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Regulation of Investment Management and Fiduciary Services

by William Campbell Ries
2021 Edition

Enclosed is the 2021 edition of Regulation of Investment Management and Fiduciary Services. This enclosure replaces the 2020 edition of this publication. Please note that, for ease of handling, the materials in this shipment may ship in more than one box.

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New features and recent developments in this 2021 edition include:

- Updated Chapter 5, Investment Managers and Advisers: The Investment Advisers Act of 1940
- Updated Chapter 11, Personal Trust Services
- Updated Appendices, notably Appendix 3A, Employee Retirement Income Security Act of 1974

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