# CHAPTER 1. DEALING WITH AGENCY ORGANIZATIONS

#### I. INTRODUCTION

- § 1:1 General
- § 1:2 Understanding environmental agencies

#### II. STATE AGENCIES

- § 1:3 Louisiana Department of Environmental Quality (LDEQ)
- § 1:4 —Environmental Services
- § 1:5 —Environmental Compliance
- § 1:6 —Office of Environmental Assessment
- § 1:7 —Management and Finance
- § 1:8 —Office of the Secretary
- § 1:9 Louisiana Department of Natural Resources (LDNR)
- § 1:10 —Office of Conservation
- § 1:11 —Office of Coastal Restoration and Management
- § 1:12 Department of Agriculture and Forestry (LDAF)
- § 1:13 —Office of Agriculture and Environmental Services
- § 1:14 —Louisiana Advisory Commission on Pesticides
- § 1:15 —Structural Pest Control Commission
- § 1:16 Department of Public Safety and Corrections
- § 1:17 —Office of State Police
- § 1:18 Department of Health and Hospitals (LDHH)
- § 1:19 —Office of Public Health
- § 1:20 Department of Wildlife and Fisheries (LDWF)
- § 1:21 —Office of Wildlife
- § 1:22 —Office of Fisheries
- § 1:23 Louisiana Oil Spill Coordinator
- § 1:24 State Historic Preservation Officer (SHPO)
- § 1:25 Division of Administration, State Land Office

#### III. FEDERAL AGENCIES

- § 1:26 U.S. Army Corps of Engineers
- § 1:27 U.S. Environmental Protection Agency (U.S. EPA)
- § 1:28 U.S. Department of Transportation (U.S. DOT)
- § 1:29 U.S. Fish and Wildlife Services
- § 1:30 U.S. Coast Guard (U.S.C.G.)

- § 1:31 U.S. Department of Commerce
- § 1:32 —National Marine Fishery Service (NMFS)
- § 1:33 —National Oceanic and Atmospheric Administration (NOAA)
- § 1:34 Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE)

#### IV. DEALING WITH AGENCIES

- § 1:35 Telephonic and electronic contacts
- § 1:36 —LDEQ Telephone Numbers
- § 1:37 ——LDEQ E-mail Addresses
- § 1:38 —LDNR
- § 1:39 —LDAF
- § 1:40 —DPS&C
- § 1:41 Telephonic contacts—LDHH
- § 1:42 Louisiana Oil Spill Coordinator
- § 1:43 U.S. Army Corps of Engineers
- § 1:44 U.S. EPA
- § 1:45 U.S. DOT
- § 1:46 U.S. FWS
- § 1:47 U.S. C.G.
- § 1:48 Agency Information
- § 1:49 Agency Meetings

#### V. FORMS

- § 1:50 LDEQ Information Request Form
- § 1:51 Sample FOIA Request

## CHAPTER 2. AGENCY LAWS AND REGULATIONS

#### I. INTRODUCTION

- § 2:1 General
- § 2:2 Understanding Environmental Terminology

#### II. STATUTES AND REGULATIONS

- § 2:3 Air—Federal
- § 2:4 —Clean Air Act
- § 2:5 —The Louisiana Air Control Law
- § 2:6 ——Louisiana Air Quality Regulations
- § 2:7 ———Air Emission Permits
- § 2:8 Water Discharge and Quality—The Louisiana Water Control Law

#### TABLE OF CONTENTS

§ 2:9	Hazardous and Solid Waste
§ 2:10	—Hazardous Waste Control Law
§ 2:11	—Solid Waste Management and Resource Recovery
	Law
§ 2:12	—Hazardous Waste
§ 2:13	—Solid Waste
§ 2:14	Wetlands
§ 2:15	Remediation—CERCLA
§ 2:16	—RCRA
§ 2:17	—Summary List of Clean-Up Programs
§ 2:18	Endangered Species
§ 2:19	—Louisiana Threatened and Endangered Species
	Conservation Act
§ 2:20	Navigation
$\S 2:21$	Oil Spills
§ 2:22	Spill Plans
§ 2:23	Oil Spills—National Oceanic and Atmospheric
	Administration
§ 2:24	—Oil Spill Prevention and Response Act
$\S 2:25$	Hazardous Material Transportation
§ 2:26	—Shippers
§ 2:27	—Package manufacturers
§ 2:28	—Material Codes
§ 2:29	—Labeling
§ 2:30	—Enforcement
§ 2:31	Underground Storage Tanks
§ 2:32	—Defined
§ 2:33	—Registration
§ 2:34	—Miscellaneous Regulations
$\S 2:35$	—Motor Fuel Underground Tank Trust Fund
§ 2:36	Coastal Wetlands
§ 2:37	—Coastal Zone Management
§ 2:38	—Permits
§ 2:39	—Mitigation
§ 2:40	—Conservation Plan Boundary and Louisiana Coastal
	Zone Boundary
§ 2:41	Oil and Gas Facilities—NOW
§ 2:42	—Statewide Order No. 29-B
§ 2:43	—NORM
§ 2:44	—Abandoned Oilfield Waste Site Law
§ 2:45	Wild and Scenic Rivers—Regulations
§ 2:46	—Permits
$\S 2:47$	Pesticide Use and Registration
§ 2:48	—Structural Pest Control Commission
§ 2:49	Community Right to Know

§ 2:50	—Emergency Planning and Notification
§ 2:51	
§ 2:52	—Toxic Chemical Reporting
§ 2:53	—Louisiana Department of Public Safety and
	Corrections Regulations
§ 2:54	
§ 2:55	S S S S S S S S S S S S S S S S S S S
§ 2:56	e
§ 2:57	
§ 2:58	National Environmental Policy Act (NEPA)
III.	WEBSITES
§ 2:59	U.S. EPA
§ 2:60	U.S. Fish and Wildlife Service
§ 2:61	U.S. Army Corps of Engineers, New Orleans District
§ 2:62	U.S. C.G
§ 2:63	U.S. Department of Transportation
§ 2:64	Louisiana Department of Environmental Quality (LDEQ)
§ 2:65	Louisiana Department of Natural Resources (LDNR)
§ 2:66	Louisiana Department of Wildlife and Fisheries (LDWF)
§ 2:67	Louisiana Department of Agriculture and Forestry (LDAF)
§ 2:68	Louisiana Department of Public Safety and Corrections (DPS&C)
§ 2:69	Louisiana Department of Health and Hospitals (LDHH)
§ 2:70	Material Safety Data Sheets (MSDS)
§ 2:71	Louisiana Rules
IV.	RULEMAKING
6 0 70	C 1
§ 2:72	
§ 2:73	
§ 2:74	•
§ 2:75	•
§ 2:76	· ·
§ 2:77	—Challenge to Rules

### V. FORMS

- § 2:79 Rulemaking Comment Format
- § 2:80 Air Emissions Inventory

§ 2:78 —Declaratory Rulings

- § 2:81 Hazardous Waste Notification§ 2:82 Sample Hazardous Waste Manifest
- § 2:83 Hazardous Waste Annual Report
- § 2:84 Solid Waste Notification
- § 2:85 Solid Waste Industrial Generator Supplemental Forms
- § 2:86 Wetland Determination Request
- § 2:87 Cease and Desist Order Form
- § 2:88 Corps Tolling Agreement
- § 2:89 Sample Needs and Alternatives Analysis
- § 2:90 Corps Wetlands Appeal Sample Objections
- § 2:91 Corps Wetlands Appeal Form
- § 2:92 Revised Coastal Zone Map (2012)
- § 2:93 Tier Two Form
- § 2:94 Asbestos Notice
- § 2:95 Permit Checklist
- § 2:96 Approved Jurisdictional Determination Form

#### CHAPTER 3. ADMINISTRATIVE ENFORCEMENT AND HEARINGS

#### I. LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY (LDEQ)

- § 3:1 LDEQ Enforcement Authority
- § 3:2 Inspections
- § 3:3 —Organizational checklist
- § 3:4 Notices of Violations and Compliance Orders
- § 3:5 Penalties and Supplemental Environmental Projects
- § 3:6 —Factors Considered in Assessing Penalties
- § 3:7 —Regulations for Penalty Assessment
- § 3:8 —Beneficial Environmental Projects
- § 3:9 Requests for Hearings
- § 3:10 Final Enforcement and Permitting Actions
- § 3:11 LDAL Administrative Law Judges
- § 3:12 LDAL Pre-Hearing Conferences
- § 3:13 LDAL Recusal
- § 3:14 LDAL Hearing Procedures
- § 3:15 —Subpoenas
- § 3:16 —Discovery
- § 3:17 —Evidence
- § 3:18 —Pleadings
- § 3:19 —Motions
- § 3:20 —Continuances
- § 3:21 —Briefs and Other Legal Memoranda

§ 3:22 -Records § 3:23 Hearing Procedures—Intervention § 3:24 LDAL Hearing Procedures—Decisions or Orders § 3:25 LDEQ Hearings § 3:26 -Subpoenas § 3:27 —Discovery § 3:28 —Evidence —Pleadings § 3:29 -Motions § 3:30 § 3:31 —Continuances § 3:32 -Briefs and Other Legal Memoranda § 3:33 -Records —Intervention § 3:34 § 3:35 —Pre-hearing Order § 3:36 —Decisions or Orders § 3:37 Defenses § 3:38 —Prescription —Definitions § 3:39 § 3:40 —Lack of Knowledge § 3:41 -Mootness § 3:42 -Abandonment § 3:43 —Factual Dispute § 3:44 —Estoppel § 3:45 —Scope of Authority or Lack of Authority § 3:46 —Vagueness § 3:47 —Interpretation of Statute or Regulation § 3:48 —Penalty Factors -Innocent Landowner § 3:49 § 3:50 —Lack of Jurisdiction § 3:51 -Impossibility § 3:52 Settlement § 3:53 Judicial Review § 3:54 Overfiling

### II. LOUISIANA DEPARTMENT OF AGRICULTURE AND FORESTRY

- § 3:55 Louisiana Administrative Procedure Act
- § 3:56 LDAF Administrative Enforcement
- § 3:57 LDAF Hearing Process

### III. LOUISIANA DEPARTMENT OF PUBLIC SAFETY AND CORRECTIONS (DPS&C)

§ 3:58 LDAF Hearing Process

### IV. LOUISIANA DEPARTMENT OF WILDLIFE AND FISHERIES (LDWF)

§ 3:59 LDWF Enforcement

### V. LOUISIANA DEPARTMENT OF NATURAL RESOURCES

§ 3:60 LDNR Investigations and Adjudicatory Hearings

#### VI. ETHICAL CONSIDERATIONS

- § 3:61 Ethics
- § 3:62 —Ex Parte Communications

#### VII. FORMS

- § 3:63 Sample Compliance Order
- § 3:64 Sample Request for Hearing on LDEQ Compliance
- § 3:65 Sample Conference Report Before ALJ
- § 3:66 Sample Subpoena Material
- § 3:67 LDEQ Settlement
- § 3:68 LDAF Notice Letters
- § 3:69 LDAF Stipulation—Example 1
- § 3:70 —Example 2
- § 3:71 LDAF Proposed Findings and Conclusions of Law
- § 3:72 LDAF Decision
- § 3:73 DPS&C Notice of Violation
- § 3:74 DPS&C Notice of Hearing
- § 3:75 DPS&C Stipulated Settlement
- § 3:76 LDWF Citation and Notice
- § 3:77 LDWF Notice
- § 3:78 LDAL Regulations (La. Admin. Code 1, III. Chapters 1-7)
- § 3:79 LDEQ Dispute Resolution Agreement
- § 3:80 DPS&C Request for Hearing

# CHAPTER 4. REMEDIATION AND COST RECOVERY

#### I. INTRODUCTION

§ 4:1 General

### II. SOLID WASTE: UNAUTHORIZED AND PROMISCUOUS DUMPS

§ 4:2 Notification

- § 4:3 Clean-Up/Closure Procedure and Cost Recovery
- § 4:4 Public Recordation

### III. INACTIVE AND ABANDONED HAZARDOUS SUBSTANCE SITE REMEDIATION

- § 4:5 CERCLA—Federal
- § 4:6 CERCLA—Release
- § 4:7 —Hazardous Substance
- § 4:8 —Facility
- § 4:9 —Response Costs
- § 4:10 —Potentially Responsible Parties ("PRPs")
- § 4:11 —Nature of CERCLA Liability
- § 4:12 Brownfields
- § 4:13 Hazardous Substance Remedial Action—State
- § 4:14 Responsible Parties
- § 4:15 Other Terms
- § 4:16 —Discharge or Disposal
- § 4:17 —Pollution Source or Facility
- § 4:18 —Hazardous Substance
- § 4:19 —Owner or Operator
- § 4:20 Clean-Up Procedures
- § 4:21 LDEQ as Lead Agency and Liens
- § 4:22 Private Party Lead
- § 4:23 Hazardous Waste Sites
- § 4:24 —Abandoned Sites
- § 4:25 Cooperative Agreements
- § 4:26 Lenders and Fiduciaries

#### IV. UNDERGROUND STORAGE TANKS

- § 4:27 Notification
- § 4:28 —Closure/Change-in-Service
- § 4:29 —Release Detection
- § 4:30 Corrective Action
- § 4:31 Liable Owners and Operators
- § 4:32 Trust Fund Reimbursement and Cost Recovery

#### V. VOLUNTARY REMEDIATION PROGRAM

- § 4:33 Benefits
- § 4:34 Procedures
- § 4:35 Voluntary Clean-up Agreements

#### VI. RECAP

§ 4:36 General

- § 4:37 Screening Levels§ 4:38 Action Levels§ 4:39 Management Options
- § 4:40 —Management Option 1
- § 4:41 —Management Option 2
- § 4:42 —Management Option 3
- § 4:43 Restrictions in Use
- § 4:44 Relationship to Other Programs

#### VII. OILFIELD AND RELATED PROPERTIES

- § 4:45 Procedures for Abandoned Sites
- § 4:46 Plugging and Abandonment
- § 4:47 Naturally Occurring Radioactive Material (NORM)
- § 4:48 Funding and Cost Recovery

#### VIII. LEAD

- § 4:49 Licensing
- § 4:50 Abatement and Cost Recovery

#### IX. ASBESTOS IN BUILDINGS

- § 4:51 Removal
- § 4:52 Cost Recovery

#### X. WASTE TIRES

§ 4:53 Waste Tire Program

#### XI. MISCELLANEOUS

- § 4:54 Toxic Substances Control Act (TSCA)
- § 4:55 Resource Conservation and Recovery Act (RCRA)
- § 4:56 Oil Pollution Act (OPA)
- § 4:57 Groundwater
- § 4:58 Mercury

#### XII. CIVIL LAW DAMAGES

§ 4:59 General

#### XIII. FORMS

- § 4:60 Public Recordation
- § 4:61 Attachment to Public Recordation
- § 4:62 LDEQ Information Request Combined with a Demand
- § 4:63 Cooperative Agreement

#### LOUISIANA ENVIRONMENTAL COMPLIANCE

§ 4:64	Voluntary Clean-Up Agreement
§ 4:65	Notification of Intent to Perform a UST
	Closure/Change-in-Service
§ 4:66	UST Closure/Assessment Form
§ 4:67	UST Reimbursement Form
§ 4:68	LDEQ Demand Letter Under La Rev Stat Ann
	§ 30:2275(A)
§ 4:69	Private Demand
§ 4:70	RECAP Submittal Summary (Form 1)
§ 4:71	Private Clean-Up Agreement
§ 4:72	Partial Remediation Agreement
§ 4:73	VRP Application
§ 4:74	Conveyance Notification
§ 4:75	Cooperative Agreement with LDNR

### **CHAPTER 5. PERMITS**

### I. INTRODUCTION

§ 5:1 General

### II. AIR

§ 5:2	Pre-Construction Review
§ 5:3	Minor Source Permits
§ 5:4	General and Temporary Permits—In General
§ 5:5	—Temporary and Mobile
§ 5:6	Major Source and Part 70 Source Permits
§ 5:7	Operating Permits
§ 5:8	—Exemptions and Insignificant Activities
§ 5:9	—New Source Performance Standards
§ 5:10	—Hazardous Air Pollutants (HAPs)
§ 5:11	—Toxic Air Pollutants
§ 5:12	—Prevention of Significant Deterioration (PSD)
§ 5:13	—Non-Attainment
§ 5:14	—Acid Rain
§ 5:15	—Application Shield
§ 5:16	—Permit Shield
§ 5:17	—Judicial Review

### III. WATER

§ 5:18	General Permits
§ 5:19	<b>Industrial Permits</b>
§ 5:20	Municipal Permits
§ 5:21	Point Sources

§ 5:22	—Animal Feeding Operations
§ 5:23	—Aquatic Animal Production
$\S 5:24$	—Aquaculture
§ 5:25	—Silviculture
§ 5:26	—Oil and Gas Exploration and Production, Etc
§ 5:27	Storm Water Permits
§ 5:28	Storm Water Discharges Associated with Industrial Activity
§ 5:29	Storm Water Discharges Associated with Construction Activity
§ 5:30	Water Quality Certification
§ 5:31	Judicial Review

#### IV. COASTAL USE PERMITS

- § 5:32 Application Procedures
- § 5:33 Exemptions
- § 5:34 General Permits
- § 5:35 Parish Programs
- § 5:36 Judicial Review

#### V. 404 PERMITS

- § 5:37 Applications
- § 5:38 Public Notice
- § 5:39 404(b)(1) Guidelines
- § 5:40 Public Interest Review
- § 5:41 Endangered Species Consultation
- § 5:42 National Historic Preservation Coordination
- § 5:43 Coastal Zone Coordination
- § 5:44 Decision Document
- § 5:45 Permit Mitigation
- § 5:46 Appeals
- § 5:47 General Permits
- § 5:48 Nationwide Permits
- § 5:49 Judicial Review

#### VI. WILD AND SCENIC RIVER PERMITS

- § 5:50 Permit Process
- § 5:51 Judicial Review

#### VII. REGISTRATION OF USTS

§ 5:52 Registration Process

#### VIII. ENDANGERED SPECIES

§ 5:53 Incidental Use Permit

#### IX. RADIATION AND NORM

§ 5:54 Licensing

#### X. GROUNDWATER CERTIFICATION

§ 5:55 General

#### XI. PUBLIC TRUST

§ 5:56 IT Analysis

#### XII. FORMS

§ 5:57	Supplement to Permit Application
§ 5:58	Title V Application
§ 5:59	LPDES Application
§ 5:60	DMR
§ 5:61	Storm Water Notice of Intent
§ 5:62	Permit application for (CSW-G) Stormwater discharges associated with construction activity 5 acres or greater
§ 5:63	Sample Water Quality Certificate
§ 5:64	Coastal Use Permit/404 Application
§ 5:65	Coastal Use Permit/404—Application Checklist
§ 5:66	Public Notice
§ 5:67	Corps Sample Decision Document
§ 5:68	Wetland Checklist
§ 5:69	Wild and Scenic River Application
§ 5:70	UST Registration
§ 5:71	Incidental Taking Permit Application
§ 5:72	LDEQ Groundwater Advisory (2007)
§ 5:73	LDEQ IT Outline
§ 5:74	LDEQ Sample Decision Document
§ 5:75	Permit Transfer Checklist
§ 5:76	Annual Compliance Certification Forms

#### CHAPTER 6. WASTE MANAGEMENT

§ 5:77 LDEQ Notification of Change Form

#### I. INTRODUCTION

§ 6:1 General

### II. LARGE QUANTITY HAZARDOUS WASTE GENERATORS

§ 6:2 Waste Classification

§ 6:3	—Generator
§ 6:4	—Determinations
§ 6:5	Listed Waste
§ 6:6	Characteristic Waste
§ 6:7	Land Bans
§ 6:8	Exemptions
§ 6:9	Mixture Rule
§ 6:10	Derived From Rule
§ 6:11	Contained In Policy
§ 6:12	Exit Rules
§ 6:13	Contained in Determination
§ 6:14	Storage—Temporary On-Site Storage
§ 6:15	—Satellite Storage
§ 6:16	Transportation
§ 6:17	Records
§ 6:18	Contingency Plans
§ 6:19	Preparedness and Prevention
§ 6:20	Taxes and Fees
§ 6:21	Waste Minimization
§ 6:22	Universal Waste
§ 6:23	Training
§ 6:24	Recycling

### III. SMALL QUANTITY HAZARDOUS WASTE GENERATORS

§ 6:26 Duties

 $\S 6:25$  Used Oil

§ 6:27 PFAS-containing materials

#### IV. TRANSPORTERS

§ 6:28 Duties

#### V. TSDFS

- § 6:29 Duties
- § 6:30 Corrective Actions

#### VI. SOLID WASTE

- § 6:31 Generator and Facility Duties
- § 6:32 Recycling Tax Credits

#### VII. FORMS

§ 6:33 Sample Land Ban Notice Form

- § 6:34 Solid Waste Annual Report Form
- § 6:35 U.S. DOT Registration
- § 6:36 Checklist
- § 6:37 TSDF Checklist
- § 6:38 Revised Manifest
- § 6:39 Annual Solid Waste Certification of Compliance

# CHAPTER 7. SPILL/RELEASE REPORTING REQUIREMENTS

#### I. INTRODUCTION

§ 7:1 The Nature of Reporting Duties

#### II. FEDERAL

- § 7:2 CERCLA
- § 7:3 —Releases
- § 7:4 —RQs
- § 7:5 —Federally Permitted Releases
- § 7:6 —Contents of Notification
- § 7:7 —Continuous Releases
- § 7:8 —Mixtures
- § 7:9 —Past Releases
- § 7:10 —Exemptions
- § 7:11 —Sanctions
- § 7:12 Emergency Planning and Community Right-to-Know Act
- § 7:13 —Planning Requirements
- § 7:14 —Off-Site Releases
- § 7:15 —SDS
- § 7:16 —Inventories
- § 7:17 —Toxic Releases
- § 7:18 —Sanctions
- § 7:19 CWA/OPA
- § 7:20 —Oil
- § 7:21 —Hazardous Substances
- § 7:22 —Wetlands
- § 7:23 —Sanctions
- § 7:24 Toxic Substances Control Act (TSCA)
- § 7:25 —PCBs
- § 7:26 —Section 8(e) of TSCA
- § 7:27 —Emergencies
- § 7:28 —Sanctions
- § 7:29 RCRA

- § 7:30 Hazardous Materials Transportation Uniform Safety Act (HMTUSA)
- § 7:31 Permits

#### III. STATE

- § 7:32 Emergencies and RQs—Unauthorized discharges under LDEQ regulations
- § 7:33 Air—Reporting Requirements
- § 7:34 —Discharge Reporting Requirements
- § 7:35 NORM
- § 7:36 Surface Water
- § 7:37 Hazardous Waste
- § 7:38 Solid Waste
- § 7:39 Groundwater
- § 7:40 USTs
- § 7:41 Oil
- § 7:42 Right to Know
- § 7:43 —MSDS
- § 7:44 —Release Reporting
- § 7:45 —Inventory Reporting
- § 7:46 —Transportation Incidents
- § 7:47 Hazardous Material
- § 7:48 Permits
- § 7:49 E & P Waste
- § 7:50 Sanctions

#### IV. ETHICAL CONSIDERATIONS

§ 7:51 Reporting Issues

#### V. FORMS

- § 7:52 DOT Hazardous Material Incident Report Form and Instructions
- § 7:53 LDEQ Incident Report Form
- § 7:54 Sample Written Report
- § 7:55 Uniform Hazardous Materials Reporting Form
- § 7:56 DEQ Single Point of Contract Procedure
- § 7:57 Reporting checklist

# CHAPTER 8. THE PUBLIC AND THE ENVIRONMENT

#### I. INTRODUCTION

§ 8:1 "Private Attorney Generals" and Beyond

#### II. ROLES

- § 8:2 Dealing with Agencies
- § 8:3 Rulemaking
- § 8:4 Permits
- § 8:5 Violations

#### III. CITIZEN SUITS

- § 8:6 General
- § 8:7 Standing
- § 8:8 Pre-suit Notice of Violations
- § 8:9 Ongoing Violations
- § 8:10 Remedies
- § 8:11 —Penalties
- § 8:12 —Injunctive Relief
- § 8:13 —Attorney Fees and Costs
- § 8:14 Defenses

### IV. INTERFACE BETWEEN THE REGULATED COMMUNITY AND THE REST OF THE PUBLIC

- § 8:15 General
- § 8:16 Urban Sprawl
- § 8:17 Environmental Justice
- § 8:18 Crisis Management
- § 8:19 Dealing with the Threat of Toxic Torts

#### V. FORMS

- § 8:20 Sample Affidavit of Standing
- § 8:21 Citizen Suit Notice
- § 8:22 Public Involvement Plan

#### CHAPTER 9. COMPLIANCE

#### I. INTRODUCTION

§ 9:1 Compliance Obligations

#### II. OPERATIONS

- § 9:2 Operational Due Diligence
- § 9:3 —Compliance Audits
- § 9:4 —ASTM Guidance
- § 9:5 ——Responsibilities
- § 9:6 ——Stages

#### TABLE OF CONTENTS

\$ 9:7 ——Follow-Up \$ 9:8 —Questionnaire \$ 9:9 —EPA Audit Guidelines \$ 9:10 ——Audit Privileges \$ 9:11 —Environmental Management Systems  III. TRANSACTIONS  \$ 9:12 Types of Transactional Due Diligence \$ 9:13 —Stock Sale \$ 9:14 —Asset Acquisition \$ 9:15 —Merger \$ 9:16 —Share Exchange \$ 9:17 —Leases or Purchases \$ 9:18 —Varying Due Diligence \$ 9:19 —ASTM Phase I \$ 9:20 —ASTM Phase I Revisions (2000–2013) \$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:32 — Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 — —Releases \$ 9:36 — Releases \$ 9:36 — Releases
\$ 9:9 —EPA Audit Guidelines \$ 9:10 —Audit Privileges \$ 9:11 —Environmental Management Systems  III. TRANSACTIONS  \$ 9:12 Types of Transactional Due Diligence \$ 9:13 —Stock Sale \$ 9:14 —Asset Acquisition \$ 9:15 —Merger \$ 9:16 —Share Exchange \$ 9:17 —Leases or Purchases \$ 9:18 —Varying Due Diligence \$ 9:19 —ASTM Phase I \$ 9:20 —ASTM Phase I Revisions (2000–2013) \$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:31 —Conditions \$ 9:32 —Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 — "As Is" Clauses \$ 9:36 —Releases
\$ 9:10 ——Audit Privileges \$ 9:11 —Environmental Management Systems  III. TRANSACTIONS \$ 9:12 Types of Transactional Due Diligence \$ 9:13 —Stock Sale \$ 9:14 —Asset Acquisition \$ 9:15 —Merger \$ 9:16 —Share Exchange \$ 9:17 —Leases or Purchases \$ 9:18 —Varying Due Diligence \$ 9:19 —ASTM Phase I \$ 9:20 —ASTM Phase I Revisions (2000–2013) \$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:31 —Conditions \$ 9:32 —Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 ——"As Is" Clauses \$ 9:36 —Releases
\$ 9:11 —Environmental Management Systems  III. TRANSACTIONS  \$ 9:12 Types of Transactional Due Diligence \$ 9:13 —Stock Sale \$ 9:14 —Asset Acquisition \$ 9:15 —Merger \$ 9:16 —Share Exchange \$ 9:17 —Leases or Purchases \$ 9:18 —Varying Due Diligence \$ 9:19 —ASTM Phase I \$ 9:20 —ASTM Phase I Revisions (2000–2013) \$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:31 —Conditions \$ 9:32 —Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 — "As Is" Clauses \$ 9:36 —Releases
\$ 9:12 Types of Transactional Due Diligence \$ 9:13 —Stock Sale \$ 9:14 —Asset Acquisition \$ 9:15 —Merger \$ 9:16 —Share Exchange \$ 9:17 —Leases or Purchases \$ 9:18 —Varying Due Diligence \$ 9:19 —ASTM Phase I \$ 9:20 —ASTM Phase I Revisions (2000–2013) \$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:31 —Conditions \$ 9:32 — Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 — "As Is" Clauses \$ 9:36 —Releases
\$ 9:13 —Stock Sale \$ 9:14 —Asset Acquisition \$ 9:15 —Merger \$ 9:16 —Share Exchange \$ 9:17 —Leases or Purchases \$ 9:18 —Varying Due Diligence \$ 9:19 —ASTM Phase I \$ 9:20 —ASTM Phase I Revisions (2000–2013) \$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:32 —Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 — "As Is" Clauses \$ 9:36 —Releases
<ul> <li>§ 9:13 —Stock Sale</li> <li>§ 9:14 —Asset Acquisition</li> <li>§ 9:15 —Merger</li> <li>§ 9:16 —Share Exchange</li> <li>§ 9:17 —Leases or Purchases</li> <li>§ 9:18 —Varying Due Diligence</li> <li>§ 9:19 —ASTM Phase I</li> <li>§ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>§ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:15 —Merger</li> <li>§ 9:16 —Share Exchange</li> <li>§ 9:17 —Leases or Purchases</li> <li>§ 9:18 —Varying Due Diligence</li> <li>§ 9:19 —ASTM Phase I</li> <li>§ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>§ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 —Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:16 —Share Exchange</li> <li>§ 9:17 —Leases or Purchases</li> <li>§ 9:18 —Varying Due Diligence</li> <li>§ 9:19 —ASTM Phase I</li> <li>§ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>§ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:17 —Leases or Purchases</li> <li>§ 9:18 —Varying Due Diligence</li> <li>§ 9:19 —ASTM Phase I</li> <li>§ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>§ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:18 —Varying Due Diligence</li> <li>§ 9:19 —ASTM Phase I</li> <li>§ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>§ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:19 —ASTM Phase I</li> <li>§ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>§ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>\$ 9:20 —ASTM Phase I Revisions (2000–2013)</li> <li>\$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.)</li> <li>\$ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>\$ 9:23 —ASTM Phase II</li> <li>\$ 9:24 —Phase III</li> <li>\$ 9:25 Wetland Issues</li> <li>\$ 9:26 Lead Issues</li> <li>\$ 9:27 Asbestos and Indoor Air Issues</li> <li>\$ 9:28 Terms and Conditions—General</li> <li>\$ 9:29 —Preliminary Requirements</li> <li>\$ 9:30 —Definitions</li> <li>\$ 9:31 —Conditions</li> <li>\$ 9:32 — Termination</li> <li>\$ 9:33 —Representations and Warranties</li> <li>\$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>\$ 9:35 — "As Is" Clauses</li> <li>\$ 9:36 — Releases</li> </ul>
\$ 9:21 All Appropriate Inquiry (U.S. EPA and U.S.C.G.) \$ 9:22 Types of Transactional Due Diligence—Transactional Screens \$ 9:23 —ASTM Phase II \$ 9:24 —Phase III \$ 9:25 Wetland Issues \$ 9:26 Lead Issues \$ 9:27 Asbestos and Indoor Air Issues \$ 9:28 Terms and Conditions—General \$ 9:29 —Preliminary Requirements \$ 9:30 —Definitions \$ 9:31 —Conditions \$ 9:31 —Conditions \$ 9:32 — Termination \$ 9:33 —Representations and Warranties \$ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor \$ 9:35 — "As Is" Clauses \$ 9:36 — Releases
<ul> <li>§ 9:22 Types of Transactional Due Diligence—Transactional Screens</li> <li>§ 9:23 —ASTM Phase II</li> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
Screens  § 9:23 —ASTM Phase II  § 9:24 —Phase III  § 9:25 Wetland Issues  § 9:26 Lead Issues  § 9:27 Asbestos and Indoor Air Issues  § 9:28 Terms and Conditions—General  § 9:29 —Preliminary Requirements  § 9:30 —Definitions  § 9:31 —Conditions  § 9:31 —Conditions  § 9:32 — Termination  § 9:33 —Representations and Warranties  § 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor  § 9:35 — "As Is" Clauses  § 9:36 — Releases
<ul> <li>§ 9:24 —Phase III</li> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:32 — Termination and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:25 Wetland Issues</li> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:26 Lead Issues</li> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:27 Asbestos and Indoor Air Issues</li> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:28 Terms and Conditions—General</li> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:29 —Preliminary Requirements</li> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:30 —Definitions</li> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:31 —Conditions</li> <li>§ 9:32 — Termination</li> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:32 — Termination</li> <li>§ 9:33 — Representations and Warranties</li> <li>§ 9:34 — Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
<ul> <li>§ 9:33 —Representations and Warranties</li> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — —Releases</li> </ul>
<ul> <li>§ 9:34 —Exculpatory and Inculpatory Clauses—Caveat Emptor</li> <li>§ 9:35 — "As Is" Clauses</li> <li>§ 9:36 — Releases</li> </ul>
Emptor § 9:35 — —"As Is" Clauses § 9:36 — —Releases
§ 9:36 ——Releases
8 0.27 Pigly Allogation and Indomestics
§ 9:37 —Risk Allocation and Indemnities
§ 9:38 ——Old Leases
§ 9:39 ———Compliance with Law Clause
§ 9:40 ———Good Order and Repair Clause
§ 9:41 ———Surrender in Good Condition Clause
§ 9:42 ———Miscellaneous
§ 9:43 Insurance
§ 9:44 Permit Transfers
§ 9:45 Plant Closing
§ 9:46 Financial Disclosure Requirements

§ 9:47	Ethical Considerations—Confidentiality Obligations in Transactions
§ 9:48	—Conflicts in Business Transactions
§ 9:49	—Litigating Matters From Same Firm's Transactions
8 0.40	—Lingaing Matters From Same Firm's Transactions
<b>IV.</b> ]	LIABILITY
§ 9:50	Personal and Imputed Liabilities
§ 9:51	—Responsible Corporate Officers
§ 9:52	—Shareholders
§ 9:53	—Lenders
§ 9:54	—Trustees
§ 9:55	—Innocent Landowners
§ 9:56	—Lessees and Easement Holders
§ 9:57	—Brokers and Agents
§ 9:58	—Consultants
§ 9:59	—Parent and Subsidiary Corporations and Corporate Officers
§ 9:60	—Successors
§ 9:61	Internal Company Investigations
§ 9:62	—Ethical Considerations
§ 9:63	Conclusions
v. F	ORMS
§ 9:64	Purchaser's Checklist
§ 9:65	Landlord/Tenant Checklist
§ 9:66	Industrial Site Checklist
§ 9:67	Bank Checklist
§ 9:68	Compliance Program Checklist
§ 9:69	Lease Extract
§ 9:70	Sample Definitions, Representations and Warranties
0.71	and Indemnities
§ 9:71	Waiver of Warranty
§ 9:72	Sample Lender Due Diligence/Indemnity Agreement
§ 9:73	Permit Transfer Agreement
§ 9:74	Facility Shutdown Checklist
§ 9:75	Sample Site Access Agreement
§ 9:76	Joint Defense Agreement
§ 9:77	AAI Checklist
§ 9:78	LEED "Green Building" Process Outline
Tabla	of Laws and Rules

**Table of Cases** 

Index