

## **CONTENTS**

<b>James Fanto</b>	<b>5</b>
<i>Professor of Law, Brooklyn Law School</i>	
<b>FINANCIAL PROFESSIONALS FACE HEIGHTENED SEC INSIDER TRADING ENFORCEMENT: LINE-DRAWING ISSUES AND THE COMPLIANCE RESPONSE</b>	
<b>Karen Cook</b>	<b>15</b>
<i>Partner; Head of Securities Litigation and Regulatory Group</i>	
<b>Wick Phillips Gould &amp; Martin LLP</b>	
<b>INSIDER TRADING ENFORCEMENT TRENDS</b>	