

Supplement Index

ABATEMENT OF ACTION

Death of defendant, § 10:46

ABSTENTION

Pending state court proceedings,
abstention in favor of,
§ 10:20

AFFIRMATIVE DEFENSES

Jurisdiction, § 10:41

AGENTS

Retaliation claims, § 5:5

ALASKA

Medical assistance false claims,
§ 12:2

AMENDING COMPLAINT

Pleadings, this index
Qui Tam Actions, this index

ANTI-MONEY LAUNDERING ACT OF 2020

Whistleblower program, § 2:32

APPEALS

Attorney's fees, § 9:25
Qui Tam Actions, this index

ARBITRATION

Concurrent jurisdiction, § 10:16
Retaliation Claims, this index

ARKANSAS

Medicaid fraud, § 12:3

ATTORNEY-CLIENT PRIVILEGE AND WORK PRODUCT DOCTRINE

Generally, § 10:90 to 10:96
Crime-fraud exception, § 10:94

ATTORNEY-CLIENT

PRIVILEGE AND WORK PRODUCT DOCTRINE

—Cont'd

Current and former employees,
§ 10:92
Government witness interviews,
§ 10:93
Internal investigations,
defendant's, § 10:91
Relators, documents submitted by,
§ 10:96
Voluntary disclosure programs,
§ 10:95

ATTORNEY'S FEES

Generally, § 9:1
ABA Model Rules, reasonable fee,
§ 9:22
Appeals, § 9:25
Apportionment among multiple
defendants, § 9:23
Billing judgment, lack of, § 9:21
Clearly vexatious or frivolous,
fees for defendant, § 9:32,
9:33
Collection proceedings, § 9:27
Defendant, fees for
generally, § 9:30 to 9:35
government, fees from, § 9:35
relator, fees from
generally, § 9:31 to 9:34
clearly frivolous, § 9:33
clearly vexatious, § 9:32
harassment, brought primar-
ily for, § 9:34
Documentation, inadequate,
§ 9:20

ATTORNEY’S FEES—Cont’d

Downward adjustment. Lodestar approach, below
 Duplication of effort, § 9:19
 Fee petitions, fees for, § 9:26
 Florida statute, § 12:25
 Frivolous or vexatious, fees for defendant, § 9:32, 9:33
 Harassment, brought primarily for, fees for defendant, § 9:34
 Lodestar approach to calculating fees for relator
 generally, § 9:7 to 9:21
 billing judgment, lack of, § 9:21
 downward adjustment
 generally, § 9:17 to 9:21
 billing judgment, lack of, § 9:21
 duplication of effort, § 9:19
 inadequate documentation, § 9:20
 partial success, § 9:18
 duplication of effort, § 9:19
 inadequate documentation, § 9:20
 media time, § 9:15
 number of hours worked
 generally, § 9:11 to 9:21
 media time, § 9:15
 paralegal time, § 9:13
 related matters, § 9:12
 travel time, § 9:14
 upward adjustment, § 9:16
 paralegal time, § 9:13
 partial success, § 9:18
 reasonableness of rates
 generally, § 9:8
 current or historic rates, § 9:10
 relevant market, § 9:9
 travel time, § 9:14
 upward adjustment, § 9:16
 Media time, § 9:15
 Multiple defendants, apportionment among, § 9:23

ATTORNEY’S FEES—Cont’d

Number of hours worked.
 Lodestar approach, above
 Paralegal time, § 9:13
 Partial success, § 9:18
 Post-judgment proceedings
 generally, § 9:24 to 9:29
 appeals, § 9:25
 collection proceedings, § 9:27
 fee petitions, fees for, § 9:26
 relator’s share litigation, § 9:28
 time for filing, § 9:29
 Reasonable fee under ABA Model Rules, § 9:22
 Reasonableness of rates. Lodestar approach, above
 Relator, fees for
 generally, § 8:23, 9:2 to 9:29
 government, fee award against, § 9:6
 lodestar approach to calculation, above
 multi-relator cases, § 9:4
 number of hours worked.
 Lodestar approach, above
 party, fee award belongs to, § 9:5
 reasonableness of rates.
 Lodestar approach, above
 relator’s share litigation, § 9:28
 successful relator
 generally, § 9:3
 multi-relator cases, § 9:4
 Retaliation claims, § 9:36
 Taxing attorney fee awards, § 6:37
 Travel time, § 9:14
 Upward adjustment, § 9:16
 Vexatious or frivolous, fees for defendant, § 9:32, 9:33

BACK PAY

Damages for harm to private individuals, § 6:40

INDEX

BANKRUPTCY

- Concurrent jurisdiction. Jurisdiction, this index
- Discharging debt in bankruptcy, § 6:38
- Qui tam actions
 - allegations publicly disclosed in bankruptcy proceedings, § 11:48
 - debtor in bankruptcy as potential relator, § 11:8
 - related bankruptcy proceedings, § 11:99

BUDGETING PROCESS

- Generally, § 1:9

BURDEN OF PROOF

- Civil penalties, § 7:17
- Damages for harm to government, § 6:30
- Trials, § 10:111

CALIFORNIA FALSE CLAIMS ACT

- Generally, § 12:4 to 12:19
- First to file, § 12:11
- Knowledge, § 12:7
- Liability
 - generally, § 12:5
 - knowledge, § 12:7
 - potential defendants, § 12:6
- Pleading, § 12:14
- Potential defendants, § 12:6
- Privileges, § 12:15
- Procedure, § 12:8 et seq.
- Public disclosure, § 12:12
- Relators, § 12:9
- Retaliation
 - generally, § 12:17
 - exhaustion of remedies, § 12:18
 - procedure, § 12:18
 - remedies, § 12:19
- Settlement and dismissal, § 12:16
- Statute of limitations, § 12:13

CALIFORNIA FALSE CLAIMS ACT—Cont'd

- Subject matter jurisdiction
 - generally, § 12:10 to 12:13
 - first to file, § 12:11
 - public disclosure, § 12:12
 - statute of limitations, § 12:13

CFTC

- Whistleblower program, § 2:28

CHICAGO

- Local government laws, § 12:66

CIVIL INVESTIGATIVE DEMANDS

- Discovery, this index

CIVIL PENALTIES

- Generally, § 7:1 to 7:17
- Amount, determination of, § 7:5
- Assessment of penalties
 - generally, § 7:2 to 7:17
 - amount, determination of, § 7:5
 - Federal Civil Adjustment Act, increases under, § 7:4
 - general rule, § 7:2
 - level of penalties, below
 - mandatory nature of penalties, § 7:3
 - number of penalties assessed, below
- Burden of proof, § 7:17
- Constitutional challenges
 - generally, § 7:18 to 7:21
 - double jeopardy, § 7:19
 - due process, § 7:21
 - excessive fines, § 7:20
- Double jeopardy challenges, § 7:19
- Due process challenges, § 7:21
- Excessive fines, constitutional challenges, § 7:20
- False statements or records, § 7:15
- Federal Civil Adjustment Act, increases under, § 7:4

CIVIL PENALTIES—Cont'd

- Higher penalty, § 7:8
- Level of penalties
 - generally, § 7:6 to 7:10
 - higher penalty, § 7:8
 - lower penalty, § 7:7
 - middle range penalty, § 7:9
 - other approaches, § 7:10
- Lower penalty, § 7:7
- Mandatory nature of penalties, § 7:3
- Middle range penalty, § 7:9
- Multiple claims, submission at same time, § 7:14
- Multiple penalties, § 7:12
- Number of penalties assessed
 - generally, § 7:11 to 7:16
 - conduct of defendant as determinative, § 7:13
 - false statements or records, § 7:15
 - multiple claims, submission at same time, § 7:14
 - multiple penalties authorized by act, § 7:12
 - reducing number of penalties, § 7:16
- Reducing number of penalties, § 7:16
- Submission of multiple claims at same time, § 7:14

CLAIM REQUIREMENT

- Liability to Government, this index

COLLATERAL ESTOPPEL

- Generally, § 10:47
- Qui Tam Actions, this index
- Retaliation claims, § 5:43

COLLECTION OF JUDGMENT

- Generally, § 10:120 to 10:123
- Attorney's fees, collection proceedings, § 9:27

COLLECTION OF JUDGMENT

—Cont'd

- Dischargeability of judgment, § 10:122
- Federal Debt Collection Procedure Act, § 10:121
- Insurance, § 10:123

COLORADO

- Medicaid false claims, § 12:20

CONCURRENT JURISDICTION

- Jurisdiction, this index

CONNECTICUT

- False claims for medical assistance programs, § 12:21

CONSPIRACY

- Liability to Government, this index
- Pleadings, conspiracy allegations, § 10:68

CONSTITUTIONAL LAW

- Challenges to penalties. Civil Penalties, this index
- Due Process, this index
- False Claims Act, this index
- Qui tam actions, constitutional issues, § 11:108
- Retroactivity, constitutionality, § 10:50

CONSTRUCTION WITH OTHER LAWS

- Generally, § 10:21 to 10:25
- Election of remedies, § 10:23
- Implied repeals of federal law, § 10:22
- Preemption of state or local law, § 10:24, 10:25

CONTRACTORS

- Retaliation claims, § 5:5

CONTRACTS

- Contract clauses, supplemental jurisdiction, § 10:29

INDEX

CONTRACTS—Cont'd

- Contract Disputes Act as bar to government common law claims, § **10:28**
- Defense costs, allowance under government contracts, § **6:35**
- Fraud to obtain contract, § **4:51**

CONTRIBUTION

- Liability to government, § **4:98**

CORPORATIONS

- Defendants (potential)
 - generally, § **4:89 to 4:93**
 - employee acts, liability for, § **4:90**
 - personal liability of officers and employees, § **4:91**
 - subsidiary, liability of parent, § **4:92**
 - successor liability, § **4:93**
- Integrity agreements, § **10:119**
- Intra-corporate conspiracies to violate act, § **4:12**
- Retaliation Claims, this index
- Subsidiary, liability of parent
 - generally, § **4:92**
 - retaliation claims, § **5:8**

COSTS

- Generally, § **9:1, 9:37 to 9:41**
- Defendant, award to
 - government, costs taxed against, § **9:39**
 - relator, costs taxed against, § **9:40**
- Defense costs, allowance under government contracts, § **6:35**
- Each party bears own costs, § **9:41**
- Government and relator, award to, § **9:38**
- Investigative costs, § **6:26**

COUNTERCLAIMS

- Qui tam actions, counterclaims against relator
 - generally, § **11:100**

COUNTERCLAIMS—Cont'd

- Qui tam actions, counterclaims against relator—Cont'd
 - Defend Trade Secrets Act, § **11:101**
- Supplemental jurisdiction, defendant counterclaims, § **10:30**

COURT OF CLAIMS

- Exclusive jurisdiction, § **10:4**

CREDITS OR OFFSETS

- Damages for harm to government, § **6:27**

CRIMINAL PROCEEDINGS

- Concurrent jurisdiction, § **10:7**
- Qui tam, criminal proceedings as alternate remedy, § **11:89**

CUSTOMS DUTIES

- Reverse false claims, § **4:20**

DAMAGES FOR HARM TO GOVERNMENT

- Generally, § **6:1, 6:2**
- Additional elements of damages, § **6:24**
- Attorney fee awards, taxing, § **6:37**
- Burden of proof, § **6:30**
- Causation, reliance, and consequential damages, § **6:5 to 6:7**
- Collusive bidding, § **6:11**
- Consequential damages, § **6:6**
- Cost and pricing defects, § **6:12**
- Credits or offsets, § **6:27**
- Deductibility of judgments for defendants, § **6:34**
- Defense costs, allowance under government contracts, § **6:35**
- Discharging debt in bankruptcy, § **6:38**
- Duplicative recovery, § **6:23**
- False representations, compliance with contractual or regulatory

**DAMAGES FOR HARM TO
GOVERNMENT—Cont'd**

requirements, § 6:20
General rule, § 6:4
Improper charges, § 6:9
Ineligibility for goods or services,
§ 6:16
Interest, pre-judgment, § 6:25
Investigative costs, § 6:26
Jury, role of, § 6:32
Kickbacks, § 6:13
Loans
 government loans, § 6:18
 loan guarantees, § 6:19
Measuring damages, § 6:3
Negotiations. Procurement or
 negotiation improprieties,
 below
Offsets or credits, § 6:27
Particular types of cases, § 6:8 to
 6:21
Pre-judgment interest, § 6:25
Pricing defects, § 6:12
Procurement or negotiation
 improprieties
 generally, § 6:10 to 6:13
 collusive bidding, § 6:11
 cost and pricing defects, § 6:12
 kickbacks, § 6:13
Product/service substitution,
 § 6:14
Progress payments, § 6:17
Proving damages
 generally, § 6:29
 burden of proof, § 6:30
 jury, role of, § 6:32
 statistics, use of, § 6:31
Quality control, § 6:14
Relator's share of award, taxing,
 § 6:36
Reliance, § 6:5, 6:7
Restitution, § 6:28
Reverse false claims, § 6:21
Statistics, use of, § 6:31

**DAMAGES FOR HARM TO
GOVERNMENT—Cont'd**

Substitution of product/service,
 § 6:14
Tax considerations
 generally, § 6:33
 allowance of defense costs
 under government
 contracts, § 6:35
 attorney fee awards, taxing,
 § 6:37
 deductibility of judgments for
 defendants, § 6:34
 discharging debt in bankruptcy,
 § 6:38
 relator's share of award, taxing,
 § 6:36
Testing cases, § 6:15
Voluntary disclosure, reduction of
 damages, § 6:22

**DAMAGES FOR HARM TO
PRIVATE INDIVIDUALS**

Generally, § 6:1, 6:39 to 6:48
Back pay, § 6:40
Emotional distress, § 6:44
General rule, § 6:39
Mitigation measures, § 6:41
Nominal damages, § 6:45
Other relief, § 6:47
Prejudgment interest, § 6:42
Punitive damages, § 6:46
Reinstatement, § 6:43
Tax considerations, § 6:48

DEATH

Abatement on death of defendant,
 § 10:46
Qui tam claims, survivability
 defendant's death, § 11:106
 relator's death, § 11:105

DEBT COLLECTION

Federal Debt Collection Procedure
 Act, this index

INDEX

DEFENDANTS

- Attorney's fees for defendant.
Attorney's Fees, this index
- Potential defendants
California statute, § 12:6
Liability to Government, this index

DEFENSE INDUSTRY

- Generally, § 2:15

DELAWARE

- False claims statute, § 12:22

DISCOVERY

- Generally, § 10:73 to 10:105
- See also Qui Tam Actions, this index
- Agencies, disclosure of CID information, § 10:82
- Attorney-Client Privilege, this index
- Civil investigative demands
generally, § 10:75 to 10:85
accompanied by representative, § 10:78
- Congress or agencies,
disclosure of CID information, § 10:81, 10:82
- consent to disclosure of CID information, § 10:80
- disclosure of CID information
generally, § 10:79 to 10:85
agencies, disclosure to, § 10:82
- Congress, disclosure to, § 10:81
- consent, § 10:80
- examination by person
providing material or testimony, § 10:83
- judicial and agency proceedings, use in, § 10:84
- relators, disclosure to, § 10:85

DISCOVERY—Cont'd

- Civil investigative demands
—Cont'd
examination by person providing material or testimony, § 10:83
- grand jury proceeding pending, § 10:77
- judicial and agency proceedings, use in, § 10:84
- prior to commencing case, § 10:76
- relators, disclosure of CID information, § 10:85
- Congress, disclosure of CID information, § 10:81
- Consent to disclosure of CID information, § 10:80
- Deliberative process, § 10:98
- Disclosure of CID information.
Civil investigative demands, above
- Examination of CID information
by person providing material or testimony, § 10:83
- Health records
generally, § 10:102
HIPAA, § 10:103
peer review privilege, § 10:105
physician-patient privilege, § 10:104
- HIPAA, § 10:103
- Inspector general subpoenas, § 10:87
- Investigative process, § 10:99
- Judicial and agency proceedings, use of CID information, § 10:84
- Law enforcement privilege, § 10:99
- Peer review privilege, health records, § 10:105
- Physician-patient privilege, § 10:104
- Privileges
generally, § 10:89

DISCOVERY—Cont'd

- Privileges—Cont'd
 - attorney-Client Privilege, this index
 - deliberative process, § 10:98
 - health records, above
 - investigative process, § 10:99
 - law enforcement privilege, § 10:99
 - physician-patient privilege, § 10:104
 - relators' requests for government documents, § 10:101
 - self-critical analysis/self-evaluative privilege, § 10:97
 - state secrets privilege, § 10:100
 - work product. Attorney-Client Privilege, this index
- Qui Tam Actions, this index
- Relators
 - disclosure of CID information, § 10:85
 - government documents, requests for, § 10:101
- Retaliation claims, § 5:44
- Self-critical analysis/self-evaluative privilege, § 10:97
- Special procedures for government
 - generally, § 10:74 to 10:87
 - civil investigative demands, above
 - subpoenas, below
- State secrets privilege, § 10:100
- Stay of discovery, § 10:88
- Subpoenas
 - generally, § 10:86
 - inspector general subpoenas, § 10:87
- Work product doctrine. Attorney-Client Privilege, this index

DISTRICT OF COLUMBIA

- False claims statute, § 12:23

DUE PROCESS

- Challenges to penalties, § 7:21
- Constitutional foundations, § 3:6

ECONOMICS

- Cheating the government, § 1:3 to 1:5
- Shared public and private enforcement, economic theory, § 1:12

ELECTION OF REMEDIES

- Construction with other laws, § 10:23

EMOTIONAL DISTRESS

- Damages for harm to private individuals, § 6:44

EMPLOYEES

- Attorney-client privilege, current and former employees, § 10:92
- Corporations, this index
- Defendants (potential). Liability to Government, this index
- Qui tam actions, government employees as potential relators, § 11:5
- Relator's share, government employee exception, § 8:19
- Retaliation Claims, this index

ENVIRONMENT

- Generally, § 2:17

EVIDENCE

- Generally, § 10:112
- Burden of Proof, this index
- Statistical evidence, § 10:113
- Witness subpoenas, § 10:114

EXPENSES

- Generally, § 9:1, 9:42

FALSE CLAIMS ACT

- Generally, § 1:14 to 1:19
- Amendments, § 2:8 to 2:13
- Attorney's Fees, this index

INDEX

FALSE CLAIMS ACT—Cont'd

- Basic structure of act, § 1:14
- Civil Penalties, this index
- Civil War and Lincoln Law, § 2:6
- Claim requirement. Liability to Government, this index
- Constitutional foundations
 - generally, § 3:1 to 3:6
 - appointments and take care clauses, § 3:3 to 3:5
 - cases and controversies (Article III), § 3:2
 - due process (Amendment V), § 3:6
- Construction With Other Laws, this index
- Costs, this index
- Cyber security, § 2:21
- Damages, this index
- Defense industry, § 2:15
- Development and applications
 - generally, § 2:14 to 2:21
 - cyber security, § 2:21
 - defense industry, § 2:15
 - environment, § 2:17
 - financial services industry, § 2:18
 - healthcare industry, § 2:16
 - oil, gas, and mining, § 2:19
 - pandemic fraud, § 2:21
 - scientific research, education, and other grant programs, § 2:20
 - telehealth, § 2:21
- Early America, § 2:5
- English origins, § 2:3
- Environment, § 2:17
- Exporting the act, § 2:31
- False or fraudulent requirement. Liability to Government, this index
- Financial services industry, § 2:18
- Future development, § 2:22 to 2:32
- Government, role of, § 1:15

FALSE CLAIMS ACT—Cont'd

- Grant programs, § 2:20
- Healthcare industry, § 2:16
- Historical foundations
 - generally, § 2:1 to 2:3
 - amendments, § 2:8 to 2:13
 - Civil War and Lincoln Law, § 2:6
 - development in United States, § 2:4 to 2:13
 - early America, § 2:5
 - English origins, § 2:3
 - legislative developments (1990s), § 2:11
 - United States ex rel. Marcus v. Hess, § 2:7
- Jurisdiction, this index
- Legislative developments (1990s), § 2:11
- Liability to Government, this index
- Liability to private individuals. Retaliation Claims, this index
- Mandatory reporting, § 2:24
- Oil, gas, and mining, § 2:19
- Pandemic fraud, § 2:21
- Pleadings, this index
- Qui Tam, this index
- Relator, role of, § 1:16
- Remedies, generally, § 1:17
- Retaliation Claims, this index
- Scientific research, education, and other grant programs, § 2:20
- SEC Whistleblower Program, this index
- State acts, § 2:23
- Structure of act, § 1:14
- Telehealth, § 2:21
- Theoretical and policy foundations
 - generally, § 1:14 to 1:19
 - basic structure of act, § 1:14
 - government, role of, § 1:15
 - qui tam relators, awards to, § 1:18
 - relator, role of, § 1:16

FALSE CLAIMS ACT—Cont'd

Theoretical and policy foundations
—Cont'd
remedies, § 1:17
retaliation, § 1:19
Venue, this index
Whistleblower Programs, this
index

**FALSE OR FRAUDULENT
REQUIREMENT**

Liability to Government, this
index

FALSE REPRESENTATIONS

Compliance with contractual or
regulatory requirements,
§ 6:20

FEDERAL COURTS

Jurisdiction, this index
Removal of state court proceed-
ings, § 10:19

**FEDERAL DEBT COLLECTION
PROCEDURE ACT**

Generally, § 10:121
Prejudgment remedies, § 10:108,
11: 126

**FINANCIAL SERVICES
INDUSTRY**

Generally, § 2:18

FIRREA

Whistleblower program, § 2:30

FLORIDA FALSE CLAIMS ACT

Generally, § 12:24
Attorney fees, § 12:25
Damages and penalties, § 12:25
Statute of limitations, § 12:26
Subject matter jurisdiction,
§ 12:26

FOREIGN NATIONS

Potential defendants, § 4:96

FORUM NON CONVENIENS

Transfer of venue, § 10:57

FOUNDATIONS

Constitutional foundations. False
Claims Act, this index
Historical foundations. False
Claims Act, this index
Theoretical and Policy Founda-
tions, this index

GOODS OR SERVICES

Nonconforming, § 4:49, 4:50
Not provided, invoices for, § 4:47,
4:48

GRANT PROGRAMS

Generally, § 2:20

HAWAII

False claims statutes, § 12:28

HEALTHCARE INDUSTRY

Generally, § 2:16
Telehealth, § 2:21

HEALTH RECORDS

Privileges. Discovery, this index

HISTORICAL FOUNDATIONS

False Claims Act, this index

ILLINOIS FALSE CLAIMS ACT

Generally, § 12:29
Liability, § 12:30
Procedure, § 12:31 to 12:33
Public disclosure bar, § 12:32
Retaliation, § 12:34
Statute of limitations, § 12:33
Subject matter jurisdiction,
§ 12:32

INDEMNIFICATION

Liability to government, § 4:98

**INDEPENDENT
CONTRACTORS**

Retaliation claims, § 5:5

INDEX

INDIAN TRIBES

Potential defendants, § 4:95

INJUNCTIONS

Asset preservation, § 10:107,
11:126

INSURANCE

Collection of judgment, § 10:123

INTEREST

Prejudgment Interest, this index

INTERIM REMEDIES

Generally, § 10:106
Federal Debt Collection Procedure
Act, prejudgment remedies,
§ 10:108, 11: 126
Injunction to preserve assets,
§ 10:107, 11:126
Qui tam actions, § 11:124 to
11:126

INTERNAL REVENUE CODE

Exclusions from claim require-
ment, § 4:37

INTERVENTION

Qui Tam Actions, this index

INVESTIGATIVE COSTS

Damages for harm to government,
§ 6:26

INVESTIGATIVE DEMANDS (CIVIL)

Discovery, this index

IOWA

Medicaid false claims statute,
§ 12:36

IRS

Whistleblower program, § 2:29

JOINT AND SEVERAL LIABILITY

Generally, § 4:97

JURISDICTION

Generally, § 10:2 to 10:51

JURISDICTION—Cont'd

Abatement on death of defendant,
§ 10:46

Abstention in favor of pending
state proceedings, § 10:20

Additional government claims,
supplemental jurisdiction,
§ 10:27

Affirmative defenses, § 10:41

Agency proceedings. Related
federal agency proceedings,
below

Arbitration, concurrent jurisdic-
tion, § 10:16

Bankruptcy proceedings, concu-
rent jurisdiction
generally, § 10:8 to 10:12
automatic stays, § 10:10, 10:11
discretionary stays, § 10:12
police power exception,
applicability, § 10:9

Collateral estoppel, § 10:47

Concurrent jurisdiction
generally, § 10:5 to 10:25
arbitration, § 10:16
bankruptcy proceedings, above
construction with other laws,
below
related federal agency proceed-
ings, below
related federal court proceed-
ings
generally, § 10:6 to 10:12
bankruptcy proceedings,
above
criminal proceedings, § 10:7
related state court proceedings,
below

Construction with other laws
generally, § 10:21 to 10:25
election of remedies, § 10:23
implied repeals of federal law,
§ 10:22
preemption of state or local law,
§ 10:24, 10:25

JURISDICTION—Cont’d

- Construction with other laws
 - Cont’d
 - whistleblower protection laws, § 10:25
- Contract clauses, supplemental jurisdiction, § 10:29
- Contract Disputes Act as bar to government common law claims, § 10:28
- Counterclaims against government, supplemental jurisdiction, § 10:30
- Court of Claims exclusive jurisdiction, § 10:4
- Criminal proceedings, concurrent jurisdiction, § 10:7
- Limitations. Statute of Limitations, this index
- Other laws. Construction with other laws, above
- Personal jurisdiction, § 10:51
- Primary jurisdiction doctrine.
 - Related federal agency proceedings, below
- Qui tam actions
 - jurisdictional issues, § 11:98
 - release of claims by relator, § 10:42 to 10:45
- Related federal agency proceedings (primary jurisdiction doctrine)
 - generally, § 10:13 to 10:15
 - applicability of doctrine, § 10:14
 - stay of false claims case, § 10:15
- Related federal court proceedings, concurrent jurisdiction
 - generally, § 10:6 to 10:12
 - bankruptcy proceedings, above
 - criminal proceedings, § 10:7
- Related state court proceedings, concurrent jurisdiction
 - generally, § 10:17 to 10:20

JURISDICTION—Cont’d

- Related state court proceedings, concurrent jurisdiction
 - Cont’d
 - abstention in favor of pending state proceedings, § 10:20
 - removal to federal court, § 10:19
 - state court jurisdiction over False Claim Act allegations, § 10:18
- Removal of state court proceedings to federal court, § 10:19
- Res judicata, § 10:47
- Retroactivity, § 10:49, 10:50
- Ripeness, § 10:48
- Statute of Limitations, this index
- Subject matter jurisdiction
 - generally, § 10:3
 - California statute, § 12:10 to 12:13
 - Court of Claims exclusive jurisdiction, § 10:4
 - Florida statute, § 12:26
 - Massachusetts statute, § 12:43
- Supplemental jurisdiction
 - generally, § 10:26 to 10:30
 - additional government claims, § 10:27
 - contract clauses, § 10:29
 - Contract Disputes Act as bar to government common law claims, § 10:28
 - counterclaims against government, § 10:30

JURY INSTRUCTIONS

- Generally, § 10:115

KANSAS

- False claims statute, § 12:37

KENTUCKY

- State law, § 12:38

KICKBACKS

- Anti-Kickback statute, § 4:5

INDEX

KICKBACKS—Cont'd

Damages for harm to government,
§ 6:13

KNOWLEDGE

California statute, § 12:7
Knowingly requirement. Liability
to Government, this index

LIABILITY TO GOVERNMENT

Generally, § 4:1 to 4:98
Actual knowledge, § 4:58
Ambiguous regulatory or
contractual requirements
knowingly requirement, § 4:62,
4:63
reasonable interpretations,
§ 4:53
Anti-Kickback statute, § 4:5
Avoiding obligation, reverse false
claims, § 4:24
Claim requirement
generally, § 4:25 to 4:38
amendments to act, § 4:30, 4:32
case law, § 4:26 to 4:29
exclusions
generally, § 4:36
government treasury, fraud
not involving, § 4:38
Internal Revenue Code
claims, § 4:37
government treasury, fraud not
involving, § 4:38
historical background, § 4:25
Internal Revenue Code claims,
§ 4:37
loans and insurance guarantees,
§ 4:35
post-1986 developments, § 4:31
specific types of claims, § 4:33
to 4:38
third-party recipient of govern-
ment funds, claims to,
§ 4:34
Clarity of falsity as reckless disre-
gard, § 4:61

LIABILITY TO GOVERNMENT

—Cont'd

Collective knowledge, § 4:65
Compliance, efforts to ensure,
§ 4:67
Condition of payment, materiality,
§ 4:75
Conspiracy to violate act
generally, § 4:10 to 4:14
agreement, necessity of, § 4:11
intra-corporate conspiracies,
§ 4:12
knowingly, § 4:14
overt acts, § 4:13
Contract, fraud to obtain, § 4:51
Contribution, § 4:98
Corporations, this index
Course of conduct, fraudulent,
§ 4:55
Customs duties, reverse false
claims, § 4:20
Damage to government, § 4:79
Defendants (potential)
generally, § 4:81 to 4:98
any person, § 4:81
armed forces suing each other,
§ 4:83
Congress, exception for, § 4:84
Corporations, this index
express statutory exceptions
generally, § 4:82 to 2:84
armed forces suing each
other, § 4:83
Congress, members of judi-
ciary, and senior execu-
tive officials, § 4:84
federal agencies, officials, and
employees, § 4:86
foreign nations, § 4:96
Indian tribes, § 4:95
judiciary, exception for, § 4:84
municipal agencies, officials,
and employees, § 4:88
other entities, § 4:94

LIABILITY TO GOVERNMENT

—Cont'd

Defendants (potential)—Cont'd
 senior executive officials,
 exception for, § 4:84
 specific types of persons,
 application to, § 4:85 to
 4:96
 state agencies, officials, and
 employees, § 4:87
 Defendant's knowledge, material-
 ity, § 4:77.50
 Deliberate ignorance, § 4:59
 Extreme carelessness as reckless
 disregard, § 4:60
 False or fraudulent requirement
 generally, § 4:39 to 4:80
 condition of payment/condition
 of participation distinction,
 emergence of, § 4:41
 contract, fraud to obtain, § 4:51
 course of conduct, fraudulent,
 § 4:55
 drugs or medical devices,
 § 4:50
 factually false/legally false
 distinction, emergence of,
 § 4:40
 goods or services, nonconform-
 ing
 generally, § 4:49
 medical devices and drugs,
 § 4:50
 goods or services not provided,
 invoices for
 generally, § 4:47
 worthless services, § 4:48
 opinions, § 4:54
 regulatory or contractual
 requirements
 ambiguous requirements,
 reasonable interpreta-
 tions, § 4:53
 unambiguous requirements,
 § 4:52

LIABILITY TO GOVERNMENT

—Cont'd

False or fraudulent requirement
 —Cont'd
 Supreme Court decision
 (Escobar)
 generally, § 4:42 to 4:46
 implied certification post-
 Escobar, § 4:44 to 4:46
 limits of Escobar, § 4:43
 specific representations,
 § 4:45, 4:46
 timing of falsity, § 4:56
 worthless services, § 4:48
 False or fraudulent statement or
 record, use of
 generally, § 4:7 to 4:9
 causing use, § 4:8
 connection to government
 funds, § 4:9
 Federal agencies, officials, and
 employees as potential
 defendants, § 4:86
 Federal officer or employee, pre-
 senting false claim to, § 4:6
 Foreign nations as potential
 defendants, § 4:96
 Frequency of conduct, § 4:70
 Government knowledge, § 4:64
 Government treasury, fraud not
 involving, § 4:38
 Heart of bargain vs. minor and
 insubstantial, § 4:76
 Indemnification, § 4:98
 Indian tribes as potential
 defendants, § 4:95
 Innocent mistake, knowingly
 requirement, § 4:66
 Interactions with government
 officials, § 4:69
 Invoices for goods or services not
 provided, § 4:47
 Joint and several liability, § 4:97
 Knowingly requirement
 generally, § 4:57 to 4:70

INDEX

LIABILITY TO GOVERNMENT

—Cont'd

- Knowingly requirement—Cont'd
 - actual knowledge, § 4:58
 - ambiguous law, regulation or contract
 - generally, § 4:62
 - relevant government guidance, § 4:63
 - collective knowledge, § 4:65
 - compliance, efforts to ensure, § 4:67
 - deliberate ignorance, § 4:59
 - frequency of conduct, § 4:70
 - government knowledge, § 4:64
 - innocent mistake, § 4:66
 - interactions with government officials, § 4:69
 - negligence, § 4:66
 - reckless disregard, below warning signs available, § 4:68
- Materiality
 - generally, § 4:72 to 4:78
 - condition of payment, § 4:75
 - government action, § 4:77
 - heart of bargain vs. minor and insubstantial, § 4:76
 - holistic inquiry, § 4:74
 - question for court or jury, § 4:78
 - test for determining, § 4:73 to 4:77
- Medical devices and drugs, nonconforming, § 4:50
- Miscellaneous issues, § 4:80
- Municipal agencies, officials, and employees as potential defendants, § 4:88
- Negligence, knowingly requirement, § 4:66
- Nonconforming goods or services, § 4:49, 4:50
- Opinions, false or fraudulent requirement, § 4:54

LIABILITY TO GOVERNMENT

—Cont'd

- Other threshold issues, § 4:71, 4:80
- Overpayments, retention of, § 4:23
- Penalties, unassessed, reverse false claims, § 4:21
- Potential defendants. Defendants (potential), above
- Presentation of false or fraudulent claim
 - generally, § 4:1 to 4:5
 - Anti-Kickback statute, § 4:5
 - causing to present, § 4:3
 - federal officer or employee, presenting to, § 4:6
 - test for causation, § 4:4
- Property of government
 - failure to return, § 4:15
 - unlawful purchase, § 4:17
- Question for court or jury.
 - materiality, § 4:78
- Receipt, false, making or delivering, § 4:16
- Reckless disregard
 - generally, § 4:59
 - clarity of falsity, § 4:61
 - extreme carelessness, § 4:60
- Regulatory or contractual requirements
 - ambiguous requirements, reasonable interpretations, § 4:53
 - unambiguous requirements, § 4:52
- Reverse false claims
 - generally, § 4:18 to 4:24
 - avoiding obligation, § 4:24
 - customs duties, § 4:20
 - damages, § 6:21
 - obligation, § 4:19 to 4:22
 - overlap with other statutory provisions, § 4:22

LIABILITY TO GOVERNMENT

—Cont'd

- Reverse false claims—Cont'd overpayments, retention of, § 4:23
- penalties, unassessed, § 4:21
- State agencies, officials, and employees as potential defendants, § 4:87
- Statement or record. False or fraudulent statement or record, use of, above
- Test for determining materiality, § 4:73 to 4:77
- Timing of falsity, § 4:56
- Warning signs available, § 4:68
- Worthless services, § 4:48

LIABILITY TO PRIVATE

INDIVIDUALS

- Retaliation Claims, this index

LIMITATION OF ACTIONS

- Statute of Limitations, this index

LOANS AND LOAN

GUARANTEES

- Damages for harm to government, § 6:18, 6:19
- Statute of limitations, loan guarantees, § 10:36

LOCAL GOVERNMENT

- False claim laws
 - generally, § 12:65
 - Chicago, § 12:66
 - Hawaii, false claims to counties, § 12:28
 - Nevada, false claims to local government, § 12:49
 - New York City, § 12:67
 - Pennsylvania, municipal ordinances, § 12:68
- Preemption of state or local law, § 10:24, 10:25
- Qui tam actions, potential relators, § 11:4

LOCAL GOVERNMENT

—Cont'd

- Retaliation claims, § 5:12

LOUISIANA

- Medical assistance integrity law, § 12:39

MARYLAND

- False claims statutes, § 12:40

MASSACHUSETTS FALSE CLAIMS ACT

- Generally, § 12:41 to 12:44
- Procedure, § 12:42
- Retaliation, § 12:44
- Statute of limitations, § 12:43
- Subject matter jurisdiction, § 12:43

MATERIALITY

- Liability to Government, this index
- Pleadings, § 10:67

MEDICAID FRAUD STATUTES

- Alaska, § 12:2
- Arkansas, § 12:3
- Colorado, § 12:20
- Connecticut, § 12:21
- Georgia, § 12:26
- Indiana, § 12:35
- Iowa, § 12:36
- Louisiana, § 12:39
- Maryland, § 12:40
- Michigan, § 12:44
- Missouri, § 12:47
- New Mexico, § 12:52
- Oklahoma, § 12:55
- Tennessee, § 12:58
- Texas, § 12:59
- Washington, § 12:62
- Wisconsin, § 12:63

MEDICAL DEVICES AND DRUGS

- Nonconforming, § 4:50

INDEX

MEDICARE

Qui tam actions, Medicare intermediaries, § 11:96

MICHIGAN

Medicaid claims, § 12:45

MINNESOTA

False claims statute, § 12:46

MISSOURI

Health care payment fraud and abuse, § 12:47

MISTAKES

Innocent mistake, knowingly requirement, § 4:66

MONEY LAUNDERING

Anti-Money Laundering Act of 2020, whistleblower program, § 2:32

MONTANA

False claims statute, § 12:48

MULTIDISTRICT LITIGATION

Generally, § 10:59

MUNICIPALITIES

See also Local Government, this index
Agencies, officials, and employees as potential defendants, § 4:88
Pennsylvania, municipal false claims ordinances, § 12:68
Retaliation claims, § 5:12

NEVADA

False claims statute, § 12:49

NEW HAMPSHIRE

False claims statute, § 12:50

NEW JERSEY

False claims statute, § 12:51

NEW MEXICO

False claims statutes, § 12:52

NEW YORK

False claims statutes, § 12:53, 12:67

NOMINAL DAMAGES

Damages for harm to private individuals, § 6:45

NORTH CAROLINA

False claims statute, § 12:54

OIL, GAS, AND MINING

Generally, § 2:19

OKLAHOMA

Medicaid fraud statute, § 12:55

OREGON

Fraudulent conduct statute, § 12:56

OVERPAYMENTS

Retention of, liability to government, § 4:23

PARTIES

Potential defendants. Liability to Government, this index
Potential relators. Qui Tam Actions, this index

PEER REVIEW PRIVILEGE

Health records, § 10:105

PENALTIES

Civil Penalties, this index
Florida statute, § 12:25
Reverse false claims, unassessed penalties, § 4:21

PENNSYLVANIA

Municipal false claims ordinances, § 12:68

PLEADINGS

Generally, § 10:60 to 10:72, 11:109
Amending complaint generally, § 10:71
leave to amend, § 10:70

PLEADINGS—Cont'd

- Amending complaint—Cont'd
 - Qui Tam Actions, this index
relation back, § 10:72, 11:112
- California statute, § 12:14
- Conspiracy allegations, § 10:68
- Fraud, pleading with particularity
 - generally, § 10:61 to 10:70
 - circuit overview, § 10:62
 - conspiracy allegations, § 10:68
 - knowledge or intent, § 10:66
 - law or standards violated,
 - identification of, § 10:65
 - leave to amend, § 10:70
 - materiality, § 10:67
 - nationwide/industry wide
schemes, § 10:69
 - persons involved, alleging,
§ 10:64
 - specific example, identification
of, § 10:63
- Knowledge or intent, § 10:66
- Law or standards violated,
 - identification of, § 10:65
- Materiality, § 10:67
- Nationwide/industry wide
schemes, § 10:69
- Persons involved, alleging,
§ 10:64
- Qui Tam Actions, this index
- Relation back of amended com-
plaint, § 10:72, 11:112

POLICY

- Theoretical and Policy Founda-
tions, this index

POLITICS

- Generally, § 1:10

PRACTICE AND PROCEDURE

- Generally, § 10:1 et seq.
- Collection of Judgment, this index
- Discovery, this index
- Evidence, this index
- Interim Remedies, this index

PRACTICE AND PROCEDURE

—Cont'd

- Jurisdiction, this index
- Pleadings, this index
- Qui Tam Actions, this index
- Settlement, this index
- Trials, this index
- Venue, this index

PREEMPTION

- Retaliation claims, § 5:42
- State or local law, § 10:24
- Whistleblower protection laws,
§ 10:25

PREJUDGMENT INTEREST

- Government, harm to, § 6:25
- Private individuals, harm to,
§ 6:42

PRIVATE INDIVIDUALS

- Damages for Harm to Private
Individuals, this index
- Liability to. Retaliation Claims,
this index

PRIVILEGES

- Attorney-Client Privilege, this
index
- California statute, § 12:15
- Discovery, this index
- Physician-patient privilege,
§ 10:104

PROCUREMENT

- Damages for Harm to Govern-
ment, this index

PROPERTY OF GOVERNMENT

- Failure to return, § 4:15
- Unlawful purchase, § 4:17

PROTECTED ACTIVITY

- Retaliation Claims, this index

PUERTO RICO

- False claims statute, § 12:64

INDEX

PUNITIVE DAMAGES

Harm to private individuals,
§ 6:46

QUESTIONS OF LAW OR FACT

Materiality, § 4:78
Proving damages, role of jury,
§ 6:32

QUI TAM ACTIONS

Generally, § 11:1 to 11:146
Allegations publicly disclosed in
hearings, reports, or media
(Section 3730(e)(4))
generally, § 11:35 to 11:57
amendments (2010), § 11:37
bankruptcy proceedings,
§ 11:48
congressional, GAO, or other
federal report etc., § 11:49
corporate investigations,
internal, § 11:53
criminal, civil, or administrative
hearing, public disclosure
in
generally, § 11:44
bankruptcy proceedings,
§ 11:48
congressional, GAO, or other
federal report etc.,
§ 11:49
FOIA requests, § 11:50
other federal hearings and
investigations, § 11:51,
11:52
pleadings and discovery
materials, § 11:45, 11:46
relator's own case, § 11:46
FOIA requests, § 11:50
historical background, § 11:36,
11:37
jurisdiction, § 11:39
news media, § 11:54
nonfederal proceedings and
proceedings not involving
government, § 11:47

QUI TAM ACTIONS—Cont'd

Allegations publicly disclosed in
hearings, reports, or media
(Section 3730(e)(4))—Cont'd
original source provision, below
other federal hearings and
investigations, § 11:51,
11:52
pleadings and discovery materi-
als, § 11:45, 11:46
public disclosure
generally, § 11:41
government officials and
employees, § 11:42
particular fora, § 11:42
relevant forum, § 11:43 to
11:57
retroactivity, § 11:38
substantially same allegations
or transactions underlying
complaint
generally, § 11:55
specific defendants, § 11:56
which allegations barred,
§ 11:57
Alternate remedy (Section
3730(c)(5))
generally, § 11:86 to 11:92
criminal proceedings, § 11:92
debarment proceedings, § 11:87
miscellaneous proceedings,
§ 11:88
other qui tam actions, § 11:89
relator's fraud allegations, rela-
tionship to, § 11:90
timing, § 11:91
Amending complaint
generally, § 11:110 to 11:115
adding information, § 11:115
adding relator, § 11:114
government amendments,
§ 11:111
nature of bar, § 11:79
relation back, § 11:112
sealing requirement, § 11:113

QUI TAM ACTIONS—Cont'd

- Anonymous relators, lifting seal, § **11:26**
- Anti-SLAPP statutes, § **11:103**
- Appeals
 - generally, § **11:143 to 11:146**
 - government appeals, § **11:145**
 - interlocutory appeals, § **11:146**
 - time for appeal, § **11:144**
- Assets, injunction to preserve, § **11:125**
- Awards to qui tam relators, § **1:18**
- Bankruptcy proceedings
 - allegations publicly disclosed in, § **11:48**
 - related proceedings, § **11:99**
- Collateral estoppel. Res judicata and collateral estoppel, below
- Commencing case
 - generally, § **11:2 to 11:27**
 - potential relators, below
 - statute of limitations, § **11:94**
- Complaint
 - generally, § **11:11**
 - amending complaint, above
 - filing complaint and written disclosure in camera and under seal, below
- Concurrent, supplemental, and other jurisdictional issues, § **11:98**
- Congressional, GAO, or other federal report etc., public disclosure in, § **11:49**
- Constitutional issues, § **11:108**
- Corporate investigations, internal, § **11:53**
- Counterclaims against relator, § **11:100**
- Court of International Trade, statutory bars, § **11:97**
- Creating precedent, § **11:142**
- Criminal proceedings as alternate remedy, § **11:89**

QUI TAM ACTIONS—Cont'd

- Death of relator or defendant, § **11:105, 11:106**
- Debt Collection Procedure Act, prejudgment remedies, § **11:126**
- Debtor in bankruptcy as potential relator, § **11:8**
- Defend Trade Secrets Act, § **11:101**
- Discovery and other pretrial issues
 - generally, § **11:116 to 11:123**
 - defendant, restrictions sought by
 - generally, § **11:119**
 - confidentiality, § **11:120**
 - documents relator submits to government, § **11:122**
 - government
 - discovery from government, § **11:121, 11:122**
 - restrictions sought by, § **11:118**
 - limits on discovery by relator
 - generally, § **11:117 to 11:120**
 - confidentiality, § **11:120**
 - defendant, restrictions sought by, § **11:119, 11:120**
 - government, restrictions sought by, § **11:118**
 - sanctions, § **11:123**
- Dismissal. Settlement and dismissal, below
- Election by government whether to intervene
 - generally, § **11:15 to 11:24**
 - defendant's rights, § **11:21**
 - extensions of time, § **11:17**
 - government's rights, § **11:20**
- initially
 - generally, § **11:16 to 11:21**
 - defendant's rights, § **11:21**
 - extensions of time, § **11:17**
 - government's rights, § **11:20**

INDEX

QUI TAM ACTIONS—Cont'd

- Election by government whether to intervene—Cont'd initially—Cont'd parties, rights of, § 11:18 to 11:21
 - relator's rights, § 11:19
- intervention to dismiss, § 11:24
- monitoring case by government, § 11:23
- parties, rights of, § 11:18 to 11:21
 - relator's rights, § 11:19
- subsequently
 - generally, § 11:22 to 11:24
 - intervention to dismiss, § 11:24
 - monitoring case by government, § 11:23
- Extensions of time, government election whether to intervene, § 11:17
- Failure to comply with filing requirements, § 11:14
- Failure to prosecute, lifting seal, § 11:27
- Federal Debt Collection Procedure Act, prejudgment remedies, § 11:126
- Filing complaint and written disclosure in camera and under seal
 - generally, § 11:10 to 11:14
 - complaint, § 11:11
 - failure to comply with filing requirements, § 11:14
 - lifting seal. Seals, below seal not violated by service on state, § 11:12
 - written disclosure, § 11:13
- FOIA requests, public disclosure in, § 11:50
- Government amendments to complaint, § 11:111

QUI TAM ACTIONS—Cont'd

- Government employees as potential relators, § 11:5
- Government litigation, allegations already subject of
 - generally, § 11:32 to 11:34
 - allegations or transactions, § 11:33
 - civil suit or administrative civil money penalty proceeding, § 11:34
- Injunction to preserve assets, § 11:125
- Interim remedies
 - generally, § 11:124 to 11:126
 - Federal Debt Collection Procedure Act, prejudgment remedies, § 11:126
 - injunction to preserve assets, § 11:125
- Interlocutory appeals, § 11:146
- Intervention
 - election by government whether to intervene, above
 - intervention to dismiss, § 11:24
 - original source provision, effect of government's intervention, § 11:69
 - related action
 - effect of government intervention and settlement, § 11:85
 - relator's intervention in related proceedings, § 11:107
- Jurisdictional issues, § 11:98
- Lawyers as potential relators, § 11:7
- Medicare intermediaries, § 11:96
- Monitoring case by government, § 11:23
- Organizations as potential relators, § 11:6
- Original source provision, publicly disclosed allegations
 - generally, § 11:58 to 11:71

QUI TAM ACTIONS—Cont’d

Original source provision, publicly disclosed allegations
—Cont’d
amendments (2020), meaning of original source generally, § 11:70
materially adds, § 11:71
contents and recipient of disclosure, § 11:66
direct and independent knowledge, § 11:60 to 11:62
government’s intervention, effect of, § 11:69
judicially imposed requirements, § 11:68
particular fora, public disclosure of allegations or transactions in, § 11:69
relevant information, § 11:59
timing of disclosure, § 11:67
voluntarily provided information to government prior to filing, § 11:63 to 11:65
Other qui tam actions as alternate remedy, § 11:89
Pending actions. Related action based on facts underlying pending action, below
Pleadings
generally, § 11:109
amending complaint, above public disclosure in pleadings and discovery materials, § 11:45, 11:46
Potential relators (a person)
generally, § 11:3 to 11:9
debtor in bankruptcy, § 11:8
government employees, § 11:5
lawyers, § 11:7
organizations, § 11:6
pro se relators, § 11:9
state and local governments, § 11:4
Precedent, creating, § 11:142

QUI TAM ACTIONS—Cont’d

Pro se relators, § 11:9
Public disclosure. Allegations publicly disclosed, above
Related actions based on facts underlying pending action (Section 3730(b)(5))
generally, § 11:72 to 11:85
amending complaint, § 11:79
bar, nature of
generally, § 11:78 to 11:83
amending complaint, § 11:79
invited relators, inapplicable to, § 11:81
same relator, § 11:82
states, inapplicable to, § 11:83
unrelated parties, absolute for, § 11:80
facts underlying pending action
generally, § 11:74 to 11:85
same essential facts test, § 11:76
same kind of conduct, § 11:77
same material elements of fraud test, § 11:75
intervention and settlement by government, effect of, § 11:85
later filer, notification to, § 11:84
notification to late filer, § 11:84
pending action, § 11:73
same essential facts test, § 11:76
same kind of conduct, § 11:77
same material elements of fraud test, § 11:75
Relation back of amendments to complaint, § 11:112
Relators
adding in amended complaint, § 11:114

INDEX

QUI TAM ACTIONS—Cont'd

- Relators—Cont'd
 - anonymous relators, lifting seal, § **11:26**
 - objecting to government's settlement and dismissal, relator's hearing rights, § **11:135**
 - potential relators, above
 - previously filed cases, effect on qui tam action, § **11:141**
 - release of claim, below
- Release of claim by qui tam relator
 - post-complaint release, § **10:45**
 - prefiling release
 - generally, § **10:42**
 - enforcement of release when government aware of claims, § **10:44**
 - government's lack of knowledge and validity of release, § **10:43**
- Res judicata and collateral estoppel
 - generally, § **11:138 to 11:141**
 - estoppel effect on government when government does not intervene, § **11:140**
 - government's actions, effects on relators, § **11:139**
 - relator's previously filed cases, effect on qui tam action, § **11:141**
- Retaliation claims, qui tam action not required, § **5:14**
- Sanctions, discovery and other pretrial issues, § **11:123**
- Seal
 - amended complaint, § **11:113**
 - lifting seal
 - generally, § **11:25 to 11:27**
 - anonymous relators, § **11:26**
 - service of process and failure to prosecute, § **11:27**

QUI TAM ACTIONS—Cont'd

- Seal—Cont'd
 - not violated by service on state, § **11:12**
- Senior government officials, actions by and against, § **11:31**
- Service of process, lifting seal, § **11:27**
- Settlement and dismissal
 - generally, § **11:127 to 146**
 - court consent to dismissal, § **11:133**
 - good cause challenge to settlement, § **11:131**
 - government's right to object
 - generally, § **11:128 to 137**
 - absolute or non-absolute right to object, § **11:129, 11:130**
 - good cause challenge, § **11:131**
 - involuntary dismissal, government's consent, § **11:132**
 - modification of settlement, court's authority, § **11:134**
 - related actions, effect of government intervention and settlement, § **11:85**
 - relator's hearing rights, objecting to government action
 - generally, § **11:135**
 - government's compromise of judgment, § **11:137**
 - government's intervention to dismiss, § **11:136**
 - res judicata and collateral estoppel, above
- Soldiers, actions by and against, § **11:30**
- State and local governments, potential relators, § **11:4**
- State law claims, § **11:104**
- Statute of limitations
 - generally, § **11:93**

QUI TAM ACTIONS—Cont'd

Statute of limitations—Cont'd
 commencement of case, § **11:94**

Statutory limits
 Generally, § **11:28 to 11:108**
 allegations already subject of
 government litigation,
 § **11:32 to 11:34**
 allegations publicly disclosed,
 above
 alternate remedy, above
 anti-SLAPP statutes, § **11:103**
 bankruptcy proceedings,
 related, § **11:99**
 constitutional issues, § **11:108**
 counterclaims against relator,
 § **11:100**

Court of International Trade,
 § **11:97**

Defend Trade Secrets Act,
 § **11:101**

intervention by relator in related
 proceedings, § **11:107**
 jurisdictional issues, § **11:98**

Medicare intermediaries,
 § **11:96**

original source provision, above
 other statutory bars, § **11:95**
 related actions, above
 senior government officials,
 actions by and against,
 § **11:31**
 soldiers, actions by and against,
 § **11:30**
 state law claims, § **11:104**
 statute of limitations, § **11:93,**
11:94
 survivability of claims,
 § **11:105, 11:106**
 third parties, claims against,
 § **11:102**

Substantially same allegations or
 transactions underlying com-
 plaint
 generally, § **11:55**

QUI TAM ACTIONS—Cont'd

Substantially same allegations or
 transactions underlying com-
 plaint—Cont'd
 specific defendants, § **11:56**
 which allegations barred,
 § **11:57**

Survivability of claims, § **11:105,**
11:106

Third parties, claims against,
 § **11:102**

Time for appeal, § **11:144**

Written disclosure, § **11:13**

RECEIPTS

Making or delivering false receipt,
 § **4:16**

RECKLESS DISREGARD

Liability to Government, this
 index

REINSTATEMENT

Damages for harm to private
 individuals, § **6:43**

**RELATORS AND RELATOR'S
 SHARE**

Generally, § **8:1 to 8:25**

See also Qui Tam Actions, this
 index

Amount actually received or judg-
 ment amount, § **8:24**

Assessment of share
 generally, § **8:2 to 8:25**
 cases government declines to
 join, § **8:15**
 cases government joins, below
 DOJ guidelines, § **8:4**
 enforcement, § **8:25**
 exceptions, below
 factors relevant to award, § **8:3**
 proceeds of action or settle-
 ment, below
 settlement short of trial,
 relevance of, § **8:13**

INDEX

RELATORS AND RELATOR'S SHARE—Cont'd

- Assessment of share—Cont'd
 - size of case, relevance of, § 8:14
- Attorney-client privilege, documents submitted by relators, § 10:96
- Attorney's Fees, this index
- California statute, § 12:9
- Cases government declines to join in, § 8:15
- Cases government joins
 - generally, § 8:5 to 8:12
 - higher percentage, factors warranting
 - generally, § 8:6 to 8:9
 - contribution of person bringing action to results obtained, § 8:7
 - government's knowledge of relator's information, § 8:8
 - hardship to relator, § 8:9
 - significant information, contribution of, § 8:6
 - lower percentage, factors warranting
 - generally, § 8:10 to 8:12
 - delay in bringing information to government, § 8:12
 - failure to cooperate, § 8:11
- CID information, disclosure to relators, § 10:85
- Contribution of person bringing action to results obtained, § 8:7
- Delay in bringing information to government, § 8:12
- Discovery, relators' requests for government documents, § 10:101
- DOJ guidelines, § 8:4
- Enforcement, § 8:25

RELATORS AND RELATOR'S SHARE—Cont'd

- Exceptions
 - generally, § 8:16 to 8:19
 - government employees, § 8:19
 - person convicted of crime, § 8:18
 - person who planned or initiated violation, § 8:18
 - public documents, cases based primarily on, § 8:17
- Factors relevant to award, § 8:3
- Failure to cooperate, § 8:11
- Government employee exception, § 8:19
- Government's knowledge of relator's information, § 8:8
- Hardship to relator, § 8:9
- Higher percentage, factors warranting. Cases government joins, above
- Judgment amount or amount actually received, § 8:24
- Lower percentage, factors warranting. Cases government joins, above
- Non-cash items received by government, § 8:22
- Participation in litigation, limitations on, § 10:110
- Person convicted of crime, cases brought by, § 8:18
- Person who planned or initiated violation, cases brought by, § 8:18
- Proceeds of action or settlement, share of
 - generally, § 8:20 to 8:24
 - attorney fees, § 8:23
 - from lawsuit or claim, § 8:21
 - judgment amount or amount actually received, § 8:24
 - non-cash items received by government, § 8:22

RELATORS AND RELATOR'S SHARE—Cont'd

- Public documents, cases based primarily on, § 8:17
- Release of claims by government, effect on relator, § 10:117
- Release of claims by relator, effect on government, § 10:118
- Role of relator, § 1:16
- Settlement short of trial, relevance of, § 8:13
- Significant information, contribution of, § 8:6
- Size of case, relevance of, § 8:14
- Taxing relator's share of damage award, § 6:36

RELEASE OF CLAIMS

- Government's release, effect on relator, § 10:117
- Qui Tam Actions, this index

RELEASE OF LIABILITY

- Retaliation claims, § 5:41

REMEDIES

- Generally, § 1:17
- Interim Remedies, this index

RESEARCH

- Scientific research, education, and other grant programs, § 2:20

RES JUDICATA

- Generally, § 10:47
- Qui Tam Actions, this index
- Retaliation claims, § 5:43

RESTITUTION

- Damages for harm to government, § 6:28

RETALIATION CLAIMS

- Generally, § 1:19, 5:1 to 5:46, 12:69
- Agents as within scope of statute, § 5:5
- Applicants for employment, § 5:9

RETALIATION CLAIMS—Cont'd

- Arbitration agreements
 - generally, § 5:38
 - matters not subject to, § 5:40
 - matters subject to, § 5:39
- Attorney's fees, § 9:36
- Because of. Retaliation because of protected activity, below
- California False Claims Act, this index
- Collateral estoppel, § 5:43
- Constructive discharge, § 5:23
- Continuing violations, statute of limitations, § 5:36
- Contractors as within scope of statute, § 5:5
- Corporate employment
 - generally, § 5:6
 - de facto employers, § 5:7
 - parents and subsidiaries, § 5:8
- Discovery, § 5:44
- Employees within scope of statute
 - generally, § 5:4 to 5:12
 - agents, § 5:5
 - applicants for employment, § 5:9
 - contractors, § 5:5
 - corporate employment, above
 - federal employment, § 5:10
 - former employment, § 5:9
 - independent contractors, § 5:5
 - local government employment, § 5:12
 - state employment, § 5:11
- Employer, retaliation by, § 5:25
- Employer motivated by retaliation for protected activity
 - generally, § 5:29
 - legitimate nondiscriminatory reasons and pretexts, § 5:31, 5:32
 - prima facie case, § 5:30

INDEX

RETALIATION CLAIMS

—Cont'd

- False Claims Act
 - activity in furtherance of action, § 5:17
 - awareness of not required, § 5:15
 - proof of violation not required, § 5:16
 - stopping violation of act, § 5:17
- Federal employment, § 5:10
- Former employment, § 5:9
- Fraud investigation, employee assigned to, § 5:28
- Fraudulent scheme, refusal to participate, § 5:19
- Illinois False Claims Act, § 12:34
- Independent contractors as within scope of statute, § 5:5
- Internal complaint procedures, § 5:18
- Intra-corporate complaints, § 5:18
- Issues at trial, § 5:46
- Knowledge of protected activity.
 - Retaliation because of protected activity, below
- Lawful acts, § 5:20
- Local government employment, § 5:12
- Massachusetts statute, § 12:44
- Motivation. Employer motivated by retaliation for protected activity, above
- Pleading requirements (Rule 9(b)), § 5:37
- Post-termination retaliation, § 5:24
- Preemption, § 5:42
- Protected activity
 - generally, § 5:13 to 5:20
 - employer motivated by retaliation for protected activity, above
 - engaging in, statutory elements, § 5:3

RETALIATION CLAIMS

—Cont'd

- Protected activity—Cont'd
 - False Claims Act, above
 - fraudulent scheme, refusal to participate, § 5:19
 - internal complaint procedures, § 5:18
 - intra-corporate complaints, § 5:18
 - lawful acts, § 5:20
 - qui tam action not required, § 5:14
 - retaliation because of protected activity, below
- Relation back, statute of limitations, § 5:34
- Release of liability, § 5:41
- Res judicata, § 5:43
- Retaliation because of protected activity
 - generally, § 5:21 to 5:32
 - because of, defined, § 5:26
 - constructive discharge, § 5:23
 - employer, retaliation by, § 5:25
 - employer motivated by retaliation for protected activity, above
 - fraud investigation, employee assigned to, § 5:28
 - knowledge of protected activity
 - generally, § 5:27 to 5:32
 - employer motivated by retaliation for protected activity, above
 - fraud investigation, employee assigned to, § 5:28
 - post-termination retaliation, § 5:24
 - retaliation, generally, § 5:22
- SEC whistleblower program, anti-retaliation, § 2:27
- Settlement, § 5:45
- State employment, § 5:11

RETALIATION CLAIMS

—**Cont'd**

- Statute of limitations
 - generally, § 5:33 to 5:36
 - continuing violations, § 5:36
 - relation back, § 5:34
 - tolling, § 5:35
- Statutory elements
 - generally, § 5:2 et seq.
 - employees within scope of statute, above
 - protected activity, above
 - retaliation because of protected activity, above
- Tolling state statute of limitations, § 5:35
- Trial issues, § 5:46

RETROACTIVITY

- Generally, § 10:49
- Constitutionality, § 10:50
- Qui tam actions, § 11:38

REVERSE FALSE CLAIMS

- Liability to Government, this index

RHODE ISLAND

- False claims statute, § 12:57

RIPENESS

- Jurisdiction, § 10:48

SEALS

- Qui Tam Actions, this index

SEC WHISTLEBLOWER PROGRAM

- Generally, § 2:25 to 2:27
- Anti-retaliation, § 2:27
- Internal compliance debate, § 2:26

SETTLEMENT

- Generally, § 10:116 to 10:119
- California False Clams Act, this index
- Corporate integrity agreements, § 10:119

SETTLEMENT—Cont'd

- Government's release of claims, effect on relator, § 10:117
- Qui Tam Actions, this index
- Relator's release, effect on government, § 10:118
- Relator's share, relevance of settlement short of trial, § 8:13
- Retaliation claims, § 5:45

SOCIAL NORMS

- Theoretical and Policy Foundations, this index

STATES AND STATE COURTS

- Agencies, officials, and employees as potential defendants, § 4:87
- Alaska, medical assistance false claims, § 12:2
- Arkansas, Medicaid fraud, § 12:3
- California, this index
- Colorado, Medicaid fraud, § 12:20
- Concurrent jurisdiction, related proceedings. Jurisdiction, this index
- Connecticut, false claims for medical assistance programs, § 12:21
- Delaware, false claims statute, § 12:22
- District of Columbia, false claims statute, § 12:23
- Florida, this index
- Georgia, false claims statutes, § 12:27
- Hawaii, false claims statute, § 12:28
- Illinois, this index
- Indiana, false claims statute, § 12:35
- Iowa, Medicaid fraud, § 12:36
- Kansas, false claims statute, § 12:37
- Kentucky law, § 12:38

INDEX

STATES AND STATE COURTS

—Cont'd

- Louisiana, medical assistance integrity law, § **12:39**
- Maryland, false claims statutes, § **12:40**
- Massachusetts, this index
- Michigan, Medicaid fraud, § **12:45**
- Minnesota, false claims statute, § **12:46**
- Missouri, health care payment fraud and abuse, § **12:47**
- Montana, false claims statute, § **12:48**
- Nevada, false claims statute, § **12:49**
- New Hampshire, false claims statute, § **12:50**
- New Jersey, false claims statute, § **12:51**
- New Mexico, false claims statutes, § **12:52**
- New York, false claims statute, § **12:53**
- North Carolina, false claims statute, § **12:54**
- Oklahoma, Medicaid false claims, § **12:55**
- Oregon, fraudulent conduct statute, § **12:56**
- Qui tam actions
 - state government as relator, § **11:4**
 - state law claims, § **11:104**
- Retaliation claims, § **5:11**
- Rhode Island, false claims statute, § **12:57**
- State false claim acts
 - generally, § **2:23, 12:1 to 12:63**
 - Alaska, § **12:2**
 - Arkansas, § **12:3**
 - California, this index
 - Colorado, § **12:20**
 - Connecticut, § **12:21**

STATES AND STATE COURTS

—Cont'd

- State false claim acts—Cont'd
 - Delaware, § **12:22**
 - District of Columbia, § **12:23**
 - Florida, this index
 - Georgia, § **12:27**
 - Hawaii, § **12:28**
 - Illinois, this index
 - Indiana, § **12:35**
 - Iowa, § **12:36**
 - Kansas, § **12:37**
 - Kentucky, § **12:38**
 - Louisiana, § **12:39**
 - Maryland, § **12:40**
 - Massachusetts, this index
 - Michigan, § **12:45**
 - Minnesota, § **12:46**
 - Missouri, § **12:47**
 - Montana, § **12:48**
 - Nevada, § **12:49**
 - New Hampshire, § **12:50**
 - New Jersey, § **12:51**
 - New Mexico, § **12:52**
 - New York, § **12:53**
 - North Carolina, § **12:54**
 - Oklahoma, § **12:55**
 - Oregon, § **12:56**
 - Rhode Island, § **12:57**
 - Tennessee, § **12:58**
 - Texas, § **12:59**
 - Vermont, § **12:60**
 - Virginia, § **12:61**
 - Washington, § **12:62**
 - Wisconsin, § **12:63**
- Tennessee, false claim statutes, § **12:58**
- Texas, Medicaid fraud statute, § **12:59**
- Vermont, false claims statute, § **12:60**
- Virginia, fraud against taxpayers statute, § **12:61**

STATES AND STATE COURTS

—Cont'd

- Washington, Medicaid fraud statute, § 12:63
- Wisconsin, false claims for medical assistance, § 12:63

STATUTE OF LIMITATIONS

- Generally, § 10:31 to 10:40
- California statute, § 12:13
- Florida false claims statute, § 12:26
- Illinois False Claims Act, § 12:33
- Massachusetts statute, § 12:43
- Qui tam actions
 - generally, § 11:93
 - commencement of case, § 11:94
- Retaliation Claims, this index
- Six-year limitations period
 - generally, § 10:32 to 10:36
 - damages or penalties, different date depending on which sought, § 10:35
 - loan guarantees, § 10:36
 - payment by government, date of, § 10:34
 - submission of claim, date of, § 10:33
- Three-year limitations period and statute of repose
 - generally, § 10:37 to 10:39
 - facts material to right of action, determining whether known, § 10:39
 - government official with responsibility, § 10:38
- Tolling state statutes, § 5:35
- Wartime Suspension of Limitations Act, § 10:40

SUBJECT MATTER JURISDICTION

- Jurisdiction, this index

SUBPOENAS

- Generally, § 10:86

SUBPOENAS—Cont'd

- Inspector general subpoenas, § 10:87
- Witness subpoenas, § 10:114

SUPPLEMENTAL JURISDICTION

- Jurisdiction, this index

SURVIVAL OF CLAIMS

- Qui tam actions, § 11:105, 11:106

TAX CONSIDERATIONS

- Damages for Harm to Government, this index
- Damages for harm to private individuals, § 6:48

TELEHEALTH

- Generally, § 2:21

TENNESSEE

- False claims statutes, § 12:58

TEXAS

- Medicaid fraud statute, § 12:59

THEORETICAL AND POLICY FOUNDATIONS

- Generally, § 1:1 to 1:13
- Bargaining with government, social norms, § 1:7
- Budgeting process, § 1:9
- Economics of cheating the government
 - generally, § 1:3 to 1:5
 - information, lack of, § 1:4
 - resources, lack of, § 1:5
- Economic theory, shared public and private enforcement
 - generally, § 1:12
- False Claims Act, this index
- Government employment, nature of, § 1:8
- Information, lack of, § 1:4
- Politics, § 1:10
- Problem of fraud against the government, § 1:2 to 1:10

INDEX

THEORETICAL AND POLICY

FOUNDATIONS—Cont'd

- Resources, lack of, § 1:5
- Shared public and private enforcement, theory of
 - generally, § 1:11 to 1:13
 - economic theory, § 1:12
 - social and democratic theories, § 1:13
- Social and democratic theories, § 1:13
- Social norms and government institutions
 - generally, § 1:6 to 1:8
 - bargaining with government, § 1:7
 - government employment, nature of, § 1:8

TRADE SECRETS

- Limits on qui tam actions, Defend Trade Secrets Act, § 11:101

TRIALS

- Generally, § 10:109 to 10:115
- Burden of proof, § 10:111
- Evidence, this index
- Jury instructions, § 10:115
- Relator's participation, limitations on, § 10:110
- Witness subpoenas, § 10:114

VENUE

- Generally, § 10:52 to 10:59
- Alien Venue Act, § 10:58

VENUE—Cont'd

- Forum non conveniens, § 10:57
- Motions to transfer venue
 - generally, § 10:53
 - applicable law, § 10:56
 - denial of motion, § 10:54
 - motion granted, § 10:55
- Multidistrict litigation, § 10:59

VERMONT

- False claims statute, § 12:60

VIRGINIA

- Fraud against taxpayers statute, § 12:61

WASHINGTON

- Medicaid fraud statute, § 12:63

WHISTLEBLOWER PROGRAMS

- Anti-Money Laundering Act of 2020, § 2:32
- CFTC, § 2:28
- FIRREA, § 2:30
- Indiana statute, § 12:35
- IRS, § 2:29
- Preemption of state or local law, § 10:25
- SEC, § 2:25 to 2:27

WISCONSIN

- False claims for medical assistance, § 12:63

WORK PRODUCT DOCTRINE

- Attorney-Client Privilege, this index