### Volume 1

# PART I. ARRAIGNMENT AND BAIL

### CHAPTER 1. INITIAL APPEARANCE

- § 1:1 Introduction
- § 1:2 Initial appearance—Federal courts
- § 1:3 —State courts
- § 1:4 Preliminary hearing—Federal courts—Nature of hearing
- § 1:5 ——Timing of hearing
- § 1:6 ——Purpose of hearing
- $\$  1:7 ——Appeal
- § 1:8 —State
- § 1:9 Tactical considerations

### CHAPTER 2. BAIL AND PRETRIAL RELEASE

- § 2:1 Introduction
- § 2:2 Pretrial release standards
- § 2:3 —Risk of flight
- § 2:4 —Dangerousness
- § 2:5 Factors relevant to pretrial release
- § 2:6 —Nature and circumstances of the crime
- § 2:7 —Weight of evidence
- § 2:8 —Prior record, conduct while on release
- § 2:9 —History and characteristics of accused
- § 2:10 —Drug and alcohol abuse
- § 2:11 —Exceptional conditions
- § 2:12 Conditions placed on release—Financial conditions
- § 2:13 —Stay-away orders
- § 2:14 —Substance abuse programs
- § 2:15 —Supervised release
- § 2:16 —Restricting firearm possession
- § 2:17 Preventive detention—Procedure
- § 2:18 —Bruty hearing
- § 2:19 —Detention and release orders
- $\$  2:20 —Bases for challenge
- § 2:21 —Violation of conditions of release
- § 2:22 Bail pending appeal—Federal Act
- § 2:23 —State practice
- § 2:24 —Equitable considerations—Short sentences

### CHAPTER 3. COMPETENCY

- § 3:1 Introduction
- § 3:2 Standard to determine competency
- § 3:3 Strategic concerns—Whether to raise issue
- § 3:4 —Alternatives to raising issue
- § 3:5 Competency procedure—Evaluation
- § 3:6 —Standard of proof

§ 3:7	—Counsel's evaluation
§ 3:8	—Self-represented defendants
§ 3:9	——Caselaw illustrations
§ 3:10	Confinement period—Statutory mandate
§ 3:11	—Periodic review
§ 3:12	Retroactive/retrospective competency determinations
§ 3:13	Effects of particular conditions—Mental impairment/intellectual disability
§ 3:14	—Suicide attempts
§ 3:15	—Combination of handicaps
§ 3:16	—Schizophrenia
§ 3:17	—Forced medication of defendant
§ 3:18	——Caselaw illustrations
§ 3:19	—Amnesia
§ 3:20	—Drug abuse
§ 3:21	Competency to waive rights—Standard
§ 3:22	—Waiver of insanity defense

### **CHAPTER 4. PRACTICE AIDS**

- § 4:1 Emergency motion for revocation of pre-trial detention and incorporated memorandum of fact and law
- § 4:2 Motion to review conditions of release
- § 4:3 Competency hearing

## PART II. INVESTIGATION

### **CHAPTER 5. INITIAL INTERVIEW**

§ 5:1 Introduction § 5:2 Cellblock interview § 5:3 Special problems Full interview—Goals § 5:4 -Factual information § 5:5 § 5:6 —Statements § 5:7 -Fees § 5:8 The client's homework § 5:9 Subsequent interviews

Surrender

§ 5:10

# CHAPTER 6. PROTECTING YOURSELF AGAINST INEFFECTIVE ASSISTANCE OF COUNSEL CLAIMS

§ 6:1	Introduction
§ 6:2	The Strickland standard
§ 6:3	Procedure for bringing ineffective assistance of counsel claim
§ 6:4	Procedurally defaulted claims of ineffective assistance of counsel
§ 6:5	Pre-trial failure to investigate
§ 6:6	Obligations during the plea bargaining process
§ 6:7	—Duty to communicate offers and give advice
§ 6:8	—Timely communication
§ 6:9	—Negotiation and consideration of possibility of plea
§ 6:10	—Prejudice standard for errors committed during the plea negotiation and acceptance process
§ 6:11	— — Proof of prejudice
§ 6:12	—Inclusion of waiver of ineffective assistance claim
§ 6:13	Trial errors—Presenting a guilt-based defense
§ 6:14	—Deciding whether to call fact and character witnesses
§ 6:15	—Failure to object to prosecutor's closing statement

- § 6:17 —Challenging jury instructions
- § 6:18 —Use of stun belts
- § 6:19 —Leaving the courtroom during trial
- § 6:20 Mentally challenged clients
- § 6:21 —Competence
- § 6:22 —Mentally impaired client who seeks *pro se* status
- § 6:23 —Waiving an insanity defense
- § 6:24 Death penalty cases
- § 6:25 —Investigation
- § 6:26 —Requesting investigative or expert services
- § 6:27 —Failure to use experts
- § 6:28 —Failure to use psychological experts
- § 6:29 —Wrongful use of experts
- § 6:30 —Failure to present mitigation evidence
- § 6:31 Malpractice actions

### CHAPTER 7. AVOIDING CONFLICTS OF INTEREST

- § 7:1 Introduction
- § 7:2 Standard of review
- § 7:3 Objection to representation
- § 7:4 Failure to object to conflict
- § 7:5 Actual conflicts
- § 7:6 Adverse effect
- § 7:7 Multiple representation
- § 7:8 Successive representation
- § 7:9 Conflicts arising from lawyer's professional or personal relationships—Public defender organizations
- § 7:10 —Business relationships
- § 7:11 —Partnerships and office sharing
- § 7:12 —Lawyers' personal lives
- § 7:13 Attorneys accused of misconduct—Attorney under investigation
- § 7:14 —Client complaints
- § 7:15 —Suspension from practice: Effect on subsequent cases
- § 7:16 ——Substantive/technical distinction

### CHAPTER 8. CLIENT PERJURY

- § 8:1 Introduction
- § 8:2 Definition of perjury—Language of rules
- § 8:3 —Materiality
- § 8:4 Lawyer's knowledge—Degree of certainty
- § 8:5 ——Caselaw illustrations
- § 8:6 —Prospective perjury
- § 8:7 —Duty to investigate
- § 8:8 —Reasonable belief
- § 8:9 Remonstration—Future perjury
- § 8:10 —Past perjury
- $\S~8:11$  Criminal cases—Prospective perjury—Supreme Court
- § 8:12 ——Constitutional considerations
- § 8:13 ———Right to effective assistance of counsel
- § 8:14 ———Criminal defendant's right to testify
- $\S~8:15~~---$  —Privilege against self-incrimination
- § 8:16 ———Need for hearing
- § 8:17 ——Witnesses
- § 8:18 Withdrawal—Factors
- § 8:19 —Mandatory

§ 8:20 —Disclosure of reasons § 8:21 Approaches if withdrawal is denied § 8:22 —Disclosure § 8:23 — —Candor v. confidentiality — — Criticism of disclosure § 8:24 § 8:25 -Narrative ——Caselaw discussions § 8:26 § 8:27 — — Criticism of narrative § 8:28 —Full representation § 8:29 -Civil analysis/ABA approach § 8:30 Past perjury § 8:31 -Disclosure § 8:32 Surprise periury

### CHAPTER 9. FACT WITNESSES

- § 9:1 Introduction§ 9:2 The process of investigation—Who should do the investigation
- § 9:3 —Investigators for indigent defendants
- § 9:4 —Choosing an investigator

Closing argument

Termination of duty

§ 8:33 § 8:34

- § 9:5 —Timing and components of the investigation
- § 9:6 Approaching witnesses
- § 9:7 —Witnesses clearly allied with government
- § 9:8 —Codefendants, witnesses with self-incrimination problems
- § 9:9 —Neutral and professional witnesses
- § 9:10 Unnamed and unknown eyewitnesses—Leads to witnesses
- § 9:11 —Witnesses whose location is unknown
- § 9:12 Interviewing witnesses—Approach
- § 9:13 —Form of statement
- § 9:14 —Negative statements
- § 9:15 —Use of subterfuge
- § 9:16 —Interviewing witnesses separately
- § 9:17 —Keeping track of witnesses
- § 9:18 Witness tampering—Breadth of statute
- § 9:19 —Misleading conduct
- § 9:20 —Misdemeanor penalties
- § 9:21 —Affirmative defense
- § 9:22 —Additional provisions

### CHAPTER 10. DOCUMENTS AND EXPERTS

- § 10:1 Introduction
- § 10:2 Sources of information—Official reports and records
- § 10:3 —Media coverage
- § 10:4 —Other proceedings
- § 10:5 —Hospital, school, employment, and military records
- § 10:6 —Scientific analyses
- § 10:7 Use of an expert
- § 10:8 Finding the right expert
- § 10:9 Experts for indigents
- § 10:10 —Constitutional right
- § 10:11 —State constitutional rights
- § 10:12 —Statutory right
- $\S 10:13$  —"Necessary" defense expert
- § 10:14 —Timing of a motion for expert assistance or funds to obtain expert assistance
- § 10:15 —Components of a successful motion for public funding of experts

- § 10:16 —Information to include in motion and supporting papers
- § 10:17 —Ex parte nature of motion
- § 10:18 —Defense hurdles

### CHAPTER 11. JOINT DEFENSE AGREEMENTS

- § 11:1 Introduction
- § 11:2 Factual context
- § 11:3 Joint defense privilege—Origin and development
- § 11:4 —Basis and rationale
- § 11:5 —Confidentiality
- § 11:6 —Common interest
- § 11:7 —Parties and documents protected
- § 11:8 —Duration and waiver
- § 11:9 Joint defense agreements—Advantages
- § 11:10 —Written versus oral agreement
- § 11:11 —Components of an agreement
- § 11:12 Information exchange
- § 11:13 Internal investigations
- § 11:14 Cooperating witnesses; withdrawal
- § 11:15 Cooperating witnesses; Withdrawal—Protective provisions
- § 11:16 Government objections and challenges—Reasons
- § 11:17 —Requests for disclosure—Subpoena
- § 11:18 —Conflict of interest challenges
- 11:19 ——Bicoastal inquiry
- § 11:20 ——Bicoastal ruling
- § 11:21 ——Anderson motion
- § 11:22 ——Anderson ruling
- § 11:23 ——Aftermath of Anderson
- § 11:24 —Conditions on immunity grants
- § 11:25 State law

#### CHAPTER 12. PRACTICE AIDS

- § 12:1 Initial interview checklist
- § 12:2 Ethical rules relating to client perjury
- § 12:3 Notice to prospective witnesses
- § 12:4 Requests for expert witnesses
- § 12:5 Obtaining funds for investigation
- § 12:6 Expert's checklist for attorneys
- § 12:7 Sample joint defense agreements

### PART III. GRAND JURY

### CHAPTER 13. CHALLENGES

- § 13:1 Introduction
- § 13:2 Composition and conduct
- § 13:3 Effect of irregularities—U.S. v. Mechanik
- § 13:4 —*Mechanik* problems
- § 13:5 —Bank of Nova Scotia v. U.S.
- § 13:6 —Midland Asphalt Corp. v. U.S.
- § 13:7 Remedy: Press for discovery
- § 13:8 Fair cross-section challenge to array
- § 13:9 Fair cross-section challenge: Standing
- § 13:10 Fair cross-section challenge: Showing of underrepresentation
- § 13:11 Challenge to particular grand jury
- § 13:12 Grand jury witnesses: Obligation to appear

- § 13:13 Grand jury witnesses: Privileges recognized § 13:14 Grand jury witnesses: Privileges not recognized § 13:15 Illegally obtained evidence § 13:16 Attorney subpoenas: Privileges Attorney subpoenas: Relevance and need test § 13:17 Attorney subpoenas: Abuse of process § 13:18 Attorney subpoenas: Controls—Court recognition of need § 13:19 § 13:20 —Justice Department guidelines —Ethics rules § 13:21 Attorney subpoenas: Motions to quash § 13:22 Grand jury secrecy—Rules § 13:23 —Disclosure by witnesses § 13:24 § 13:25 —Disclosure to other government agencies or employees —Other disclosures § 13:26 § 13:27 — —Caselaw illustrations CHAPTER 14. CHARGING DOCUMENTS § 14:1 Introduction § 14:2 General principles
- § 14:3 Formal requisites—Statement of facts
- § 14:4 —Exceptions essential to definition
- § 14:5 —Aggravating facts v. historical facts
- § 14:6 —Grades of crime
- § 14:7 Duplicity
- § 14:8 Multiplicity
- § 14:9 Superseding indictments: Legitimate purposes
- § 14:10 Superseding indictments: Vindictive purposes
- § 14:11 Superseding indictments: Additional counts
- § 14:12 Superseding indictments: Reindictment after dismissal or trial
- § 14:13 Superseding indictments: Timing of the indictment
- § 14:14 Superseding indictments: Speedy trial effects
- § 14:15 Amendment and variance

### CHAPTER 15. FUNCTION AND OPERATION

- § 15:1 Introduction
- § 15:2 Function of the grand jury
- § 15:3 Operation of grand jury
- § 15:4 Role of prosecutor
- § 15:5 Successive grand juries
- § 15:6 Citizen's right to present evidence
- § 15:7 Defendant's right to present exculpatory evidence
- § 15:8 Attorneys in the grand jury room
- § 15:9 Prosecutor's duty to present exculpatory evidence
- § 15:10 General prosecutorial misconduct
- § 15:11 Strategy

### CHAPTER 16. PARALLEL PROCEEDINGS

- § 16:1 Introduction
- § 16:2 Defining parallel proceedings
- § 16:3 Judicial tolerance of parallel proceedings—Unfair hardship: U.S. v. Kordel
- § 16:4 —Administrative subpoenas
- § 16:5 —Joint investigations
- § 16:6 —Grand jury secrecy
- § 16:7 Effects—Double jeopardy
- § 16:8 —Excessive fines
- § 16:9 —Collateral estoppel and res judicata

- § 16:10 The Fifth Amendment—The target's Hobson's Choice
- § 16:11 —Adverse consequences
- § 16:12 —Withdrawal
- § 16:13 Stays and other alternatives—Different discovery provisions
- § 16:14 —Defendant's request for a stay
- § 16:15 —Post-indictment stay
- § 16:16 —Government's request for a stay
- § 16:17 —Protective orders
- § 16:18 —Immunity
- § 16:19 —Agency protocol
- § 16:20 Cooperation—Internal investigations
- § 16:21 —Federal sentencing guidelines
- § 16:22 —Agency requirements
- § 16:23 Settlements

### CHAPTER 17. PRACTICE AIDS

- § 17:1 Justice Department guidelines regarding attorney subpoenas
- § 17:2 Motion to dismiss grand jury based on systematic exclusion
- § 17:3 Motion to dismiss indictment based on prosecutorial misconduct
- § 17:4 Plea agreement

## PART IV. DISCOVERY

### CHAPTER 18. RULES AND STATUTES

- § 18:1 Introduction
- § 18:2 Informal discovery by defense
- § 18:3 Bill of particulars
- § 18:4 —Drafting the motion
- § 18:5 —Shifts in theories or facts
- § 18:6 "Rule 16" discovery
- § 18:7 —Possession of prosecution
- § 18:8 —Defendant's statements
- § 18:9 ——Recorded or written statements
- § 18:10 ——Unrecorded oral statements
- § 18:11 ——Grand jury testimony
- § 18:12 —Codefendant's statements
- § 18:13 —Defendant's prior record
- § 18:14 —Documents/tangible objects
- $\S$  18:15 Examinations, tests, and reports
- § 18:16 ——Police notes and memoranda
- § 18:17 ——Identification evidence
- § 18:18 ——Exculpatory information
- § 18:19 ——Expert witnesses
- $\S~18:20~$  ——Information regarding witnesses
- § 18:21 ——Court-ordered witness disclosure
- § 18:22 Informant witnesses
- § 18:23 "Rule 16" discovery—Continuing duty to disclose
- § 18:24 Open file discovery; "Document dump"
- § 18:25 Discovery by prosecutor—Discovery rules
- § 18:26 —"Reverse" Jencks
- § 18:27 —Notice of defense
- § 18:28 ——Alibi
- § 18:29 ——Insanity
- § 18:30 ——Public authority
- § 18:31 ——Battered spouse syndrome

- § 18:32 —Investigative identification
- § 18:33 Subpoena
- § 18:34 Discovery depositions
- § 18:35 Privileged information
- § 18:36 —Informants
- § 18:37 —Observation post privilege
- § 18:38 —Grand jury
- § 18:39 Court practice
- § 18:40 Sanctions for non-compliance
- § 18:41 —Order to comply
- § 18:42 —Continuance or recess
- § 18:43 —Exclusion
- § 18:44 ——Defense witnesses
- § 18:45 —Mistrial/dismissal
- § 18:46 —Jury instruction
- § 18:47 —Contempt
- § 18:48 —Other
- § 18:49 Tracking discovery
- § 18:50 —Document management
- § 18:51 —Security
- § 18:52 —Electronically stored information

### CHAPTER 19. EXCULPATORY MATERIAL

- § 19:1 Introduction
- § 19:2 Background
- § 19:3 Materiality—Brady v. Maryland
- § 19:4 U.S. v. Agurs
- § 19:5 *U.S. v. Bagley*
- § 19:6 —Kyles v. Whitley
- § 19:7 —Strickler v. Greene
- § 19:8 —Recent cases
- § 19:9 Other approaches to materiality
- § 19:10 Examples of material information—Guilt of another
- § 19:11 —Identification issues
- § 19:12 —Impeachment of government witnesses
- § 19:13 —Corroboration of defense theory
- § 19:14 —Scientific evidence
- § 19:15 —Prior psychiatric and medical history
- § 19:16 —Application to suppression hearings
- § 19:17 —Police investigation
- § 19:18 —Effect on defense strategy
- § 19:19 Limitations on disclosure
- § 19:20 Exculpatory evidence
- § 19:21 Knowledge—Prosecutor's responsibility
- § 19:22 —Timing of disclosure
- § 19:23 Practical tips
- $\S$  19:24 Destruction/failure to preserve—Bad faith requirement
- $\S~19:25~~-Youngblood~{
  m standard~satisfied}$
- § 19:26 *Youngblood* inapplicable or distinguishable
- § 19:27 —Requisite showing under Youngblood
- § 19:28 —Sanctions for destruction
- § 19:29 —State constitutional analysis
- § 19:30 Post-conviction discovery
- § 19:31 —Federal rules
- § 19:32 —State rules and decisions

### CHAPTER 20. JENCKS STATEMENTS

- § 20:1 Introduction § 20:2 Background Elements—Witness § 20:3 § 20:4 —Statement ---Signed, adopted, or approved § 20:5 — — Contemporaneous verbatim recital § 20:6 § 20:7 — — Tape recordings § 20:8 — — Officer's notes ---Prior grand jury testimony § 20:9 § 20:10 -Possession --- Illustrations § 20:11 § 20:12 —Relates to testimony Practice and procedure—Generating Jencks and reverse Jencks § 20:13 —Laying a foundation § 20:14 § 20:15 -Request § 20:16 —Timeliness
- § 20:17
- —Relation to other discovery
- —Reciprocal discovery § 20:18
- —Examination § 20:19
- Sanctions § 20:20
- § 20:21 —Mistrial
- § 20:22 —Striking testimony
- § 20:23 -Missing evidence instruction
- § 20:24 —Prejudice
- § 20:25 -Good faith

## CHAPTER 21. FREEDOM OF INFORMATION ACT, CLASSIFIED INFORMATION PROCEDURES ACT, PRIVACY ACT

- § 21:1 Introduction
- § 21:2 Use of FOIA for discovery—Overview
- § 21:3 -What to seek-And why
- § 21:4 —Effect of law enforcement exemption
- —Use at pre-indictment phase § 21:5
- § 21:6 —Use in multi-document cases
- § 21:7 —Use in surveillance cases
- § 21:8 —Use in other cases
- § 21:9 —Interplay with criminal case
- FOIA in the post-conviction setting § 21:10
- § 21:11 Other uses
- § 21:12 Agencies and records—Covered agencies
- § 21:13 —Disclosable records
- § 21:14 —Possession and control
- § 21:15 Exemptions
- Exemptions—Exemption 1: Classified records § 21:16
- § 21:17 Exemptions—Exemption 2: Internal personnel rules
- § 21:18 Exemptions—Exemption 3: Statutory protection
- Exemptions—Exemption 4: Business data and secrets § 21:19
- § 21:20 -Exemption 5: Agency memoranda
- —Exemption 6: Personnel, medical, similar files § 21:21
- -Exemption 7: Law enforcement records-Harm from disclosure § 21:22
- § 21:23 — — Threshold requirement for coverage
- § 21:24 — —Interference with enforcement proceedings
- ——Deprivation of fair trial § 21:25

§ 21:26 — — Unwarranted invasion of privacy — — Disclosure of confidential source or confidential information § 21:27 — Disclosure of techniques and guidelines for investigations or prosecutions § 21:28 § 21:29 — Endangerment of life or safety —Exemption 8: Financial institutions § 21:30 —Exemption 9: Wells § 21:31 Non-acknowledgement of records § 21:32 § 21:33 Agency regulations Disclosure requests—Requirements § 21:34 —Reasonable description of records § 21:35 —Format requests § 21:36 § 21:37 —Fees § 21:38 Agency response and administrative appeal The problem of delay § 21:39 § 21:40 Judicial actions to obtain *FOIA* disclosure—Statutory provision § 21:41 -Standard of review -Vaughn index § 21:42 —In camera review § 21:43 § 21:44 —Fee awards § 21:45 Limiting disclosure of confidential information under FOIA § 21:46 —Provide access to documents rather than control § 21:47 —Grand jury subpoena —Protective submissions and predisclosure notification § 21:48 § 21:49 —Reverse *FOIA* actions § 21:50 —Privacy Act § 21:51 Classified Information Procedures Act (CIPA)—Discovery of classified documents § 21:52 ——Denial or restriction of discovery § 21:53 -Admissibility of classified documents § 21:54 —Security procedures § 21:55 Privacy Act —Requests for access; Civil actions § 21:56

### CHAPTER 22. PRACTICE AIDS

§ 22:1 Checklist of motions for discovery

State information laws

- § 22:2 Preservation of evidence request
- § 22:3 Requests for particulars

—Exemptions

Resources

- § 22:4 Motion for issuance of subpoena duces tecum
- § 22:5 Motion seeking issuance of third party subpoena
- § 22:6 Motion seeking disclosure of government 17(c) subpoenas
- § 22:7 Ex parte motion for ballistic examination and points and authorities in support thereof
- § 22:8 Request for exculpatory material
- § 22:9 Freedom of Information Act practice aids

### PART V. MOTIONS

# CHAPTER 23. THE WARRANT REQUIREMENT

- § 23:1 Introduction
- § 23:2 Procedural matters—Federal search warrants
- $\S~23:3$  ——Delayed notice
- $\S 23:4$  Types of property which may be seized
- § 23:5 —Motion to suppress

§ 21:57

§ 21:58

§ 21:59

TABLE OF	CONTENTS
§ 23:6	— —Particularity
	— —Standing—Automatic standing
§ 23:8	
	———Guests
§ 23:10	
	— — —Vessels
§ 23:12	
§ 23:13	
§ 23:14	—Suppression hearing
§ 23:15	——Burden of proof
§ 23:16	Meeting the warrant requirement—Fourth Amendment requirements
§ 23:17	—Attached affidavit
§ 23:18	—Particularity—Prohibition against rummaging
§ 23:19	——Contraband
§ 23:20	——Persons
§ 23:21	——Instrumentalities of crime
§ 23:22	
§ 23:23	
§ 23:24	
§ 23:25	—Oath or affirmation
§ 23:26	——Telephonic search warrants
§ 23:27	—Statutory challenges
§ 23:28	Challenges to probable cause—Staleness
§ 23:29	—Franks v. Delaware: False information in affidavit
§ 23:30	——Reckless disregard
§ 23:31	——Negligent error
§ 23:32	
§ 23:33	— —Outright lie
§ 23:34	——False statements must be material
§ 23:35	——Remedy
§ 23:36	—Information from a third party—Aguilar/Spinelli two-pronged test
§ 23:37	— — — Veracity—Firsthand information
§ 23:38	·
§ 23:39	
	————Dogs
	———Trash searches
	———Basis of knowledge
§ 23:43	— — Totality of circumstances: Gates
§ 23:44	—Taint: Fruit of the poisonous tree
§ 23:45	Good faith—U.S. v. Leon
§ 23:46	— — Motive is deterrence
§ 23:47	——Good faith extended beyond warrants
§ 23:48	Exceptions to <i>Leon</i> —Complete lack of probable cause
§ 23:49	———Basis to believe
§ 23:49 § 23:50	— — — Basis to believe  — — —Informant's reliability
-	— —— False information in affidavit
§ 23:51	— — Facial deficiencies
§ 23:52	
§ 23:53	— — Technical errors
§ 23:54	— — Descriptions of evidence sought
§ 23:55	———Description of target location
§ 23:56	———Defects in executing the warrant
§ 23:57	Independent state grounds
§ 23:58	Anticipatory search warrants

# CHAPTER 24. EXECUTION OF THE WARRANT

§ 24:1 Introduction

§ 24:2 "Ministerial" violations—Rules § 24:3 —Delay in execution § 24:4 —Display and delivery; inventory and return § 24:5 —Surreptitious search warrants ("sneak and peek") § 24:6 Substantial violations—Limits on searches § 24:7 —Nighttime searches -Knock and announce § 24:8 § 24:9 -Knock-and-announce exceptions-Scope, standing § 24:10 — Officer safety § 24:11 ——Destruction of evidence ——Drug exception § 24:12 — — Useless gesture § 24:13 ——Commercial businesses § 24:14 -- Open doors § 24:15 § 24:16 ——Ruse/consent § 24:17 -Knock and announce-Timing of entry § 24:18 -Knock and announce v. exclusionary rule ——Exceptions to the exclusionary rule § 24:19 § 24:20 — — —Inevitable discovery doctrine --Independent source doctrine § 24:21 § 24:22 —Good faith applicable? --- No knock warrant § 24:23 § 24:24 —The knock-and-talk rule § 24:25 —Permissible scope of search § 24:26 ——Caselaw illustrations § 24:27 Privileged information § 24:28 Media § 24:29 Visitors § 24:30 Occupant's reentry into home § 24:31 Selective timing of execution Duration, scope of search § 24:32 Use of force § 24:33 § 24:34 Plain view—Prerequisites § 24:35 —Justification § 24:36 —"Immediately apparent" —Scope of "immediately apparent" § 24:37

# CHAPTER 25. EXCEPTIONS TO THE WARRANT REQUIREMENT

§ 25:1	Introduction
§ 25:2	Exceptions
§ 25:3	Stop and identify: Hiibel v. Sixth Judicial Dist. Court of Nevada, Humboldi County
§ 25:4	Stop and frisk: Terry v. Ohio
§ 25:5	—Anonymous tips
§ 25:6	Arrests—Definition of "arrest"
§ 25:7	—Definition of "arrest"—Relevant factors
§ 25:8	—Pursuit v. seizure
§ 25:9	—Misdemeanors
§ 25:10	—Felonies
§ 25:11	—Homes
§ 25:12	Probable cause to arrest
§ 25:13	—Nature of the area—High incidence of crime
§ 25:14	——Public areas and probable cause
§ 25:15	—Proximity to crime
§ 25:16	—Time of day

§ 25:17	—Association with suspicious person
§ 25:18	—Street exchange
§ 25:19	—Furtive gestures
§ 25:20	—Flight
$\S 25:21$	—Response to questions
§ 25:22	—Experience of officer
§ 25:23	— — Officer's deductions sufficient
$\S 25:24$	— — Officer's deductions insufficient
$\S 25:25$	——Familiarity with contraband odors
§ 25:26	——Impact of officer errors
§ 25:27	—Timing of probable cause and arrest
§ 25:28	Searches incident to arrest
§ 25:29	—The "arrest"
§ 25:30	—The criteria for search incident to arrest
§ 25:31	——Brief delay
§ 25:32	— Not "roughly" contemporaneous
§ 25:33	——Can the search precede arrest?
§ 25:34	—Search of a person's clothes or possessions
§ 25:35	——Defendant's ability to access contraband
§ 25:36	——Bags, briefcases and containers
§ 25:37	— — Time of arrest
§ 25:38 § 25:39	— — —Exclusive control of police when searched — — —Nature of container
§ 25.39 § 25:40	— — Nature of container —Traffic-related stops
§ 25:40 § 25:41	—Nature of the offense irrelevant
§ 25:42	—Search of residences incident to arrest
§ 25:43	— Re-entry into home
§ 25:44	——Search found unlawful
§ 25:45	—Broader protections under state law
§ 25:46	—Protective sweep of residence
§ 25:47	—Pretext arrest
§ 25:48	Search during the arrest process—Booking
§ 25:49	— —Lawful arrest
§ 25:50	——Standard, routine police procedures
§ 25:51	———Bad faith or investigative motive
$\S 25:52$	———Scope of the search
§ 25:53	——State law limits on searches
$\S 25:54$	—Body inspections incident to arrest—Probable cause
$\S 25:55$	— —Exigent circumstances
$\S 25:56$	— —Reasonable procedures
$\S 25:57$	———The <i>Winston</i> impact on reasonableness
$\S 25:58$	— — —Blood
§ 25:59	———Urine testing
§ 25:60	— — —Saliva sampling
§ 25:61	— — —DNA sampling
§ 25:62	Procedures
§ 25:63	———Strip searches—Definitions
$\S 25:64$	————Reasonableness of search
§ 25:65	————Justification: Reasonable suspicion
§ 25:66	————Manner of search
§ 25:67	————The place of the search
§ 25:68	————Stage in the arrest process
§ 25:69	————State law considerations
§ 25:70	Abandonment
§ 25:71	—Voluntary abandonment
§ 25:72	—Garbage—Homes, businesses

```
§ 25:73
          ——State constitutions
§ 25:74
          Consent
§ 25:75
          —Waiver of rights
§ 25:76
          — — Objective or subjective test?
§ 25:77
          -Voluntariness
          — —Knowledge of right to refuse consent
§ 25:78
          --- Personal characteristics of defendant
§ 25:79
§ 25:80
          — — Familial vulnerabilities
          — — — Cultural vulnerabilities
§ 25:81
§ 25:82
          ———Effect of intoxicants
          ———Awareness of constitutional rights
§ 25:83
§ 25:84
          ——Police coercion
§ 25:85
          — — —Arrest or custody
          ———Presence of weapons
§ 25:86
§ 25:87
          ———Show of authority
          ———False representations re: warrants
§ 25:88
§ 25:89
          — —Likelihood of contraband
§ 25:90
          ——Suspect's cooperation
§ 25:91
          —Police use of deceit or ruse
§ 25:92
          —Implied consent
§ 25:93
          —Third party consent—Actual and "apparent authority"
§ 25:94
          ——"Actual authority" rule
§ 25:95
          ——Spouses
          ——Parents and children
§ 25:96
§ 25:97
          — One consents, one objects
§ 25:98
          —Scope of consent
          — —Containers
§ 25:99
          ——"Look around" searches; "Complete searches"
§ 25:100
§ 25:101
          ——Open-ended consent
§ 25:102
          — —Consent in medical context
§ 25:103
          —Consent voluntary despite illegal act: when is "taint" purged?
§ 25:104
          —Withdrawal of consent
          Plain view—Prerequisites
§ 25:105
§ 25:106
          — —Lawful right of access
          -- "Immediately apparent"
§ 25:107
          —Plain smell
§ 25:108
§ 25:109
          —Plain hearing
§ 25:110
          -Plain touch
§ 25:111
          Emergency
          -"Hot" pursuit
§ 25:112
          -"Warm" pursuit
§ 25:113
          —Destruction of evidence
§ 25:114
§ 25:115
          —Protection of property
§ 25:116
          —Persons in danger
§ 25:117
          Borders—Reach of exception
§ 25:118
          —Roving border patrols
          -Open seas
§ 25:119
§ 25:120
          -Packages
§ 25:121
          Airports
          Trains and buses
§ 25:122
§ 25:123
          Automobiles
          —The automobile exception
§ 25:124
§ 25:125
          ——Probable cause to search
          — Exigent circumstances
§ 25:126
§ 25:127
          ——Closed containers
§ 25:128
          —"Terry" automobile stops
```

§ 25:129 —Automobile searches incident to arrest § 25:130 ——Search "contemporaneous" with arrest § 25:131 ——State courts' treatment of *Belton* § 25:132 ——Immediate control: effect of walking away ——Scope of search § 25:133 § 25:134 — —Containers ——Standing to challenge search § 25:135 § 25:136 — Boats and other vehicles § 25:137 ——Belton applied to non-automobile context § 25:138 —Traffic stops incident to arrest § 25:139 —Pretext searches § 25:140 —Random stops § 25:141 —Consent searches —Inventory searches § 25:142 -"Caretaking function" § 25:143 Computer searches—How it's done: DOJ guidelines on searching computers, § 25:144 obtaining electronic evidence § 25:145 —Statutory restrictions: Privacy Protection Act litigation § 25:146 —Basis for search—Consent § 25:147 — Probable cause—Insufficient allegations — — Sufficient allegations § 25:148 § 25:149 —Scope of search -Privacy interests diminished § 25:150 § 25:151 Cell phones and other electronic devices § 25:152 Searches by private citizens Administrative searches § 25:153 § 25:154 -Housing § 25:155 ——Probation and parole searches § 25:156 -Business § 25:157 -Schools —INS sweeps § 25:158 § 25:159 —Drug testing § 25:160 —Searches abroad

#### CHAPTER 26. SURVEILLANCE

§ 26:1 Introduction § 26:2 General overview—Reasonable expectation of privacy § 26:3 — Examples Residences § 26:4 § 26:5 —Curtilage — Proximity § 26:6 ---Enclosure § 26:7 § 26:8 --Use § 26:9 — —Visibility § 26:10 -Lawful vantage point § 26:11 Open fields § 26:12 Open skies—Aerial overflight § 26:13 -Visually assisted overflights § 26:14 —Helicopters Open view § 26:15 § 26:16 —Flashlight, nightscope —Binoculars, telescopes § 26:17 § 26:18 —Thermal imaging — — Kyllo decision § 26:19 § 26:20 — General public use: a huge loophole Canine sniffs § 26:21

```
§ 26:22
         —Public places
§ 26:23
         —Controlled delivery
§ 26:24
         —Traffic stops
§ 26:25
         —Limits on Place
§ 26:26
         — —Roadblocks/checkpoints
         —Challenges to canines
§ 26:27
         Electronic surveillance
§ 26:28
§ 26:29
         —Legislative authority for electronic surveillance
§ 26:30
         — — The Federal Wiretap Statute
§ 26:31
         ———Types of crimes
         ———Post-USA PATRIOT Act/Homeland Security Act wiretaps
§ 26:32
§ 26:33
         — — Types of intercept orders
§ 26:34
         — — —Limits on disclosure
         — — The Foreign Intelligence Security Act (FISA)
§ 26:35
§ 26:36
         —The procedural requirements
§ 26:37
         — Necessity; statement regarding other investigative procedures (tried,
          unlikely to succeed); identity of person whose communications are to be
          intercepted
§ 26:38
         -Procedural requirements-Extension
§ 26:39
         — The order
§ 26:40
         —The procedural requirements—Emergency exception
§ 26:41
         — The order—Suppression of evidence derived from
§ 26:42
         —Conduct, scope and duration
§ 26:43
         —Procedural requirements—Judicial sealing
         —Sanctions for violation of statute—Suppression
§ 26:44
§ 26:45
         — Good faith
         — — Liability for violations of Wiretap Act: clean hands doctrine
§ 26:46
         —Sharing of information obtained through electronic surveillance
§ 26:47
§ 26:48
         — —Grand jury information
         — — Title III intercepts
§ 26:49
         ——FISA
§ 26:50
§ 26:51
         —Stored communications
         —Pen registers and "trap and trace" devices, 18 U.S.C.A. §§ 3121 to 3127
§ 26:52
§ 26:53
         —Clone pagers
§ 26:54
         —Electronic tracking devices
§ 26:55
         —Cellular and cordless telephones
§ 26:56
         —Computers: search and seizure
         -Videotaping
§ 26:57
         -Biometrics: an emerging trend
§ 26:58
§ 26:59
         Consent and voluntary disclosure to third parties—One party consent
§ 26:60
         — Notice
         — —Parents and children
§ 26:61
         —Other surveillance techniques
§ 26:62
         Additional statutory authority for electronic surveillance—The Electronic
§ 26:63
          Communications Privacy Act (1986), 18 U.S.C.A. § 2511(c) (1994)
§ 26:64
         —The Foreign Intelligence Surveillance Act, 50 U.S.C.A. §§ 1801 et seq.
§ 26:65
         — —Fourth Amendment reasonableness inquiry
§ 26:66
         — — Disclosure to parties
         —The Computer Fraud and Abuse Act, 18 U.S.C.A. § 1030 (1984)
§ 26:67
         —Communications Assistance for Law Enforcement Act (CALEA), 47 U.S.C.A.
§ 26:68
          §§ 1001 et seq.
§ 26:69
         —Law enforcement surveillance programs
         — — Carnivore
§ 26:70
         — — Magic lantern
§ 26:71
§ 26:72
         Post-911 legislation and the law of electronic surveillance—The USA PATRIOT
          Act
         — —Introduction
§ 26:73
```

8 96.74	Demostic law enforcement versus counter intelligence/enti terreniam
§ 26:74	— — Domestic law enforcement versus counter-intelligence/anti-terrorism
§ 26:75	— — — Different standards
§ 26:76	————Sharing of intelligence
$\S 26:77$	— ——Specific procedures—Wiretap orders
§ 26:78	————Search warrants
§ 26:79	————Court orders with discretion
§ 26:80	————Court orders without discretion
§ 26:81	— — — — Administrative subpoena
§ 26:82	— — — Voluntary disclosure
§ 26:83	— — Judicial reports and monitoring—Grand jury disclosures
§ 26:84	— — — Additional oversight
§ 26:85	— — Civil liability—Ramifications of disclosure to law enforcement agencies
§ 26:86	— — — Impacts and implications on business going forward
§ 26:87	—The Homeland Security Act—Computer security
§ 26:88	——Pen registers, trap and trace
§ 26:89	——Role of ISPs
§ 26:90	——Sharing information
§ 26:91	— —Wiretaps
§ 26:92	——Substantive criminal provisions
§ 26:93	Public disclosure of post-investigative search warrant applications, orders, and
	supporting materials

# **CHAPTER 27. CONFESSIONS**

§ 27:1	Introduction
§ 27:2	"Due Process" vs. <i>Miranda</i> : history and policy
§ 27:3	"Due Process" vs. Miranda: the difference in consequences
§ 27:4	Voluntariness
§ 27:5	Condition of defendant—Youth
§ 27:6	— —Court rulings on voluntariness of juvenile confessions
§ 27:7	—Intoxication
§ 27:8	——Cases ruling on intoxication and voluntariness of confession
§ 27:9	—Background
§ 27:10	—Illness
§ 27:11	—Mental condition
§ 27:12	——Cases ruling on voluntariness of confession
§ 27:13	Nature of interrogation—Length of interview
§ 27:14	——Court ruling on voluntariness of confession
§ 27:15	—Physical abuse
§ 27:16	—Tricks or promises
§ 27:17	——Courts ruling on voluntariness of confession
§ 27:18	—Lies
§ 27:19	——Courts ruling on voluntariness of confession
§ 27:20	—Threats
§ 27:21	——Courts ruling on voluntariness of confessions
§ 27:22	—Deprivation
§ 27:23	——Courts ruling on voluntariness of confession
§ 27:24	—Location
$\S 27:25$	False confessions
§ 27:26	—Evolution of interrogation techniques
§ 27:27	—Interrogation techniques
§ 27:28	——Courts on the Reid technique
§ 27:29	— — Courts ruling on implementation of specific techniques
§ 27:30	Conduct of suppression hearing
§ 27:31	Contents of hearing—Grounds and burden of proof
§ 27:32	—Recording the confession
§ 27:33	—Expert testimony

§ 27:34 — Courts ruling on need for expert testimony § 27:35 Harmless error § 27:36 Fourth Amendment § 27:37 Sixth Amendment—"Critical stage" § 27:38 —Deliberately elicited Delay in arraignment § 27:39 Fruit of involuntary confession—Taint § 27:40 § 27:41 — —Court rulings on dissipation of taint § 27:42 —Independent source an inevitable discovery § 27:43 Coercion by private parties

State statutes governing voluntary confessions and court cases interpreting them

### CHAPTER 28. MIRANDA VIOLATIONS

§ 28:1 Introduction

§ 27:44

- § 28:2 Miranda warnings
- § 28:3 —Sufficiency of
- § 28:4 —Re-advising
- § 28:5 Custody—Factors
- $\S~28:6$  ——Location
- § 28:7 —Detention
- § 28:8 —Restraint
- § 28:9 —Tests
- § 28:10 Interrogation—Test
- § 28:11 "Functional equivalent"
- § 28:12 —Booking questions
- § 28:13 —"Stale" warnings
- § 28:14 —Other state agents
- § 28:15 —Polygraphs
- § 28:16 Waiver—Factors
- § 28:17 —Implied waiver
- § 28:18 —Notice re crime being investigated
- § 28:19 —"Incommunicado" waivers
- § 28:20 —By juveniles
- § 28:21 Assertion of rights—Assertion of right to remain silent
- § 28:22 —Assertion of right to counsel
- § 28:23 —What constitutes an invocation
- § 28:24 —Anticipatory assertion
- § 28:25 Exceptions
- § 28:26 —Presence of an attorney
- § 28:27 —Volunteered statements
- § 28:28 —Statements to citizens
- § 28:29 —Emergency
- § 28:30 Impeachment—Use of Miranda-violative statements
- § 28:31 —With silence
- § 28:32 Other uses of Miranda-violative statements—Leads to other evidence
- § 28:33 —Use in insanity cases
- § 28:34 Miranda and civil actions

### CHAPTER 29. IDENTIFICATION TESTIMONY

- § 29:1 Introduction
- § 29:2 Initial contact
- § 29:3 Motion to suppress
- § 29:4 Fifth Amendment—Due process
- § 29:5 Showup
- § 29:6 Lineup
- § 29:7 Photo identification

§ 29:8	Voice, handwriting, and other identification
§ 29:9	In-court identification
§ 29:10	Out-of-court identification and bolstering
§ 29:11	Fifth Amendment—Self-incrimination
§ 29:12	Sixth Amendment—Right to counsel
§ 29:13	Fourth Amendment—Illegal detention
§ 29:14	Defense-requested lineup
§ 29:15	Suppression hearing

# Volume 2

### CHAPTER 30. ROADBLOCKS/CHECKPOINTS

	TER GOT ROTEDED CHECK CHECK OH THE
§ 30:1	Introduction
§ 30:2	The Fourth Amendment—Balancing test
§ 30:3	—Vulnerability of stops
§ 30:4	State constitutional challenges
§ 30:5	Gravity of public interest
§ 30:6	Discretion—Field officers' discretion limited
§ 30:7	—Selection of location and time
§ 30:8	—Selection of vehicles
§ 30:9	Intrusiveness of the stop—Level of intrusion; Length of detention
§ 30:10	—Apprehension and fear
§ 30:11	—Method of detecting inebriation
§ 30:12	—Safety
§ 30:13	Effectiveness of the stop—Public interest
§ 30:14	—Availability of less intrusive methods
§ 30:15	—Deterrent effect
§ 30:16	—Effectiveness of site selected
§ 30:17	Subterfuge
§ 30:18	Statutory authorization challenges

### CHAPTER 31. SPEEDY TRIAL

CHAP	TER 31. SPEEDI IRIAL
§ 31:1	Introduction
§ 31:2	Speedy trial legislation—Federal and state legislation
§ 31:3	Remedies
§ 31:4	Time periods—When period begins
§ 31:5	—When period ends
§ 31:6	—Delay between arrest and indictment
§ 31:7	—Delay after trial
§ 31:8	Excludable periods
§ 31:9	—Other proceedings pending
§ 31:10	—Mental or physical examination of accused
§ 31:11	—Trial on other charges
§ 31:12	—Proceedings in other jurisdictions
§ 31:13	—Consideration by court of proposed plea
§ 31:14	—Trial motions
§ 31:15	—Other proceedings concerning defendant
§ 31:16	—Withdrawal of guilty plea and re indictment
§ 31:17	—Unavailability of witness
§ 31:18	—Unavailability of defendant
§ 31:19	—Unavailability of defense counsel
§ 31:20	—Joint trials
§ 31:21	—Continuances
§ 31:22	—Catch-all exceptions
§ 31:23	Special defendants

- § 31:24 —Persons charged with serious crimes § 31:25 —Persons detained in other jurisdictions: The Interstate Agreement on Detainers (IAD) § 31:26 —Incarcerated persons § 31:27 Waiver § 31:28 Bail Rules of court § 31:29 Constitution—Balancing test § 31:30 § 31:31 —Sixth Amendment — —Length of delay § 31:32 — —Justification for the delay § 31:33 ——Assertion of right § 31:34 ——Prejudice § 31:35 —Fifth Amendment § 31:36 § 31:37 -Waiver § 31:38 —State constitutions § 31:39 Procedure—Demands
- CHAPTER 32. JOINDER AND SEVERANCE § 32:1 Introduction § 32:2 Joinder of defendants Joinder of charges—Rule 8(a)'s two options against single defendants § 32:3 § 32:4 —Same or similar character § 32:5 —Same episode, act, or transaction —Common scheme § 32:6 § 32:7 —Joinder of offenses under Rule 8(b) § 32:8 Relief from misjoinder Severance of defendants—Burden § 32:9 § 32:10 —Disproportionate proof —Reputation and conduct of codefendant § 32:11 § 32:12 -Codefendant's confession-Bruton Rule § 32:13 — —Interlocking confessions ---Redaction § 32:14 — —Hearsay § 32:15 -- Misuse in government's closing § 32:16 § 32:17 —Desire to call codefendant as witness § 32:18 —Antagonistic defenses—Burden § 32:19 ——Showing of prejudice § 32:20 ——Renewing motion ——Antagonism between attorneys § 32:21 § 32:22 ——Spouses § 32:23 -"Mega-trials" § 32:24 Severance of offenses § 32:25 Motion to sever by government Other remedies and rationales—Curing prejudice § 32:26 § 32:27 —Limiting instructions § 32:28 —Evidence of other crime admissible —Split verdicts § 32:29 —Separate juries—Same courtroom § 32:30 § 32:31 —Bifurcated trial —Separate tables § 32:32 —Bench trial § 32:33 § 32:34 —Harmless error § 32:35 —Waiver

§ 31:40

—Sanctions against counsel

### CHAPTER 33. CHANGE OF VENUE

- § 33:1 Introduction § 33:2 Constitutional rights to venue and change of venue § 33:3 -Standard § 33:4 -Factors — —Nature of the publicity § 33:5 — — Degree of circulation § 33:6 § 33:7 — —Role of government officials § 33:8 — —Timing of dissemination ——Severity and notoriety of charge § 33:9 § 33:10 ——Selection of jury ——Size of community § 33:11 § 33:12 — —Other relevant factors —Demonstrating prejudicial publicity § 33:13 § 33:14 — Voir dire ———Caselaw illustrations § 33:15 § 33:16 — — Media records § 33:17 ——Opinion testimony § 33:18 Federal statutory right—Rule 21 § 33:19 — Location of defendant § 33:20 — Location of witnesses § 33:21 — —Location of events in issue — —Location of documents § 33:22 § 33:23 - Disruption of defendant's business ——Expense to parties § 33:24 § 33:25 — —Location of counsel § 33:26 ——Accessibility of place of trial § 33:27 — — Docket § 33:28 —Time for determination -Multiple defendants and charges § 33:29 § 33:30 —Choice of venue § 33:31 Prejudice arising from race § 33:32 State statutory provisions
- § 33:33 —Affidavit requirement
- § 33:34 —Court addressed
- § 33:35 —Absolute right to transfer
- § 33:36 —Exhaustion of challenges
- § 33:37 —Timing of motions
- § 33:38 —Government motion
- § 33:39 —Caselaw illustrations

### CHAPTER 34. RECUSAL OF JUDGE

- § 34:1 Introduction
- § 34:2 Grounds for recusal
- § 34:3 The Due Process Clause
- § 34:4 Rules and statutes
- § 34:5 —"Peremptory challenge" of judge
- § 34:6 —Burden on movant
- § 34:7 Statutory standards
- § 34:8 Statute: Fact-based disqualification—Factual grounds
- § 34:9 —Pecuniary and other interests
- § 34:10 —Any other interest
- § 34:11 —Relationship to party or witness
- § 34:12 —Witness—Caselaw illustrations
- § 34:13 —Relationship to counsel
- § 34:14 ——Caselaw illustrations

—Participation in action § 34:15 § 34:16 ——Caselaw illustrations § 34:17 -Witness § 34:18 -Knowledge of facts § 34:19 ——Caselaw illustrations § 34:20 —Personal bias and prejudice ——Caselaw illustrations § 34:21 § 34:22 —Conduct or relationships of court personnel § 34:23 Measuring bias § 34:24 Extrajudicial sources § 34:25 Procedure § 34:26 Judicial retaliation § 34:27 Waiver of disqualification

Review of order granting or denying recusal

### CHAPTER 35. STATE CONSTITUTIONAL CHALLENGES

§ 35:1 Introduction § 35:2 Judicial encouragement Federal law only a "safety net"—Focus on local interest § 35:3 § 35:4 —The case for consistency § 35:5 New federalism—State law first -Michigan v. Long: Plain statement § 35:6 § 35:7 State law mandates different result—Lists of criteria § 35:8 —Substantial textual difference § 35:9 —Unique state policy considerations § 35:10 —State constitution predates federal law —Roots traceable to pre-federal law § 35:11 —Federal law has become imbedded in state constitution § 35:12 § 35:13 —Framers' intent § 35:14 —State law predates federal decision —Conflict with local rules § 35:15 —Approaches from sister states, dissents § 35:16 Federal rule wrong—Rejection of Belton § 35:17 -Rejection of Gates and Leon § 35:18 § 35:19 -Rejection of Harris v. New York § 35:20 —Appeal to state pride Rejection of states' rights § 35:21 § 35:22 Topics for independent review § 35:23 —First Amendment § 35:24 —Second Amendment —Fourth Amendment § 35:25 § 35:26 ——Standing § 35:27 ——Consent/third parties ——"Seizure" § 35:28 § 35:29 —Open fields — —Garbage § 35:30 — Good faith exception to exclusionary rule § 35:31 § 35:32 — — Totality of circumstances analysis § 35:33 — — Dog sniffs ——Searches incident to arrest § 35:34 ——Automobile exceptions § 35:35 ---Roadblocks § 35:36 — —Wiretapping § 35:37 — —Thermal imaging § 35:38 § 35:39 —Fifth and Sixth Amendments § 35:40 — —Self-incrimination

§ 34:28

§ 35:41	— —Immunity
§ 35:42	——Right to counsel
§ 35:43	— — Identification procedures
§ 35:44	— — Miranda: Assertion of right
§ 35:45	— — Miranda: Waiver
§ 35:46	— — Miranda: Juveniles
§ 35:47	—— <i>Miranda</i> : Impeachment
§ 35:48	— —Confrontation clause/hearsay
§ 35:49	— —Confrontation clause/facing witnesses
§ 35:50	——Speedy trial
§ 35:51	— —Double jeopardy
§ 35:52	— —Jury
§ 35:53	—Eighth Amendment
§ 35:54	—Fourteenth Amendment—Peremptory strikes
§ 35:55	<ul> <li>— Discovery of privileged documents</li> </ul>
§ 35:56	——Destruction of evidence
§ 35:57	— —Failure to disclose evidence
§ 35:58	——Confessions
§ 35:59	——Sentencing
§ 35:60	— —Sodomy laws violate liberty interest

### CHAPTER 36. PRACTICE AIDS

CILAI	TER 30. TRACTICE AIDS
§ 36:1	Suppression motions checklist
§ 36:2	Criminal warrant checklist
§ 36:3	Cross-examination of search team leader
§ 36:4	Outline of motion to suppress statements
§ 36:5	Motion to suppress written statements for failure to record interrogation
§ 36:6	Standard identification questions
§ 36:7	Sobriety roadblock checklist
§ 36:8	General joinder and severance checklist: Defense
§ 36:9	Mega-trial joinder and severance checklist
§ 36:10	Motion for separate tables
§ 36:11	Recusal provisions
§ 36:12	Motion to recuse judge
§ 36:13	Motion urging reliance on state privacy provision
§ 36:14	Motion to suppress eyewitness identification
§ 36:15	Warrantless search checklist and proofs that consent to search was given under
	coercive circumstances

# PART VI. DEFENSES

### CHAPTER 37. ALIBI

§ 37:1	Introduction
§ 37:2	Investigation
§ 37:3	Preliminary hearing/grand jury
§ 37:4	Discovery
§ 37:5	Alibi notice rules—Notice and reciprocity
§ 37:6	——Compliance
§ 37:7	—Sanctions
§ 37:8	— —Illustrations of proper sanctions
§ 37:9	— —Illustrations of improper sanctions
§ 37:10	Presenting alibi defense
§ 37:11	—Testimony of defendant
§ 37:12	—Testimony of other witnesses
§ 37:13	—Tangible proof

§ 37:14 —Codefendants
§ 37:15 Preparing alibi witnesses
§ 37:16 Jury instruction
§ 37:17 Summation—For the defense
§ 37:18 —For the prosecution

Checklist for defense counsel

#### CHAPTER 38. SELF-DEFENSE AND RELATED DEFENSES

- § 38:1 Introduction § 38:2 Elements—Immediacy of harm —Fear of injury § 38:3 § 38:4 —Degree of force § 38:5 —Duty to retreat § 38:6 —Lack of provocation § 38:7 —Injury to third parties Imperfect self-defense § 38:8
- § 38:9 Evidence—The victim
- § 38:10 —The defendant § 38:11 —Expert testimony
- § 38:11 —Expert test § 38:12 Argument

§ 37:19

- § 38:13 Defense of property—Home
- § 38:14 —Other property
- § 38:15 Defense of others
- § 38:16 Overview of state stand-your-ground laws
- § 38:17 Battered spouses—Nature of defense
- § 38:18 —Expert testimony
- § 38:19 Resisting arrest
- § 38:20 Burden of proof

### CHAPTER 39. INSANITY

- § 39:1 Introduction
- § 39:2 Standards
- § 39:3 Burden of proof
- § 39:4 Pre-trial examination
- § 39:5 Decision to plead—Defendant
- § 39:6 —Court-imposed
- § 39:7 —Notice
- § 39:8 Preparation—Gathering information
- § 39:9 —Forced medication
- § 39:10 Presenting the defense—Concerns of jury
- § 39:11 —Voir dire
- § 39:12 —Lay testimony
- § 39:13 —Expert testimony
- § 39:14 —Cross examination
- § 39:15 Bifurcation
- § 39:16 Jury instructions
- § 39:17 Commitment and release—Length of commitment
- § 39:18 —Federal sentencing guidelines

### **CHAPTER 40. INTOXICATION**

- § 40:1 Introduction
- § 40:2 Involuntary intoxication
- § 40:3 Voluntary intoxication
- § 40:4 —Caselaw discussion
- § 40:5 Defense and attempted crimes
- § 40:6 Evidence

- § 40:7 Jury instructions
- § 40:8 Inconstancies with primary defense
- § 40:9 Instruction even though defense not asserted

### CHAPTER 41. ENTRAPMENT

- § 41:1 Introduction
- § 41:2 Subjective test—Inducement
- § 41:3 —Predisposition
- § 41:4 —Evidence of prior bad acts, good acts
- § 41:5 —Entrapment as a matter of law
- § 41:6 —Burden of proof
- § 41:7 Objective test
- § 41:8 Inconsistent defenses
- § 41:9 Private persons—Agency relationship
- § 41:10 —Vicarious or derivative entrapment
- § 41:11 Raising the defense
- § 41:12 Due process/outrageous conduct
- § 41:13 Sentencing entrapment
- § 41:14 Entrapment by estoppel

### CHAPTER 42. NECESSITY AND DURESS

- § 42:1 Introduction
- § 42:2 Duress—Elements
- § 42:3 —Murder exception
- § 42:4 —Recklessly placed self in situation exception
- § 42:5 —"Strict liability" offenses
- § 42:6 —Procedure and proof
- § 42:7 —Inconsistent offenses rule
- § 42:8 —Overlap with other offenses
- § 42:9 —Opening the door
- § 42:10 —Burden of proof
- § 42:11 —Prison escape
- § 42:12 —Drug couriers
- § 42:13 —Battered woman syndrome
- § 42:14 Necessity—Elements
- § 42:15 —Prison escape
- § 42:16 —Possession of weapons
- § 42:17 —Civil disobedience
- § 42:18 —"Nuremberg" defense
- § 42:19 —Medical necessity
- § 42:20 ——Medical marijuana statutes
- § 42:21 —Homelessness
- § 42:22 —Practice and procedure
- § 42:23 —Burden of proof
- § 42:24 —Inconsistent defenses

### CHAPTER 43. IMPOSSIBILITY

- § 43:1 Introduction
- § 43:2 Factual impossibility
- § 43:3 Legal impossibility—Definition
- § 43:4 —Modern view
- § 43:5 —Model penal code
- § 43:6 —Presentation of impossibility defense
- § 43:7 —Police "stings"
- § 43:8 "Inherent" impossibility—Definition
- § 43:9 —De minimus offense

- § 43:10 Impossibility as defense to completed crime
- § 43:11 "Logical" impossibility
- § 43:12 Impossibility by omission

### CHAPTER 44. PRACTICE AIDS

- § 44:1 Alibi practice aids
- § 44:2 Self-defense practice aids
- § 44:3 Insanity practice aids
- § 44:4 Intoxication practice aids
- § 44:5 Entrapment practice aids
- § 44:6 Necessity and duress practice aids
- § 44:7 Proof of alibi

# PART VII. PLEA BARGAINING AND GUILTY PLEAS

### CHAPTER 45. BARGAINING

- § 45:1 Introduction
- § 45:2 Role of plea bargaining
- § 45:3 The client
- § 45:4 Evaluation of the case
- § 45:5 —Factual investigation
- § 45:6 —Legal investigation
- § 45:7 —Intelligence gathering—The prosecutor
- $\S 45:8$  ——The judge
- $\S 45:9$  ——The client
- § 45:10 ——The victim
- § 45:11 —Assessing the case
- § 45:12 Timing
- § 45:13 Preparing client for plea
- § 45:14 What can be bargained
- § 45:15 —Charge bargaining
- § 45:16 —Sentence bargaining
- § 45:17 —Other concessions by government
- § 45:18 —Concessions by the defendant
- § 45:19 Cooperation-immunity agreements

### CHAPTER 46. ENTRY OF GUILTY PLEA

- § 46:1 Introduction
- § 46:2 The guilty plea family
- § 46:3 —Guilty plea
- § 46:4 —Alford plea
- § 46:5 —Nolo contendere
- § 46:6 —Conditional plea
- § 46:7 ——Limitations
- § 46:8 —Stipulated trial
- § 46:9 Inadmissibility of plea discussions
- § 46:10 Duty to advise defendant
- § 46:11 —Personally addressing defendant
- § 46:12 —Waiver of rights
- § 46:13 —Nature of charge
- § 46:14 —Consequences
- § 46:15 Voluntariness of plea
- § 46:16 The plea agreement
- § 46:17 Factual basis for plea
- § 46:18 Factual basis—Nolo plea

- § 46:19 —Alford pleas
- § 46:20 Factual basis establishing defense

### CHAPTER 47. BREACH OF AGREEMENT

- § 47:1 Introduction
- § 47:2 Broken promises
- § 47:3 Remedies for breach—Choice of remedies
- § 47:4 —Specific performance
- § 47:5 —Withdrawal of plea
- § 47:6 —Resentencing
- § 47:7 Reneging on the deal
- § 47:8 Raising a breach of plea agreement for the first time on appeal

### CHAPTER 48. WITHDRAWAL OF PLEA

- § 48:1 Introduction
- § 48:2 Withdrawal, generally
- § 48:3 Post-sentence standard
- § 48:4 Pre-sentence standard
- § 48:5 Immigration consequences of a plea withdrawal

### CHAPTER 49. PRACTICE AIDS

- § 49:1 Plea agreement
- § 49:2 Suggested questions for court to ask in taking a guilty plea
- § 49:3 Motion to compel specific performance of plea agreement
- § 49:4 Motion to withdraw guilty plea
- § 49:5 Response to defendant's motion to withdraw guilty plea
- § 49:6 Cooperation agreement
- § 49:7 Debriefing letter

### PART VIII. JURY SELECTION

### CHAPTER 50. OBTAINING INFORMATION ABOUT JURORS

- § 50:1 Introduction
- § 50:2 Information from the court
- § 50:3 Case-specific questionnaire
- § 50:4 Investigation of the jury panel—Ethical constraints
- § 50:5 —Size of community
- § 50:6 —Track record of panel
- § 50:7 —Public sources of information
- § 50:8 —Commercial jury services
- § 50:9 —Information about the community
- § 50:10 Use of jury consultants—Objectives
- § 50:11 —Systematic jury selection
- § 50:12 —Attitudinal surveys
- § 50:13 —Trial simulations and second trials
- § 50:14 Anonymous juries

### CHAPTER 51. CHALLENGING THE ARRAY

- § 51:1 Introduction
- § 51:2 Procedure
- § 51:3 Fair cross section challenge—Origins
- § 51:4 —Distinctiveness—Undefined term
- § 51:5 ——Classifications—Blue collar workers
- § 51:6 ———Less-educated individuals

§ 51:7 — — —Low-income individuals § 51:8 — ——Students ———Young adults § 51:9 ———Elderly § 51:10 ———Transients § 51:11 — — — Unemployed § 51:12 ———Resident aliens § 51:13 § 51:14 ———Union members — — Native Americans § 51:15 § 51:16 ———Caucasians — — —Rural residents § 51:17 § 51:18 — — — Nonreligious and religious citizens § 51:19 — — Deaf and blind citizens — — Nonvoters § 51:20 § 51:21 — — — Non-native English speakers § 51:22 — — — Attorneys § 51:23 Fair cross-section—Representativeness—Various standards § 51:24 ——Absolute disparity § 51:25 ——Comparative disparity --- Proportion of eligibles standard § 51:26 § 51:27 ——Statistical significance test ---Substantial impact test § 51:28 § 51:29 —Systematic exclusion § 51:30 Equal protection challenge § 51:31 Statutory challenges—Federal statutes § 51:32 —Source list selection -Random selection § 51:33 § 51:34 ——Phase I: Source list compilation § 51:35 — — Phase II: Master list compilation § 51:36 ——Phase III: Pool compilation § 51:37 — — Phase IV: Panel assignments —Stratified sampling § 51:38 § 51:39 —Summoning and impaneling issues

### **CHAPTER 52. VOIR DIRE**

- § 52:1 Introduction
- § 52:2 Purpose
- § 52:3 Content-based questioning
- § 52:4 Procedure—Statutes
- § 52:5 —Who conducts questioning?
- § 52:6 —Questioning of the venire panel as a whole or as individuals?
- § 52:7 —Advance exchange of questions, objections
- § 52:8 Scope
- § 52:9 —Context of case
- § 52:10 —Particular questions—Willingness to apply legal principles
- § 52:11 ——Racial prejudice
- § 52:12 ——Pretrial publicity
- § 52:13 ——Midtrial publicity
- $\S$  52:14 ——Legal defenses
- § 52:15 ——Credibility of police officers and "official" witnesses
- § 52:16 ——Various controversial matters
- § 52:17 Style and phrasing of questions

### CHAPTER 53. CHALLENGES FOR CAUSE

- § 53:1 Introduction
- § 53:2 Bases for cause—Statutory

-Bias § 53:3 § 53:4 —Presumed bias § 53:5 —Preconceived opinions § 53:6 —Other bases § 53:7 Procedure § 53:8 —Basis for ruling § 53:9 —Appellate review § 53:10 Strategy

### CHAPTER 54. PEREMPTORY CHALLENGES

- § 54:1 Introduction § 54:2 Function § 54:3 Burden of proof under Swain § 54:4 State constitutions § 54:5 § 54:6
- Burden of proof under Batson Extension of *Batson* (parties)—Racial identity
- § 54:7 —Extension to civil litigants
- -Extension to defense § 54:8
- Extension of Batson to other groups—Definition of class § 54:9
- —Gender § 54:10
- —Ethnic and racial groups § 54:11
- —Other groups—Groups recognized § 54:12
- § 54:13 — Groups not recognized
- Establishing a prima facie case—Rebuttable presumption § 54:14
- § 54:15 —"Relevant circumstances"
- § 54:16 -Nature of case
- § 54:17 —Numerical evidence
- § 54:18 —Bright-line rules rejected
- § 54:19 Overcoming presumption—Burden
- § 54:20 — — Differences among state and federal courts
- § 54:21 —Subjective v. objective explanations
- § 54:22 —Facially discriminatory challenges
- —Facially neutral explanations § 54:23
- —Profile or rating system § 54:24
- § 54:25 Challenging the explanation
- § 54:26 —Disparate treatment of jurors
- Making the record § 54:27
- § 54:28 —Panel members
- § 54:29 —Comparative analysis
- § 54:30 —Use of exhibits
- —Cross-examination § 54:31
- —Record of reasons § 54:32
- § 54:33 —Record of rebuttal
- § 54:34 Batson procedure—Nature of the hearing
- § 54:35 —Unitary procedure
- —Timing § 54:36
- —Waiver § 54:37
- § 54:38 —Concern for remedy
- § 54:39 —Role of trial court
- Remedy—Alternatives § 54:40
- -Rights of jurors § 54:41
- § 54:42 Fair cross-section challenges
- Future of peremptory challenge—Predictions of demise § 54:43
- —Clues from Supreme Court § 54:44
- § 54:45 —Calls for abolition
- —Proposal for ethical rule § 54:46

§ 54:47 Working within *Batson* § 54:48 Argument for enhanced voir dire § 54:49 Procedure § 54:50 Systems for striking Number of challenges § 54:51 § 54:52 Multiple defendants Strategy § 54:53 CHAPTER 55. PRACTICE AIDS § 55:1 Motion for attorney-conducted voir dire § 55:2 Motion for specification of jury selection procedures § 55:3 Motion to dismiss indictment and for discovery concerning jury selection § 55:4 Sample voir dire questions § 55:5 Jury questionnaire (O.J. Simpson) Sample voir dire questions § 55:6 Juror questionnaire and voir dire questions § 55:7 § 55:8 Voir dire questions § 55:9 Juror questionnaire Trial memorandum regarding additional peremptory challenges § 55:10 OPENING AND CLOSING PART IX. CHAPTER 56. OPENING STATEMENT § 56:1 Introduction § 56:2 Prosecution's opening statement § 56:3 Defense right to opening statement § 56:4 Reserving opening statement § 56:5 Control of opening § 56:6 Building rapport § 56:7 Motions for acquittal after government's opening statement Variance between opening statement and proof § 56:8 § 56:9 Objections § 56:10 Impermissible content Voices of experience § 56:11 Prosecutor's opening § 56:12 § 56:13 Defense opening § 56:14 —Substantial defense § 56:15 —No substantial defense CHAPTER 57. CLOSING ARGUMENTS § 57:1 Introduction Planning closing argument § 57:2 § 57:3 Delivery of the argument—Tone § 57:4 -Content —Order of arguments § 57:5 —Demonstrative evidence § 57:6 § 57:7 -Ending § 57:8 Arguing the law —Proof beyond a reasonable doubt § 57:9 —Credibility § 57:10 — — Illustrations § 57:11 § 57:12 Objections

Improper argument and prosecutorial misconduct

—Personal attacks on counsel, defendant

—Inflammatory remarks

§ 57:13

§ 57:14

§ 57:15

§ 57:23

§ 57:16 —Statements of personal opinion § 57:17 — — Illustrations § 57:18 —Comments on defendant's testimonial right § 57:19 —Improper inferences and facts not in evidence § 57:20 --- Illustrations "Invited argument" § 57:21 —Illustrations § 57:22

### CHAPTER 58. PRACTICE AIDS

§ 58:1 Opening statement practice aids

Defendant's presence

§ 58:2 Closing arguments

#### PART X. **EVIDENCE AND EXHIBITS**

- CHAPTER 59. CHARACTER EVIDENCE § 59:1 Introduction § 59:2 Character "in issue" § 59:3 Defendant's evidence of good character—Not necessarily "in issue" § 59:4 —Defendant's character traits—Truth and veracity § 59:5 — —Honestv § 59:6 ——Peacefulness and noncombativeness § 59:7 —Government's response Evidence of character of victim—Rules § 59:8 —Defense evidence of victim's violent character § 59:9 —Government's rebuttal when defense attacks victim's character § 59:10 § 59:11 Method of proof—Three methods § 59:12 -Reputation —Opinion § 59:13 § 59:14 —Specific acts § 59:15 Evidence of complaining witness's prior sexual behavior—Statutes § 59:16 Limitation on time and on number of witnesses
- § 59:17 Evidentiary use of complainant's sexual conduct
- Evidence of complaining witness's prior sexual behavior—Prior sexual relations § 59:18 with the defendant
- —Sexual relations with others to prove source of semen, pregnancy or disease § 59:19
- § 59:20 —Evidence to rebut claim of chastity
- § 59:21 —Credibility of complaining witness
- -Examples of evidentiary use-Previous false reports of rape § 59:22
- § 59:23 —Prior consensual relations with third parties
- -Evidence that shows accused reasonably believed complainant consented § 59:24
- § 59:25 —Examples of evidentiary use—Evidence of complainant's fantasy
- § 59:26 —Evidence complainant has been convicted of prostitution
- § 59:27 —Evidence whose admissibility is constitutionally required
- § 59:28 -Examples of evidentiary use-"Catch-all" provisions
- § 59:29 —Kinds of proof allowed
- § 59:30 —Procedural requirements
- § 59:31 —Strategic concerns

#### CHAPTER 60. EVIDENCE OF OTHER CRIMES

- § 60:1 Introduction
- § 60:2 The rationale
- § 60:3 The rules
- § 60:4 Common exceptions
- § 60:5 Intent exception

§ 60:6 Knowledge exception § 60:7 Absence of mistake, inadvertence exception § 60:8 Motive exception § 60:9 Identity exception § 60:10 Common scheme or plan exception § 60:11 Other exceptions—Opportunity § 60:12 —Belief in consent § 60:13 Balancing probative value against prejudice Evidence outside the rule—Specially treated crimes § 60:14 § 60:15 -Entrapment § 60:16 —Impeachment § 60:17 —Spoliation evidence § 60:18 —Other cases not within rules' scope—Inseparable crimes — —Conspiracy § 60:19 § 60:20 —Second-offense statutes —Crime that was subject of perjured testimony § 60:21 § 60:22 —Corroboration Uncovering other crimes evidence—Notice § 60:23 § 60:24 —Discovery § 60:25 —Self-help § 60:26 Objecting to the evidence—Motion in limine —Hearing during trial § 60:27 —Cautionary instructions § 60:28 § 60:29 Avoiding the evidence—Controversy requirement § 60:30 —Stipulation § 60:31 -Necessity § 60:32 —Policy reasons for exclusion § 60:33 Sufficiency of proof of other crimes—Rules § 60:34 —Effect of acquittal

### CHAPTER 61. MISSING WITNESS RULE

"Reverse 404(b)" evidence

§ 61:1 Introduction § 61:2 The doctrine § 61:3 Consequences: Argument, instruction § 61:4 "Equally available" witness Use against criminal defendants—Comment on failure to present testimony/ § 61:5 burden of proof § 61:6 —Denial of confrontation rights § 61:7 -Additional arguments § 61:8 Control of the party—Differing interpretations § 61:9 —Relationship of party and witness —Categories of special relationships § 61:10 —Characteristics of witness § 61:11 § 61:12 Testimony must elucidate transaction § 61:13 Procedure

### CHAPTER 62. EXHIBITS

- § 62:1 Introduction
- § 62:2 General considerations
- § 62:3 Evidentiary concerns
- § 62:4 Alternatives

#### CHAPTER 63. REAL EVIDENCE

- § 63:1 Introduction
- § 63:2 Relevancy

§ 60:35

TABLE OF	F CONTENTS	
§ 63:3	Authentication	
§ 63:4		
-	Unchanged condition	
§ 63:6	Methods	
CHA	PTER 64. PHOTOGRAPHS, FILMS, AND X-RAYS	
§ 64:1	Introduction	
§ 64:2	Theories of admissibility	
§ 64:3	Relevancy	
§ 64:4	Authentication—Witness testimony	
§ 64:5	—Silent witness	
§ 64:6 § 64:7	— —X-rays — —Automatic cameras/Surveillance videos	
§ 64.7 § 64:8	——Automatic cameras/survemance videos —Stipulation	
§ 64:9	Best evidence rule	
§ 64:10		
§ 64:11		
§ 64:12	— —Government need for photographs	
§ 64:13	•	
§ 64:14		
§ 64:15	1 0 1	
§ 64:16		
§ 64:17		
§ 64:18 § 64:19		
§ 64:19 § 64:20	· •	
§ 64:21	Computer enhancement and electronic imaging	
-	PTER 65. MODELS AND REPLICAS	
§ 65:1		
§ 65:2 § 65:3	Replicas or duplicates Models	
§ 65:4	Obtaining models	
, 00.1	obtaining models	
	PTER 66. DEMONSTRATIONS AND REENACTMENTS	
· ·	Introduction	
	Displays	
§ 66:3	1	
§ 66:4	Reenactments	
§ 66:5	Computerized re-creations	
	PTER 67. EXPERIMENTS	
§ 67:1	Introduction	
§ 67:2	Admissibility	
§ 67:3	Objections: Similar conditions	
§ 67:4	Other objections	
§ 67:5 § 67:6	Demonstrations v. replications Conducting experiments	
8 01:0	Conducting experiments	
CHAPTER 68. VIEWS		
8 68.1	Introduction	

§ 68:1 Introduction
§ 68:2 In judge's discretion
§ 68:3 Change in conditions of scene
§ 68:4 Procedure
§ 68:5 —Defendant's right to be present

§ 68:6 —Mere observation § 68:7 —Strategic concerns § 68:8 Unauthorized views

### CHAPTER 69. DIAGRAMS, CHARTS, AND SUMMARIES

- § 69:1 Introduction
- § 69:2 Visual aids to illustrate testimony—Prepared exhibits
- § 69:3 —Computer-generated displays and exhibits
- § 69:4 —Drawings
- § 69:5 Choice of format
- § 69:6 Maps as substantive evidence
- § 69:7 Summaries and charts as substantive evidence—Court confusion
- § 69:8 —Preparation
- § 69:9 —Admissibility—Best evidence rule
- § 69:10 ——Hearsay
- § 69:11 ——Authentication
- § 69:12 —Challenges to the summary

# CHAPTER 70. DOCUMENTS: BUSINESS AND OFFICIAL RECORDS

- § 70:1 Introduction
- § 70:2 Hearsay
- § 70:3 Business records
- § 70:4 Official or public records
- § 70:5 Former testimony
- § 70:6 Confrontation Clause considerations and admission of documents

### CHAPTER 71. AUTHENTICATION

- § 71:1 Introduction
- § 71:2 Foundation for admissibility
- § 71:3 Methods of authenticating writings
- § 71:4 —Eyewitness
- § 71:5 —Admission
- § 71:6 —Recognition by one familiar with signature
- § 71:7 —Comparison by expert or trier of fact
- § 71:8 —Reply doctrine
- § 71:9 —Ancient documents
- § 71:10 Methods of authenticating records
- § 71:11 Methods of authenticating telephone messages and sound recordings
- § 71:12 Methods of authenticating writings—E-mails, texts, social media posts
- § 71:13 Methods of authenticating process or system
- § 71:14 Self-authentication
- § 71:15 Challenging authentication

#### CHAPTER 72. BEST EVIDENCE RULE

- § 72:1 Introduction
- § 72:2 Evidence falling within rule—Contents of writings
- § 72:3 —Chattels
- § 72:4 —X-rays and photographs
- § 72:5 Original v. copy v. duplicate—Preference for original
- § 72:6 —Preference for next-best evidence
- § 72:7 —Duplicates
- § 72:8 ——Caselaw application
- § 72:9 Excuses for not producing original—Question for jury
- § 72:10 —Lost or destroyed

- § 72:11 —In possession of opponent
- § 72:12 —Other excuses—Certified copy
- § 72:13 Not subject to judicial process
- § 72:14 ——Collateral matter
- § 72:15 ——Admission as to terms of writing
- § 72:16 ——No dispute as to authenticity
- § 72:17 ——Fact independently proven
- § 72:18 Overcoming the excuse

# CHAPTER 73. SCIENTIFIC EVIDENCE AND EXPERT TESTIMONY

- § 73:1 Introduction
- § 73:2 Admissibility
- § 73:3 Frye test—"General acceptance"
- § 73:4 Relevance test—Criticism of Frye
- § 73:5 —Daubert/Rule 702
- § 73:6 —State treatment of Daubert
- § 73:7 —Legislative approval
- § 73:8 —"Scientific" versus "technical"
- § 73:9 Experts for special purposes
- § 73:10 —"State of mind" experts
- § 73:11 —Cultural experts
- § 73:12 —Cultural expert for sentencing
- § 73:13 —"Cooperation" expert—Cooperating defendants
- § 73:14 —False confession
- § 73:15 —Dog alerts/cadaver dogs
- § 73:16 —Sentencing experts
- § 73:17 Controversial subject areas
- § 73:18 —Revisiting the polygraph—Admissibility
- § 73:19 ——Discovery
- § 73:20 ——Brady
- § 73:21 ——Sixth Amendment
- § 73:22 —HGN test
- § 73:23 —Drug testing
- § 73:24 —Evewitness identification
- § 73:25 —Credibility of witness
- § 73:26 —Profile or syndrome evidence
- § 73:27 —Police drug culture experts
- § 73:28 —Voice identification experts
- § 73:29 —Blood splatter (spatter) experts
- § 73:30 —Handwriting identification experts
- § 73:31 —Ballistics experts
- § 73:32 —Fingerprint experts
- § 73:33 —Miscellaneous tests and other subject areas
- § 73:34 Relevance inquiry
- § 73:35 "Ultimate issue"
- § 73:36 Application of technique
- § 73:37 Statistical evidence
- § 73:38 DNA evidence—Procedure
- § 73:39 —Obtaining DNA samples from a suspect
- § 73:40 —Admissibility
- § 73:41 —History of admissibility litigation
- § 73:42 —Statistical probabilities
- § 73:43 —ABA Criminal Justice Standards on DNA Evidence
- § 73:44 —Caselaw discussion
- § 73:45 Confrontation Clause

# CHAPTER 74. EXPERT TESTIMONY ON EYEWITNESS IDENTIFICATION

§ 74:1 Introduction § 74:2 Importance of eyewitness testimony § 74:3 Trial strategy Teachings of psychologists—Stages of process § 74:4 § 74:5 —Sample offer of proof § 74:6 Possible uses of experts—Preparation of questions § 74:7 —Seeking fairer identification procedures § 74:8 —Testifying at trial § 74:9 Who are the experts? Admissibility of expert testimony—Federal Rules of Evidence § 74:10 § 74:11 —"General acceptance" § 74:12 —Frye doesn't apply § 74:13 Considerations for court—Factors to evaluate § 74:14 -Relevance-proffer and fit —Particular factors § 74:15 —Proper subject matter § 74:16 § 74:17 —Limitations on admissibility § 74:18 -Relevance § 74:19 —Types of cases § 74:20 —Caselaw illustrations Survey of experts—Topics deemed reliable § 74:21 § 74:22 —Topics lacking consensus

### CHAPTER 75. PRACTICE AIDS

Opposing expert testimony

Survey of "jurors"

Lack of notice

—Criticism of "general acceptance"

§ 75:1 Mechanics of admission of photograph § 75:2 Demonstrations: computerized graphics and re-creations § 75:3 Using a model Defendant's motion for jury view § 75:4 § 75:5 Jury view § 75:6 Cross-examination: charts and summaries Motion to preclude other crimes evidence § 75:7 § 75:8 Admission of evidence on battered woman syndrome § 75:9 Memorandum in support of defendant's offer of expert testimony

# Volume 3

# PART XI. EXAMINATION OF WITNESSES

Direct examination of expert witness on eyewitness testimony

# CHAPTER 76. THE CARE AND HANDLING OF WITNESSES

CHAP	TER 70. THE CARE AND HANDLING OF WI
§ 76:1	Introduction
§ 76:2	Preparation and advice to witness
§ 76:3	Special witnesses and problems—Young, elderly, or impaired witness
§ 76:4	—Frightened witness
§ 76:5	—Soft-spoken witness
§ 76:6	—Forgetful witness
§ 76:7	—Dull witness
§ 76:8	—Turncoat witness

§ 74:23

§ 74:24

§ 74:25

§ 74:26

§ 75:10

§ 76:9 —Biased witness
§ 76:10 —The "helpful" witness
§ 76:11 —Character witness
§ 76:12 —Impeachable witness
§ 76:13 —Accomplice/immunized witness

# CHAPTER 77. THE FORM OF QUESTIONS

- § 77:1 Introduction
- § 77:2 Narrative v. question and answer
- § 77:3 Leading questions: Prohibition
- § 77:4 Leading questions: Exceptions—Rules
- § 77:5 —Preliminary matters
- § 77:6 —Witnesses with limited capacity
- § 77:7 —Refreshing recollection
- § 77:8 —Prior inconsistent statement
- § 77:9 —Hostile witness
- § 77:10 Cues and codes
- § 77:11 Breadth of questions
- § 77:12 Lay opinion—Permissibility
- § 77:13 ——Handwriting
- § 77:14 ——Footprints
- § 77:15 ——Blood
- § 77:16 ——Speed of a moving vehicle
- § 77:17 ——Drugs
- § 77:18 ——Sanity
- § 77:19 —Shorthand descriptions
- § 77:20 —Ultimate issue

#### CHAPTER 78. RELEVANCY

- § 78:1 Introduction
- § 78:2 Definition of relevancy
- § 78:3 Limitations on relevance
- § 78:4 Unfair prejudice
- § 78:5 Confusion of issues, misleading jury
- § 78:6 Waste of time, cumulative evidence
- § 78:7 "Connecting up" or conditional relevance

## CHAPTER 79. IMPEACHMENT OF OWN WITNESS

- § 79:1 Introduction
- § 79:2 Modern trend
- § 79:3 Circumventing the rule—Statutes and rules
- § 79:4 —Subterfuge
- § 79:5 Strategic concerns
- § 79:6 Caselaw application

# CHAPTER 80. REFRESHING RECOLLECTION, PAST RECOLLECTION RECORDED

- § 80:1 Introduction
- § 80:2 Refreshing recollection: Requirements
- § 80:3 Refreshing recollection: Safeguards
- § 80:4 Hypnotically refreshed witnesses
- § 80:5 Problems with hypnosis—Hyper-suggestibility and hyper-compliance
- § 80:6 —Intentional lying
- § 80:7 —Difficulty of detecting inaccuracies
- § 80:8 —Juror faith in "science"

§ 80:9 Admissibility of hypnotically refreshed testimony § 80:10 Admissibility of pre-hypnosis recollections § 80:11 Admissibility of hypnotically refreshed testimony: Practice and procedure § 80:12 Defense use of hypnotically refreshed testimony Past recollection recorded—Requirements § 80:13 § 80:14 ——Caselaw illustrations —Lack of present recollection § 80:15 § 80:16 —Firsthand knowledge —In writing, when memory was clear § 80:17 § 80:18 —Verifying accuracy —Evidentiary status § 80:19

#### CHAPTER 81. OBJECTIONS

- § 81:1 Introduction
  § 81:2 Tactics of objecting
  § 81:3 Motions in advance of trial: In general
  § 81:4 Kinds of motions in limine
  § 81:5 Status of motions in limine
  § 81:6 Timing of objections
  § 81:7 Weiger of objections
- § 81:7 Waiver of objections § 81:8 Bases for objections
- § 81:9 Specificity of objections; Making a record
- § 81:10 Renewal of objections
- § 81:11 Offer of proof
- $\S~81:12$  Responding to objections
- § 81:13 Interrogation by court—Witness called by court
- § 81:14 —Witness questioned by court
- § 81:15 Interrogation by the court—Improper judicial intervention
- § 81:16 Interrogation by court—Objections

## CHAPTER 82. PREPARATION FOR CROSS-EXAMINATION

- § 82:1 Introduction
- § 82:2 Structuring cross-examination
- § 82:3 Demeanor of the cross-examiner
- § 82:4 Special witnesses and problems—Evasive witness
- § 82:5 —Timid or limited witness
- § 82:6 —Talkative witness
- § 82:7 —Hypnotized witness

# CHAPTER 83. SCOPE AND OBJECTIVES OF CROSS-EXAMINATION

- § 83:1 Introduction
- § 83:2 Scope of cross-examination—Confrontation
- § 83:3 —Face-to-face confrontation
- § 83:4 —State constitutional and statutory arguments
- § 83:5 Justified curtailments—Judicial discretion
- § 83:6 —Memory
- § 83:7 Yielding to Sixth Amendment—Privileges
- § 83:8 —Pretrial discovery
- § 83:9 Limitations
- § 83:10 —Impeachment
- § 83:11 —Rules and statutes
- § 83:12 —Opening the door
- § 83:13 Objectives of cross-examination
- § 83:14 —Affirmative use of the witness

- § 83:15 —Discrediting the witness § 83:16 —Discrediting the testimony
- § 83:17 —Laying a foundation
- § 83:18 —Limiting the damage
- § 83:19 —Skipping cross-examination
- § 83:20 —Setting the course

# CHAPTER 84. CROSS-EXAMINATION TECHNIQUES

- § 84:1 Introduction
- § 84:2 Prepare
- § 84:3 Keep it simple
- § 84:4 Leading questions
- § 84:5 Contradictions
- § 84:6 Prior inconsistent statements
- § 84:7 Improbabilities
- § 84:8 Bias, motive, interest
- § 84:9 —Kinds of bias
- § 84:10 ——Favor
- § 84:11 ——Hostility
- § 84:12 ——Financial stake
- § 84:13 ——Self-interest
- § 84:14 ——Corruption
- § 84:15 ——Hostile acts
- § 84:16 Exploration

# CHAPTER 85. IMPEACHMENT WITH CHARACTER EVIDENCE

- § 85:1 Introduction
- § 85:2 Character evidence; generally
- § 85:3 Using character evidence to impeach a witness; generally
- § 85:4 Method and scope of impeaching character; generally
- § 85:5 Use of character evidence to impeach defendant
- § 85:6 Use of character evidence to impeach alleged victim
- § 85:7 Use of character evidence to impeach a witness
- § 85:8 Use of character evidence to impeach witness; Specific instances of conduct
- § 85:9 Use of character evidence to impeach witness; Bad acts

# CHAPTER 86. QUALIFYING AND EXAMINING EXPERT WITNESS

- § 86:1 Introduction
- § 86:2 Experts
- § 86:3 Helpfulness
- § 86:4 Relevance
- § 86:5 Qualifying, challenging the expert—Qualifications
- § 86:6 —Expertise through experience
- § 86:7 —Voir dire as to qualifications
- § 86:8 —Stipulating to credentials
- § 86:9 Preparing the expert
- § 86:10 Discovery of reports
- § 86:11 Expert's conclusions
- § 86:12 Defusing cross-examination
- § 86:13 Ultimate issue
- § 86:14 Basis for opinion
- § 86:15 Use of otherwise inadmissible evidence
- § 86:16 Confrontation Clause arguments

§ 86:17 When basis must be stated § 86:18 Use of hypothetical questions § 86:19 Attacking the expert—Preparation § 86:20 —Checklist for subpoena § 86:21 —Checking credentials § 86:22 Effect of Daubert Deciding whether to cross-examine § 86:23 § 86:24 Lines of direct attack § 86:25 Specific subject areas § 86:26 Planning the cross-examination

# CHAPTER 87. REDIRECT AND RECROSS-EXAMINATION

- § 87:1 Introduction
  § 87:2 Purpose and scope
  § 87:3 Preparation, structure, strategy
  § 87:4 Prior consistent statements—Rule
  § 87:5 —Substantive effect
  § 87:6 Character evidence
- § 87:7 Explanation or clarification

Use of treatises

§ 86:27

## CHAPTER 88. PRIVILEGES

§ 88:1 Introduction § 88:2 Sources § 88:3 The Fifth Amendment—Application § 88:4 -Business records § 88:5 —Corporate entities § 88:6 —Analogies from trilogy § 88:7 —Corporate "self-evaluative" privilege § 88:8 —Duration of Fifth Amendment privilege § 88:9 —Invoking the privilege § 88:10 -Waiver —Grand jury § 88:11 § 88:12 Attorney-client privilege—Applicability to communications § 88:13 —Applicability to physical evidence § 88:14 —Applicability to "facts" § 88:15 —Confidentiality ——Psychiatrist § 88:16 — —Waiver § 88:17 — — Death of client § 88:18 § 88:19 —Crime or fraud exception § 88:20 — — Application —Client whereabouts § 88:21 § 88:22 —Corporate clients —Conflict with Sixth Amendment § 88:23 § 88:24 Work product privilege Physician-patient privilege § 88:25 § 88:26 Therapist-patient privilege § 88:27 Priest-penitent privilege § 88:28 Accountant-client privilege Journalist-source privilege § 88:29 Marital privilege—Applicability § 88:30 § 88:31 —Valid marriage requirement —Communication to third parties § 88:32

—Other exceptions

Parent-child privilege

§ 88:33

§ 88:34

§ 89:1

§ 89:2

#### § 88:35 Other privileges

Introduction

#### CHAPTER 89. IMMUNITY

Fifth Amendment privilege not absolute

- § 89:3 Formal and informal immunity § 89:4 Transactional v. use immunity—Definitions § 89:5 —State requirements § 89:6 —Limitations of use immunity § 89:7 —Impeachment use forbidden § 89:8 —Perjury, false statements not protected § 89:9 -Nonevidentiary uses § 89:10 —Permissible nonevidentiary use § 89:11 Burden of proof as to use—Nature of hearing -Standard § 89:12 § 89:13 —Government procedure Choosing between formal and informal immunity—Formal § 89:14 § 89:15 —Informal -Protections under informal immunity § 89:16 Contract law § 89:17 Binding effect in other jurisdictions § 89:18 § 89:19 Potential problems § 89:20 —Whether to surface — — Avoid non-immunized interviews § 89:21 § 89:22 ——Proffers § 89:23 ——Blind immunity --- Off-the-record interviews and "queen for a day" immunity § 89:24 § 89:25 —Potential pitfalls ——Preserving the record § 89:26
- § 89:27 ——Ancillary proceedings § 89:28 ——Forfeiture
- § 89:29 Sentencing guidelines—Use of certain information
- § 89:30 Remedies for government breach
- § 89:31 Congressional immunity—Statutory requirements
- § 89:32 —Practical considerations
- § 89:33 Defense witness immunity
- $\S$  89:34 —Ask the prosecutor
- § 89:35 —Judicial immunity
- § 89:36 ——Government misconduct
- $\S$  89:37 ——Inherent power
- § 89:38 ——Government opposition
- § 89:39 —Courts declining relief
- § 89:40 —Debriefing procedure
- § 89:41 —Other means

#### CHAPTER 90. PRACTICE AIDS

- § 90:1 Examination of character witness
- $\S~90:2$  Impeaching own witness
- § 90:3 Past recollection recorded
- § 90:4 Checklist of objections
- § 90:5 Leading questions
- § 90:6 Impeachment with reputation testimony
- § 90:7 Impeachment with prior bad acts
- § 90:8 Sample engagement letter for experts
- § 90:9 Jury instruction on the use of expert testimony
- § 90:10 Cross-examination of expert witness

# PART XII. TRIAL

# CHAPTER 91. PREPARATION FOR TRIAL

- § 91:1 Introduction
- § 91:2 Files
- § 91:3 Document control
- § 91:4 Use of a computer
- § 91:5 Gathering intelligence on opponent and judge
- § 91:6 Design of the case—Government
- § 91:7 Designing the case—Defense—Flexibility
- § 91:8 ——Strategy decisions
- § 91:9 ——Codefendant cases
- § 91:10 ——Testimony of defendant
- § 91:11 Preparation of witnesses
- § 91:12 Getting the witnesses to court
- § 91:13 Preparing exhibits
- § 91:14 Anticipating evidentiary problems
- § 91:15 Motions in limine
- § 91:16 Trial notebook
- § 91:17 Status or pretrial hearing

## CHAPTER 92. DOUBLE JEOPARDY

- § 92:1 Introduction
- § 92:2 Scope of protection
- § 92:3 Applicability to the states
- § 92:4 Criminal vs. civil penalties
- § 92:5 Attachment of jeopardy
- § 92:6 Retrial following mistrial
- § 92:7 —Manifest necessity—Exercise of discretion by trial judge
- § 92:8 ——Hung jury
- § 92:9 —Mistrial on defendant's motion—Misconduct of prosecutor
- § 92:10 ——Misconduct by judge
- § 92:11 Dismissal and acquittal
- § 92:12 Multiple prosecutions for single or related acts and the Blockburger test
- § 92:13 Multiple charges and punishments in single prosecutions
- § 92:14 Sentencing—Cumulative punishments
- $\S$  92:15 —Consideration of uncharged conduct
- § 92:16 —Due process protection
- § 92:17 —Post-sentence restraint
- § 92:18 Retrial after appeal—Trial error v. sufficiency of evidence
- § 92:19 Collateral estoppel
- § 92:20 —Proof that issue "necessarily determined"
- § 92:21 —Collateral estoppel as evidentiary bar
- § 92:22 Dual sovereignty
- § 92:23 —Local-state prosecutions
- § 92:24 Government appeals
- § 92:25 Waiver

## CHAPTER 93. RIGHT TO JURY TRIAL

- § 93:1 Introduction
- § 93:2 The right to jury trial—Entitlement
- § 93:3 —Size of jury
- § 93:4 Waiver of jury trial—Factors to consider

§ 93:5	—Validity of waiver
§ 93:6	—"Right" to bench trial
§ 93:7	—Waiver of full jury
§ 93:8	—Waiver of unanimity

# CHAPTER 94. JUROR CONDUCT DURING TRIAL

§ 94:1	Introduction
§ 94:2	Prejudicial publicity/sequestration—Requests and remedies
§ 94:3	—Showing of need
§ 94:4	Note-taking by jurors
§ 94:5	Questions by jurors—Discretion of court
§ 94:6	—Prophylactic measures
§ 94:7	—Practice barred
§ 94:8	—Oral questioning not favored
§ 94:9	—Claimed advantages
§ 94:10	—Disadvantages
§ 94:11	Disqualification of juror during trial—Inattention/distraction
§ 94:12	—Intoxication
§ 94:13	—Deafness
§ 94:14	—Undisclosed bias
§ 94:15	Unauthorized communications with jurors during trial—Communication with
	third parties
§ 94:16	—Communication with each other

# CHAPTER 95. MOTION FOR JUDGMENT OF ACQUITTAL AND FAILURE OF PROOF

§ 95:1	Introduction
§ 95:2	Standard for the trial court
§ 95:3	Double jeopardy
§ 95:4	Motion practice—Timing
§ 95:5	—Evidence after motion denied
§ 95:6	—Reserving ruling
§ 95:7	—Specificity of motion
§ 95:8	Eyewitness identification
§ 95:9	Circumstantial evidence
§ 95:10	Fingerprint evidence
§ 95:11	Aiding and abetting—Required showing
§ 95:12	—Drug sales
§ 95:13	—Modus operandi
§ 95:14	—Membership in a conspiracy
§ 95:15	—Post-crime conduct
§ 95:16	Constructive possession
§ 95:17	—Proximity
§ 95:18	—Control
§ 95:19	—Shared access
§ 95:20	Premeditation
§ 95:21	Conspiracy

# CHAPTER 96. JUROR CONDUCT DURING DELIBERATIONS

§ 96:1	Introduction
$\S 96:2$	Extraneous matters considered by the jury—Unauthorized views
§ 96:3	—Contact with court personnel
§ 96:4	—Communications with others
§ 96:5	—Communications with each other
§ 96:6	—Experiments
§ 96:7	—Internet research

§ 96:8 -Miscellaneous § 96:9 Propriety of use of "jury aids"—Exhibits § 96:10 —Books § 96:11 —Replay of testimony —Written instructions § 96:12 CHAPTER 97. TRIAL PUBLICITY Introduction § 97:1 § 97:2 No bright line § 97:3 Why speak at all? § 97:4 Origins of rule § 97:5 Rule v. code § 97:6 ABA standards for criminal justice Gentile v. Nevada State Bar—Shifting majorities § 97:7 —Justice Kennedy's majority § 97:8 —Chief Justice Rehnquist's majority § 97:9 § 97:10 —The dissents § 97:11 1994 Model Rule Amendments Special duties of prosecutors § 97:12 § 97:13 Factors indicating potential prejudice—Nature of the proceeding —Timing § 97:14 § 97:15 —Previous dissemination of the information § 97:16 —Reasonable reliance on other measures § 97:17 -Lawyer's intent § 97:18 Gag orders § 97:19 Cases finding violations Cases finding no violations § 97:20 CHAPTER 98. PRACTICE AIDS § 98:1 Motion for appointment of interpreter § 98:2 Jury instruction regarding use of interpreter § 98:3 Motion to allow defendant to appear in court in civilian clothes Instructions on juror note taking (Texas) § 98:4 § 98:5 Instructions on juror note taking (D.C.) Jury instructions regarding questioning by jurors § 98:6 § 98:7 Motion for judgment of acquittal Post-verdict motion for judgment of acquittal § 98:8 § 98:9 Rules pertaining to trial publicity District attorney's policy on statements to the news media § 98:10 Motion to dismiss for deliberate creation of prejudicial publicity § 98:11 JURY INSTRUCTIONS PART XIII. CHAPTER 99. SUBMISSIONS AND OBJECTIONS § 99:1 Introduction § 99:2 Timing—Preliminary instructions § 99:3 —Closing instructions § 99:4 Form of instructions—Written v. taped § 99:5 —Jury interrogatories (special verdicts) Requesting closing instructions § 99:6 Ruling on instructions § 99:7 Entitlement to instructions—Required sua sponte § 99:8 § 99:9 -Mandatory upon request § 99:10 —Discretionary § 99:11 —Basis in the evidence

§ 99:12	Submitting instructions—Preparation
§ 99:13	—Language of instructions
§ 99:14	—Pattern v. drafted instructions
§ 99:15	—Tailoring instructions
§ 99:16	Objections and appellate review
§ 99:17	Requests for re-instruction

# CHAPTER 100. LESSER-INCLUDED OFFENSES

§ 100:1	Introduction
§ 100:2	Definition of lesser-included offense—Statutory definitions
§ 100:3	—"Elements" v. "Inherent Relationship"
§ 100:4	—Model Penal Code definition
§ 100:5	Definition of lesser-included offense—Other approaches
§ 100:6	Limitations on doctrine
§ 100:7	Entitlement to instruction—Analysis of the elements
§ 100:8	Entitlement to instruction—Due process underpinnings
§ 100:9	—Standard for entitlement
§ 100:10	—Theories of entitlement
§ 100:11	Entitlement to instruction—Choice of defense
§ 100:12	On request or sua sponte—Disagreement in courts
§ 100:13	On request or sua sponte—Parties should decide
§ 100:14	Over defense objection
§ 100:15	Procedure

# CHAPTER 101. VERDICT-URGING INSTRUCTIONS

§ 101:1	Introduction
§ 101:2	The "dynamite" charge—History
§ 101:3	—Criticism
§ 101:4	The "dynamite" charge—Risk of coercion
§ 101:5	The "dynamite" charge—Discounting hung jury
§ 101:6	The "dynamite" charge—Repeating original instruction
§ 101:7	Compounding the coercion
§ 101:8	Alternatives
§ 101:9	Strategic concerns
§ 101:10	Appellate review

# PART XIV. SENTENCING

# CHAPTER 102. PROVISIONS AND OPTIONS

~	
§ 102:1	Introduction
§ 102:2	Provisions
§ 102:3	Statutory structures
§ 102:4	Determinate v. indeterminate sentences
§ 102:5	—Indeterminate sentences—Statutory maximums and minimums
§ 102:6	——Specified minimum term
§ 102:7	— — Minimum maximum term
§ 102:8	— — Mandatory minimum term
§ 102:9	—Determinate sentences—Flat time
§ 102:10	——Presumptive sentences
§ 102:11	Apprendi v. New Jersey—The constitutionality of sentence enhancements
§ 102:12	— — Continued vitality of U.S. Sentencing Guidelines after <i>Apprendi</i>
§ 102:13	Apprendi v. New Jersey-The constitutionality of sentence enhancements-
	Impact on drug cases
§ 102:14	Apprendi v. New Jersey—The constitutionality of sentence enhancements—
	Nondrug crimes affected by <i>Apprendi</i>

§ 102:15	—The constitutionality of sentence enhancements—Impact on death penalty statutes
§ 102:16	——Impact on mandatory minimum sentences
§ 102:17	——Impact on plea agreements
§ 102:18	——Impact on consecutive v. concurrent sentencing
§ 102:19	— — Timely claim on direct appeal—Harmless error
§ 102:13 § 102:20	— — Untimely claim on direct appeal—Plain error
§ 102.20 § 102:21	——Collateral appeal—Retroactivity of Apprendi
§ 102.21 § 102:22	Enhancement provisions
§ 102.22 § 102:23	—Prior offenses—State statutes
§ 102.23 § 102:24	— Federal statutes
· ·	
§ 102:25	——Challenging prior convictions
§ 102:26	——Challenges to habitual offender penalty
§ 102:27	——Solem v. Helm Analysis
§ 102:28	——Challenges to habitual offender penalty
§ 102:29	—Characteristics of victim
§ 102:30	Concurrent, consecutive terms
§ 102:31	Probation—Eligibility
§ 102:32	—Conditions
§ 102:33	—Scrutiny of conditions
§ 102:34	—Other conditions—Community service
§ 102:35	——Medical or substance abuse treatment or therapy
§ 102:36	—Revocation of probation
§ 102:37	Restitution—Victim and Witness Protection Act
§ 102:38	—Mandatory Victim Restitution Act
§ 102:39	—Constitutional challenges
§ 102:40	—Scope—State restitution orders
§ 102:41	—Ability to pay—State restitution orders
§ 102:42	Other incarceration alternatives—Split sentence
§ 102:43	—Work release
§ 102:44	—House incarceration
§ 102:45	—Fines
§ 102:46	—Deportation
§ 102:47	—Sex offender registration and notification laws
§ 102:48	—Offense of failing to register
§ 102:49	—Prohibition of possession of firearms
§ 102:50	Double jeopardy and merger
§ 102:51	State sentencing guidelines—Mandatory guidelines
§ 102:52	—Non-mandatory guidelines
§ 102:53	Capital sentencing
§ 102:54	—Mandatory death penalties
§ 102:55	—No per se rules
§ 102:56	—Responsibility for sentencing
§ 102:57	—Insanity of defendant
§ 102.57 § 102:58	—Mentally retarded defendants
-	·
§ 102:59	—Juvenile status of defendant
§ 102:60	—Close scrutiny warranted
§ 102:61	—Aggravating and mitigating factors
§ 102:62	—Double jeopardy
§ 102:63	—Cruel and unusual punishment

# CHAPTER 103. FEDERAL SENTENCING GUIDELINES

§ 103:1 Preface
§ 103:2 Introduction
§ 103:3 Promulgation of guidelines
§ 103:4 Amendment of guidelines

```
§ 103:5
          General principles for application of guidelines—Individuals
§ 103:6
          —Organizations
§ 103:7
          Applicability of guideline—Date of offense
§ 103:8
          Applicability of guidelines—"Straddle crimes"
§ 103:9
          —Juvenile proceedings
§ 103:10
          Discovery; Required disclosures
          Discovery—Request for Jencks Act material
§ 103:11
§ 103:12
          Plea bargaining and agreements
          Plea bargaining—Charge bargaining
§ 103:13
§ 103:14
          —Recommendation bargaining
§ 103:15
          —Sentence bargaining
§ 103:16
          —Factual stipulations
§ 103:17
          —Effective assistance of counsel
          Presentence considerations—Presentence report
§ 103:18
§ 103:19
          Offense level calculation
§ 103:20
          —Relevant conduct—Guidelines provisions
§ 103:21
          ——Acquitted conduct
          ——Same course of conduct; Common scheme or plan
§ 103:22
§ 103:23
          — —Exclusionary rule
          -Narcotics cases
§ 103:24
          -Monetary offenses
§ 103:25
§ 103:26
          -- Money laundering
          — Tax offenses
§ 103:27
§ 103:28
          —Other offenses
          Adjustments to offense level—Victim-related
§ 103:29
§ 103:30
          —Role of defendant—Aggravating or mitigating
§ 103:31
          ——Abuse of trust
§ 103:32
          —Obstruction of justice—Reckless endangerment during flight
§ 103:33
          —Multiple count adjustment
§ 103:34
          —Acceptance of responsibility
§ 103:35
          Criminal history category
          -Career offenders and criminal livelihood
§ 103:36
          —Chapter Four departures—Inadequacy of criminal history
§ 103:37
§ 103:38
          Determining sentence
§ 103:39
          —Effect of statutory limitations—Safety-valve provisions
          —Imposition of term of imprisonment
§ 103:40
§ 103:41
          —Imposition of probation—Probation terms
§ 103:42
          —Imposition of supervised release—Conditions
§ 103:43
          —Other sentencing options
§ 103:44
          —Restitution, fines, assessments, and forfeitures
          Chapter five departures—Special offender characteristics
§ 103:45
          —Substantial assistance
§ 103:46
§ 103:47
          — Remedy for breach of plea
§ 103:48
          —Other grounds for departure—"Fast-track" disposition
§ 103:49
          -Other downward departures-Aberrant behavior
§ 103:50
          — Effect of victim's conduct
          ——Coercion and duress
§ 103:51
§ 103:52
          —Upward departures
§ 103:53
          —Departure for combination of factors
§ 103:54
          Post-Booker guideline departures
          Post-sentencing proceedings—Correction or reduction of sentence
§ 103:55
          —Revocation of supervised release or probation
§ 103:56
§ 103:57
          Organizations
          —Order to remedy harm—Restitution
§ 103:58
§ 103:59
          -Fines
          -Probation
§ 103:60
```

§ 103:61 Appeals—Notice of appeal
§ 103:62 —Scope and standard of review
§ 103:63 —Unpreserved errors
§ 103:64 —Review of departure decisions—Disposition of appeal
§ 103:65 —Sentencing upon remand
§ 103:66 —Waiver of appeal rights

#### CHAPTER 104. PROCEDURE

§ 104:1 Introduction § 104:2 Presentence report —Disclosure—Notice to victims § 104:3 § 104:4 -Objections § 104:5 —Dealing with probation officer Defense role at sentencing § 104:6 § 104:7 Sentencing hearing—Allocution § 104:8 -Evidence and burden of proof § 104:9 —Statements of and testimony by victim § 104:10 —Consideration of victim impact evidence —Effect of public outcry § 104:11 § 104:12 —Findings and determination

# CHAPTER 105. PRACTICE AIDS

—Imposition of sentence

§ 104:13

§ 104:14

§ 105:1 Checklists for engaging a sentencing specialist

Procedure of an appeal of sentence

- § 105:2 Memorandum of Attorney General Reno
- § 105:3 Memorandum of Attorney General Thornburgh
- § 105:4 Motion to compel production of Federal Sentencing Guidelines information
- § 105:5 Sample paragraphs for inclusion in plea agreements under Federal Sentencing Guidelines
- § 105:6 Amendments to the sentencing guidelines

# PART XV. FORFEITURE

# CHAPTER 106. CRIMINAL FORFEITURE

- § 106:1 Introduction § 106:2 History of criminal forfeiture § 106:3 Nature of criminal forfeiture Scope of criminal forfeiture § 106:4 § 106:5 Consequences of guilty plea Third-party protection § 106:6 § 106:7 Substitute assets § 106:8 Pre-conviction procedures—Notice Due process challenges—Rejections § 106:9 § 106:10 Due process not satisfied Attempt to reconcile statute with rule § 106:11 § 106:12 Issue left open by Supreme Court § 106:13 Standard of proof Proportionality—Eighth Amendment § 106:14 § 106:15 "Grossly disproportionate" § 106:16 Proportionality under statute Jury nullification § 106:17
- § 106:18 Joint and several liability
- § 106:19 Bifurcation of proceedings
- § 106:20 Third party claims—Ancillary proceedings

§ 106:21	Attorney's fees
§ 106:22	Statutory arguments
§ 106:23	Constitutional arguments
§ 106:24	Criminal Justice Act
§ 106:25	Conditional appearance
§ 106:26	Untainted assets
§ 106:27	Bona fide transfers
§ 106:28	Expended fees/preemption
§ 106:29	Third parties
§ 106:30	State constitution
§ 106:31	Money laundering
§ 106:32	Ethical dilemmas—Contingent fees
§ 106:33	Conflict of interest
§ 106:34	Disclosure of confidences

# CHAPTER 107. CIVIL FORFEITURE

CILAI	TER 10%. CIVIL FORFEITCRE
§ 107:1	Overview
§ 107:2	History
§ 107:3	Federal forfeiture statutes
§ 107:4	Comparison of civil and criminal forfeiture
§ 107:5	Procedure, generally—Statutes; rules; regulations
§ 107:6	—Seizures
§ 107:7	—Warrantless seizures
§ 107:8	—Motion for return of property
§ 107:9	—Forfeitures of real property
§ 107:10	Procedure in administrative forfeiture—Generally
§ 107:11	—Notice
§ 107:12	—Claim; complaint
§ 107:13	—Remission and mitigation—In general
§ 107:14	——Claimants
§ 107:15	— — Mitigation
§ 107:16	——Petition
§ 107:17	——Terms and conditions
§ 107:18	Procedure in judicial forfeiture—Jurisdiction and venue
§ 107:19	—Representation
§ 107:20	—Restraining order
§ 107:21	—Complaint
§ 107:22	—Notice; service of process
§ 107:23	—Disposition of property
§ 107:24	—Statement of interest
§ 107:25	——Caselaw discussions of standing
§ 107:26	—Answer; default
§ 107:27	—Discovery
§ 107:28	—Summary judgment
§ 107:29	—Settlement
§ 107:30	—Trial
§ 107:31	—Appeals
§ 107:32	Burden of proof
§ 107:33	Scope of civil forfeiture—Required nexus
§ 107:34	—Future criminal activity
§ 107:35	—Facilitation; commingled funds
§ 107:36	—Proceeds
§ 107:37	——Caselaw discussion
§ 107:38	—Realty
§ 107:39	—Limiting the scope of forfeiture
§ 107:40	—Relation back doctrine

§ 107:41 Innocent owners—History of defense Scope of civil forfeiture—Ownership interest § 107:42 § 107:43 ——Caselaw illustrations § 107:44 —Lack of knowledge; efforts to prevent illegal use —Spouses as innocent owners § 107:45 § 107:46 —Secured creditors Relation between criminal case and civil forfeiture—In general § 107:47 § 107:48 —Preclusive effect of prior proceedings —Immunized disclosures § 107:49 § 107:50 —Plea agreements § 107:51 —Sentencing § 107:52 —Stay of civil forfeiture § 107:53 Self-incrimination privilege in civil forfeiture—In general —Adverse inference § 107:54 § 107:55 Forfeiture of attornev's fees Constitutional limitations on civil forfeiture—In general § 107:56 § 107:57 —Applicability of constitutional provisions § 107:58 —Due process: Taking; pre-seizure hearing § 107:59 ——Caselaw discussion § 107:60 —Due process: Delay § 107:61 —Due process: Outrageous conduct § 107:62 —Fourth Amendment § 107:63 —Double jeopardy § 107:64 —Excessive fines § 107:65 Compensation for claimants § 107:66 State forfeitures—In general § 107:67 —Model forfeiture statutes —State statutes § 107:68 § 107:69 —State law protections for owners § 107:70 Relation between state and federal forfeiture proceedings—In general § 107:71 —Adoptive seizures —Limits on adoptive seizures § 107:72 —Consecutive state and federal forfeiture proceedings § 107:73

# CHAPTER 108. CIVIL FORFEITURE OF ATTORNEY'S FEES AND RESTRAINT OF ASSETS SOUGHT TO BE USED FOR FEES

§ 108:1 Overview § 108:2 Statutory authority for fee forfeiture and its practical limitations—Statutes authorizing civil forfeiture of fees § 108:3 —Department of Justice guidelines governing fee forfeiture § 108:4 -Practical considerations to protect against fee forfeiture-In general § 108:5 ——Payment from a different source § 108:6 — Draft fee agreements that establish lack of knowledge and untainted source § 108:7 ——"Flat fee" or earned upon receipt fee agreements § 108:8 ——Exemption from government § 108:9 Obtaining release of restrained funds and assets for payment of legal fees— Restraint of assets—Problem presented — Statutory authority for post-indictment, pre-trial restraint of assets § 108:10 § 108:11 —Obtaining release of restrained assets—Legal authority ——Equitable arguments and practical considerations § 108:12

#### CHAPTER 109. PRACTICE AIDS

- § 109:1 Complaint in rem for forfeiture of motor vehicle and other property
- $\S$  109:2 Claimant's original answer to complaint *in rem* for forfeiture of motor vehicle and other property

§ 109:3	Checklist of possible affirmative defenses
§ 109:4	Motion for temporary restraining order and preliminary injunction
§ 109:5	Affidavit in support of motion for temporary restraining order and preliminary
	injunction
§ 109:6	Motion to dismiss complaint for forfeiture in rem
§ 109:7	Memorandum in support of claimant's motion to dismiss complaint for forfeiture
	in rem
§ 109:8	Motion to bifurcate
§ 109:9	Jury instruction on forfeiture

## **Table of Cases**

#### Index