# **Index**

#### **ACKNOWLEDGMENTS**

Signatures, pleading acknowledgment, 11:6

#### ACTIONS

Commencement of the Action, this index Derivative actions, **Rule 23.09, 23:25** Pendency of other actions, effect of, **12:11** Unincorporated associations, **Rule 23.10, 23:26** 

#### ACTS

Pleading official documents or acts, Rule 9.04, 9:7

# **ADJUDICATION**

Summary judgment, **56.41** 

## ADMISSIONS AND DECLARATIONS

Failure to make admissions, Rule 37.03, 37:11

Requests for admissions

Generally, Rule 36, Rule 36.01, 36:1, 36:3

Challenging responses, 36:8

Denials and qualified responses, 36:6

Drafting requests, 36:4

Effect of admissions, Rule 36.02, 36:9

Expenses for failure to make admissions, Rule 37.03, 37:11

Federal rule compared, 36:1

Objections, 36:6

Qualified responses, 36:6

Responses to requests, 36:5

# ADOPTION BY REFERENCE

Pleading, Rule 10.03

# **ADVISORY JURIES**

Generally, **Rule 39.02, 39:5** Findings by judge, **39:6** 

# **AFFIDAVITS**

Default, 55.7

Filing, **5:6** 

New trials, time for serving, 59.27

Summary judgment, 56.8, 56.17, 56.29, 56.33

Time for filing, Rule 6.04

# AFFIRMATIVE DEFENSES

Pleading, **Rule 8.03, 8:8** 

#### ALTERNATE OR ALTERNATIVE

Jury trials, alternate jurors, Rule 47.02

# ALTERNATIVE PLEADINGS

Generally, 8:11

# **AMENDMENTS**

Findings by courts, 52.19

Pleadings, this index

Process, amendments to, Rule 4.07, 4:26

Scope of rules, 1:3

# **APPEALS**

Appellate Review, this index

# **APPEARANCES**

Service and filing of pleadings and other papers, Rule 5.01, 5:7

## APPELLATE REVIEW

Class actions

Generally, Rule 23.06

certification decisions, 23:21

Clerical error in judgment, default, 55.9

Consolidation, 42:11

Depositions

Appeal, pending, Rule 27, Rule 27.02

Pending, 27:7

Exceptions unnecessary, 46:7

Findings by courts, 52.22

Intervention requests, 24:9

Involuntary dismissal, 41:24

Judgments, **54.18**, **54.27**, **54.34** 

Production of books and documents, 34:14

Qualification, judges, 63.10.50, 63.13.50

Sanction orders, 11:24

Standards, relief, 60.35

Summary judgment, 56.28

#### APPROVAL

Consent or Approval, this index

# ARGUMENTS OF COUNSEL

Opening statements, jury and jury trial, Rule 39.04, 39:8

# ASSIGNMENTS

Trials, this index

# ASSOCIATIONS

Personal service on, 4:11

Unincorporated associations, actions related to, Rule 23.10, 23:26

# ATTORNEY GENERAL

Intervention, notice to attorney general, Rule 24.04, 24:10

#### **ATTORNEYS**

Appointments of class action counsel, Rule 23.03, 23:22

Class actions counsel

Generally, Rule 23.07

appointments, Rule 23.03, 23:22

criteria for selection, 23:23

fee awards, Rule 23.08, 23:24

Representations to court, disciplinary actions, 11:13

Sanctions, imposition on counsel or client, 11:20

#### **ATTORNEYS' FEES**

Class action counsel awards, Rule 23.08, 23:24

Declaratory judgments, 57.10, 57.11

Judgments, 54.31, 54.32, 57.30

# **AUTHENTICATION OR AUTHENTICITY**

Official records, proof of

Generally, Rule 44.01, 44:5

Admissibility and weight, 44:6

Domestic records, 44:4

Foreign records, 44:7

# AUTHORITY OR AUTHORIZATION

Masters, **53.11** 

# **BENCH TRIALS**

Generally, Rule 39, Rule 39.01, 39:3

Alternative trial, **39:4** 

Involuntary dismissal, 41:21

## **CAPACITY**

Pleading, Rule 9.01, 9:3

# **CAPTIONS**

Pleading, **Rule 10.01, 10:3** 

# CASE TYPES

Indicators, pleading, 10:7

# **CERTIFICATES**

Filing of certificates of service, Rule 5.04, 5:6

Representations to court, 11:8

# **CERTIFICATIONS**

Class Actions, this index

Judgments, multiple claims, 54.13

# **CHANGE OR MODIFICATION**

Instructions to jury, 51.15

# **CLAIMS FOR RELIEF**

Joinder, this index

```
Motion to dismiss for failure to state a claim upon which relief may be granted,
       12:9
  Pleading, Rule 8.01, 8:3
CLASS ACTIONS
  Generally, Rule 23, 23:1 et seq.
  Appeals
    Generally, Rule 23.06
    certification decisions, 23:21
  Certification
    Generally, Rule 23.03, 23:12 et seq.
    appeals of certification decisions, 23:21
    decertification, 23:13
    determining by order whether to certify, Rule 23.03
  Class counsel
    Generally, Rule 23.07
    appointing, Rule 23.03, 23:22
    criteria for selection, 23:23
    fee awards, Rule 23.08, 23:24
  Commonality, 23:5
  Comparison to federal rule, 23:2
  Compromise, Rule 23.05
  Control orders, Rule 23.04, 23:17
  Criteria for selection of class counsel, 23:23
  Determining by order whether to certify a class action, Rule 23.03
  Joinder of parties, class action exceptions, Rule 19.04, 19:6
  Judgment, Rule 23.03, 23:15
  Maintainable actions
    Generally, Rule 23.02, 23:8 et seq.
    Rule 23.02(a) actions, 23:9
    Rule 23.02(b) actions, 23:10
    Rule 23.02(c) actions, 23:11
  Membership in class, Rule 23.03
  Multiple classes and subclasses, Rule 23.03, 23:16
  Notice, Rule 23.03, 23:14
  Numerosity, 23:4
  Objections, 23:19
  Orders
    Generally, Rule 23.03
    control orders, Rule 23.04, 23:17
    determining by order whether to certify, Rule 23.03
  Prerequisites to a class action
    Generally, Rule 23.01, 23:3 et seq.
    commonality, 23:5
    numerosity, 23:4
    representativeness, 23:7
    typicality, 23:6
```

CLAIMS FOR RELIEF—Cont'd

# CLASS ACTIONS—Cont'd

Representativeness, 23:7

Review and approval process, 23:20

Rule 23.02(a) actions, 23:9

Rule 23.02(b) actions, 23:10

Rule 23.02(c) actions, **23:11** 

Second opt-out period, 23:19

Settlement, Rule 23.05, 23:18

Subclasses multiple, Rule 23.03, 23:16

Typicality, 23:6

Voluntary dismissal, Rule 23.05, 23:18

#### **CLEARLY ERRONEOUS STANDARD**

Findings of fact, **52.13** 

# COMMENCEMENT OF THE ACTION

Generally, 3:1, 3:3

Comparison to federal rule, 3:2

Filing, 3:3.50

Pretrial Conferences, this index

Third-party practice, 14:3

# **COMMONALITY**

Class action prerequisites, 23:5

#### **COMPARATIVE FAULT**

Special verdict, 49:12

## **COMPENSATION AND SALARIES**

Masters, 53.18

# **COMPLAINTS**

Counterclaim maturing or acquired after, Rule 13.05, 13:8

Motion to dismiss for failure to state a claim upon which relief may be granted, 12:9

Paragraphing rules, Rule 10.02, 10:4

Publication, Service by, this index

Summons service, service of the complaint after, 4:20

# COMPROMISE AND SETTLEMENT

Adverse effects, 68:9A

Class actions, Rule 23.05, 23:18

Discovery, confidentiality of settlement records, 26:34

#### **COMPUTERS**

Electronically Stored Information, this index

# **CONCILIATION COURTS**

Appeals from, Rule 5.02, 5:4

Caption, 10:3

Personal service, 4:6

Process, 4:5

#### CONCILIATION COURTS—Cont'd

Removal of cases, **Rule 6.05**, **6:8**Service by mail, **4:24**Taxation of costs, **2:3** 

# **CONDITION OF MIND**

Pleading, Rule 9.02

# CONDITIONS PRECEDENT

Pleading, Rule 9.03, 9:6

#### CONFERENCES

Discovery, Rule 26.06, 26:37 Jury instructions, 51.9 Pretrial Conferences, this index

# CONFIDENTIAL OR PRIVILEGED INFORMATION

Discovery, this index Production of books and documents, **34:8** Settlement records, **26:33** 

# **CONFINED PERSONS**

Personal service, **4:10** Service upon, **4:10** 

# CONSENT OR APPROVAL

Trial by consent, Rule 39.02

## **CONSISTENCY**

Pleading rules, Rule 8.05, 8:10

## CONSOLIDATION

Defenses, consolidation in motion, Rule 12.07, 12:16

# CONSOLIDATION OF PROCEEDINGS

Joint or Separate Action or Trial, this index

# CONSTRUCTION AND INTERPRETATION

Civil rules, construction of, **1:5** Pleadings, **Rule 8.06**, **8:12** 

# **CONTEMPT**

Subpoenas
Compliance, **45:13**Discovery, **Rule 45.05** 

#### **CORPORATIONS**

Depositions, oral examinations, **Rule 30.02**Depositions, organization, **Rule 30.02**Depositions, remote technology, **Rule 30.02**Personal service on, **4:12**Shareholders' derivative actions, **Rule 23.09, 23:25** 

#### COST OR EXPENSE

Admissions, requests for, Rule 37.03, 37:11

Judgments, this index

Previously dismissed action, generally, Rule 41.04, 41:26

Taxation of, 2:3

# **COUNTERCLAIMS**

Generally, 13:1 et seq.

Comparisons to federal rule, 13:2

Compulsory counterclaims

Generally, **Rule 13.01, 13:3** 

effect of omission of, 13:4

Default, 55.17

Dismissal, Rule 41.03, 41:25

Omitted counterclaims, Rule 13.06, 13:9

Opposing claim, counterclaim exceeding, Rule 13.03, 13:6

Permissive counterclaims

Generally, Rule 13.02, 13:5 et seq.

opposing claim, counterclaim exceeding, Rule 13.03, 13:6

pleading, counterclaim maturing or acquired after, Rule 13.05, 13:8

state, counterclaim against, Rule 13.04, 13:7

Pleading, counterclaim maturing or acquired after, Rule 13.05, 13:8

State, counterclaim against, Rule 13.04, 13:7

# **COURTS**

Amendments of pleadings by leave of court, 15:5

Findings by

amendment standards and procedures, 52.19

appeals, 52.22

clearly erroneous standard, findings of fact, 52.13

decisions not requiring, 52.4

de novo review of conclusions of law, 52.14

Rule 52 motion, timing of, 52.18

Joinder of parties, determination by court that joinder not feasible, **Rule 19.02**, **19:4** 

Pleadings, leave of court amendments, 15:5

Representations to Court, this index

Sanctions, this index

# **CREDIBILITY**

Summary judgment, 56.24

## **CROSS-CLAIMS**

Generally, Rule 13, 13:1 et seq.

Comparisons to federal rule, 13:2

Co-party, cross-claim against, Rule 13.07, 13:10

Default, 55.17

Dismissal, Rule 41.03, 41:25

Third-Party Practice, this index

# **DAMAGES**

Punitive damages, pleading, 8:6 Special damages, pleading, Rule 9.07, 9:10

# **DEATH**

Substitution of parties Generally, Rule 25.01, 25:4 public officers, Rule 25.04 default

Comparison to Federal Court, Rule 55.05

#### **DECLARATIONS**

Admissions and Declarations, this index

# **DECLARATORY JUDGMENTS**

Attorneys' fees generally, 57.10 insurance cases, 57.11 Bench trials, 57.7 Burden of proof, 57.9 Costs, 57.10 Disbursements, 57.10 Effect of, **57.12** Evidence, 57.9 Fees, **57.10** 

Insurance cases, attorneys' fees in, 57.11

Jury trials, 57.7 Procedures, 57.8 Review of, **57.12** 

#### **DEFAULT**

Affidavits, 55.7 Appearance by party, 55.5 Clerical error in judgment, appeal, 55.9 Counterclaimant, 55.17 Cross-claimant, 55.17 FRCP comparisons to state rules, Rule 55.05 Judgments generally, 55.3 broad reopening, relief, 60.19 cases, relief in, 54.21, 54.22 Plaintiff, 55.17 Situations, 55.3

## **DEFECTS AND IRREGULARITIES**

Depositions, this index

# **DEFENSES AND OBJECTIONS**

Generally, Rule 12, 12:1 et seq. Affirmative defenses, pleading, Rule 8.03, 8:8

#### DEFENSES AND OBJECTIONS—Cont'd

Comparison to federal rule, 12:2

Consolidation of defenses in motion, Rule 12.07, 12:16

Hearings on Rule 12 motions, 12:13

How presented, Rule 12.02, 12:4

Motion for judgment on the pleadings, Rule 12.03, 12:12

Motion for more definite statement, for paragraphing and for separate statement,

Rule 12.05, 12:14

Motion to dismiss for failure to join a party, 12:10

Motion to strike, Rule 12.06, 12:15

Pendency of other actions, effect of, 12:11

Pleading defenses, Rule 8.02, 8:7

Preliminary hearing, Rule 12.04

Preservation of defenses, Rule 12.08, 12:17

Summary judgment, **56.33**, **56.34**, **56.37** 

Waiver of defenses, Rule 12.08, 12:17

When presented, Rule 12.01, 12:3

# **DELAY**

Summary judgment, 56.42

Third-party practice, orders for prevention of delay, Rule 14.03

# DEMAND OR REQUEST

Judgments, 57.20

# **DEMURRERS**

Abolition of, 7:4

#### **DENIALS**

Effect of failure to deny, Rule 8.04, 8:9

Representations of denials to court, 11:12

## **DEPOSITIONS**

Appeal, pending, **Rule 27.02, 27:7** 

Audio depositions, 30:16

Certification and filing by officer, Rule 30.06

Changes, Rule 30.05, 30:31

Conclusion of, 30:29

Copies, Rule 30.06

Corporate and organization deponents, 30:13

Corporate deponents, Rule 30.02

Costs and expenses, Rule 30.07, 30:18

Cross-examination, Rule 30.03

Defects. Errors and irregularities, below

Demeanor of attorneys, 30:23

Deponent, examination of, 30:21

Discovery of materials during, 30:34

Disqualification of officer, Rule 28.03

Documents and things, Rule 30.02

Electronically transmitted depositions, Rule 30.02

# **DEPOSITIONS—Cont'd**Eligibility to take. Person

Eligibility to take. Persons before whom depositions may be taken, below

Errors and irregularities

Generally, Rule 32.04, 32:15

Completion and return of depositions, 32:21

Notice, 32:16

Officer, disqualification of, 32:17

Procedural defects, 32:19

Taking of depositions, defects in, 32:18

Written interrogatories, 32:20

Examination and cross-examination, Rule 30.03

Exhibits, Rule 30.06, 30:35, 30:36

Failure to attend deposition or to serve subpoena, Rule 30.07, Rule 37.04, 30:40, 37:12

Filing, 30:39

Initial statement on record, 30:19

Instructions not to answer, 30:26

Irregularities. Errors and irregularities, above

Jury deliberations, use in, 32:6

Limit examination, motion to, Rule 30.04, 30:28

Manner of taking, 30:20

Notice

Generally, Rule 30.02, 30:9

Filing, of, Rule 30.06

Prior to action, 27:5

Reasonable notice, 30:10

Receipt, 30:38

Number and length, 30:6

Oath, Rule 30.03

Objections

Admissibility in court proceedings, Rule 32.02, 32:13

Assertion of, 30:22

Oral examination

Generally, Rule 30, 30:1

Audio depositions, 30:16

Corporate and organization deponents, 30:13

Federal rule compared, 30:2

Notice

Generally, Rule 30.02, 30:9

Reasonable notice, 30:10

Number and length, 30:6

Organization deponents, 30:13

Persons present at deposition, 30:12

Time for taking of deposition

Generally, Rule 30.01

Deponents, 30:4

Notice of deposition or subpoena, 30:5

Number and length, 30:6

# DEPOSITIONS—Cont'd Oral examination—Cont'd Time for taking of deposition—Cont'd Stipulations, 30:8 Subpoena, 30:5 Types of depositions, 30:7 Video and audio depositions, 30:16 Organization deponents, Rule 30.02, 30:13 Perpetuation of testimony Generally, Rule 27.03, 27:8 Persons before whom depositions may be taken Generally, Rule 28, 28:1 Disqualification, Rule 28.03, 28:11 Federal rule compared, 28:2 Foreign State or Country, this index Official, disqualification of, **28:11** United States, depositions within, Rule 28.01, 28:3 Prior to action Generally, Rule 27, Rule 27.01, 27:1 Federal rule compared, 27:2 Notice and service, 27:5 Order and examination, 27:6 Petition, 27:4 Purposes, 27:3 Production of documents and things, Rule 30.02, 30:14 Reasonable charges, 30:37 Recording, Rule 30.02 Recording of deposition, Rule 30.02, 30:15 Review of transcripts, 30:30 Schedule and duration, Rule 30.04 Sealed depositions, protective orders, 26:29 Service of process Notice and service prior to action, 27:5 Signing, Rule 30.05, 30:32 Special notice of examination, Rule 30.02 Stipulations, oral examination, time for taking of deposition, 30:8 Submission to witness, Rule 30.05 Subpoenas Generally, 30:5 Failure to attend deposition or to serve subpoena, Rule 30.07 Telephone, depositions by, Rule 30.02, 30:17 Terminate or limit examination, motion to, Rule 30.04, 30:28 Time allowed for, 30:27 Time and date, Rule 30.01, 30:3 et seq. Transcripts, 30:25, 30:30 Generally, Rule 32, Rule 32.01 Adverse party, by, 32:9

#### DEPOSITIONS—Cont'd

Use—Cont'd

Contradicting or impeaching testimony, **32:7** 

Effect of taking or use of depositions, Rule 32.03

Impeaching testimony, 32:7

Jury deliberations, use in, 32:6

Motions, in, **32:4** 

Objections to admissibility, Rule 32.02

Rules of evidence, 32:8

Selective use, 32:11

Trial, at, 32:5

Unavailability of witness, 32:10

Video and audio depositions, **Rule 30.05**, **30:16**, **30:22** 

Written questions

Generally, Rule 31, 30:24, 31:1

Federal rule compared, 31:2

Notice

Generally, Rule 31.01, 31:4

Notice of receipt, 31:9

Officers to take responses and prepare record, Rule 31.02

Procedure at deposition, 31:7

Procedures, 31:3

Questions, 31:5

Timing of deposition, 31:8

Witness access to, 31:6

# **DERIVATIVE ACTIONS**

Generally, **Rule 23.09, 23:25** 

# **DISCLOSE, DUTY TO**

Discovery, this index

# **DISCOVERY**

Access to settlement records, 26:34

Allowance, summary judgment, 56.31

Answers to discovery requests, failure to serve, Rule 37.04, 37:12

Attorney mental processes, 26:18

Communications and waivers, 26:9

Compelling discovery

Generally, Rule 37.01

Appropriate court for motion, 37:4

Expenses of motion to compel, 37:6

Motion procedures, 37:5

Orders, **37:3** 

Conference, Rule 26.06, 26:37

Confidentiality of settlement records, 26:34

Disclosures

Generally, 26:1

Federal rule compared, 26:2

# DISCOVERY—Cont'd Disclosures—Cont'd Legal positions, 26:19 Required disclosures, Rule 26.01, 26:3, 26:21 Duty to disclose, Rule 26 Electronically Stored Information, this index **Experts** Methods, scope and limits of discovery, 26:21, 26:22 Noncompliance with disclosure requirements and requests, 26:21 Noncompliance with expert disclosures requirements and discovery requests, 26:22 Extent of, 26:12 Failure to make discovery or cooperate in discovery Generally, Rule 37, 37:1 Admission, failure to make, Rule 37.03 Answers to discovery requests, failure to serve, **Rule 37.04, 37:12** Compelling discovery, above Costs, award of, 37:10 Depositions, failure to attend, Rule 37.04, 37:12 Disclosure, failure to make, Rule 37.03 Electronically stored information, loss of, Rule 37.05, 37:13 Federal rule compared, 37:2 Framing discovery plan, failure to participate in, Rule 37.06, 37:14 Imposable sanctions, 37:8 Noncompliance with orders, Rule 37.02 Selection of sanction, 37:9 Supplement earlier response, failure to make, Rule 37.03 Insurance, **26:13** Interstate depositions and discovery, Rule 45.06 Investigation files, 26:15 Judicially imposed ESI factors, 34:12 Legal positions, disclosure of, 26:19 Limits, Rule 26.02 Methods of discovery, Rule 26.01, Rule 26.02, 26.04 Nonconformance with expert disclosures requirements and discovery requests, 26:21 Objections, signing of, Rule 26.07 Physical or Mental Examination, this index Practice considerations, 26:7 Privilege Generally, 26:8 Determination of, 26:10 Self-incrimination, 26:11 Settlement records, 26:33 Waiver, 26:9 Procedural ESI rules, 34:12 Production of Books and Documents, this index Protective Orders, this index

#### DISCOVERY—Cont'd

Relevancy, 26:6

Required disclosures, Rule 26.01, 26:3

Sanctions

discovery issues, Rule 11.04, 11:25

Failure to make discovery or cooperate in discovery, above

Scope, Rule 26.02, 26:5

Scope and limits, failure to disclose regarding experts, 26:21

Sealed depositions, protective orders, 26:29

Self-incrimination privilege, 26:11

Sequence and timing, Rule 26.04, 26:35

Settlement records, 26:34

Signing of requests, responses and objections, Rule 26.07, 26:38

Simultaneous discovery, protective orders, 26:31

Stipulations

Generally, Rule 29, 29:1, 29:3

Federal rule compared, 29:2

Subpoena, interstate depositions and discovery, Rule 45.06

Substantial need and undue hardship, 26:16

Supplementation

Generally, Rule 26.05, 26:36

Time of discovery, Rule 26.04, 26:35

Timing, Rule 26.04

Trade secrets, protective orders, 26:30

Trial preparation materials, 26:14

Undue hardship, substantial need and, 26:16

Video depositions, Rule 32.05

Waiver

Communications and, 26:9

Extent of protections, 26:20

Witness statements, 26:17

# DISMISSAL, DISCONTINUANCE, AND NONSUIT

Generally, Rule 41, 41:1

Costs of previously dismissed actions, Rule 41.04, 41:26

Counterclaim, dismissal of, Rule 41.03, 41:25

Cross-claim, dismissal of, Rule 41.03, 41:25

Federal rule compared, 41:2

Filing, automatic dismissal with prejudice, Rule 5.04, 5:6

Involuntary dismissal

Generally, Rule 41.02

Adjudication on the merits, **41:23** 

Appeal, 41:24

Bench trial ruling, 41:21

Delay or failure, reasons for, 41:18

Effect of dismissal, 41:22

Failure to comply with rules or order, 41:17

Failure to prosecute, **41:16** 

# DISMISSAL, DISCONTINUANCE, AND NONSUIT—Cont'd

Involuntary dismissal—Cont'd

Motions to dismiss during trial, 41:19

Other rules, under, 41:15

Rules or order, failure to comply with, 41:17

Standards, 41:20

Voluntary dismissal and, 41:13, 41:14

Motions to dismiss for failure to state a claim upon which relief may be granted, 12:9

Motions to dismiss for insufficiency of process, 12:7

Motions to dismiss for insufficiency of service of process, 12:8

Motions to dismiss for lack of personal jurisdiction, 12:6

Motions to dismiss for lack of subject matter jurisdiction, 12:5

Third-party claims, Rule 41.03, 41:25

Voluntary dismissal

Generally, Rule 41.01, 41:3

Class actions, Rule 23.05, 23:18

Court order, 41:10

Involuntary dismissal and, 41:13, 41:14

Judicial discretion, 41:11

Some claims or parties, of, 41:9

Stipulation, 41:6, 41:7

Terms and conditions, 41:12

Timely dismissal, 41:4

Two dismissal rule, 41:8

Vacation of dismissal, 41:5

#### **DOCUMENTS**

Copies, summary judgment, 56.35

Failure to sign, 11:5

Pleading official document or act, Rule 9.04, 9:7

Service and Filing of Pleadings and Other Papers, this index

Signatures

Generally, 11:4

failure to sign, 11:5

# **DUE PROCESS**

Personal service requirements, 4:8

#### **ELECTRONICALLY STORED INFORMATION (ESI)**

Generally, Rule 37.05, 34:12

Safe harbor for routine loss of, **37:13** 

#### **ELECTRONIC FILING**

Generally, Rule 5.02, Rule 5.06, 5:9

Additional time after service by mail, 6:8

Commencement of the action, 3:3

Facsimile transmission, 5:8

Methods of service, 5:4

Pilot project, **5:1**, **6:1** 

#### ELECTRONIC FILING—Cont'd

Process and service of process and papers, **5:9** Service late in day, **6:9** Signatures, **Rule 11.01** 

# **ELECTRONICS**

Depositions, transmitted electronically, Rule 30.02, 30:17

#### **ELECTRONIC SERVICE**

Generally, Rule 5.02, 5:9, 6:1

Methods of service, 5:4

Service and filing of pleadings and other papers, Rule 5.02

# ENLARGEMENT OF TIME

Generally, **Rule 6.02, 6:5** 

#### **ERROR**

Appeal, clerical error in judgment, default, 55.9

Depositions, this index

Polling the jury, **51.22** 

# **EVIDENCE AND WITNESSES**

Generally, Rule 43, 43:1

Admissibility of evidence

Generally, **Rule 43.01, 43:3** 

Adverse parties, Rule 43.02, 43:4

Affirmation in lieu of oath, Rule 43.04, 43:6

Amendments of pleadings to conform to, Rule 15.02, 15:7

Amendment upon leave of court, Rule 56, 15:5

Declaratory judgments, 57.9

Depositions, this index

Discovery, this index

Electronically Stored Information, this index

Error, harmless, 61.3.50

Federal rule compared, 43:2

Form of testimony, Rule 43.01, 43:3

Harmless error, 61.3.50

Hearings, masters, 53.12

Hostile witness, Rule 43.02, 43:4

Interpreters, Rule 43.07

Motions, generally, Rule 43.05, 43:7

Official records, proof of. Records and Recording, this index

Physical or Mental Examination, this index

Production of Books and Documents, this index

Records and recording, excluded evidence, Rule 43.03, 43:5

Res Ipsa Loquitur, this index

Rule 56, summary judgment, 56.18

Subpoenas, this index

Taking of testimony, generally, Rule 43

#### **EXCEPTIONS**

Objections and Exceptions, this index

#### **EXHIBITS**

Depositions, Rule 30.06, 30:35, 30:36 Pleading, Rule 10.03, 10:6

#### **EXPENSE**

Cost or Expense, this index

# EXPERT AND OPINION EVIDENCE

Discovery

Methods, scope and limits, 26:21, 26:22

Noncompliance with expert disclosures requirements and discovery requests, 26:22

Noncompliance with expert disclosures requirements and discovery requests, 26:22

Physical, mental and blood examinations, medical disclosures and expert depositions, **Rule 35.04**, **35:14** 

#### **FACSIMILE TRANSMISSION**

Process and service of process and papers, **5:9**Service and filing of pleadings and other papers by, **5:8** 

#### FACT

Findings of, clearly erroneous standard, **52.13** Representations of fact to court, **11:11** 

## **FILING**

Generally, Rule 3, 3:3.50

Affidavits, 5:6

Certificate of service, filing, Rule 5.04, 5:6

Commencement of action, 3:3.50

Comparison to federal rule, 3:2

Electronic filing, Rule 5.06, 5:9

Facsimile transmission, filing by, 5:8

# FOREIGN STATE OR COUNTRY

Depositions

Commission method, 28:8

letters rogatory, 28:6

Notice method, 28:7

Persons before whom depositions may be taken

Generally, Rule 28.02, 28:4

Advantages and disadvantages, 28:10

Commission method, 28:8

letters rogatory, 28:6

Notice method, 28:7

Stipulation, 28:9

Treaty or convention method, 28:5

Stipulation, 28:9

#### FOREIGN STATE OR COUNTRY-Cont'd

Depositions—Cont'd

Treaty or convention method, 28:5

# FOURTH-PARTY ACTIONS

Generally, 14:6

# FRAUD AND DECEIT

Pleading, Rule 9.02, 9:4

Relief from judgment or order, 60.22.50

# FRCP COMPARISONS TO STATE RULES

Class actions, 23:2

Default, Rule 55.05

Defenses and objections, 12:2

Interpleader, 22:2

Intervention, 24:2

Joinder of claims and remedies, 18:2

Joinder of parties

Generally, 19:2

misjoinder and nonjoinder, 21:2

permissive joinder, 20:2

Misjoinder and nonjoinder, 21:2

One form of action, 2:2

Parties, 17:2

Permissive joinder of parties, 20:2

Pleading

Generally, 7:2, 8:2

amended pleadings, 15:2

form of pleadings, 10:2

special matters, 9:2

Pretrial conferences, 16:2, 16:7.50

Process, 4:2

Representations to court, 11:2

Sanctions, 11:2

Scope of rules, 1:2

Service and filing of pleadings and other papers, 5:2

Signatures, 11:2

Substitution of parties, 25:2

Third-party practice, 14:2

Time matters, 6:2

# **GOOD FAITH**

Summary judgment, 56.42

# **GUARDIANS AD LITEM**

Parties, 17:7

# HARMLESS ERROR

Evidence errors, 61.3.50

Mistakes, trial participants, 61.3.10

# INDEX

# **HEARINGS**

Evidentiary, masters, **53.12** Motions, **7:8**, **59.25** Preliminary hearing, **Rule 12.04** Rule 12 motions, **12:13** 

#### **HOLIDAYS**

Time computation, 6:4

### **HOSTILE WITNESSES**

Generally, Rule 43.02, 43:4

# HYPOTHETICAL PLEADINGS

Generally, 8:11

# **IDENTIFIERS**

Representations to court, restricted identifiers, 11:14

#### **IMMUNITY**

Service not covered by rule, 4:3

# IMPEACHMENT OF WITNESSES

Depositions, use of, 32:7

# **INCOMPETENCY**

Parties, Rule 17.02, 17:6 Substitution of parties, Rule 25.02, 25:5

## **INDIVIDUALS**

Personal service on, 4:9

### **INFANTS**

Parties, Rule 17.02, 17:6

# **INFERENCES**

Summary judgment, 56.24

# IN FORMA PAUPERIS

Judgments, 57.33

# INSTRUCTIONS TO JURY

Additional instructions, 51.19

Charge, modification of, 51.15

Commenting, 51.15

Conference, 51.9

Errors in fundamental law, 51.20

Juror questions, 51.18

Jury Instruction Guides (JIGs), 51.5

Methods of, 51.12

Modification of charge, 51.15

Objections, 51.13

Polling the jury, **51.22** 

Preliminary instructions, civil cases, Rule 39.03, 39:7

Procedures, 51.10

# INSTRUCTIONS TO JURY—Cont'd

Requests for, **51.4** 

Res ipsa loquitur, 43:10

Review of, **51.21** 

Right to, **51.8** 

Selection of judge, 51.6

Special verdict, 49:9

Timing of, **51.11** 

Types of, **51.5** 

Waiver, **51.13** 

Written copy, 51.17

# **INSURANCE**

Declaratory judgments, attorneys' fees, 57.11

Discovery, 26:13

#### **INTENT**

Pleading, 9:5

## **INTEREST**

Intervention, requirement of claim of interest, 24:4, 24:5

Judgments, 54.23

Transfer of interest, substitution of parties, Rule 25.03, 25:6

# INTERLOCUTORY JUDGMENTS

Costs, 54.15

# **INTERPLEADER**

Generally, Rule 22, 22:1 et seq.

Comparison to federal rule, 22:2

# INTERPRETATION

Construction and Interpretation, this index

## **INTERPRETERS**

Witnesses, Rule 43.07, 43:11

# **INTERROGATORIES**

Generally, Rule 33, 33:1

Availability, Rule 33.01

Drafting, 33:6

Errors and irregularities, 32:20

Federal rule compared, 33:2

Number of, **33:5** 

Option to produce business records, Rule 33.03, 33:10

Procedure for use, Rule 33.01

Responses to, 33:7

Scope of interrogatories, Rule 33.02, 33:8

Timing and responses, 33:4

Use of, Rule 33.02, 33:3, 33:9

#### INTERVENTION

Generally, Rule 24, 24:1 et seq.

Appeal and review of request to intervene, 24:9
Claim of interest requirement of, 24:4, 24:5
Comparison to federal rule, 24:2
Notice to attorney general, Rule 24.04, 24:10
Permissive intervention, Rule 24.02, 24:7
Procedure, Rule 24.03, 24:8
Right, intervention as of, Rule 24.01, 24:3

# INVESTIGATIONS AND INVESTIGATORS

Discovery, 26:15

#### **JOINDER**

Additional parties, joinder of, Rule 13.08, 13:11 Claims and remedies Generally, Rule 18.01, 18:1 et seq. claims joinder, 18:3 comparison to federal rule, 18:2 remedies joinder, Rule 18.02, 18:4 Misjoinder of parties Generally, Rule 21, 21:1 et seq. comparison to federal rule, 21:2 Motion to dismiss for failure to join a party, 12:10 Nonjoinder of parties Generally, Rule 21, 21:1 et seq., 21:4 comparison to federal rule, 21:2 reasons for nonjoinder, pleading, Rule 19.03, 19:5 Generally, Rule 19, 19:1 et seq. additional parties, Rule 13.08, 13:11 class action exceptions, Rule 19.04, 19:6 common question requirement, permissive joinder, 20:5 comparison to federal rule Generally, 19:2 misjoinder and nonjoinder, 21:2 permissive joinder, 20:2 determination by court whenever joinder not feasible, Rule 19.02, 19:4 feasible joinder, Rule 19.01, 19:3 judgment in multi-party cases, permissive joinder, 20:6 just adjudication, persons needed for, Rule 19 Misjoinder of parties, above Nonjoinder of parties, above nonjoinder reasons, pleading, Rule 19.03, 19:5 not feasible joinder determination by court, Rule 19.02, 19:4 pleading, Rule 19.03, 19:5 occurrence requirement, permissive joinder, 20:4

#### JOINDER-Cont'd

Parties—Cont'd

Permissive joinder of parties, below

pleading reasons for nonjoinder, Rule 19.03, 19:5

separate trials, Rule 20.02, 20:7

transaction requirement, permissive joinder, 20:4

Permissive joinder of parties

Generally, Rule 20, 20:1 et seq.

common question requirement, 20:5

comparison to federal rule, 20:2

judgment in multi-party cases, 20:6

occurrence requirement, 20:4

transaction requirement, 20:4

Separate trials, separate judgment

Generally, **Rule 13.09, 13:12** 

joinder of parties, Rule 20.02, 20:7

# JOINT OR SEPARATE ACTION OR TRIAL

Generally, Rule 42, 42:1

Bifurcated trial

Generally, **42:17** 

Common questions of law and fact, 42:5

Confusion, 42:6

Consolidation

Generally, Rule 42.01, 42:3

Administrative procedures, **42:10** 

Appeals, 42:11

Common questions of law and fact, 42:5

Confusion, 42:6

Economy, **42:6** 

Judicial discretion, 42:8

Prejudice, confusion, economy, 42:6

Relationship with other rules, 42:9

Same results, for, 42:4

Some issues, on, 42:7

Economy, **42:6** 

Federal rule compared, 42:2

Grounds for ordering separate trial, 42:14

Judicial discretion

Consolidation, 42:8

Separate trial, 42:15

Jury trial, **42:18** 

Prejudice, confusion, economy, 42:6

Procedures, 42:16

Separate trial, generally, Rule 42.02, 42:12

Severance versus separation, 42:13

#### JUDGMENT AS A MATTER OF LAW (JAML)

Generally, Rule 50, 50:1

```
JUDGMENT AS A MATTER OF LAW (JAML)—Cont'd
  Denial of motion, Rule 50.04, 50:19
  Federal rule compared, 50:2
  Grant of motion, Rule 50.03
  New trial motion following grant of, 50:18
  Ruling on motion
    Generally, Rule 50.03, Rule 50.04
    Denial of motion, Rule 50.04
    Grant of motion, Rule 50.03
    Options, 50:17
    Split decisions and conditional ruling, 50:16
  Summary judgment, 56.25
     Alternative post-trial motions, 50:15
    Criteria, 50:7
    Effect of motion, 50:6
    Grounds for motion, 50:5
    Involuntary dismissal, motion distinguished from, 50:8
    Motion after, Rule 50.02
    Motion during, Rule 50.01, 50:3 et seq.
    Timing of motion, 50:4
JUDGMENTS
  Appeal, 54.34, 55.9
  Attorneys' fees, 57.10, 57.30
  Certification issues, multiple claims, 54.13
  Class actions, Rule 23.03, 23:15
  Clerical error, appeal, 55.9
  Costs
    appeal, 54.27
    application, 54.24
    attorneys' fees, 54.31, 54.32
    decisions, 54.26
    disbursements, 54.23, 54.28, 57.10
    fees, 54.28, 57.10
    in forma pauperis, 57.33
    interest, 54.23
    judicial review, 54.26
    objections, 54.24
    prevailing party, 54.25
    procedure, 54.26
    recoverable costs, 54.28
    responses, 54.24
  Costs and disbursements applications, responses, objections, 54.24
  Declaratory judgments, 57.10
  Declaratory Judgments, this index
  Default cases, relief in, 54.21, 54.22
  Demand for, 57.20
```

```
JUDGMENTS—Cont'd
  Entry
     generally, 58.3
    conditions of stay, 58.13
    notice, 58.5
    orders, 58.4
    procedure, 58.7
    stay, 58.11, 58.12, 58.13, 59.29
    taxation of costs, disbursements, fees, 58.6
    timing of stay, 58.12
  Finality of decision, 54.34
  Interlocutory, 54.15
  Joinder of parties, judgment in multi-party cases, 20:6
  Motion for judgment on the pleadings, Rule 12.03, 12:12
  Multiple claims, certification issues, 54.13
  Pleading, Rule 9.05, 9:8
  Relief in default cases, 54.21, 54.22
  Revisions, 54.16
  Rule 54.02
    judicially created exceptions, 54.14
    order, effects of, 54.19
  Timing
    appeals, 54.18
    motions, post-trial, 54.17
JURISDICTION
  Motion to dismiss for lack of personal jurisdiction, 12:6
  Motion to dismiss for lack of subject matter jurisdiction, 12:5
JURY AND JURY TRIAL
  Generally, Rule 38, Rule 39, Rule 47, Rule 48, 38:1, 39:1, 47:1, 51.3
  Advisory juries, Rule 39.02
  Alternate jurors, Rule 47.02
  Challenges
    Generally, 47:6
    Panel, to, 47:7
  Conference, instructions, 51.9
  Consent, trial by, Rule 39.02
  Declaratory judgments, 57.7
  Deliberation, 48:5
  Dismissal, Discontinuance, and Nonsuit, this index
  Examination of jurors
    Generally, Rule 47.01, 47:3
    Challenges, above
    Peremptory challenges, 47:10
    Types of questions and answers, 47:5
     Voir Dire, this index
  Excuse from service, Rule 47.04, 47:15
```

# JURY AND JURY TRIAL—Cont'd Federal rule compared, 38:2, 39:2, 47:2 Instructions to Jury, this index Jury Instruction Guides (JIGs), 51.5 Method of instructions, 51.12 Misconduct, separation of jury, 47:13 Number, Rule 48, 48:1 et seq. Opening statements, Rule 39.04, 39:8 Peremptory challenge, 47:10 Preliminary jury instructions, Rule 39.03, 39:7 Preservation of right to jury trial Generally, **Rule 38.01, 38:3** Counterclaims, 38:6 Demanding or waiving, 38:8 Law and equity cases, 38:4 Reference, 38:9 Scope of right, 38:7 Types of cases, 38:5 Waiver, 38:8 Requests for jury instructions, 51.4 Right to, summary judgment, 56.6 Sealed verdict, separation during, 47:14 Separate trials, 42:18 Separation of jury Generally, Rule 47.03 Deliberation, during, 47:11 Misconduct, 47:13 Sealed verdict, during, 47:14 Sequestration, 47:12 Sequestration, 47:12 Waiver Generally, Rule 38.02, 38:10 Preservation of right to jury trial, 38:8 **KNOWLEDGE** Pleading, 9:5 LAW Representations of law to court, 11:10 LONG-ARM STATUTES Personal service, 4:7 MAIL SERVICE Generally, 4:24 Additional time after service, 6:4.30 **MALICE** Pleading, 9:5

```
MASTERS
  Appointment
    notice of, 53.6
    order of, 53.7
    qualifications of, 53.5
    scope of, 53.4
  Authority of, 53.11
  Compensation, 53.18
  Court action on decision, 53.15
  Hearings, 53.12
  Objections by parties, 53.16
  Orders
    generally, 53.13
    amendments to, 53.10
    appointment, 53.6, 53.7
    disqualification, 53.9
    entry of, 53.8
    notice of appointment, 53.6
    statutory referees, 53.20
  Power and authority, 53.11
  Powers of, 53.11
  Reports, 53.14
  Standards of review, 53.17
MENTAL COMPETENCY
  Physical or Mental Examination, this index
MENTAL CONDITIONS
  Pleading, Rule 9.02, 9:5
MISTAKE OR ERROR
  Harmless, trial participants, 61.3.10
  Pleading, Rule 9.02, 9:4
  Relief
    cases, 60.14
    correctable, 60.4
    procedure for correcting, 60.5
MOTIONS
  Generally, Rule 7.02, 7:5 et seq.
  Claim for relief, motion to dismiss for failure to state, 12:9
  Consolidation of defenses in motion, Rule 12.07, 12:16
  Content of motions and other documents, 7:6
  Defenses and Objections, this index
  Definiteness, motion for more definite statement, Rule 12.05, 12:14
  Dismissal, Discontinuance, and Nonsuit, this index
  Failure to sign, 11:5
  Form of motions, Rule 7, 7:5
  Hearings, 7:8, 59.25
```

# MOTIONS—Cont'd Judgment on pleadings, motion for, Rule 12.03, 12:12 New Trials, this index Pleadings, this index Rule 52 motions, timing of, 52.18 Service, 7:7 Signatures Generally, 7:7, 11:4 failure to sign, 11:5 Strike motions, Rule 12.06, 12:15 Summary Judgment, this index Timing Generally, Rule 6.04, Rule 6.05, 6:8 appeals, judgments, costs, 54.17 late-in-day service, Rule 6.05, 6:9 post trial motions, judgments, costs, 54.17 Rule 52 motions, timing of, 52.18 service, time for, **6:7 NEW TRIALS** Affidavits, time for serving, 59.27 Grounds, 59.28 Issues or parties, 59.8 Judgment as a matter of law, 50:18 Motion basis for, **59.21** hearings, **59.25** notice of filing, 59.25 number of, **59.24** timing, 59.22 Rules, other, 59.20 Stay of entry of judgment, 59.29 Sua sponte orders, 59.27 **NONSUIT** Dismissal, Discontinuance, and Nonsuit, this index NOTICE Class actions, Rule 23.03, 23:14 Depositions, prior to action, service and notice, 27:5 Entry of judgment, 58.5 Intervention, notice to attorney general, Rule 24.04, 24:10 New trial motion, 59.25 Summary judgment, 56.16 NUMEROSITY Class actions prerequisites, 23:4 **OATH AND AFFIRMATION** Depositions, Rule 30.03

#### OATH AND AFFIRMATION—Cont'd

Witnesses, affirmation in lieu of oath, Rule 43.04, 43:6

# **OBJECTIONS AND EXCEPTIONS**

Generally, Rule 46, 46:1

Admissions, requests for, 36:6

Appeal, 46:7

Continuing objection, 46:5

Curative instructions, 46:14

Defenses and Objections, this index

**Depositions** 

Admissibility in court proceedings, Rule 32.02

Assertion of objections, 30:22

Determining whether to object, 46:11

Discovery, signing of objections, Rule 26.07, 26:38

Federal rule compared, 46:2

Grounds, 46:4

Judgments, 54.24

Jury instructions, 51.13

Masters, by parties, **53.16** 

Motions in limine, 46:15

Presenting objections, 46:13

Procedures for objection, 46:12

Production of books and documents, 34:7

Purpose for objections, 46:10

Record, 46:9

Specific objection requirement, 46:3

Strike, motions to, 46:14

Summary judgment, 56.34

Timely objection, 46:6

#### OFFICIAL ACTS OR MATTERS

Records and Recording, this index

# ONE FORM OF ACTION

Generally, Rule 2, 2:3

Comparison to federal rules, 2:2

# **OPENING STATEMENTS**

Jury and jury trial, Rule 39.04, 39:8

# **ORDERS**

Class Actions, this index

Judgments, this index

Masters, this index

Pretrial

Generally, Rule 16.05, 16:7

comparison to federal rule, 16:7.50

Protective orders, third-party practice, Rule 14.03, 14:8

#### ORDERS-Cont'd

Sanctions

Generally, **11:21** 

appeals, 11:24

Service and Filing of Pleadings and Other Papers, this index

Summary judgment, **56.9**, **56.40** 

Third-Party Practice, this index

# **ORGANIZATIONS**

Depositions, Rule 30.02

#### **PAPERS**

Service and Filing of Pleadings and Other Papers, this index

#### **PARTIES**

Generally, Rule 17, 17:1 et seq.

Additional parties

joinder of, Rule 13.08, 13:11

relation back of amended pleadings as to, 15:9

Appearance by, default, 55.5

Comparison to federal rule, 17:2

Co-party, cross-claim against, Rule 13.07, 13:10

Cross-claim against co-party, Rule 13.07, 13:10

Derivative actions, Rule 23.09, 23:25

Existing parties, relation back of amended pleadings as to, 15:8

Guardians ad litem, 17:7

Incompetent persons, Rule 17.02, 17:6

Infants, Rule 17.02, 17:6

Intervention, this index

Joinder, this index

Judgment independent of the motion, 56.39

Judgments, prevailing, 54.25

Just adjudication, persons needed for, Rule 19

Motions to dismiss for failure to join a party, 12:10

New trial, 59.8

Numerous defendants, service and filing on, Rule 5.03, 5:5

Pleading

amendments, relation back as to additional parties, 15:9

amendments, relation back as to existing parties, 15:8

unknown party designations, Rule 9.08, 9:11

Ratification, 17:5

Real party in interest, Rule 17.01, 17:3

Relation back of amended pleadings

additional parties, 15:9

existing parties, 15:8

Service and filing on numerous defendants, Rule 5.03, 5:5

Shareholders' derivative actions, Rule 23.09, 23:25

State as Party, this index

Subrogation, 17:4

#### PARTIES—Cont'd

Substitution of Parties, this index

Third-Party Practice, this index

Unincorporated associations, actions related to, Rule 23.10, 23:26

Unknown party designations in pleadings, Rule 9.08, 9:11

# **PARTNERSHIPS**

Personal service on, 4:11

#### **PENALTIES**

Summary judgment, 56.43

# PEREMPTORY CHALLENGES

Generally, 47:10

#### PERSONAL SERVICE

Generally, Rule 4.03, 4:6 et seq.

Associations, 4:11

Confined persons, 4:10

Corporation, 4:12

Due process requirements, 4:8

Individuals, 4:9

Long-arm statutes, 4:7

Partnerships, 4:11

Prisoners, 4:10

Publication, Service by, this index

Public corporations, 4:14

Service upon infants, 4:10.70

Service upon judicial officers and judicial branch employees, 4:10.30

State, 4:13

# PHYSICAL OR MENTAL EXAMINATION

Generally, Rule 35, 35:1

Attendees, 35:9

Conditions of examination, 35:8

Federal rule compared, 35:2

Medical disclosures and expert depositions, Rule 35.04, 35:14

Number of examinations, 35:10

Order of examination

Generally, Rule 35.01

Attendees, 35:9

Conditions of examination, 35:8

Controversy, requirement of, 35:4

Examination, 35:3

Examinee, 35:6

Examiner, 35:7

Good cause, requirement of, 35:5

Medical disclosures and expert depositions, Rule 35.04

Number of examinations, 35:10

Report of findings, Rule 35.02

```
PHYSICAL OR MENTAL EXAMINATION—Cont'd
  Order of examination—Cont'd
     Waiver of medical privilege, Rule 35.03, 35:13
  Reports
    Generally, Rule 35.02
    Exchange of, 35:12
  Waiver of medical privilege, Rule 35.03, 35:13
PLANNING
  Pretrial conferences, Rule 16.02
PLEADING
  Generally, Rule 7, 7:1 et seq., 8:1 et seq.
  Abolition of demurrers, pleas and other pleadings, 7:4
  Acts, official, Rule 9.04, 9:7
  Additional parties of relation back of amended pleadings as to, 15:9
  Adoption by reference, Rule 10.03
  Affirmative defenses, Rule 8.03, 8:8
  Allowed pleadings, 7:3
  Alternative pleadings, 8:11
  Amended pleadings
    Generally, Rule 15, 15:1 et seq.
    comparison to federal rule, 15:2
    evidence, amendments to conform to, Rule 15.02, 15:7
    leave of court amendments, 15:5
    matter of course amendments, 15:4
    relation back
       Generally, Rule 15.03 et seq.
       additional parties, 15:9
       existing parties, 15:8
    responses, 15:6
  Capacity, Rule 9.01, 9:3
  Captions, Rule 10.01, 10:3
  Case type indicators, 10:7
  Claims for relief, Rule 8.01, 8:3
  Comparison to federal rules
    Generally, 7:2, 8:2
    amended pleadings, 15:2
    form of pleadings, 10:2
     special matters, 9:2
  Complaints, this index
  Compulsory counterclaims
    Generally, Rule 13.01, 13:3
    effect of omission of, 13:4
  Concise and direct rule, Rule 8.05, 8:10
  Condition of mind, Rule 9.02
  Conditions precedent, Rule 9.03, 9:6
  Consistency, Rule 8.05, 8:10
  Construction of pleadings, Rule 8.06, 8:12
```

```
PLEADING—Cont'd
  Counterclaims, this index
  Counts, separate, Rule 10.02, 10:5
  Cross-Claims, this index
  Defenses, Rule 8.02, 8:7
  Definiteness, motion for more definite statement, Rule 12.05, 12:14
  Demand for judgment, 8:5
  Demurrers, abolition of, 7:4
  Denials
    failures to deny, effect, Rule 8.04, 8:9
    form of, Rule 8.02
  Designation of an unknown party, Rule 9.08, 9:11
  Documents, official, Rule 9.04, 9:7
  Effect of failure to deny, Rule 8.04, 8:9
  Evidence, amendments of pleadings to conform to, Rule 15.02, 15:7
  Exhibits, Rule 10.03, 10:6
  Existing parties of relation back of amended pleadings as to, 15:8
  Failure to comply with form of pleadings, Rule 10.04, 10:7
  Failure to sign, 11:5
  Form of denials, Rule 8.02
  Form of pleadings
    Generally, Rule 10 et seq.
    adoption by reference, Rule 10.03
    captions, Rule 10.01, 10:3
    case type indicators, 10:7
    comparison to federal rule, 10:2
    denials, Rule 8.02
    exhibits, Rule 10.03, 10:6
    failure to comply, Rule 10.04, 10:7
    incorporation of exhibits, 10:6
    paragraphing of complaint, Rule 10.02, 10:4
    sanctions for failure to comply, Rule 10.04, 10:7
    separate statements, Rule 10.02, 10:5
  Fraud, Rule 9.02, 9:4
  General rules of pleading, Rule 8
  Hypothetical pleadings, 8:11
  Incorporation of exhibits, 10:6
  Intent. 9:5
  Joinder of parties, pleading reasons for nonjoinder, Rule 19.03, 19:5
  Judgments, Rule 9.05, 9:8
  Knowledge, 9:5
  Leave of court amendments, 15:5
  Malice, 9:5
  Matter of course amendments, 15:4
  Mental conditions, Rule 9.02, 9:5
  Mistake, Rule 9.02, 9:4
  Motion for judgment on the pleadings, Rule 12.03, 12:12
```

```
PLEADING—Cont'd
  Motion for more definite statement, for paragraphing and for separate statement,
       Rule 12.05, 12:14
  Motions to dismiss for failure to state a claim upon which relief may be granted,
       12:9
  Motions to strike, Rule 12.06, 12:15
  Official document or act, Rule 9.04, 9:7
  Omitted counterclaims, Rule 13.06, 13:9
  Opposing claim, counterclaim exceeding, Rule 13.03, 13:6
  Paragraphing
    complaints, Rule 10.02, 10:4
    motion for more definite statement, for paragraphing and for separate state-
         ment, Rule 12.05, 12:14
  Permissive counterclaims
    Generally, Rule 13.02, 13:5 et seq.
    opposing claim, counterclaim exceeding, Rule 13.03, 13:6
    pleading, counterclaim maturing or acquired after, Rule 13.05, 13:8
    state, counterclaim against, Rule 13.04, 13:7
  Place and time, Rule 9.06, 9:9
  Punitive damages, 8:6
  Relation back of amended pleadings
    Generally, Rule 15.03 et seq.
    additional parties, 15:9
    existing parties, 15:8
  Responses
    amended pleadings, 15:6
    third-party practice, 14:5
  Sanctions for failure to comply with form of pleadings, Rule 10.04, 10:7
  Separate statements, Rule 10.02, 10:5
  Service and Filing of Pleadings and Other Papers, this index
  Signatures
    Generally, 11:4
    acknowledgment, 11:6
    failure to sign, 11:5
  Special damages, Rule 9.07, 9:10
  Special matters
    Generally, Rule 9, 9:1 et seq.
    capacity, Rule 9.01, 9:3
    comparison to federal rule, 9:2
    condition of mind, Rule 9.02
    conditions precedent, Rule 9.03, 9:6
    designation of an unknown party, Rule 9.08, 9:11
    fraud, Rule 9.02, 9:4
    intent, 9:5
    judgments, Rule 9.05, 9:8
    knowledge, 9:5
    malice, 9:5
    mental conditions, Rule 9.02, 9:5
```

#### PLEADING—Cont'd

Special matters—Cont'd

mistake, Rule 9.02, 9:4

official document or act, Rule 9.04, 9:7

place and time, Rule 9.06, 9:9

special damages, Rule 9.07, 9:10

state of mind, Rule 9.02, 9:5

time and place, Rule 9.06, 9:9

unknown party designations, Rule 9.08, 9:11

State, counterclaim against, Rule 13.04, 13:7

Statement of the claim, 8:4

State of mind, Rule 9.02, 9:5

Strike motions, **Rule 12.06**, 12:15

Supplemental pleadings, Rule 15, Rule 15.04, 15:10

Third-party practice

Generally, 14:4

responses, 14:5

Time and place, Rule 9.06, 9:9

Unknown party designations, Rule 9.08, 9:11

Verification, 11:7

# **PRESERVATION**

Defenses, preservation of, Rule 12.08, 12:17

# PRETRIAL CONFERENCES

Generally, Rule 16, 16:1 et seq.

Comparison to federal rule, 16:2, 16:7.50

Comparison to Federal Rule, **16:7.50** 

Final pretrial conference, Rule 16.04, 16:6

Objectives, Rule 16.01

Planning, Rule 16.02

Purposes, 16:3

Sanctions, Rule 16.06, 16:8

Scheduling, **Rule 16.02, 16:4** 

Subjects for consideration, Rule 16.03, 16:5

Timing, 16:4

### PRETRIAL ORDERS

Generally, Rule 16.05, 16:7

Comparison to federal rule, 16:7.50

# **PRIOR ACTIONS**

Pendency of other actions, effect of, 12:11

#### **PRISONERS**

Personal service, 4:10

# **PROCESS**

Generally, Rule 4

Amendments to, Rule 4.07, 4:26

Comparison to federal rule, 4:2

#### PROCESS-Cont'd

General comments, 4:1

Immunity to service not covered by rule, 4:3

Motions to dismiss for insufficiency of process, 12:7

Personal Service, this index

Return of process, Rule 4.06, 4:25

Who may serve summons, Rule 4.02, 4:5

# PROCESS AND SERVICE OF PROCESS AND PAPERS

Subpoenas

Generally, Rule 45.02, 45:7

Duty of person serving, 45:8

## PRODUCTION OF BOOKS AND DOCUMENTS

Generally, Rule 34, 34:1

Appeals, 34:14

Depositions

Generally, Rule 30.02, 30:14

Destructive testing, 34:10

Electronically stored information

Generally, **34:12** 

Loss of, Rule 37.05, 37:13

Federal rule compared, 34:2

Independent actions, 34:13

Non-destructive testing, 34:9

Nonparties, Rule 34.03

Objections, 34:7

Privileged documents, 34:8

Procedure, Rule 34.02

Reasonable time, place and manner, 34:5

Request procedure, 34:4

Response to request for, 34:6

Scope of requests, Rule 34.01, 34:3

Spoliation of evidence, 34:11

# PROTECTIVE ORDERS

Generally, Rule 26.03, 26:23

Another method of discovery, 26:26

Fees, modifications and, 26:33

Justice, required by, 26:32

Limitations on scope of discovery, 26:27

Modifications and fees, 26:33

Order discovery not be had, 26:24

Sealed depositions, 26:29

Selected persons present, discovery conducted with, 26:28

Settlement records, 26:34

Simultaneous discovery, 26:31

Specific terms and conditions, order for, 26:25

Third-party practice, Rule 14.03, 14:8

#### PROTECTIVE ORDERS—Cont'd

Trade secrets, 26:30

# PUBLICATION, SERVICE BY

Generally, Rule 4.04, 4:15

Additional information to be published

Generally, Rule 4.041

land actions, 4:19

Agent for service, land actions, 4:23

Circumstances allowing, 4:17

Complaints, service of

Generally, Rule 4.042

order of service of summons and complaint, 4:20

Defendant may defend

Generally, Rule 4.043 et seq.

after judgment, 4:22

before judgment, 4:21

Foreign service, **4:18** 

Land actions

additional information to be published, 4:19

agent for service, 4:23

Mechanics, 4:16

Nonresident owner of land appointing an agent, Rule 4.044

Personal Service, this index

Real property

additional information to be published, 4:19

agent for service, 4:23

Restitution, Rule 4.043

Summons service, service of the complaint after, 4:20

United States, service outside of, 4:18

# **PUBLIC CORPORATIONS**

Personal service, 4:14

#### **PUBLIC OFFICERS**

Substitution of parties on death or separation from office, Rule 25.04, 25:7

# **PUNITIVE DAMAGES**

Pleading, 8:6

# QUALIFICATION OR DISQUALIFICATION

Appellate review, **63.10.50**, **63.13.50** 

Depositions, disqualification of officer, Rule 28.03

Standards, judges, interest or bias, 63.5

## RATIFICATION

Parties, 17:5

# RECORDS AND RECORDING

Authentication or Authenticity, this index

Depositions, Rule 30.02

# RECORDS AND RECORDING—Cont'd Exceptions unnecessary, **46:9** Excluded evidence, Rule 43.03, 43:5 Official records, proof of Generally, Rule 44, 44:1 Absence of record, Rule 44.02 Authentication or Authenticity, this index Federal rule compared, 44:2 Foreign law, Rule 44.04, 44:10 et seq. Lack of record, Rule 44.02, 44:8 Limited purposes, 44:3 Other proof, Rule 44.03, 44:9 Proof of official records, generally, Rule 44 REFERENCE Pleading adoption by reference, Rule 10.03 RELIEF Appeal standards, 60.35 Conciliation court judgments, 60.11 Default judgments, broad reopening, 60.19 Discharged and related judgments, 60.24 Excluded and included cases, 60.9 Excusable neglect cases, 60.13 Fraud on the court, 60.22.50 Grounds for granting, 60.12.50 Mistakes cases, 60.14 correctable, 60.4 procedure for correcting, 60.5 Other reasons supporting relief, 60.25 Procedure applicability, 60.7 mistake, 60.5 Reasonable time limit, 60.25 REMEDIES Joinder, this index REPORTS AND REPORTERS Masters, 53.14 Physical, mental and blood examinations, Rule 35.02, 35:12 REPRESENTATIONS TO COURT Generally, Rule 11.02, 11:8 et seq. Attorney disciplinary actions, 11:13 Certification, 11:8 Comparison to federal rule, 11:2 Denials, representations of, 11:12 Fact, representations of, 11:11

#### REPRESENTATIONS TO COURT—Cont'd

Law, representations of, 11:10 Restricted identifiers, 11:14

#### REPRESENTATIVENESS

Class action prerequisite, 23:7

# **RES IPSA LOQUITUR**

Generally, Rule 43.06, 43:8 Conditions, 43:9 Jury instructions, 43:10

# RESTITUTION

Publication, service by, Rule 4.043

#### RESTRICTED IDENTIFIERS

Representations to court, 11:14

#### **RETURNS**

Process, return of, Rule 4.06, 4:25

#### **SANCTIONS**

Generally, Rule 11.03, 11:15 et seq. Appeals of sanction order, 11:24 Attorney disciplinary actions, 11:13 Authority to impose, 11:23 Comparison to federal rule, 11:2 Discovery, this index Failure to comply with form of pleadings, Rule 10.04, 10:7 History of Minnesota sanction laws, 11:3 Imposition, 11:15 Imposition on counsel or client, 11:20

Inherent authority to impose, 11:23 Initiation, **11:16** 

Monetary sanction, 11:19 Orders

Generally, 11:21 appeals, 11:24

Pretrial conferences, Rule 16.06, 16:8

Representations to Court, this index

Safe harbor provision, 11:17 Selection of sanctions, 11:18

Timing of sanctions, 11:22

#### **SCHEDULING**

Pretrial conferences, Rule 16.02, 16:4

## SCOPE OF RULES

Generally, Rule 1, 1:4 Amendments, 1:3

Comparison to federal rule, 2:2

Construction of rules, 1:5

#### SCOPE OF RULES—Cont'd

General comments, 1:1, 2:1

History of rules and amendments, 1:3

## **SELF-INCRIMINATION**

Discovery, 26:11

# SEPARATE TRIAL

Joint or Separate Action or Trial, this index

# **SEQUENCE**

Discovery, Rule 26.04, 26:35

# SERVICE AND FILING OF PLEADINGS AND OTHER PAPERS

Generally, Rule 3.02, 3:4, 5:1 et seq.

Appearance, Rule 5.01, 5:7

Automatic dismissal, Rule 5.04

Certificate of service, filing, Rule 5.04, 5:6

Circumstances allowing service by publication, 4:17

Comparison to federal rule, 3:2, 5:2

Dismissal, automatic, Rule 5.04

Facsimile transmission, filing by, Rule 5.05, 5:8

Foreign service by publication, 4:18

General comment, 3:1

How service made, Rule 5.02

Mail service, 4:24

Mechanics of service by publication, 4:16

Method of service, 5:4

Motions, signing and service, 7:7

Motions to dismiss for insufficiency of service of process, 12:8

Numerous defendants, Rule 5.03, 5:5

Orders and pleadings, 5:3

Personal Service, this index

Return of process, Rule 4.06, 4:25

United States, publication service outside of, 4:18

When required, Rule 5.01

# **SETTLEMENT**

Compromise and Settlement, this index

# **SHAREHOLDERS**

Derivative actions, Rule 23.09, 23:25

# **SIGNATURES**

Generally, Rule 11.01, 11:4 et seq.

Comparison to federal rule, 11:2

Depositions, Rule 30.05, 30:32

Discovery, signing of requests, responses and objections, Rule 26.07, 26:38

Documents

Generally, 11:4

failure to sign, 11:5

# SIGNATURES—Cont'd Electronic filing, Rule 11.01 Failure to sign pleadings, motions, and documents, 11:5 Motions Generally, 11:4 failure to sign, 11:5 signing and service, 7:7 Pleadings Generally, 11:4 acknowledgment, 11:6 failure to sign, 11:5 pleading acknowledgment, 11:6 representations to court, Rule 11 Verification, 11:7 SPECIAL VERDICT Generally, Rule 49, Rule 49.01, 49:1, 49:5 Alternative forms, 49:6 Binding effect of answers, 49:13 Comparative fault cases, 49:12 Effect of answers, comments on, 49:11 Entry of judgment, 49:17 Federal rule compared, 49:2 Findings by court, 49:15 Five-sixths verdict, 49:18 Form. 49:3 Inconsistent answers, 49:14 Instructions, 49:9 Issues of fact, 49:7 Notice of use and form, 49:10 Omitted answers, 49:16 Post-trial motions, 49:19 Selection of questions, 49:8 SPOLIATION OF EVIDENCE Production of books and documents, 34:11 **STANDARDS** Review, masters, 53.17 STATE AS PARTY Counterclaim against, Rule 13.04, 13:7 Personal service, 4:13 STATE OF MIND Pleading, Rule 9.02, 9:5 **STAY** Entry of judgments, 58.11, 58.12, 58.13, 59.29

# **STIPULATIONS**

Discovery, procedures, **Rule 29, 29:1 et seq.** Voluntary dismissal of action, **41:6, 41:7** 

# **SUA SPONTE**

New trials, 59.27

#### **SUBPOENAS**

Generally, Rule 45, 45:1

Abuses, 45:6

Attendance of witnesses, Rule 45.01

Contempt

Generally, Rule 45.05, 45:14

Compliance, 45:13

Federal rule compared, 45:2

Form of, **45:4** 

Interstate depositions and discovery, Rule 45.06, 45:15

Issuance, 45:5

Protection of persons subject to subpoenas

Generally, Rule 45.03

Compensation arrangements, 45:11

Compensation of expenses, 45:10

Duty of person serving subpoena, 45:8

Quash or modify, motion to, 45:9

Quashal, 45:9

Responses to subpoenas, Rule 45.04, 45:12

Service of process, Rule 45.02, 45:7

Strategies, 45:3

# **SUBROGATION**

Parties, 17:4

# SUBSTANTIAL NEED

Discovery, 26:16

# SUBSTITUTION OF PARTIES

Generally, Rule 25, 25:1 et seq.

Comparison to federal rule, 25:2

Death, Rule 25.01, 25:4

Incompetency, Rule 25.02, 25:5

Procedure for seeking substitution, 25:3

Public officers, death or separation from office, Rule 25.04, 25:7

Transfer of interest, Rule 25.03, 25:6

## SUFFICIENCY OR INSUFFICIENCY

Reasons, summary judgment, 56.30, 56.32

# SUMMARY JUDGMENT

Generally, 56.1

Adjudication, 56.41

Affidavits, 56.8, 56.17, 56.29, 56.33

# SUMMARY JUDGMENT—Cont'd Answer, **56.12** Appeal, 56.28 Bad faith, **56.42** Claimant, **56.10** Copies of papers and documents, 56.35 Credibility, 56.24 Cross-motions, 56.13 Defending party, motions of, 56.11 Defense, 56.33, 56.37 Deferring decision, 56.14 Delay, **56.42** Discovery allowance, 56.31 Evidence, **Rule 56, 15:5** Federal court developments, 56.21 Findings, 56.9 FRCP comparisons to state rules, 56.2 Function of, 56.3 Inferences, 56.24 Judgment as a matter of law, 56.25 Judgment independent, 56.39 Judicial decision, 56.23 Jury trial, right to, 56.6 Material fact, no genuine issue, 56.19, 56.20 Minnesota standard, 56.22 Notice, **56.16** Objections, 56.34 Orders, 56.9, 56.40 Partial, **56.27** Penalties, 56.43 Recovery, 56.7 Rule 12 generally, 56.38 motions, relationship to, 56.5 Rule 56 evidence, **56.18** Sufficiency of reasons, 56.30, 56.32 Supplementation, 56.36 Testimony, 56.33 Timing, **56.15** Types of actions, 56.4 cases, **56.26 SUMMONS** Form, Rule 4.01, 4:4 Personal Service, this index Publication, Service by, this index Who may serve, **Rule 4.02, 4:5**

#### SUPPLEMENTAL ACTS OR MATTERS

Discovery responses, Rule 26.05, 26:36

# SUPPLEMENTAL PLEADINGS

Generally, Rule 15.04, 15:10

# TAXATION OF COSTS

Generally, 2:3, 58.6

# **TELECOMMUNICATIONS**

Depositions, Rule 30.02, 30:17

#### TEMPORARY RESTRAINING ORDERS

Process for, 65.09

#### THIRD-PARTY PRACTICE

Generally, Rule 14, 14:1

Comparison to federal rule, 14:2

Delay, orders for prevention of, Rule 14.03

Dismissal, Rule 41.03, 41:25

Fourth-party actions, 14:6

Orders for prevention of delay, Rule 14.03

Orders for protection of parties, Rule 14.03, 14:8

Pleading

Generally, 14:4

responses, 14:5

Protection of parties, orders for, Rule 14.03, 14:8

Responsive pleadings, 14:5

When defendant may bring in third party, Rule 14.01

When plaintiff may bring in third party, Rule 14.02, 14:7

When third-party action may be commenced, 14:3

# TIME OR DATE

Generally, 6:1 et seq.

Additional time after service by mail, 6:4.30

Affidavits, time for service, Rule 6.04, 6:7, 59.27

Comparison to federal rule, 6:2

Computations

Generally, Rule 6.01, 6:3 et seq.

weekends and holidays, 6:4

Defenses and objections, when presented, Rule 12.01, 12:3

Discovery, Rule 26.04, 26:35

Enlargement of time, Rule 6.02, 6:5

Expiration of term, effect of, Rule 6.03, 6:6

Holidays, computation, **6:4** 

Intervention, timing of request, 24:6

Judgments, 54.17, 54.18

Jury instructions, 51.11

Late-in-day service, Rule 6.05, 6:8, 6:9

#### TIME OR DATE—Cont'd

Motions, time for Generally, **Rule 6.04**, **Rule 6.05**, **6:8** late-in-day service, **Rule 6.05**, **6:9** new trials, **59.22** service, time for, **6:7** 

Pleading place and time, Rule 9.06, 9:9

Pretrial conferences, 16:4

Reasonable time limit, relief, 60.27

Rule 52 motion, timing of, **52.18** 

Sanctions, timing of, 11:22

Service late in day, **6:4.70** 

Term expiration, effect of, Rule 6.03, 6:6

Weekends, computation, 6:4

#### TRADE SECRETS

Protective orders, 26:30

# TRANSFER OF INTEREST

Substitution of parties, Rule 25.03, 25:6

#### TRIAI

Assignment of cases

Generally, **Rule 40, 40:1** 

Calendar, 40:2

Continuances, 40:5

District rules, 40:4

Federal rule compared, 40:2

Bench Trial, this index

Discovery, preparation for trial materials, 26:14

Dismissal, Discontinuance, and Nonsuit, this index

Joint or Separate Action or Trial, this index

Judgment as a Matter of Law (JAML), this index

Jury and Jury Trials, this index

Planning, Rule 16.02

Pretrial Conferences, this index

Pretrial Orders, this index

Separate trials

joinder of parties, Rule 20.02, 20:7

separate judgment, Rule 13.09, 13:12

Subpoenas, this index

# **TYPICALITY**

Class action prerequisite, 23:6

# **UNDUE HARDSHIP**

Discovery, 26:16

# UNINCORPORATED ASSOCIATIONS

Actions related to, Rule 23.10, 23:26

#### VERDICT

Generally, Rule 48, Rule 49

Amending, 48:7

Correcting, 48:8

Form, 49:3

General with interrogatories

Generally, Rule 49.02, 49:20

Consistency of answers with general verdict, 49:26

Evidentiary and ultimate facts, 49:21

Incomplete answers, 49:25

Instructions, 49:23

Post-verdict motions, 49:27

Procedures, 49:24

Use of interrogatories, 49:22

Interrogatories, general verdict with. General with interrogatories, above

Less than unanimous verdict, 48:4

Participation of jurors in verdict, Rule 48, 48:1 et seq.

Reversing, 48:9

# **VERIFICATION**

Generally, 11:7

# VIDEO RECORDING AND EQUIPMENT

Depositions, Rule 32.05, 32:22

## **VOIR DIRE**

Generally, 47:4

#### **VOLUNTARY DISMISSAL**

Class Actions, this index

Dismissal, Discontinuance, and Nonsuit, this index

# **WAIVER**

Defenses, waiver of, Rule 12.08, 12:17

Discovery, 26:9

Instructions to jury, 51.13

Jury trial, right to, Rule 38.02, 38:8

Physical, mental and blood examinations, medical privilege, Rule 35.03, 35:13

## WEEKENDS

Time computation, **6:4** 

#### WITNESSES

See Evidence and Witnesses, this index