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Borden Ladner Gervais LLP Securities Law and Practice Release No. 6, July 2025
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Securities Law and Practice Third Edition provides the only textual treatment of securities law in Canada. Organized according to the *Ontario Securities Act*, the extensive commentary that follows each section interprets and explains the practical implications of the provision, in the light of rulings of the Ontario Securities Commission and court decisions from across Canada, as well as U.S. decisions. It also includes comparisons to the equivalent Acts of Alberta, British Columbia and Quebec. Comprehensive securities-related primary material from every province and territory, including legislation and securities commission regulatory and policy materials, makes this an essential work for practitioners and securities regulators in all provinces.

This release features updates to Chapter 7 Self-Regulation, Chapter 15 Exemptions from Prospectus Requirements and Chapter 19 Insider Trading and Self-Dealing.

HIGHLIGHTS

- **Discretionary Rulings and Orders — Innovation in Canadian Capital Markets** — Application for time-limited relief from certain registrant obligations, prospectus requirement and trade reporting requirements. The suitability of relief to allow the Filer to distribute Crypto Contracts and operate a platform that facilitates the buying, selling, depositing, withdrawing and staking of crypto assets. Relief granted subject to certain conditions set out in the decision, including investment limits, account appropriateness, disclosure and reporting requirements. Relief is time-limited and will expire 12 months from the date of the Decision. Relief granted based on the particular facts and circumstances of the application with the objective of fostering capital raising by innovative businesses in Canada. The decision should not be viewed as precedent for other filers in the jurisdictions of Canada. *Newton Crypto Ltd., Re*, 2025 CarswellSask 111.
- **Self Regulation — Section 21 — Recognized Exchanges — Other Exchanges** — Section 78 of the Commodity Futures Act (Ontario) and section 147 of the Securities Act (Ontario) — Application for an order varying an existing order to allow ICE Futures US Inc. to offer direct trading access to participants located in Ontario that have obtained an exemption from the requirement to be registered under section 22 of the Commodity Futures Act — requested order granted. *ICE Futures U.S., Inc., Re*, 2025 CarswellOnt 4981, 48 O.S.C.B. 3367

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