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Publisher's Note

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Securities Law and Practice Third Edition provides the only textual treatment of securities law in Canada. Organized according to the *Ontario Securities Act*, the extensive commentary that follows each section interprets and explains the practical implications of the provision, in the light of rulings of the Ontario Securities Commission and court decisions from across Canada, as well as U.S. decisions. It also includes comparisons to the equivalent Acts of Alberta, British Columbia and Quebec. Comprehensive securities-related primary material from every province and territory, including legislation and securities commission regulatory and policy materials, makes this an essential work for practitioners and securities regulators in all provinces.

Release 2023-5 features updates to the Ontario and National/Multilateral policy documents.

REGULATORY HIGHLIGHTS

National/Multilateral:

- **New** — CSA Staff Notice 21-332, *Crypto Asset Trading Platforms: Pre-Registration Undertakings Changes to Enhance Canadian Investor Protection*

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- **New** — CSA Staff Notice 23-330, *Order Protection Rule: Market Share Threshold*
- **New** — CSA Staff Notice 25-309, *Matters Relating to Cessation of CDOR and Expected Cessation of Bankers' Acceptances*
- **Update** — Multilateral CSA Staff Notice 45-309 (Revised), *Guidance for Preparing and Filing an Offering Memorandum under National Instrument 45-106 Prospectus Exemptions*
- **New** — CSA Staff Notice CSA Coordinated Blanket Order 51-930, *Exempting Reporting Issuers Incorporated under the Canada Business Corporations Act from the Director Election Form of Proxy Requirement*

Ontario:

- **New** — Instrument 13-510, *Temporary Exemption from the Late Fee under Subsection 6.4(1) of Ontario Securities Commission Rule 13-502 Fees for the Late Filing of a Form 33-109F5 to Amend Item 10 of Form 33-109F4, which includes Disclosure Requirements in respect of Certain Outside Activities*
- **New** — Instrument 13-511, *Temporary Exemption from the Late Fee under Section 3.3 of Ontario Securities Commission Rule 13-503 (Commodity Futures Act) Fees for the Late Filing of a Form 33-506F5 to Amend Item 10 of Form 33-506F4, which includes Disclosure Requirements in respect of Certain Outside Activities*
- **New** — OSC CSA COORDINATED BLANKET ORDER 51-930, *Citation: Re Exemption From the Director Election Form*