**
- normal response times, what are, **7:53**
- pre-1996 controversies, **7:56**
- privileged and confidential records, **14:36**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Delay—Cont'd

- prompt disclosure, **7:60**
- time deadlines for agencies, **7:51**
- unusual or exceptional circumstances, **7:61, 7:62**

Deletions from records released

- generally, **7:63**
- redactions, below

Denial of requests

- generally, **7:26 to 7:33**
- fee and cost issues, denial based on, **7:36**
- format of records, denials based on, **7:38**
- initial denial
 - generally, **7:26**
 - appeal procedures, **7:30**
- oral disclosure, **7:31**
- other responses to denials, **7:32**
- redactions from disclosed records, **7:33**
- refusal to confirm or deny existence of record, below
- stating reasons, **7:29**
- submitters' interests in records, denials relating to, **7:35**

De novo review

- generally, **8:21**
- affidavits. Indexes and affidavits, below this group
- agency interpretations, no deference given, **8:22**
- appellate review of de novo decisions, **8:23**
- bad faith charges in index affidavit, **8:35**
- discovery
 - denials of discovery, **8:51, 8:52**
 - limited, **8:44, 8:48**
 - presidential documents, **8:52**
 - prior to summary judgment motions, **8:50**
- in camera judicial inspection
 - attorneys' fee awards, role of in camera review in justifying, **8:126**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

De novo review—Cont'd

in camera judicial inspection —Cont'd

discretion not to inspect, **8:29**

findings, **8:26**

policy, **8:24**

practical aspects, **8:25**

indexes and affidavits

adequacy of search, index demonstrating, **8:38**

attorneys' fees

index orders, **8:86, 8:132**

Vaughn index and limited production, **8:100**

authorship, **8:31, 8:34**

bad faith charges in index affidavit, **8:35**

burden of proof, **8:33**

presumption of good faith in affidavits, **8:49**

reasons for rejecting index, **8:30**

segregable information, **8:37**

sufficient factual affidavits to support index, **8:36**

timing and delays, **8:32**

waiver issues, **8:40**

withheld records, indexing using sampling, **8:39**

interrogatories, **8:47**

intervention by affected persons, **8:43**

masters, pretrial practice, **8:41**

presumption of good faith in affidavits, **8:49**

private submitter of information litigation, **10:61**

rights of, **10:22**

protective orders, **8:46**

sealing the record, **8:28, 8:45**

secrecy and sealed files, **8:45**

segregable information, **8:37**

settlement negotiation, discretionary in-court, **8:27**

stipulations, **8:42**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Derivative use, **16:31**

Destruction of agency records

after request, **9:11**

defense, **8:6**

Disclosure

balancing benefits and harms from disclosure, no general duty, **9:8**

confidentiality promises, **9:51**

discretion to release, below

inconsistent actions of other agencies, **9:54**

no restraints possible once records are released, **9:52**

opens records to all, **9:45 to 9:55, 17:182**

partial disclosure, below

person who is subject of agency file, rights of, **9:50**

presumption favoring, **9:1, 9:2**

prior release, below

private data. Private submitter of information, rights of, below

private enforcers of federal laws, **9:53**

selective disclosure not permitted, **9:45**

tort litigation discovery, FOIA and, **9:56**

withholding records, below

Discovery

agency decision elements, **15:70**

civil discovery use of records, **9:10**

contrasted to litigation, **8:2**

de novo review, above

impacts of FOIA litigation on other cases, **8:149**

national security exemption, executive privilege issues, **11:9**

prior releases, **9:67**

private submitter of information litigation, **10:60**

summary judgment, below

tort litigation discovery, FOIA and, **9:56**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Discretion of court
 - attorneys' fees awards, **8:87, 8:112**
 - in camera inspection, **8:29**
 - in-court settlement negotiation, **8:27**
- Discretion to release
 - generally, **9:36 to 9:43**
 - exemptions, below
 - policy objections, **9:37**
 - public interest, **9:41**
 - segregability, **9:40**
 - uses of agency discretion, **9:42**
 - waivers, **9:40**
- Dismissal of abusive litigants, **8:162**
- Disposal of records by agencies, **4:27**
- Disputes over searches. Records searches, below
- DOT, agency rules, **14:111**
- E-discovery tools, role in search adequacy, **7:11**
- Electronic records
 - generally, **7:77**
 - fee waiver and electronic files searching costs, **7:70**
 - reaching electronic FOIA archives, **6:15**
 - searches, **7:78**
- Electronic tracking of FOIA requests, **7:7**
- Employment. Labor and employment, below
- Enforcement of federal laws, private, **9:53**
- Environmental Protection Agency
 - generally, **14:101 to 14:107**
 - pesticide data protection, **14:105**
 - presubmission review, **14:104**
 - specific statutory disclosure commands, **14:107**
 - structure, **14:102**
 - toxic chemicals data, **14:106**
 - types of confidential data, **14:103**
- Equal Access to Justice Act, attorneys' fees, **8:117**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Equity powers on summary judgment, **8:60**
- Errors in search processes, **7:23**
- Estoppel
 - change in agency positions, estoppel against, **8:74**
 - collateral estoppel, **8:10**
 - confidential business information, estoppel against agency disclosure, **14:61**
 - judicial estoppel, **8:11**
 - summary judgment, claims of agency estoppel, **8:61**
- Evolution. Origins and evolution, below
- Excisions from records released, **7:63**
- Exclusions from searches, **7:20**
- Executive orders
 - national security exemption, below
 - private submitter of information, rights of, below
- Executive privilege. National security exemption, below
- Exemptions
 - agency memoranda exemption, above
 - claiming exemption, no explanation needed, **9:38**
 - confidential business information, above
 - discretion to invoke, **9:39, 9:46**
 - exempt record, defined, **4:41**
 - internal personnel rules exemption, below
 - national security exemption, below
 - no inference of wrongdoing from use of, **9:43**
 - not mandatory, **9:36**
 - partial disclosures, intertwining of exempt portions, **9:29**
 - prior exemption claims, effect of, **8:15**
 - specific statutes permitting withholding, exemption for, below

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Exhaustion of administrative appeals, **7:42**
 Exhaustion of remedies, **7:72, 8:13**
 Expanded resources for FOIA offices, **7:54**
 Expedited requests, delays, **7:55**
 False Claims Act cases
 effect of FOIA litigation, **8:150**
 prior release, records use in FCA actions, **9:70**
 Federal Advisory Committee Act, **24:13**
 Federally chartered entities, agency status, **4:9**
 Federal Register publication, **6:2**
 Federal Reports Act, **6:5**
 Federal Trade Commission
 confidential business information, agency rules, **14:110**
 statutes permitting withholding, **13:15**
 Fees
 attorneys' fees, above
 costs of requests, above
 defined, **4:44**
 Financial data, personalized, **16:12**
 Financial information, confidential, **14:44**
 Financial institutions, exemption (b)(8), **18:1, 18:2**
 Financial statutes permitting withholding, **13:23**
 Fishing expeditions, **9:14**
 Food and Drug Administration
 generally, **14:94 to 14:100**
 adverse experiences with regulated products, **14:100**
 cosmetic ingredient disclosure, **14:99**
 inspectional data, **14:96**
 procedural issues, **14:97**
 research and testing details, **14:95**
 trade secrets, **14:98**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Foreign government exclusion
 national security exemption, **11:40**
 Foreign governments or representatives, specific statutes banning access by, **4:31**
 Foreign nations, access by agents of, **4:39**
 Foreseeable harm standard, **9:74**
 Format of records, denials based on, **7:38**
 Formats of records, **4:15**
 Fugitives barred from litigating for access, **8:20**
 Geological exploration, exemption (b)(9), **18:3**
 Good faith in affidavits, presumption, **8:49**
 Government contractor actions.
 Private submitter of information, rights of, below
 Grand jury materials, statutes permitting withholding, **13:12**
 Grantees
 agency status, federal grantees, **4:10**
 confidential business information, grantee and contractor research, **14:42**
 records, **4:21**
 Harassment
 law enforcement records, **17:85**
 personal privacy exemption, **16:50**
 Historical background. Origins and evolution, below
 Homeland Security information, statutes permitting withholding, **13:21**
 Immigration claims, law enforcement records, **17:40**
 Improper release, penalizing employee, **9:73**
 In camera judicial inspection
 agency memoranda exemption, **15:77**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

In camera judicial inspection

—Cont'd

attorneys' fees, role of in camera
review in justifying awards, **8:126**

confidential business information, **14:48**

de novo review, above

law enforcement records, **17:159**

national security exemption, **11:21**

personal privacy exemption, **16:80**

Inconsistent actions of other agencies, **9:54**

Independent counsel claims, law
enforcement records, **17:46**

Indexes. De novo review, above

Inferences

exemptions, no inference of
wrongdoing from use of, **9:43**

law enforcement records, inference
of harm, **17:30**

partial disclosures, inferences and
clues, **9:30**

Information technologies, use in
FOIA processing, **7:25**

Initial denial of request, appeal
procedures, **7:30**

Injunctions

private submitter of information
litigation, **10:47, 10:48**

summary judgment, procedural
difficulties, **8:65**

trade secrets, **14:12**

Internal memos, segregating, **9:31**

Internal personnel rules exemption
generally, **12:1 et seq.**

agency manuals, **12:17**

background of (b)(2) exemption, **12:2**

circumvention of agency

exemption of matters that could
aid circumvention

agency burdens, **12:12**

exclusions, **12:13**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Internal personnel rules exemption
—Cont'd

circumvention of agency—Cont'd
pre-2011 cases no longer controlling law, **12:11**

elements of exemption (b)(2) case
generally, **12:3**

coverage, **12:4, 12:5**

markings and codes, **12:7**

restriction of (b)(2) coverage by
Supreme Court, **12:5**

trivial matters, **12:3, 12:6**

internal personnel matters, **12:14**

nonexempt records, **12:8**

other issues under exemption,
12:18

procedural issues, **12:10**

successful requests, **12:16**

Supreme Court

importance of interpretations,
12:15

restriction of (b)(2) coverage,
12:5

trivial matters, **12:3, 12:6, 12:9**

Internet, web postings and reduction
of FOIA requests, **5:3**

Interrogatories, de novo review, **8:47**

Intersection with Federal Records
Act, **7:83**

Intervention

de novo review, **8:43**

private submitter of information
litigation, **10:39**

Invasion of privacy

law enforcement records, below

personal privacy exemption, below

Investigations

agency memoranda exemption,
15:41

law enforcement records, below

Jail inmates, rights of, **17:78**

Judicial estoppel, **8:11**

Judicial review

agency memoranda exemption,
standard for judicial review,
15:75

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Judicial review—Cont'd

appeals, above
de novo review, above
fee waiver denials, **7:75**
private submitter of information,
rights of, below

Jurisdiction

no FOIA jurisdiction, no fee
awards, **8:144**
private submitter of information
litigation, **10:35**
summary judgment, continuing
jurisdiction, **8:68**

Justice Department, role of, **3:4**

Labor and employment

affirmative publication and rule
dissemination, labor cases,
6:12
employment statistical data after
Chrysler, **10:69**
internal personnel rules exemption,
above
law enforcement records, **17:41,**
17:89, 17:203
mailing lists, **16:70, 16:72**
penalizing employee for improper
release, **9:73**
personal privacy exemption, labor
disputes, **16:63**
records exemption in labor
proceedings, **17:89**

Law enforcement records

generally, **17:1 et seq.**
administrative claims, **17:44**
administrative hearing witnesses,
17:116
admissions about criminal acts,
17:112

affidavits

brief following affidavits,
17:152
new affidavits, submission of,
17:186

age

information acquired from
source, **17:132**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd

age—Cont'd
records, **17:93**
amendments, 1974 and 1986, **17:4,**
17:5, 17:7, 17:104

anonymity

anonymous information, **17:81,**
17:122
privacy interests despite ano-
nymity, **17:192**

antitrust claims, **17:42**

background checks, **17:108**

background of exemption, **17:2**

burdens of proof

confidential sources, withhold-
ing to protect (section
(b)(7)(D)), **17:102**

interference with enforcement,
withholding to avoid (sec-
tion (b)(7)(A)), **17:18**

litigation, **17:143, 17:145**

waiver, other disclosures and
leaks, **17:164**

business and corporate privacy claims, **17:79**

business sources, **17:135**

categories

categorical treatment, not
balancing, of exclusions,
17:190

confidential sources, withhold-
ing to protect (section
(b)(7)(D)), **17:117, 17:123**

interference with enforcement,
withholding to avoid (sec-
tion (b)(7)(A)), **17:34,**
17:49

invasion of privacy, unwar-
ranted, withholding to
avoid (section (b)(7)(C)),
17:74, 17:76

proof regarding, **17:146**

civil proceedings, records exemp-
tion, **17:89**

class actions, **17:151**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
conditional release disfavored,
17:170
confidential sources, withholding
to protect (section (b)(7)(D))
generally, **17:98 et seq.**
administrative hearing wit-
nesses, **17:116**
admissions about criminal acts,
17:112
age of information acquired
from source, **17:132**
amendments (1986), effects of,
17:104
anonymous letters, **17:122**
background checks, **17:108**
burden of proof, **17:102**
categorical cases, pre-Landano,
17:123
categorical exemptions, **17:117**
comparing exemptions (b)(6)
and (b)(7)(D), **17:107**
connection to crime, **17:113**
context of confidentiality,
17:118
death of source, effect of,
17:131
defining “confidential,” **17:100**
defining “sources”
generally, **17:133**
business sources, **17:135**
federal agencies, **17:134**
foreign sources, **17:136**
states and localities as
sources, **17:137**
future cooperation, **17:110**
good news, **17:128**
how agreement was made,
17:119
indirect harms, **17:103**
informant codes, **17:120**
jeopardy to future enforcement,
17:121
Landano decision, **17:105**,
17:106

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
confidential sources, withholding
to protect (section (b)(7)(D))
—Cont'd
no balancing of interests, **17:101**
non-crime based confidentiality,
17:129
persons, sources need not be,
17:130
police-FBI sharing, **17:124**
policy of exemption (b)(7)(D),
17:99
presumed confidential test,
17:106
Privacy Act, relationship to,
17:109
programs of routine
confidentiality, **17:115**
promises of confidentiality,
17:114
reprisal fears, **17:127**
retaliation not basis for generic
presumption, **17:111**
state parallels, **17:125**
victim information, **17:126**
waiver disfavored, **17:197**
Congress, disclosure to, **17:179**
context and confidentiality, **17:91**,
17:118
counterintelligence claims, **17:43**
criminal investigations, **17:50**
cross-examination of agency,
17:155
death
privacy after, **17:92**
source, death of, **17:131**
definitions
generally, **17:6**
agency cases, **17:11**
compiled, **17:9**
effect of 1986 amendments,
17:7
functional standards, **17:13**
information for law enforcement
purposes, **17:6**, **17:12**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
 definitions—Cont'd
 law enforcement, **17:8**
 pre-1986 case law, **17:14**
 sources, **17:133 to 17:137**
 delay in disclosure as settlement
 offer, **17:174**
 discipline claims, **17:47**
 disclosure opens records to all,
 9:55
 district court, role of, **17:158**
 Eighth Circuit analysis, exemption
 (7)(A) records, **17:154**
 enforcement
 interference with enforcement,
 withholding to avoid (sec-
 tion (b)(7)(A)), below
 techniques, withholding to
 protect (section (b)(7)(E)),
 17:139
 evolution of (b)(7) exemption,
 17:3
 fact-specific balancing, **17:156**
 fair adjudication for accused, with-
 holding to protect (section
 (b)(7)(B)), **17:55**
 federal employee identities, **17:84**
 file subject, sharing with, **17:201**
 foreign proceedings, interference
 with, **17:35**
 foreign sources, **17:136**
 future of exemption, **17:205**
 Glomar option, neither confirming
 nor denying records exist,
 17:60
 good news, **17:128**
 harassment, prevention of, **17:85**
 harm diminished by broad
 disclosure of records, **17:172**
 identity
 federal employee identities,
 17:84
 requester identity, **17:29, 17:150**
 immigration claims, **17:40**
 in camera review, **17:159**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
 independent counsel claims, **17:46**
 indexing, **17:149, 17:160**
 interference with enforcement,
 withholding to avoid (section
 (b)(7)(A))
 generally, **17:15 to 17:54**
 administrative claims, **17:44**
 antitrust claims, **17:42**
 background, **17:16**
 burdens of proof, **17:18**
 categorical coverage, **17:34**
 categories, **17:49**
 chilling effects, **17:31**
 closed files, **17:25**
 coercion of employees, **17:26**
 conclusion of case, exemption
 after, **17:54**
 concrete investigations, **17:33**
 concrete proceedings, **17:21**
 conflicts of claims, **17:37**
 counterintelligence claims,
 17:43
 criminal investigations, **17:50**
 deference, **17:28**
 discipline claims, **17:46**
 employees, coercion of, **17:26**
 evasion, disclosure would cause,
 17:27
 exemption after case conclusion,
 17:54
 foreign proceedings, effects on,
 17:35
 future enforcement, effects on,
 17:32
 history, **17:22**
 identity of requester, **17:29**
 immigration claims, **17:40**
 independent counsel claims,
 17:46
 inference of harm, **17:30**
 interference, describing, **17:36**
 interference, what constitutes,
 17:20

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
interference with enforcement,
withholding to avoid (section
(b)(7)(A))—Cont'd
labor claims, **17:41**
misconduct by agency, **17:24**,
17:38
operation of exemption, **17:17**
outcome not determinative,
17:48
policy, **17:15**
publicity, effects of, **17:51**
rulemaking, **17:53**
summary judgment, **17:52**
Supreme Court's views, **17:23**
tax enforcement claims, **17:45**
timing, **17:19**
tort enforcement, **17:53**
invasion of privacy, unwarranted,
withholding to avoid (section
(b)(7)(C))
generally, **17:56**
age of records, consideration,
17:93
anonymous information, **17:81**
balancing of interests for and
against disclosure, **17:59 et**
seq.
business and corporate privacy
claims, **17:79**
civil proceedings, records
exemption, **17:89**
context gives rise to
confidentiality, **17:91**
contrasts to exemption (b)(6),
17:70
death, privacy after, **17:92**
degree, balancing by, **17:63**
denials of exemption (b)(7)(C)
status, **17:86**
disclosure, balances favoring,
17:62
exempted categories, **17:74**
exemption because of linkages,
17:75

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
invasion of privacy, unwarranted,
withholding to avoid (section
(b)(7)(C))—Cont'd
federal employee identities,
17:84
Glomar option, neither confirm-
ing nor denying records
exist, **17:60**
harassment, prevention of, **17:85**
individual need of requester not
controlling, **17:66**
informants, **17:94**
investigated persons, privacy
rights, **17:77**
jail inmates, interests of, **17:78**
labor proceedings, records
exemption, **17:89**
linkages, exemption because of,
17:75
motives of requester, **17:65**
neither confirming nor denying
records exist, Glomar
option, **17:60**
payment records, **17:95**
policy, **17:57**
pre-1989 categorization of
exempt classes, **17:77**
privacy, defined, **17:58**
privacy interests not overridden,
17:64
procedural issues in (b)(7)(C)
cases, **17:87**
promises, effect of, **17:80**
redaction and deletion, **17:88**
Reporters Committee, signifi-
cance of, **17:61**
source confidentiality and its
limits, **17:90**
statutory purposes of FOIA,
17:68
stigma problem with disclosure,
17:73
Supreme Court decisions, **17:67**,
17:97

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
invasion of privacy, unwarranted,
withholding to avoid (section
(b)(7)(C))—Cont'd
third party names in investiga-
tive files, **17:82**
types of records protected by
exemption (b)(7)(C), **17:75**
unions, effect on, **17:83**
unwarranted disclosure, **17:69**
waivers, **17:71**
witnesses, **17:96**
investigated persons, privacy
rights, **17:77**
investigations, **17:33, 17:50**
investigative files, third party
names, **17:82**
jail inmates, rights of, **17:78**
labor claims and proceedings,
17:41, 17:89, 17:203
late exemption claims, **17:198**
law enforcement purpose
court rejection of claims, **17:12**
definitions, **17:6**
leaks. Waiver, other disclosures
and leaks, below
litigation
generally, **17:143 to 17:162**
agency witnesses, **17:162**
brief must follow affidavits,
17:152
burdens of proof, **17:143,**
17:145
categories, proof regarding,
17:146
class actions, **17:151**
cross-examination of agency,
17:155
district court, role of, **17:158**
Eighth Circuit analysis, exemp-
tion (7)(A) records, **17:154**
elements of proof, **17:144**
fact-specific balancing, **17:156**
in camera review, **17:159**
indexing, **17:149, 17:160**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
litigation—Cont'd
other litigation, use of exemp-
tion, **17:204**
presumptions, **17:145**
public need, consideration of,
17:161
requester identity, **17:150**
specific cases and status, proof
as to, **17:153**
standard of review, **17:148**
summary judgment, **17:52,**
17:147
timing, **17:157**
Vaughn index orders, **17:160**
waiver, other disclosures and
leaks, below
loss of confidentiality, **17:142**
motives of requester, **17:65**
multiple persons' privacy, protec-
tion of, **17:199**
national security cases, **17:138**
neither confirming nor denying
records exist, **17:60, 17:141**
news media coverage, effect of,
17:185
non-crime based confidentiality,
17:129
once publicly disclosed, must be
open, **17:182**
other litigation, use of exemption,
17:204
partial disclosure not waiver,
17:187
payment records, **17:95**
personal privacy exemption, rela-
tionship to, **16:62**
police-FBI sharing, **17:124**
presumptions
litigation, **17:145**
retaliation not basis for generic
presumption, **17:111**
privacy
anonymity, privacy interests
despite, **17:192**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
privacy—Cont'd
 express waiver of privacy rights,
 17:195
 invasion of privacy, unwarranted, withholding to avoid (section (b)(7)(C)), above
 multiple persons' privacy, protection of, **17:199**
private proceeding, disclosure not waiver, **17:175**
promises of confidentiality, **17:80, 17:114**
publicity, effects of, **17:51, 17:194**
public need, consideration of, **17:161**
redaction and deletion, **17:88**
reprisal, retribution and retaliation, **17:111, 17:127, 17:140**
sealed court records, **17:196**
segregating, **9:32**
sharing with file subject, **17:201**
sources. Confidential sources,
 withholding to protect (section (b)(7)(D)), above
standard of review, **17:148**
state openness laws, effect of, **17:184**
statutes permitting withholding, **13:13**
stays pending adjudication of (b)(7) cases, **17:202**
stigma problem with disclosure, **17:73**
summary judgment
 interference with enforcement, withholding to avoid (section (b)(7)(A)), **17:52**
 proof, **17:147**
tax enforcement claims, **17:45**
third party names in investigative files, **17:82**
timing
 interference with enforcement, withholding to avoid (sec-

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
timing—Cont'd
 tion (b)(7)(A)), **17:19**
 litigation, **17:157**
types of records covered, **17:10**
unions, effect on, **17:83**
Vaughn index orders, **17:160**
victim information, **17:126**
waiver, other disclosures and leaks
 generally, **17:163 to 17:201**
 accidents, effect of, **17:178**
 affidavits, new, submission of, **17:186**
 agency need not solicit waivers, **17:181**
 anonymity, privacy interests despite, **17:191**
 burden of proof, **17:164**
 categorical treatment, not balancing, of exclusions, **17:190**
 conditional release disfavored, **17:170**
 confidentiality, **17:142**
 Congress, disclosure to, **17:179**
 criminal cases, **17:169**
 criminal record files, **17:176**
 criminal status irrelevant, **17:180**
 delay in disclosure as settlement offer, **17:174**
 disfavored in (b)(7)(D), **17:197**
 dissimilar records, no waiver, **17:168**
 exceptional nature of waiver, **17:191**
 express waiver of privacy rights, **17:195**
 file subject, sharing with, **17:201**
 harm diminished by broad disclosure of records, **17:172**
 interviewed person consents, **17:189**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
 waiver, other disclosures and leaks
 —Cont'd
 invasion of privacy, unwarranted, withholding to avoid (section (b)(7)(C)), **17:71**
 late exemption claims, **17:198**
 leaks, **17:165**
 multiple persons' privacy, protection of, **17:199**
 news media coverage, effect of, **17:185**
 once publicly disclosed, must be open, **17:182**
 only agency, not one employee, can waive, **17:193**
 other enforcers, sharing with, **17:200**
 partial disclosure not waiver, **17:187**
 plaintiff's knowledge, effect of, **17:183**
 private proceeding, disclosure not waiver, **17:175**
 protected person, waiver by, **17:171**
 publicity by source, effects of, **17:194**
 record, reference to, not waiver of exemption, **17:167**
 sealed court records, **17:196**
 source, waiver by, **17:173**
 speculation inadequate, **17:188**
 state openness laws, effect of, **17:184**
 trial testimony, effect of, **17:166**
 witness speculation, **17:177**
 witnesses
 administrative hearing witnesses, **17:116**
 agency witnesses, **17:162**
 speculation by witnesses, **17:177**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Law enforcement records—Cont'd
 witnesses—Cont'd
 unwarranted invasion of privacy, withholding to avoid, **17:96**
 Leaked records
 arguing for disclosure, **7:80**
 law enforcement records, above
 private submissions, effects of leaks, **10:81**
 Licensing proceedings, disclosure of privately submitted information, **10:82**
 Likelihood of success, private submitter of information litigation, **10:42**
 Limitations on searches. Records searches, below
 Litigation
 generally, **8:1 et seq.**
 agency memoranda exemption, above
 appeals, above
 attorneys' fees, above
 burden of proof, **8:3**
 complaint, preparing, **8:4**
 confidential business information, above
 defenses, above
 de novo review, above
 discovery (civil)
 contrasted, **8:2**
 impacts of FOIA litigation on other cases, **8:149**
 law enforcement records, above
 limits on judicial constraints affecting one person, **10:55**
 mediation contrasted, **8:2**
 other cases, impacts of FOIA litigation
 administrative adjudications, **8:152**
 civil discovery, **8:149**
 criminal cases, **8:151**
 False Claims Act cases, **8:150**
 personal privacy exemption, below

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Litigation—Cont'd

prior adjudications, below
private submitter of information,
below

purpose of FOIA litigation, **8:1**
settlement, below

summary judgment, below

Local entities

confidential source, locality as,
17:137

exclusion as “agency,” **4:11**

Mandamus disfavored, **8:66**

Manuals and policies

availability requirement, **6:6**

internal personnel rules exemption,
12:17

Masters, pretrial practice, **8:41**

Mediation

contrasted to litigation, **8:2**

private submitter of information
disputes, **10:52**

Memoranda. Agency memoranda
exemption, above

Misconduct

law enforcement records, **17:24,**
17:38

personal privacy exemption, **16:76**

Mistaken disclosure, remedies after,
10:63, 10:64

Mootness

agency's defense, **8:12**

attorneys' fees, mootness issues
affecting, **8:115**

private submitter of information
litigation, **10:43**

Motive

any person access, **4:30**

confidential business information,
motives of requester, **14:56**

disregarding motivation of request-
ers, **9:3**

irrelevance of requester's motive,
8:63, 9:49

law enforcement records, motives
of requester, **17:65**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

National security exemption

generally, **11:1 et seq.**

agency affidavits, **11:33**

amendments (1974), **11:4**

applying executive orders, **11:12,**
11:14, 11:19

bad faith claims of exemption 1
status, **11:30**

balancing, **11:32**

burdens of proof, **11:11**

challenges to exemption (b)(1)
claims

generally, **11:22**

burden of proof, **11:23**

content of agency presentation,
11:25

executive order, use of, **11:24**

potential impact of disclosure,
11:26

CIPA availability in FOIA litiga-
tion, **11:29**

classification of records, effects of,
11:16

classified secrets, applying current
executive order, **11:12**

compliance with executive order,
11:34

controlled unclassified information
(Executive Order 13556),
11:13

diplomatic position papers, **11:27**

discovery, executive privilege
issues, **11:9**

effects of 1995 executive order,
11:14

executive privilege issues

generally, **11:6**

background, **11:8**

discovery, **11:9**

political considerations, **11:10**

state secrets, **11:7**

foreign government exclusion,
11:40

future of (b)(1) exemption, **11:38**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

National security exemption—Cont'd
 history and development
 amendments (1974), **11:4**
 judicial interpretations, **11:5**
 preenactment, **11:2**
 through Watergate, **11:3**
 in camera review, **11:21**
 judicial deference, **11:31**
 judicial interpretations, **11:5**
 law enforcement records, national
 security cases, **17:138**
 mosaic puzzle arguments, **11:19**
 no implied agreements, **11:20**
 obsolescence issues, **11:36**
 physical protection of classified
 data, **11:18**
 pirated records, **11:39**
 political considerations, executive
 privilege issues, **11:10**
 procedure issues, **11:11**
 segregable portions of disclosure,
 11:28
 state secrets, executive privilege
 issues, **11:7**
 timing claims of exemption, **11:37**
 tying executive order to exemp-
 tion, **11:15**
 types of confidential information
 protected, **11:17**
 types of records withheld, **11:35**
 waiver issues, **11:36**
 Negotiating access before litigation,
 7:44
 Neither confirming nor denying.
 Refusal to confirm or deny exis-
 tence of record, below
 New record, no duty to create, **4:26**
 Nonexistence of records
 generally, **9:16**
 agency defense, **8:5**
 Notification rights under Executive
 Order 12,600. Private submitter
 of information, rights of, below

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Obama administration
 standards, **3:12**
 Oral disclosure, **7:31**
 Origins and evolution
 generally, **2:1, 3:1 et seq.**
 agency attitudes, **3:3**
 amendments, above
 backlash against open information,
 3:3
 Congress, variable roles, **3:5**
 courts, problems in, **3:6**
 criticisms, **3:1**
 development (1960-1964), **2:3**
 DOJ, role of, **3:4**
 expectations, **3:1**
 groundwork (1955-1960), **2:2**
 passage (1965-1967)
 generally, **2:4**
 role of press, **2:5**
 political perspective, **2:6**
 role of press in passage, **2:5**
 uses of new act, **3:2**
 Other agencies, inconsistent actions,
 9:54
 Other cases, impacts of FOIA litiga-
 tion
 administrative adjudications, **8:152**
 civil discovery, **8:149**
 criminal cases, **8:151**
 False Claims Act cases, **8:150**
 Other nations, access by agents of,
 4:39
 Parole records, statutes permitting
 withholding, **13:13**
 Partial disclosures
 generally, **9:20 to 9:34**
 administrative appeals inducing
 partial disclosure, **7:40**
 agency function in segregating
 disclosable portions, **9:22**
 burdens of proof, segregation and
 disclosure, **9:24**
 business data, segregating, **9:33**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Partial disclosures—Cont'd

Glomar responses, disclosures not used for, **9:26**

inferences and clues, **9:30**

internal memos, segregating, **9:31**

intertwining of exempt portions, **9:29**

law enforcement records

not waiver, **17:187**

segregating, **9:32**

personal privacy records, segregating, **9:35**

procedural issues in segregability for release, **9:27**

reasonably segregable portion of exempt records, duty to disclose, **9:20, 9:21**

special classes, **9:28**

specific statutory powers, segregation and, **9:34**

when segregation not possible, **9:25**

Parties, private submitter of information litigation, **10:37**

Passage of act (1965-1967)

generally, **2:4**

role of press, **2:5**

Past requested records, reprocessing, **7:81**

Penalizing employee for improper release, **9:73**

Personal non-agency files

generally, **4:19**

agency possession of records, **4:25**

record retention, **4:24**

Personal privacy exemption

generally, **16:1 et seq.**

agency defenses, meeting. Litigation, below this group

agency employee identities, **16:52**

age of records, **16:40**

applying exemption

generally, **16:62 to 16:72**

labor disputes, **16:63**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Personal privacy exemption—Cont'd

applying exemption—Cont'd

law enforcement exemption, relationship to, **16:62**

mailing lists, below this group

Privacy Act, relationship with, **16:73**

balancing of interests under

exemption (b)(6)

generally, **16:23 to 16:59**

agency employee identities, **16:52**

age of records, **16:40**

background, **16:24**

basic steps, **16:25**

benefits status favoring disclosure, **16:56**

burdens of proof, **16:26**

commercial interests, **16:38**

complaining witnesses, **16:51**

criminal-related interests, **16:37**

death, effects of, **16:34**

denial of exempt status, **16:35**

denied exemption claims, **16:60**

derivative use claims, later, **16:49**

expectations of confidentiality, **16:58**

future misuse potential, **16:43**

harassment, potential, upon disclosure, **16:50**

individual interests, weighing, **16:29**

linkages to other information, **16:53**

litigation-related interests, **16:39**

long-term impacts, **16:33**

low privacy interest, **16:45**

low public benefit of disclosure, **16:46**

low public interest cases, **16:42**

mailing lists, **16:67**

motive, **16:44**

per se treatment, **16:61**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Personal privacy exemption—Cont'd
balancing of interests under
exemption (b)(6)—Cont'd
prior availability of data to public, **16:59**
private benefits, **16:32**
public interests in disclosure, **16:30**
public need for access, **16:41**
requester's needs, considering, **16:47**
risks to informants and employees, **16:54**
slight vs. no public interest, **16:36**
subjective aspects, **16:27**
Supreme Court decisions, effect of, **16:28**
unions, **16:57**
withholding, factors favoring, **16:55**
benefits status favoring disclosure, **16:56**
burdens of proof, **16:26, 16:75**
business-related activities
generally, **16:7**
background, **16:10**
financial data, personalized, **16:12**
medical files, **16:8**
other categories, **16:15**
sensitive data, **16:11**
similar files, **16:9 et seq.**
union list, **16:14**
clearly unwarranted, **16:22**
commercial interests, **16:38, 16:66**
competing interests, **16:88**
complaining witnesses, **16:51**
confidentiality, promises of, **16:84**
consent to disclosure, **16:85**
consequences arising from disclosure, **16:19**
court and agency views, comparing, **16:18**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Personal privacy exemption—Cont'd
coverage
generally, **16:3 to 16:15**
business-related activities, above this group
exempt status, considerations favoring, **16:6**
medical files, **16:8**
personnel files, **16:3 to 16:5**
criminal-related interests, **16:37**
death, effects of, **16:34**
deference, **16:77**
denial of exempt status, **16:35**
denied exemption claims, **16:60**
derivative use, **16:31**
derivative use claims, later, **16:49**
discretion of agency, **16:78**
exempt status, considerations favoring, **16:6**
expectations of confidentiality, **16:58**
factors favoring withholding, **16:55**
financial data, personalized, **16:12**
future misuse potential, **16:43**
future of (b)(6) exemption, **16:90**
harassment, potential, upon disclosure, **16:50**
history, **16:2**
identity of agency employee, **16:52**
in camera review, **16:80**
individual interests, weighing, **16:29**
informants and employees, risks to, **16:54**
invasion of personal privacy
generally, **16:16 to 16:22**
clearly unwarranted, **16:22**
consequences arising from disclosure, **16:19**
court and agency views, comparing, **16:18**
records whose release invades privacy, **16:17**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Personal privacy exemption—Cont'd
invasion of personal privacy

—Cont'd

sufficient invasions of privacy,
16:20

waiver and disclosure, **16:21**

labor disputes, **16:63**

law enforcement exemption, relationship to, **16:62**

linkages to other information,
16:53

litigation

generally, **16:74 to 16:89**

agency defenses, meeting

generally, **16:83**

competing interests, **16:88**

confidentiality, promises of,
16:84

consent to disclosure, **16:85**

equity arguments fail, **16:86**

litigation-related defenses,
16:87

agency discretion, **16:78**

burden of proof, **16:75**

competing interests, **16:88**

confidentiality, promises of,
16:84

consent to disclosure, **16:85**

deference, **16:77**

equity arguments fail, **16:86**

future of (b)(6) exemption,
16:90

in camera review, **16:80**

misconduct, **16:76**

neither confirm nor deny cases,
16:79

remedies, **16:89**

segregable portions, **16:81**

waiver of privacy exempt status,
16:82

litigation-related interests, **16:39**

long-term impacts, **16:33**

low privacy interest, **16:45**

low public interest cases, **16:42**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Personal privacy exemption—Cont'd
mailing lists

generally, **16:64**

balance of interests, **16:67**

commercial interests, **16:66**

disclosure of lists, **16:69**

older cases, **16:71**

private labor uses, **16:72**

retired employees, lists of, **16:70**

Supreme Court cases, **16:65,**
16:68

virtual ban on list disclosure,
16:65

medical files, **16:8**

misconduct, **16:76**

misuse potential, **16:43**

motive, **16:44**

neither confirm nor deny cases,
16:79

other information, linkages to,
16:53

overview, **16:1**

per se treatment, **16:61**

personnel files

definitions, **16:3**

examples, **16:4**

files not deemed personnel files,
16:5

prior availability of data to public,
16:59

private benefits, **16:32**

public interest in disclosure

generally, **16:30**

low public benefit, **16:46**

low public interest cases, **16:42**

public need for access, **16:41**

slight vs. no public interest,
16:36

public need for access, **16:41**

records whose release invades
privacy, **16:17**

remedies, **16:89**

requester's needs, considering,
16:47

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Personal privacy exemption—Cont'd
retired employees, mailing lists,
16:70

risks to informants and employees,
16:54

segregable portions, **9:35, 16:81**

sensitive data, **16:11**

similar files

generally, **16:9 et seq.**

denial of exemption, **16:13**

other categories, **16:15**

union list, **16:14**

slight vs. no public interest, **16:36**

sufficient invasions of privacy,
16:20

Supreme Court decisions, effect of,
16:28

unions and union lists, **16:14,**
16:57

waiver and disclosure, **16:21,**
16:82

withholding, factors favoring,
16:55

Personnel rules. Internal personnel
rules exemption, above

Pesticide data protection, **14:105**

Physical objects not FOIA records,
4:20

Policy objections, discretion to
release, **9:37**

Political aspects of FOIA advocacy
generally, **5:1 to 5:4**

access, politics of, **5:1**

Congress, role in FOIA
implementation, **5:2**

disputed access, use of political
channels, **5:3**

origins of act, political perspective,
2:6

Postal statutes permitting withhold-
ing, **13:19**

Preservation of agency records, **9:72**

President

agency status of staff, **4:6**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

President—Cont'd

discovery of presidential docu-
ments, denial, **8:52**

recording of presidential decisions,
15:31

records, presidential, **4:22**

Press

any person access, **4:36**

role in passage of act, **2:5**

Presumptions

favoring disclosure, **9:1**

good faith in affidavits, **8:49**

historical rationale for pro-
disclosure presumption, **9:2**

law enforcement records, **17:145**

Prior adjudications

applying rules against relitigation
of claims, **8:14**

collateral estoppel, **8:10**

effect of, **8:8**

judicial estoppel, **8:11**

prior exemption claims, effect of,
8:15

res judicata, **8:9**

Prior release, effects of

generally, **9:57 to 9:76**

already public records, **9:69**

archiving requirement, evolution,
9:66

confidentiality promises, effects of,
9:71

congressional disclosure, **9:61**

core values debate, **9:75**

discovery regarding releases, **9:67**

False Claims Act “qui tam”

actions, records use in, **9:70**

foreseeable harm standard, **9:74**

identical record, disclosure of,
9:62

improper release, penalizing
employee, **9:73**

litigation use and waiver, **9:68**

official acknowledgment of
disclosure, what is, **9:60**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Prior release, effects of—Cont'd
 - official disclosure, waiver requires, **9:58**
 - preservation of agency records, **9:72**
 - prior disclosures and current requests, **9:57**
 - privilege claims, waiver, **9:76**
 - releases not amounting to disclosure, **9:59**
 - state statutes, parallels in, **9:77**
 - types of disclosures, **9:65**
 - understanding prior disclosure, **9:64**
 - voluntary and specific disclosure by agency, **9:63**
- Prisons and prisoners
 - affirmative publication and rule dissemination, prison cases, **6:13**
 - jail inmates, rights of, **17:78**
 - requests by prisoners, **4:33**
- Privacy Act and FOIA
 - generally, **20:59 to 20:63**
 - background prior to 1984 amendments, **20:62**
 - balance of interests, **20:63**
 - confidential sources, **17:109**
 - law since 1984 amendment, **20:59**
 - personal privacy exemption, **16:73**
 - prior law, **20:61**
 - suing under both acts, **20:60**
- Privacy exemption. Personal privacy exemption, above
- Private enforcers of federal laws, **9:53**
- Private person records not FOIA-accessible, **19:9**
- Private records becoming agency records, **4:8**
- Private submitter of information, rights of
 - generally, **10:1 et seq.**
 - administrative record for review generally, **10:18 to 10:24**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Private submitter of information, rights of—Cont'd
 - administrative record for review—Cont'd
 - de novo review, **10:22**
 - how much is needed, **10:19**
 - submitter's prima facie case, **10:20**
 - voluntariness standard, applying, **10:24**
 - voluntary submission, effect of, **10:23**
 - weakness of agency support, **10:21**
 - business information, future outlook for protection of, **10:85**
 - business statistics, protection for, **10:66**
 - confidentiality marking and filing procedures, **10:6**
 - constitutional issues, disclosure of private business data, **10:67**
 - contractors. Government contractor actions, below this group
 - disclosure opens records to all, **9:50**
 - distorted disclosures problem, **10:76**
 - doubtful adverse effects from disclosure, agency claims, **10:78**
 - doubtful secrecy in private submissions, agency claims, **10:77**
 - employment statistical data after Chrysler, **10:69**
- Executive Order 12,600, using
 - generally, **10:7 to 10:17**
 - notification rights
 - generally, **10:8**
 - agency decisions, **10:16**
 - conditions for notification, **10:14**
 - efficient processes, **10:9**

**FREEDOM OF INFORMATION
ACT (FOIA)—Cont'd**

Private submitter of information,
rights of—Cont'd
Executive Order 12,600, using
—Cont'd
notification rights—Cont'd
exclusions from notification,
10:12
litigation by submitter, **10:17**
mechanics of notification,
10:15
recognizing confidentiality,
10:10
special considerations, **10:13**
timing and class determina-
tions, **10:11**
government contractor actions
generally, **10:71 to 10:74**
bid prices disclosure, **10:73**
debate over access to contract
pricing data, **10:75**
rights of contractors, **10:71**
unit pricing remedies, **10:74**
withdrawal of contractor
submissions, **10:72**
Information Quality Act
effects, **10:84**
future outlook for protection of
business information, **10:85**
role of, **10:83**
judicial review, challenges to
agency disclosure rules, **10:34**
judicial review, decision to dis-
close private data
generally, **10:25 to 10:34**
consistency with prior decisions,
10:31
critical mass decision, effects of,
10:30
defenses, successful, **10:33**
deference, **10:27**
effects of executive order, **10:28**
form of review, **10:26**
limitations, **10:32**
standards of review, **10:25**

**FREEDOM OF INFORMATION
ACT (FOIA)—Cont'd**

Private submitter of information,
rights of—Cont'd
judicial review, decision to dis-
close private data—Cont'd
support for agency decision to
disclose, **10:29**
licensing proceedings, disclosure
and, **10:82**
litigation
generally, **10:35 to 10:61**
burden of proof, submitter's,
10:44
damage awards prohibited,
10:58
declaratory judgment, **10:53**
de novo review, **10:61**
discovery, **10:60**
Executive Order 12,600, **10:17**
injunction standards, **10:47,**
10:48
intervention, **10:39**
irreparable harm to persons,
10:49
jurisdiction, **10:35**
likelihood of success, **10:42**
mandatory notice, **10:54**
mediation, **10:52**
mootness, **10:43**
no pending FOIA request, **10:45**
parties, **10:37**
protective orders, **10:51**
public interest, **10:50**
records, **10:40, 10:46**
remands, **10:59**
replevin of submitted docu-
ments, **10:57**
ripeness for review, **10:43**
scope of coverage of records,
10:46
sovereign immunity defenses,
10:38
standing, **10:41**
subpoenas, **10:56**
venue, **10:36**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Private submitter of information, rights of—Cont'd
- litigation—Cont'd
- waiver, **10:61**
- misplaced reliance on statutes by submitters, **10:80**
- mistaken disclosure, remedies after, **10:63, 10:64**
- nexus statute favoring release, disclosure and role of, **10:79**
- notification rights. Executive Order 12,600, using, above this group
- protective motive underlying Chrysler v. Brown, **10:68**
- purpose of reverse FOIA cases, **10:65**
- side effects of disclosure
 - generally, **10:76 to 10:81**
 - distorted disclosures problem, **10:76**
 - doubtful adverse effects from disclosure, agency claims, **10:78**
 - doubtful secrecy in private submissions, agency claims, **10:77**
 - leaks of private submission, effects of, **10:81**
 - licensing proceedings, disclosure and, **10:82**
 - misplaced reliance on statutes by submitters, **10:80**
 - nexus statute favoring release, disclosure and role of, **10:79**
- submitters' rights within agency
 - generally, **10:2 to 10:6**
 - analyzing the disclosure, **10:5**
 - general statutes, **10:3**
 - specific statutes, **10:4**
- technology, protection of, **10:65**
- trade secrets legislation, scope of exemption (b)(4) and section 1905, **10:70**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Privilege claims, waiver, **9:76, 15:65**
- Privileged records
 - agency memoranda exemption, above
 - confidential business information, above
- Processing request within agency, **7:6**
- Proposed attendees at agency meetings, agency memoranda exemption, **15:30**
- Pro se plaintiffs, eligibility for fee awards, **8:146**
- Protective orders
 - de novo review, **8:46**
 - private submitter of information litigation, **10:51**
- Publication. Affirmative publication and rule dissemination, above
- Public interest
 - discretion to release, **9:41**
 - personal privacy exemption, above
 - private submitter of information litigation, **10:50**
 - waiver of search fees, **7:74, 7:76**
- Records of agencies
 - generally, **4:14**
 - access to "identifiable" records, **4:28**
 - availability, **4:33**
 - computerized files, **4:16**
 - congressional requests, **4:37**
 - disposal of records, **4:27**
 - formats of records, **4:15**
 - grantees, **4:21**
 - new record, no duty to create, **4:26**
 - physical objects not FOIA records, **4:20**
 - process of obtaining records, **4:34**
- Records searches
 - adequacy of search
 - challenging, **9:18**
 - control of agency, records outside, **7:17**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Records searches—Cont'd
adequacy of search—Cont'd
e-discovery tools, role in search
adequacy, **7:11**
inadequacy, **7:9**
index demonstrating, **8:38**
proof of search adequacy, **7:15**
to **7:19**
rebuttal of agency search affida-
vit, **7:16**
standards, **7:10**
affidavits
clarity, **7:15**
rebuttal of agency search affida-
vit, **7:16**
challengers and their burdens, **7:18**
control of agency, records in files
outside, **7:17**
cutoff dates, **7:21**
disputes and disagreements
generally, **7:8 to 7:14**
adequacy or inadequacy of
searches, **7:9, 9:18**
adequacy standards, **7:10**
challengers and their burdens,
7:18
improvements to search
processes, **7:12**
procedural standards, **7:19**
reasonable calculation to find
records, **7:14**
reasonableness, records search
disagreements, **7:8**
successful defenses of agency
searches, examples, **7:13**
electronic searches, **7:78**
errors in search processes, **7:23**
exclusions from searches, **7:20**
improvements to search processes,
7:12
inadequacy of searches, **7:9**
information technologies, use in
FOIA processing, **7:25**
limitations on searches
generally, **7:20 to 7:24**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Records searches—Cont'd
limitations on searches—Cont'd
background of FBI exclusion,
7:21
cutoff dates, **7:22**
errors in search processes, **7:23**
exclusions from searches, **7:20**
et seq.
information technologies, use in
FOIA processing, **7:25**
targeting search, **7:24**
timing, **7:22**
procedural standards, search
disagreements, **7:19**
reasonable calculation to find
records, **7:14**
successful defenses of agency
searches, examples, **7:13**
targeting search, **7:24**
timing, **7:22**
Redactions from disclosed records
generally, **7:33**
confidential business information,
14:64
deletions and excisions, **7:63**
law enforcement records, **17:88**
Refusal to confirm or deny existence
of record
generally, **4:17, 7:34 to 7:37**
fee and cost issues, denial based
on, **7:36**
initial denial, **7:35, 7:37**
law enforcement records, **17:60,**
17:141
other denials, **7:37**
personal privacy exemption, **16:79**
submitters' interests in records,
denials relating to, **7:35**
summary judgment, **8:64**
Regulations. Rulemaking, below
Release
disclosure, above
discretion to release, above
partial disclosures, above

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Release—Cont'd

prior release, above

Remand

private submitter of information
litigation, **10:59**

summary judgment, **8:67**

Remedies

delay, court remedies, **8:73**

delay, judicial remedies, **7:57**

mistaken disclosure of private
information, remedies after,
10:63, 10:64

personal privacy exemption, **16:89**

sanctions against agencies and
requesters, **8:147**

summary judgment, remedial
options and powers, **8:69,**
8:70

Replevin of submitted documents,
10:57

Requests to agencies

generally, **7:1 et seq., 9:12 to 9:19**

administrative appeals, above

amendments (1996), effect of, **9:19**

complex requests, **9:15**

content of FOIA request, **7:2**

corporations, requests by, **4:32**

costs of requests, above

defined, **4:40**

delay, above

denial of requests, above

electronic tracking of FOIA
requests, **7:7**

failure of request's description of
records, **7:5**

fees. Costs of requests, above

fishing expeditions, **9:14**

following agency FOIA rule, **7:4**

handling requests, **7:6, 7:7**

making request, **7:1 to 7:5**

nonexistent records, **9:16**

options, requester's, **9:13**

past requested records, reprocess-
ing, **7:81**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Requests to agencies—Cont'd

prisoners, requests by, **4:33**

processing request within agency,
4:34, 7:6

questions and answers not allowed,
4:18, 9:17

records searches, above

refusal to confirm or deny exis-
tence of record, above

specifying records sought, **9:12**

successful requests, **7:1**

what is not a FOIA request, **7:3**

Research

confidential business information,
grantee and contractor
research, **14:42**

FDA, research and testing details,
14:95

trade secrets, research and testing
data for product approval,
14:19

Res judicata defense, **8:9**

Retrospective and international
views, **2:7**

Reverse engineering, risk from
records disclosed, **14:60**

Reverse FOIA cases. Private submit-
ter of information, rights of,
above

Ripeness for review, private submit-
ter of information litigation,
10:43

Rulemaking

administrative record exclusions,
15:21

affirmative publication and rule
dissemination, above

studies supporting, **15:25**

summary judgment review of
agency FOIA regulations,
8:59

Sanctions against agencies and
requesters, **8:147**

Sealed records

de novo review, **8:28, 8:45**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Sealed records—Cont'd

law enforcement records, **17:196**

Searches. Records searches, above

SEC, agency rules, **14:108**

Segregation of records

administrative appeals, **7:47**

agency memoranda exemption,
15:47, 15:78

confidential business information,
14:50

de novo review, **8:37**

discretion to release, **9:40**

national security exemption, **11:28**

partial disclosures, above

personal privacy exemption, **9:35,**
16:81

Settlement

administrative appeals inducing
settlement, **7:40**

agency defenses, settlements under
2007 mediation amendment,
8:17

attorneys' fees

generally, **8:97, 8:118**

effect of settlement offers, **8:81**

courts cannot fix compromises
beyond FOIA's limits, **8:154**

de novo review, discretionary in-
court settlement negotiation,
8:27

dismissal of abusive litigants,
8:162

law enforcement records, delay in
disclosure as settlement offer,
17:174

terms, **8:153**

Social Security records, statutes
permitting withholding, **13:11**

Sovereign immunity

bar, **8:72**

damages, **8:75**

private submitter of information
litigation, **10:38**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Special responses. Refusal to confirm
or deny existence of record,
above

Specific statutes permitting withhold-
ing, exemption for
generally, **13:1 et seq.**

background of (b)(3) exemption,
13:2

banking statutes, **13:23**

changes after 1976, **13:4**

CIA records, **13:14**

contracting statutes, **13:22**

elements of (b)(3) case, **13:5**

exemption 3 coverage, statutes not
triggering, **13:18**

exemption 3 norms, applying to
other statutes, **13:20**

Federal Trade Commission
records, **13:15**

financial statutes, **13:23**

general norms, cases applying,
13:7

grand jury materials, **13:12**

history, **13:3**

Homeland Security information,
13:21

law enforcement records, **13:13**

no discretionary release category,
13:8

other statutes, **13:17**

parole records, **13:13**

particular criteria category, **13:9**

postal statutes, **13:19**

Privacy Act, **13:16**

procedural issues, **13:6**

Social Security records, **13:11**

tax records, **13:10**

Standards for FOIA access to court-
sealed records, **8:160**

Standing, private submitter of infor-
mation litigation, **10:41**

States

confidential source, state as,
17:137

exclusion as "agency," **4:11**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

States—Cont'd

public records, **4:23**

state Information and Access

Laws, this index

Stays

disclosure decisions, **8:158**

law enforcement records, stays

pending adjudication of (b)(7)
cases, **17:202**

Stipulations

attorneys' fees, **8:134**

de novo review, **8:42**

Subpoenas, private submitter of
information litigation, **10:56**

Successful requests, **7:1**

Summary judgment

generally, **8:53 to 8:76**

additional records, effect of find-
ing, **8:58**

Administrative Procedure Act
review, **8:76**

agency estoppel claims, **8:61**

agency FOIA regulations, review
of, **8:59**

agency memoranda exemption,
15:74

burdens on agency, **8:57**

change in agency positions, estop-
pel against, **8:74**

common law access replaced by
statutory rights, **8:62**

confidential business information,
14:51

continuing jurisdiction, **8:68**

delay, court remedies, **8:73**

discovery

before summary judgment, **8:50,**
8:54

summary judgment without
discovery, **8:56**

equity powers, **8:60**

injunctions, procedural difficulties,
8:65

mandamus discovered, **8:66**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

Summary judgment—Cont'd

motive of requester, irrelevance,
8:63

procedural aspects, **8:55**

remand to agency, **8:67**

remedial options and powers, **8:69,**
8:70

response “neither confirming nor
denying” existence of
records, **8:64**

sovereign immunity, **8:72, 8:75**

tort damages, no FOIA power to
win, **8:71**

Taxes

affirmative publication and rule
dissemination, **6:11**

law enforcement records, tax
enforcement claims, **17:45**

statutes permitting withholding,
13:10

Timing

agency memoranda exemption,
above

attorneys' fee requests, **8:127**

deadlines for agencies, **7:51**

delay, above

indexing, **8:32**

law enforcement records, above

national security, timing claims of
exemption, **11:37**

privileged and confidential records,
14:36

records searches, **7:22**

Tobacco regulatory filings, **14:115**

Torts

damage awards, no FOIA power to
win, **8:71**

FOIA and tort litigation discovery,
9:56

Toxic chemicals data, **14:106**

Trade secrets

generally, **14:4 to 14:19**

agency mechanisms for trade
secrets protection, **14:14**

definitions, **14:5**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Trade secrets—Cont'd
 - denied claims, **14:9**
 - duration of trade secret status, **14:16**
 - elements, **14:6**
 - food and Drug Administration, **14:98**
 - historical protections, **14:7**
 - injunctions, **14:12**
 - loss without compensation, **14:10**
 - pre-disclosure consultations, **14:13**
 - private licensing compared, **14:11**
 - private submitter of information,
 - scope of exemption (b)(4) and section 1905, **10:70**
 - private trade secret litigation,
 - FOIA analogies, **14:17**
 - product approval, research and testing data, **14:19**
 - state alternative terms, **14:15**
 - types of trade secrets, **14:8**
 - valuation of trade secret, **14:18**
- Transportation Department, agency rules, **14:111**
- Unions
 - access by unions, **4:38**
 - claims to access, **9:48**
 - law enforcement records, effect on unions, **17:83**
 - personal privacy exemption, **16:57**
 - personal privacy exemption, union list, **16:14**
- Venue, private submitter of information litigation, **10:36**
- Victim information, law enforcement records, **17:126**
- Voluntary release
 - attorneys' fees, routine voluntary release, **8:143**
 - prior release, effects of, **9:63**
- Waiver
 - actual release, **9:47**
 - agency memoranda exemption,
 - waiver of privileges, **15:65**

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Waiver—Cont'd
 - attorneys' fees, burden to show waiver of exemption has occurred, **8:135**
 - confidential business information, **14:54**
 - defenses, waiver by agency for failure to assert early, **8:19**
 - de novo review, affidavits and waiver issues, **8:40**
 - discretion to release, **9:40**
 - fee waiver. Costs of requests,
 - above
 - law enforcement records, below
 - national security exemption,
 - waiver issues, **11:36**
 - official disclosure, waiver requires, **9:58**
 - personal privacy exemption, **16:21, 16:82**
 - prior release, litigation use and waiver, **9:68**
 - private submitter of information litigation, **10:62**
 - privilege claims, **9:76**
 - privileged and confidential records, **14:24, 14:34**
- Web postings and reduction of FOIA requests, **5:3**
- Withholding records
 - attorneys' fees, considering effects from withholding, **8:88**
 - balancing benefits and harms from disclosure, n general duty, **9:7**
 - benefit of narrow withholding, **9:4**
 - burden on agency to justify, **9:5**
 - claims for withholding usually fail unless exemptions apply, **9:6**
 - classes of documents, **9:23**
 - confidential business information,
 - above
 - court orders and statutes may apply, **9:9**
 - indexing withheld records using sampling, **8:39**

INDEX

FREEDOM OF INFORMATION

ACT (FOIA)—Cont'd

- Withholding records—Cont'd
 - law enforcement records, above
 - national security exemption, types of records withheld, **11:35**
 - no mandate to withhold, **9:36**
 - no withholding except as specifically stated, **9:44**
 - specific statutes permitting withholding, exemption for, below
 - unsuccessful agency reasons for withholding, **9:7**
- Witnesses
 - law enforcement records, above
 - personal privacy exemption, **16:51**
- Work product privilege. Agency memoranda exemption, above

FUGITIVES

- Agency defenses, **8:20**

GEOLOGICAL EXPLORATION

- FOIA exemption (b)(9), **18:3**

GOVERNMENT IN SUNSHINE

- Sunshine Act, this index

GRAND JURY

- Statutes permitting withholding, **13:11**

HOMELAND SECURITY

- Privacy Act exemption, **21:41**
- Statutes permitting withholding, **13:21**

IMMIGRATION

- Law enforcement records, **17:40**

IN CAMERA REVIEW

- Freedom of Information Act, this index
- Privacy Act, **22:43**

INJUNCTIONS

- Freedom of Information Act, this index
- Privacy Act, **22:77**

INTERNATIONAL VIEWS

- Freedom of Information Act (FOIA), **2:7**

INTERNET AND OTHER METHODS OF FEDERAL DISCLOSURE

- Generally, **26:1 et seq.**
- Agency-compelled private disclosures, mandates for, **26:4**
- Disclosure-related disputes, remedies, **26:3**
- Electronic access, rights to, **26:2**
- Environmental and worker “right to know,” **26:5**
- Response sending requester to website, **7:79**
- Web postings and reduction of FOIA requests, **5:4**
- Websites, disclosure via, **26:1**

INTERROGATORIES

- FOIA, de novo review, **8:47**

INTERVENTION

- Freedom of Information Act, this index

INTRODUCTION

- Generally, **1:1**
- Context for book, **1:2**
- How book is arranged, **1:3**

INVASION OF PRIVACY

- Law enforcement records. Freedom of Information Act, this index
- Personal privacy exemption. Freedom of Information Act, this index
- Privacy Act, this index

JUDICIAL REVIEW

- Freedom of Information Act, this index
- State information and access laws, judicial review of access denials, **27:23**

JURISDICTION

Freedom of Information Act, this index
Privacy Act, **22:30**

JUSTICE DEPARTMENT

FOIA, role of DOJ, **3:4**

LAW ENFORCEMENT

Freedom of Information Act, this index
Privacy Act, this index

MAILING LISTS

Personal privacy exemption.
Freedom of Information Act (FOIA)
Privacy Act, **21:59**

MANDAMUS

FOIA, mandamus disfavored, **8:66**

MASTERS

FOIA pretrial practice, **8:41**

MCBURNEY DECISION

State information and access laws, **27:9**

MEDIATION

Freedom of Information Act, this index

MEMORANDA

Agency memoranda exemption.
Freedom of Information Act, this index

MOOTNESS

Freedom of Information Act, this index
Privacy Act, **22:40**

MOTIVE

Freedom of Information Act, this index

**NATIONAL SECURITY
EXEMPTION**

Freedom of Information Act, this index

NEGLIGENCE

Privacy Act, agency defenses, **22:63**

NOTICE

Privacy Act, this index

**OLDER JUDICIAL AND
PROSECUTORIAL RECORDS**

Access, **19:13**

OPEN MEETINGS

State information and access laws, **27:22**
Sunshine Act, this index

ORGANIZATIONS

Privacy Act, files about, **22:7**

PAROLE RECORDS

Statutes permitting withholding, **13:13**

**PERSONAL PRIVACY
EXEMPTION**

Freedom of Information Act, this index

PESTICIDES

Specific pesticide data protection, **14:105**

**POLICY INTERPRETATIONS BY
AGENCY COUNSEL**

Disclosable, **15:55**

PREEMPTION

State information and access laws, **27:24**

PRESENTENCE REPORTS

Disclosure, **19:3**

PRESIDENT

Freedom of Information Act, this index

PRESUMPTIONS

Freedom of Information Act, this index

INDEX

PRIVACY

- Personal privacy exemption.
 - Freedom of Information Act, this index
- State information and access laws, personal privacy, **27:16**

PRIVACY ACT

- Generally, **20:1 et seq.**
- Access rights
 - generally, **22:1 to 22:17**
 - appeal rights, notices, **22:12**
 - burden, plaintiff's, **22:14**
 - copying fees, **22:5**
 - defenses, agency's, **22:13**
 - discovery, **22:16**
 - extent of access, **22:6**
 - formats of records released, **22:17**
 - how access is obtained, **22:3**
 - identification of requester, **22:4**
 - medical records, **22:10**
 - organizations, files about, **22:7**
 - request, **22:8**
 - search fees, **22:9**
 - third party objections, **22:15**
 - timing, **22:11**
 - use of access, **22:2**
- Accuracy of records
 - generally, **21:11**
 - challenges, **22:52**
- Agency head, consent exceptions, **21:34**
- Agency responsibilities
 - generally, **21:1 et seq.**
 - collection of information, below
 - consent exceptions, below
 - exemptions, below
 - internal control requirements, **21:37**
 - use of individual records, below
- Amendment and accounting, **22:81**
- Amendment and correction rights
 - generally, **22:18 to 22:28**
 - appeals in court, **22:25**
 - denial of amendment requests, **22:23**

PRIVACY ACT—Cont'd

- Amendment and correction rights
 - Cont'd
 - exemptions, effect of, **22:26**
 - expungement, **22:20**
 - failed attempts at changing content of agency decisions, **22:24**
 - opinion and fact distinctions in records content, **22:28**
 - plaintiff-requester, rights of, **22:22**
 - process for amendment, **22:19**
 - rebuttal statements, placing in file, **22:21**
 - union contracts, effect of, **22:27**
- Appeals
 - access rights, notices of appeal rights, **22:12**
 - amendment and correction rights, **22:25**
 - standard of review, **22:32**
- Attorneys' fees, **22:44, 22:80**
- Background, **20:2**
- Balancing competing interests, direct collection of information, **21:7**
- Burden of proof
 - generally, **22:54**
 - access rights, plaintiff's burden, **22:14**
 - exempt status, **22:62**
 - use of individual records, **21:12**
- Causation, **22:53, 22:71**
- Census, **20:53**
- Changing content of agency decisions, failed attempts, **22:24**
- CIA exemption, **21:40**
- Collateral estoppel, **22:67**
- Collection of information
 - generally, **21:2 to 21:7**
 - direct collection by agency
 - generally, **21:5**
 - balancing competing interests, **21:7**
 - notices and informed consents, **21:6**
 - lack of specific requirements, **21:3**

PRIVACY ACT—Cont'd

- Collection of information—Cont'd
 - Supreme Court decisions in Nelson and Chrysler, effects of, **21:4**
- Congress
 - consent exceptions, **21:27**
 - definitions, **20:8**
- Consent exceptions
 - generally, **21:24 to 21:36**
 - agency head, **21:35**
 - Congress, **21:27**
 - courts, **21:29**
 - emergency disclosures, **21:28**
 - exceptions and exemptions, **21:32**
 - family disclosures, **21:28**
 - FOIA disclosures, **21:26**
 - intertwined portions withheld, **21:33**
 - law enforcement, **21:31**
 - notice to persons, **21:30**
 - procedural issues, **21:36**
 - routine uses, **21:25**
 - unions, **21:34**
- Constitutional claims, **22:74**
- Copying fees, **22:5**
- Correction. Amendment and correction rights, above
- Courts
 - consent exceptions, **20:29**
 - definitions, **20:7, 20:22**
- Coverage
 - agency defenses, **22:61**
 - exemptions, **21:54**
- Criminal action, **22:83**
- Damages
 - generally, **22:77**
 - agency defenses, **22:66**
 - elements of plaintiff's case, **22:57**
- Defendants, identifying, **22:35**
- Defenses, agency's
 - generally, **22:60 et seq.**
 - access rights, **22:13**
 - categorically exempted files, **22:69**
 - causation, **22:71**
 - collateral estoppel, **22:67**
 - constitutional claims, **22:74**

PRIVACY ACT—Cont'd

- Defenses, agency's—Cont'd
 - coverage, lack of, **22:61**
 - damages issues, **22:66**
 - destruction, **22:65**
 - disclosures, types of, **22:64**
 - exempt status, **22:62**
 - exhaustion of remedies, **22:70**
 - judicial deference, **22:68**
 - negligence, **22:63**
 - segregation of disclosable files, **22:72**
 - settlement, **22:73**
- Deference, judicial, **22:68**
- Definitions
 - generally, **20:5 et seq.**
 - agency, **20:5**
 - bankruptcy court, **20:11**
 - Congress, **20:8**
 - consent, **20:47**
 - courts, **20:7, 20:22**
 - disclosure, below
 - government corporations, **20:13**
 - individual, below
 - individual employees, **20:12**
 - law enforcement, **20:43**
 - President's office, **20:6**
 - private sector, **20:9**
 - record, below
 - routine use, below
 - states, **20:10**
- Denial of amendment requests, **22:23**
- Destruction, agency defenses, **22:65**
- Disclosure, defined
 - generally, **20:48**
 - disclosure, applied, **20:50**
 - litigation, **20:52**
 - not a disclosure, **20:49**
 - other public disclosure, **20:51**
- Discovery
 - generally, **22:33**
 - access rights, **22:16**
- Elements of plaintiff's case
 - generally, **22:48 to 22:56**
 - accuracy challenges, **22:52**

INDEX

PRIVACY ACT—Cont'd

Elements of plaintiff's case—Cont'd
adverse determinations, **22:58**

agency, **22:49**

burden of proof, **22:54**

causal effect of agency action,
22:53

damages, **22:57**

exempt status, **22:51**

individual records, **22:50**

Emergency disclosures, consent
exceptions, **21:28**

Enactment, **20:3**

Exceptional cases, **22:46**

Exemptions

generally, **21:38 to 21:58**

aspects not exempted, **21:47**

burden of proving exempt status,
22:62

CIA exemption, **21:40**

coverage, **21:54**

exclusionary effects, **21:46**

history, **21:50**

Homeland Security exemption,
21:41

law enforcement, **21:39, 21:52,**
21:56

legal standard, **21:42**

litigation exemption, **21:58**

narrowing effect, **21:53**

OMB guidance, **21:51**

pre-Act coverage, **21:43**

procedural aspects, **21:57**

reasons for exemption, **21:49**

regulations, **21:55**

remedies, **21:44**

specific exemptions, **21:45 et seq.**
statutory exemptions, **21:48**

Exhaustion of remedies, **22:41, 22:70**

Expungement, **22:20**

Extent of access, **22:6**

Family disclosures, consent excep-
tions, **21:28**

Fees

copying fees, **22:5**

litigation, fee awards, **22:44**

PRIVACY ACT—Cont'd

Fees—Cont'd

search fees, **22:9**

First Amendment rights

generally, **22:84 to 22:87**

definitions, **20:32**

individual records, use of, **21:14**

law enforcement exceptions, **22:85**

remedies, **22:86**

scope of protections, **22:87**

FOIA and Privacy Act

generally, **20:59 to 20:63**

background prior to 1984 amend-
ments, **20:62**

balance of interests, **20:63**

confidential sources, **17:109**

consent exceptions, FOIA
disclosures, **21:26**

law since 1984 amendment, **20:59**

personal privacy exemption, **16:73**

prior law, **20:61**

suing under both acts, **20:60**

Formats of records released, **22:17**

Homeland Security exemption, **21:41**

How access is obtained, **22:3**

Identification of requester, **22:4**

In camera review, **22:43**

Individual, defined

generally, **20:14**

business relationships, **20:19**

citizenship required, **20:15**

criminal defendants and convicts,
20:16

deceased persons, **20:20**

families, **20:17**

unions, **20:18**

Informed consent, direct collection of
information, **21:6**

Injunctive relief, **22:77**

Internal control requirements, **21:37**

Intertwined portions withheld, **21:33**

Jurisdiction, **22:30**

Law enforcement

consent exceptions, **21:31**

definitions, **20:43**

exemptions, **21:39, 21:52, 21:56**

PRIVACY ACT—Cont'd

Law enforcement—Cont'd
 First Amendment rights, law enforcement exceptions, **22:85**

Litigation
 generally, **22:29 to 22:81**
 agency affidavits and evidence, **22:42**
 damages, **22:57**
 defendants, identifying, **22:35**
 defenses, agency's, above discovery, **22:33**
 elements of plaintiff's case, above exceptional cases, **22:46**
 exemption, **21:58**
 exhaustion of remedies, **22:41**
 fee awards, **22:44**
 in camera review, **22:43**
 jurisdiction, **22:30**
 mootness, **22:40**
 other causes of action, **22:47**
 other statutes, effects of, **22:45**
 remedies, below
 res judicata, **22:39**
 standard of review, **22:32**
 standing, **22:38**
 statute of limitations, **22:36**
 summary judgment of dismissal, **22:34**
 timing, **22:35**
 venue, **22:31**
 waiver, **22:37**
 willful violations, **22:59**

Mailing lists, **21:59**

Matching files, **21:19**

Medical records, **22:10**

Mootness, **22:40**

Need to know, **21:15**

Negligence, agency defenses, **22:63**

Non-pecuniary damages, **22:56**

Notice
 appeal rights, notices, **22:12**
 consent exceptions, notice to persons, **21:30**

PRIVACY ACT—Cont'd

Notice—Cont'd
 direct collection of information, **21:6**

OMB guidance
 exemptions, **21:51**
 use of individual records, **21:10**

Opinion and fact distinctions in records content, **22:28**

Organizations, files about, **22:7**

Other causes of action, **22:47**

Other statutes, effects of, **22:45**

Overview, **20:1**

Post-adoption, **20:4**

Process for amendment, **22:19**

Publication
 routine use, publication required, **20:37**
 use of individual records, publication requirement, **21:23**

Rebuttal statements, placing in file, **22:21**

Record, defined
 generally, **20:21**
 about an individual, **20:26**
 agency personnel documents, **20:27**
 business-related records, **20:25**
 courts, **20:22**
 form of records, **20:23**
 non-records, distinguishing, **20:24**

Releases, use of individual records, **21:21**

Remedies
 generally, **22:75 to 22:82**
 alternative claims, **22:78**
 amendment and accounting, **22:81**
 attorneys' fees, **22:80**
 damages, above
 exemptions, **21:44**
 First Amendment rights, **22:86**
 injunctions, **22:77**
 procedure, **22:76**
 unavailable remedies, **22:79**
 use of individual records, **21:17**
 when remedies denied, **22:82**

INDEX

PRIVACY ACT—Cont'd

- Request, **22:8**
- Requester
 - amendment and correction rights, **22:22**
 - proper identification, **22:4**
- Res judicata, **22:39**
- Routine uses
 - generally, **20:36**
 - administrative implementation, **20:41**
 - background, **20:39**
 - compatibility, **20:44**
 - consent exceptions, **20:36**
 - examples, **20:46**
 - internal sharing within agency, **20:42**
 - judicial review of inclusion, **20:38**
 - law enforcement, **20:43**
 - litigation over routine use, **20:40**
 - publication required, **20:37**
 - union claims, **20:45**
- Safeguards, use of individual records, **21:18**
- Search fees, **22:9**
- Segregation of disclosable files, **22:72**
- Settlement, **22:73**
- Social Security numbers
 - generally, **20:54**
 - criteria, **20:55**
 - implementation, **20:57**
 - litigation, **20:58**
 - statutory provisions, **20:56**
- Spoliation, **22:55**
- Standard of review, **22:32**
- Standing, **22:38**
- Statute of limitations, **22:36**
- Statutes permitting withholding, **13:16**
- Summary judgment of dismissal, **22:34**
- System of records, defined
 - generally, **20:28**
 - enforcement of Privacy Act rights, **20:34**

PRIVACY ACT—Cont'd

- System of records, defined—Cont'd
 - excluded systems, **20:31**
 - First Amendment rights protection, **20:32**
 - included systems, **20:30**
 - personnel supervision records, **20:33**
 - possibility of retrieval, **20:35**
 - reasons for inclusion, **20:29**
- Taxes, use of individual records, **21:22**
- Third party objections, **22:15**
- Timely records, **21:13**
- Timing
 - access rights, **22:11**
 - litigation, **22:35**
- Unions
 - amendment and correction rights, effect of union contracts, **22:27**
 - consent exceptions, **21:34**
 - individual, defined, **20:18**
 - routine use, **20:45**
- Use of access, **22:2**
- Use of individual records
 - generally, **21:8 to 21:23**
 - accuracy of records, **21:11**
 - burden of proof, **21:12**
 - completeness, **21:20**
 - First Amendment rights, **21:14**
 - individual records, **21:16**
 - matching files, **21:19**
 - necessity, **21:9**
 - need to know, **21:15**
 - OMB guidance, **21:10**
 - publication requirements, **21:23**
 - releases, **21:21**
 - relevance, **21:9**
 - remedies, **21:17**
 - safeguards, **21:18**
 - taxes, **21:22**
 - timely records, **21:13**
- Venue, **22:31**
- Waiver, **22:37**
- Willful violations, **22:59**

PROTECTIVE ORDERS

Freedom of Information Act, this index

PUBLICITY

Adverse publicity quandary, solutions, **25:2**

Federal agency publicity generally, **25:1**

legal options, **25:3**

FOIA, law enforcement records, **17:51, 17:194**

3FREEDOM OF INFORMATION ACT (FOIA)

Targeting search, **7:24**

RELEASES

Privacy Act, use of individual records, **21:21**

REPLEVIN

Submitted documents, **10:57**

RES JUDICATA

FOIA litigation, **8:9**

Privacy Act, **22:39**

RETROSPECTIVE VIEWS

Freedom of Information Act (FOIA), **2:7**

SANCTIONS

FOIA sanctions against agencies and requesters, **8:147**

SCHOOL RECORDS

Family Educational Rights to Privacy Act (FERPA), **19:10, 19:11**

SEALED RECORDS

Generally, **19:7**

Balancing rights of access, **19:14**

Freedom of Information Act, this index

SEARCHES

Records search. Freedom of Information Act, this index

SECURITIES AND EXCHANGE COMMISSION

FOIA, agency rules, **14:108**

SETTLEMENT

Freedom of Information Act, this index

Privacy Act, **22:73**

SOCIAL SECURITY

Privacy Act, this index

Withholding statutes, **13:11**

SOVEREIGN IMMUNITY

Freedom of Information Act, this index

SPOILIATION

Privacy Act litigation, **22:55**

STANDING

FOIA, private submitter of information litigation, **10:41**

Privacy Act, **22:38**

STATE INFORMATION AND ACCESS LAWS

Generally, **27:1 et seq.**

Access rights and policies

generally, **27:8**

electronic records, **27:12**

persons entitled to access, **27:10**

procedures for access, **27:11**

Commercial submissions, **27:15**

Definitions

public record, **27:3**

required to be kept, **27:4**

Electronic records, access to, **27:12**

Exemptions and exceptions

generally, **27:13**

statutory classes, **27:19**

Internal agency records, **27:20**

Judicial review, access denials, **27:23**

Law enforcement, **17:125, 27:17**

Litigation defenses, **27:14**

McBurney decision, **27:9**

Open meetings laws, **27:22**

Personal privacy, **27:16**

Persons entitled to access, **27:10**

INDEX

STATE INFORMATION AND

ACCESS LAWS—Cont'd

Preemption by federal statutes, **27:24**

Privileges, legal, **27:21**

Procedures for access, **27:11**

Public agency, records of, **27:7**

Special statutes, **27:18**

Statutory classes of exemption, **27:19**

Statutory coverage

broad coverage, **27:5**

records outside, **27:6**

What should requesters do, **27:2**

STATUTE OF LIMITATIONS

Privacy Act, **22:36**

STIPULATIONS

Freedom of Information Act, this

index

SUBPOENAS

Private submitter of information litigation, **10:56**

SUMMARY JUDGMENT

Freedom of Information Act, this
index

Privacy Act, summary judgment of
dismissal, **22:34**

SUNSHINE ACT

Generally, **23:1 et seq.**

Agency

defined, **23:2**

responsibilities, **23:5**

Enforcement litigation, **23:8**

Exemptions from open meetings,
23:6, 23:7

Meeting, defined, **23:3**

Rights created, **23:4**

Special exemptions, **23:7**

State laws, **27:22**

TAXES

Freedom of Information Act, this
index

Privacy Act, use of individual tax
records, **21:22**

THIRD PARTIES

FOIA, third party names in investiga-
tive files, **17:82**

Privacy Act, third party objections,
22:15

TOBACCO REGULATORY FILINGS

Freedom of Information Act (FOIA),
14:115

TRADE SECRETS

Freedom of Information Act, this
index

UNIONS

Freedom of Information Act, this
index

Privacy Act, this index

UNIVERSITY AND COLLEGE RECORDS

Family Educational Rights to Privacy
Act (FERPA), **19:10, 19:11**

VENUE

Privacy Act, **22:31**

VICTIM INFORMATION

Law enforcement records, **17:126**

WAIVER

Freedom of Information Act, this
index

Privacy Act, **22:37**

WORK PRODUCT PRIVILEGE

Agency memoranda exemption.
Freedom of Information Act,
this index