### **Table of Contents**

### PART I. U.S. ANTITRUST LAWS

#### CHAPTER 1. UNLAWFUL AGREEMENTS

- § 1:2 Per se antitrust violations
- § 1:3 Rule of reason: Horizontal agreements
- § 1:4 Rule of reason: Vertical agreements
- § 1:5 Quick look analysis under the rule of reason
- § 1:6 Proof of unlawful conspiracy
- § 1:7 Information exchanges
- § 1:8 Boycotts
- § 1:9 Tying
- § 1:10 Resale price maintenance

## CHAPTER 2. REGULATION OF DOMINANT FIRMS

- § 2:1 Introduction
- § 2:2 Defining and measuring monopoly power
- § 2:4 Attempted monopolization
- § 2:5 Conspiracy to monopolize
- § 2:6 Predatory pricing
- § 2:7 The essential facilities doctrine
- § 2:8 Other types of predation
- § 2:9 Price discrimination

# CHAPTER 3. SECTION 7 OF THE CLAYTON ACT

- § 3:2 Horizontal mergers
- § 3:3 Horizontal mergers—Market definition
- § 3:4 Horizontal mergers—Effect on competition
- § 3:5 Horizontal mergers—Government enforcement policy
- § 3:6 Vertical mergers
- § 3:9 Relief in Section 7 litigation
- § 3:13 Relief in international merger cases
- § 3:14 Governmental enforcement policy towards transnational mergers
- § 3:15 The Hart-Scott-Rodino Act
- § 3:17 National security review
- § 3:18 Production joint ventures

§ 3:23 Foreign merger and joint venture regulation

# CHAPTER 4. ENFORCEMENT OF THE ANTITRUST LAWS

- § 4:1 Introduction
- § 4:2 United States Department of Justice
- § 4:3 Criminal prosecution
- § 4:4 Grand jury investigations
- § 4:5 Consequences of convictions
- § 4:6 Civil governmental actions
- § 4:7 International cooperation
- § 4:8 Consent decrees
- § 4:10 Competition advocacy
- § 4:11 The international antitrust guidelines
- § 4:12 The FTC
- § 4:13 Section 5 of the Federal Trade Commission Act
- § 4:14 Enforcement procedures
- § 4:16 Competition advocacy
- § 4:19 Standing
- § 4:20 Antitrust injury
- § 4:21 Indirect purchasers
- § 4:22 Proving antitrust damages
- § 4:23 Exemptions from the antitrust laws

# PART II. U.S. ANTITRUST LAWS AND INTERNATIONAL COMMERCE

#### CHAPTER 5. CARTEL ENFORCEMENT

- § 5:1 Introduction
- § 5:3 Enforcement activity in the United States
- § 5:9 Enforcement activity in the United States—Major criminal cases—Ready mixed concrete
- § 5:9.10 Enforcement activity in the United States—Major criminal cases—Automotive parts [New]
- § 5:9.15 Enforcement activity in the United States—Major criminal cases—Marine hose [New]
- § 5:9.20 Enforcement activity in the United States—Major criminal cases—Air cargo [New]
- § 5:9.25 Enforcement activity in the United States—Major criminal cases—TFT-LCD [New]
- § 5:9.30 Enforcement activity in the United States—Major criminal cases—Municipal bonds [New]
- § 5:9.35 Enforcement activity in the United States—Major criminal cases—Cathode Ray Tube [New]
- § 5:9.40 Enforcement activity in the United States—Major criminal cases—Freight Forwarding [New]

§ 5:9.45	Enforcement activity in the United States—Major criminal cases—Compressors [New]
§ 5:9.50	•
§ 5:9.55	
§ 5:9.60	Enforcement activity in the United States—Major criminal cases—Ocean shipping services [New]
§ 5:9.65	
§ 5:9.70	Enforcement activity in the United States—Major criminal cases—Parking heaters [New]
§ 5:9.80	Enforcement activity in the United States—Major criminal cases—Capacitors [New]
§ 5:9.90	cases—Generic drugs [New]
§ 5:9.95	cases—Employment practices [New]
§ 5:9.96	Enforcement activity in the United States—Major criminal cases—Government contracts [New]
§ 5:9.97	Enforcement activity in the United States—Major criminal cases—Intravenous saline solution [New]
§ 5:9.98	cases—Online retail promotional products [New]
§ 5:13	Enforcement activity in the United States—The leniency program—Antitrust Criminal Penalty Enhancement and Reform Act of 2004
§ 5:14	Enforcement activity in the United States—The leniency program—The amnesty program in operation
§ 5:15	Enforcement activity in the United States—Civil actions and state enforcement
§ 5:16	Enforcement activity in the European Union
§ 5:17	Enforcement activity in Canada
§ 5:18	Enforcement activity in other jurisdictions
§ 5:20	Increased international cooperation and convergence—
0	Convergence of leniency programs
§ 5:21	Increased international cooperation and convergence— International cooperation between antitrust enforcement agencies
§ 5:22	Increased international cooperation and convergence— International organizations

### CHAPTER 6. EXTRATERRITORIALITY

- § 6:8 The international insurance antitrust litigation
  § 6:10 United States government policy—Department of Justice policy
  § 6:11 United States government policy—Federal Trade Commission
- policy

### CHAPTER 7. ANTITRUST PROBLEMS IN EXPORT TRANSACTIONS

- § 7:3 The Foreign Trade Antitrust Improvements Act
- § 7:4 Interpreting the Foreign Trade Antitrust Improvements Act
- § 7:11 Suits by foreign purchasers under the U.S. antitrust laws
- § 7:12 Application of foreign competition laws to United States exports

### CHAPTER 8. INTERNATIONAL TRADE AND U.S. ANTITRUST LAW

- § 8:2 Service of process
- § 8:3 Avoiding "international" service
- § 8:4 Personal jurisdiction
- § 8:5 Venue
- § 8:6 Forum non conveniens
- § 8:7 Discovery of evidence abroad
- § 8:8 Proceeding under the Hague Convention
- § 8:14 Antisuit injunctions
- § 8:15 Blocking statutes
- § 8:16 Arbitration of antitrust claims

## CHAPTER 9. MULTI-JURISDICTIONAL MERGERS

- § 9:3 Development of merger enforcement in foreign nations
- § 9:5 Premerger notification issues
- § 9:7 Confidentiality and waiver
- § 9:8 Substantive merger review
- § 9:10 Remedies
- § 9:13 International Competition Network ("ICN")
- § 9:14 Other efforts at convergence and harmonization
- § 9:15 Bilateral cooperation

### CHAPTER 10. INTERNATIONAL TRANSFER OF TECHNOLOGY AND INTELLECTUAL PROPERTY ISSUES

- § 10:3 The cartel cases
- § 10:4 Price restraints within legitimate licensing agreements
- § 10:5 Non-price restraints in licenses
- § 10:6 Non-price restraints in licenses—Exceeding the scope of intellectual property protection
- § 10:7 Non-price restraints in licenses—Territorial restraints
- § 10:8 Non-price restraints in licenses—Field of use restrictions
- § 10:9 Non-price restraints in licenses—Tying
- § 10:10 Non-price restraints in licenses—Grantbacks

#### Table of Contents

§ 10:11	Patent Pools
§ 10:12	Settlement of patent litigation
§ 10:13	Department of Justice enforcement policy
§ 10:14	The 1995 and 2017 Antitrust Guidelines for the Licensing of
	Intellectual Property [Retitled]
§ 10:15	Antitrust and intellectual property enforcement under the
	1995 Intellectual Property Guidelines
§ 10:16	Patent fraud
§ 10:17	Enforcement of intellectual property rights
§ 10:19	Gray market imports—Gray market and trademarks
§ 10:20	Gray market imports—Gray market and copyright law

### CHAPTER 11. FOREIGN GOVERNMENTAL INVOLVEMENT

I	NVOLVEMENT
§ 11:2	Foreign Sovereign Immunities Act
§ 11:3	The Act of State doctrine
§ 11:4	The Act of State doctrine—The evolution of the Act of State doctrine
§ 11:7	The Act of State doctrine—Governmental representations in United States litigation—Foreign government representations
§ 11:8	The Act of State doctrine—Governmental representations in

- United States litigation—United States government representations

  § 11:10 Foreign sovereign compulsion defense—The requirement of
- § 11:10 Foreign sovereign compulsion defense—The requirement of actual compulsion
- § 11:11 Foreign sovereign compulsion defense—Negative compulsion and blocking statutes
- § 11:13 Foreign sovereign compulsion defense—Exceptions and limitations to the defense
- § 11:14 Foreign sovereign compulsion defense—The changing nature of the foreign compulsion defense
- § 11:15 Lobbying foreign governments

### PART III. U.S. ANTITRUST LAWS AND INTERNATIONAL TRADE LAW

# CHAPTER 12. COMPETITION AND FAIRLY TRADED IMPORTS

§ 12:2	Basic international trade regulatory structure
§ 12:3	Multilateral agreements and competition issues
§ 12:4	Bilateral and plurilateral agreements ("FTAs") and
	competition issues
§ 12:5	Import relief statutes
§ 12:6	Import relief statutes—Section 201
§ 12:7	Import relief statutes—Country-specific escape clauses (section 406 (NME), section 421 (China))

- § 12:8 Import relief statutes—Sector-specific escape clauses
- § 12:10 National security/foreign policy trade restraints—Economic Sanctions
- § 12:11 National security/foreign policy trade restraints—Export controls
- § 12:12 National security/foreign policy trade restraints— Antiboycott regulations
- § 12:13 National security/foreign policy trade restraints—Section 232 (discretionary import relief based on national security)
- § 12:14 National security/foreign policy trade restraints—Exon-Florio Amendment
- § 12:15 National security/foreign policy trade restraints—Defense Production Act Antitrust Immunity

## CHAPTER 13. "UNFAIR" INTERNATIONAL TRADE PRACTICES

- § 13:3 Antidumping duties—Regulatory scheme
- § 13:5 Countervailing duties
- § 13:6 Section 337 (IP-related imports)
- § 13:7 Section 301
- § 13:8 Conditional eligibility for preferential market access
- § 13:9 Foreign Corrupt Practices Act

### CHAPTER 14. TRADE LAW PRACTICES THAT RAISE ANTITRUST ISSUES

- § 14:2 The costs and burdens of trade complaints
- § 14:3 The antitrust implications of sham litigation
- § 14:4 Sham litigation in international trade cases
- § 14:6 Import relief as a guise for collusion

#### CHAPTER 15. PURSUING TRADE RELIEF

- § 15:1 Introduction
- § 15:2 Antidumping and countervailing duty cases
- § 15:4 Antidumping and countervailing duty cases—Data gathering and analysis—Scope and domestic like product
- § 15:5 Antidumping and countervailing duty cases—Data gathering and analysis—Foreign producing countries at issue
- § 15:6 Antidumping and countervailing duty cases—Data gathering and analysis—Injury
- § 15:7 Antidumping and countervailing duty cases—Data gathering and analysis—Allegations of dumping and subsidization
- § 15:8 Antidumping and countervailing duty cases—Data gathering and analysis—Industry support/standing

#### Table of Contents

- § 15:11 Antidumping and countervailing duty cases—Preparing and filing the petition—Filing
  § 15:13 Antidumping and countervailing duty cases—Preparing and filing the petition—Preliminary injury determination
  § 15:14 Antidumping and countervailing duty cases—Conclusion

- § 15:15 Safeguard cases § 15:16 Safeguard cases—Prior to the petition § 15:17 Safeguard cases—Obtaining safeguard relief

#### **Table of Laws and Rules**

#### **Table of Cases**

**Index**