

Summary of Contents

Volume 1

- Chapter 1. Constitutional and Administrative Law Considerations
- Chapter 2. Environmental Regulation: Using the Legislative Framework in Ontario as an Example
- Chapter 3. Hot Calls: Inspections and Investigations
- Chapter 4. Procedure and Evidence

Volume 2

- Chapter 5. The Defence of Due Diligence in Environmental Offences
- Chapter 6. Corporate and Personal Liability
- Chapter 7. Sentencing Principles

Appendices

- Appendix A. Sentencing Powers Fine Ranges
- Appendix B. Key Ontario and Federal Laws on Notification of Environmental Events
- Appendix C. Sample Notice to Inspector/Investigator
- Appendix D. Legal Counsel's Plant Checklist for Inspections/Investigations
- Appendix E. Checklist of Questions Likely to be Asked by Investigators
- Appendix F. Checklist for Due Diligence for Hazardous Waste Haulers
- Appendix G. Checklist of General Areas which Must be Covered as Part of Due Diligence
- Appendix H. Sound Business and Operational Practices in the Petrochemical Industry
- Appendix I. Safety Culture

Appendix WP. Words and Phrases

Table of Added Cases

Table of Cases

Index

Table of Contents

Volume 1

CHAPTER 1. CONSTITUTIONAL AND ADMINISTRATIVE LAW CONSIDERATIONS

I. GENERAL PRINCIPLES OF PROVINCIAL AUTHORITY

- § 1:1 Introduction
- § 1:2 Jurisdiction Over Water
- § 1:3 —Federal Jurisdiction: Section 91(1)(a) *Constitution Act, 1867*—Public Property
- § 1:4 —Federal Jurisdiction: Section 91(10) *Constitution Act, 1867*—Navigation and Shipping

II. GENERAL PRINCIPLES OF FEDERAL AUTHORITY

A. INTRODUCTION; PEACE, ORDER AND GOOD GOVERNMENT: THE NATIONAL CONCERN TEST

- § 1:5 Introduction
- § 1:6 Peace, Order and Good Government: The National Concern Test

B. CRIMINAL LAW: S. 91(27) OF THE CONSTITUTION ACT, 1867; WORKS OR UNDERTAKINGS FOR THE GENERAL ADVANTAGE OF CANADA: S. 92(10) OF THE CONSTITUTION ACT, 1867

- § 1:7 Criminal Law: s. 91(27) of the *Constitution Act, 1867*
- § 1:8 Works or Undertakings for the General Advantage of Canada: s. 92(10)(c) of the *Constitution Act, 1867*

C. INTERJURISDICTIONAL IMMUNITY

- § 1:9 Introduction

- § 1:10 Interjurisdictional Immunity and the Necessary
Impairment of the Federal Jurisdiction

**D. DEFINING THE CORE FEDERAL
JURISDICTION; THE RELATED SUBJECT OF
FEDERAL ENCLAVES**

- § 1:11 Defining the Core Federal Jurisdiction
§ 1:12 The Related Subject of Federal Enclaves

**E. REGULATION OF SPECIFIC ACTIVITIES
AND WORKS**

- § 1:13 Regulation of International and Interprovincial
Trucking
§ 1:14 Regulation of International and Interprovincial
Waste Disposal
§ 1:15 Regulation of PCBs and Other Toxic Substances
§ 1:16 Regulation of Interprovincial Pipelines
§ 1:17 Spills into Interprovincial Waters
§ 1:18 Regulation of Habitat — Conservation and
Protection of Migratory Birds
§ 1:19 Regulation of Fishing
§ 1:20 Regulation of Railways
§ 1:21 Regulation of Ships
§ 1:22 Regulation of Nuclear Energy
§ 1:23 Regulation of Non-renewable Resources

**III. PARAMOUNTCY; MUNICIPAL
ENVIRONMENTAL BY-LAWS**

- § 1:24 Paramountcy
§ 1:25 Municipal Environmental By-Laws

IV. JURISDICTION OVER ABORIGINALS

- § 1:26 “Indians”
§ 1:27 “Lands Reserved for the Indians”
§ 1:28 Concurrent Operation of Environmental By-laws
under the *Indian Act* and Provincial Law
§ 1:29 Incorporation by Reference: Section 88 of the *Indian
Act*
§ 1:30 Aboriginal Rights
§ 1:31 Interfering with Aboriginal Rights — General
Principles
§ 1:32 Linkage to Aboriginal Group
§ 1:33 Aboriginal Title to Land

TABLE OF CONTENTS

- § 1:34 Application of Hunting and Fishing Rights
Established by Practice, Custom and Tradition to
Environmental Prosecutions
- § 1:35 Application of Treaty Rights to Environmental
Prosecutions

V. CROWN IMMUNITY

- § 1:36 Application of Immunity to Federal and Provincial
Crowns
- § 1:37 Crown Agents and Servants
- § 1:38 One Government Ministry Charging Another
- § 1:39 Naming the Defendant
- § 1:40 Conflict of Interest

VI. THE CARRIAGE OF A PROSECUTION

- § 1:41 Federal, Provincial or Private
- § 1:42 Private Applications for Public Investigations of
Offences—Environmental Bill of Rights (Ontario)
- § 1:43 —Canadian Environmental Protection Act (C.E.P.A.)

CHAPTER 2. ENVIRONMENTAL REGULATION: USING THE LEGISLATIVE FRAMEWORK IN ONTARIO AS AN EXAMPLE

I. THE ENVIRONMENTAL PROTECTION ACT

- § 2:1 Introduction
- § 2:2 Pollution—Pollution Prohibition
- § 2:3 —Compliance with Other Regulations or Sections

II. DISCHARGE; CONTAMINANT

- § 2:4 Discharge
- § 2:5 Contaminant

III. “CAUSE OR PERMIT”; NATURAL ENVIRONMENT

- § 2:6 “Cause or Permit”
- § 2:7 Natural Environment

IV. ADVERSE EFFECTS

- § 2:8 Introduction

- § 2:9 *“Likely” to Cause Adverse Effect*
- § 2:10 No Multiple Convictions for Various Adverse Effects
- § 2:11 Impairment of the Quality of the Natural Environment for any Use that Can be Made of it
- § 2:12 —Relevance of the Precautionary Principle
- § 2:13 Damage to Property
- § 2:14 The Relevance of Regulatory Standards in Assessing Injury or Property Damage
- § 2:15 —Policies, Guidelines and Standards Not Incorporated into Licences or Legislation
- § 2:16 Material Discomfort
- § 2:17 Loss of Enjoyment of Normal Use of Property
- § 2:18 Character of Neighbourhood
- § 2:19 Normal Farm Practice as a Defence to Nuisance
- § 2:20 Interference with the Normal Conduct of Business
- § 2:21 Continuing Consequences

V. CONSTITUTIONALITY OF THE DISCHARGE OFFENCE: VAGUENESS AND FREEDOM OF EXPRESSION; APPLICATION OF DE MINIMIS NON CURAT LEX — THE COURT DOES NOT CONCERN ITSELF WITH TRIVIALITIES

- § 2:22 Constitutionality of the Discharge Offence: Vagueness and Freedom of Expression
- § 2:23 Application of de minimis non curat lex — The Court does Not Concern Itself with Trivialities

VI. POLLUTION NOTICE

- § 2:24 Introduction
- § 2:25 Constitutional Validity of Offence of Failing to Give Notice of Discharge into the Environment
- § 2:26 Immediate Notice
- § 2:27 Degree of Fault Required
- § 2:28 Other Preconditions for Triggering Notice Requirement, e.g., Reports, Stationary Sources and Adverse Effects

VII. SPILLS

- § 2:29 Generally

VIII. ABATEMENT OF SPILL AND RESTORATION OF THE NATURAL ENVIRONMENT

- § 2:30 Introduction

TABLE OF CONTENTS

- § 2:31 Owner of the Pollutant
- § 2:32 Person Having Control of the Pollutant
- § 2:33 Defined Terms ‘Pollutant’, ‘Spill’ and ‘Out of a Structure’
- § 2:34 Prevention and Remediation of Spills

IX. APPROVAL REQUIREMENTS

- § 2:35 Generally
- § 2:36 Constitutionality: Void for Vagueness
- § 2:37 Defined Terms “Extend” and “Construct”
- § 2:38 Defined Term: “Good Working Order”
- § 2:39 Point at which Approval is Required
- § 2:40 Retroactivity of Certificates of Approval
- § 2:41 Exceptions to Approvals Requirements
- § 2:42 Routine Maintenance
- § 2:43 Required Level of Fault for Approvals Offences
- § 2:44 —Existence of Other Permits No Defence
- § 2:45 —Site Specificity of Approvals
- § 2:45.50 Term and Continuity of Approvals or Licences
- § 2:46 Required Level of Fault for Approvals Offences—
Liability of Individuals where Business
Operated in Name of Company
- § 2:47 —Liability of Contractors and Design
Consultants
- § 2:48 —Scope of Approvals: Background Documents
- § 2:49 Scope of Approvals, Activities Not Explicitly
Authorized
- § 2:50 Required Level of Fault for Approvals Offences—
Permit Shielding

X. WASTE

A. INTRODUCTION

- § 2:51 Generally

B. DESIGNATION OF WASTES

- § 2:52 Introduction
- § 2:53 Designated Waste Exemptions
- § 2:54 —Used Tires
- § 2:55 —Agricultural Waste
- § 2:56 —Inert Fill
- § 2:57 —Excess Soil
- § 2:57.50 The Connection to Contaminated Soil
- § 2:58 Designated Waste Exemptions—Municipal Waste

§ 2:59 —Hazardous Waste

§ 2:60 Recyclable Waste

C. CONSTITUTIONAL VALIDITY OF WASTE PROVISIONS VOID FOR VAGUENESS; WASTE: INTERACTION OF “OPERATING” OFFENCES (S. 27) AND “DEPOSITING AND STORING” OFFENCES (SS. 40-41)

§ 2:61 Constitutional Validity of Waste Provisions Void for Vagueness

§ 2:62 Waste: Interaction of “Operating” Offences (s. 27) and “Depositing and Storing” Offences (ss. 40-41)

D. LIABILITY OF WASTE GENERATORS ENGAGING UNLICENSED HAULERS

§ 2:63 Introduction

§ 2:64 Operating a Waste Management System Without a Certificate of Approval

§ 2:65 Operating Waste Disposal Site Without a Certificate of Approval

§ 2:66 Waste Disposal Site

§ 2:67 Multiple Convictions for Operating Waste Management Site and Depositing Waste at the Site

§ 2:68 Waste Generated on Site

§ 2:69 Definition of Waste “Operator”

§ 2:70 Obligations of Carriers and Receivers

§ 2:71 Federal/Provincial Enforcement Agreements for Handling and Transport of Dangerous Goods and Hazardous Waste

§ 2:72 Transportation and Storage of PCBs Under Federal Law

E. ONTARIO WASTE MANAGEMENT SYSTEMS — MORE SPECIFIC RULES

§ 2:73 Introduction

§ 2:74 Generator Registration—Manifests for Subject Waste

§ 2:75 —“Prompt” Delivery of Waste

§ 2:76 Dispose of or Otherwise Manage PCB Waste (Regulation 362)

§ 2:77 —Proper Storage of PCB Waste

XI. LITTERING

§ 2:78 Generally

XII. ORDERS

- § 2:79 Enforcement
- § 2:80 Collateral Challenges to Orders: R. v. Consolidated Maybrun Mines Ltd.
- § 2:81 —Trial
- § 2:82 —General Division
- § 2:83 —Court of Appeal
- § 2:84 —Supreme Court of Canada
- § 2:85 Level of Fault to Constitute an Offence of Failing to Comply with an Order
- § 2:86 Economics as a Defence
- § 2:87 Health Problems
- § 2:88 Compliance with Control Order Offers Immunity from Prosecution for Subject-matter of Order
- § 2:89 Filing Reports
- § 2:90 Enforcement against the Ministry
- § 2:91 Breach of Probation Orders Imposed by Court

XIII. OBSTRUCTING A PROVINCIAL OFFICER IN THE COURSE OF HIS OR HER DUTIES; FURNISHING FALSE INFORMATION; AND REFUSAL TO FURNISH WITH INFORMATION

- § 2:92 Introduction
- § 2:93 Charter Considerations
- § 2:94 False or Misleading Information
- § 2:95 Obstruct, Hinder or Fail to Assist

XIV. PARAMOUNTCY AND DUPLICATION OF ENVIRONMENTAL LAW OVER HEALTH AND SAFETY LAW

- § 2:96 Generally

XV. OFFENCES RELATING TO WATER

- § 2:97 Definition of Waters and Watercourses
- § 2:98 Water Taking
- § 2:99 Sewage Works
- § 2:100 General Pollution Prohibition and Notification in Ontario Water Resources Act

XVI. CANADIAN ENVIRONMENTAL PROTECTION ACT, 1999 (CEPA)

- § 2:101 Generally

XVII. FISHERIES ACT

A. INTRODUCTION

§ 2:102 Generally

B. INTERPRETATION OF THE ACT

§ 2:103 Introduction

§ 2:104 Fishing with an Unregistered Vessel Contrary to the Maritime Provinces Fisheries Regulation and s. 78 of the Fisheries Act

§ 2:105 Unlawfully Selling or Offering to Sell Fish

§ 2:106 Unlawful Retention of Fish: Fisheries Regulation

§ 2:107 Seizure of Vessel, Vehicle, Fish or Other Thing (s. 51)

C. WORKS OR UNDERTAKING; FISH, FISHERIES AND FISH HABITAT

§ 2:108 Works or Undertakings

§ 2:109 Fish, Fisheries and Fish Habitat

D. HARMFUL ALTERATION, DISRUPTION OR DESTRUCTION

§ 2:110 Generally

E. IMPAIRMENT OF RECEIVING WATER: FISHERIES ACT VERSUS PROVINCIAL ENVIRONMENTAL STATUTES

§ 2:111 Fisheries Act

§ 2:112 Provincial Legislation

F. THE DEGREE OF IMPAIRMENT OF WATER QUALITY; IMPAIRMENT OF WATER WHERE LICENCE/APPROVAL SETS EFFLUENT LIMITS

§ 2:113 The Degree of Impairment of Water Quality

§ 2:114 Impairment of Water where Licence/Approval sets Effluent Limits

G. CONSTITUTIONAL VALIDITY: VOID FOR VAGUENESS; APPLICATION OF DE MINIMIS NON CURAT LEX

§ 2:115 Constitutional Validity: Void for Vagueness

TABLE OF CONTENTS

- § 2:116 Application of de minimis non curat lex — The Court does Not Concern itself with Trivialities

XVIII. TOXIC SUBSTANCES AND CONTAMINANTS

- § 2:117 Asbestos
§ 2:118 Gasoline, Benzene, Naphthalene, Ethanol, Toluene, Vinyl Chloride and Methyline Chloride
§ 2:119 Leachate
§ 2:120 Metals
§ 2:121 Natural Substances
§ 2:122 PCBs
§ 2:123 Single Use Plastics
§ 2:124 Raw, Untreated Sewage
§ 2:125 Total Suspended Solids
§ 2:126 pH Levels

XIX. LIMITATION PERIODS

- § 2:127 Introduction
§ 2:128 Limitation Periods for Issuing Administrative Monetary Penalties
§ 2:129 Limitation Period Under the Fisheries Act
§ 2:130 Limitation Period Under the Canadian Environmental Protection Act, 1999
§ 2:131 Limitation Period Under the Species At Risk Act
§ 2:132 Limitation Period Under the Nuclear Safety and Control Act
§ 2:133 Limitation Period Under the Canada Shipping Act, 2001
§ 2:134 Limitation Period Under the E.P.A., O.W.R.A. P.A. and the Nutrient Management Act, 2002
§ 2:135 Limitation Period Under the Conservation Authorities Act, R.S.O. 1990, c. C.27
§ 2:135.30 Limitation under British Columbia's Environmental Management Act, S.B.C. 2003, c. 53
§ 2.135.50 Limitation under Alberta's Environmental Protection and Enhancement Act, R.S.A. 2000, c. E-12
§ 2.135.70 Limitation under Quebec's Environment Quality Act, CQLR c. Q.-2
§ 2:136 Lakes and Rivers Improvement Act, R.S.O. 1990, c. L.3 (LRIA)
§ 2:136.50 Endangered Species Act, S.O. 2007, c. 6 (ESA)

XX. THE PESTICIDES ACT

§ 2:137 Generally

XXI. THE NIAGARA ESCARPMENT PLANNING AND DEVELOPMENT ACT

§ 2:138 Introduction

§ 2:139 Prescription Period Under the Niagara Escarpment Planning and Development Act

XXII. OTHER HABITAT PROTECTION LAWS — MIGRATORY BIRDS CONVENTION ACT, 1994

§ 2:140 Generally

§ 2:141 Endangered Species (Provincial) and Species At Risk (Federal)

CHAPTER 3. HOT CALLS: INSPECTIONS AND INVESTIGATIONS

I. INTRODUCTION

§ 3:1 Generally

II. QUESTIONING BY THE AUTHORITIES/ COMPELLING INFORMATION

A. INTRODUCTION

§ 3:2 Generally

B. THE POWERS OF ENVIRONMENTAL OFFICERS

§ 3:3 Introduction

§ 3:4 Controlling Who May be Present During an Interview

§ 3:5 Confidentiality of Investigation and Sharing Information between Agencies

§ 3:6 Inspection Orders where Access to Site is Denied

§ 3:7 Orders Granting Investigative Techniques—Generally

§ 3:8 —Interview Sessions

C. SUSPECT'S RIGHTS

§ 3:9 Right to Identification of Authority and Explanation of the Purpose of the Exercise of any Power

TABLE OF CONTENTS

- § 3:10 Right to Counsel
- § 3:11 Right to Remain Silent

D. PARTICULAR INSTANCES WHERE THE RIGHT TO REMAIN SILENT DOES NOT ARISE

- § 3:12 Suspicious Circumstances with Other Possibilities
- § 3:13 Stopping a Vehicle to Confirm a Suspicion
- § 3:14 Conscripting Corporate Officers against the Corporation

III. STATEMENTS — VERBAL AND WRITTEN

A. VIDEO AND AUDIO TAPES

- § 3:15 Generally

B. R. V. FITZPATRICK: STATUTORILY COMPELLED INFORMATION AND SELF-INCRIMINATION

1. In General

- § 3:16 Introduction
- § 3:17 Background Facts and the Decisions of the Trial Court and the Court of Appeal
- § 3:18 The Reasoning of the Supreme Court of Canada
- § 3:19 Guiding Principles in the Decision

2. Implications for Future Cases

- § 3:20 Routine Demands for Information
- § 3:21 Random Demands for Information, e.g., “Sting” Operations
- § 3:22 Commencement of the Adversarial Relationship
- § 3:23 Corporate Defendants
- § 3:24 Obstruction Charges

IV. EMPLOYEE INTERVIEWS

A. IN GENERAL

- § 3:25 Employee Interviews: Summary of To-do Items for Counsel Retained by the Corporation
- § 3:26 Corporate Counsel’s Presence During Interviews
- § 3:27 American Law on Excluding Corporate Counsel
- § 3:28 General Principles of Conflict of Interest Applicable to Employee Interviews

B. THE EFFECT OF A WAIVER OF SOLICITOR-CLIENT PRIVILEGE ON CONFLICT

- § 3:29 Introduction
- § 3:30 *R. v. Laidlaw*
- § 3:31 —Background Facts
- § 3:32 —Court's Decision
- § 3:33 —Peripheral Applications
- § 3:34 Post-Laidlaw Developments in Conflict of Interest Law

V. INSPECTIONS VERSUS INVESTIGATIVE SEARCHES

- § 3:35 Generally

VI. HISTORICAL BACKGROUND ON INSPECTIONS VERSUS INVESTIGATIONS

- § 3:36 The Evidentiary Approach
- § 3:37 —The Inco Case
- § 3:38 The Intent of the Visit and the Contextual Approach
 - Weighing Alternative Approaches to Warrantless Searches against the Evidentiary Approach
- § 3:39 A More Detailed Review of the Contextual Approach
- § 3:40 The Current Test for Distinguishing when Prior Judicial Authorization is Required — *R. v. Jarvis* and *R. v. Ling*
- § 3:41 Case Law after *Jarvis* and *Ling*
- § 3:42 The Admissibility of Warrantless Searches: Some Remaining Issues—Warrantless Searches Initiated by Complaints
- § 3:43 —Section 24(2) of the Charter
- § 3:44 —On-site Interrogations

VII. RECOGNIZED STATUTORY AND COMMON LAW EXEMPTIONS TO PRIOR JUDICIAL AUTHORIZATION

- § 3:45 Vehicle Stops and Inspections
- § 3:46 Exigent Circumstances
- § 3:47 Implied Licence to Enter Land
- § 3:48 Open Fields Doctrine in Canada
- § 3:49 Consensual Searches
- § 3:50 Reasonable Expectation of Privacy
- § 3:51 Criminal Code: Production Orders against Third Parties

VIII. JUDICIAL AUTHORIZATION OF INSPECTIONS/INVESTIGATIONS

- § 3:52 Lawyer Wishes to be Present During Search
- § 3:53 Access to Search Warrant Documents
- § 3:54 Reviewing the Grounds on which the Search Warrant was Obtained
- § 3:55 *Photocopies*
- § 3:56 Execution of the Search and Selection of Documents
- § 3:57 Execution of the Search and Computer Data
- § 3:58 Obstruction
- § 3:59 Plain View
- § 3:60 Searches of Third Party Premises
- § 3:61 Search Warrants for Vehicles
- § 3:61.50 Detention of Things Seized

IX. SOLICITOR-CLIENT AND RELATED PRIVILEGES

A. SUMMARY; CORPORATE EMPLOYEES WHO MAY ENGAGE IN PRIVILEGED COMMUNICATIONS

- § 3:62 Summary
- § 3:63 Corporate Employees who may Engage in Privileged Communications

B. THE CONSTITUTIONALITY OF LAW OFFICE SEARCHES; WAIVER; COMMON INTEREST PRIVILEGE

- § 3:64 The Constitutionality of Law Office Searches
- § 3:65 Waiver
- § 3:66 Common Interest Privilege

C. THIRD PARTY COMMUNICATIONS: SOLICITOR-CLIENT VERSUS LITIGATION PRIVILEGE

1. Introduction; Litigation Privilege Dominant versus Substantial Purpose of Communications

- § 3:67 Introduction
- § 3:68 Litigation Privilege Dominant versus Substantial Purpose of Communications

2. Environmental Audits and Confidentiality

- § 3:69 Introduction
- § 3:70 Accessing Factual Information through Confidential Communications in Audit Reports
- § 3:71 Access to Communications Between Counsel and the Consultant/Expert
- § 3:72 The Public Policy Debate on Using Audited Information for Regulatory Purposes

D. ONTARIO POLICY AND GUIDELINES ON ACCESS TO ENVIRONMENTAL EVALUATIONS

- § 3:73 Policy
- § 3:74 Access Guidelines—Abatement Inspections
- § 3:75 —Investigations
- § 3:76 —Emergencies
- § 3:77 Protection from Use in Prosecution
- § 3:78 Scope
- § 3:79 Compatibility with Federal Policy

CHAPTER 4. PROCEDURE AND EVIDENCE

I. ABUSE OF PROCESS

- § 4:1 General Principles
- § 4:2 Judicial Review of Crown Discretion
- § 4:3 Judicial Review of Public and Private Prosecutions
- § 4:4 The Remedy of a Stay
- § 4:5 The Remedy of Introducing Otherwise Inadmissible Evidence

II. EXAMPLES OF CASES WHICH CAN CONSTITUTE ABUSE

- § 4:6 Reasonable Reliance on the Regulator
- § 4:7 Repudiation of the Crown's Undertakings
- § 4:8 Existence of Administrative or Other Alternative Remedies to Prosecution
- § 4:9 Singling Out Individuals or Companies for Prosecution
- § 4:10 Resort by Investigators to Deception
- § 4:11 Entrapment
- § 4:12 Civil Proceedings as a Motive in Pursuing a Prosecution

TABLE OF CONTENTS

- § 4:13 Prosecution Threats
- § 4:14 Prosecution Inducements
- § 4:15 Double Jeopardy
- § 4:16 Withdrawal of Charges
- § 4:17 Preparation of Crown Witnesses for Trial
- § 4:18 Change in Trial Tactics
- § 4:19 Non-Disclosure

III. DISCLOSURE AND PRODUCTION BY THE CROWN

- § 4:20 Professional Duties
- § 4:21 The Stinchcombe Decision
- § 4:22 Electronic Disclosure
- § 4:23 The Burden of Proving Relevance
- § 4:24 Relevant Information in the “Crown’s Possession”
- § 4:25 Disclosure through Freedom of Information or Access to Information Acts
- § 4:26 Implementing Disclosure, Recommendations in the Martin Report and Subsequent Case Law
- § 4:27 Privileges and Disclosure—Informant’s Privilege
- § 4:28 —Prosecution and Investigative Work Product
- § 4:29 —Plea Negotiation Privilege
- § 4:30 —Medical Privilege
- § 4:31 —Proprietary Information
- § 4:32 —The Innocence at Stake Exception
- § 4:33 Remedies
- § 4:34 —Failure to Preserve or Make Notes
- § 4:35 —Failure to Pursue and Preserve Evidence
- § 4:36 —The Scope of Cost Awards and the Jurisdiction to Issue Them
- § 4:37 —Damages for Constitutional Breach
- § 4:38 —Impact of Late Disclosure
- § 4:38.50 Disclosure Remedies on Appeal

IV. UNREASONABLE TRIAL DELAY UNDER S. 11(B) OF THE CHARTER

- § 4:39 Generally

V. CALLING WITNESSES

- § 4:40 Introduction
- § 4:41 Material Witnesses—Jurisdiction to Quash Witnesses’ Subpoenas
- § 4:42 —Materiality of Evidence

VI. THE INFORMATION

A. CHALLENGING THE VALIDITY OF THE INFORMATION

- § 4:43 Swearing the Information
- § 4:44 Essential Ingredients of the Information
- § 4:45 Relevance of Evidence Outside of the Charge
- § 4:46 Deleterious Substances/Waste/Property Harmed
- § 4:47 Place of the Offence
- § 4:48 Federal Charges: The Validity of the Information
when Multiple Offence Days are Included in a
Single Count
- § 4:49 Provincial Charges: Multiple Offence Days in a
Single Count
- § 4:50 Duplicity

B. PARTICULARS

- § 4:51 Introduction
- § 4:52 Time
- § 4:53 Location and Injury/Damage
- § 4:54 Parties, Officers and Directors
- § 4:55 —Implications for Criminal Negligence Charges
Post-2004
- § 4:56 —Other Regulatory Charges
- § 4:57 Means of Commission and Nature of Deleterious
Substance

C. AMENDMENTS

- § 4:58 Introduction
- § 4:59 Misdescription of Offence
- § 4:60 Misdescription of Location
- § 4:61 Misdescription of Deleterious Substance
- § 4:62 Amendment re: Time
- § 4:63 Misdescription of Defendant
- § 4:64 Expiry of Limitation Period

D. PROOF OF CONJUNCTIVE ALLEGATIONS; JOINDER OF DEFENDANTS ON ONE INFORMATION

- § 4:65 Proof of Conjunctive Allegations
- § 4:66 Joinder of Defendants on One Information

E. JOINDER OF INFORMATIONS AND/OR COUNTS; LESSER INCLUDED OFFENCES

- § 4:67 Joinder of Informations and/or Counts

TABLE OF CONTENTS

§ 4:68 Lesser Included Offences

VII. PROOF OF FACTS ALLEGED IN THE CHARGE

§ 4:69 Facts Agreed On

§ 4:70 Statements — Verbal and Written—Statements
against Interest

§ 4:71 —Voluntariness

§ 4:72 —Verbatim Notes

VIII. BURDEN OF PROOF

§ 4:73 Introduction

§ 4:74 Due Diligence and Other Defences

§ 4:75 Authorizations, Exceptions or Qualifications (s. 47(3)
of the P.O.A.)

§ 4:76 —Inapplicability of the Presumption of
Authorization, etc.

IX. EVIDENCE

A. DIRECT EVIDENCE; CIRCUMSTANTIAL EVIDENCE; HEARSAY

§ 4:77 Direct Evidence

§ 4:78 Circumstantial Evidence

§ 4:79 Hearsay

B. OPINIONS, EXPERIMENTS, ANALYSIS AND DEMONSTRATIONS

§ 4:80 Lay Witness Opinions

§ 4:81 Distinguishing Fact from Opinion

§ 4:82 General Principles regarding Experts

§ 4:83 The General Sanctity of Experts' Records

§ 4:84 Reasons for Rejecting an Expert

§ 4:85 Qualifications

§ 4:86 Independence of the Expert: Impact on Admissibility
and Weight of Testimony

§ 4:87 Cross-examination of Experts on Findings of Other
Courts and Tribunals on Their Qualifications and
Reliability

§ 4:88 Absence of First-hand Knowledge

§ 4:89 Weight of Expert Evidence

§ 4:90 Prior Notice of Expert's Evidence

§ 4:91 Disclosure of Foundation of Crown's Expert Opinion

- § 4:92 Ontario and Canada Evidence Acts — Number of Experts
- § 4:93 Reconstruction and Courtroom Demonstration

C. JUDICIAL NOTICE; ADMISSIBILITY OF A JUDGMENT IN A PRIOR CIVIL OR CRIMINAL CASE; PHOTOGRAPHS AND VIDEOTAPES

- § 4:94 Judicial Notice
- § 4:95 Admissibility of a Judgment in a Prior Civil or Criminal Case
- § 4:96 Photographs and Videotapes

D. DOCUMENTS

- § 4:97 Introduction
- § 4:98 Preconditions to Admissibility
- § 4:99 Notice
- § 4:100 Usual and Ordinary Course of Business
- § 4:101 Contemporaneity of Record and Transaction Recorded
- § 4:102 Hearsay, Opinion and Potentially Self-Serving Statements
- § 4:103 Electronic Records
- § 4:104 Proof of Official Appointment
- § 4:105 The Best Evidence Rule

E. PROCEDURE FOR INTRODUCING DOCUMENTS INTO EVIDENCE AND GENERAL POWER OF TRIAL JUDGE TO CONTROL PROCEDURE

- § 4:106 Generally

F. ADMISSIBILITY OF EVIDENCE OBTAINED IN ANOTHER COUNTRY; EVIDENCE OF BAD CHARACTER

- § 4:107 Admissibility of Evidence Obtained in Another Country
- § 4:108 Evidence of Bad Character

X. NON-SUITS

- § 4:109 Generally

TABLE OF CONTENTS

XI. PROVISIONS IN ENVIRONMENTAL LEGISLATION DISPENSING WITH PROOF OF ELEMENTS OF ENVIRONMENTAL OFFENCES

- § 4:110 Proof of Analysis of Samples and their Custody
- § 4:111 Impeaching Environmental Analysis
- § 4:112 Preservation of Samples
- § 4:113 Departure from Analytical and Sampling Protocols
- § 4:114 Proof of Approvals, etc.
- § 4:115 Proof of Service
- § 4:116 Proof of Reception or Issuance

XII. RULE AGAINST SPLITTING CASE; REBUTTAL

- § 4:117 Generally

XIII. CROSS-EXAMINING WITNESSES; MISTRIALS

- § 4:118 Cross-Examining Witnesses
- § 4:119 Mistrials

XIV. WITHDRAWAL OF GUILTY PLEA

- § 4:120 Introduction
- § 4:121 Enforceability and Admissibility of Undertaking to Plead Guilty

XV. REOPENING THE CASE

- § 4:122 Generally

XVI. THE FORUM FOR TRYING ENVIRONMENTAL OFFENCES

- § 4:123 Federal Fisheries Act
- § 4:124 Provincial Environmental Offences
- § 4:125 Electing Provincial Judges

XVII. JURISDICTION OVER THE INFORMATION OR OFFENCE AND OVER THE OFFENDER

- § 4:126 Introduction
- § 4:127 Bias: Trial Judge's Familiarity with the Defendant
- § 4:128 Appeals

XVIII. EXTRATERRITORIALITY

- § 4:129 Introduction
- § 4:130 Service Outside Canada
- § 4:131 *Extradition*

Volume 2

CHAPTER 5. THE DEFENCE OF DUE DILIGENCE IN ENVIRONMENTAL OFFENCES

I. INTRODUCTION

- § 5:1 Introduction

II. THE STANDARD OF PROOF OF DUE DILIGENCE

- § 5:2 Introduction
- § 5:3 Ascertaining Legal Obligations
- § 5:4 Explaining How an Offence Occurred

III. THE SCOPE OF DUE DILIGENCE

- § 5:5 Introduction
- § 5:6 Degree of Due Diligence for Ongoing Spills

IV. FACTORS TO BE CONSIDERED

A. INTRODUCTION

- § 5:7 Generally

B. GENERAL STANDARD OF CARE COMMON TO THE BUSINESS ACTIVITY IN QUESTION

- § 5:8 Introduction
- § 5:9 Farm Practice

C. THE GRAVITY OF POTENTIAL HARM

- § 5:10 Generally

D. ALTERNATIVES AVAILABLE TO THE DEFENDANT

- § 5:11 Introduction

TABLE OF CONTENTS

- § 5:12 Preventative Systems
- § 5:13 Follow-up
- § 5:14 Training
- § 5:15 New Technology
- § 5:16 Best Available Technology
- § 5:17 Corporate Culture
- § 5:18 Length of Time Required to Address Perceived Problems
- § 5:19 Communication with Regulatory Authorities
- § 5:20 Economic Considerations as Affecting the Reasonableness of the Alternatives

E. GOVERNMENT DUE DILIGENCE; LIKELIHOOD OF HARM

- § 5:21 Government Due Diligence
- § 5:22 Likelihood of Harm

F. DEGREE OF KNOWLEDGE OR SKILL EXPECTED OF THE DEFENDANT

- § 5:23 Introduction
- § 5:24 Standard of Care Expected on Offence Date
- § 5:25 Dependence on the Supplier's Knowledge and Expertise
- § 5:26 Dependence on the Knowledge and Expertise of Experts
- § 5:27 Dependence on the Knowledge and Expertise of Employees
- § 5:28 Dependence on the Knowledge and Expertise of the Regulator
- § 5:29 Size as a Factor in the Necessary Knowledge and Expertise

G. ABILITY OF THE DEFENDANT TO CONTROL THE IMPUGNED CONDUCT

- § 5:30 Introduction
- § 5:31 Remediator of Last Resort
- § 5:32 Acts of God
- § 5:33 Pandemics as Acts of God: The Experience with COVID-19
- § 5:34 Contracting Out the Work
- § 5:35 —Owner/Constructor Contracts
- § 5:36 —The Relevance of Specific Instructions by Hiring Party

- § 5:37 —Contractor's Obligation to Obtain Permits and/or Comply with Permits and Licences
- § 5:38 —The Relationship between the Contractor and the Subcontractor
- § 5:39 —The Relationship between the Supplier and a Common Carrier
- § 5:40 —Cases where those Hiring Contractors have been Exonerated
- § 5:41 Landlord's Responsibility for and to Tenants
- § 5:42 Delegating Responsibility to Employees

V. THE RELEVANCE OF COINCIDENCE IN TIME BETWEEN FAILURE TO EXERCISE DUE DILIGENCE AND THE UNLAWFUL ACT

- § 5:43 Generally

VI. DEFENCES RELATED TO DUE DILIGENCE

- § 5:44 Mistake of Fact
- § 5:45 Mistake of Law
- § 5:46 Officially Induced Error
- § 5:47 Compliance with Orders, Permits and Approvals
- § 5:48 Conformity with Regulatory Standards
- § 5:49 Conformity with Aboriginal Treaty/Rights
- § 5:50 Complicity by Regulator in Breach
- § 5:51 Necessity and Impossibility

VII. APPEALS ON QUESTIONS OF DUE DILIGENCE

- § 5:52 Generally

CHAPTER 6. CORPORATE AND PERSONAL LIABILITY

I. CORPORATE ENVIRONMENTAL CRIME AND THE SIGNIFICANCE OF CORPORATE AND EXECUTIVE RESPONSIBILITY

- § 6:1 Introduction
- § 6:2 Common Nuisance
- § 6:3 Criminal Negligence
- § 6:4 —Individual Liability for Criminal Negligence
- § 6:5 Mischief
- § 6:6 Common Law Criminal Contempt

II. OFFICERS' AND DIRECTORS' LIABILITY

- § 6:7 The Duty of Reasonable Care
- § 6:8 Authorizing, Permitting and/or Acquiescing in Unlawful Conduct
- § 6:9 Identifying Officers and/or Directors
- § 6:10 Constitutionality of Directors' and Officers' Liability Provisions
- § 6:11 Burden of Proof
- § 6:12 Standard of Directors' Duties

III. PERSONAL LIABILITY OF MANAGERS; SHAREHOLDERS' LIABILITY; LIABILITY AS A PARTY

- § 6:13 Personal Liability of Managers
- § 6:14 Shareholders' Liability
- § 6:15 Liability as a Party

IV. LIABILITY OF THE CORPORATION ITSELF

- § 6:16 Engaging Corporate Liability in Strict and Mens Rea Offences Prior to 2004
- § 6:17 Engaging Corporate Liability in Strict and Mens Rea Offences Today
- § 6:18 The Disappearance of the Directing Mind Requirement in Strict Liability Offences
- § 6:19 Identifying the Directing Mind of the Corporation for Non-criminal Offences Requiring Mens Rea or Guilty Knowledge
- § 6:20 —2004 Criminal Code Amendments re: Corporate Criminal Liability
- § 6:21 —Impacts of Corporate Criminal Liability Changes on Environmental Prosecutions
- § 6:22 —Directing Mind Still Relevant for Federal Strict Liability Offences
- § 6:23 Application of the Deeming Provisions Attributing the Employees' Actus Reus to the Employer
- § 6:24 Constitutionality of Corporate Liability for Employee Actions
- § 6:25 Joint Liability of Corporations and their Directors and Officers
- § 6:26 Responsibility of Agents Independent of the Principal
- § 6:27 Calling a Corporate Officer as a Witness against the Corporation: Constitutional Considerations

V. WHISTLEBLOWER PROTECTION

- § 6:28 Introduction

- § 6:29 The Prohibited Activity
- § 6:30 The Protected Activity
- § 6:31 Proof of a Causal Link between Reprisal and Protected Activity

VI. SERVICE OF OFFENCE NOTICES AND SUMMONSES ON CORPORATIONS; CORPORATE REPRESENTATION AT TRIAL

- § 6:32 Service of Offence Notices and Summonses on Corporations
- § 6:33 Corporate Representation at Trial
- § 6:34 Lifting the Corporate Veil

VII. PARTNERSHIPS; AMALGAMATED CORPORATIONS

- § 6:35 Partnerships
- § 6:36 Amalgamated Corporations

CHAPTER 7. SENTENCING PRINCIPLES

I. GENERAL PRINCIPLES

A. THE SIGNIFICANCE OF PUBLIC WELFARE OFFENCES

- § 7:1 Generally

B. THE APPLICABLE FACTORS

1. Introduction; Deterrence/Denunciation; Failure to Assist Authorities

- § 7:2 Introduction
- § 7:3 Deterrence/Denunciation
- § 7:4 Failure to Assist Authorities

2. Criminality of Conduct

- § 7:5 Introduction
- § 7:6 The Importance of Characterizing the Offence — Marine Pollution

3. Effect of Pollution on the Environment

- § 7:7 Generally

4. Previous Record

- § 7:8 Introduction

TABLE OF CONTENTS

§ 7:9	The Relevance of a Previous Record of Non-Compliance in Securing an Environmental Approval/Licence
	5. Municipal Corporations and Other Government Defendants
§ 7:10	Generally
	6. Non-compliance with Permit Requirements and Other Legal Instruments; Record Keeping and Failure to Comply with Information Requests
§ 7:11	Non-compliance with Permit Requirements and Other Legal Instruments
§ 7:12	Record Keeping and Failure to Comply with Information Requests
	7. Quantifying the Penalty
§ 7:13	The “Polluter-Pays” Principle
§ 7:14	Uniformity of Fines
§ 7:15	Disparity of Sentence
§ 7:16	Per Diem Fines
§ 7:17	Fines Determined by the Number of Affected Victims
§ 7:18	Totality
	8. Tax Deductibility of Fines and Penalties; Bankruptcy and Insolvency
§ 7:19	Tax Deductibility of Fines and Penalties
§ 7:20	Bankruptcy and Insolvency
	9. Mitigating Factors
§ 7:21	Remorse
§ 7:22	Accounting for Restoration of the Environment and Preventative Measures in Assessing the Penalty
§ 7:23	Status as Aboriginal Person
§ 7:24	Recognition of Technological and Economic Realities
§ 7:25	Government Condonation and Contributory Negligence
§ 7:26	Excessive Government Standards
§ 7:27	Test Cases
§ 7:28	Varying Minimum Fines
§ 7:29	The Means of Corporate and Individual Offenders
§ 7:30	Mistake of Law

II. EVIDENCE AND PROCEDURAL ISSUES

- § 7:31 Admissibility at Sentencing Hearing of Settlement Discussions
- § 7:32 Crown and Defence Joint Sentence Submissions
- § 7:33 Third Party Status in Sentencing Proceedings
- § 7:34 Burden of Proof
- § 7:35 —Aggravating Factors
- § 7:36 —Mitigating Factors
- § 7:37 Relevance of Offences Other than Those Charged
- § 7:38 The Application of the Kienapple Principle
- § 7:39 —Different Elements in an Offence
- § 7:40 —Different Offence Occurrence Dates
- § 7:41 —Corporations and Individuals Charged with Same Delict

III. JAIL

- § 7:42 Introduction
- § 7:43 Cases where Jail was Not Considered Appropriate
- § 7:44 Conditional Sentence: Serving the Sentence in the Community under s. 742.1 of the Criminal Code

IV. HIGHER PENALTY RANGES

- § 7:45 Maximum Penalties Available by Law
- § 7:46 Statutory Penalty Increases
- § 7:47 Applicable Tariff when Sentence Increases after the Offence Date but before Sentencing
- § 7:48 Subsequent Offences
- § 7:49 —Notice of Higher Penalty
- § 7:50 —Mandatory Minimum Sentences and Relief Therefrom

V. ADMINISTRATIVE OR CIVIL PENALTIES

A. INTRODUCTION; FEDERAL ADMINISTRATIVE MONETARY PENALTIES

- § 7:51 Introduction
- § 7:52 Federal Administrative Monetary Penalties

B. ONTARIO ENVIRONMENTAL (CIVIL) PENALTIES

1. Introduction; Concurrent Prosecution and Administrative Processes; Onus of Proof

- § 7:53 Introduction

TABLE OF CONTENTS

- § 7:54 Concurrent Prosecution and Administrative Processes
- § 7:55 Onus of Proof
 - 2. Appeal Periods; The Charter and Administrative or Civil Penalties
- § 7:56 Appeal Periods
- § 7:57 The Charter and Administrative or Civil Penalties
 - 3. Specific Charter Challenges
- § 7:58 *[Deleted]*
- § 7:59 Maximum Available Penalties for Separate Infractions
- § 7:60 *[Deleted]*
- § 7:61 Reversing the Onus of Proof for Elements of Contravention Other than Due Diligence
- § 7:62 Inspections Leading to Environmental Penalties
- § 7:63 Availability of Due Diligence and Officially Induced Error as a Defence

C. CALCULATING THE PENALTY

1. In General

- § 7:64 Introduction
- § 7:65 The “Monetary Benefit”
- § 7:66 Multiple Days
- § 7:67 The Gravity Component
- § 7:68 The Modifiers
- § 7:69 Settlement Agreements
- § 7:70 Absence of Foresight of Harm and Acknowledgment of Guilt
- § 7:71 Relevance of Delay in Giving Notice of Administrative Penalty
- § 7:72 Classification of Gravity of Offence
- § 7:73 BC Administrative Penalties
- § 7:74 Quebec Administrative Penalties under s. 115.13 of the Environment Quality Act, CQLR c. Q.-2
- § 7:75 Alberta: Administrative Penalties under the Administrative Penalty Regulation, Alta. Reg. 23/2003
- § 7:76 to 7:77 *[Deleted]*

VI. TICKETING

- § 7:78 Generally

VII. DIVERSION

- § 7:79 The Federal Criteria for Determining when to Prosecute
- § 7:80 The Criteria for Determining when to Prosecute and when to Divert under the C.E.P.A.
- § 7:81 Alternative Measures Agreements under the Fisheries Act [S.C. 2019, c. 14]
- § 7:82 Enforcement Policy under the Nuclear Safety and Control Act
- § 7:83 Enforcement Policy under the Fisheries Act
- § 7:84 The Provincial Criteria for Determining when to Prosecute—Ontario Ministry of the Environment Compliance Policy: Applying Abatement and Enforcement Tools
- § 7:85 Crown Discretion on Proceeding with Charges on a Plea of Guilty

VIII. CREATIVE SENTENCING OPTIONS

A. INTRODUCTION; GENERAL PRINCIPLES

- § 7:86 Introduction
- § 7:87 General Principles

B. DISCHARGES—ABSOLUTE AND CONDITIONAL; SUSPENDED SENTENCES; COMMUNITY SERVICE

- § 7:88 Discharges — Absolute and Conditional
- § 7:89 Suspended Sentences
- § 7:90 Community Service

C. VARIATION OF ORDERS; RETROACTIVITY OF COURT ORDERS

- § 7:91 Variation of Orders
- § 7:92 Retroactivity of Court Orders

D. FORFEITURE OF MONETARY BENEFIT AND PROPERTY

- § 7:93 Introduction
- § 7:94 Constitutionality of Forfeiture Orders

TABLE OF CONTENTS

E. ORDERS PROHIBITING INDEMNIFICATION OF CORPORATE EMPLOYEES; RESTITUTION; REMEDIATION AND RESTORATION

- § 7:95 Orders Prohibiting Indemnification of Corporate Employees
- § 7:96 Restitution
- § 7:97 Remediation and Restoration

F. IRREVOCABLE LETTERS OF GUARANTEE; PROHIBITING THE CARRYING ON OF A BUSINESS OR ACTIVITY; LICENCE SUSPENSIONS

- § 7:98 Irrevocable Letters of Guarantee
- § 7:99 Prohibiting the Carrying on of a Business or Activity
- § 7:100 Licence Suspensions

G. TRUST FUNDS AND SCHOLARSHIPS FUNDING ENVIRONMENTAL PROGRAMS; CHARITY

- § 7:101 Trust Funds and Scholarships Funding Environmental Programs
- § 7:102 Charity

H. PUBLICATION ORDERS; PUBLIC APOLOGY

- § 7:103 Publication Orders
- § 7:104 Public Apology
- § 7:104.50 Using a Statutory Violation to Obtain Injunctive Relief

I. COURT-ORDERED CHANGES TO INTERNAL PROCEDURES

- § 7:105 Training
- § 7:106 Air Monitoring
- § 7:107 Spill Response Program
- § 7:108 Installation, Dismantling and Removal
- § 7:109 Proper Storage, Transportation, Collection and Disposal
- § 7:110 Cautions against Property Used as Waste Disposal Site
- § 7:111 Notification of Directors and Officers or

Shareholders of Corporations of Conviction and
Sentence

- § 7:112 Upkeep and Record Keeping of Upkeep
- § 7:113 Studies, Reports, Approval Applications, etc.
- § 7:114 Environmental Audits
- § 7:115 ISO/CD 14001 Certification Program

IX. CONTEMPT

- § 7:116 Criminal Contempt of Court
- § 7:117 Civil Contempt

X. ENFORCEABILITY OF FOREIGN JUDGMENTS

- § 7:118 Generally

XI. SENTENCING APPEALS

- § 7:119 Introduction
- § 7:120 Stays of Sentence Pending Appeal

APPENDICES

- Appendix A. Sentencing Powers Fine Ranges
- Appendix B. Key Ontario and Federal Laws on
Notification of Environmental Events
- Appendix C. Sample Notice to Inspector/Investigator
- Appendix D. Legal Counsel's Plant Checklist for
Inspections/Investigations
- Appendix E. Checklist of Questions Likely to be Asked by
Investigators
- Appendix F. Checklist for Due Diligence for Hazardous
Waste Haulers
- Appendix G. Checklist of General Areas which Must be
Covered as Part of Due Diligence
- Appendix H. Sound Business and Operational Practices
in the Petrochemical Industry
- Appendix I. Safety Culture
- Appendix WP. Words and Phrases

Table of Added Cases

Table of Cases

Index