

# Clauses Index

*References are to sections and appendices.*

## A

### ABANDONMENT

Film rights, of, **7F-06**  
Marketing, or, **5E-08**  
Production, **4C-27**

### ACCEPTANCE

Offer, of, **9Y-03**  
Tests, programs, of, **5N-11**

### ACCESS

Prototype, to, **4A-02**  
Research technology, **4G**

### ACCOUNTS

Half-yearly, **3B-08**  
Keeping of, **3A-10, 3U-06, 7K-08**  
Patent license, **3B-08, 3J-05**  
Quarterly, **2C-15, 3A-10, 3B-08, 3U-06, 4A-08**  
Rendering, **4B-08, 5E-04, 5F-06, 5T-02, 7B-10, 7K-08, 7N-09**  
Short form, **3C-04, 3W-04**  
*See also Books*

### ADAPTATIONS

Copyright, in, **7F-05**  
Rights to, **7G-03**

### ADDRESSES

List agreement, **8F-01, 8G-01**

### ADVANCES

Mortgage of patent, **3LL**

### ADVERTISING

Agent, by, **8A-04**  
Contract for, **7M-01**  
Endorsement agreement, **7C-03**  
Franchise agreement, in, **8J-07**

### ADVERTISING—Cont'd

Samples of promotional material, **8F-02, 8G-02**  
Standards, **7M-10**

### AFFIDAVITS

Materials, retained, of, **8F-07**

### AFFILIATED COMPANIES, ASSOCIATES

Meaning of, **3A-15.1, 4C-02**  
Resale by, **4A-08**  
Sales to, **3A-10, 3B-07, 4A-08, 4F-14**  
Use by, **4A-08**

### AGENCY, AGENTS

Agent's duties, **8H-02**  
Appointment, **8A-01**  
Author's, **7F-10**  
Commission, **8A-05, 8H-05**  
Compensation, **8A-05, 8A-07**  
Confidentiality, **8H-11**  
Consignment goods, **8B-02**  
Expenses, **8H-12**  
Indemnity, **8H-10**  
Independent, **7M-01**  
Minimum sales, **8H-07**  
One party for other, **3A-22**  
Principal's duties/rights, **8H-03/4**  
Sole, **4C-15**  
Termination, **8A-06, 8H-08/9**  
Variation of terms, **8A-01**  
Waiver, EC restriction, **8H-17**

### AGREED FORM OF REPRESENTATION

Trade mark license, **6F-03, 6H-04**

*References are to sections and appendices.*

<b>AGREEMENTS</b> ( <i>see Contracts and Agreements</i> )	<b>ARBITRATION—Cont'd</b>
<b>ALCOHOL</b> Prohibited, <b>4C-23</b>	Domestic Commercial, US (AAA), <b>10A-02</b>
<b>ALTERNATIVE DISPUTE RESOLUTION</b> Arbitration, <b>10A-10F</b> Committee, resolution, <b>1G-08</b> Expert, referral to, <b>10F-02</b> Modification of main agreement, <b>10F-05, 10F-06</b> Point of Law, <b>10F-04</b> Reasoned opinion, dispensed with, <b>10F-10</b> Referral to ADR, <b>10F-01</b> Referral for complexity, <b>10F-03</b>	Expert, referral to, <b>10F-02</b> Failure to appoint arbitrator, <b>10C-02</b> Governing law, <b>10C-05</b> <b>ICC, 10A-01</b> International Commercial (AAA), <b>10A-03</b> <b>LCIA, 10A-06</b> Majority finding, <b>10C-04</b> Mediation, <b>10F-01</b> Modification of main agreement, <b>10F-05, 10F-06</b> Patent with Optional Prior Media- tion (AAA), <b>10A-05</b> Point of Law, referral, <b>10F-04</b> Punitive damages excluded, <b>10D</b> Reasoned opinion, dispensed with, <b>10F-10</b> Referral to three arbitrators, <b>10C-01</b> <b>Seat, 10C-05</b> Sole Arbitrator — UK, <b>10B</b> Two Arbitrators and a Chairman — UK, <b>10C</b> <b>UNCITRAL (AAA), 10A-04</b> Validity of rights exclusion, <b>10E</b> <b>WIPO, 10A-07</b> WIPO (Mediation first), <b>10A-08</b>
<b>AMMUNITION</b> Prohibited, <b>4C-23</b>	<b>ARCHIVING (ELECTRONIC)</b> Maintenance, <b>7Q-05</b>
<b>APPLICATION FOR PATENT</b> Assignment of, <b>3AA-08, 3M-02, 3P-02, 3X-02</b> Assignor to assist, <b>3X-03</b> Contract for purchase of, <b>3AA-02</b> First, restricted, <b>3B-12</b> Grant relating to, <b>3U-01</b> Inventor to make, <b>3G-02/3, 3G-02/03</b> Party to make, <b>9K-04</b> Prosecution of, <b>3U-02, 3X-03</b> Purchase of, <b>3AA-02</b> Refused, <b>3H-04, 3W-05</b>	<b>ART WORK</b> Rights in, <b>8E-04</b>
<b>APPLICATION FOR TRADE MARK</b> Assignment of, <b>6B, 6C, 6D</b> Contract for purchase of, <b>3AA-02</b>	<b>ASSENT</b> Personal representative, by, <b>3R-02</b>
<b>APPROVAL</b> Government, of, <b>2A-11</b>	<b>ASSIGNMENTS</b> Agreement for, <b>3HH-01</b> Application for patent, <b>3M-02, 3P-02</b> Application for trade mark, of, <b>6B, 6C</b>
<b>ARBITRATION</b> Commencement, <b>10C-03</b> Committee, resolution, <b>1G-08</b> Complexity, referral, <b>10F-03</b>	

*References are to sections and appendices.*

#### **ASSIGNMENTS—Cont'd**

- Benefit of contract, **12C-01**
- Chose in action, **12A-01**
- Common law trade mark, **6D**
- Confirmatory, **3N-02**
- Consent to, **3D-05**
- Copyright, of, **7D-03**
- Design application, **5B-01**
- Electronic, **7P-09**
- Embargo on, **5F-10, 7C-06, 7N-12**
- Express covenants for title, **9D-01**
- Film rights, **7F-02**
- Franchise, right of, **7B-11**
- Goodwill, of, **6E-01**
- License rights, **2C-27, 3A-21, 3B-14, 6H-11**
- Literary work, **5A-01, 7G-02**
- Mortgage, by way of, **12D-02**
- Music, **7L-06**
- Patent, of, **3HH-07, 3L-02, 3M-02, 3P-02, 3X-02**
- Registered trade mark, of, **3HH-08, 6A, 6B**
- Reserving license, **3X-02**
- Restriction, **2B-08, 7F-09, 8H-16**
- Right to, **7F-09, 7G-07**
- Right to apply, of, **3E-01**
- Share of patent, **3K-02**
- Short form, **3HH-07, 3HH-08**
- Trade mark, of, **6A to 6F**
- Trade mark license, **6H-11**
- Trustee, by, **3R-01**
- Unregistered design right, **5C-01/2/3**

#### **ASSOCIATE**

(*see* **Affiliated**)

#### **ATTORNEYMENT**

- Agreement, in, **3HH-04**
- Inventor/manufacturer, **3G-08**
- License, in, **3GG-01**
- One party for other, **4B-11**

#### **AUDITOR**

- Certificate by, **4A-08**

#### **AUTHOR**

- Alternative for, **7J-03**
- Copyright license, **5Y**
- Granting option, **9D-02**
- Manuscript property, **5T-01**
- Television script, of, **7J-01**
- Warranty by, **5T-01, 7F-03, 7N-01**

#### **B**

#### **BEST ENDEAVOURS**

- Agent, by, **8A-02**
- Endorsement agreement, **7C-04**
- General provision, **2C-17, 3A-11**
- Improvements exchange, **3C-07**
- Invention development, **3H-02**
- Licensee, by, **3J-03, 3W-05**
- Marketing, **4C-12**
- Patent license, in, **3B-07**
- Review of, **2C-18**
- Risk of disclosing, against, **3A-16**

#### **BIOTECHNOLOGY TISSUE**

##### **CULTURE RESEARCH AGREEMENT**

- Accounting, **13C-10**
- Hours, **13C-03**
- Industrial/Commercial use of tissue cultures, **13C-07**
- Intellectual property rights, **13C-05**
- Other experts, **13C-09**
- Qualification, **13C-02**
- Records, **13C-06**
- Secrecy and secret processes, **13C-04**
- Sub-contracting, **13C-08**
- Term and termination, **13C-11**

#### **BOND**

- Events, **8K-03**
- Franchisees, by, **8K-01**
- Performance, **4E-01**

#### **BOOKS**

- Access to, **3B-08, 5F-06**

*References are to sections and appendices.*

**BOOKS—Cont'd**

Assignment for royalties, in,  
**3E-02**  
Inspection, **2C-15, 3J-05, 5F-06, 8E-06**  
Inventions record, **4A-08**  
Keeping, **2A-07, 3B-08, 3C-04, 3W-04, 4A-08, 4F-15, 5F-06, 8J-10**  
Sub-licensee, **3D-03**  
Trade mark license, **6F-06, 6F-12, 6H-07, 6H-12**  
*See also Accounts*

**BREACH OF AGREEMENT**

Notice, **8E-01**  
*See also Termination*

**BRITISH SUBJECT**

Declaration, **7F-03, 7L-04, 9D-03**

**BROADCAST**

Payment for, **7J-07**

**C****CAPITAL**

Repatriation of, **4C-03**  
Working, **12M-05**

**CASH FLOW**

Drawings, and, **12M-06**

**CERTIFICATION TRADE MARK**

Form of license, **7H-11**

**CHANGE OF CONTROL**

Merger or acquisition, **3C-12**

**CHARGES**

Chose in action, on, **12B-01**  
Copyright Work, over, **12P-01, 12Q**  
License back, **12P-04**  
Obligations, covenant to discharge, **12P-02**  
Re-assignment, **12P-05**  
Restrictions on chargor, **12P-03**

**CHOSE IN ACTION**

Assignment, **12A-01**  
Charge on, **12B-01**

**CLAUSE HEADINGS**

Significance, **4H-22**

**CLERK OF WORKS**

Appointment, **4D-04**

**COMMISSIONING OF EQUIPMENT**

Responsibility, **12M-18/20**  
Supervision of, **3A-05**

**COMPETITION**

Arising, **2C-24**  
Consultant, and, **9C-09**  
Freedom from, **4B-02**  
Veto on, **4C-12**

**COMPONENTS**

Royalty on, **3B-07**  
Shipping of, **4D-05**  
Supply of, **4C-15, 4F-06**

**COMPOSERS**

Contract with, **7L-01**

**COMPUTER PROGRAMS**

Access to, **5L-03**  
Additional services, **5BB-03**  
Adoption of, **5M-05**  
Agreement, **5V, 7N-01**  
Author's credit, **5M-08**  
Automatic notice, **5X**  
Changes in, **5M-09**  
Confidentiality, **5BB-07, 5L-03, 7N-02**  
Copying of, **5M-07, 5W-01, 7N-02**  
Correction, **5M-05**  
De-bugging, **5M-05**  
Duration, **5BB-02**  
Errors in, **5L-05, 5W-02, 7N-05**  
Evaluation, **5M-03**  
Helpline assistance, **5BB-06**  
Improvements, **5BB-04, 5M-09**

*References are to sections and appendices.*

**COMPUTER PROGRAMS**  
**—Cont'd**  
 Infringement, **5L-04**  
 Liability, **5BB-12, 5L-08**  
 Library material, **5L-06**  
 Licenses for, **5L-02**  
 Loss of, **5L-06, 5W-02**  
 Method of use, **5L-05**  
 Modification, **5N-06**  
 Non-assignability, **5BB-11**  
 Personal, **5BB-11**  
 Print out, **5N-05**  
 Production of, **5M-03**  
 Restriction, **5M-10, 5W-01**  
 Shared royalties, **5M-01**  
 Source code escrow, **5BB-09**  
 Surrender on termination, **5BB-10**  
 Testing of, **5M-04**  
 Updating of, **7N-06**  
 Warranty, **5L-05, 5M-07**  
 Writer, ceasing to trade, **5Z-10**  
 Writing contract, **5N-02**

**CONCILIATIONS**  
 Provision for, **4C-33**

**CONDITION(S)**  
 Contract, of, **9Y-01/25**  
 Precedent, **4C-03, 5P-05**

**CONFIDENTIALITY,  
 CONFIDENTIAL  
 INFORMATION**  
 Acknowledgement, **4J-03**  
 Bona fide disclosure, **3A-16, 4C-30, 5L-03**  
 Computer program, **5L-03, 5N-04**  
 Consultancy in, **9C-08**  
 Continuance of obligation, **4F-03**  
 Designs, of, **2B-07**  
 Employees, and, **3B-13, 4G-07, 5L-03, 5N-04, 12M-15**  
 Exception, **4C-30**  
 Exposure to public, **3A-16, 4C-29**  
 Film material, **7H-05**

**CONFIDENTIALITY,  
 CONFIDENTIAL  
 INFORMATION—Cont'd**  
 Ingredients, secret. (*see Secret Ingredients*)  
 Inventor/manufacturer, **3G-09**  
 Joint venture, **12M-15**  
 Keeping of, **4B-03**  
 Know how, **2A-02, 4F-03, 9L-02**  
 Limited disclosure, **4C-30, 4G-07, 4J-02**  
 List, names of, **8E-04**  
 Machines, **3A-16, 4C-29**  
 Non-disclosure, **3B-13, 8D-11**  
 Processes, secret. (*see Secret Processes*)  
 Protection of, **4C-28, 5L-03, 5N-04, 8D-11**  
 Provision for, **3A-16, 4C-28, 4G-02**  
 Public domain, in, **4C-28**  
 Research agreements, in, **12P-04**  
 Return of, **3A-17, 4F-21, 5M-06**  
 Safe custody, **5N-04**  
 Secrecy. (*see Secrecy*)  
 Security of, **4G-03, 4G-07**  
 Sub-contractor, **3B-13, 4J-02**  
 Trainees, **3A-07, 4C-08**  
 Treatment, **4F-03**  
 Use after termination, **3A-17**  
 Visitors, **4G-02**  
 Visits, **4G-02**

**CONSIGNMENT AGENCIES**  
 Agreement, **8B-01**

**CONSULTANCY,  
 CONSULTANTS**  
 Agreement, **9C-02**  
 Confidentiality, **9C-08**  
 Copyright in, **2B-02, 2B-04**  
 Designs, for, **2B-02**  
 Duration, **9C-11**  
 Facilities for, **2B-03**

*References are to sections and appendices.*

**CONSULTANCY, CONSULTANTS—Cont'd**  
Intellectual property, **2B-05, 9C-05**  
Licensor as consultant, **3J-04**  
Other clients, **9C-09**  
Patents license, and, **3J-04**  
Remuneration, **9C-07**  
Rights of consultants, **3J-04**

**CONTRACTS AND AGREEMENTS**  
Amendments, **8H-13**  
Cancellation, **9Y-18**  
Conditions of, **9Y-01**  
Employee invention, **3DD**  
Guarantee in, **9Z-01 to -05**  
No joint venture/partnership, **8H-14**  
Patent, for, **3AA-02**  
Patents & trade marks, for, **3HH-01**  
Rights granted, **7J-05**  
Script, for, **7J-02**  
Script writer, **9D-01**  
Secret process, **4B**  
Supplemental, **3HH-01**  
Terms of supply, **9L-11**  
Variation, **9Y-18**  
Warranty of title, **3AA-04**

**CONVERSION**  
Currency, of, **2C-16, 3A-10, 3B-08, 5F-06**  
Damages, **5Z-06**

**CO-OWNERS**  
Exploiting inventions, **12J-01**  
Improvements, **12J-05**  
Infringements, **12J-09**  
Proceedings, cost of, **12J-11**  
Rights agreed, **12J-07**

**COPYRIGHT**  
Advertisements, **7M-09**

**COPYRIGHT—Cont'd**  
Assignment, **5A-01, 5T-01, 7D-03, 7G-02**  
Convention notice, **5R-07**  
Drawings, in, **4C-11, 4F-08**  
Film, in, **7H-03**  
Further assurance, **5B-04**  
License under, **5E-03, 5F-02, 5R-07, 7N-03**  
Microfiches, in, **5R-07**  
Music, assignment, **7L-03**  
Notice, **5S-01**  
Photographs, in, **7D-03**  
Rights granted, **7J-05**  
Subsidiary rights, **7N-08**  
Synchronisation rights, **7L-03**  
Trust, held in, **7M-09**  
Underlying, **7H-04**  
Warranty, **5T-01, 7F-03, 7G-05**

**COSTS**  
Patent of, **3J-03, 3K-07, 3W-03**

**COUNSEL'S OPINION**  
Infringement on, **3B-10, 4C-14**  
Obtaining, **4C-14**

**COVENANTS FOR TITLE**  
Assignee, by, **3Q-03**  
Further assurance, **3Q-03**  
General, express, **9D-01**  
Patent application, in, **3M-03/5**  
Patent assignment, in, **3X-05**  
Title, **3S-01**  
Warranty, **3S-01**

**CREDIT**  
Amendment of, **12K-39**  
Limit, **12K-02**

**CURRENCY**  
Conversion of, **3A-10, 3B-08, 5F-06**

**CUSTODY**  
Strong box, of, **5Z-05**

*References are to sections and appendices.*

<b>D</b>	<b>DEFINITIONS—Cont'd</b> Geographical territory, <b>3A-03, 8A-01</b> Goods and services, <b>6F-01</b> Group company, <b>12R-01</b> Holding company, <b>12R-01</b> Improvements, <b>2A-01, 2C-02, 3A-03, 3J-01, 4H-02, 5M-02</b> Infringement, <b>3H-05</b> Intellectual property, <b>12R-01</b> Invention, <b>3Z-01</b> Know-how, <b>2A-01, 2C-02, 4F-01, 5F-01</b> Last day, <b>2A-01</b> Life of agreement, <b>3A-03, 7C-01, 8E-01</b> Machines, <b>3A-03, 4A-01</b> Major improvement, <b>1E-01</b> Manual, <b>5M-02</b> Methods, <b>3A-03, 4A-01, 4H-02</b> Net invoice price, <b>3A-03, 8E-01</b> Net proceeds, <b>5T-02</b> Net sales price, <b>3C-03, 3J-01, 4A-01, 5F-01, 7C-01</b> Outside party, <b>4A-01</b> Patents, <b>3A-01, 3J-01</b> Portfolio, <b>2C-02, 3A-03</b> Products, <b>2A-01, 3A-03, 4C-02, 4H-02</b> Profit, <b>3J-05, 3U-05, 4H-02</b> Program, <b>5M-02</b> Program material, <b>5L-01</b> Prototype, <b>4A-01</b> Quality standard, <b>6F-01</b> Registered marks, <b>6F-01</b> Royalty year, <b>2A-01</b> Sales, <b>2A-01, 4F-01</b> Sell, <b>4A-01</b> Site, <b>4A-01</b> Source language program, <b>5M-02</b> Source material, <b>5M-02</b> Standard, <b>5F-01</b> Subsidiary, <b>4H-02, 12R-01</b> Technology, <b>3A-03, 4C-02</b>
<b>DATABASE</b>	Definition, <b>5G-03</b> Grant, <b>5G-04</b> Licensee's obligations, <b>5G-05</b> Simple database and copyright license, <b>5G</b>
<b>DEATH OF PROPRIETOR</b>	Assignment by personal rep., <b>3R-01</b>
<b>DEFINITIONS</b>	Affiliate, <b>2C-02, 3L-03, 4C-02, 5E-02</b> Agreed form, <b>6F-01</b> Applications, <b>3J-01</b> Associate, <b>3A-03, 3J-01, 4C-02, 4F-01</b> Associated company, <b>12R-01</b> Board, <b>12R-01</b> Breach notice, <b>8E-01</b> Business day, <b>12R-01</b> Commencement, <b>4F-01</b> Commercial Research and Development agreement, <b>12L-02</b> Control, <b>4A-01</b> Copyright, <b>2C-02</b> Copyright license, <b>5D-01</b> Date of confirmation, <b>5R-03</b> Design, <b>5E-02, 8E-01</b> Design drawings, <b>3A-03</b> Design license, <b>5J-01</b> Development program, <b>8E-01</b> Digital and optical, <b>7Q-01</b> Drawings, <b>3A-03, 4A-01</b> Effective date, <b>3A-03, 6F-01</b> Equipment, <b>3J-01</b> Existing inventions, <b>3J-01</b> Field of agreement, <b>3A-03, 3J-01, 4H-02</b> Field of use, <b>2C-02</b> Formula, <b>4B-01</b>

*References are to sections and appendices.*

**DEFINITIONS—Cont'd**  
Termination notice, **8E-01**  
Territory, **2C-02, 3A-03, 4A-01, 6F-01**  
Tools, **3A-03**  
Trade mark license, **6F-01**  
Unit, **4A-01/08, 4B-01**  
Year, **3A-03, 4F-01, 4H-02**

**DELIVERY**  
Of materials, **7P-02**

**DELIVERY-REGISTERED**  
Three-party agreement, **12K-31**

**DESIGNS**  
Assignment of application, **5B-02**  
Assignment of unregistered design right, **5C-01/02/03**  
Known to disclosee, **4K-01**  
License of, **5E-03, 8E-02**  
Marketing, **5E-05**  
Rights on, **8E-04**  
Study, preparation of, **2B-02, 4G-04**  
Supply of, **2B-01**  
Unregistered assignment of, **5C-02**  
warranty, **5C-03**  
Warranty, **5B-03**

**DESPATCH**  
Goods, of, **9L-14**  
Terms for, **9Y-09/10**

**DEVELOPMENT**  
Contract for, **9K-02**  
Invention of, **3G-01, 3J-03**  
Program, **2A-01**  
Work on, **9L-02**

**DIGITALISATION**  
Scanning, **7Q-01/2**

**DISCLOSURES**  
Exclusive, **3A-06**  
Improvements, **1F**  
Secret, undertaking for, **4L-01**

**DISCLOSURES—Cont'd**  
Technology, of, **3A-05**  
Terms of, **3EE-01**  
*See also Confidential*

**DISPUTES**  
Comprehensive provision, **2C-28, 10B-01**  
Trade mark license, **6F-13, 6H-13**

**DISTRIBUTION AGREEMENTS**  
Agent's duties, **8H-02**  
Assignment, **8H-15**  
Commission, **8H-05**  
Definitions, **8H-01**  
Duration/termination of, **8H-07, 8H-08**  
Principal's duties/rights, **8H-03, 8H-04**

**DISTRIBUTORS**  
Appointment, **8C-01**  
Duties, **8C-16, 8D-09**  
Sales coverage, **8D-12**  
Variation, **8C-02**

**DIVISION OF EFFORT**  
Research and Development Agreement, **12L-03/06**

**DRAWINGS**  
Copyright in, **4C-11**  
Custody of, **3A-08**  
License to use, **2B-04, 3A-08**  
Ownership, **2B-03, 9K-03**  
Supply of, **2A-02, 3A-05, 4A-04, 4C-11, 9K-03**  
Use of, **4A-06**

**DRUGS**  
Prohibited, **4C-23**

**DURATION**  
Advertising contract, **7M-01**  
Agency, **8A-06**  
Computer program license, **5M-12**  
Conditional, **4A-03**  
Copyright, **5E-08**

*References are to sections and appendices.*

**DURATION—Cont'd**  
 Designs for, **2B-09**  
 Endorsement agreement, **7C-03**  
 Franchise agreement, in, **7B-02**  
 Joint venture, in, **12M-21**  
 Know-how, for, **2A-08**  
 License, of, **2C-03, 3A-04, 3J-08, 3T-02, 6F-01, 6G-04, 6H-01**  
 Patent license, **3B-15, 4A-03**  
 Patent life, **3J-08**  
 Renewable, **4B-11, 4F-18**  
 Research and Development agreement, **12L-30**  
 Technology agreement, **3A-04, 4G-11**  
 Tools supply, **9L-08**  
 Trade mark license, **6F-01, 6G-04, 6H-01**

## E

**EMPLOYMENT AND EMPLOYEES**  
 Director, of, **12R-02**  
 Directors, termination of, **12R-04**  
 Disciplinary procedures, **12R-06**  
 Duty to inform, **3Y-05, 3Z-03, 12R-05**  
 Invention agreement, **3DD**  
 Invention of, assignment, **3F-01**  
 Leaving employ, **3DD-03**  
 Secrecy undertaking, **3DD-04**

**ENGINEERS**  
*(see Technicians)*

**ENQUIRIES BEFORE CONTRACT**  
 Franchise, **7F-01**

**EQUAL TREATMENT**  
 Alternatives, **3C-09**  
 Clause for, **3C-09, 8E-07**  
 Field of use cases, **2C-14**

**EQUIPMENT**  
 Price of, **4A-06**

**EQUIPMENT—Cont'd**  
 Trial of, **4B-02**  
**ESTOPPEL**  
 Claims/invention, **3G-04**  
**EXCHANGE CONTROL**  
 Provision for, **3A-20**  
 Residence, **7L-04, 9D-03**  
**EXCHANGE RATES**  
 Change in, **8C-06**  
**EXCLUSIONS**  
 Agreement, from, **7E-05**  
 Assign or sub-license, from, **5F-10**  
 Business information, **1J-03**  
 Competition, **1L-01**  
 Extraneous restrictions, **1N-01**  
 Know-how, value, **1J-02**  
 Liability for loss, **1J-02**  
 License, in, **3A-19, 4H-15, 6F-09, 6H-10**  
 Software contract, **5M-10, 5N-10**  
 Third parties, enforcement by, **9AB**  
 Trade mark license, **6F-09, 6H-10**

**EXCLUSIVE RIGHTS**  
 Conversion of, **3B-06**  
 Grant of, **3B-02**  
 License in, **3W-01**  
 Manufacture for, **4F-07**

**EXEMPTIONS**  
 Contractor, **4C-21**  
 Lensor, of, **3A-18**  
 Software contract, **5N-10**

**EXORDIA**  
 Plant experiments, **13B-01**  
 Tissue culture research, **13C-01**  
 Variety license, **13A-01**

**EXPERT**  
 Appointment of, **4C-33, 4F-24, 4G-05**

*References are to sections and appendices.*

**EXPIRATION**

Head license, of, **3D-09**  
Trade mark license, **6H-09**

**EXPLOITATION**

Commercial Research and  
Development agreement,  
**12L-15/22**  
Conditional rights, **3W-02**  
Invention, of, **3H-01, 3J-03**  
Patent & know-how license, in,  
**2C-17**

**EXPORT**

Restricted, **4C-07, 8A-02, 8D-09**

**EXTENSION**

License, of, **4H-19**

**F****FACTORIES**

Cost of, **4C-19**  
Erection, **4C-04**  
Guarding, **4C-19**

**FAIR COMPETITION**

Activities of licensees, **3A-11**

**FEASIBILITY STUDY**

Preparation of, **4G-04**

**FEES**

License fees, **6F-06, 6G-01**

**FIDELITY BOND**

Requirement, **8B-13**

**FILM**

Agreement for, **7H-02**  
Assignment rights. (*see* Film  
Rights)  
Copyright in, **7H-03**  
Library, **7K-01**  
Music, **7L-01**  
Option, **9D-01**  
Property in, **7H-03**  
Right to exhibit, **7D-03**  
Rights granted, **7K-06**

**FILM—Cont'd**

Shows, **7K-07**

**FILM RIGHTS**

Assignment, **7F-02**  
Indemnity, **7F-04**  
Interpretation, **7F-11**  
Restriction, **7F-04**  
Warranty, **7F-03**

**FINANCE**

Arrangements, **4C-03**  
Funding agreement, **12G-01**  
Joint venture, **4D-02, 12M-04**  
Know-how, for, **2A-06**  
Provision of, **4D-02**

**FIRST RIGHTS/REFUSAL**

Contract for, **7J-04**  
Franchisee, **8J-11**  
Next work, of, **7N-11**  
Patents, of, **9K-11**  
Renewal, of, **3CC-07**  
Right of, **3Y-04, 3Z-03, 4B-02,**  
**4K-02**  
Trial, **4B-02**

**FORCE MAJEURE**

Comprehensive clause, **3A-21,**  
**4C-32, 9Y-18**  
Distributorship, **8C-24**  
Provision for, **4F-22**  
Publishing agreement, **7N-12**  
Short form, **2C-26, 4F-23, 12P-07**

**FORMAL LICENSE**

Patents for, **3B-20**

**FORMULA**

Access to, **4B-05**  
Deposit of, **4B-05/13**  
Secret use of, **4B-05**  
Warranty of, **4B-05**

**FRANCHISEE**

Bond, renewal of, **8K-10**  
Bonding of, **8K-01**

*References are to sections and appendices.*

## FRANCHISES

- Assignment of, **8J-11**
- Bond given by, **8K-01**
- Consultancy, **8J-06**
- Enquiries on, **8I-01**
- Franchisee. (*see Franchisee*)
- Grant, **8J-02**
- Operating, **8J-03**
- Partnership, **8J-08**
- Promotion, **8J-07**
- Restriction on termination, **8G-13**
- Standards, **8J-06**
- Trade secrets, **8J-05**
- Training, **8J-06**

## FREE USE

- Patents and know-how, **9K-11**

## FUNDING

- Provision, of, **12G-01**

## FURTHER ASSURANCE

- Covenants for, **3K-03, 3M-03/5, 5B-04, 6E-03, 7F-08**

## G

## GAGGING

- Director, of, **12R-07**

## GOODS

- Acceptance, **8C-09**
- Exception to guarantee in case of misuse, **9Z-03**
- Exception to guarantee of, **9Z-02**
- Guarantee of, **9Z-01**
- Quality of, **8C-11**
- Replacement provision under guarantee of, **9Z-04**
- Retention of title clause, **9AA-01**
- Risk passing, **8C-10**
- Romalpa* clause, **9AA-01**
- Safe custody, **8B-16**
- Statutory rights under guarantee, **9Z-05**
- Title passing, **8B-11**

## GOODWILL

- Assignment of, **6A, 6C, 6D, 6E-01**
- Protection of, **3A-17, 4C-28**
- Trade mark license, **6F-04, 6H-05**

## GOVERNING LAW

- Trade mark license, **6F-14**

## GOVERNMENT CONSENT

- Obtaining, **3B-18**

## GOVERNMENT REGULATION AND CONTROL

- Trade mark license, **6F-10**

## GUARANTEE

- Exception, **9Z-02**
- Guardian, by, **7D-08**
- Misuse, **9Z-03**
- Replacements, **9Z-04**
- Statutory rights, **9Z-05**

## GUNS

- Prohibited, **4C-23**

## H

## HEAD LICENSE

- Expiring, **3D-09**

## I

## IMPORT DUTY

- Condition, **4C-03**

## IMPROVEMENTS

- Assessment of, **1G-03**
- Club, opting out, **1C-01**
- Club for, **1B-01, 4H-12**
- Defined, **3A-03**
- Design, **8E-04**
- Development of, **1G-04**
- Disclosure, **1F, 3H-10, 3J-07, 4H-11, 9K-08**
- Exchange of, **3C-07, 4F-10**
- Field of use license, **2C-21**
- Information on, **9K-08**

*References are to sections and appendices.*

**IMPROVEMENTS—Cont'd**

Inventor, by, **3G-06**  
Know-how agreement, **2A-05, 2C-02, 4H-11**  
Licensee, by, **3T-05, 4H-14**  
Major, nature of, **1E-01**  
Mutual notification, **3W-06**  
Notice of, **4B-10**  
Option to acquire, **3B-12**  
Patent license, in, **3B-12**  
Patenting of, **1B-02, 3C-07, 4B-10**  
Patenting veto, **4B-10**  
Restricted rights, **4H-15**  
Rights on termination, **3B-12**  
Simple clause, **1D-01**  
Sub-licensee, of, **3D-06**  
Think tank, for, **1G-01**  
Use of, **3J-02**  
Vendor to disclose, **3AA-05**  
Veto on patenting, **4B-10**

**INDEMNITY**

Assignee, by, **3Q-04**  
Builder, by, **4C-21**  
Covenant for, **3S-01**  
Exclusion, **1K-01**  
Film rights, **7F-04**  
Franchisee, by, **7B-11**  
Infringement, for, **2A-04**  
Library material, **7K-09**  
Licensee, by, **3A-18, 4H-15, 8E-07**  
Limitation on, **9P-02**  
Local Laws, **1K-01**  
Merchandising trade mark, **7K-06**  
Seller, by, **9P-01**  
Third parties, **1J-02**  
Tool supply, **9L-03**

**INFLATION PROTECTION**

Price index, by, **3W-03**  
Provisions, **4F-16**  
Statistical index, **4F-17**

**INFORMATION**

Exchange of under Research and Development agreement, **12L-07/14**

**INFRINGEMENT**

Computer program, of, **5L-04**  
Co-operation over, **12L-28**  
Counsel's opinion on, **4C-14**  
Defined, **3H-05**  
Design, of, **5E-06, 8E-07**  
Joint action, **4C-14**  
Legal proceedings, **2A-04, 4H-21**  
License provisions, **3A-13, 6F-07, 6G-03**  
Notification of, **3D-08, 3H-05, 3U-04, 3W-06, 4H-20, 12L-28**  
Patents of, **3B-10, 3C-05, 3J-07**  
Procedure on, **2C-19, 4H-21, 5L-04**  
Proceedings for, **2C-19, 3D-08, 3H-06, 3J-06, 4C-14, 4H-21**  
Registered marks, **6F-07, 6H-08**  
Royalties reduced, **1M-01, 4H-21**  
Royalties suspended, **3D-08, 3H-06**  
Significance of, **2C-19**  
Trade mark, of, **6G-3, 6H-08**  
Vigilance concerning, **5F-03**  
Warranty on, **3HH-06**

**INQUIRIES**

(*see* **Enquiries**)

**INSPECTION**

Books, of, **3B-08, 3C-04, 3D-04**  
Premises, **7K-05**  
Trade mark license, **6F-06, 6H-07**

**INSTRUCTION**

Behaviour during, **4C-10**  
Charges for, **3A-07, 4C-10**  
Licensee, for, **4C-06/8**  
Methods, in, **3A-07, 4H-03**  
Technicians, by, **3CC-06**

*References are to sections and appendices.*

## INSURANCE

Requirement, **8B-12**

## INTELLECTUAL PROPERTY

Employer owns, **12R-05**

Indemnity, **9Y-22/24**

Ownership, **4G-06**

Rights in, **5L-04, 5N-08, 7P-05, 9C-05**

Warranty, **9Y-23/4**

## INTEREST

Payment of, **4H-10**

## INVENTIONS

Anticipated, **2C-20**

Assignment of, **3E-01, 3V-01**

Disclosure agreement, **3EE-01**

Employee, of, **3F-01**

Exploitation of, **3H-01**

Known to disclosee, **4K-01**

Offer terms, **3FF-01**

Option on, **3FF-03**

Protection of, **3J-02, 4K-01**

Record of employee's, **3Y-05**

Rejection of, **3FF-02**

Vesting instrument, **3F**

## J

## JOINT RIGHTS

Assignment, **3L-02**

Denied, **4G-16**

Patents, of, **3JJ**

Share in, **3K-01**

Trade mark, **6M**

## JOINT VENTURES

Agreement on, **12M-01**

Combined rights, **12M-25**

Dismissal of party, **12M-23**

Duration, **12M-21**

Finance, **12M-04**

Guarantees, **12M-02/3**

Insolvency, **12M-24**

Mutual competition, **12N-01**

## JOINT VENTURES—Cont'd

Profit of, **12M-08**

Technology in, **12M-10**

Warranties, **12M-18**

## K

## KNOW-HOW

Cessation of use, **4A-09**

Confidentiality, **2A-02, 2C-10, 4F-03, 9L-02**

Control gear, **4A-06**

Disclosure, **2C-08, 5F-04**

Employee, of, **3Z-02**

Falling into public domain, provision to establish, **4A-10**

Information as, **4A-04**

Instruction in, **2C-08, 4C-08, 4H-03**

License, **2A-02, 4H-05**

Machinery agreement, **4F**

Payment for, **1H-01**

Process, for, **4H-01/02**

Protection of, **2C-10**

Return of, **4A-09, 4C-31, 4F-21**

Right to use, **4A-05, 4B-03, 4C-07, 9L-02**

Supply of, **3C-06, 4F-02**

Three-party agreement, **12K-05**

Training in, **4C-08**

Use after termination, **3A-17**

Visitors, **2C-09**

*See also Technology*

## L

## LANGUAGE

Governing, **2A-11**

## LAPSE

Patent, of, **3U-02**

## LAW

Applicable, **4H-23, 6H-14, 8H-19, 12R-09**

*References are to sections and appendices.*

**LEGAL PROCEEDINGS**

Infringement, for, **2A-04**

**LIABILITY**

Limitation, **5L-08, 5N-10, 9Y-15**  
Three-party agreement, **12K-43**

**LIBRARY MATERIAL**

Computer program, of, **5L-06**  
Film, in, **7K-01**  
Footage used, **7K-04**  
Inspection of, **7K-05**  
Rights granted, **7K-06**

**LICENSE**

Agreed form of representation of registered mark, **6F-03**  
Assignment, **6F-11**  
Authority to use, **6F-02, 6G-01**  
Copyright, **5D-02, 5F-02**  
Copyright, non-exclusive, **5H-02**  
Design right, **5J-02**  
Dispute resolution, **6F-13**  
Duration, **5E-08, 6G-04**  
Electronic, **7P-01**  
Exclusions, **6F-09**  
Expiration, **6F-08**  
Fees, **6F-06, 6G-01**  
Field of use, **2C-01**  
Formal, **3A-25, 3A-26**  
Goodwill, **6F-04**  
Government regulation and control, **6F-10**  
Grant, **6F-02**  
Infringement, **6F-07, 6G-03**  
Inspection, **6F-06**  
Methods, **4H-03, 4H-05**  
Multiple rights, for, **9J-01**  
Notices, **6F-14**  
Patents, of, (see **Patents**, License)  
Payment of fees, **6F-06**  
Proper law, **6F-14**  
Proposed notice effecting limitation of, prohibiting importation into the EU/EEA, **2D-01**  
Quality control, **6F-05, 6G-02**

**LICENSE—Cont'd**

Recognition of owner, **6G-1**  
Record keeping, **6F-06**  
Recordal, **6F-12**  
Right to grant, **3U-03**  
Short form, **3H-11**  
Shrink wrap license (simple form), **5AA**  
Simple form, **6G**  
Sub-license, **2C-05, 5D-03, 6F**  
Termination, **5E-08, 6F-08, 6G-04**  
*See also* **Trade Mark License**

**LIMITED RIGHTS**

Copyright in, **5F-02**  
Film material, in, **7F-04**

**LIQUIDATED DAMAGES**

Franchise agreement, in, **8J-12**  
Quantity deficiency, **3CC-07**  
Secret process, for, **4B-05**  
Staff exchange, **5N-12**

**LIQUIDATION**

Assignment by company in, **3P-01**  
Termination for, **3A-14, 3B-16, 3C-11, 3J-09, 3W-08, 4B-11, 4F-19, 4G-12, 5E-08, 5F-07, 7C-07, 8A-06, 8B-19, 8C-21, 9K-09, 12M-24**

**LOSS LEADERS**

Sale as, **7C-05**

**M****MACHINES**

Installation of, **4C-05**  
Know-how agreement, **4F**  
Supply of, **3A-05**

**MAINTENANCE**

Design rights, of, **5E-06**  
Patents, of, **3A-12, 3B-09, 3E-02, 3J-03**

**MAJOR IMPROVEMENT**

Defined, **1E-01**

*References are to sections and appendices.*

**MANAGEMENT**

Agreement for, **4C-16**

**MANUFACTURE**

Right to, **8D-17**

Sub-contract for, **3CC-01**

Trade mark, use of, **3CC-04**

**MANUSCRIPTS**

Appraisal, **5R-02**

Contract for, **7J-02**

Preparation of, **5T-01, 7N-01**

Proofs of, **5T-02**

Property in, **5T-01**

Revision of, **5T-02, 7N-10**

**MARKETING**

Abandonment of, **5E-08**

Advantage, **3W-02**

Advertising, **8A-04**

Arrangements, **8E-05**

Co-operation in, **8C-17**

Demand forecast, **8C-08, 8D-09**

Design, **5E-05**

Endorsed products, **7C-04, 7D-05**

Material on, **4C-12**

Merchandising, **8E-02**

Patent license, in, **3A-11**

Promotional material, **8A-03**

Research, **7M-04**

Sales promotion, **7M-03**

Special assignments, **7M-05**

Three-party agreement, **12K-03**

Trade mark, under, **4C-12, 4C-17**

Trade mark registration, **8A-04**

Undertaking on, **9K-07**

**MARKING**

Licensed goods, of, **7D-05**

Patented goods, **3D-04**

Products, **3B-09, 3C-05**

**MEDIATION**

Mediation, **10A-08**

Patent, **10A-05**

**MERCHANDISING**

Copyright in, **5R-07**

Filming of, **5R-05**

License, **8E-02**

Marketing, **5R-01**

Promotion, **7K-03**

Sales targets, **7K-04**

**MICROFICHE**

Publishing agreement, **5R-01 to 5R-08**

**MINIMUM PURCHASE**

Commercial Research and Development Agreement, **12L-26/27**

**MINIMUM ROYALTY**

Cessation of, **7C-07**

Paramount claims, effect on, **3B-06, 4H-08**

Patent license, in, **3B-06, 3W-05**

Provision for, **4H-07, 7C-05**

Revision of, **3B-06**

**MONEY**

(*see* **Currency**)

**MORAL RIGHTS**

Partial waiver, **7B-04, 7G-04, 7L-02**

Waiver, **7H-03**

**MORTGAGES**

Advances, **3LL**

Contract rights, of, **12D-02**

Patent, of, **3LL**

**MULTIMEDIA, LICENSES AND CONSENTS**

Rights to, **7G-04**

**MUSIC**

Copyright in, **7L-03**

Film, for, **7L-01**

Original score, **7L-07**

Rights granted, **7L-03**

Rights through P.R.S., **7L-03**

*References are to sections and appendices.*

**MUSIC—Cont'd**

Synchronisation rights, **7K-08, 7L-03**

**MUSIC COPYRIGHT**

Adaptations, **7L-03**  
Assignment of, **7L-03**  
Indemnity, **7L-04**  
Original score, **7L-07**  
Synchronisation rights, **7K-08, 7L-03**  
Warranty, **7L-04**

**N****NAME**

Right to use, **7C-03, 7D-02**  
Use of, **7G-06**

**NON-DISCLOSURE**

Provision for, **5L-03**  
*See also* Confidentiality

**NON-EXCLUSIVE**

License, **3T-01, 8E-07**  
Patent license, **3B-02, 3H-03**

**NON-INFRINGEMENT**

Warranty of, **3S-01**

**NOTICES**

Agent to, **5F-07, 8H-18**  
Deemed given, **3A-24, 3W-09, 9K-12**  
Giving of, **4B-12, 4H-22**  
Proposed, effecting limitation of license, prohibiting importation into the EU/EEA, **2D-01**  
Short form, **4B-13, 4G-14, 8A-11, 8B-20, 9C-12**  
Trade mark license, **6F-14, 6H-14**

**NOVATION**

Deed of, **5P-01**

**O****ON-LINE**

Access service, **7R-02**

**ON-LINE—Cont'd**

Download license, **7R-03/4/5**  
Liability, **7R-09**  
Support, **7R-08**

**OPTION**

Acquire rights, to, **3G-04, 3H-01/03, 12G-01, 12J-02**  
Franchisee, of, **8J-11**  
Licensee exercising, **2C-01**  
Patents, for, **3B-12, 3BB-01, 4F-11**

**OUTPUT**

Three-party agreement, **12K-33**

**OVERRIDING PROVISION**

Prior understanding, **8A-13**  
Representations, **8A-13**

**OWNERSHIP**

Recognition of, **7P-04**  
Of research rights, **12P-05**  
Three-party agreements, **12K-31**

**P****PACKAGING**

Three-party agreement, **12K-26**

**PARAMOUNT CLAIMS**

Asserted, **3B-06, 3B-11, 12J-10**  
Defence of, **2C-20, 3B-11**  
Effect of, **2C-20, 3H-08**  
Effect on payments, **3B-06**  
No warranty, **4F-11**

**PARTNERSHIP**

Denied, **3U-07, 4G-15**  
Joint venture, in, **12M-25**

**PATENT APPLICATIONS**

Assignment of, **3AA-08, 3M-02, 3P-02, 3X-02**  
Assignor to assist, **3X-03**  
Contract for purchase of, **3AA-02**  
First, restricted, **3B-12**  
Grant relating to, **3U-01**

*References are to sections and appendices.*

**PATENT APPLICATIONS**

—Cont’d

- Inventor to make, **3G-02/03**
- Party to make, **9K-04**
- Prosecution of, **3U-02, 3X-03**
- Purchase of, **3AA-02**
- Refused, **3H-04, 3W-05**

**PATENT LICENSES**

- Generally, **3L-04**
- Assignment of, **3B-14**
- Charge of, **3B-07**
- Design copyright, and, **3A-12**
- Designs, and, **4C-13**
- Duration of, **3B-15**
- Exclusive, **3B-02, 3J-02, 3W-02**
- Exclusive for field of use, **2C-04**
- Exhausted rights, **3B-03**
- Formal, **3A-12**
- Grant of, **2C-04, 3A-12, 3J-02**
- Maintenance, **4C-13**
- Non-exclusive, **3C-02**
- Option for, **3H-02/4**
- Renewal fees, **3B-09**
- Short form, **3H-11, 3W-01**
- Sub-license, **2C-05, 6S-03**
- Third parties, **3J-02**

**PATENT PROCEEDINGS**

- Defence of, **3B-09**
- Sharing costs, **3B-10**

**PATENTED PRODUCTS**

- Marking, **3B-09, 3C-05, 3D-04**

**PATENTS**

- Abandonment, **3B-09, 3H-09**
- Advances, **7N-04**
- Advertising, for, **7M-02/7**
- Affiliate use, for, **2C-12**
- Agreement to sell, **3HH-01**
- Amendment of, **3B-09, 3C-05**
- Applications. *See also Patent Applications*
- Author, to, **5T-02**
- Blocked, **3A-17**

**PATENTS—Cont’d**

- Cessation of, **7D-06**
- Components for, **2C-13**
- Composer, to, **7L-05**
- Computer program for, **5L-07, 5M-11, 5N-03**
- Conversion of, **3A-10, 3B-08, 5F-06**
- Co-owners, between, **12J-12**
- Credit transfer, **3B-08**
- Declared void, effect, **3H-07**
- Defending, **3C-05**
- Designs, and, **4C-13**
- Designs, for, **2B-06**
- Distributor, by, **8C-05**
- Expenses, of, **7C-05, 7M-06**
- Exploitation, **3U-05**
- Film making, **7H-07**
- Franchise, **8J-09**
- Goods consigned, **8B-10**
- Goods ordered, **8C-05**
- Half yearly, **4B-08**
- Interest on, **4H-10**
- Invalid, **3D-07**
- Invention, for, **3E-02**
- Inventor, to, **3G-07**
- Joint account, to, **3H-03**
- Know-how, for, **1H-01**
- Know-how, for, **2C-11, 4A-08**
- Lapse of, **2C-07, 3A-12**
- Licenses. *See also Patent Licenses*
- Licensor, to, **3J-05**
- Maintenance of, **2C-06, 3A-12, 3B-09, 4C-13**
- Marking patented products, **3B-09, 3C-05, 3D-04**
- Merchandising, **8E-06**
- Minimum, **3B-06, 4F-13, 7C-05**
- Option for, **4F-11**
- Paramount rights, where, **3B-06**
- Percentage proportion, **3B-06**
- Proceedings. *See also Patent Proceedings*

*References are to sections and appendices.*

**PATENTS—Cont'd**

Profit, based on, **4H-07**  
Provision of, **4C-06**  
Quarterly, **3A-10**  
Reduction of, **3A-10**  
Renewal fees, **3A-12, 3C-06**  
Review of, **2C-18**  
Sales, on, **3J-05, 5F-06**  
Sales revenue, **5R-06, 7C-05**  
In specie, **3B-06**  
Splitting of, **3A-09**  
Sub-licensee, for, **3B-06**  
Sub-standard goods, **7D-06**  
Suspension of, **3B-10**  
Technology for, **3A-09, 4H-08**  
Television script, **7J-07**  
Terms, **3CC-01, 9Y-07/8**  
Training, for, **4C-10**  
Trustee, to, **3H-03/6**  
Validity of, **3C-05**  
Various, **4C-18**  
Warranty denied, **3J-06**  
*See also Patent License*

**PAYMENTS**

On-line, **7R-06**  
Trade mark license fees, **6F-06, 6H-07**

**PENALTY**

Non-completion, for, **4C-25**

**PERFORMANCE**

Bond, **4E-01**  
Bond conditions, **4E-03/4**  
Bond requirement, **4C-19**  
Consent, performer's right, **7D-03**  
Film music, **7L-03**  
PRS Agreement, **7L-03**  
Rights to performances, **7L-03**

**PERSONAL REPRESENTATIVE**

Assignment by, **3R-01**

**PHOTOGRAPH**

Assignment and re-assignment, **7D-03**

**PHOTOGRAPH—Cont'd**

Copyright in, **7D-03**  
License to use, **7D-03**  
Use of, **7C-03, 7D-03**

**PLANT EXPERIMENTS AGREEMENT**

Accounting, **13B-12**  
Experimentation, **13B-05**  
Facilities, **13B-04**  
New varieties, **13B-06**  
Records, **13B-07**  
Rent, **13B-08**  
Secrecy, **13B-10**  
Term, **13B-09, 13B-13**  
Termination, **13B-13**  
Tissue cultures, use of, **13B-11**  
Use of premises, **13B-03**

**PLANT VARIETY LICENSE**

Accounting, **13A-13**  
Grant of growing rights, **13A-02**  
Infringement, **13A-10**  
Infringement of mark, **13A-14**  
Maintenance, **13A-08**  
Payments, **13A-12**  
Reproduction, etc., **13A-06**  
Satisfying market demand, **13A-09**

Schedule, **13A-16**

Support for rights, **13A-05**

Termination, **13A-15**

Trade mark, **13A-11**

Trade secrets, **13A-06**

Variety name, etc., **13A-07**

Warranty, **13A-03, 13A-04**

**POWER OF ATTORNEY**

(*see Attornment*)

**PRE-EMPTION**

Equal shares, **3KK**  
Joint owners, **3JJ**

**PRICE**

Calculation, **3CC-03**  
Change of, **9L-12**

*References are to sections and appendices.*

**PRICE—Cont'd**  
Terms, **9Y-04/6**

**PRIOR TERMINATION**  
Effect of, **2A-09, 3B-17, 4G-13, 7C-08, 8A-09, 8C-25, 9K-11, 9L-09**  
Notice, by, **3A-14, 3B-16, 3C-11, 3J-09, 3W-08, 4C-27, 4F-19, 4G-12, 5E-08, 5M-12, 6F-14, 7A-09, 7C-07, 7E-06, 7H-08, 7N-13, 8A-06, 8B-15, 8C-20, 9C-11, 9K-09**  
Rights on, **3A-17, 4C-31, 5E-08**

**PROCESS PLANT**  
Agreement for, **4H-01**

**PRODUCTION**  
Document, of, **3R-04**  
Method of, **4C-12**

**PROFIT**  
Allocation of, **3U-05, 9U-01**  
Defined, **3J-05**  
Determined, **12M-08**  
Share by licensor, **3J-05**

**PROMOTIONAL MATERIAL**  
*See Advertising*

**PROPER LAW**  
Agreement in, **4C-34**  
Court's jurisdiction, and, **3B-18, 4C-34**  
English to be, **3A-24, 4F-26, 4H-23, 8B-21, 9K-12**  
License, **4C-34**  
Patent license, **3B-18**  
Usages of trade and, **8D-17**

**PROTOTYPE**  
Access to, **4A-02**  
Construction, **9K-05**  
Defects, **4A-02**  
Failure of, **4A-02**  
Rights in, **3G-01/5**  
Supply of, **2A-06, 4B-02**

**PROTOTYPE—Cont'd**  
Testing of, **4A-02, 4B-02**

**PUBLIC DOMAIN**  
Confidential information, **4C-28**  
Reduction of royalty for, **3A-10**

**PUBLIC IMAGE**  
Artiste, of, **7D-07**  
Significance of, **7C-07, 7D-07**

**PUBLICITY MATERIAL**  
License, in, **2C-18, 3B-09**

**PUBLISHING**  
Consents to, **5R-02/7**

**Q**

**QUALITY STANDARD**  
Adherence to, **6F-05, 6G-02, 7C-03, 8J-06**  
Agent, **7E-03**  
Assurance under Commercial Research and Development agreement, **12L-29**  
Attainment of, **7H-06**  
Endorsement agreement, **7C-03**  
Franchise, **8J-06**  
Optical, **7Q-02/3/6**  
Sub-standard goods, **3CC-06, 6G-2**  
Trade mark, for, **4C-17, 6G-02, 6H-06, 7C-03**

**QUIET POSSESSION**  
Covenant for, **3S-01**

**R**

**RAW MATERIALS**  
Three-party agreement, **12K-14**

**RE-ASSIGNMENT**  
Right, to, **7F-06**

**RECEIVER**  
Appointed, **4H-17**

*References are to sections and appendices.*

**RECITALS**

- Academic research project, **4G-01**
- Basic non-exclusive copyright, **5H-01**
- Commercial Research and Development agreement, **12L-01**
- Computer program agreement, **5M-01**
- Design application, **5B-01**
- Design right (unregistered), **5C-01**
- Development agreement, **3G-01, 3H-01, 9K-01**
- Endorsement agency, **7E-01**
- Exclusive patent license, **3B-02, 3W-01**
- Field of use, **2C-01**
- Financial assistance rendered, **2C-01**
- Franchise, **7B-01**
- Joint venture, **12M-01**
- Patent license, in, **3C-01**
- Sub-license, **3D-01**
- Technology agreement, **3A-02**
- Tools supply, **9L-01**
- Turnkey contract, **4C-01**

**RECORDS**

(*see Accounts; Books*)

**REDUCTION**

- Infringement, for, **1M-01**
- Royalties, of, **3A-10**

**REGISTERED MARK**

- International assignment of, **6B**

**REGISTERED USER**

- International assignment of, **6B**
- Rights granted, **6F-08, 6G-03**
- Sublicense right, **6H-01 to 6H-14**

**REMUNERATION**

- Designer, of, **2B-06**

**RENEWAL FEES**

- Payment of, **3A-12, 3B-09**

**REPATRIATION**

- Capital, of, **4C-03**

**REPRESENTATION**

- Trade mark license, **6F-03, 6H-04**

**RESEARCH AGREEMENT**  
(UNIVERSITY§ EINDUSTRY)

- Company contributions, **12P-02**
- Exploitation, **12P-02**
- Inventions/discoveries, **12P-05**
- Ownership of rights, **12P-05**
- Progress reports, **12P-03**

**RESEARCH & DEVELOPMENT**

- Co-operation on infringement of rights, **12L-28**
- Definitions, **12L-02**
- Division of effort, **12L-03/06**
- Duration, **12L-30**
- Exchange of Information, **12L-07/14**
- Exploitation, **12L-15/22**
- Minimum Purchase, **12L-26/27**
- Quality Assurance, **12L-29**
- Recitals, **12L-01**
- Restraints, **12L-23/25**
- Technology access, **4G**

**RESTRICTIONS, RESTRICTIVE COVENANTS**

- Agent, by, **8A-08**
- Director, by, **12R-03**
- Distributor, by, **8D-09**
- Distributor/manufacturer, by, **8D-10**
- Extraneous, excluded, **1N-01**
- Improvements, **3J-02**
- Saving clause, **1A-01**

**REVIEW OF OBLIGATIONS**

- Licensee, of, **2C-18**

**RIGHT TO APPLY**

- Assignment of, **3E-01**

**ROYALTIES**

- Components, on, **3B-07**

*References are to sections and appendices.*

**ROYALTIES—Cont'd**  
 Copyright license, **5D-04**  
 Design license, **5D-04, 5E-04, 5J-03**  
 Electronic, **7P-03**  
 Flat rate chosen, **3A-02**  
 Foreign sales, **3W-03**  
 Infringed patent, **3A-13, 3B-10, 3C-08, 3D-08, 3H-05/6, 3J-06**  
 Invalidity of patent, **3B-10, 3C-08, 3D-07, 3H-07**  
 Know-how, for, **2A-06**  
 Minimum, **3B-06, 3W-05, 7C-07**  
 Publishing, **5R-06, 5T-02, 7N-04/8**  
 Reduction of, **1M-01, 3W-07**  
 Revision of, **2A-06, 2C-17, 3B-06, 9U-01**  
 Sharing, **7L-03**  
 Simple form, **3D-02**  
 Suspension of, **3A-13, 3B-10, 3H-06**

## S

**SAFE CUSTODY**  
 Drawings, of, **3A-08**  
 Goods, of, **8B-16**  
 Moulds, of, **3CC-05**

**SAVING CLAUSE**  
 Restrictions for, **1A-01**

**SCREEN CREDIT**  
 Film, in, **7F-07**  
 Music, for, **7L-01**  
 Television, **7J-06**

**SEARCH AND SUPPLY**  
 Library material, **7K-02**

**SECRECY**  
 Provision for, **3A-16, 4C-28, 4G-02, 4K-01**  
 Undertaking, **4G-07, 4K-01**  
 Undertaking for, **4C-07, 4L-02**

**SECRET INGREDIENTS**  
 Agreement on, **4B-13**  
 Default in supply, **4B-05**  
 Formula deposited, **4B-05**  
 Supply of, **4B-05/6**  
 Warranty, **4B-05**

**SECRET PROCESSES**  
 Access to, **4A-06, 4B-05/11**  
 Formula for, **4B-05**  
 Protection of, **4A-06**  
 Use after termination, **3A-17**

**SECURITY**  
 Three-party agreement, **12K-19**

**SERVICE AGREEMENT**  
 Invention of employee, **3Y-01, 3Z-01**

**SEVERABILITY**  
 Allowed, **9J-03**  
 Conflict, **7A-15**  
 Disallowed, **9J-02**  
 Multiple rights, **9J-01**

**SHRINK WRAP LICENSE (SIMPLE FORM)**  
 Acceptance of terms, **5AA-01**  
 General, **5AA**  
 Limitation of license, **5AA-02**  
 Warranties, **5AA-03**

**SICKNESS**  
 Suspension of contract, **5N-13**

**SOFTWARE**  
 Acceptance of, **5N-11**  
 Contract for, **5N-01**  
 Licenses. (*see Software License*)  
 Specification, **7Q-04**  
 Warranty, **5N-10**

**SOFTWARE LICENSE**  
 Confidentiality, **5BB-07**  
 Duration, **5BB-02**  
 General, **5BB-01 to 5BB-11, 7R-07**

*References are to sections and appendices.*

<b>SOFTWARE LICENSE—Cont'd</b>	<b>STATEMENT</b>
Improvements, <b>5BB-04</b>	Annual, <b>4A-08</b>
Non-assignable without consent, <b>5BB-11</b>	
Personal to licensee, <b>5BB-11</b>	
Seminars, <b>5BB-05</b>	<b>STATISTICAL INDEX</b>
Services, <b>5BB-03</b>	Use of, <b>3W-03, 4F-17</b>
Terms, <b>5BB-01</b>	
<b>SOURCE CODE ESCROW</b>	<b>STERLING</b>
Access to, <b>5Z-09</b>	( <i>see</i> <b>Currency</b> )
Availability, <b>5Z-08</b>	
Confidentiality, <b>5Z-12</b>	<b>SUB-CONTRACTING, SUB-CONTRACTOR</b>
Corrections, <b>5Z-07</b>	Manufacture, for, <b>3B-05</b>
Deposit of, <b>5Z-06</b>	Ownership of rights, <b>4J-05</b>
General, <b>5Z-01 to 5Z-16</b>	Patented goods, <b>3CC-01</b>
Independent referee, <b>5Z-11</b>	Provision of services, <b>4J-01, 4J-04</b>
Termination, <b>5Z-14</b>	Relationship to principal, <b>4J-06</b>
Validity, <b>5Z-15</b>	Trade marked goods, <b>3CC-01</b>
Warranty, <b>5Z-16</b>	
<b>SPECIAL ASSIGNMENTS</b>	<b>SUB-LICENSE</b>
Payment for, <b>7M-05</b>	Conversion on expiration of head license, <b>3D-09</b>
<b>SPECIE</b>	Copyright, <b>5D-03</b>
Distribution in, <b>3P-01</b>	Right to grant, <b>2C-05, 3B-04, 4H-06</b>
<b>STAFF</b>	Termination of head license, <b>3B-17</b>
Exchange of, <b>5N-12</b>	Trade mark, of, <b>6H-01 to 6H-14, 7K-11</b>
<b>STANDARD OF QUALITY</b>	<b>SUBSIDISATION</b>
Adherence to, <b>6F-05, 6G-02, 7C-03, 8J-06</b>	Unfair trading by, termination clause, <b>1P-01, 2C-30</b>
Agent, <b>7E-03</b>	<b>SUB-STANDARD</b>
Assurance under Commercial Research and Development agreement, <b>12L-29</b>	Goods, <b>3CC-06, 6G-02</b>
Attainment of, <b>7H-06</b>	<b>SUBSTITUTIONS</b>
Endorsement agreement, <b>7C-03</b>	Terms allowing, <b>9Y-12</b>
Franchise, <b>8J-06</b>	<b>SUGGESTION BOX</b>
Optical, <b>7Q-02/3/6</b>	Reward system, <b>3X-03</b>
Sub-standard goods, <b>3CC-06, 6G-2</b>	<b>SURVEY</b>
Trade mark for, <b>4C-17, 6G-02, 6H-06, 7C-03</b>	Condition precedent, <b>4C-03</b>
	<b>SUSPENSION</b>
	Sickness, for, <b>5N-13</b>

*References are to sections and appendices.*

#### **SYNCHRONISATION**

Rights, film, **7L-05**  
Rights of, **7K-08**

#### **T**

#### **TAX**

Deduction of, **3B-08, 11A-01**  
Recovery of, **4H-08**  
Value added, **11B-01**

#### **TECHNICAL ASSISTANCE**

Agreement for, **4A-07, 6K, 6L**

#### **TECHNICIANS**

Attachment of, **3A-07, 4A-02**  
Payment for, **3A-07, 4C-10, 4F-05**  
Services of, **4A-07, 4C-04/9, 7B-04**  
Visits of, **4C-08, 4F-04**

#### **TECHNOLOGY**

(*and see* **Know-how**)  
Cessation of use, **3A-10**  
Disclosure of, **3A-05**  
Provision of, **4C-05, 4D-03**  
Use of, **3A-06**

#### **TELEVISION**

Contract for script, **7J-02**

#### **TERMINATION**

Agency, **8A-06**  
Breach for, **2C-25, 3B-16, 3J-09, 3W-08, 4C-27, 5F-07, 7H-08, 7K-10, 8D-14, 8E-08**  
Compensation for, **8A-07**  
Competitor controlling, **2C-24, 4F-19**  
Computer program contract, **5N-07**  
Confidential information obligations, **2C-25**  
Consultancy, **9C-11**  
Copyright license, **5D-05**  
Design license, **5J-04**  
Distributorship, **8C-25/8, 8D-15**

#### **TERMINATION—Cont'd**

Effect of, **2C-25, 3B-17, 4A-09, 4B-11, 4C-31, 4G-13, 4H-18, 7P-08, 8A-09, 8C-25, 8D-15, 8E-09, 9K-11**  
Electronic, **7P-07**  
Grounds for, **4F-19, 4G-11, 7K-09, 8C-21**  
Know-how obligations, **2C-25**  
Last day, **2A-10**  
License, **2A-09, 5E-08**  
Non-payment, for, **3C-11**  
Notice of, **2C-22, 4B-02, 7K-09**  
Patent license, **3A-14**  
Period for, **4H-16**  
Prior, **2C-22**  
Procedure, **8C-20**  
Publishing agreement, **7N-13**  
Reasons, **7R-10**  
Receivership on, **2C-23, 3A-14, 3B-16, 4B-11**  
Registered user agreement, **6V-09**  
Repossession on, **7H-08, 7K-10**  
Right to continue, **3B-17**  
Rights on, **2A-10, 3A-18, 4C-31, 7K-10**

Saving clause, **2C-25**

Serious breach, **4B-11**

Short form, **8B-15**

Subsidisation, for unfair, **1P-01, 2C-30**

Take-over, on, **3B-16, 4F-19**

Trade mark license, **5F-07, 6F-08, 6G-04, 6H-09**

Winding up, **3A-14, 3W-08, 4B-11**

Years, period of, **4H-16**

#### **TERRITORY**

Extension of, **4B-09**  
Trade mark license, **6H-03**

#### **TESTATUM**

Examples, **3B-19**

*References are to sections and appendices.*

#### TESTING

Provision for, **4A-02, 5N-11, 7E-03, 9L-17, 9Y-19**

#### THINK TANK

Improvements, for, **1G-01**

#### THIRD PARTY CLAIMS

Asserted, **2C-20, 3B-11**  
 Contract terms, **9L-18**  
 Defence of, **2C-20, 3B-11**  
 Effect of, **3B-06, 3H-08**  
 Excluding enforcement by third parties, **9AB**  
 Paramount, **2C-20, 3B-06, 3B-11**  
 Warranty denied, **4F-11**  
 Warranty on, **3HH-06**

#### TITLE

Trade mark license, **6H-05**

#### TOLL

Three-party agreement, **12K-33**

#### TOOLS

Approval of, **9L-03**  
 Property in, **9L-02**  
 Supply of, **9L-03**

#### TRADE MARK APPLICATIONS

Assignment of, **6B, 6C, 6D**  
 Contract for purchase of, **3AA-02**

#### TRADE MARK LICENSES

Agreed form of representation, **6H-04**  
 Assignment, **6A, 6H-11**  
 Books, **6H-07, 6H-12**  
 Disputes, **6H-13**  
 Duration, **6H-01**  
 Exclusions, **6H-10**  
 Expiration, **6H-09**  
 General, **5F-05**  
 Goodwill, **6H-05**  
 Grant of rights, **6H-02**  
 Infringement of registered marks, **6H-08**  
 Inspection, **6H-07**

#### TRADE MARK LICENSES

—Cont'd  
 License fees, **6H-07**  
 Licensee's mark, **8E-03**  
 Merchandising and design, **8E-02**  
 Multiple agreement, **6J**  
 Notices, **6H-14**  
 Payments, **6H-07**  
 Quality standard, **6H-06, 8E-03**  
 Record keeping, **6H-07, 6H-12**  
 Representations, **6H-04**  
 Samples, supply of, **8E-03**  
 Simple form, **6G**  
 Sub-license right, **6H-01 to 6H-14**  
 Termination of, **5F-07, 6F-08, 6H-09**  
 Territory, **6H-03**  
 Without right of sub-license, **6F**  
 With right of sub-license, **6H-01 to 6H-14**

#### TRADE MARK(S)

Agreement for, **3HH-01**  
 Applications. (*see Trade Mark Applications*)  
 Appointment as permitted user, **8G-03**  
 Assignment of, **6A, 6B, 6C, 6D, 6E-01**  
 Distributor using, **8C-19**  
 Enforcement, **7K-05**  
 Joint rights, **6M**  
 Licenses. (*see Trade Mark Licenses*)  
 Part of sale, **9S-01**  
 Permission to use, **7K-01**  
 Quality control, **7K-02**  
 Recognition of owner, **6G-1**  
 Rights in respect of termination, **6F-08**  
 Royalty, **7K-07**  
 Standard of quality, **4C-17, 6F-05, 6G-02, 7C-03, 8E-03, 8J-06**  
 Use of, **2A-03, 4C-17, 4F-09, 6G-01**

*References are to sections and appendices.*

#### TRAINING

- Behaviour, **4C-10**
- Distributor's staff, **8C-15**
- Know-how, in, **2A-02**
- Licensee, for, **4C-06/8**
- Methods, **3A-07**
- Payment for, **3A-07, 4C-10, 4F-05**

#### TRANSMISSION

- Rights of, **2B-08, 3B-14, 7F-09, 9C-10, 9L-07**

#### TRIALS

- Equipment, of, **4B-02**

#### TRUSTEES

- Assignment by, **3R-01**
- Copyright, of, **7M-09**

#### U

#### UNIFORMITY OF RIGHTS

- Patent license, in, **3C-09**

#### UNIVERSITY RESEARCH

- (*see* Research agreement)

#### V

#### VALIDITY

- Patents, of, **3B-10, 3C-05, 3D-07, 3H-07**

#### VALUE-ADDED TAX

- Escrow deposit, **11B-01**
- Invoice for, **8E-06**
- Protection clause, **11A-01**

#### VISITORS AND VISITS

- Secrecy undertaking by, **4G-02**

#### W

#### WAIVER

- Effect of, **8A-12**

#### WARRANTY

- Artiste, **7D-04**
- Assignee, by, **3Q-03**
- Author, by, **5T-01, 7N-01**
- Contributor, of, **7P-05**
- Copyright, of, **7F-03, 7G-05**
- Denied, **4H-03, 4H-15**
- Electronic, **7P-06**
- Excluded, **3W-07**
- Funding agreement, **12G-06**
- Further assurance, **3Q-03**
- Goods supplied, **9L-15**
- Goodwill assignment, **6E-02**
- Inventor by, **9K-04, 12J-04**
- Know-how, **2A-04**
- Mutual, **12M-18**
- Novation, on, **5P-02**
- Originality, **7J-04**
- Title, of, **3AA-04, 3S-01**
- Writer, by, **9D-03**

#### WATER MAINS

- Services, **4C-03**

#### WINDING-UP

- Effect of, **8B-19**
- Subsidiary, of, **3P-01, 3Q-01**
- Termination for, **2C-23, 3A-14, 3W-08, 4B-11, 4H-17**

#### WORK PERMITS

- Availability of, **4C-03**

#### WORKERS

- Protection of, **4C-23**

#### Y

#### YIELD

- Three-party agreement, **12K-27**



# General Index

## A

### ABANDONMENT

Orphan works, **5A.07[6], 5D.05[3], App 3D(11)**  
Patent license, **3.07[15]**

### ABSTRACT RIGHTS

Distinct from material manifestation in intellectual property, **1.09[1][b]**

### ABUSE

Dominant position, *see* **Dominant Position, Abuse Of**

### ACQUISITIONS

*See also* **Mergers**  
Clayton Act, assets and stock, **2.06[3]**  
United States, IP rights, **2.09[1][s]**

### ACTIVITY RIGHTS

Intellectual property as example, **1.01[1]**

### AGENTS

Distribution and agency agreements, exclusive, **8.02[1]**  
European Directives, self-employed commercial agents, **App 3D(4)**

### AGREEMENTS

*see* **Contracts and Agreements**

### ALIEN OBLIGATIONS

License, in, **1.13**

### ALTERNATE DISPUTE RESOLUTION

Arbitration, escalating ADR clauses, **10.11**  
FRAND licensing, negotiations, **3.11[3][b]**

### ANTICOMPETITIVE ACTIVITIES

Damages, **1.07[1][b], 1.07[1][f]**

### ANTITRUST

Generally, **2.01, 2.02**  
America, *see* **United States**  
Arbitral Tribunal, application of antitrust rules by, **10.12[7]**  
Challenges, **2.03**  
Clayton Act, *see* **Clayton Act**  
Common law provisions, **1.06[2], 1.06[5]**

Competitive advantage demands, **1.12**

Concentration, undue, of related rights, **1.15**  
Consumer protection, **8.06[3]**  
Covetous obligations, and, **1.13**  
English common law, relevance of, **1.06[2]**

EU, *see* **EU Competition**  
Exclusivity, **1.16, 2.09[1][i]**  
Federal Trade Commission Act, *see* **Federal Trade Commission Act**

Foreign trade and, **1.17**  
Franchising, **8.02[2][b]**  
Fundamentalism of validity, **1.14**  
Improvements, handling of, **1.10**  
Intellectual property  
Application to, **1.06[5], 1.07 to 1.17**

Challenges, **2.03**  
International effect, **1.17**  
Limits licensing to accord with grant, **1.07**  
Limits rights to primary act, **1.08**  
Patent exploitation policy and, **1.15[1]**  
Patent misuse, and, in US, **1.13[6]**

**ANTITRUST—Cont'd**  
Principles applicable, **1.06[2], 1.07 to 1.17**  
Public interest, **1.06[5][c]**  
Sherman Act, *see* **Sherman Act**  
Sources of, **1.06[1]**  
Splitting-up rights, **1.09**  
Technological advance challenges, **2.03**  
Unwanted facilities barred, **1.11**  
US, *see* **United States**

**ARBITRATION**  
ADR clauses, escalating, **10.11**  
Agreements  
Arbitrability of agreements relating to intellectual property, **10.05**  
Arbitration Act of 1996, **10.08[1][d] to 10.08[1][g]**  
US Arbitration law, below  
Antitrust rules, application by Arbitral Tribunal, **10.12[7]**  
Clauses  
Audit clause, effect on, **10.08[1][c]**  
Escalating ADR clauses, **10.11**  
Future dispute clauses, **10.03**  
US Arbitration law, below  
Commercial, AAA rules on, **App 6-17**  
Conflict of forum selection and arbitration clauses, US Arbitration law, **10.12[6]**  
Convention on Recognition and Enforcement of Foreign Arbitral Awards, 1958, **App 4G**  
Defined, **10.01**  
Enforcement of award  
England and Wales, Arbitration Act of 1996, **10.08[1][h]**  
International and “non-domestic” awards, **10.12[4][a] to 10.12[4][c]**  
US Arbitration Law, below

**ARBITRATION—Cont'd**  
England and Wales  
Arbitration Act of 1996, **10.08[1]**  
Agreements, **10.08[1][d] to 10.08[1][g]**  
Enforcement of award, **10.08[1][h]**  
Mandatory and nonmandatory provisions, **10.08[1][b], 10.08[1][c]**  
Recognition of foreign awards—the New York Convention, **10.08[2]**  
Escalating ADR clauses, **10.11**  
EU Competition Law, application by Arbitral Tribunal, **10.09**  
Federal Arbitration Act, **10.12[1], App 6C**  
Franchising, arbitration for, **10.10**  
Future dispute clauses, **10.03**  
Intellectual property  
*See also other lines in this heading*  
Agreements relating to intellectual property, arbitrability of, **10.05**  
Arbitrability of intellectual property rights per se, **10.04**  
International arbitration, **10.06**  
*and see also other lines in this heading*  
International commercial arbitration, UNCITRAL Modern Law (1985), **App 6A**  
New York Convention, **10.08[2], 10.12[4][a]**  
Patents  
AAA rules on, **App 6-33**  
US Arbitration law, **10.12[8]**  
Voluntary arbitration of patent matters, **App 6E**  
Recognition of foreign awards  
Convention on Recognition and Enforcement of Foreign

## INDEX

### ARBITRATION—Cont'd

Recognition of foreign awards  
—Cont'd  
    Arbitral Awards, 1958,  
    **App 4G**  
    New York Convention, **10.08[2]**  
Referral to major arbitration  
    institutions, **10.07**  
Scope of chapter, **10.03**  
Third parties to agreement  
    containing arbitration clause,  
    **10.12[5][c]**  
UK Arbitration Act of 1996  
    (extracts), **App 6B**  
UNCITRAL Model Law on  
    International Arbitration  
    Generally, **10.06[1]**, **App 6A**  
    Adoption, **10.06[1][b]**,  
    **10.06[1][c]**  
    Scope, **10.06[1][d]**  
Uniform Arbitration Act of 1950,  
    **App 6D**  
US Arbitration law  
    Agreement, scope of  
        Challenging arbitrators  
        authority to make award,  
        **10.12[5][a]**  
    Freedom of parties to  
        contractually expand  
        court's review powers,  
        **10.12[5][b]**  
    Third parties to agreement  
        containing arbitration  
        clause, **10.12[5][c]**  
Antitrust rules, application by  
    Arbitral Tribunal, **10.12[7]**  
Clauses  
    Conflict of forum selection  
        and arbitration clauses,  
        **10.12[6]**  
    Third parties to agreement  
        containing arbitration  
        clause, **10.12[5][c]**  
    Conflict of forum selection and  
        arbitration clauses,  
        **10.12[6]**  
    Courts in favor of arbitration,  
        **10.12[2]**

### ARBITRATION—Cont'd

US Arbitration law—Cont'd  
    Enforcement of international  
        and "non-domestic"  
        arbitral awards  
    Bound to enforce award,  
        court as, **10.12[4][b]**  
The New York Convention,  
    **10.12[4][a]**  
    "Non-domestic," awards  
        made in US can be,  
        **10.12[4][c]**  
    Federal Arbitration Act,  
        **10.12[1]**  
    Patent arbitration, **10.12[8]**  
    State law and Federal law,  
        **10.12[3]**  
Use of arbitration, why, **10.02**  
Writing, agreement to be in,  
    **10.8[1][e]**

### ASSEMBLIES

Design right, **5C.02[1][j]**

### ASSIGNMENT

Application, patent for, **3.06[1]**  
Company in liquidation, **3.06**  
Contract and agreements  
    Claim, **9.29**  
    Right to re-assignment on non  
        payment, **9.27**  
Copyright, generally, **5A.06**  
Covenants for title in, **3.05**  
Death of proprietor, **3.06**  
Design right, *see* **Design Right**  
Incorporation by individuals,  
    **4.06[2]**  
Intellectual property rights,  
    **1.04[1]**  
Invention, of, **3.06[1]**  
Invention by employee, **4.06[3]**  
Non payment, right to re-assign-  
    ment, **3.07[16]**, **9.27**  
Patent, of, requirements for, **3.03**,  
    **3.06[1]**  
Patent license, *see* **Patent License**  
Protectable information, **4.04**  
Trade marks, *see* **Trade Mark(s)**

**ASSIGNMENT—Cont'd**

Trade marks to distributors, non-genuine, **8.04[7][e]**  
Trade secret, **4.04[2]**

**ATTORNEY**

Practice, **9.05**

**AUDITS AND AUDITORS**

Arbitration, effect on audit clause, **10.08[1][c]**

**AUTHORSHIP**

Multimedia and entertainment, moral rights, **7.03[1][b]**  
Resale right for benefit of author of original work of art, Directive 2001/84/EC (27 September 2001), **App 3D(9)**

**B**

**BANKRUPTCY**

Patent, license, **3.09**  
Trade mark of bankrupt debtor, use by licensee, **6.06[7]**

**BERNE CONVENTION  
IMPLEMENTATION ACT**

Copyright, **5A.08[5]**

**BERNE COPYRIGHT  
CONVENTION**

Generally, **App 4B**

**BEST ENDEAVOURS**

Competing products, and, **9.11**  
Significance of clauses for, **9.10**

**BIOTECHNOLOGY**

Protection of  
EC directive 98/44/EC on legal protection of biotechnological inventions, **App 3D(2)**  
EC notice on the legal protection of biotechnological inventions, **App 3C(11)**  
United Kingdom  
Generally, **13.04[3]**

**BIOTECHNOLOGY—Cont'd**

Protection of—Cont'd  
United Kingdom—Cont'd  
Compulsory and cross-licensing, **13.04[3][c]**  
Licensing, **13.04[3][b]**  
Patents, **13.04[3][a]**  
United States, **13.03[3]**  
Multiple claims, **13.03[4]**

**BLOCK EXEMPTIONS**

EC, regulations issued by, *see EC*  
EU competition law, *see EU Competition Law*

**BOOKS**

Inspection of, rights relating, **9.09**

**BREACH**

Generally, **9.13**  
Burden of proof, licensee, **4.06[6]**  
Effect on information, **4.06[5]**

**BREEDERS' RIGHTS**

*see Plant Varieties*

**BROADCASTING, CABLE  
PROGRAMMES AND  
RIGHTS IN  
PERFORMANCES,  
COPYRIGHT**

Generally, **5D**  
Cable, broadcasting by, **5D.01**  
Extent and duration of protection, **5D.02**  
Introduction, **5D.01**  
Licensing, **5D.05, 5D.05[3]**  
Moral rights in performances, **5D.06**  
Orphan works, **5D.05[3]**  
Ownership, **5D.03**  
Transferring title, **5D.04**

**BUNDLING**

EU competition law, *see EU Competition Law*  
FRAND licensing, **3.11[4][d]**  
Licensing of IP, Dept. of Justice and FTC antitrust guidelines,

## INDEX

**BUNDLING—Cont'd**  
tying/bundling arrangements, **2.09[1][o]**  
United States restrictions, **2.12[5]**

**BURDEN OF PROOF**  
Breach by licensee, **4.06[6]**

**BUSINESS**  
Definition of, **9.24**

**C**

**CABLE TV**  
Copyright coverage, **5D.01**  
Satellite broadcasting and cable retransmission, Directive 93/83/EEC (27 September 1993), **App 3D(4B)**

**CHARGES**  
Intellectual property, **1.04[4]**

**CHOSE-IN-ACTION**  
Intellectual property and, **1.01[1]**

**CHOSE-IN-POSSESSION**  
Example, **1.01[1]**

**CLAYTON ACT**  
Generally, **2.06**, **App 2A**  
Asset acquisitions, **2.06[3]**  
Conditioning, **2.06[2]**  
Facilities discrimination, **2.06[1]**  
Price discrimination, **2.06[1]**  
Service discrimination, **2.06[1]**  
Stock acquisitions, **2.06[3]**  
Tying, **2.06[2]**

**CLOUD COMPUTING**  
Copyright, **5B.03[6]**

**CO-AUTHORSHIP**  
Copyright, **5A.04[2A]**

**COLLECTIVE RIGHTS MANAGEMENT**  
Design right, EU scheme, **5D.07**

**COMBINATION PATENTS**  
Restraints of trade, **1.09**

**COMMISSIONED WORKS**  
Design right, **5C.02[2][b][ii]**

**COMMON LAW OF ENGLAND**  
Antitrust law and, **1.06[2]**, **1.06[5]**  
Monopolies and, **1.06[2]**

**COMMUNITY TRADEMARKS**  
*see* **BTTrade Mark(s)**

**COMPANY PURCHASE**  
Transfer of employee obligations, **4.06[4]**

**COMPETITION**  
Generally, **2.01**, **2.02**  
“By object” competition restrictions, guidance, **App 3C(4)**  
**EC**, *see* **EC**  
EEC policy, *see* **Competition Policy in EEC**  
**EU**, *see* **EU Competition Law**  
Minor importance, agreements not falling under Article 101(1) of TFEU, Commission notice on, **App 3C(3)**  
Patents, impact of Competition Law, **3.11**  
Pools and pooling, *see* **Pools and Pooling**  
Relevant market, EC’s notice on definition for purpose of Community Competition Law, **App 3C(9)**  
Trade marks, *see* **Trade Mark(s)**  
**US**, *see* **United States**

**COMPETITION ACT 1998**  
Excerpts, **App 1Z**

**COMPULSORY LICENSE**  
Breeders’ rights, U.K., **13.04[2][h]**  
Early decisions, **1.16[2]**  
Not quantitative restriction for E.E.C., **1.16[1]**  
Patents, **3.10**

**COMPUTER GENERATED DESIGNS**  
Design right, **5C.02[3][c]**

**COMPUTER PROGRAMS**

Bootstrap ROMs and operating systems, **5B.02[4]**  
Copyright, **5B.01**, **5B.02**  
Databases, **5B.02[8]**  
European directives, Directive 91/250/EC (14 May 1991 (repealed), **App 3D(3)**  
Expression/idea dichotomy, **5B.02[2]**  
Impact of EU law on licensing of, **5B.02[7]**  
Mask works, **5B.02[5]**  
Protection of, **5B.02[1]**

**COMPUTER SOFTWARE**

Copyright, **5B.01**, **5B.02**  
Generally, **5B.02**  
Cloud computing, **5B.03[6]**  
Exploiting, **5B.03**  
Expression/idea dichotomy, **5B.02[2]**  
Indirect use, **5B.03[3]**  
Open source software, **5B.03[5]**  
Protection, **5B.02[1]**  
Transferability, **5B.03[3]**  
Translation, **5B.02[3]**

**CONFIDENTIAL INFORMATION**

Assignment by employee, **4.06[3]**  
Confidentiality Clubs, **4.06[8]**  
External Eyes Only Provisions, **4.06[8]**  
FRAND licensing, confidentiality of license settled by a court, **3.11[4][e]**  
License, territorial limits, **4.06[7]**  
Multimedia and entertainment, **7.02[5]**  
Public domain, **4.06[5]**  
Term and termination, **4.07**  
Transfer, **4.04**

**CONFIDENTIALITY**

Technology sharing and transfer, **12.03[5][g]**

**CONFIDENTIALITY CLUBS**

Generally, **4.06[8]**

**CONSENT JUDGMENT**

Validity and, **1.14[2][c]**

**CONSORTIA**

Technology sharing and transfer, **12.02[3]**

**CONSULTANTS**

Inventions by, **3.03**

**CONSUMER LEGISLATION**

Distribution media, modes and legislation, **7.06[1]**, **7.06[2]**

**CONTRACTORS**

Sub-, inventions by, **3.03**

**CONTRACTS AND AGREEMENTS**

And intellectual property rights transactions, **9.01**

Antitrust, United States, **2.08**

Arbitration agreements, *see* **Arbitration**

Assignment. *see* **Assignment**

Breach of, **9.13**, **9.23**

Charges on intellectual property, **9.18**

Communication of acceptance, **9.16**

Cooperation, *see* **Co-Operation Agreements**

Co-owners, **3.02[1][b]**

Copyright licensing, **5A.07[2]**, **5A.07[3]**

Digital signatures, **9.28**

Distributors, *see* **Distribution Agreements**

Duration, **9.04**

Enquiries before, **3.05**

EU competition law. *see* **EU Competition Law**

Force majeure clauses, **9.21**

Good faith, **9.32**

Goods supplied and held on trust, **9.17**

## INDEX

**CONTRACTS AND AGREEMENTS—Cont'd**

- Implied terms, **3.05[3], 9.02[1], 9.31**
- Joint ventures, **12.02[2][b]**
- Limitation of liability, **9.20**
- Marketing, uncommon forms, **8.03**
- Minor importance agreements under TFEU, Commission notice on, **App 3C(3)**
- Multimedia and entertainment, personal rights, collective agreements and collecting societies, **7.03[3]**
- Multiply-used standard agreements, TFEU, **8.04[1][a]**
- Non assignment, **9.33**
- Penalty clauses, **9.30**
- Proper law of, **9.14**
- Protectable information, UK, **4.02[2][b]**
- Research and development, *see Research and Development*
- Reservation of rights clauses, **9.22**
- Right to re-assignment on non payment, **9.27**
- Settlements. *see EU Competition Law*
- Severability, **9.06**
- Termination, effect of, **9.08**
- Third parties, rights of, **9.23**
- Torts relating to
  - Generally, **9.19**
  - Procuring breach, **9.19**
  - Wrongful interference, **9.19**
- Transfer, **9.33**
- U.K. statutes affecting contractual transactions
  - Generally, **9.02**
  - Consumer contracts, **9.02[2]**
  - Deeds and their execution, **App 1S(3)**
  - Implied covenants under English statutes, **9.02[1], App 1S**
  - United States, antitrust, **2.08**

**CONTRIBUTORY INFRINGEMENT**

- Antitrust law, **1.06[2]**

**CO-OPERATION AGREEMENTS**

- EU and US *see EU Competition Law*
- Marketing agreement/relationship, **8.03[2]**
- Technology transfer, **12.02[2][a]**

**COPYRIGHT**

- Generally, **1.03[3][a], 5A.01**
- And related rights, generally, **1.03[3][b]**
- And the database right, generally, **1.03[3][c]**
- And works in electronic form
  - Generally, **5B**
  - Computer software
    - Generally, **5B.02**
    - Cloud computing, **5B.03[6]**
    - Exploiting, **5B.03**
    - Expression/idea dichotomy, **5B.02[2]**
    - Indirect use, **5B.03[3]**
    - Open source software, **5B.03[5]**
    - Protection, **5B.02[1]**
    - Transferability, **5B.03[3]**
    - Translation, **5B.02[3]**
  - Databases, protection of, **5B.02[8]**
  - Indirect use, **5B.03[3]**
  - Transferability, **5B.03[3]**
- Assignment, generally, **5A.06**
- Berne Convention Implementation Act, **5A.08[5]**
- Broadcasts, cable programme services, performances and associated rights. *see Broadcasting, Cable Programmes and Rights in Performances, Copyright*
- Cloud computing, **5B.03[6]**
- Co-authorship, **5A.04[2A]**

**COPYRIGHT—Cont'd**

Collective management of licensing  
 EU Collective Management and Multi-Territorial Licensing Directive, **5A.07[7]**  
 Competition law, *see EU Competition Law*  
 Computer software  
 Generally, **5B.02**  
 Cloud computing, **5B.03[6]**  
 Exploiting, **5B.03**  
 Expression/idea dichotomy, **5B.02[2]**  
 Indirect use, **5B.03[3]**  
 Open source software, **5B.03[5]**  
 Protection, **5B.02[1]**  
 Transferability, **5B.03[3]**  
 Translation, **5B.02[3]**  
 Database right. *see Database Right*  
 Design right, **5C.02[1][k]**, **5C.02[2][b][iii]**  
 Directive on harmonisation of certain aspects of copyright and related rights in the information society (22 May 2001), **App 3D(8)**  
 EU competition, *see EU Competition Law*  
 European directives, harmonization of term of protection and related rights, Directive 93/98/EC (29 October 1993) (repealed), **App 3D(5)**  
 European directives, rental and lending rights, Directive 2006/115/EC (12 December 2006) (repealed), **App 3D(9A)**  
 European directives, rental and lending rights, Directive 92/100/EEC (19 November 1992) (repealed), **App 3D(4A)**  
 European harmonisation, **App 3D(5)**, **App 3D(8)**

**COPYRIGHT—Cont'd**

European harmonisation and initiatives, **5A.09**  
 Internet service providers  
 Generally, **5B.04**  
 Information residing on systems or networks at the direction of users and information location tools, **5B.04[3]**  
 System caching, **5B.04[2]**  
 Transitory communications, **5B.04[1]**  
 Licenses and licensing  
 Browse-wrap agreements, **5A.07[2]**  
 Click-wrap agreements, **5A.07[2]**  
 Collective Management  
 Multi-Territorial Licensing Directive, EU, **5A.07[7]**  
 Contracts and agreements, **5A.07[2]**, **5A.07[3]**  
 Database right, **5A.07[4]**  
 EU Collective Management and Multi-Territorial Licensing Directive, **5A.07[7]**  
 EU Copyright and Related Rights in Digital Single Market Directive, **5A.07[3][e]**  
 Express licenses, **5A.07[1]**  
 Misuse, effect on, **5B.02[9]**  
 Orphan works, **5A.07[6]**, **5D.05[3]**  
 Schemes and bodies, **5A.07[5]**  
 Shrink-wrap agreements, **5A.07[2]**  
 Software as goods, **5A.07[3][d]**  
 Misuse of, **1.13[6]**, **5A.10**, **5B.02[9]**  
 Open source software, **5B.03[5]**  
 Originality, **5A.05**  
 Orphan works  
 European Directives, permitted uses (25 April 2012), **App 3D(11)**  
 Licensing, **5A.07[6]**, **5D.05[3]**

## INDEX

### **COPYRIGHT—Cont'd**

Pools and collective rights management, EU law, **2.21[2]**  
Printing, impact of invention of, **1.02[1][a]**  
Protectable information and, **4.03[2]**  
Reciprocal protection of copyright in the U.S., **5A.08**  
And related rights  
    Artists resale rights, **5A.02[3]**  
    Scope of, **5A.02[3]**  
Resale rights of artists, **5A.02[3]**  
Schemes and bodies, **5A.07[5]**  
Term of protection and related rights, Directive 2006/116/EC (12 December 2006), **App 3D(9B)**  
Trade secrets law, non-pre-emption, **4.03[1][d]**  
Transferring title  
    Broadcasting, cable programmes and rights in performances, copyright, **5D.04**  
    To copyright, **5A.06**  
U.K., protection of U.S. works in the U.K., **5A.08[6]**  
Unfair competition, copyright and, **1.02[1][b]**  
United States, *see* **United States**  
Universal Copyright Convention, **5A.08[3]**

### **COPYRIGHT ACT OF 1909**

Generally, **5A.08[1]**

### **COPYRIGHT ACT OF 1976**

Generally, **5A.08[4]**

### **COVENANTS**

Implied under English statutes, **9.02[1], App 1S**

Title for, **3.05**

### **CROSS-BORDER**

#### **PORTABILITY**

Online services in the internal market, European regulations, **App 3B(20)**

### **CROSS-LICENSING**

Licensing of IP, Dept. of Justice and FTC antitrust guidelines, **2.09[1][p]**  
Patent pools and, **1.15[2]**

## **D**

### **DAMAGES**

Anticompetitive activities, **1.07[1][b], 1.07[1][f]**  
Claim for, by non-exclusive licensee, **1.07[1][f]**  
Directives of EC, damages for infringements, (26 November 2014), **App 3D(12)**  
Quantum for repudiation of license, **9.23**  
Quantum in breach of agreement, **9.04**

### **DATA PROTECTION**

Databases, legal protection, Directive 96/9/EC (11 March 1996), **App 3D(6)**  
Multimedia and entertainment, **7.03[5]**  
Protectable information, interaction with, **4.01[1]**

### **DATABASE RIGHT**

Licensing, **5A.07[4]**  
Multimedia and entertainment, **7.02[4]**  
Protection, EU-UK withdrawal agreement, **5A.02[6][a], 5A.03[7]**  
Related rights, and, **1.03[3]**

### **DATABASES**

Protection, EU-UK withdrawal agreement, **5A.02[6][a], 5A.03[7]**

### **DEEDS**

U.K. statutes affecting contractual transactions, **App 1S(3)**

## FORMS AND AGREEMENTS

**DEFEND TRADE SECRETS ACT 2016**  
Protectable information, US, **4.02[3][b]**  
**DEFEND TRADE SECRETS ACT 2016**  
Generally, **App 2K**  
**DERIVATIVE ACTIONS**  
Technology sharing and transfer, shareholder or director action, **12.05[4]**  
**DESIGN RIGHT**  
Generally, **1.03[4], 5C**  
Anomalous position of the protection of designs, **5C.01**  
Assemblies, **5C.02[1][j]**  
Assignments and licenses  
EU system for protection of designs, **5C.03[3]**  
Registered, UK scheme  
Generally, **5C.02[4]**  
Assignments, **5C.02[4][a]**  
Licenses, **5C.02[4][b]**  
Unregistered, UK scheme  
Generally, **5C.02[2]**  
Commissioned works,  
**5C.02[2][b][ii]**  
Copyright and,  
**5C.02[2][b][iii]**  
Designer's intention,  
**5C.02[2][b][iv]**  
Employers and ownership,  
**5C.02[2][b][ii]**  
Exclusive licenses,  
**5C.02[2][a][ii]**  
Future rights,  
**5C.02[2][b][vii]**  
Housekeeping,  
**5C.02[2][b][v]**  
Introduction to 1988 Act,  
**5C.02[2][b][i]**  
Licenses of right,  
**5C.02[2][a][iii]**  
1988 Act, **5C.02[2][b]**  
Notices, **5C.02[2][b][ix]**  
Originality, **5C.02[2][b][vi]**

**DESIGN RIGHT—Cont'd**  
Assignments and licenses  
—Cont'd  
Unregistered, UK scheme  
—Cont'd  
Proceedings, assistance of designer in,  
**5C.02[2][b][viii]**  
Statutory provisions,  
**5C.02[2][a]**  
Warranties, **5C.02[2][b][iv]**,  
**5C.02[2][b][vi]**  
Categories excluded, **5C.02[1][b]**  
Collective rights management, EU scheme, **5D.07**  
Commissioned works,  
**5C.02[2][b][ii]**  
Computer generated designs,  
**5C.02[3][c]**  
Copyright and  
Generally, **5C.02[2][b][iii]**  
Interaction between,  
**5C.02[1][k]**  
Designer, **5C.02[1][d]**,  
**5C.02[1][j], 5C.02[2][b][iv]**  
Duration, **5C.02[1][f]**,  
**5C.02[3][d]**  
EC designs, Regulation No. 6/2002, **App 3B(13)**  
Employers and ownership,  
**5C.02[2][b][ii]**  
EU scheme  
Collective rights management,  
**5D.07**  
EU System for protection of designs  
Generally, **5C.03**  
Assignments and licenses,  
**5C.03[3]**  
Registered community design right, **5C.03[2]**  
Unregistered community design right, **5C.03[1]**  
European harmonisation and co-existence with other rights,  
**5C.02[3][i]**  
Exclusive licenses, **5C.02[2][a][ii]**

## INDEX

### **DESIGN RIGHT—Cont'd**

Exhaustion, **5C.02[3][g]**  
Future rights, **5C.02[2][b][vii]**  
Hague Agreement on International Registration, UK accession to, **5C.02[3][j]**  
Harmonisation, **5C.02[3][i]**  
Housekeeping, **5C.02[2][b][v]**  
Kits, **5C.02[1][h]**  
License, Assignments and licenses, above  
Matching sets, **5C.02[1][j]**  
1988 Act, **5C.02[2][b]**  
Notices, **5C.02[2][b][ix]**, **5C.02[3][e]**  
Originality, **5C.02[2][b][vi]**  
Ownership, **5C.02[1][e]**, **5C.02[3][b]**  
Proceedings, assistance of designer in, **5C.02[2][b][viii]**  
Protectable information and, **4.03[2]**  
Registered, UK scheme  
Generally, **5C.02[3]**  
Assignments and licenses, above  
Computer generated designs, **5C.02[3][c]**  
Duration, **5C.02[3][d]**  
European harmonization and co-existence with other rights, **5C.02[3][i]**  
Exhaustion, **5C.02[3][g]**  
Harmonization, **5C.02[3][i]**  
Introduction, **5C.02[3][a]**  
Notices, **5C.02[3][e]**  
Ownership, **5C.02[3][b]**  
Scope of rights conferred, **5C.02[3][f]**  
Threats, **5C.02[3][h]**  
Registered community design right, EU System for protection of designs, **5C.03[2]**  
Scheme for the protection of designs, UK, **5C.02**  
Semiconductor topography, **5C.02[1][i]**

### **DESIGN RIGHT—Cont'd**

Statutory provisions, **5C.02[2][a]**  
Subsistence and qualification, **5C.02[1][c]**  
Threats, **5C.02[3][h]**  
UK  
Hague Agreement on International Registration, accession to, **5C.02[3][j]**  
*see other lines in this heading*  
Unregistered, UK scheme  
Generally, **5C.02[1]**, **5C.02[2]**  
Assemblies, **5C.02[1][j]**  
Assignments and licenses, above, **5C.02[1][j]**  
Categories excluded, **5C.02[1][b]**  
Copyright and, interaction between, **5C.02[1][k]**  
Definition, **5C.02[1][a]**  
Definition of designer, **5C.02[1][d]**  
Designer's intention, **5C.02[1][j]**  
Duration of right, **5C.02[1][f]**  
Kits, **5C.02[1][h]**  
Matching sets, **5C.02[1][j]**  
Ownership, **5C.02[1][e]**  
Semiconductor topography, **5C.02[1][i]**  
Subsistence and qualification, **5C.02[1][c]**  
Threats, **5C.02[1][g]**  
Unregistered community design right, EU System for protection of designs, **5C.03[1]**  
Warranties, **5C.02[2][b][iv]**

### **DESIGNER**

Design right, **5C.02[1][d]**, **5C.02[1][j]**, **5C.02[2][b][iv]**

### **DIGITAL CONTENT**

Computer software, copyright, **5B.01-5B.04**  
Digital single market  
Distribution media, modes and legislation, **7.06[3]**

**DIGITAL CONTENT—Cont'd**

Digital single market—Cont'd  
EU Copyright and Related  
Rights Digital Single Mar-  
ket Directive, **5A.07[8]**  
Distribution media, modes and  
legislation, **7.06[2]**

**DISCRIMINATION**

Clayton Act, facilities, price and  
service, **2.06[1]**  
Geo-blocking  
Discrimination based on,  
amending Regulations  
(EC) No. 2006/2004, (EU)  
2017/2394, Directive  
2009/22/EC, **App 3B(21)**  
Unjustified, EU prohibition  
against, **2.20[9]**

**DISTRIBUTION AGREEMENTS**

Agency agreements, exclusive,  
**8.02[1]**  
Marketing, **8.02**

**DISTRIBUTORSHIP  
AGREEMENTS**

Restraint of trade, **1.09**

**DOMINANT POSITION, ABUSE  
OF**

EC treaty, abusive exclusionary  
conduct by dominant  
undertakings, guidance on  
Commission's enforcement  
priorities, **App 3C(8)**  
EU competition law, **2.17[1][b],**  
**2.17[6][e]**  
Unfair competition, **8.04[5],**  
**8.06[2]**

**DOUBLE TAXATION**

Provisions, **11.07[1]**

**DURATION**

Contracts, **9.04**  
Design right, **5C.02[1][f],**  
**5C.02[3][d]**  
Multimedia and entertainment,  
personal rights, **7.03[1][f]**

**DURATION—Cont'd**

Patent license, **1.07[1][e]**  
Patents, **3.01[3]**

**E**

**EC**

Block exemptions. Regulations,  
below  
Competition  
Articles 81 and 82 of EC Treaty  
as amended, No. 1/2003,  
**App 3B(1)**  
“By object” competition  
restrictions, de minimis  
notice, **App 3C(4)**  
Restrictions, **App 3C(3), App**  
**3C(4)**

Computer programs  
European directives, Directive  
91/250/EC (14 May 1991)  
(repealed), **App 3D(3)**

Copyright  
European Directives, **App**  
**3D(4), App 3D(5), App**  
**3D(9), App 3D(9B), App**  
**3D(10A), App 3D(10B)**

Databases  
European Directives, **App**  
**3D(6)**

Designs  
European Directives, **App**  
**3D(7)**

Directives of the EC/EU  
Trade marks. *see Trade  
Mark(s)*

European announcements and  
notices  
“By object” competition  
restrictions, de minimis  
notice, **App 3C(4)**

Communication from the Com-  
mission to the European  
Parliament, Council,  
European Economic and  
Social Committee, stan-  
dard esse, **App 3C(12)**

## INDEX

### **EC—Cont'd**

European announcements and notices—Cont'd  
Horizontal restraints, Commission guidelines, **App 3C(7)**  
Minor importance agreements, notice, TFEU, **App 3C(3)**  
Relevant market, Commission's notice on definition for purpose of Community Competition Law, **App 3C(9)**  
Standard essential patents, **App 3C(12)**  
Treaty. *see EC Treaty*  
Unified Patent Court, agreement, **App 3C(13)**  
Vertical restraints, Commission guidelines, **App 3C(6)**  
European Directives  
Generally, **App 3D**  
Amendment of Directive 2006/116/EC, copyright, term of protection and related rights (27 September 2011), **App 3D(10A)**  
Biotechnological inventions, directive 98/44/EC on legal protection, **App 3D(2)**  
Computer programs, Directive 91/250/EC (14 May 1991) (repealed), **App 3D(3)**  
Copyright, harmonization of term of protection and related rights, Directive 93/98/EC (29 October 1993) (repealed), **App 3D(5)**  
Copyright, rental and lending rights  
Directive 2006/115/EC (12 December 2006) (repealed), **App 3D(9A)**  
Directive 92/100/EEC (19 November 1992) (repealed), **App 3D(4A)**  
Copyright, term of protection and related rights, Direc-

### **EC—Cont'd**

European Directives—Cont'd  
tive 2006/116/EC (12 December 2006), **App 3D(9B)**  
Databases, legal protection, Directive 96/9/EC (11 March 1996), **App 3D(6)**  
Designs, legal protections, Directive 98/71/EC (13 October 1998), **App 3D(7)**  
Directive 2009/22/EC, amended by Regulation 2018/302, geo-blocking, discrimination based on, **App 3B(21)**  
EU Directive 2016/943, **App 3D(12)**  
European Parliament and Council, May 22, 2001, **App 3D(10)**  
Harmonisation of certain aspects of copyright and related rights in the information society (22 May 2001), **App 3D(8)**  
Musical works, copyright, related rights, multi-territorial licensing, online use, **App 3D(10B)**  
Online transmissions of broadcasting organisations and retransmission of television and radio programmes, **App 3D(4C)**  
Orphan works, certain permitted uses, (25 April 2012), **App 3D(11)**  
Resale rights, benefit of author of original work of art, Directive 2001/84/EC (27 September 2001), **App 3D(9)**  
Satellite broadcasting and cable retransmission, Directive 93/83/EEC (27 September 1993), **App 3D(4B)**  
Self-employed commercial agents, **App 3D(4)**

## FORMS AND AGREEMENTS

### EC—Cont'd

European Regulations  
(EU) 2017/2394, amended by  
Regulation 2018/302, geo-  
blocking, discrimination  
based on, **App 3B(21)**  
(EC) No. 2006/2004, amended  
by Regulation 2018/302,  
geo-blocking, discrimination  
based on, **App 3B(21)**  
Articles 81 and 82 of EC Treaty  
Generally, No.773/2004, **App  
3B(4)**  
Competition rules,  
implementation, No.  
1/2003, **App 3B(3)**  
Unfair competition rules  
implementation, No.  
17/62, **App 3B(1)**  
Block exemptions  
Article 81(3), application of,  
Generally, No. 19/65,  
**App 3B(2)**  
Article 81(3), application of,  
Technology transfer  
agreement, certain cate-  
gories of, No. 240/96,  
**App 3B(9)**  
Article 101(3), application of  
Research and development  
agreement, certain  
categories, No. 1217/  
2010, **App 3B(7)**  
Specialization agreement,  
certain categories, No.  
1218/2010, **App  
3B(6)**  
Vertical agreements, No.  
330/2010, **App 3B(5)**  
Community designs, No.  
6/2002, **App 3B(13)**  
Community plant variety rights,  
No. 2100/94, **App 3B(14)**  
Community trade mark, No.  
207/2009, **App 3B(12),  
App 3B(12A)**  
Community trade marks, No.  
40/94, **App 3B(11)**

### EC—Cont'd

European Regulations—Cont'd  
Co-operation enhanced, unitary  
patent protection creation,  
No. 1527/2012, **App  
3B(15)**  
Cross-border portability, online  
services in the internal  
market, **App 3B(20)**  
Electronic identification and  
trust services for electronic  
transactions, No. 910/  
2014, **App 3B(19)**  
Nagoya Protocol, implemen-  
tation, No. 511/2014, **App  
3B(16)**  
Regulation 2018/302, geo-  
blocking, discrimination  
based on, amending  
Regulations (EC) No.  
2006/2004, (EU) 2017/  
2394, Directive 2009/22/  
EC, **App 3B(21)**  
Rome I, contractual obligations,  
No. 593/2008, **App 3B(17)**  
Rome II, non-contractual  
obligations, No. 864/2007,  
**App 3B(18)**  
Unitary patent protection cre-  
ation, enhanced co-ope-  
ration, No. 1527/2012, **App  
3B(15)**  
Licenses and licensing  
European directives, **App  
3D(10B)**  
Minor importance agreements,  
notice, TFEU, **App 3C(3)**  
Resale rights  
European directives, **App 3D(9)**  
Satellite broadcasting and cable  
retransmission  
European directives, **App  
3D(4B)**  
Self-employed commercial agents  
European directives, **App 3D(4)**  
Unfair competition rules (Articles  
81 and 82 of EC Treaty as

## INDEX

**EC—Cont'd**  
amended), No. 17/62, **App 3B(1)**  
No. 99/63 Application of regulation 17/62, **App 3B(3)**  
No. 2659/2000, Amending regulation 17/62, **App 3B(7)**  
Vertical restraints, Commission notice on guidelines on, **App 3C(6)**

**EC TREATY**  
Announcements and notices  
Abusive exclusionary conduct by dominant undertakings, guidance on Commission's enforcement priorities, **App 3C(8)**  
Biotechnological inventions, Commission notice on certain articles of Directive 98/44/EC, **App 3C(11)**  
Horizontal restraints, Commission guidelines, **App 3C(7)**  
Technology transfer agreements, Commission notice guidelines as to applicability of Article 81 of Treaty, **App 3C(10)**

**ELECTRONIC TRANSACTIONS**  
European regulations, identification and trust services for electronic transactions, No. 910/2014, **App 3B(19)**

**EMPLOYEE**  
Assignment of invention, **4.06[3]**  
Compensation entitlement, **3.03**  
Confidentiality obligations on takeover, **4.06[4]**  
Inventions of, **3.03**  
Making invention, **3.03**  
Who is, **3.03**

**EMPLOYERS**  
Design right, **5C.02[2][b][ii]**

**ENTERPRISE ACT**  
Extracts, **App 1AA**

**ENTERPRISE AND REGULATORY REFORM ACT OF 2013**  
Extracts, **App 1AB**

**ENTIRE AGREEMENT**  
Effect of clause, **9.25**

**ESTOPPEL**  
Assignee, of, **1.14[2][a]**  
Assignment by, **3.06[1]**  
Cancellation of contract, **1.14[2][a]**  
European ruling, **1.14[1]**  
Implied estoppel, validity of rights, **1.14[2][e]**  
Issue and exclusive licensees, **1.12**  
License by, **3.06[1]**  
Licensee, of, **1.12, 1.14[2]**  
Marking article, **1.14[1][d]**  
Public interest overrides, **1.14[1]**  
Validity and, other situations, **1.14[2][d]**

**EU COLLECTIVE MANAGEMENT AND MULTI-TERRITORIAL LICENSING DIRECTIVE**  
Generally, **5A.07[7]**

**EU COMPETITION LAW**  
Generally, **2.15 to 2:21**  
Agreements  
See also specific lines throughout this topic  
Application of EU law, **2.18**  
Article 101 application, **2.18[4]**  
IPRs and competition law, balance, **2.18[2]**  
Objective of single market integration, **2.18[1]**  
Transfer of rights, **2.18[3]**  
Antitrust, US competition, **2.14**  
Arbitral Tribunal, application by, **10.09**

EU COMPETITION LAW
—Cont'd
Block exemptions and guidelines
Generally, <b>2.17[1][c]</b>
Research and development, <b>2.17[1][c][iii]</b>
Specialisation agreements, <b>2.17[1][c][iv]</b>
Technology sharing and transfer, <b>2.17[1][c][i]</b>
Technology Transfer Block Exemption Regulation [TTBER], <b>2.19[1][a]</b>
Vertical restraints, <b>2.17[1][c][ii]</b>
Bundling. Tying/bundling, below
Contracts. Agreements, above
Cooperation. US cooperation, below
Copyright
Collective licensing, <b>2.19[3][c]</b>
Non software copyright licenses, <b>2.19[3], 2.19[3][a]</b>
Performance rights and related rights, <b>2.19[3], 2.19[3][b]</b>
Pools and collective rights management, <b>2.21[2]</b>
Directives of the EC/EU. <i>see</i> EC
Dominant position, abuse of, <b>2.17[1][b], 2.17[6][e]</b>
Exemptions and exceptions
Block exemptions and guidelines, above
IPR exception, free movement of goods, <b>2.17[2][c]</b>
Field of use restrictions
Generally, <b>2.20[2]</b>
Competitors and non competitors, <b>2.20[2][a][i]</b>
Output restriction, <b>2.20[2][a][iii]</b>
Preservation of product or technical field, <b>2.20[2][a][ii]</b>
Technology Transfer Block Exemption Regulation [TTBER], <b>2.20[2][a]</b>

EU COMPETITION LAW
—Cont'd
Free movement of
Goods, free movement of, below
Services, <b>2.17[2][c]</b>
Geo-blocking, unjustified, EU prohibition against, <b>2.20[9]</b>
Goods, free movement of
Generally, <b>2.17[2]</b>
IPR exception, <b>2.17[2][c]</b>
Qualitative import restrictions, <b>2.17[2][b]</b>
Quantitative import restrictions, <b>2.17[2][a]</b>
Grant backs. Improvements and grant backs, below
Implementing provisions
Generally, <b>2.17[4]</b>
Administration and enforcement, <b>2.17[4][a]</b>
Conduct of proceedings, <b>2.17[4][b]</b>
Improvements and grant backs
Generally, <b>2.20[6]</b>
Exclusive, <b>2.20[6][a]</b>
Non-exclusive, <b>2.20[6][b]</b>
Institutions, <i>see</i> European Institutions
Know-how. Patents and know-how, below
Legislative framework
Goods, free movement of, above
Implementing provisions, above
Policy, below
Services, free movement of, <b>2.17[2][c]</b>
Licensing, settlement agreements, <b>2.21[3][a], 2.21[3][b]</b>
No challenge clauses and termination, <b>2.20[8]</b>
Non-compete obligations, <b>2.20[5]</b>
Output restrictions
Generally, <b>2.20[3]</b>
Case law, <b>2.20[3][c]</b>

## INDEX

**EU COMPETITION LAW**  
—Cont’d

Output restrictions—Cont’d

Competitors, agreements between, **2.20[3][a]**

Field of use restrictions, **2.20[2][a][iii]**

Non competitors, agreements between, **2.20[3][b]**

Patents and know-how

Generally, **2.19[1]**

Definition of know-how, **2.19[1][a][i]**

Patent pools, **2.19[1][a][iii]**

Standard essential patents (SEP), **2.13[2]**, **2.19[1][a][ii]**, **2.21[1]**

Technology Transfer Block Exemption Regulation [TTBER], **2.19[1][a]**

Pay for delay, **2.20[10]**

Plant varieties. *see* **plant Varieties**

Policy

Generally, **2.17[1]**

Abuse of dominant position, **2.17[1][b]**

Block exemptions and guidelines, above

Restrictive or distorting agreements, **2.17[1][a]**

Prohibition against unjustified geo-blocking, EU, **2.20[9]**

Regulations issued by the EC/EU.  
*see* **EC**

Restrictions

Agreements, restrictive or distorting, **2.17[1][a]**

Field of use restrictions, above

Output restrictions, above

Qualitative import restrictions, free movement of goods, **2.17[2][b]**

Quantitative import restrictions, free movement of goods, **2.17[2][a]**

Territorial restrictions, below

**EU COMPETITION LAW**  
—Cont’d

Retained block exemptions and guidelines

U.K., **2.17[6][c]**

Royalties, **2.20[7]**

Services, free movement of, **2.17[2][c]**

Settlement agreements

Generally, **2.21[3]**

Cross-licensing, **2.21[3][b]**

Licensing, **2.21[3][a]**

Non-challenge clauses, **2.21[3][c]**

Technology Transfer Block Exemption Regulation [TTBER], **2.19[1][a]**, **2.19[2][a]**, **2.20[2][a]**, **2.20[4][a]**

Territorial restrictions

Generally, **2.20[1]**

Competitors, agreements between, **2.20[1][a]**

Non-competitors, agreements between, **2.20[1][b]**

Passive restrictions, **2.20[1][c]**

Trademarks

Generally, **2.19[2]**

Case law, **2.19[2][b][i]**, **2.19[2][c][i]**

Delimitation agreements, **2.19[2][c]**

Technology Transfer Block Exemption Regulation [TTBER], **2.19[2][a]**

Vertical restraints, **2.19[2][b]**

Treaty on the Functioning of the European Union (TFEU), **2.17[1]**, **2.18[4]**, **2.20[4][c]**

Tying/bundling

Generally, **2.20[4]**

Article 102, **2.20[4][c]**

Case law, **2.20[4][c]**, **2.20[4][d]**

Technology Transfer Block Exemption Regulation [TTBER], **2.20[4][a]**

**EU COMPETITION LAW**  
**—Cont’d**

Tying/bundling—Cont’d  
 U.K. Competition Act 1998,  
**2.20[4][d]**  
 Vertical restraints regulation,  
**2.20[4][b]**

U.K.  
 Generally, **2.17[6]**  
 Abuse of dominant position,  
 Chapter II prohibition,  
**2.17[6][e]**  
 Agreements, Chapter I prohibition,  
**2.17[6][b]**  
 Block exemption orders,  
**2.17[6][d]**  
 Competition Act 1998,  
**2.17[6][a]**  
 Digital markets, competition  
 and consumers act 2024,  
**2.17[6][g]**  
 Enterprise and Regulatory  
 Reform Act, **2.17[6][f]**  
 Field of use restrictions, above  
 Institutions, *see* **European  
 Institutions**  
 Output restrictions, above  
 R&D Agreements in the UK,  
**2.17[6][d][ii]**  
 Retained block exemptions,  
**2.17[6][c]**  
 Specialisation Agreements,  
**2.17[6][d][iii]**  
 Territorial restrictions, above  
 UK competition law and EU  
 competition law, relationship,  
**2.17[7]**  
 Vertical agreements, 2022  
 VABEO 516, **2.17[6][d][i]**  
 Unjustified geo-blocking, EU pro-  
 hibition against, **2.20[9]**

US cooperation  
 Generally, **2.17[5]**  
 Antitrust, generally, **2.14**  
 1981 Cooperation Agreement,  
**2.17[5][a]**

**EU COMPETITION LAW**  
**—Cont’d**

US cooperation—Cont’d  
 1998 EU/US Positive Comity  
 Agreement, **2.17[5][b]**  
 Vertical restraints  
 Block exemptions and  
 guidelines, **2.17[1][c][ii]**  
 Trademarks, **2.19[2][b]**  
 Tying/bundling, **2.20[4][b]**

**EU COPYRIGHT AND  
 RELATED RIGHTS  
 DIRECTIVES**

Digital single market directive,  
**5A.07[8]**  
 Online transmissions directive,  
**5D.08**

**EU DIRECTIVE 2016/943**

Protection of trade secrets,  
**4.02[1][d]**  
 Text, **App 3D(12)**  
 UK Implementation, **4.02[2][e]**

**EU SCHEME FOR  
 COMMUNITY  
 PROTECTION**

Design right, *see* **Design Right**

**EUROPEAN ECONOMIC  
 COMMUNITY**

As to EC, *see* **EC**  
 Generally, **2.01 et seq., 8.01 et  
 seq.**  
 Franchising in, **8.02**  
 Regulations issued by EC, *see* **EC**  
 Treaty, *see* **EC Treaty**  
 Unfair competition, in, **8.06[2]**  
*See also* entries beginning: EC

**EUROPEAN INSTITUTIONS**

Council of the EU, **2.16[1]**  
 Court of Justice of European  
 Union, **2.16[2]**  
 European Commission, **2.16[1]**  
 European Economic Area (EEA),  
**2.16[5]**

## INDEX

### EUROPEAN INSTITUTIONS

#### —Cont’d

- EU-UK Trade and Cooperation Agreement, Articles DIGIT 1-17 and IP1-57, **App 3A(3)**
- EU-UK withdrawal agreement, **1.05[1]**
  - CPVR protection, **13.05[1]**
  - Database protection, **5A.02[6][a], 5A.03[7]**
  - EUTM protection, **6.04[1][a]**
  - Exhaustion of IPRs, **2.19[5]**
  - Extracts, Articles 2, 54-61 and 126, **App 3A(2)**
  - Extracts, Articles 28-37, 101-102 and 267, **App 3A(1)**
  - Mutual recognition of exhaustion of rights, **6.07[1][a]**
  - Supplementary Protection Certificates, **3.01[6][a]**
  - Trade and cooperation agreement, articles DIGIT 1-17 and IP1-57, **App 3A(3)**
  - UCDR protection, **5C.03[1][a], 5C.03[2][a]**
- General Court, **2.16[2]**
- Marketing, effect on, **8.01**
- Member state institutions and courts, relationship between, **2.16[4]**
- U.K. institutions
  - Generally, **2.16[3]**
  - Competition and Markets Authority (CMA), **2.16[3][a], 2.16[3][c]**
  - Competition Appeal Tribunal (CAT), **2.16[3][b]**
  - Cooperation between UKIPO and CMA, **2.16[3][c]**
  - EU and UK Digital Services Taxes, **11.06[3][b]**
  - UKICO and CMA, cooperation, **2.16[3][d]**
  - Vertical agreements
    - 2022 regulation 2022/720, **8.02[1][a]**

### EUROPEAN INSTITUTIONS

#### —Cont’d

- U.K. institutions—Cont’d
  - Vertical agreements—Cont’d
    - 2022 VABEO 516, **2.17[6][d][i]**
    - 2022 VABEO SI 516, **8.02[1][b]**

### EU-UK WITHDRAWAL AGREEMENT

- CPVR protection, **13.05[1]**
- Database protection, **5A.02[6][a]**
- EUTM protection, **6.04[1][a]**
- Exhaustion of IPRs, **2.19[5]**
- Extracts, Articles 2, 54-61 and 126, **App 3A(2)**
- Mutual recognition of exhaustion of rights, **6.07[1][a]**
- Supplementary Protection Certificates, **3.01[6][a]**
- UCDR protection, **5C.03[1][a], 5C.03[2][a]**

### EVIDENCE

- Parol, **9.25**

### EXCLUSIVE DISTRIBUTION

- Agency agreements, and, **8.02[1]**

### EXCLUSIVE LICENSEE

- Rights of, **1.16, 3.06[3], 5C.02[2][a][ii]**

### EXCLUSIVITY

- Antitrust and, **1.16, 2.09[1][i], 2.09[1][q]**
- Grant backs, EU Competition Law, **2.20[6][a], 2.20[6][b]**

### EXHAUSTION

- Patent license, **3.07[2]**
- United States, non-geographical approach to first sale exhaustion, **2.13[1]**

### EXHAUSTION OF RIGHTS

- Doctrine, **1.08[1]**
- EU-UK withdrawal agreement, recognition, **6.07[1][a]**

**EXHAUSTION OF RIGHTS**

—Cont’d

Trade marks, **6.07**

**EXTENSION**

Period, of, **1.07[1][e]**

Product, of, **1.07[1][f]**

Territory, of, **1.07[1][c]**

**EXTERNAL EYES ONLY PROVISIONS**

Generally, **4.06[8]**

**EXTRATERRITORIALITY**

Goods in transit, **1.17[3]**

International supervision of intellectual property, **1.17[1]**

Long-arm statutes, **1.17[2]**

Parallel imports, **1.17[4]**

**F**

**FAIR TRADING**

Agreement, non-genuine, **8.04[7][a]**

Non-genuine agreement, in TFEU, **8.04[7][e]**

Protection of principals and agents, **8.04[7][d]**

**FEDERAL TRADE COMMISSION (FTC)**

Act. *see* **Federal Trade Commission Act**

Guidelines, antitrust, **2.09[1] to 2.09[3], App 2J(2)**

**FEDERAL TRADE COMMISSION ACT**

Generally, **2.07, App 2B(1)**

Unfair methods of competition, **2.07[1]**

**FIELD OF USE**

Restriction on, **1.09[1][e], 2.12[3]**

**FINANCE**

International judgment, of, **9.07**

**FORUM**

Choice of, **9.15**

**FRANCHISING**

Anti-Trust Law, US, **8.02[2][b]**

Arbitration for, **10.10**

Business systems and systems for trade marked goods, **8.02[2][b][iii]**

Damages for breach, **9.23**

EU franchising, **8.02[2][c]**

Marketing and distribution agreements, generally, **8.02[2]**

Regulation of franchising, US, **8.02[2][a]**

Relevant block exemptions, **4.03[3]**

Restrictive covenants, **8.02[2][b][ii]**

Third parties, rights of, **9.23**

Trade mark, **4.03[3]**

Trade secrets and trade marks, **6.02[2][b][iv]**

Tying, **8.02[2][b][ii]**

UK franchising, **8.02[2][c]**

Violations, anti-trust, **8.02[2][b][i]**

**FRAND LICENSING**

Generally, **2.13[2], 2.19[1][a][ii], 2.21[1], 3.11**

ETSI, **3.11[2]**

Negotiations, **3.11[3][b]**

SEPs. *See* **Standard Essential Patents (SEPs)**

Terms

Access to records, **3.11[4][f]**

Audit costs, **3.11[4][f]**

Bundling, **3.11[4][d]**

Confidentiality of license settled by a court, **3.11[4][e]**

Record keeping, **3.11[4][f]**

Royalties, **3.11[4][a]**

Scope of license, **3.11[4][c]**

Term, **3.11[4][g]**

Territory, **3.11[4][b]**

Undertaking to take license of FRAND terms, effect of, **3.11[3][c]**

## INDEX

### **FRAND LICENSING—Cont'd**

Undertaking to take license of FRAND terms, effect of, **3.11[3][c]**  
Unwired case, **3.11[2]**

### **FRAUD**

Patent Office, on, **1.14[3]**  
Recovery of royalties, **1.14[2][a]**  
Upon the Crown, **1.14[3]**

### **FUTURE DISPUTE CLAUSES**

Arbitration, **10.03**

### **FUTURE RIGHTS**

Design right, **5C.02[2][b][vii]**

## **G**

### **GEO-BLOCKING**

Discrimination based on, amending Regulations (EC) No. 2006/2004, (EU) 2017/2394, Directive 2009/22/EC, **App 3B(21)**  
Unjustified, EU prohibition against, **2.20[9]**

### **GEOGRAPHICAL AREA**

United States, non-geographical approach to first sale exhaustion, **2.13[1]**

*See also* **Territoriality**

### **GOLD**

Clause, **9.07**  
One-time significance, **9.07**

### **GOOD FAITH**

Contracts and agreements, **9.32**

### **GOODS**

Licensing of IP, Dept. of Justice and FTC antitrust guidelines, **2.09[1][d]**  
Supplied and held on trust, **9.17**  
In transit, **1.17[3]**

### **GOODWILL**

Ownership in foreign market, **8.02[3]**

### **GRANT, PLANT VARIETY**

Duration of, **13.04[2][d]**

### **GRANT-BACK**

Antitrust, US guidelines, **2.09[1][r]**  
Competition law  
EU *see* **EU Competition Law**  
US restrictions, **2.12[6]**  
Improvements of, **1.10[1]**  
Patent licenses, **3.07[9]**

## **H**

### **HAGUE AGREEMENT**

International Deposit of Industrial Designs, **5C.04**

### **HAGUE AGREEMENT ON INTERNATIONAL REGISTRATION**

U.K. accession to, **5C.02[3][j]**

### **HARMONISATION**

Copyright, **App 3D(5), App 3D(8)**  
Design right, **5C.02[3][i]**  
European harmonisation and initiatives, **5A.09**  
Plant varieties, breeders' rights, **13.04[2][k]**  
Trade mark(s), **6.01[2]**

### **“HOPPING”**

United States antitrust law, **2.13[3]**

### **HORIZONTAL RESTRAINTS**

Licensing of IP, Dept. of Justice and FTC antitrust guidelines, **2.09[1][m]**

### **HOUSEKEEPING**

Design right, **5C.02[2][b][v]**

### **HUMAN RIGHTS ACT**

Privacy, **4.01[1]**

### **HYPERLINKING**

Multimedia and entertainment, licensing and developments, **7.05[6]**

**I**

**IMPLIED TERMS**

Contracts and agreements, **3.05[3]**,  
**9.02[1], 9.31**  
 License, in, **3.08, 9.02[1]**

**IMPROVEMENTS**

Benefit of, assignee takes, **3.07[8]**  
 “Club,” **1.10[2]**  
 Grant-back of, **1.10[1]**  
 Ownership, **1.10[1]**

**INCOME TAX**

Generally, **11.06[1]**

**INDEMNITY**

Infringement of third party rights,  
**9.26[1]**  
 Liability of licensee to third party  
 for acts of sub-licensee,  
**9.26[2]**  
 Third parties, generally, **9.26**

**INDEX**

Plant variety, of, **13.04[2][g]**

**INDIRECT USE**

Computer software, exploiting,  
**5B.03[3]**

**INFLATION**

Gold, role of, **9.07**  
 Statistical index, use of, **9.07**

**INFRINGEMENT**

Patent, of, **1.07[f], 1.14[c]**  
 Consent judgment, validity and,  
**1.14[c]**  
 Plant variety rights, of, **13.04**  
 Registered trade mark, of, *see*  
**Trade Marks(s)**  
 Third party, by, **1.12**  
 Third party rights infringement,  
 indemnities against, **9.26[1]**  
 US Patent Law (§ 271), **1.13[5]**

**INQUIRIES BEFORE**

**CONTRACT**

Title, **3.05[1]**  
 Validity, **3.05[2]**

**INSOLVENCY OF PARTY**

Corporate Insolvency and  
 Governance Act 2020, **9.12**  
 Provisions in case of, **9.12**

**INSPECTION**

Rights relating to inspection of  
 books, **9.09**

**INTELLECTUAL PROPERTY**

Analysis of, **1.01[2]**  
 Antitrust law and, **1.06[1], 1.06[5]**  
 Arbitration, *see* **Arbitration**  
 Assignments in respect of, **1.04[1]**  
 Charges, transactions in respect of,  
**1.04[4]**  
 Compulsory exploitation of intel-  
 lectual property, exclusivity  
 justified, **1.16[2]**  
 Individual in society, **1.02**  
 Copyrights and unfair competi-  
 tion, **1.02[1][b]**  
 Early monopoly grants,  
**1.02[2][b]**  
 Politics of patents, **1.02[2][b]**  
 Qualifying as grantee of patent,  
**1.02[2][a]**  
 Intellectual Property Act 2014,  
**App 1L**  
 Licensee’s interests, **1.06[5][b]**  
 Licenses, transactions in respect  
 of, **1.04[1]**  
 Marketing provisions, **8.01**  
 Monopoly, **1.06[1]**  
 Mortgages, transactions in respect  
 of, **1.04[3]**  
 Nature of rights, **1.01[1]**  
 Overlaps antitrust, **1.06[2]**  
 Owner’s interest, **1.06[5][a]**  
 Patent antitrust law, sources,  
**1.06[1]**  
 Rights  
 Generally, **3.11**  
 Evolution and interplay,  
 international trade, **1.05**  
 Sources of, **1.06[1]**  
 Trade marks, *see* **Trade Mark(s)**

## INDEX

### INTELLECTUAL PROPERTY

#### —Cont'd

- Trade secrets, **1.03[2]**
- Transactions in property, **1.01**
- Transfer of, *see* **Technology Transfer**
  - UK National Security and Investment Act 2021, **1.05[2]**

### INTERNATIONAL ARBITRATION

- UNCITRAL Model Law on International Arbitration, *see* **Arbitration**

### INTERNATIONAL GUIDELINES

- Dept. of Justice and FTC, **2.09[3]**, **App 2J(2)**

### INTERNATIONAL PAYMENT/JUDGMENT

- Money basis, **9.08**

### INTERNATIONAL TRADE

- Intellectual property rights, evolution, **1.05**

### INTERNATIONAL TRANSACTIONS

- Taxation, **11.07 to 11.07[2]**

### INTERNATIONAL TRANSPORT

- Patents relating to, **1.17[3]**

### INTERNET SERVICE PROVIDERS

- Geo-blocking
- Discrimination based on, amending Regulations (EC) No. 2006/2004, (EU) 2017/2394, Directive 2009/22/EC, **App 3B(21)**
- Unjustified, EU prohibition against, **2.20[9]**
- Information residing on systems or networks at the direction of users and information location tools, **5B.04[3]**
- System caching, **5B.04[2]**
- Transitory communications, **5B.04[1]**

### INTERPRETATION

- Parol evidence, **9.25**

### INTERSTATE CONFLICTS

- Local rules on, **8.06[4]**

### INVENTION

- Assignment of, **3.01[4]**
- Biotechnological inventions, EC directive 98/44/EC on legal protection, **App 3D(2)**
- Computer-related, **5B**
- Connected party, by, **3.03**
- Consultant, by, **3.03**
- Employee, of, **3.03**
- Future option on, **3.03**
- Licensee, by, **3.03**
- Property in, **3.03[4]**

### INVESTIGATION OF TITLE

- Patent, to, **3.05[1]**

## J

### JOINT INVENTORS AND OWNERS

- Agreements between co-owners, **3.02[1][b]**
- Patent application, generally, **3.02[1] to 3.02[1][c]**
- Preemption, **3.02[1][c]**
- UK Patents Act of 1977, **3.02[1][a]**

### JOINT RESEARCH/VENTURE

- Where allowed, **12.02[2][b]**

### JOINT VENTURES

- Agreements, **12.02[2][b]**

### JURISDICTION

- Choice of forum, **9.15**
- Multimedia and entertainment, **7.08**
- Patent license, **3.07[17], 3.07[19]**

### JURISPRUDENCE

- Analysis of intellectual property, **1.01[2]**

## K

### KITS

Design right, **5C.02[1][h]**

### KNOW-HOW

Assignment by employee, **4.06[3]**  
Block exemption definitions, **4.01[1][c]**  
Employee's, **3.04**  
EU competition law, *see* **EU Competition Law**  
Goodwill, as, **3.01[4]**  
Hybrid agreement, **4.06[1]**  
Incorporation by individuals, **4.06[2]**  
License, territorial limits, **4.06[7]**  
Property, as, **3.01[4]**  
Tax incidence, **11.07**  
Term and termination, **4.07**  
Territorial limits, **4.06[7]**

## L

### LABEL LICENSING

Process patents for, **1.06[1], 1.13[5]**

### LAPSE

Patent license, **3.07[15]**

### LIABILITY

Licensor's liability to third party for licensee, **9.26[2]**  
Limitation, **9.20**

### LICENSEE

Competitive advantage, **1.12**  
Complex apparatus, imposing on licensee, **1.11[1]**  
Estoppel, **1.12, 1.14[2]**  
Exclusive licensees, exploitation for benefit of relevant public, **1.16[1]**  
Non-infringing, **1.07[1][f]**  
Obligations upon, **1.13**  
Trade mark of bankrupt debtor, use by licensee, **6.06[7]**

### LICENSEE—Cont'd

Unwanted facilities, imposing on licensee, **1.11, 1.11[1]**

### LICENSES AND LICENSING

Agreement, basis for, **9.03**  
Antitrust, US, *see* **United States**  
Biotechnology, U.K., **13.04[3][b]**  
Compulsory and cross-licensing, **13.04[3][c]**  
European Harmonisation and EU Exit, **13.04[3][k]**  
Compulsory licenses, *see* **Compulsory Licenses**  
Copyright, *see* **Copyright**  
Cross-licensing and pooling, **3.07[10]**  
Design right, *see* **Design Right**  
Estoppel, assignment by, **3.06[1]**  
EU Collective Management and Multi-Territorial Licensing Directive, **5A.07[7]**  
EU competition law, *see* **EU Competition Law**  
European directives, **App 3D(10B)**  
Exclusive, obligation under, **1.16, 3.06[3]**  
Extent of grant, **3.07[3]**  
Implication of, **1.09[1][b]**  
Implied, **3.08**  
Intellectual property rights, **1.04[2]**  
Label licensing, process patents for, **1.06[1]**  
Lapse, patent license, **3.07[15]**  
Licensee, *see* **Licensee**  
Limited, **1.09[1][b], 1.09[1][c]**  
Multimedia and entertainment, *see* **Multimedia and Entertainment**  
Nature of, **3.06[2]**  
Orphan works, **5A.07[6], 5D.05[3]**  
Patent, *see* **Patent License**  
Protectable information, **4.05**  
Right, licenses of, **3.10**  
Schemes and bodies, **5A.07[5]**

## INDEX

### LICENSES AND LICENSING

#### —Cont'd

- Simple, **3.06[3]**
- Sole, **3.06[3]**
- Sub-license, *see* **Sub-License**
- Term of, patents for inventions, **3.07[5]**
- Termination, **3.07[14], 9.08**
- Terms and issues, general outline, **3.08**
- Trade marks, *see* **Trade Mark(s)**
- US, *see* **United States**

### LIMITATIONS

- Legality of, **1.07**
  - Damages for anticompetitive behavior, **1.17[3]**
  - On scope, **1.07[1][a]**
- Of liability, **9.20**
- TFEU, market, technical development or investment limits or controls, **8.04[3]**

### LIMITED LICENSE

- Knowledge of conditions, **1.09[1][b]**
- Title passed, where, **1.09[1][c]**

### LIQUIDATOR OF COMPANY

- Assignment by, **3.06**

### LOCAL RESTRICTIONS

- TFEU, local restrictions as measures "having equivalent effect" to prohibitions within Articles 34-36 of, **8.06**

### LONG-ARM JURISDICTION

- Foreign companies, over, **1.17[2]**

## M

### MARKET / MARKETING

- Generally, **8.01 to 8.06**
- Agreement / relationship, uncommon forms, **8.03**
- Co-operatives, **8.03[2]**
- Digital single market, European Union (EU), **5A.07[8], 7.07**

### MARKET / MARKETING

#### —Cont'd

- Franchising agreements, **8.02[2]**
- Goodwill in foreign market, ownership of, **8.02[3]**
- Intellectual property rights, **8.01**
- Limited partnership, through, **8.03[1]**
- Obligations unconnected with contract, imposing supplementary, **8.04[6]**
- Offending (or not) against TFEU, Articles 101 and 102, **8.04, 8.05**
- Relevant market, EC's notice on definition for purpose of Community Competition Law, **App 3C(9)**
- Sharing, TFEU, **8.04[4]**
- Territorial limitations, **1.16, 8.06[1], 8.06[4]**
- TFEU, **8.04, 8.05**
- Unfair competition, **8.04[5], 8.06[2]**

### MASK WORKS

- Copyright, **5B.02[5]**

### MATCHING SETS

- Design right, **5C.02[1][j]**

### MERGERS

- Guidelines, US antitrust, **2.09[4], App 2J(3), App 2J(4)**
- Patents and concentration through merger, **1.18**
- Vertical, DOJ, **App 2J(5)**

### MISUSE

- Patent license, **3.07[12]**
- Patents, of, **1.13[5]**

### MONEY

- Choice of, **9.08**

### MONOPOLIES

- Beneficial monopoly, undue concentration of rights as affecting, **1.15**
- Commission investigating, **1.06[2]**

**MONOPOLIES—Cont'd**  
Common law, and, **1.06[2]**,  
**1.06[4]**  
Sherman Act, **2.05[2]**

**MORTGAGES**  
Intellectual property, **1.04[3]**

**MULTIMEDIA AND ENTERTAINMENT**  
Generally, **7.01**  
Core property rights  
Generally, **7.02**  
Confidentiality, **7.02[5]**  
Copyrights, **7.02[1]**  
Databases, rights in, **7.02[4]**  
Patents, **7.02[2]**  
Rights in databases, **7.02[4]**  
Trade marks, **7.02[3]**  
Trade secrets, **7.02[5]**  
Distribution media, modes and legislation  
Generally, **7.06**  
EU digital single market legislation, **7.06[3]**  
On-line supply, digital content, supply and consumer legislation, **7.06[2]**  
Physical media, supply and consumer legislation, **7.06[1]**  
End user considerations and restrictions, **7.07**  
Enforcement, **7.08**  
European design rights, **7.02[6]**  
Films, privacy, moral rights, **7.03[1][e]**  
Formats, rights in, **7.04**  
Image rights, personal rights, **7.03[6]**  
Jurisdiction, **7.08**  
Liability and jurisdiction, **7.08**  
Licensing and developments  
Collecting societies and collective management organizations, **7.05[1]**  
Copyright Tribunal, **7.05[3]**

**MULTIMEDIA AND ENTERTAINMENT—Cont'd**  
Licensing and developments  
—Cont'd  
Existing agreements, new channels, **7.05[2]**  
Hyperlinking, **7.05[6]**  
Moral rights, **7.05[2]**  
Music industry, **7.05[5]**  
Protected content, online uses, **7.05[6]**  
Moral rights  
Generally, **7.03[1][a]**  
Author, **7.03[1][b]**  
Director, **7.03[1][b]**  
False attribution of work, **7.03[1][d]**  
Films, privacy, **7.03[1][e]**  
Identified, right to be, **7.03[1][b]**  
Objection to derogatory treatment, right to be, **7.03[1][c]**  
Photographs, privacy, **7.03[1][e]**  
Music industry, licensing and developments  
EU, **7.05[4][b]**  
UK, **7.05[4][b]**  
US, **7.05[4][a]**  
Personal rights  
Generally, **7.03**  
Collective agreements and collecting societies, **7.03[3]**  
Data protection, **7.03[5]**  
Image rights, **7.03[6]**  
Information privacy, **7.03[5]**  
Moral rights, above  
Non-property rights, **7.03[2]**  
Performers, **7.03[2]**  
Photographs, privacy, moral rights, **7.03[1][e]**  
Rights of personality, **7.03[4]**  
Character merchandising, **7.03[4][b]**  
Endorsing, **7.03[4][c]**  
Fictional characters, **7.03[4][b]**

## INDEX

**MULTIMEDIA AND ENTERTAINMENT—Cont'd**  
Rights of personality, **7.03[4]**  
—Cont'd  
Introduction, **7.03[4][a]**  
Merchandising, **7.03[4][d]**  
Rights arising from reputation, **7.03[4][c]**  
Sponsoring, **7.03[4][c]**  
Use of company name, **7.03[4][e]**

**N**

**NAGOYA PROTOCOL**  
EC regulation, implementation, **App 3B(16)**

**NEGOTIATIONS**  
FRAND licensing, **3.11[3][b]**

**1988 ACT**  
Design right, **5C.02[2][b]**

**NO-CHALLENGE CLAUSES**  
Patent license, in, **3.07[13]**  
Validity, **1.14[2]**

**NON ASSIGNMENT**  
Contracts and agreements, **9.33**  
Transfer, **9.33**

**NON-EXCLUSIVE LICENSEE**  
Damages claim, **1.07[1][f]**

**NOTICES**  
Design right, **5C.02[2][b][ix], 5C.02[3][e]**  
EC announcements and notices, *see EC*  
EC Treaty announcements and notices, *see EC Treaty*  
TFEU, minor importance, notice on agreements not appreciably restricting competition, **App 3C(3)**

**O**

**OPEN SOURCE SOFTWARE**  
Copyright, **5B.03[5]**

**ORIGINALITY**  
Copyright, **5A.05**  
Design right, **5C.02[2][b][vi]**  
Resale right for benefit of author of original work of art, Directive 2001/84/EC (27 September 2001), **App 3D(9)**

**ORPHAN WORKS**  
Broadcasting and rights in performances, licensing, **5D.05[3]**  
Copyrights, licensing, **5A.07[6]**  
Directives of EC, certain permitted uses of orphan works (25 Apr 2012), **App 3D(11)**

**OWNERSHIP**  
Design right, **5C.02[1][e], 5C.02[3][b]**  
Goodwill in foreign market, ownership of, **8.02[3]**

**P**

**PARALLEL IMPORTS**  
Control of, **1.08[1]**  
Exhaustion of rights doctrine, **1.08[1]**  
Protective provisions, **1.17[4]**  
Restrictions, TFEU, **8.04[3][a]**

**PARTNERSHIP**  
Implied license, **3.08**  
Limited, E.E.C., **8.03[1]**

**PATENT APPLICATION**  
Generally, **3.02**  
Joint inventors and owners, **3.02[1] to 3.02[1][c]**  
Procedure, **3.01[1]**  
Publication of, **3.01[3]**  
Requirements for, **3.01[1]**  
Right to apply, **3.02**  
Transactions related to, **3.04**  
UK Patents Act of 1977, co-ownership, **3.02[1][a]**

**PATENT LICENSE**  
Generally, **3.06, 3.07**

**PATENT LICENSE—Cont’d**

- Abandonment, **3.07[15]**
- Applications, *see Patent Application*
- Assignment
  - Nonassignment, **3.07[15]**
  - Re-assignment, **3.07[16]**
- Bankruptcy, **3.09**
- Best endeavors, **3.07[6]**
- Compulsory, **1.16, 3.10**
- Cross-licensing, **3.07[10]**
- Customer restrictions, **3.07[2]**
- Duration, **1.07[1][e]**
- Estoppel of licensee, **1.12**
- Exclusive, **1.16, 3.06[3]**
- Exhaustion, **3.07[2]**
- Field of use, **3.07[2]**
- Grantbacks, **3.07[9]**
- Impact of competition law, **3.12**
- Implied licenses and terms, **3.08**
- Improvements, **3.07[8]**
- Infringement, right and obligation to enforce, **3.07[18]**
- Jurisdiction clauses, **3.07[17], 3.07[19]**
- Label, by, **1.06[1], 1.13[4]**
- Lapse, **3.07[15]**
- Legality of license limitations, **1.07**
- Licenses of right, **1.16[2], 3.10**
- Limited, **1.09[1][c]**
- Misuse, **3.07[12]**
- Most favoured licensee/nation, **3.07[7]**
- No-challenge clauses, **3.07[13]**
- Non-assignment, **3.07[15]**
- Non-infringing sales, **1.07[1][f]**
- Pooling, **3.07[10]**
- Price fixing, **3.07[11], 3.07[12]**
- Re-assignment on non payment, **3.07[16]**
- Restrictions, **1.07**
- Right to demand, **1.15[1]**
- Royalties, **3.07[1]**
- Simple, **3.06[3]**
- Sole, **3.06[3]**

**PATENT LICENSE—Cont’d**

- Term of license, **3.07[5]**
- Termination, **3.07[14]**
- Terms and issues, **3.08**
- Territory, **3.07[4]**
- Tying, **3.07[12]**
- UK Patents Act 1977, **3.10**

**PATENT(S)**

- Generally, **3.01 et seq.**
- Analysis of grant, **1.09[1][a]**
- Antitrust and, **1.06[1]**
- Application, *see Patent Application*
- Arbitration, *see Arbitration*
- Area of, extension, **1.07[1][c]**
- Assignment of, **3.06[1]**
- Biotechnology, U.K., **13.04[3][a]**
- Competition law
  - EU, *see EU Competition Law*
  - Impact, **3.12**
- Competition policy, **1.07**
- Consultants, by, **3.03**
- Cross-licensing of, **1.15[2]**
- Date of, **3.01[3]**
- Early development and history, **1.02[2][b]**
- Employee making, **3.03**
- EU competition, *see EU Competition Law*
- Exclusive
  - Assignment, as, **1.16**
  - Right to grant, **1.07[1][d]**
  - Safeguards, **1.16**
- Exclusivity of, **1.16**
- Exploitation of, in foreign countries, **1.16[2]**
- Exploitation policy, **1.15**
- Failure to exploit, **1.14[1]**
- Grant of patent, right to, **3.02**
- Hybrid agreement, **4.06[1]**
- Improvements, **1.10[2]**
- Invalid, responsibility, **1.14[2]**
- Invalidity, protection against, **3.05[2]**

## INDEX

### **PATENT(S)—Cont'd**

Inventions or designs, treatment of, **3.04**  
Know-how, *see EU Competition Law*  
Law, non-pre-emption of trade secret law by, **4.03[1][c]**  
License, *see Patent License*  
Merger, patents through, **1.18**  
Misuse, US law, **1.13[5]**  
Multimedia and entertainment, **7.02[2]**  
Plants  
    Breeders' rights, **13.04[2]**  
    European protection, **13.05**  
    United Kingdom, **13.04[1]**  
    United States, **13.03[1], 13.06**  
Politics of, **1.02[2][b]**  
Pools  
    Beneficial monopoly, undue concentration of rights as converting, **1.15[2]**  
    Legality, **1.09[1][d]**  
    Technology Transfer Block Exemption Regulation (TTBER), **2.19[1][a][iii]**  
Post expiration royalty, **4.06[1]**  
Process, for, **1.06[1], 1.07[1], 1.13[5]**  
Property in, **3.01[4]**  
Protectable information and, **4.03[1]**  
Qualifying as grantee of, **1.02[2][a]**  
Restrictive practices and, **1.07[1][d], 3.07[2]**  
Rights in, generally, **1.03[1], 3.01**  
Royalties, patent-free territories, **1.07[1][c]**  
Sale in patent-free territories, **1.07[1][c]**  
Scope of protection, **3.01[5]**  
Sources of antitrust law, **1.06[1]**  
Supplementary Protection Certificates, **3.01[6]**  
Territories, patent-free  
    Royalties in, **1.07[1][c]**

### **PATENT(S)—Cont'd**

Territories, patent-free—Cont'd  
    Sale in, **1.07[1][c]**  
    Title to, **3.05[1]**  
    Transactions related to, **3.04**  
    Unpatented inventions, **3.04**  
    US, *see United States*  
    Validity attacked, **1.12**  
    Validity inquiry, **3.05[2]**  
    Warranty of validity, **3.05[2]**

### **PAY FOR DELAY**

EU competition law, **2.20[10]**

### **PAYMENT**

Contract, re-assignment on non payment, **9.27**  
Patent license, re-assignment on non payment, **3.07[16]**  
Taxation, nature of transactions and payments, **11.04**  
U.S. antitrust issues, reverse payment settlement agreements, **2.13[4]**

### **PERFORMANCE RIGHT**

Generally, **1.03[5]**  
EU competition law, copyright, **2.19[3][b]**

### **PLANT(S)**

Joint venture, whether sale, **13.04[2][e]**  
Renewal, renunciation on, **13.04[2][e]**

### **PLANT VARIETIES**

Act, **App 2I**  
Breeders' rights  
    United Kingdom  
        Annulment, cancellation and suspension, **13.04[2][i]**  
        Duration of rights, **13.04[2][e]**  
        EU competition law and plant varieties rights, **13.04[2][l]**  
        European harmonisation, **13.04[2][k]**

**PLANT VARIETIES—Cont'd**

Breeders' rights—Cont'd  
    United Kingdom—Cont'd  
        Exemptions, **13.04[2][d]**  
        Infringement, **13.04[2][c]**  
        Licensing and compulsory  
            licenses, **13.04[2][h]**  
        Naming of varieties,  
            **13.04[2][g]**  
        Nature of the rights  
            conferred, **13.04[2][b]**  
    Persons entitled to apply,  
        **13.04[2][a]**  
    Plant Varieties and Seeds  
        Tribunal, **13.04[2][j]**  
        Sale of rights, **13.04[2][f]**  
    United States, **13.06**  
    Community plant variety rights,  
        **App 3B(14)**  
    EU competition law  
        Generally, **2.19[4]**  
        Breeders' rights, **13.04[2][l]**  
    European protection  
        EU-UK withdrawal agreement,  
            **13.05[1]**  
    Plant Varieties Act 1997, **App 1Y**  
    Protection of  
        Future developments needed,  
            **13.03[6]**  
        General Information About 35  
            USC.A. 161, **App 2I(1)**  
        Overlap between patents and  
            breeders' rights, **13.03[5]**  
        Types of, **13.01, 13.02**  
    United Kingdom patents,  
        **13.04[1]**  
    United States, **13.03**  
        Multiple claims, **13.03[4]**  
        Patents, **13.03[1]**  
    United Kingdom  
        Breeders' rights, United  
            Kingdom, above  
        Protection of patents, **13.04[1]**

**POOLS AND POOLING**

Competition law  
    Copyright pools and collective  
        rights management, EU  
        law, **2.21[2]**

**POOLS AND POOLING—Cont'd**

Competition law—Cont'd  
    Technology pools, EU law,  
        **2.21[1]**  
    US restrictions, **2.12[5]**  
    Cross-licensing, *see* **Cross-  
        Licensing**  
    Patent licensing issues, **3:07[10]**  
    Patents. *see* **Patents**  
    Technology pools, co-operation  
        within industry, **12.02[2][e]**

**PREEMPTION**

Joint inventors and owners,  
    **3.02[1][c]**

**PRICE CONTROL**

**TFEU, 8.04[2]**

**PRICE-FIXING**

Exclusive dealer, by, **3.07[12]**  
International agreements in,  
    **1.09[1][d]**  
Licensee estoppel and, **1.09[1][d]**  
Patent licensing issues, **3.07[11],  
    3.07[12]**  
Purchase or sale prices, **8.04[2]**

**PRINTING**

Copyrights, impact of invention of  
    printing, **1.02[1][a]**

**PRIVACY**

Human Rights Act, **4.01[1]**

**PRIVITY OF CONTRACT**

Exception to, **1.09[1][c]**

**PROCEEDINGS**

Design right, **5C.02[2][b][viii]**

**PRODUCT MIGRATION**

United States antitrust law, **2.13[3]**

**PROPER LAW**

Contract, of, **9.14**

**PROPRIETARY INFORMATION**

*see* **Protectable Information**

## INDEX

### PROTECTABLE INFORMATION

- Assignment, **4.04[1], 4.04[2]**
- Comeptition/Antitrust considerations
  - EU, **4.08[1]**
  - U.K., **4.08[2]**
  - U.S., **4.08[3]**
- Confidence, breach of, **4.02[3][c]**
- Confidential information. *see* **Confidential Information**
- EU
  - Generally, **4.02[1][b]**
  - National law, **4.02[1][a]**
  - Technology sharing and transfer, **4.05[2]**
- Trade secrets, Directive 2016/943 for protection of, **4.02[1][d]**
- Incorporation by individuals, **4.06[2]**
- Law, **4.01[2]**
- Legal frameworks
  - EU, **4.02[1]**
  - UK, **4.02[2]**
  - US, **4.02[3]**
    - Defend Trade Secrets Act, **4.02[3][b]**
- Licensing
  - UK, **4.05[1][a]**
  - US, **4.05[1][b]**
- On purchase of company, **4.06[4]**
- Other intellectual property rights and, **4.03**
- Terminology and, **4.01[2]**
- Trade secrets. *see* **Trade Secrets**
- Transfer, **4.04[1], 4.04[2]**
- U.K. *see* **United Kingdom**
- Unfair competition, **4.02[1][c]**
- See also* **Know How; Confidential Information**

### PUBLIC DOMAIN/PUBLICI JURIS

- Effect on information license, **4.06[5]**

### PUBLIC INTEREST

- Overrides estoppel, **1.14[1]**
- Paramount, **1.14[1]**
- Restraint of trade, **1.06[4]**

## Q

### QUIET POSSESSION

- Implied term, **9.02[1]**

## R

### RECITALS

- Significance, **9.11**

### RECORDS AND RECORDKEEPING

- FRAND licensing, **3.11[4][f]**

### REGISTERED DESIGN

- In U.K., **5C.02**

### REGISTERED DESIGNS ACT OF 1949

- Amended, **App 1N**

### REPACKAGING

- Trade marked goods, **6.10[3]**

### REPAIR

- Right of, **1.13[2]**
- Spare parts, **1.13[3]**

### RESALE

- Prices
  - Fixing, **1.09[1][d]**
  - Maintenance, **2.09[1][n]**
- Rights
  - Benefit of author of original work of art, Directive 2001/84/EC (27 September 2001), **App 3D(9)**
  - Copyright, scope of artists resale rights, **5A.02[3]**

### RESEARCH AND DEVELOPMENT

- Agreements, technology sharing and transfer, **12.02[2][c]**

<b>RESEARCH AND DEVELOPMENT—Cont'd</b>	<b>ROYALTIES—Cont'd</b>
EU competition law, <b>2.17[1][c][iii]</b>	FRAND licensing, <b>3.11[4][a]</b> Hybrid agreement, <b>4.06[1]</b> Patent licenses, <b>3.07[1]</b> Post patent royalty, <b>4.06[1]</b> Recovery of, <b>1.14[2][a]</b> Withholding of, <b>1.14[2][a]</b>
Licensing of IP, Dept. of Justice and FTC antitrust guidelines, <b>2.09[1][f]</b>	
<b>RESTRAINT OF TRADE</b>	<b>S</b>
Common law rules, <b>1.06[2]</b> Distributorships and, <b>1.09</b> Domestic imports, <b>1.17</b> Foreign trade, <b>1.17</b> Patents and, <b>1.07</b> Public interest, <b>1.06[4]</b> Severance, <b>1.06[3]</b> Sherman Act, <b>2.05[1]</b> Vertical agreements, <b>1.09</b>	<b>SALE OF GOODS</b> Implied terms, <b>9.02[1]</b>
<b>RESTRICTIVE COVENANTS</b>	<b>SCHEMES AND BODIES</b> Copyright, <b>5A.07[5]</b>
Employment, <b>1.06[2]</b> Franchising, tying and restrictive covenants, <b>8.02[2][b][ii]</b>	<b>SECRET INFORMATION</b> Trade secrets, <b>4.01 et seq.</b>
<b>RESTRICTIVE PRACTICES</b>	<b>SEEDS</b>
Generally, <b>1.06[2]</b> EU competition law, <i>see EU Competition Law</i> Exemptions, <b>1.07[1][d]</b> Patents and, <b>1.07[1][d]</b> Procedure in, <b>1.07[1]</b> US competition law, <i>see United States</i>	Plant varieties and breeders' rights, <b>13.04[2][j]</b>
<b>ROME I</b>	<b>SEMICONDUCTOR TOPOGRAPHY</b> Design right, <b>5C.02[1][i]</b>
European regulations, contractual obligations, No. 593/2008, <b>App 3B(17)</b>	<b>SETTLEMENTS</b>
<b>ROME II</b>	EU competition law, <i>see EU Competition Law</i> U.S. antitrust issues, reverse pay- ment settlement agreements, <b>2.13[4]</b>
European regulations, non- contractual obligations, No. 864/2007, <b>App 3B(18)</b>	<b>SHERMAN ACT</b> Generally, <b>2.05, App 2A</b> Contracts, combinations, and conspiracies in restraint trade, <b>2.05[1]</b> Monopolization, <b>2.05[2]</b>
<b>ROYALTIES</b>	<b>SOFTWARE</b> Goods licensing and copyright, <b>5A.07[3][d]</b>
Basis for, <b>1.07[1][c]</b> Escrow account during litigation, in, <b>1.14[2][b]</b> EU competition law, <b>2.20[7]</b>	<b>SPARE PARTS</b> Obligation not to copy, <b>8.04[7][c]</b> Obligation to buy from franchisor, <b>1.13[3]</b>

## INDEX

<b>SPECIALISATION AGREEMENTS</b>	<b>TAXATION—Cont'd</b>
EU competition law, <b>2.17[1][c][iv]</b>	Kinds of taxes
Technology sharing and transfer, <b>12.02[2][d]</b>	Generally, <b>11.06</b>
<b>STAMP DUTY</b>	Capital, <b>11.06[2]</b>
Generally, <b>11.06[5]</b>	Corporate/corporation taxation, <b>11.06[3]</b>
<b>STANDARD ESSENTIAL PATENTS (SEPs)</b>	EU and UK Digital Services Taxes, <b>11.06[3][b]</b>
Generally, <b>2.13[2], 2.19[1][a][ii], 2.21[1], 3.11</b>	Income, <b>11.06[1]</b>
Essential, defined, <b>3.11[3][a]</b>	Innovation/patent box regimes, <b>11.06[3][a]</b>
European Commission Communication on SEP licensing, <b>3.11[5]</b>	Sales; VAT, <b>11.06[4]</b>
<b>SUB-LICENSEE</b>	VAT and intellectual property, <b>11.06[4][a]</b>
Liability of licensee to third party for acts of sub-licensee, <b>9.26[2]</b>	Stamp duty, <b>11.06[5]</b>
Requirement, <b>3.07[3]</b>	Legislation and authorities, <b>11.02</b>
<b>SUPPLEMENTARY PROTECTION CERTIFICATES</b>	Transactions and payments, characterizing nature of, <b>11.04</b>
Patent(s), <b>3.01[6]</b>	Withholding tax, <b>11.07[2]</b>
EU-UK withdrawal agreement, <b>3.01[6][a]</b>	
<b>SUPPLY AND SUPPLIES</b>	<b>TECHNOLOGY SHARING AND TRANSFER</b>
Distribution media, modes and legislation, <b>7.06[1], 7.06[2]</b>	Generally, <b>12.01[1]</b>
Requiring licensee to obtain, from licensor, <b>1.13[1]</b>	Agreements
	Generally, <b>12.02[2][a]</b>
	TFEU application, <b>App 3B(8)</b>
	Block exemption, <b>2.17[1][c][i]</b>
	Boilerplate clauses, <b>12.03[5][j]</b>
	Commission notice guidelines as to applicability of Article 81 of EC Treaty to technology transfer agreements, <b>App 3C(10)</b>
	Computer software, exploiting, <b>5B.03[3]</b>
	Confidentiality, <b>4.03, 12.03[5][g]</b>
	Consortia, <b>12.02[3]</b>
	Context, technology transfer in, <b>12.01[2]</b>
	Co-operation involving universities
	Approaches, <b>12.03[2]</b>
	Background, <b>12.03[1]</b>
	Boilerplate clauses, <b>12.03[5][j]</b>

## FORMS AND AGREEMENTS

**TECHNOLOGY SHARING AND TRANSFER—Cont'd**

Co-operation involving universities—Cont'd  
Commencement and date of student research, **12.03[5][a]**  
Confidentiality, **12.03[5][g]**  
Contributions by company, **12.03[5][e]**  
Discoveries, **12.03[5][h]**  
Exploitation, **12.03[5][i]**  
Inventions, **12.03[5][h]**  
Parties sponsoring student research, **12.03[5][b]**  
Primary issues, **12.03[3]**  
Progress, **12.03[5][f]**  
Project, **12.03[5][d]**  
Recitals, **12.03[5][c]**  
Sponsoring student research, **12.03[5]**  
Strategic issues, **12.03[4]**  
Co-operation within industry  
Agreements, technology transfer, **12.02[2][a]**  
Consortia, **12.02[3]**  
European Categorisation, **12.02[2]**  
Joint venture agreements, **12.02[2][b]**  
Kinds of co-operation, **12.02[1]**  
Pools, **12.02[2][e]**  
Research and development agreements, **12.02[2][c]**  
Specialization agreements, **12.02[2][d]**  
EU competition law, **2.17[1][c][i]**  
Europe, International Technology Control, **12.06[2]**  
Exploitation of student research, **12.03[5][i]**  
Hybrid agreement, **4.06[1]**  
Incorporation by individuals, **4.06[2]**  
Industrial partners, between, **12.02**  
International Technology Control Europe, **12.06[2]**

**TECHNOLOGY SHARING AND TRANSFER—Cont'd**

International Technology Control —Cont'd  
United Kingdom, **12.06[1]**  
United States, **12.06[3]**  
US-UK Treaty, **12.06[4]**  
Planning for transfer, **12.01[3]**  
Pools, co-operation within industry, **12.02[2][e]**  
Research and development agreements, **12.02[2][c]**  
Specialization agreements, **12.02[2][d]**  
Technology Transfer Agreements (EU), **4.05[2]**  
Term and termination, **4.07**  
Territorial limits, **4.06[7]**  
Underlying intangible rights  
Charges, **12.05[2]**  
Derivative action by shareholder or director, **12.05[4]**  
Licenses, **12.05[3]**  
Nature of underlying rights, **12.04**  
Transfers, **12.05[1]**  
United Kingdom, International Technology Control, **12.06[1], 12.06[4]**  
United States, International Technology Control, **12.06[3], 12.06[4]**

**TECHNOLOGY TRANSFER BLOCK EXEMPTION REGULATION [TTBER]**

EU competition law, **2.19[1][a], 2.19[2][a], 2.20[2][a], 2.20[4][a]**

## TERMINATION

License  
Generally, **3.07[14], 4.07**  
Trade secret, **4.07**  
Loss of confidentiality, **4.07**  
Residual rights, on, **9.08**

## INDEX

### **TERRITORIALITY**

- Competition law, US restrictions, **2.12[2]**
- Confidential information, **4.06[7][a]**
- Extensions, **1.07[1][c]**
- FRAND licensing, territory, **3.11[4][b]**
- Know how license, **4.06[7][a]**
- Limits for antitrust, **1.07[1][d]**
- Market sharing, **1.07[1][d]**
- Patent license, **3.07[4]**
- Trade secret, **4.06[7][b]**

### **THIRD PARTIES**

- Arbitration clause, third parties to agreement containing, **10.12[5][c]**
- Contractual rights of, **9.23**
- Indemnities and third parties, **9.26**
- Infringement of third party rights, indemnities against, **9.26[1]**
- Public domain, **4.06[5]**
- Trade marks, *see* **Trade Mark(s)**

### **THREATS**

- Design right, **5C.02[3][h]**

### **TIE-IN**

- Alternative, **1.13**
- Hybrid patent license, **4.06[1]**
- Misuse of rights, **1.13**

### **TITLE**

- Copyright, transferring. *see* **Copyright**
- Covenants for, **3.05**
- Investigating, **3.05[1]**

### **TORT(S)**

- Relating to contract, **9.19**

### **TRADE MARK(S)**

- Generally, **1.03[6], 6.01 et seq.**
- Approval, **6.08[6]**
- Assignment of trade marks
  - Generally, **6.05**
  - Community trade marks, **6.05[3][c]**

### **TRADE MARK(S)—Cont'd**

- Assignment of trade marks
  - Cont'd
  - EU and United Kingdom, **6.05[3][a]**
- Goodwill
  - Community trade marks, **6.05[2][c]**
  - EU and United Kingdom, **6.05[2][a]**
  - United States, **6.05[2][b]**
- Licensing distinguished, **6.05[1]**
  - United States, **6.05[3][b]**
- Community, European Community trade mark, **6:02[3], App 3B(11) to App 3B(12A)**
- Competition, antitrust and unfair competition law
  - EU, *see* **EU Competition Law**
  - Europe, **6.10[1]**
  - United Kingdom, **6.10[2]**
  - United States, **6.10[3]**
- Delimitation agreements, **6.08[2]**
- Distributors
  - Advertising, **6.08[4][b]**
  - Alteration exhaustion and first sale, **6.08[4][a]**
  - Application of distributors mark, **6.08[4][c]**
  - Dealers' rights to mark, **6.08[4][d]**
  - Services agreement, **6.08[4][e]**
- EU competition, *see* **EU Competition Law**
- European Community trade marks, *App 3B(11) to App 3B(12A)*
- European Directives
  - European Parliament and Council, EC directive to approximate the laws of member states, *App 3D(1A)*
  - European Parliament and Council, EU directive to approximate the laws of

## FORMS AND AGREEMENTS

### TRADE MARK(S)—Cont'd

European Directives—Cont'd  
member states, **App 3D(1B)**  
First council directive  
approximate the laws of  
member states, **App 3D(1)**  
Extent of protection conferred by  
trade mark  
Generally, **6.04**  
EU and United Kingdom,  
**6.04[1]**  
United States, **6.04[2]**  
Franchising, business and trade  
marked goods systems  
distinction, **8.02[2][b][iii]**  
Licensing  
Generally, **6.06**  
Assignment with license back,  
**6.06[3]**  
Bankrupt debtor's trade mark,  
**6.06[1]**  
Exclusive licenses, **6.06[4]**  
Formal requirements, **6.06[1]**  
Merchandising, **6.06[6]**  
Quality control, **6.06[2]**  
Multimedia and entertainment,  
**7.02[3]**  
Nature and function  
Harmonisation in the EU,  
**6.01[2]**  
History  
Asset of proprietor, mark as,  
**6.01[1][c]**  
Quality, **6.01[1][b]**  
Source, **6.01[1][a]**  
No-challenge clause, **6.08[1]**  
Panel imports, gray goods and  
exhaustion of rights  
Generally, **6.07**  
EU and United Kingdom,  
**6.07[1]**  
United States, **6.07[2]**  
Protectable information and,  
**4.03[3]**  
Registerability as a trade mark  
Generally, **6.03**

### TRADE MARK(S)—Cont'd

Registerability as a trade mark  
—Cont'd  
Certification marks  
United Kingdom, **6.03[4][a]**  
United States, **6.03[4][b]**  
Collective marks  
EU Collective Mark,  
**6.03[3][c]**  
United Kingdom, **6.03[3][a]**  
United States, **6.03[3][b]**  
EU and United Kingdom,  
**6.03[1]**  
United States, **6.03[2]**  
Repackaging, **6.10[3]**  
Statutory framework for licensing  
EU  
Community/ European trade  
marks, **6.02[1][b]**  
Directives, **6.02[1][a]**  
United Kingdom, **6.02[1][c]**  
United States  
Lanham Act, **6.02[2][a]**  
Related company concept,  
**6.02[2][b]**  
Common stockholders,  
directors, or officers,  
**6.02[2][b][ii]**  
Licensees and franchisees,  
**6.02[2][b][iv]**  
Sister corporations,  
**6.02[2][b][iii]**  
Wholly owned related  
companies,  
**6.02[2][b][i]**  
Term and termination, **6.09**  
Third parties  
Manufacturer, use by, **6.08[3]**  
Right to take action against,  
**6.08[5]**  
Trade Marks Act 1994 (U.K.),  
**App 1Q, App 1R**  
United States, **2.11[5]**  
Unregistered trade marks, **1.03[6]**

### TRADE SECRETS

Generally, **1.03[2], 4.01 et seq.**

## INDEX

**TRADE SECRETS—Cont'd**  
Assignment, **4.04[2][a]**  
Assignment by employee, **4.06[3]**  
Confidentiality Clubs, **4.06[8]**  
Copyright law, non-pre-emption of trade secret law by, **4.03[1][d]**  
Defend Trade Secrets Act 2016, **App 2K**  
Defined, **4.01[1][b]**  
EU Directive 2016/943, **App 3D(12)**  
External Eyes Only Provisions, **4.06[8]**  
Implied duty, **1.03[2]**  
Incorporation by individuals, **4.06[2]**  
Legal frameworks  
EU Directive 2016/943 for protection of trade secrets, **4.02[1][d]**  
US, **4.02[3][a]**  
Multimedia and entertainment, **7.02[5]**  
Natural monopoly, **1.03[2]**  
Nature of, **1.03[2]**  
Public domain, entry, **4.06[5]**  
Term and termination, license, **4.07**  
Territorial limits, license, **4.06[7]**  
Transfer, **4.04[1][b]**, **4.04[2][b]**  
Uniform Trade Secrets Act, **4.01[1][b]**  
United States, **2.11[2]**

**TRANSFER**  
Contracts and agreements, **9.33**  
Non assignment, **9.33**

**TRANSFER OF TECHNOLOGY**  
*see* **Technology Sharing and Transfer**

**TRANSLATIONS**  
Qualifying for copyright, **5B.02[3]**

**TREATY ON THE FUNCTIONING OF THE EUROPEAN UNION (TFEU)**  
Article 101  
Behaviour adjustments, compet-

**TREATY ON THE FUNCTIONING OF THE EUROPEAN UNION (TFEU)**  
—Cont'd  
Article 101—Cont'd  
ing undertaking, **8.04[1][b]**  
Competing undertaking, behaviour adjustments, **8.04[1][b]**  
Competition law, **2.17[1][a]**, **2.18[4]**  
Exports, promoting, **8.04[3][b]**  
Investment limitations or control, **8.04[3]**  
Market limitations or control, **8.04[3]**  
Market-sharing arrangements, **8.04**  
Multiply-used standard agreements, **8.04[1][a]**  
Notice by commission, competition restriction, **App 3C(3)**  
Parallel import restrictions, **8.04[3][a]**  
Price fixing, **8.04[2]**  
Technical development limitations or control, **8.04[3]**  
Technology transfer agreements, **App 3B(8)**  
Article 102  
Generally, **8.05**  
Competition law, **2.17[1][b]**, **2.20[4][c]**  
Dealership, obligation to grant, **8.04[7][b]**  
Extracts, **App 3A(1)**  
Fair trading, **8.04[7][a]**, **8.04[7][d]**, **8.04[7][e]**  
Local restrictions as measures “having equivalent effect” to prohibitions within Articles 34-36 of, **8.06**  
Marketing, **8.04**, **8.05**  
Notice on agreements of minor importance by Commission, **App 3C(3)**

**TREATY ON THE  
FUNCTIONING OF THE  
EUROPEAN UNION (TFEU)**  
—Cont’d

Obligations unconnected with contract, imposing supplementary, **8.04[6]**  
Regulation 316/2014, technology transfer agreements, **App 3B(8)**  
Spare parts, obligation not to copy, **8.04[7][c]**  
Trade marks to distributors, non-genuine assignment, **8.04[7][e]**  
Unfair competition, in, **8.04[5]**

**TRIPS AGREEMENT**  
Foreign trade, restraints on, **1.17, App 4F**

**TRUST**  
European regulations, identification and trust services for electronic transactions, No. 910/2014, **App 3B(19)**  
Goods supplied and held on trust, **9.17**

**TYING**  
Clayton Act, **2.06[2]**  
Competition law  
EU *see* **EU Competition Law**  
US restrictions, **2.12[4]**  
Franchising, tying and restrictive covenants, **8.02[2][b][ii]**  
Licensing of IP, Dept. of Justice and FTC antitrust guidelines, tying/bundling arrangements, **2.09[1][o]**  
Patent license, **3.07[12]**

**U**

**UK ARBITRATION ACT OF 1996**  
Extracts, **App 6B**

**UK PATENTS ACT 1977**  
Compulsory licenses, **3.10**

**UK PATENTS ACT 1977—Cont’d**  
Licenses of right, **3.10**

**UK SCHEME FOR THE  
PROTECTION OF DESIGNS**  
Design right, **5C.02**

**UNCITRAL MODEL LAW ON  
INTERNATIONAL  
ARBITRATION**  
*see* **Arbitration**

**UNFAIR  
COMPETITION/TRADING**  
Basis of intellectual property rights, **1.02[1][b]**  
Federal Trade Commission Act, **2.07[1]**  
Regulations issued by EC, *see* **EC**

**UNIFORM ARBITRATION ACT  
OF 1950**  
Generally, **App 6D**

**UNITED KINGDOM**  
Arbitration Act of 1996, **App 6B**  
Biotechnology  
Compulsory and cross-licensing, **13.04[13][c]**  
Breeders’ rights, *see* **Plant Varieties**  
Competition law, *see* **EU Competition Law**  
Copyright, Designs and Patents Act 1988, as amended, **App 1K**  
Copyright, protection of U.S. works in the U.K., **5A.08[6]**  
Crown Copyright, **App 1N**  
Design right, *see* **Design Right**  
Enterprise Act of 2002, extracts, **App 1AA**  
Enterprise and Regulatory Reform Act of 2013, extracts, **App 1AB**  
EU and UK Digital Services Taxes, **11.06[3][b]**  
EU-UK Trade and Cooperation Agreement, Articles DIGIT

## INDEX

**UNITED KINGDOM—Cont'd**

- 1-17 and IP1-57, **App 3A(3)**
- EU-UK withdrawal agreement, **1.05[1]**
- CPVR protection, **13.05[1]**
- Database protection, **5A.02[6][a], 5A.03[7]**
- EUTM protection, **6.04[1][a]**
- Exhaustion of IPRs, **2.19[5]**
- Extracts, Articles 2, 54-61 and 126, **App 3A(2)**
- Mutual recognition of exhaustion of rights, **6.07[1][a]**
- Supplementary Protection Certificates, **3.01[6][a]**
- Trade and cooperation agreement, articles DIGIT 1-17 and IP1-57, **App 3A(3)**
- UCDR protection, **5C.03[1][a], 5C.03[2][a]**
- Franchising, **8.02[2][c]**
- Grant of letters patent, **App 1B**
- Hague Agreement on International Registration, accession to, **5C.02[3][j]**
- Institutions, *see European Institutions*
- Intellectual Property Act, **App 1L**
- Monopolies, **App 1A**
- Patent Act 1977
  - Co-ownership, **3.02[1][a]**
  - Extracts, **App 1C**
- Plant patent(s), **13.04[1]**
- Protectable information
  - Generally, **4.02[2]**
  - Contracts, **4.02[2][b]**
  - Property, **4.02[2][c]**
- Tortious interference with contractual rights, **4.02[2][d]**
- UK Implementation of EU Directive 2016/943, **4.02[2][e]**
- Protection of biotechnology
  - Generally, **13.04[3]**
  - Licensing, **13.04[3][b]**
  - Patents, **13.04[3][a]**

**UNITED KINGDOM—Cont'd**

- R&D Agreements in the UK, **2.17[6][d][ii]**
- Registered Designs Act, **App 1N**
- Scheme for the protection of designs, **5C.02**
- Specialisation Agreements, **2.17[6][d][iii]**
- Technology sharing and transfer, **12.06[1], 12.06[4]**
- UK block exemption orders, **2.17[6][d]**
- UK National Security and Investment Act 2021, **1.05[2]**
- UKICO and CMA cooperation, **2.16[3][d]**
- Vertical agreements
  - 2022 regulation 2022/720, **8.02[1][a]**
  - 2022 VABEO 516, **2.17[6][d][i]**
  - 2022 VABEO SI 516, **8.02[1][b]**
- Withdrawal agreement, EU
  - CPVR protection, **13.05[1]**
  - Database protection, **5A.02[6][a]**
  - EUTM protection, **6.04[1][a]**
  - Exhaustion of IPRs, **2.19[5]**
  - Extracts, Articles 2, 54-61 and 126, **App 3A(2)**
  - Mutual recognition of exhaustion of rights, **6.07[1][a]**
  - Supplementary Protection Certificates, **3.01[6][a]**
  - UCDR protection, **5C.03[1][a], 5C.03[2][a]**

**UNITED STATES**

- Antitrust
  - Generally, **2.04**
  - Agencies, **2.04[2]**
  - Agreements, **2.08**
- Clayton Act, *see Clayton Act*
- Competition/Antitrust
  - considerations, protectable information, **4.08[2]**
- E-commerce might, **2.13[5]**

## FORMS AND AGREEMENTS

### UNITED STATES—Cont'd

Antitrust—Cont'd  
EU cooperation, **2.14**  
Federal Trade Commission Act,  
    *see Federal Trade Commission Act*  
First sale exhaustion, non-geographical approach,  
    **2.13[1]**  
F/RAND licensing, **2.13[2]**,  
    **2.19[1][a][ii]**  
FRAND licensing, **2.21[1]**  
Guidelines, below  
Internet might, **2.13[5]**  
Laws, generally, **2.04[1]**  
Patents, standard essential,  
    (SEP), **2.13[2]**,  
    **2.19[1][a][ii]**, **2.21[1]**  
Product migration or "Hopping," **2.13[3]**  
Reverse payment settlement agreements, **2.13[4]**  
Sherman Act, *see Sherman Act*  
Arbitration, patents, **10.12[8]**  
Competition  
    Generally, **2.10 to 2:12**  
    Combined or hybrid patent agreements, **2.11[3]**  
    Copyright, **2.11[4]**  
    Hybrid or combined patent agreements, **2.11[3]**  
    Patents, **2.11[1]**, **2.11[3]**  
    Pre-emption, **2.11**  
    Restrictions, below  
    Trade secrets, **2.11[1]**  
    Trademarks, **2.11[4]**  
Copyright  
    Competition, **2.11[4]**  
    Misuse, **1.13[6]**  
    Reciprocal protection of copyright in the U.S., **5A.08**  
    U.K., protection of U.S. works in, **5A.08[6]**  
Dept. of Justice and FTC antitrust guidelines, **App 2J**  
EU cooperation, competition law.  
    *see EU Competition Law*

### UNITED STATES—Cont'd

Franchising, **8.02[2][a]**, **8.02[2][b]**  
Guidelines, antitrust  
    Generally, **2.04[3]**, **2.09**  
    Collaborations among competitors, Dept. of Justice and FTC for, **2.09[2]**, **App 2J(1)**  
    DOJ and vertical merger, **App 2J(5)**  
    Enforcement and cooperation for international operations, Dept. of Justice and FTC for, **2.09[3]**, **App 2J(2)**  
    International guidelines, Dept. of Justice and FTC for, **2.09[3]**, **App 2J(2)**  
    Licensing of IP, Dept. of Justice and FTC for, below  
    Mergers, horizontal and non-horizontal, **2.09[4]**, **App 2J(3)**, **App 2J(4)**  
    Licensing of IP, Dept. of Justice and FTC antitrust guidelines  
    Generally, **2.09[1]**, **App 2J**  
    Acquisition of IP rights, **2.09[1][s]**  
    Analysis modes, **2.09[1][c]**  
    Application of general principles, **2.09[1][l]**  
    Concerns, **2.09[1][c]**  
    Cross-licenses, **2.09[1][a]**, **2.09[1][p]**  
    Dealing, exclusive, **2.09[1][q]**  
    Efficiencies, **2.09[1][j]**  
    Enforcement of invalid IP rights, **2.09[1][t]**  
    Evaluations, **2.09[1][g]**, **2.09[1][h]**  
    Exclusivity, **2.09[1][i]**, **2.09[1][q]**  
    Good markets, **2.09[1][d]**  
    Grant-backs, **2.09[1][r]**  
    Horizontal restraints, **2.09[1][m]**  
    Justifications, **2.09[1][j]**

## INDEX

**UNITED STATES—Cont'd**  
Licensing of IP, Dept. of Justice and FTC antitrust guidelines  
—Cont'd  
Pooling, **2.09[1][p]**  
Procompetitive benefits, **2.09[1][b]**  
Resale price maintenance, **2.09[1][n]**  
Research and development markets, **2.09[1][f]**  
Rule of Reason evaluations, **2.09[1][h]**  
"Safety zone" provisions, **2.09[1][k]**  
Technology markets, **2.09[1][e]**  
Transfers included, **2.09[1][a]**  
Tying/bundling arrangements, **2.09[1][o]**  
Patents  
Antitrust, **2.13[2]**  
Arbitration, **10.12[8]**  
Competition law and agreements, **2.11[3]**  
Product migration or "Hopping," antitrust issues, **2.13[3]**  
Protectable information  
Competition/Antitrust considerations, **4.08[2]**  
Confidence, breach of, **4.02[3][c]**  
Licensing, **4.05[1][b]**  
Trade secrets, **4.02[3][a]**  
Restrictions  
Generally, **2.12**  
Bundling, **2.12[5]**  
Exclusive dealing, **2.12[3]**  
Field of use, **2.12[3]**  
Grant-back, **2.12[6]**  
Pooling, **2.12[5]**  
Product price restraints, **2.12[1]**  
Quantity, **2.12[3]**  
Territorial restrictions, **2.12[2]**  
Tying, **2.12[4]**  
Reverse payment settlement agreements, antitrust, **2.13[4]**

**UNITED STATES—Cont'd**  
Technology sharing and transfer, **12.06[3], 12.06[4]**  
**UNWIRED CASE**  
FRAND licensing, **3.11[2]**

## V

**VALIDITY**  
Consent judgment, **1.14[cl]**  
No-challenge clause, **1.14[2]**  
Patent, warranty of, **3.05[2]**

**VALUE ADDED TAX**  
Generally, **11.06[4]**  
Intellectual property, **11.06[4][a]**

**VERTICAL AGREEMENTS**  
Block exemptions, Regulation 330/2010, **App 3B(5)**  
Restraint of trade, **1.09**  
Restraints, Commission notice on guidelines on, **App 3C(6)**  
Restrictions, EU law, *see EU Competition Law*

## W

**WARRANTY**  
Design right, **5C.02[2][b][iv]**

**WITHDRAWAL AGREEMENT**  
EU-UK  
CPVR protection, **13.05[1]**  
Database protection, **5A.02[6][a]**  
EUTM protection, **6.04[1][a]**  
Exhaustion of IPRs, **2.19[5]**  
Extracts, Articles 2, 54-61 and 126, **App 3A(2)**  
Mutual recognition of exhaustion of rights, **6.07[1][a]**  
Supplementary Protection Certificates, **3.01[6][a]**  
UCDR protection, **5C.03[1][a], 5C.03[2][a]**

FORMS AND AGREEMENTS

**WORLD INTELLECTUAL  
PROPERTY  
ORGANIZATION (WIPO)**  
International trademark system,  
**6.04[3]**