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References are to:

1. sections of the Securities Act (“s”), the Securities Regulation (“Reg”) and the Alberta Securities Commission Rules (“Rule”);
2. numbers of the Securities Forms to the Rules (“Form”), the National Instruments (“NI”), the Multilateral Instruments (“MI”), the Companion Policies (“CP”), the National Policies (“NP”), the Canadian Securities Administrators Notices (“CSAN”), the Uniform Act Policies (“UP”), the ASC Policies (“AP”) and the ASC Notices (“AN”);
3. dates (year/month/day) or numbers (where orders were assigned a number) of the ASC Blanket Orders (“ABO”); and
4. numbers of the TSXVE Policies, the TSXVE Forms and the TSXVE Appendices.

References to the “Director” are to the Executive Director of the Commission; to “SRO” are to self-regulatory organizations.

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