# **ACCELERATED PROCEEDINGS**

Contested cases, § 4.33

# **ADJOURNMENTS**

Contested cases, § 4.34

#### **ADJUDICATION**

Adjudication rather than rulemaking, See Challenging Agency Rules Process, see Adjudication Process

### **ADJUDICATION PROCESS**

Administrative Law Judges

Final decisions by Administrative Law Judges, §§ 6.22-6.23

Roles of agency heads and Administrative Law Judges, § 6.14

Special education cases, final decisions by Administrative Law Judges, § 6.23

Agency heads and Administrative Law Judges, roles of, § 6.14

Briefs, submission of, § 6.1

Closing arguments, § 6.1

Collateral estoppel, § 6.27

Concluding hearing and beginning 45-day period for initial decision, § 6.7

Corporate veil, when agency may pierce, § 6.30

Defense, withdrawal of defense raised, § 6.25

Director of Office of Administrative Law

Agency head to adjudicate some issues within contested cases, Director of Office of Administrative Law as,  $\S$  6.5

Interlocutory review or exceptions to Director of Office of Administrative Law, § 6.6

Double jeopardy, inapplicability to administrative proceedings, § 6.28

Equitable estoppel against agencies, § 6.31

Exceptions to initial decisions, § 6.12

Extensions of time limits, § 6.19

Fact finding

Initial decision, § 6.11

Rejecting or modifying initial decision's findings of fact, § 6.16

Final decisions

Generally, § 6.15

Administrative Law Judges, final decisions by, §§ 6.22-6.23

Initial decision becoming final decision by passage of time, § 6.18

Rejecting or modifying initial decision's findings of fact, § 6.16

Review of record, § 6.17

Special education cases, final decisions by Administrative Law Judges, § 6.23

Stare decisis for final decisions, § 6.12

Forfeiture of public office, position or employment without state administrative hearing,  $\S 6.29$ 

Hearing request, withdrawal of, § 6.25

Initial decisions

Generally, § 6.8

Concluding hearing and beginning 45-day period for initial decision, § 6.7

Exceptions to initial decisions, § 6.12

Fact finding, § 6.11

Final decision, initial decision becoming final decision by passage of time, § 6.18

Final in form and fully dispositive of all issues, initial decision as, § 6.9

Orders compared with initial decisions, § 6.2

Predominant interest cases, § 6.10

# **ADJUDICATION PROCESS**—Continued

Initial decisions—Continued

'recommended report and decision, § 6.8

Remanding initial decisions to Office of Administrative Law, § 6.20

Interlocutory review

Administrative Law Judge rulings, § 6.4

Director of Office of Administrative Law, interlocutory review or exceptions to,  $\S$  6.6 Orders

Initial decisions, orders compared with, § 6.2

Preparing orders, § 6.3

Predominant interest cases, initial decision, § 6.10

Preparing orders, § 6.3

Reconsideration of administrative adjudications, power to reconsider, § 6.32

Recordings, obtaining transcripts, § 6.26

Rejecting or modifying initial decision's findings of fact, final decisions, § 6.16

Remanding initial decisions to Office of Administrative Law, § 6.20

Reopening administrative adjudications, § 6.32

Res judicata, § 6.27

Review of record, final decision, § 6.17

Roles of agency heads and Administrative Law Judges, § 6.14

Settlements, § 6.24

Special education cases, final decisions by Administrative Law Judges, § 6.23

Stare decisis for final decisions, § 6.12

Time limits and requirements

Administrative adjudication, time requirements for, § 6.21

Concluding hearing and beginning 45-day period for initial decision, § 6.7

Extensions of time limits, § 6.19

Initial decision becoming final decision by passage of time, § 6.18

Transcripts, obtaining, § 6.26

Withdrawals, § 6.25

# **ADMINISTRATIVE CODE**

See New Jersey Administrative Code

### **ADMINISTRATIVE HEARINGS**

See Hearings, Administrative

# ADMINISTRATIVE LAW AND PRACTICE, GENERALLY

Adjudication, See Adjudication Process

Adjudication rather than rulemaking, See Challenging Agency Rules

Administrative Law Judges, See Administrative Law Judges

Administrative Procedure Act (APA), adoption, § 1.2

Administrative searches, state agency's enabling act, § 1.8

Appeal, See Judicial Review

Challenging rules, See Challenging Agency Rules

Delegation of powers by agency, § 1.13

Enabling act, state agency's

Administrative searches, § 1.8

Liberal construction of enabling acts, § 1.7

Rulemaking, adjudication, and informal action, § 1.9

Three branch powers, § 1.6

Executive branch, see Executive Branch, Organization of

Growth of administrative law, § 1.2

Hearing officials, § 1.17

Hearings, See Hearings, Administrative

Judges, See Administrative Law Judges

Judicial review, See Judicial Review

Judicial-like powers, legislative grant of

Generally, § 1.14

Limitations upon grant to administrative agencies, § 1.15

Liberal construction of agency enabling acts, § 1.7

Office of Administrative Law, §§ 1.2, 1.3

Procedural, administrative law as, § 1.1

# ADMINISTRATIVE LAW AND PRACTICE, GENERALLY—Continued

Review, See Judicial Review

Rulemaking, See Rulemaking By Administrative Agencies

Separation of powers

Generally, § 1.10

Granting legislative and adjudicative powers to executive agencies, § 1.11

Standards necessary for legislature to grant authority to agencies, § 1.12

Three branch powers, state agency's enabling acts, § 1.6

Uniform Administrative Procedure Rules, adoption, § 1.2

# **ADMINISTRATIVE LAW JUDGES**

Agencies exempt from assignment of Administrative Law Judges, § 4.10

Appointment, qualifications, and responsibilities, § 1.18

Conduct, § 1.20

Disqualification, § 5.25

Final decisions by Administrative Law Judges, §§ 6.22-6.23

Indigents, no appointment of lawyers for indigents by Administrative Law Judges, § 4.25

Legislators, jurisdiction of Administrative Law judge to determine lawyer-legislator disqualification, § 4.24

Reappointment after initial one-year term, § 1.19

Roles of agency heads and Administrative Law Judges, § 6.14

Special education cases, final decisions by Administrative Law Judges, § 6.23

### ADMINISTRATIVE PROCEDURE ACT (APA)

Adoption, § 1.2

Challenging agency rules, 1981 amendments to APA, § 3.1

Legislative Oversight Act, 1981 amendments to APA, § 3.1

Rulemaking, application of APA to, § 2.1

Text of Act, Appx A

### **ADMINISTRATIVE SEARCHES**

State agency's enabling act, § 1.8

# ADOPTION OF RULE

See Rulemaking By Administrative Agencies

### **AMENDMENTS**

Challenging agency rules, petition for amendment of rule,  $\S~3.21$ 

Pleadings, § 4.4

Rules, See Rulemaking by Administrative Agencies

### **APPEAL**

See Judicial Review

# APPELLATE DIVISION, APPEAL TO

See Judicial Review

# ARBITRARY OR CAPRICIOUS RULES

Challenging agency rules, § 3.4

# ATTORNEY GENERAL OPINIONS

Rules, Attorney General Opinions as excluded from definition of rules, § 2.6

# **AUTHENTICATION OF EVIDENCE**

Administrative hearings, § 5.27

### **CASINO CONTROL CASES**

Residuum rule, inapplicability of,  $\S~5.35$ 

# **CHALLENGING AGENCY RULES**

Adjudication rather than rulemaking

Challenging policy statement because it should have been rule, § 3.17

Definition of rule under APA, § 3.15

Standards, challenging adjudication for lack of promulgated standards, § 3.18

State and federal precedents compared, § 3.16

# **CHALLENGING AGENCY RULES—Continued** Administrative Code, researching rules in New Jersey Register and New Jersey Administrative Code, § 3.27 Administrative Procedure Act, 1981 amendments, § 3.1 Adoption of rule Presumption of proper adoption, § 3.9 Rule changes upon adoption, § 3.13 Amendment, petition for amendment of rule, § 3.21 Arbitrary or capricious rule, attacking, § 3.4 Changes, rule changes upon adoption, § 3.13 Collateral challenges, direct and collateral challenges, § 3.2 Comments Consequences of failing to submit written comments in rulemaking, § 3.12 Notice and comment requirements, failure to comply with, § 3.11 Curative remand of invalid rules, § 3.14 Declaratory ruling, § 3.19 Definition of rule, related questions, § 3.15 Direct and collateral challenges, § 3.2 Executive Order No. 15, § 3.23 Executive Order No. 66, § 3.22 Expired rule, regulation under, § 3.22 Facts, presumption of state of facts sustaining rule, § 3.9 How to challenge rule, § 3.2 Informal action, propriety of policy announced through informal action or adjudication, § 3.15 Interpretation of rules Generally, § 3.25 Register, importance of Register in interpreting rules, § 3.24 Statutory construction principles, application, § 3.25 Invalid rules, curative remand of, § 3.14 Legislative Oversight Act, § 3.1 Notice and comment requirements, failure to comply with, § 3.11 Office of Administrative Law, locating rulemaking records filed with Office of Administrative Law, § 3.26 Office of Business Ombudsman, § 3.23 Oversight, regulatory, § 3.23 Petition for promulgation, amendment, or repeal of rule, § 3.21 Policy announced through informal action or adjudication, propriety, § 3.15 Presumptions that must be rebutted when attacking rule, § 3.9 Procedurally irregular rules Generally, § 3.10 Notice and comment requirements, failure to comply with, § 3.11 Promulgation, petition for promulgation of rule, § 3.21 Reasonableness, presumption of, § 3.9 Records, locating rulemaking records filed with Office of Administrative Law, § 3.26 Importance of Register in interpreting rules, § 3.24 Researching rules in New Jersey Register and New Jersey Administrative Code, § 3.27 Regulatory oversight, § 3.23 Remand, curative remand of invalid rules, § 3.14 Repeal, petition for amendment of rule, § 3.21 Researching rules in New Jersey Register and New Jersey Administrative Code, § 3.27 Standards, need for rules to establish minimum, readily identifiable standard of conduct, Statute, rule in contravention of, § 3.7 Strategy to Advance Regulatory Reform (STARR), § 3.23 Text, presumption that text published by OAL is text adopted, § 3.9 Unauthorized rules, attacking, § 3.7

Unconstitutional rules, attacking, § 3.8

Vague rules, attacking, § 3.5

Generally, § 3.3

Validity of rule

# **CHALLENGING AGENCY RULES—**Continued

Validity of rule—Continued

Presumption of validity, § 3.9

Waiver of rule, § 3.20

Written comments, consequences of failing to submit written comments in rulemaking, § 3.12

# **CLERK'S NOTICES**

Contested cases, § 4.32

# **CLOSED ADMINISTRATIVE HEARINGS**

Election to close hearing, § 5.2

# **CLOSING ARGUMENTS**

Adjudication process, § 6.1

### **COLLATERAL ESTOPPEL**

Adjudication process, § 6.27

# COMMENTS

See Rulemaking By Administrative Agencies

# **CONCLUSIONS OF LAW IN AGENCY ADJUDICATION**

Standard of judicial review, § 7.19

# **CONFERENCE HEARINGS**

Administrative hearings, § 5.11

Discovery in conference hearings, § 4.42

# **CONSOLIDATION OF CASES**

Administrative hearings, § 5.22

# **CONSTITUTIONAL LAW**

Judicial review, constitutional provision for relief from agency actions,  $\S~7.3$  Unconstitutional rules, attacking,  $\S~3.8$ 

# **CONTESTED CASES**

See Preparing for Hearing

# **CONTINUOUS HEARINGS**

Administrative hearings, § 5.6

# **CORPORATIONS**

Attorney, representation by attorney in administrative proceedings,  $\S~4.18$ 

Corporate veil, when agency may pierce, § 6.30

# **CRIMINAL PROCEEDINGS**

Double jeopardy, inapplicability to administrative proceedings, § 6.28

# **CRIMINAL PROSECUTIONS**

Administrative hearings, proceeding with administrative hearings despite pending criminal prosecutions,  $\S$  5.49

# **CROSS-EXAMINATION OF WITNESSES**

Administrative hearings, § 5.45

# **DECLARATORY RULINGS**

Challenging agency rules,  $\S~3.19$ 

# **DEPOSITIONS**

Discovery in contested cases, § 4.43

# **DISCOVERY**

See Preparing for Hearing

# **DISMISSAL AND JUDGMENT**

Administrative hearings, motion for dismissal and judgment, § 5.19

# **DOUBLE JEOPARDY**

Inapplicability to administrative proceedings, § 6.28

### **EMBARRASSMENT**

Closing administrative hearing to protect parties or witnesses from embarrassment, § 5.2

# **EMERGENCY RELIEF**

Administrative hearings, § 5.20

# **EMERGENCY RULES**

See Rulemaking By Administrative Agencies

### **ENFORCEMENT ACTIONS**

Judicial review of agency enforcement actions, § 7.29

# **EQUITABLE ESTOPPEL**

Agencies, equitable estoppel against, § 6.31

### **ERRORS**

Judicial review of factual errors in agency ruling, § 7.18

### **EVIDENCE**

See Hearings, Administrative

# **EX PARTE COMMUNICATIONS**

Administrative hearings, § 5.48

# **EXECUTIVE BRANCH, ORGANIZATION OF**

Constitutional requirements, § 1.4

Scope of statutory framework for executive branch state agencies, § 1.5

# **EXECUTIVE ORDER NO. 15**

Challenging agency rules, § 3.23

# **EXECUTIVE ORDER NO. 66**

Challenging agency rules,  $\S 3.22$ 

Expiration or 'sunsetting' of rules under Executive Order No. 66, § 2.24

### **EXHAUSTION OF ADMINISTRATIVE REMEDIES**

See Judicial Review

### **EXPEDITIOUSNESS**

Administrative hearings, expedition in, § 5.6

# **EXPERT WITNESSES**

Administrative hearings, §§ 5.36-5.37

# **EXPERTISE OF AGENCY**

See Judicial Review

### **EXPIRED RULES**

Challenging regulation under expired rules, § 3.22

# **FACSIMILE TRANSMISSION**

Filing pleadings and papers, § 4.30

### **FACT FINDING**

See Adjudication Process

### **FACTS**

Review, see Judicial Review

# FEDERAL REVIEW OF STATE ADMINISTRATIVE ACTIONS

See Judicial Review

# **FILINGS**

See Preparing for Hearing

# **FINAL DECISIONS**

See Adjudication Process

### **FINANCIAL INFORMATION**

Closing administrative hearing to protect financial information, § 5.2

Public office, position or employment, forfeiture without state administrative hearing, § 6.29

#### **FORMS**

Administrative hearing forms, Appx G

# **HEARINGS, ADMINISTRATIVE**

Generally, § 1.16

Adjudication, See Adjudication Process

Appearance, sanctions for failure to appear, § 5.7

Authentication of evidence, § 5.27

Beginning administrative evidentiary hearings, § 5.39

Briefs, submission of, § 6.1

Casino control cases, inapplicability of residuum rule, § 5.35

Closed, electing to close hearing, § 5.2

Closing arguments, § 6.1

Concluding hearing and beginning 45-day period for initial decision, § 6.7

Conference hearings, § 5.11

Consolidation of cases, § 5.22

Continuous hearings, § 5.6

Criminal prosecutions, proceeding with administrative hearings despite pending criminal prosecutions, § 5.49

Cross-examination of witnesses, § 5.45

Dismissal and judgment, motion for, § 5.19

Disqualification of judges, § 5.25

Embarrassment, closing hearing to protect parties or witnesses, § 5.2

Emergency relief, § 5.20

Evidence

Authentication, § 5.27

Casino control cases, inapplicability of residuum rule, § 5.35

General approach to evidence at administrative hearings, § 5.26

Hearsay evidence and residuum rule, §§ 5.33, 5.34

Intraagency memorandum, privilege concerning, § 5.38

Irrelevance, major cause of inadmissibility, § 5.28 Judicially noticeable facts, official notice of,  $\S~5.32$ 

Lawyer-client confidentiality, application of, § 5.38

Official notice, § 5.32

Opinions contained in investigatory files, privilege as to, § 5.38

Patient-physician privilege, application of, § 5.38 Preliminary evidence inquiry by judge, § 5.29

Prior transcribed testimony, trying case using, § 5.47

Probative value outweighed, major cause of inadmissibility, § 5.30

Records focused on specific individuals, privilege as to, § 5.38

Residuum rule, hearsay evidence and residuum rule, §§ 5.33, 5.34

Rules of evidence, inapplicability of, § 5.26

Scene of controversy, visiting, § 5.46

Self-incrimination, protection against, § 5.38

Technical or scientific facts, official notice of, § 5.32

Trustworthiness of evidence, parties' right to explain, rebut or test, § 5.26

Uniform Administrative Procedure Rules (UAPR), conspicuous absence of evidence rules, § 5.31

Visiting scene of controversy, § 5.46

Witnesses, see Witnesses

Ex parte communications, § 5.48

Expedition in administrative hearings, § 5.6

Expert witnesses, §§ 5.36-5.37

Financial information, closing hearing to protect, § 5.2

Forms, hearing forms, Appx G

Hearing officials, § 1.17

# HEARINGS, ADMINISTRATIVE—Continued Hearing request, withdrawal of, § 6.25 Immunity for statements made in contested cases, § 5.50 Interpreters, § 5.42 Intervention, § 5.21 Intraagency memorandum, privilege concerning, § 5.38 Irrelevance, major cause of inadmissibility of evidence, § 5.28 Judges, disqualification of, § 5.25 Judicially noticeable facts, official notice of, § 5.32 Jury trials not required, § 5.9 Lawyer-client confidentiality, application of, § 5.38 Marshalling witnesses, § 5.43 Media coverage in administrative hearings, § 5.4 Mediation, § 5.16 Motions Dismissal and judgment, motion for, § 5.19 Intervention, motion seeking permission to intervene, § 5.21 Motion practice, § 5.17 Participation, motion seeking permission to participate, § 5.21 Summary decision, motion for, § 5.18 New Jersey Administrative Code, Special Hearing Rules, Appx F Open, administrative hearings as generally open to public, § 5.1 Opening statements, § 5.39 Opinions contained in investigatory files, privilege as to, § 5.38 Order, sanctions for failure to comply with order, § 5.8 Participation, § 5.21 Patient-physician privilege, application of, § 5.38 Plenary hearings, § 5.10 Predominant interest determinations Generally, § 5.23 Process for determining predominant interest determinations, § 5.24 Preparation, See Preparing for Hearing Prior transcribed testimony, trying case using, § 5.47 Privacy, closing hearing to protect privacy of parties or witnesses, § 5.2 Privileges, applicability of rules of privilege, §§ 5.26, 5.38 Proceedings on the papers, § 5.13 Proof, quantum of, § 5.40 Public, administrative hearings as generally open to public, § 5.1 Quantum of proof, § 5.40 Recording the hearing, § 5.3 Records focused on specific individuals, privilege as to, § 5.38 Residuum rule Casino control cases, inapplicability of residuum rule, § 5.35 Hearsay evidence and residuum rule, § 5.33 Unobjected-to hearsay and residuum rule, § 5.34 Rulemaking hearings, § 2.26 Sanctions Appear, sanctions for failure to appear, § 5.7 Order, sanctions for failure to comply with order, § 5.8 Uniform Administrative Procedure Rules, sanctions for failure to comply with UAPR, § 5.8 Scene of controversy, visiting, $\S~5.46$ Scientific facts, official notice of, § 5.32 Sealing record of administrative hearing, § 5.3 Self-incrimination, protection against, § 5.38 Sequestration of witnesses, § 5.44 Settlement conferences, § 5.14 Special Hearing Rules, Appx F Summary decision, motion for, § 5.18 Swearing witnesses, § 5.41 Technical or scientific facts, official notice of, § 5.32

Telephone hearings, § 5.12

# **HEARINGS, ADMINISTRATIVE**—Continued

Temporary relief, § 5.20

Transcribed testimony, trying case using prior transcribed testimony, § 5.47

Trustworthiness of evidence, parties' right to explain, rebut or test, § 5.26

Types of contested case hearings, § 5.9

Uncontested case proceedings, § 5.15

Uniform Administrative Procedure Rules (UAPR)

Evidence, conspicuous absence of evidence rules, § 5.31

Sanctions for failure to comply with UAPR, § 5.8

Visiting scene of controversy, § 5.46

Witnesses

Cross-examination of witnesses, § 5.45

Examining witnesses, § 5.43

Expert witnesses, §§ 5.36-5.37

Fact witnesses, § 5.36

Interpreters, § 5.42

Marshalling witnesses, § 5.43

Opinions contained in investigatory files, privilege as to, § 5.38

Patient-physician privilege, application of, § 5.38

Prior transcribed testimony, trying case using, § 5.47

Privileges, § 5.38

Self-incrimination, protection against, § 5.38

Sequestration of witnesses, § 5.44

Swearing witnesses, § 5.41

### **IMMUNITY**

Administrative hearings, immunity for statements made in contested cases, § 5.50

# **INACTIVE LIST**

Contested cases, § 4.35

### INDIGENTS

Representation, no appointment of lawyers for indigents by Administrative Law Judges,  $\S~4.25$ 

### **INITIAL DECISIONS**

See Adjudication Process

# **INTERLOCUTORY REVIEW**

See Adjudication Process

# INTERPRETATION OF RULES

See Challenging Agency Rules

# INTERPRETERS

Administrative hearings, § 5.42

# INTERVENTION

Administrative hearings, § 5.21

# **INTRAAGENCY MEMORANDUM**

Privilege concerning intraagency memorandum, § 5.38

# **INVALID RULES**

Curative remand of invalid rules, § 3.14

### **IRRELEVANCE**

Administrative hearings, inadmissibility of irrelevant evidence,  $\S$  5.28

# **JUDICIAL REVIEW**

Generally, § 7.1

Administrative remedies, exhaustion of, see Exhaustion of administrative remedies Agency enforcement actions, § 7.29

Appellate division, appeal to

Final decisions or rules of state administrative agencies, appeal of right, § 7.10

JUDICIAL REVIEW—Continued Appellate division—Continued

# Interlocutory decisions or actions of state administrative agencies, leave to appeal required, § 7.11 Availability, determining whether judicial review available, § 7.2 Basic facts and ultimate facts, § 7.22 Conclusions of law in agency adjudication, standard of judicial review, § 7.19 Constitutional provision for relief from agency actions, § 7.3 Decision reviewed, agency head's findings and conclusions rather than Administrative Law Judge's, § 7.25 Distinguishing between facts and laws Agency expertise and ultimate fact finding, § 7.23 Ultimate facts and basic facts, § 7.22 Enforcement actions, agency, § 7.29 Errors, judicial review of factual errors in agency ruling, § 7.18 Exhaustion of administrative remedies Generally, § 7.7 Exceptions to exhaustion, § 7.8 Expertise of agency Substantial credible evidence and agency expertise, § 7.21 Ultimate fact finding, agency expertise and, § 7.23 Factual errors in agency ruling, judicial review of, § 7.18 Finding of fact in agency adjudication, standard of judicial review, § 7.20 Laws and facts, see Distinguishing between facts and laws Ultimate facts and basic facts, § 7.22 Factual errors in agency ruling, judicial review of, § 7.18 Federal review of state administrative actions Generally, § 7.30 Exercising federal question jurisdiction to review state administrative action, § 7.31 Final decisions or rules of state administrative agencies, appeal to appellate decisions, Finding of fact in agency adjudication, standard of judicial review, § 7.20 Interlocutory decisions or actions of state administrative agencies, leave to appeal required, Interlocutory review, See Adjudication Process Jurisdiction Federal question jurisdiction, exercising federal question jurisdiction to review state administrative decision, § 7.31 Primary jurisdiction, § 7.6 Laws and facts, see Distinguishing between facts and laws Leave to appeal required Interlocutory decisions or actions of state administrative agencies, appeal of, § 7.11 Local administrative agency action, appeal of, § 7.12 Local administrative agency action, review of Actions in lieu of prerogative writs against local and state administrative agencies, § 7.14 Appeal by leave of appellate division, § 7.12 Appeals not in lieu of prerogative writs from local agency action, § 7.15 Presumptions affecting standard of judicial review, § 7.16 Primary jurisdiction, § 7.6 Record, judicial review of agency adjudications, § 7.13 Remands by appellate court to state agency, § 7.26 Ripeness, § 7.9 Sanctions imposed by agency in adjudication, standard of judicial review, § 7.24 School matters, State Board of Education as ultimate decision maker, § 7.27 Standard of judicial review Generally, § 7.17 Conclusions of law in agency adjudication, § 7.19 Factual errors in agency ruling, § 7.18 Finding of fact in agency adjudication, § 7.20 Presumptions affecting standard of judicial review, § 7.16

### JUDICIAL REVIEW—Continued

Standard of judicial review—Continued

Sanctions imposed by agency in adjudication, § 7.24

Substantial credible evidence and agency expertise, § 7.21

Standing, § 7.4

Stare decisis in administrative decisions, § 7.28

State Board of Education as ultimate decision maker in almost all school matters, § 7.27

Substantial credible evidence and agency expertise, § 7.21

Ultimate facts

Agency expertise and ultimate facts, § 7.23

Basic facts and ultimate facts, § 7.22

### JUDICIALLY NOTICEABLE FACTS

Administrative hearings, official notice of judicially noticeable facts, § 5.32

# **JURIES AND JURORS**

Administrative hearings, jury trials not required, § 5.9

# **JURISDICTION**

See Judicial Review

# **LAW STUDENTS OR LAW GRADUATES**

Representation of parties, § 4.18

### LAWYER-CLIENT CONFIDENTIALITY

Administrative hearings, application of privilege of lawyer-client confidentiality, § 5.38

# LEGISLATIVE OVERSIGHT ACT

Administrative Procedure Act, 1981 amendments, § 3.1

Challenging agency rules, § 3.1

### **LEGISLATORS**

Appearances by legislators as attorneys before Office of Administrative Law, § 4.24

# LOCAL ADMINISTRATIVE AGENCY ACTION, REVIEW OF

See Judicial Review

# **MARSHALLING WITNESSES**

Administrative hearings, § 5.43

# **MEDIA COVERAGE**

Administrative hearings, media coverage, § 5.4

# **MEDIATION**

Administrative hearings, § 5.16

### **MOTIONS**

See Hearings, Administrative

# **NEW JERSEY ADMINISTRATIVE CODE**

Organization of Office of Administrative Law, text of code, Appx H

Researching rules in New Jersey Register and New Jersey Administrative Code, § 3.27

Rules for rulemaking, text of Code, Appx C

Special Hearing Rules, text of code, Appx F

Uniform Administrative Procedure Rules, text of code, Appx E

# **NEW JERSEY REGISTER**

Importance of Register in interpreting rules, § 3.24

Researching rules in New Jersey Register and New Jersey Administrative Code, § 3.27

# OFFICE OF ADMINISTRATIVE LAW (OAL)

Generally, §§ 1.2-1.3

Authority to permit non-lawyer representation in administrative hearings, § 4.21

Enabling act, text of, Appx B

Involvement of OAL in contested case, § 4.1

Legislators, appearances by legislators as attorneys before Office of Administrative Law,  $\S~4.24$ 

# OFFICE OF ADMINISTRATIVE LAW (OAL)—Continued

Organization of OAL, New Jersey Administrative Code text, Appx H

Presumption that text published by OAL is text adopted, § 3.9

Procedural rules, see Uniform Administrative Procedure Rules

Records, locating rulemaking records filed with Office of Administrative Law, § 3.26

Relaxation of Office of Administrative Law rules, § 4.15

Returning contested case to transmitting agency, § 4.16

Special rules adopted by Office of Administrative Law, § 4.13

Text of enabling act, Appx B

Text of rule, presumption that text published by OAL is text adopted, § 3.9

Transmission to Office of Administrative Law, § 4.31

### OFFICE OF ADMINISTRATIVE LAW RULES (OALR)

Rulemaking by administrative agencies, application of OALR, § 2.1

#### OFFICE OF BUSINESS OMBUDSMAN

Challenging agency rules, § 3.23

### **OPEN HEARINGS**

Administrative hearings as generally open to public, § 5.1

# **OPENING STATEMENTS**

Administrative hearings, § 5.39

#### **OPINIONS**

Administrative hearings, privilege as to opinions contained in investigatory files, § 5.38

#### ORDERS

Adjudicative, See Adjudication Process

Administrative hearings, sanctions for failure to comply with order, § 5.8

### **PARTICIPATION**

Administrative hearings, motion to participate, § 5.21

# PATIENT-PHYSICIAN PRIVILEGE

Administrative hearings, application of patient-physician privilege, § 5.38

### **PLEADINGS**

See Preparing for Hearing

# **PLENARY HEARINGS**

Administrative hearings, § 5.10

### PREDOMINANT INTEREST DETERMINATIONS

Adjudication process, initial decision, § 6.10

Hearing, See Hearings, Administrative

# PREHEARING CONFERENCES

See Preparing for Hearing

# PREPARING FOR HEARING

Accelerated proceedings, § 4.33

Adjournments, § 4.34

Administrative Law Judges

Agencies exempt from assignment of Administrative Law Judges, § 4.10

Indigents, no appointment of lawyers for indigents by Administrative Law Judges,  $\S~4.25$ 

Legislators, jurisdiction of Administrative Law judge to determine lawyer-legislator disqualification,  $\S$  4.24

Administrative Procedure Rights and hearing rights, § 4.6

Agencies exempt from assignment of Administrative Law Judges, § 4.10

Amendment of pleadings, § 4.4

Appearance without representation, § 4.23

Beginning contested case, § 4.1

Clerk's notices, § 4.32

Conference hearings, discovery in, § 4.42

Contested cases

# PREPARING FOR HEARING—Continued Contested cases—Continued Generally, § 4.1 Accelerated proceedings, § 4.33 Agency responsibility before transmittal to Office of Administrative Law, § 4.17 Clerk's notices, § 4.32 Definition, § 4.1 Determination, contested case, § 4.5 Meaning of 'contested' cases, § 4.7 Retention of contested cases for hearing by agency head, § 4.9 Returning contested case to transmitting agency, § 4.16 Transmission to Office of Administrative Law, § 4.31 Corporations, representation by attorney, § 4.18 Depositions, § 4.43 Determination, contested case, § 4.5 Discovery Generally, § 4.39 Common law right to inspect documents, § 4.40 Conference hearings, discovery in, § 4.42 Depositions, § 4.43 Notice, discovery by, § 4.41 Right-to-Know Law, discovery under, § 4.40 Sanctions, § 4.45 Subpoenas, see Subpoenas Time for discovery, § 4.44 Exempt agencies Agencies exempt for assignment of Administrative Law Judges, § 4.10 Uniform Administrative Procedure Rules (UAPR) and exempt agencies, § 4.14 Facsimile transmission, filing by, § 4.30 Filings Facsimile transmission, filing by, § 4.30 Papers after pleadings, § 4.29 Pleadings, see Pleadings Inactive list, § 4.35 Indigents, no appointment of lawyers for indigents by Administrative Law Judges, § 4.25 Law students or law graduates, representation by, § 4.18 Legislators, appearances by legislators as attorneys before Office of Administrative Law, § 4.24 Motions, filing, § 4.29 Non-lawyer representation Generally, § 4.19 Admission application process for non-lawyers, § 4.22 Authority to permit non-lawyer representation in administrative hearings, § 4.21 Fees, prohibition against, § 4.19 Rules of Professional Conduct, non-lawyers obligation to follow specified Rules of Professional Conduct, § 4.22 Suspended or disbarred attorneys, prohibition against representation by, § 4.19 Unauthorized practice of law, non-lawyers at administrative hearings, § 4.20 Office of Administrative Law (OAL) Authority to permit non-lawyer representation in administrative hearings, § 4.21 Involvement of OAL in contested case, § 4.1 Legislators, appearances by legislators as attorneys before Office of Administrative Law, § 4.24 Procedural rules, see Uniform Administrative Procedure Rules Relaxation of Office of Administrative Law rules, § 4.15 Returning contested case to transmitting agency, § 4.16 Special rules adopted by Office of Administrative Law, § 4.13 Transmission to Office of Administrative Law, § 4.31 Out-of-state attorneys, pro hac vice admission, § 4.18 Papers after pleadings, filing, § 4.29 Pleadings Generally, § 4.2

# PREPARING FOR HEARING—Continued Pleadings—Continued Amendment of pleadings, § 4.4 Filing of papers after pleadings, § 4.29 Proof of service, § 4.28 Service of pleadings for other papers, § 4.27 Time limits for filing, § 4.3 Prehearing conferences Generally, § 4.28 Conference call, prehearing conferences by, § 4.37 Matters considered, § 4.37 Prehearing order, consequences of, § 4.38 Prehearing order, consequences of, § 4.38 Pre-transmittal, agency responsibility before transmittal of contested case to Office of Administrative Law, § 4.17 Pro hac vice admission of out-of-state attorneys, § 4.18 Pro se, appearance by party, § 4.18 Proof of service, § 4.28 Quash, motion to quash subpoenas, § 4.49 Quasi-legislative hearings, § 4.7 Relaxation of Office of Administrative Law rules, § 4.15 Representation of parties Appearance without representation, § 4.23 Corporations, appearance by attorney, § 4.18 Indigents, no appointment of lawyers for indigents by Administrative Law Judges, § 4.25 Law students or law graduates, representation by, § 4.18 Legislators, appearances by legislators as attorneys before Office of Administrative Law, Non-lawyers, see Non-lawyer representation Out-of-state attorneys, pro hac vice admission, § 4.18 Who may represent party in administrative proceedings, § 4.18 Researching administrative cases, § 4.51 Respondents, retaining public officer as respondent after officer dies or leaves officers, § 4.26 Retention of contested cases for hearing by agency head, § 4.9 Returning contested case to transmitting agency, § 4.16 Rights, Administrative Procedure Rights and hearing rights, § 4.6 Right-to-Know Law, discovery under, § 4.40 Rules of Professional Conduct, non-lawyers obligation to follow specified Rules of Professional Conduct, § 4.22 Sanctions, discovery, § 4.45 Service Pleadings for other papers, § 4.27 Proof of service, § 4.28 Subpoenas, § 4.48 Subpoenas Failure to obey subpoena, § 4.50 Fees, § 4.48 Forms, § 4.47 Motion to quash, § 4.49 Power to issue subpoenas, § 4.46 Service, § 4.48 Suspended or disbarred attorneys, prohibition against representation by, § 4.19 Time limits for filing, § 4.3 Transmission to Office of Administrative Law, § 4.31 Unauthorized practice of law, non-lawyers at administrative hearings, § 4.20 'Uncontested' cases, meaning of, § 4.8 Uniform Administrative Procedure Rules (UAPR) Applicability of Rules, § 4.11 Exempt agencies and UAPR, § 4.14 Relaxation of Office of Administrative Law rules, § 4.15

### PREPARING FOR HEARING—Continued

 $Uniform\ Administrative\ Procedure\ Rules\ (UAPR) — Continued$ 

Scope of Rules, § 4.12

Special rules adopted by Office of Administrative Law, UAPR as subject to, § 4.13

#### PRESUMPTIONS

Adoption of rule, presumption of proper adoption, § 3.9

Challenging agency rules, presumptions that must be rebutted, § 3.9

Judicial review, presumptions affecting standard of judicial review, § 7.16

Published text, presumption that text published by Office of Administrative Law is text adopted, § 3.9

Validity, presumption of validity of rule, § 3.9

# **PRIMARY JURISDICTION**

Judicial review, § 7.6

### PRIOR TRANSCRIBED TESTIMONY

Administrative hearing, trying case using prior transcribed testimony, § 5.47

#### PRIVACY

Administrative hearings, closing hearing to protect privacy of parties or witnesses, § 5.2

#### **PRIVILEGES**

Administrative hearings, applicability of rules of privilege, §§ 5.26, 5.38

#### PRO SE

Appearance by party, contested case, § 4.18

# PROCEDURALLY IRREGULAR RULES

See Challenging Agency Rules

# PROCEEDINGS ON THE PAPERS

Administrative hearings, § 5.13

# **PROOF**

Administrative hearings, quantum of proof, § 5.40

# **PROOF OF SERVICE**

Contested cases, § 4.28

# RECONSIDERATION

Administrative adjudications, power to reconsider,  $\S$  6.32

### RECORE

Judicial review of agency adjudications, record on, § 7.13

### RECORDINGS

Administrative hearings, recording the hearing, § 5.3

Transcripts, ordering, § 6.26

### **RECORDS**

Privilege as to records focused on specific individuals, § 5.38

Sealing records of administrative hearing, § 5.3

### **REGISTER**

See New Jersey Register

# REGULATORY FLEXIBILITY ACT

Rulemaking by administrative agencies, § 2.18

### REMAND

Initial decisions to Office of Administrative Law, remanding,  $\S$  6.20

Invalid rules, curative remand of invalid rules, § 3.14

Judicial review, remands by appellate court to state agency, § 7.26

# REOPENING ADMINISTRATIVE ADJUDICATIONS

Power to reopen administrative adjudications, § 6.32

# REPRESENTATION OF PARTIES

See Preparing for Hearing

#### **RES JUDICATA**

Adjudication process, § 6.27

### **RESEARCH**

Administrative cases, researching, § 4.51

#### **RESIDUUM RULE**

See Hearings, Administrative

# **RESPONDENTS**

Retaining public officer as respondent after officer dies or leaves officers, § 4.26

### **RIGHT-TO-KNOW LAW**

Discovery in contested cases, § 4.40

# **RULEMAKING BY ADMINISTRATIVE AGENCIES**

Adjudication rather than rulemaking, See Challenging Agency Rules

Administrative Code, text of New Jersey Administrative Code rules for rulemaking, Appx C

Administrative Procedure Act (APA), application, § 2.1

Adoption of rule

Generally, § 2.21

Presumption of proper adoption, § 3.9

Rule changes upon adoption, § 3.13

Alternatives to rulemaking, § 2.13

Amendment of rules

Petition for amendment of rule, § 3.21

Proposals, § 2.17

Appendices, rule appendices, § 2.27

Attorney General Opinions as excluded from definition of rules, § 2.6

Authority to make rules

Generally, § 2.7

Express and implied authority to make rules, § 2.8

Liberal construction of rulemaking authority, § 2.9

Organizational and procedural rule, agency authority to adopt, § 2.10

Changes, rule changes upon adoption, § 3.13

Comments

Consequences of failing to submit written comments in rulemaking, § 3.12

Notice and comment requirements, failure to comply with, § 3.11

Procedure, notice and comment procedure, § 2.14

Contested cases, agency decisions and findings in contested cases as not rules, § 2.5

Correction or change, administrative, § 2.21

Definition of rule, §§ 2.2, 3.15

Discipline statements, internal management or discipline statements as not rules,  $\S~2.3$ 

Emergency rules

Generally, § 2.23

Readopting emergency rules, § 2.23

Examples, Appx D

Exclusions from definition of rules

Attorney General Opinions, § 2.6

Contested cases, agency decisions and findings, § 2.5

Internal management or discipline statements, § 2.3

Intra agency and inter agency statements, § 2.4

Expiration or 'sunsetting' of rules under Executive Order No. 66, § 2.24

Express and implied authority to make rules, § 2.8

Failure to make rules, § 2.13

Federal standards, rules exceeding, § 2.19

Hearings, rulemaking hearings, § 2.26

Informal action, propriety of policy announced through informal action or adjudication, 8 3 15

Intra agency and inter agency statements as not rules, § 2.4

# RULEMAKING BY ADMINISTRATIVE AGENCIES—Continued

Liberal construction of rulemaking authority, § 2.9

Negotiating rule, § 2.16

New rules, proposals of, § 2.17

Notice and comment procedure, § 2.14

Office of Administrative Law Rules, application, § 2.1

Organizational and procedural rule, agency authority to adopt, § 2.10

Overview of rulemaking process, § 2.14

Peril, emergency rule where imminent peril to public health, safety or welfare, § 2.23

Preproposal process, § 2.15

Process, overview of rulemaking process, § 2.14

Promulgation, petition for promulgation of rule, § 3.21

Proposals of new rules, amendments, and repeals of existing rules, § 2.17

Quasi-legislative process, rulemaking as, § 2.1

Readopting emergency and sunsetting rules, § 2.25

Reasons for making rules, § 2.11

Records

Locating rulemaking records filed with Office of Administrative Law, § 3.26

Rulemaking record, § 2.22

Regulatory Flexibility Act, § 2.18

Repeals of existing rules, proposals of, § 2.17

Researching rules in New Jersey Register and New Jersey Administrative Code, § 3.27

Rule, definition of, §§ 2.2, 3.15

Rules for rulemaking, text of New Jersey Administrative Code, Appx C

'Small business,' regulatory flexibility, § 2.18

Standards, need for rules to establish minimum, readily identifiable standard of conduct,  $\S~3.6$ 

Statute implementation, implementing statute by rule, § 2.12

Substantive, procedural or interpretive rules, no distinction between,  $\S~2.2$ 

'Sunsetting'

Expiration or 'sunsetting' of rules under Executive Order No. 66, § 2.24

Readopting sunsetting rules, § 2.25

Text, presumption that text published by OAL is text adopted, § 3.9

Validity of rule

Generally, § 3.3

Presumption of validity, § 3.9

What is rulemaking, § 2.1

### RULES

See Challenging Agency Rules; Rulemaking By Administrative Agencies

# **RULES OF PROFESSIONAL CONDUCT**

Non-lawyers obligation to follow specified Rules of Professional Conduct, § 4.22

# **SANCTIONS**

Administrative hearings, See Hearings, Administrative

Discovery, § 4.45

Judicial review, standard of judicial review of sanctions imposed by agency in adjudication,

§ 7.24

# **SCENE OF CONTROVERSY**

Administrative hearings, visiting scene of controversy, § 5.46

### SCHOOLS

State Board of Education as ultimate decision maker, § 7.27

### SCIENTIFIC FACTS

Administrative hearings, official notice of technical or scientific facts, § 5.32

# SEALING RECORDS

Administrative hearing, sealing record of, § 5.3

### **SEARCHES**

Administrative searches, state agency's enabling act, § 1.8

# **SELF-INCRIMINATION**

Administrative hearings, protection against self-incrimination, § 5.38

#### SEPARATION OF POWERS

See Administrative Law and Practice, Generally

# **SEQUESTRATION**

Administrative hearings, sequestration of witnesses, § 5.44

### **SERVICE**

See Preparing for Hearing

### SETTLEMENT CONFERENCES

Administrative hearings, § 5.14

# **SETTLEMENTS**

Adjudication process, § 6.24

### **SMALL BUSINESSES**

Regulatory Flexibility Act, § 2.18

# **SPECIAL EDUCATION CASES**

Final decisions by Administrative Law Judges, § 6.23

### STANDARD OF JUDICIAL REVIEW

See Judicial Review

### **STANDING**

Judicial review, § 7.4

### STARE DECISIS

Adjudication process, stare decisis for final decisions, § 6.12 Judicial review, stare decisis in administrative decisions, § 7.28

# STATE BOARD OF EDUCATION

Ultimate decision maker in almost all school matters, § 7.27

### **STATUTES**

Administrative Procedure Act, see Administrative Procedure Act (APA) Challenging agency rules in contravention of statute,  $\S~3.7$ 

# STRATEGY TO ADVANCE REGULATORY REFORM (STARR)

Challenging agency rules, § 3.23

# **SUBPOENAS**

See Preparing for Hearing

# **SUMMARY DECISION**

Administrative hearings, motion for summary decision, § 5.18

### 'SUNSETTING'

See Rulemaking By Administrative Agencies

# **TECHNICAL FACTS**

Administrative hearings, official notice of technical or scientific facts,  $\S~5.32$ 

### **TELEPHONE HEARINGS**

Administrative hearings, § 5.12

### **TEMPORARY RELIEF**

Administrative hearings, § 5.20

# **THREE BRANCH POWERS**

State agency's enabling acts, § 1.6

# TIME LIMITS AND REQUIREMENTS

See Adjudication Process

# TRANSCRIBED TESTIMONY

Administrative hearings, trying case using prior transcribed testimony, § 5.47

# **TRANSCRIPTS**

Obtaining transcripts of administrative proceedings, § 6.26

### **TRUSTWORTHINESS**

Administrative hearings, parties' right to explain, rebut or test trustworthiness of evidence, § 5.26

# **ULTIMATE FACTS**

See Judicial Review

# **UNAUTHORIZED PRACTICE OF LAW**

Non-lawyers at administrative hearings and unauthorized practice of law, § 4.20

# **UNAUTHORIZED RULES**

Challenging agency rules,  $\S~3.7$ 

# **UNCONTESTED CASE PROCEEDINGS**

Administrative hearings, § 5.15

# **UNIFORM ADMINISTRATIVE PROCEDURE RULES (UAPR)**

Adoption, § 1.2

Applicability of Rules, § 4.11

Evidence, conspicuous absence of evidence rules, § 5.31 Exempt agencies and UAPR, § 4.14

Relaxation of Office of Administrative Law rules, § 4.15

Sanctions for failure to comply with UAPR, § 5.8

Scope of Rules, § 4.12

Special rules adopted by Office of Administrative Law, UAPR as subject to, § 4.13

Text of Rules, Appx E

# **VAGUE RULES**

Challenging agency rules, § 3.5

# **VISITING SCENE OF CONTROVERSY**

Administrative hearings, § 5.46

# **WAIVERS**

Rules, waiver of, § 3.20

# **WITHDRAWALS**

Adjudication process, § 6.25

# **WITNESSES**

See Hearings, Administrative