

## INDEX ANALYTIQUE

La référence est à l'article de la loi ou du règlement. Elle se compose de l'abréviation de la loi, de la numérotation du règlement s'il y a lieu et de l'article.  
Exemple: Actions de plusieurs catégories, [LC] 48

### **-A-**

- Abus de position dominante**, [LCC] 78-79.1, *voir aussi* Agissement anti-concurrentiel
- Abus de pouvoir**
  - Recours, [LSA] 450-453
- ACFM**, *voir* Association canadienne des courtiers de fonds mutuels
- Accord bancaire**, [LCC] 49
  - Procédures similaires, [LCC] 49(4)
- Accord de spécialisation**, [LCC] 85-90
  - Définitions, [LCC] 85
  - Dispositions non applicables, [LCC] 90
  - Droit d'intervention du procureur général d'une province, [LCC] 88
  - Modification, [LCC] 87(1)
  - Ordonnance portant inscription au registre, [LCC] 86(1)
    - Autorisation conditionnelle, [LCC] 86(4)
    - Efficience et redistribution du revenu, [LCC] 86(3)
    - Éléments à considérer, [LCC] 86(2)
  - Radiation du registre, [LCC] 87(2)
  - Registre, [LCC] 89
- Accord entre concurrents**, [LCC] 45-45.1
- Accord ou arrangement empêchant ou diminuant sensiblement la concurrence**, [LCC] 90.1
  - Concurrent (définition), [LCC] 90.1(11)
  - Ordonnance, [LCC] 90.1(1)
  - Exception, [LCC] 90.1(7)-90.1(9)
    - Exception dans les cas de gains en efficience, [LCC] 90.1(4)
    - Facteurs pris en considération, [LCC] 90.1(6)
    - Restriction, [LCC] 90.1(5)
    - Facteurs à considérer, [LCC] 90.1(2)
    - Preuve, [LCC] 90.1(3)
  - Procédures commencées, [LCC] 90.1(10)
- Acheteur de bonne foi**
  - Définition, [LCSA] 48
- Acquéreur**
  - Définition, [LCSA] 48

### **Acquisition forcée d'actions**

- Actionnaire dissident, [LSA] 402
- Juste valeur des actions, [LSA] 407-410
- Avis, [LSA] 401
- Conditions, [LSA] 398-400
- Fonds en fidéicommis, [LSA] 404
- Modalités d'exercice du droit, [LSA] 401-410
- Remise des fonds ou de la contrepartie, [LSA] 403, 405-406, 410

### **Acte constitutif**

- Actions de plusieurs catégories, [LC] 48
- Certificat d'actions au porteur, [LC] 54
- Définition, [LC] 3.1
- Dépôt au registre, [LC] 11
- Élection des administrateurs, [LC] 88, 89
- Irrégularités, [LC] 5
- Personne morale, [CCQ] 310
- Société par actions (fédérale), [LCSA] 5

### **Acte criminel**

- Administrateur, [CCQ] 329-330

### **Acte d'accord**

- Adoption, [LC] 18(4)
- Contenu, [LC] 18(2)
- Dispositions applicables, [LC] 18(4.1)
- Soumission aux actionnaires, [LC] 18(3)
- Vote, [LC] 18(4)

### **Acte de fiducie**, *voir aussi* Fiduciaire

- Avis des cas de défaut, [LCSA] 90
- Définition, [LCSA] 48, 82
- Fiduciaire
- Conflit d'intérêts, [LCSA] 83
- Liste relative aux titres de créance, [LCSA] 85
- Preuve, [LCSA] 86-89

### **Action avec droit de vote**

- Définition, [LCSA-1] 73

### **Action en dommages-intérêts**

- Autorisation périmée, [LVM] 225.6
- Autorisation préalable, [LVM] 225.4
- Copie à l'Autorité, [LVM] 225.5
- Désistement ou transaction, [LVM] 225.7

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Détermination des dommages
- • Fluctuation du cours des titres, [LVM] 225.30
- • Méthode de calcul, [LVM] 225.28-225.29
- Faute lourde, [LVM] 225.15
- Montant des dommages, [LVM] 225.33
- Personnes visées, [LVM] 225.8-225.11
- Preuve du défendeur
- • Connaissance de la nature fausse ou trompeuse, [LVM] 225.17
- • Défendeur réputé avoir satisfait aux conditions, [LVM] 225.23
- • Enquête raisonnable, [LVM] 225.17-225.18
- • Moyens de défense, [LVM] 225.19-225.22, 225.24-225.27
- Preuve du demandeur, [LVM] 225.12-225.14
- Preuve non requise, [LVM] 215.1
- Règles applicables, [LVM] 213.1
- Regroupement d'informations, [LVM] 225.16
- Responsabilité des défendeurs, [LVM] 225.31-225.32

- Action en nullité**, voir aussi Assemblée des actionnaires
- Acquisition ou paiement d'actions, [LSA] 98
  - Opérations effectuées avec des documents contenant des informations fausses ou trompeuses, [LVM] 217, 222
  - Prescription, [LVM] 234
  - Règles applicables, [LVM] 213.1
  - Tribunal compétent, [LVM] 236.1

**Action en recouvrement**

- Usage d'informations privilégiées, [LVM] 229-233

**Action en révision de prix**, [LVM] 213.1, 217, 222, 234, 236.1

**Action rachetable**

- Définition, [LCSA] 2

**Actionnaire**, voir aussi Assemblée des actionnaires, Convention unanime des actionnaires, Proposition d'actionnaires

- Acquisition d'actions, [LSA] 89
- Aliénation affectant la poursuite d'activités substantielles, [LSA] 271-275
- Certificat d'actions, [LC] 53
- Compromis ou arrangement
- • Atteinte aux droits, [LC] 49
- Convention unanime, [LSA] 7, 213-220
- Définition, [LC] 3(4), 124(5), 191.1, 225(2); [LSA] 2
- Détenteur d'actions privilégiées, [LC] 48(10) et (11)
- Dissolution de société, [LSA] 304, 308-315
- Droit de vote
- • Représentant, [LC] 43
- Expulsion, [LSA] 221-223

- Fusion de sociétés, [LSA] 278
- Liquidation de la compagnie, [LLC] 24
- Liquidation de la société, [LSA] 324
- Livres de la société, [LSA] 32
- Nombre de voix nécessaires, [LSA] 7
- Noms
- • Compagnie existante, [LC] 14(2)
- Proposition, [LSA] 194-206
- Recours, [LSA] 439-467
- Règlement intérieur, [LSA] 113
- Rémunération des liquidateurs, [LLC] 14
- Représentation par fondé de pouvoir, [LSA] 170-173
- Responsabilité, [LSA] 224
- • Augmentation ou diminution du capital-actions, [LC] 60
- • Compagnie existante, [LC] 14(5)
- • Fiduciaires, [LC] 42
- • Limite, [LC] 41
- Statuts de la société
- • Annulation, [LSA] 266
- • Correction, [LSA] 247, 254
- Vérificateur
- • Nomination, [LSA] 231
- • Révocation, [LSA] 236

**Actionnaire (partie IA)**

- Actionnaire unique, [LC] 123.90
- Administration, [LC] 123.92
- Aide financière, [LC] 123.66-123.69
- Assemblée
- • Participation et vote, [LC] 123.95
- • Renonciation à un avis de convocation, [LC] 123.94
- • Résolution, [LC] 123.96
- Convention unanime, [LC] 123.91, 123.93
- Destitution d'un administrateur, [LC] 123.77
- Pouvoirs, [LC] 123.90
- Vérificateurs, [LC] 123.97

**Actionnaire de compagnie à fonds social**, voir aussi Compagnie à fonds social

- Compromis ou arrangement, [LC] 147
- Responsabilité
- • Droit de vote, [LC] 141
- • Fiduciaire, [LC] 140
- • Limites, [LC] 139
- Transfert, [LC] 163
- • Responsabilité des administrateurs, [LC] 164
- • Versements exigibles, [LC] 165

**Actionnaire (société fédérale)**

- Acquisition forcée d'actions, [LCSA] 206.1
- Approbation de la convention de fusion, [LCSA] 183
- Assemblée, [LCSA] 132

## INDEX ANALYTIQUE

- Convention de vote, [LCSA] 145.1
- Convention unanime, [LCSA] 146
- Définition, [LCSA] 226
- Fondé de pouvoir, [LCSA] 148, 152
- Immunité, [LCSA] 45
- Liste, [LCSA] 21(3) à (5), 138
- Modification à la structure de la société, [LCSA] 175, 176
- • Dissidence, [LCSA] 190
- Modification ou révocation de règlements, [LCSA] 103
- Pouvoirs, [LCSA] 268
- Vérificateur, [LCSA] 162, 163
  
- Actions, voir aussi** Acquisition forcée d'actions, Actionnaire, Capital-actions, Rachat d'actions
- Achat d'actions d'une autre compagnie, [LC] 44
- Achat ou rachat, [LC] 48(13), 123.52-123.60; [LSA] 85-90, 93-99
  - • Actions de sa personne morale mère, [LSA] 86
  - • Avis aux actionnaires, [LC] 51; [LSA] 89
  - • Confiscation, [LSA] 87
  - • Droit de vote afférent, [LSA] 87-88
  - • Effet, [LSA] 85
  - • Interdiction de détenir ses propres actions, [LSA] 86
  - • • Exception, [LSA] 87
  - • Moyens, [LSA] 93
  - • Nullité, [LSA] 98
  - • Par une compagnie, [LC] 123.42
  - • Restrictions, [LSA] 95-97
  - • Société qui devient filiale d'une personne morale, [LSA] 88
  - Acquisition forcée, [LSA] 398-410
  - Acte constitutif, [LC] 48
  - Annulation, [LC] 123.123
  - Augmentation du capital, [LC] 123.61
  - Avec certificat, [LSA] 63
  - Avec ou sans certificat, [LSA] 61-67
  - Avec valeur nominative, [LSA] 43
  - Catégorie, [LC] 48(1), 53; [LSA] 44
    - • Comportant une ou plusieurs séries d'actions, [LSA] 45
    - Certificat, [LC] 48(12); [LSA] 62
    - • Contenu, [LSA] 65
    - • Remplacement, [LSA] 64
    - Changement, [LC] 55(1), 56
    - Compagnie (partie IA), [LC] 123.38
    - Compagnie à fonds social (partie II), [LC] 142, 143-158
    - Conversion, [LC] 48(5)-(8); [LSA] 91
    - Dividendes, [LC] 48(4); [LSA] 103-105
    - Droit d'échange, d'option ou d'acquisition, [LSA] 56-57
    - Droit de préemption, [LSA] 55
  - Droit de vote, [LC] 48(3), 123.44-123.46
  - Droits, conditions et restrictions, [LC] 48(1); [LSA] 47-48
  - Droits égaux des actionnaires, [LSA] 49-50
  - Émission, [LC] 45, 48(2) et (9); [LSA] 52-60
    - • Commission, [LSA] 58
    - • Contrepartie, [LSA] 54
    - • Date, [LSA] 52
    - • Émission irrégulière, [LSA] 59
    - • Validation, [LSA] 60
  - Fiducie, [LC] 52
  - Fractionnement, [LSA] 51
  - Fractions, [LC] 55(3)
  - Impayées, [LSA] 75-80
    - • Actionnaire interdit de voter, [LSA] 80
  - • Confiscation, [LSA] 76-78
  - • Recouvrement des sommes dues, [LSA] 79
  - • Transfert, [LSA] 83-84
  - Modifications dans le nombre maximal ou la valeur nominale, [LSA] 92
  - Montant
  - • Publication, [LC] 45
  - Nominatives, [LSA] 46
  - Obligations d'un actionnaire ou d'un bénéficiaire envers les tiers, [LSA] 67
  - Opposabilité des droits, [LSA] 66
  - Rachat, [LSA] 372-397
  - Rachat unilatéral, [LSA] 94
  - Réduction du capital, [LC] 58, 59, 123.62, 123.63
  - Refonte, [LC] 55(2)
  - Répartition, [LC] 47
  - Sans valeur nominale, [LSA] 43, 70
  - Statuts de constitution de la société, [LSA] 5
  - Subdivision ou refonte, [LC] 55(1); [LSA] 90-92
  - Transactions devant faire l'objet d'un avis, [LCC] 108-124
  - Transfert, [LC] 46, 51(4); [LSA] 81-83
    - • Actions impayées, [LSA] 83
    - • Conditions, [LSA] 84
    - • Restrictions, [LSA] 82
- Actions (société fédérale)**
  - Acquisition, [LCSA] 34-36, 39, 206.1
  - • Commission, [LCSA] 41
  - • Exécution des contrats, [LCSA] 40
  - Caractéristiques, [LCSA] 24(1) et (2)
  - Catégories, [LCSA] 24(4)
  - Compte capital déclaré, [LCSA] 26
  - Définition, [LCSA] 126, 187(10), 206, 238
  - Détenzione, [LCSA] 31, 32; [LCSA-1] 36-38
    - • Droit de vote, [LCSA] 33
    - • Dividende, [LCSA] 42, 43
    - • Donation, [LCSA] 37
    - • Droit de préemption, [LCSA] 28



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Droits afférents, [LCSA] 24(3)
- Émission, [LCSA] 25
- • Actions en série, [LCSA] 27
- Interdiction, [LCSA] 30
- Option, [LCSA] 29
- Rachat, [LCSA] 36
- Réduction du capital, [LCSA] 38
- Restriction de vente, [LCSA] 46, 47
- Transfert, [LCSA] 32
- Actions (transfert), [LC] 46**
  - Absence d'effet, [LC] 71(1)
  - Actions non payées en entier, [LC] 72, 73
  - Administrateur
  - • Responsabilité envers les créanciers, [LC] 72
  - Offre d'acquisition, [LC] 51(4)
  - Refus d'enregistrement, [LC] 73
  - Registre des transferts, [LC] 71
- Actions remises, [LCSA-1] 35**
- Actions sans valeur nominale**
  - Capital autorisé, [LC] 13(1)
  - Capital versé, [LC] 13(2)
  - Certificat, [LC] 13(4)
  - Compagnie (partie IA), [LC] 123.38, 123.49
  - Compagnie à fonds social (partie II), [LC] 143, 158
  - Émission et répartition, [LC] 13(5), 45
  - Restrictions, [LCSA] 174
  - Valeur, [LC] 13(3)
- Activité de bourse ou de compensation de valeur**
  - Autorisation, [LVM] 169-169.1
  - • Condition, [LVM] 170
- Administrateur, voir aussi Conseil d'administration, Dirigeant**
  - Absence d'une réunion du conseil, [CCQ] 337 al. 2; [LSA] 139
  - Actes de procédure, [LC] 115
  - Actes interdits, [LSA] 155-157
  - Administrateur provisoire, [LC] 84
  - Adoption des résolutions, [LSA] 139
  - Annulation des actes, [CCQ] 328
  - Assemblée du conseil d'administration
  - • Renonciation à l'avis de convocation, [LC] 89.1
  - Assurance de la responsabilité, [LSA] 162
  - Augmentation du capital-actions, [LC] 57
  - Compagnie existante
  - • Désignation, [LC] 16
  - Concurrence (infraction), [LCC] 65(4)
  - Conflit d'intérêts, [CCQ] 323-326
  - Continuation des fonctions, [CCQ] 339; [LSA] 143
  - Conversion des actions, [LC] 55
  - Décisions du conseil d'administration, [CCQ] 336-337
  - Définition, [LVM] 5; [LVM-1] 1.1
  - Démission, [LSA] 142
  - Dénonciation d'intérêt, [CCQ] 324-325; [LSA] 122-133
  - Désigné
  - • Par les fondateurs de la société, [LSA] 107
  - • Par les membres de la personne morale, [CCQ] 338
  - Devoirs, [CCQ] 322; [LSA] 119-133
  - Diligence, [CCQ] 322 al. 1
  - Directives étrangères, [LCC] 46
  - Dissidence, [CCQ] 337 al. 1; [LSA] 139
  - Dissimulation du nom d'un créancier ayant le droit de s'opposer, [LC] 61
  - Dissolution de société, [LSA] 304
  - Documentation trompeuse (gagner un prix), [LCC] 53(5)
  - Durée maximale, [LC] 88
  - Élection, [LC] 85, 88, 89; [LSA] 110, 144
  - • Contestation, [LSA] 454-455
  - • Proposition d'actionnaires, [LSA] 198
  - • Vote cumulatif, [LSA] 111
  - États financiers
  - • Approbation, [LSA] 227
  - Fonction interdite par le tribunal, [CCQ] 329-330
  - Fondateur de la société, [LSA] 4
  - Frais et dépenses, [LC] 90
  - Fusion de sociétés
  - • Responsabilité pour les dettes, [LSA] 287
  - Honnêteté, [CCQ] 322 al. 2
  - Indemnisation, [LSA] 159-161
  - Information privilégiée, [LVM] 189
  - Infraction, [CLF] 207; [LSA] 493; [LC] 123
  - Inabilité, [CCQ] 327
  - Interdiction, [LVM] 273.3
  - Liquidation de la compagnie, [LLC] 1
  - Liste, [LSA] 8(1)
  - Loyauté, [CCQ] 322 al. 2
  - Mandat, [CCQ] 339; [LSA] 107, 110
  - • Fin, [LSA] 142
  - Mandataire de la personne morale, [CCQ] 321
  - Nombre, [LC] 87; [LSA] 106, 151, 152
  - Nombre de voix nécessaires, [CCQ] 336; [LSA] 7
  - Obligations, [CCQ] 321; [LSA] 119-120
  - Pénalité administrative, [LVM] 273.1, 273.2
  - Personne physique, [LSA] 108
  - Pouvoirs, [CCQ] 321; [LC] 91-93; [LSA] 112, 118
  - Présomption de conduite prudente et diligente, [LSA] 121
  - Prudence, [CCQ] 322 al. 1
  - Qualité d'actionnaire non requise, [LSA] 109
  - Qualités requises, [LC] 86

## INDEX ANALYTIQUE

- Recours, [LSA] 439-467
- Résolution écrite, [LSA] 140
- Responsabilité, [LC] 29; [LSA] 154, 158
- • Dividendes illégaux, [LC] 94
- • Exonération, [LSA] 158
- • Liquidateur de succession, [LC] 86(3)
- • Prêt à un actionnaire, [LC] 95
- • Salaires impayés des employés, [LC] 96; [LSA] 154
- • Transfert d'actions, [LC] 72
- Révocation, [LSA] 144, 150
- Supplémentaire, [LSA] 153
- Télémarketing trompeur, [LCC] 52.1(8)
- Unique, [LSA] 140
- Administrateur (partie IA), voir aussi Conseil d'administration (partie IA)**
  - Compromis ou arrangement, [LC] 123.107.1
  - Continuation de la compagnie, [LC] 123.132
  - Démission, [LC] 123.76
  - Dépenses, [LC] 123.88, 123.89
  - Destitution, [LC] 123.77-123.79
  - Diminution du nombre, [LC] 123.80
  - Évaluation de l'actif, [LC] 123.167
  - Fusion de compagnies, [LC] 123.124
  - Mandat
    - • Expiration, [LC] 123.76
    - Mandataire, [LC] 123.83
    - Personnes inéligibles, [LC] 123.73
    - Poursuite civile, [LC] 123.87, 123.89
    - Poursuite pénale ou criminelle, [LC] 123.87, 123.89
    - Présomptions
      - • Approbation, [LC] 123.85
      - • Conduite, [LC] 123.84
      - • Non-approbation, [LC] 123.86
      - Qualité d'actionnaire, [LC] 123.74
      - Rémunération, [LC] 123.75
      - Responsabilité
        - • Acquisition ou paiement d'actions, [LC] 123.58
        - • Aide financière à un actionnaire, [LC] 123.69
        - • Dividende, [LC] 123.71
        - • Fusion de compagnies, [LC] 123.121
        - • Réduction du capital-actions, [LC] 123.64
        - Réunion d'organisation, [LC] 123.17
        - Vacance, [LC] 123.78
  - Administrateur (partie II)**
    - Administrateur provisoire, [LC] 177
    - Élection, [LC] 178, 181, 182
    - Frais et dépenses, [LC] 184
    - Nombre, [LC] 177
    - • Changement, [LC] 180
    - Pouvoirs, [LC] 185, 186
    - Qualités requises, [LC] 179
  - Responsabilité
    - • Dissolution de la compagnie, [LC] 132
    - • Dividende illégal, [LC] 187
    - • Prêt aux actionnaires, [LC] 188
    - • Salaire des employés, [LC] 189
    - • Transfert d'actions, [LC] 164
    - Transfert d'actions (refus), [LC] 165
  - Administrateur (société fédérale)**
    - Assemblée annuelle, [LCSA] 133
    - Augmentation ou diminution
    - • Procédure, [LCSA] 112
    - Communication des intérêts, [LCSA] 120
    - Convention de fusion, [LCSA] 183
    - Définition, [LCSA] 2
    - Devoirs, [LCSA] 122
    - Dissidence, [LCSA] 123
    - Dissolution de la société, [LCSA] 210, 211
    - Élection
      - • Révision par le tribunal, [LCSA] 145
    - Fin du mandat, [LCSA] 108
    - Fonctions, [LCSA] 102
    - Incapacités, [LCSA] 105; [LCSA-1] 16
    - Indemnisation, [LCSA] 124
    - Liquidation de la société, [LCSA] 211
    - Liste, [LCSA] 106
    - Mise à jour des statuts constitutifs, [LCSA] 180
    - Modification à la structure de la société, [LCSA] 175
    - Modification ou révocation de règlements, [LCSA] 103
    - Obligations envers le vérificateur, [LCSA] 170
    - Pouvoirs, [LCSA] 121, 268
    - • Délégation, [LCSA] 115
    - • Limitation, [LCSA] 115(3)
    - Pouvoirs du séquestre-gérant
    - • Exercice interdit, [LCSA] 96
    - Présence à l'assemblée, [LCSA] 110
    - Rémunération, [LCSA] 125
    - Renseignements financiers, [LCSA] 155; [LCSA-1] 71, 72
    - Résolution, [LCSA] 117
    - • Présomption d'acquiescement, [LCSA] 123
    - Responsabilité, [LCSA] 118, 119
    - Révocation, [LCSA] 109
    - Vacance
      - • Procédure, [LCSA] 111
      - Validité des actes, [LCSA] 116
      - Vente d'actions faisant l'objet de restrictions, [LCSA] 46(2)
      - Vote cumulatif, [LCSA] 107
  - Administrateur d'indice de référence**
    - Communication de document ou renseignement, [LVM] 237

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Déclaration interdite, [LVM] 186.4
- Définition, [LVM] 5
- Désignation par l'Autorité, [LVM] 186.2.0.1
- Exigences, [LVM] 186.2.1
- Inspection, [LVM] 186.3
- Pratiques et procédures, [LVM] 186.6
- Règlement, [LVM] 33.1

**Administrateur d'une autre personne morale,** [CCQ] 304 al. 2

**Administrateur de plan**

- Dispense d'inscription, [LVM-2] 8.16

**Administrateur du bien d'autrui**

- Actions, [LSA] 87
- Assemblée annuelle des actionnaires, [LSA] 181
- Immatriculation, [LPLE] 29, 160.1

**Affaires internes**

- Définition, [LCSA] 2

**Affilié**, voir Filiale

**Âge**

- Constitution d'une compagnie, [LC] 7
- Constitution d'une personne morale ou association, [LC] 219
- Constitution d'une société par actions (fédérale), [LCSA] 5(1)a)

**Agence de notation**

- Communication de document ou renseignement, [LVM] 237
- Déclaration interdite, [LVM] 186.4
- Définition, [LVM] 5
- Désignation par l'Autorité, [LVM] 186.1
- Désignée, [LVM-1] 1.1, 2.35.1.1; [LVM-2] 1.1, 8.21
- Exigences réglementaires, [LVM] 186.2
- Inspection, [LVM] 186.3
- Note de crédit, [LVM] 186.5
- Pratiques et procédures, [LVM] 186.6
- Règlement, [LVM] 33.1
- Remplaçante, [LVM-1] 1.1, 2.35.1.1; [LVM-2] 1.1

**Agence de traitement de l'information**, [LVM] 331.1

- Autorisation de l'Autorité, [LVM] 169-169.1, 171.1-171.2

**Agence du revenu du Canada**, [LCSA] 21.31(2)b)

**Agence du revenu du Québec**, [LPLE] 142

**Agent de marques de commerce**, [LMC] 51.13

**Agissement anti-concurrentiel**, [LCC] 78-79.1

- Définition, [LCC] 78(1)
- Exception, [LCC] 79(5)
- Ordonnance d'interdiction, [LCC] 79(1)
- • Efficience économique supérieure, [LCC] 79(4)
- • Restriction, [LCC] 79(3)

- • Supplémentaire ou substitutive, [LCC] 79(2)
- Prescription, [LCC] 79(6)
- Procédures commencées, [LCC] 79(7)
- Sanction administrative pécuniaire, [LCC] 79(3.1)
- • But, [LCC] 79(3.3)
- • Facteurs à prendre en compte, [LCC] 79(3.2)
- • Impayées, [LCC] 79.1

**Apparence trompeuse d'activité de négociation d'un titre**, [LVM] 199.1(1)

**Appel**

- Concurrence, [LCC] 73(3)
- • Continuation ou répétition de l'infraction (ordonnance), [LCC] 34(3)-34(4)
- • Ordonnance, [LCC] 74.18-74.19
- Liquidation judiciaire de la compagnie, [LLC] 32, 32.1
- Marque de commerce, [LMC] 56
- Ordonnance du tribunal (société fédérale), [LCSA] 249

**Appel de versements**

- Absence de paiement, [LC] 67
- • Confiscation d'actions, [LC] 69, 161
- • Poursuite, [LC] 70, 162
- • Preuve, [LC] 70, 162
- Présomption, [LC] 67, 159
- Procédure, [LC] 66, 159
- Versement anticipé, [LC] 68, 160

**Appel public à l'épargne**

- Information sur les valeurs en circulation, [LVM] 68
- Présomption, [LVM] 68, 338
- Prospectus, [LVM] 11
- Prospectus provisoire, [LVM] 20
- Prospectus simplifié, [LVM] 18

**Archives**, voir Registre et archives

**Arrangement**, voir aussi Assemblée des actionnaires

- Conditions, [LSA] 417
- Définition, [LCSA] 192
- Demande, [LSA] 414
- Objet, [LSA] 415
- Ordonnance du tribunal, [LSA] 416
- Prise d'effet, [LSA] 420
- Règles procédurales, [LSA] 416
- Statuts, [LSA] 418-419

**Arrangement entre concurrents**, [LCC] 45-45.1

**Assemblée**

- Définition, [LCSA] 2

**Assemblée annuelle**, voir aussi Proposition d'actionnaires

- À l'extérieur du Québec, [LSA] 164
- Actionnaire, [LC] 98.1
- Adoption d'une résolution, [LSA] 185

## INDEX ANALYTIQUE

- Ajournement, [LSA] 190
  - Au Québec, [LSA] 164
  - Autres renseignements, [LC] 98(2)*d*
  - Avis de convocation
  - • Au vérificateur, [LSA] 166
  - • Aux actionnaires, [LSA] 165
  - • Date de référence, [LSA] 169
  - • Contenu, [LSA] 167
  - • Émetteur assujetti, [LSA] 165, 169
  - • Renonciation, [LSA] 168
  - Bilan, [LC] 98(2) et (3)
  - Bulletins de vote
  - • Conservation, [LSA] 189
  - Compagnie à fonds social (partie II), [LC] 191-191.12
  - Convocation, [CCQ] 352; [LC] 97, 116; [LSA] 163
  - • Pouvoir du tribunal, [LSA] 193
  - Date, [LC] 98(1)
  - Déroulement, [LSA] 174-190
  - Droit de vote, [LSA] 179-182
  - États financiers, [LSA] 225
  - Lieu, [LC] 98(1); [LSA] 164
  - Nombre de voix de l'actionnaire, [LSA] 179
  - Participation, [LSA] 174, 180-181
  - Président, [LSA] 186-187
  - • Départage en cas d'égalité des voix, [LSA] 188
  - • Proposition d'actionnaires, [LSA] 199
  - Procuration, [LSA] 170-173
  - • Conservation, [LSA] 189
  - Proposition d'actionnaires, [LSA] 194-206
  - Quorum, [LSA] 176
  - Rapport du vérificateur, [LC] 98(2)*c*)
  - Relevé général des recettes et des dépenses, [LC] 98(2)*b*)
  - Résolution écrite de l'actionnaire unique, [LSA] 178
  - Tenue, [LSA] 175
  - • Par le seul actionnaire de la société, [LSA] 177
  - • Vote, [LSA] 183-184
  - • Par catégorie, [LSA] 191-192
  - Assemblée des actionnaires, voir aussi Assemblée annuelle, Assemblée extraordinaire**
  - Assemblée annuelle, [LSA] 163-206
  - Assemblée extraordinaire, [LSA] 207-212
  - Assemblée des actionnaires (société fédérale)**
  - Avis, [LCSA] 135; [LCSA-1] 44
  - • Renonciation, [LCSA] 136
  - Convocation, [LCSA] 133
  - • Demande, [LCSA] 143
  - • Par le tribunal, [LCSA] 144
  - Date de référence, [LCSA] 134; [LCSA-1] 43
  - Droit de vote, [LCSA] 140
  - Fondé de pouvoir, [LCSA] 152
  - Propositions, [LCSA] 137; [LCSA-1] 46-53
  - Quorum, [LCSA] 139
  - Résolution, [LCSA] 142
  - Tenue, [LCSA] 132
  - Vérificateur, [LCSA] 168
  - Vote, [LCSA] 141; [LCSA-1] 45
- 
- Assemblée des membres (personne morale), [CCQ]**
  - 311, 345-354
  - Convocation, [CCQ] 345 al. 1
  - • Avis, [CCQ] 346-347
  - Décisions, [CCQ] 351
  - • Vote des membres, [CCQ] 351 al. 2
  - Délibérations, [CCQ] 349
  - Ordre du jour, [CCQ] 348
  - Première assemblée, [CCQ] 345 al. 2
  - Renonciation, [CCQ] 353
  - Représentation d'un membre, [CCQ] 350
  - Résolutions, [CCQ] 354
  - Assemblée extraordinaire**
  - Administrateur
  - • Révocation, [LSA] 144
  - Avis de convocation, [LSA] 208
  - Changement de dénomination sociale, [LC] 21
  - Compagnie à fonds social (partie II), [LC] 192
  - Conseil d'administration
  - • Vacance, [LSA] 146
  - Conversion d'une compagnie sans capital-actions, [LC] 17
  - Convocation, [CCQ] 352; [LC] 97, 99, 116, 123.78; [LSA] 207, 209
  - • Exception, [LSA] 211
  - Demande, [LSA] 208
  - Dépenses, [LSA] 210
  - Destitution d'un administrateur, [LC] 123.77, 123.78
  - Fusion, [LC] 18(3)
  - Pouvoirs de la compagnie, [LC] 37
  - Président, [LC] 100
  - Vérificateur
  - • Révocation du mandat, [LSA] 236
  - • Vacance de charge, [LSA] 237
  - Assemblée générale, voir aussi Assemblée des membres**
  - Compagnie à fonds social (partie II), [LC] 190-196, 209
  - Convocation, [LC] 97, 99, 116
  - Emprunt et hypothèque, [LC] 77
  - Fondé de pouvoir, [LC] 103
  - Liquidation de la compagnie, [LLC] 2, 15, 16
  - Président, [LC] 100
  - Procédure, [LC] 115
  - Procuration, [LC] 103

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Résolution, [LC] 101
- Vote, [LC] 101, 102
- Assemblée nationale du Québec**
  - Examen de la Loi, [LVM] 352
- Association canadienne des courtiers de fonds mutuels (ACFM), [LVM-2]** 1.1
  - Dispenses de certaines obligations, [LVM-2] 9.4
- Association coopérative**
  - Dispense d'inscription, [LVM-2] 8.15
- Association d'intérêts, [LCC]** 110(5)-110(8), 112
- Assujetti, [PLPE]** 18
- Assurance**
  - Conseiller, [LVM-2] 12.4
  - Courtier, [LVM-2] 12.3
  - Gestionnaire de fonds d'investissement, [LVM-2] 12.5
  - Société inscrite, [LVM-2] 12.6
  - Modification ou résiliation, [LVM-2] 12.7
- Assurance de responsabilité**
  - Administrateur et dirigeant, [LSA] 162
- Audit**
  - Société inscrite, [LVM-2] 12.8-12.9
- Authenticité**
  - Définition de « Authentique », [LCSA] 48
- Authentique, voir** Authenticité
- Autorité des marchés financiers, voir aussi** Blocage, Prospectus, Tribunal administratif des marchés financiers
  - Accord de réciprocité, [LVM] 308.2, 308.2.1.1-308.2.1.6
  - Paiement, [LVM] 308.2.1.6
  - Précision, [LVM] 308.2.1.5
  - Présomptions, [LVM] 308.2.1, 308.2.1.2, 308.2.1.4
  - Administration de la Loi, [LVM] 276
  - Adresse, [LVM] 293
  - Agence de notation, [LVM] 186.1, 186.2, 186.3-186.6
  - Appel public à l'épargne, [LVM] 68
  - Arrangement, [LSA] 414
  - Avis au ministre, [LVM] 276.3
  - Blocage, [LVM] 249
  - Coffre-fort, [LVM] 251
  - Bulletin, [LVM] 169.1, 298
  - Contrat d'investissement, [LVM] 66
  - Courtier ou conseiller, [LVM] 151
  - Dépôt des états financiers, [LVM] 158
  - Filiale, [LVM] 148.1
  - Inspection, [LVM] 151.1, 151.1.1
  - Modification relative aux informations fournies, [LVM] 159
- Représentant, [LVM] 149
- Traitement des plaintes, [LVM] 168.1.2, 168.1.3
- Décision, [LVM] 312, 318.2
- Analyse des faits réalisée par un autre organisme, [LVM] 318.1
- Annulation, [LVM] 286
- Erreur matérielle, [LVM] 320.2
- Homologation, [LVM] 320.1
- Motivation, [LVM] 318, 319
- Préavis, [LVM] 318
- Prise d'effet, [LVM] 318
- Procédure applicable, [LVM] 313
- Révision, [LVM] 310, 322
- Révocation, [LVM] 318
- Suspension, [LVM] 314.1
- Transmission, [LVM] 320
- Délégation de pouvoirs, [LVM] 283.1, 306, 321.1
- Affaire devant une autre autorité, [LVM] 307.4
- Compétence d'une autre autorité, [LVM] 307.3
- Compétence locale, [LVM] 307, 307.1, 307.3
- Décision d'une autre autorité, [LVM] 307.5, 311
- Interdiction, [LVM] 307.2
- Désignation à titre de fonds d'investissement à capital fixe, d'organisme de placement, d'initié ou d'émetteur assujetti, [LVM] 272.2
- Discrétion, [LVM] 316
- Dispense d'obligations, [LVM] 263
- Disposition non applicable, [LVM] 285
- Document
  - Accès, [LVM] 296
  - Adresse de réception, [LVM] 293
  - Consultation des rapports, [LVM] 297
  - Remplacement, [LVM] 294.1
  - Signification, [LVM] 294
- Document publicitaire, [LVM] 271
- Dossier de plainte, [LVM] 168.1.3, 168.1.4
- Émetteur assujetti, [LVM] 68
- Liste, [LVM] 71
- Émetteur assujetti sur demande, [LVM] 68.1, 69, 69.1
- Emprise sur des titres, [LVM] 92, 96, 97
- Enquête, [LSA] 423
- Assistance d'un avocat, [LVM] 246
- Communication de documents, [LVM] 237
- Communication et remise de pièce, [LVM] 242, 243
- Délégation de pouvoirs, [LVM] 237
- Dispositions applicables, [LVM] 240
- Frais, [LVM] 273.2
- Huis clos, [LVM] 244
- Interdiction de communication, [LVM] 245
- Interrogatoire, [LVM] 238, 241
- Objet, [LVM] 239
- Pouvoirs au cours d'une enquête, [LVM] 249

## INDEX ANALYTIQUE

- • Témoin, [LVM] 241
  - Enquêteur
  - • Désignation, [LVM] 247
  - • Justification de sa qualité, [LVM] 248
  - • Non-membre du personnel, [LVM] 247
  - • Pouvoirs, [LVM] 248
  - • Rapport, [LVM] 248
  - États financiers d'une filiale de société
  - • Consultation interdite, [LSA] 229
  - Expert, [LVM] 292
  - Fiduciaire d'un fonds d'investissement, [LVM] 109.6
  - Frais, [LVM] 330.9, 330.10
  - Frais d'enquête, [LVM] 212
  - Frais de représentation des épargnants, [LVM] 314.1
  - Immunité, [LVM] 283
  - Indice de référence, [LVM] 186.2.0.1
  - • Administrateur, [LVM] 186.2.0.1-186.4, 186.6
  - Infraction, [LVM] 197, 199
  - • Reliée aux valeurs mobilières, [LVM] 272
  - Injonction, [LVM] 268
  - Inspection
  - • Frais, [LVM] 273.2
  - Instance civile
  - • Intervention, [LVM] 269
  - Instructions générales, [LVM] 274
  - Intérêt, [LVM] 269.1
  - Interruption du placement, [LVM] 38
  - • Diffusion de l'ordonnance, [LVM] 39
  - Langue des documents, [LVM] 40.1
  - Législation en valeurs mobilières d'une autre autorité
    - • Décision rendue par une autre autorité, [LVM] 308.0.3
    - • Intégration par renvoi et reconnaissance, [LVM] 308-308.1.1
    - Liquidation de société, [LSA] 353
    - Mission, [LVM] 276
    - Mode de transmission des documents, [LVM] 10.6
    - • Règlement, [LVM] 10.7
    - Organisme d'autoréglementation, activités de bourse et de compensation de valeurs
      - • Autorisation, [LVM] 169-169.1, 170
      - • Règlement, [LVM] 171.2
      - • Système de négociation parallèle de valeurs, [LVM] 171, 171.1-171.2
      - Pénalité administrative, [LVM] 273.1, 274.1
      - Placement de titres par l'émetteur, [LVM] 64
      - Placement de titres par un tiers, [LVM] 40
      - Poursuite en justice, [LVM] 269.2
      - Pouvoir discrétionnaire, [LVM] 274, 334
      - Pouvoirs, [LVM] 309
      - • Embauche d'un vérificateur, [LVM] 151.5
  - • Radiation, suspension ou condition à une inscription, [LVM] 151.0.1, 152.1
  - • Réglementation, [LVM] 331-331.2, 333-335
  - • Projet de règlement, [LVM] 331.2
  - Prolongation du placement
  - • Cas douteux, [LVM] 37
  - Proposition d'actionnaires, [LSA] 206
  - Propriété de l'amende, [LVM] 210.1
  - Prospectus, [LVM] 27, 28
  - Rapport à l'Office québécois de la langue française, [LVM] 302.1
  - Rapport d'activités, [LVM] 302, 335.1-335.3
  - Recours, [LSA] 444
  - Recours interdits, [LVM] 284
  - Registre des émetteurs assujettis, [LVM] 70
  - Renseignement personnel, [LVM] 297.1-297.6
  - Respect de la Loi, [LVM] 272.1
  - Services offerts, [LVM] 276.2
  - Visa, [LVM] 14, 15
- Autre autorité**, voir Autorité des marchés financiers,  
Compétence locale
- Décision, [LVM] 307.5
  - • Appel, [LVM] 307.6
  - • Dispositions applicables, [LVM] 307.6
  - • Exécution, [LVM] 307.7
  - • Renvoi, [LVM] 311
  - Définition, [LVM] 305.1
- Autre compagnie**
- Définition, [LC] 3(2), 124(3)
- Avis**
- Ordonnance provisoire (fusionnement), [LCC] 100(2)
- Avis de dissolution**
- Preuve au registraire des entreprises, [LC] 28(4)
  - Publication, [LC] 28.1
- Avoir maximum individuel**
- Définition, [LCSA-1] 73
- Avoir maximum total**
- Définition, [LCSA-1] 73

-B-

**Banque de développement du Canada**, [LVM-2] 1.1

**Banque de l'Annexe III**, [LVM-2] 1.1

- Dispense d'inscription, [LVM-2] 8.15

**Bien**

- Définition, [LCSA] 25(5)

**Bilan**, [LC] 98(2)a) et (3), 114(3) et (4), 122, 191, 207

**Blogeage**

- Audience et prolongation, [LVM] 250
- Coffre-fort, [LVM] 251

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Demande de précisions au Tribunal administratif des marchés financiers, [LVM] 255
  - Ordonnance, [LVM] 249
  - Application, [LVM] 252-254
  - Notification, [LVM] 256
  - Prise d'effet, [LVM] 250
- Bonne foi**
- Courtier ou conseiller, [LVM] 160
  - Définition, [LCSA] 48
- Bourse, [LVM] 237(2.1)**
- Autorisation de l'Autorité, [LVM] 169-169.1, 171.1
- Bourse de croissance TSX, voir Placement au moyen d'un document d'offre conforme à la politique de la Bourse de croissance TSX**
- Brevet d'invention**
- Restriction au commerce, [LCC] 32
- Bureau du surintendant des institutions financières du Canada, [LVM-2] 1.1**
- C-**
- Cabinet d'experts-comptables**
- Vérification des états financiers de l'émetteur assujetti, [LVM] 71.1-71.3
- Caisse de retraite**
- Poursuites en valeurs mobilières, [LVM] 6
- Canadien**
- Définition, [LCSA-1] 73
- Capital-actions, voir aussi Actions, Compagnie (partie IA)**
- Acquisition d'actions, [LCSA] 39; [LSA] 85-99
  - Augmentation, [LSA] 100
  - Caractère limité ou illimité, [LSA] 43
  - Catégorie d'actions, [LSA] 44
  - Compagnie (partie IA), [LC] 123.38-123.42, 123.47-123.51, 123.61-123.65
  - Compte de capital-actions émis et payé, [LSA] 68-74
  - Acquisition d'actions ou de fractions d'actions, [LSA] 72
  - Conversion ou échange d'actions, [LSA] 73
  - Modification, [LSA] 71
  - Versement, [LSA] 69
  - Compte distinct, [LCSA] 26
  - Constitution, [LSA] 43-51
  - Donation ou legs, [LSA] 99
  - Droits associés aux actions, [LSA] 47
  - Maintien, [LSA] 93-105
  - Modification, [LSA] 85-92
  - Augmentation, [LC] 57, 63
  - Lettres patentes supplémentaires, [LC] 65
- Réduction, [LC] 58, 59, 63; [LCSA] 38
  - Publication des motifs, [LC] 62
  - Requête, [LC] 64
  - Responsabilité des actionnaires, [LC] 60
  - Paiement du dividende, [LC] 81; [LCSA] 42, 43
  - Réduction, [LSA] 101
  - Recours du créancier, [LSA] 102
  - Statuts de constitution de la société, [LSA] 5(3)
- Cas de défaut**
- Définition, [LCSA] 82
- Catégorie restreinte**
- Définition, [LCSA-1] 73
- Cautionnement pour frais, [LSA] 442**
- CELI**
- Définition, [LVM-1] 1.1
  - Dispense de prospectus, [LVM-1] 2.40
- Certificat**
- Actions sans valeur nominale, [LC] 13(4)
  - Authenticité, [LC] 2.4
  - Procès-verbal, [LC] 107
- Certificat d'actions, [LSA] 62, 64-65, voir aussi Actions**
- Au porteur, [LC] 54, 152
  - Compagnie à fonds social (partie II), [LC] 146(12), 151, 152, 158(3)
  - Contenu, [LC] 53(1), 151(1), 158(3); [LCSA] 49(7) et (13)
  - Frais, [LC] 53(1) et (3), 151(1) et (3)
  - Perte, destruction ou détérioration, [LC] 53(3), 151(3)
  - Preuve, [LC] 53(2), 151(2)
- Certificat de valeur mobilière**
- Définition, [LCSA] 48
  - Droits du détenteur, [LCSA] 49
  - Frais exigibles, [LCSA] 49(2)
  - Obligation de la société, [LCSA] 49(14)
  - Restrictions, [LCSA] 49(8), (10) et (11)
  - Signature, [LCSA] 49(4) et (6)
- Certificat scrip, [LC] 50(3), 71(2), 148(3), 163(2); [LCSA] 49(16) et (18)**
- Cession de biens, [LVM] 151.0.1(1)**
- Chambre de compensation, [LVM] 237(2.2)**
- Autorisation de l'Autorité, [LVM] 169-169.1, 171.1
  - Transfert de valeurs mobilières et obtention de titres intermédiaires, [LTV] 4
- Chambre de la sécurité financière**
- Décision
  - Pouvoirs de l'Autorité, [LVM] 151.0.1(4)
- Changement important**
- Définition, [LVM] 5.3

## INDEX ANALYTIQUE

### **Charte**

- Attribution, [LC] 6
- Définition, [LC] 124; [LCSA] 268
- Documents assimilés, [LCSA] 268(1)

### **Charte de la langue française (RLRQ, c. C-11), [LC] 9.1; [LPLE] 17(1)**

- Nom de la société, [LSA] 16(1)

### **Chef de la conformité, [LVM-2] 2.1**

- Compétence initiale et continue, [LVM-2] 3.4(2)
- D'un courtier
- En épargne collective, [LVM-2] 3.6
- En plans de bourses d'études, [LVM-2] 3.8
- Sur le marché dispensé, [LVM-2] 3.10
- D'un gestionnaire
- De fonds d'investissement, [LVM-2] 3.14
- De portefeuille, [LVM-2] 3.13
- D'une société inscrite, [LVM-2] 5.2, 11.3
- Accès au conseil d'administration, [LVM-2] 11.4

### **Circuit intégré enregistré (topographie)**

- Restriction au commerce, [LCC] 32

### **Circulaire de la direction**

- Proposition d'actionnaires, [LSA] 196

### **Circulaire de procuration de dissident (société fédérale)**

- Déclaration, [LCSA-1] 64(2)
- Forme, [LCSA-1] 57(1)
- Société qui n'a pas fait appel au public, [LCSA-1] 57(2)

### **Circulaire de procuration de la direction (société fédérale)**

- Contenu, [LCSA-1] 55(2)
- Société qui n'a pas fait appel au public, [LCSA-1] 55(3)
- Déclaration, [LCSA-1] 56
- Forme, [LCSA-1] 55(1)

### **Client, voir aussi Société inscrite**

- Connaissance, [LVM-2] 13.2
- Convenance, [LVM-2] 13.3
- Renonciation, [LVM-2] 13.3.1

### **Club d'investissement**

- Dispense d'inscription, [LVM-2] 8.10, 8.27
- Dispense de prospectus, [LVM-1] 2.20

### **Code civil du Bas Canada, [LPLE] 293**

### **Code civil du Québec (L.Q. 1991, c. 64), [CLF] 55.1, 204.16, 204.25-204.27; [LC] 31, 134; [LVM] 235, 273.3; [LPLE] 96**

### **Code criminel (L.R.C. (1985), ch. C-46), [LCC] 2(1), 11(3), 14.1, 23(2), 30.24, 67(2), 73; [LCSA] 233; [LSA] 462**

### **Code de procédure civile (RLRQ, c. C-25.01), [LC] 120; [LPLE] 10; [LVM] 225.4, 268, 284, 285; [LSA] 452**

### **Code de procédure pénale (RLRQ, c. C-25.1), [LPLE] 144, 163; [LVM] 208.1**

### **Code de la sécurité routière (RLRQ, c. C-24.2), [CLF-1] 15**

### **Code des professions (RLRQ, c. C-26), [LPLE-2] 2(22); [LSA] 433; [LSA-4] 1(22); [LSA-5] 1(22)**

### **Comité de gestion de la taxe scolaire de l'île de Montréal, [LVM-2] 1.1**

### **Comité exécutif**

- Composition, [LC] 92, 183
- Dispositions applicables, [LC] 89.4
- Pouvoirs, [LC] 92, 183

### **Commercialisation à paliers multiples, [LCC] 55, 74.07(2)**

- Assertions quant à la rémunération, [LCC] 55(2), 55(2.1)
- Défense, [LCC] 55(2.2)
- Définition, [LCC] 55(1)
- Peine, [LCC] 55(3)

### **Commissaire de la concurrence, voir aussi Sous-commissaire de la concurrence**

- Absence ou empêchement, [LCC] 8(2)(3)
- Adjoints techniques ou spéciaux, [LCC] 27
- Aide aux pays étrangers, [LCC] 52.02, 74.012
- Avis, [LCC] 124.1
- Certificat, [LCC] 103.1(3)
- Communication de renseignements
- Au ministre des Finances, [LCC] 29.2
- Au ministre des Transports, [LCC] 29.1
- Confidentialité, [LCC] 29
- Consentement, [LCC] 105-106.1
- Dénonciation
- Confidentialité, [LCC] 66.1(2)
- Directives étrangères, [LCC] 46(2)
- Enquête, [LCC] 10(1), 103.1(12)
- Avocat, [LCC] 21
- Cas soumis au Procureur général du Canada, [LCC] 23
- Demande, [LCC] 9(1)
- Destruction ou modification de documents ou autres choses, [LCC] 65(3)
- Détails à fournir (exposé), [LCC] 9(2)
- Discontinuation, [LCC] 22
- Documents en la possession d'une affiliée, [LCC] 11(2)
- Examen des documents et autres choses, [LCC] 20
- Fonctionnaire d'instruction, [LCC] 11(1)a, 12(3), 13-14
- Fusionnement, [LCC] 100(8)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Mandat de perquisition, [LCC] 15-18, 19(2), 65(1), 65(3)
- • Ordonnance d'injonction provisoire, [LCC] 74.111(8)
- • Ordonnance de préservation ou de communication, [LCC] 14.1
- • Ordonnance exigeant une déposition orale ou une déclaration écrite, [LCC] 11, 19(1), 65(1), 65(3)
- • Ordonnance temporaire, [LCC] 74.11(6)
- • Ordre de préservation, [LCC] 14.1
- • Privée, [LCC] 10(3)
- • Rapport provisoire demandé par le ministre, [LCC] 28
- • Règlements, [LCC] 24
- • Renseignements, [LCC] 10(2)
- • Secret professionnel, [LCC] 19
- • Témoignage, [LCC] 12
- Enquête du sous-commissaire, [LCC] 8(4)
- Entraide juridique, [LCC] 30.291
- Fonctions, [LCC] 7(1), 8(5)
- Intervention devant le Tribunal, [LCC] 103.2
- Limite applicable, [LCC] 103.1(10)
- Nomination, [LCC] 7(1)
- Observations, [LCC] 125-126
- Ordonnance provisoire, [LCC] 103.3-104
- Personnel, [LCC] 25-26
- Pouvoirs, [LCC] 8(5)
- Rapport au Parlement, [LCC] 127
- Recours administratifs, [LCC] 74.09-74.16
- Renvois au Tribunal, [LCC] 124.2
- Serment professionnel, [LCC] 7(2)
- Traitement, [LCC] 7(3)
- Vacance du poste, [LCC] 8(2)(3)
  
- Compagnie, voir aussi** Dénomination sociale, Règlement de la compagnie, Société par actions, Valeur mobilière
- Achat d'actions d'autres compagnies, [LC] 44
- Actes de procédure, [LC] 115
- Avis transmis par la poste, [LC] 117
- Bureaux et agences, [LC] 32
- Charte, [LC] 6
- Constitution en personne morale, [LC] 3
- • Application de la Loi, [LC] 4
- • Compagnie existante, [LC] 14
- Contrats et autres actes, [LC] 36
- Définition, [LC] 3(1), 124(2), 225(1)
- Dénomination sociale, [LC] 7, 9.1, 18.1-22, 33-35
- Dispositions transitaires et finales, [LSA] 715-729
- Dividendes illégaux, [LC] 94
- Inspection, [LC] 110-112
- Lettres patentes supplémentaires, [LC] 4(2)
  
- Pouvoirs
- • Augmentation, [LC] 15
- • • Date, [LC] 40
- • • Demande au registraire des entreprises, [LC] 38
- • • Dispositions applicables, [LC] 38
- • • Lettres patentes supplémentaires, [LC] 40
- • • Preuve, [LC] 39
- • • Procédure, [LC] 37
- • Autres, [LC] 31
- • Dispositions applicables, [LC] 31
- • Emprunt et hypothèque, [LC] 77
- • Exercice, [LC] 30
- • Pouvoirs additionnels, [LC] 31
- • Pouvoirs généraux, [LC] 31-36
- Siège, [LC] 32
- Vérificateur, [LC] 113, 114
  
- Compagnie (constitution), voir aussi** Registraire des entreprises
- Âge des requérants, [LC] 7
- Certificat de constitution, [LC] 123.16
- Charte, [LC] 6
- Compagnie existante, [LC] 14, 15
- Dénomination sociale, [LC] 7, 9.1
- Lettres patentes, [LC] 6, 8, 9, 10.1, 12; [LC-3] 1-3
- Mémoire des conventions, [LC] 8
- Preuve, [LC] 119
- Requête, [LC] 7, 8, 14
  
- Compagnie (dissolution)**
- Acte de dissolution, [LC] 28.1
- Attestation de dissolution, [LC] 28.2
- Avis, [LC] 28(4), 28.1; [LLC] 19
- Compagnie existante, [LC] 14
- Conditions, [LC] 28
- Date, [LC] 28.1
- Responsabilité des administrateurs, [LC] 29
  
- Compagnie (fusion), voir aussi** Acte d'accord
- Acte d'accord, [LC] 18(2)-(4.1)
- Compagnies visées, [LC] 18(1)
- Date, [LC] 18(5)
- Droit des créanciers, [LC] 18(6)
- Requête pour lettres patentes, [LC] 18(5)
  
- Compagnie (liquidation), voir aussi** Liquidateur
- Application de la Loi
- • Ministre responsable, [LLC] 34
- Assemblée générale, [LLC] 2
- Liquidation judiciaire, [LLC] 24, 25.1
- • Arrêt des procédures, [LLC] 26
- • Dispositions applicables, [LLC] 31
- • Droit du créancier, [LLC] 27
- • Effet de l'ordonnance, [LLC] 25
- Liquidation volontaire, [LLC] 1

## INDEX ANALYTIQUE

- Ordonnance de liquidation
- Appel, [LLC] 32
- Paiement des dettes, [LC] 60
- Paiement des salaires [LC] 96
- Reprise des opérations, [LLC] 18
- Résolution, [LLC] 3
- Statut et pouvoirs constitutifs, [LLC] 4
- Vote, [LLC] 3
- Compagnie (partie IA), voir aussi Administrateur (partie IA), Capital- actions, Dénomination sociale B compagnie (partie IA), Livres de la compagnie, Vérificateur (partie IA)**
  - Actions
  - Acquisition, [LC] 123.52, 123.56, 123.59
  - Détenzione, [LC] 123.43, 123.44
  - Donation ou legs, [LC] 123.60
  - Paiement, [LC] 123.57
  - Rachat, [LC] 123.53-123.55
  - Responsabilité des administrateurs, [LC] 123.58
  - Vente, [LC] 123.45, 123.46
  - Aide financière à un actionnaire, [LC] 123.66-123.69
  - Capacité, [LC] 123.29
  - Capital-actions, [LC] 123.38
  - Augmentation ou réduction, [LC] 123.61-123.65
  - Composition, [LC] 123.39
  - Compte, [LC] 123.47-123.51
  - Droits, [LC] 123.40, 123.41
  - Certificat, [LC] 123.161, 123.163
  - Connaissance des tiers, [LC] 123.30-123.33
  - Constitution par dépôt des statuts, [LC] 123.1
  - Application de la Loi, [LC] 123.4, 123.6
  - Compagnies visées, [LC] 123.5
  - Représentation avant sa constitution, [LC] 123.7, 123.8
  - Continuation, [LC] 123.131, 123.133, 123.139
  - Certificat, [LC] 123.136, 123.138
  - Statuts, [LC] 123.135
  - Date d'existence, [LC] 123.16
  - Dénomination sociale, [LC] 123.22-123.27.5, 123.134, 123.158; [LC-3] 1
  - Dividende, [LC] 123.70, 123.71
  - Documents déposés auprès du registraire des entreprises, [LSA-3]
  - Livres, [LC] 123.111-123.114
  - Numéro matricole, [LC] 123.23
  - Prescription, [LC] 123.168
  - Recours devant le Tribunal administratif du Québec, [LC] 123.145-123.148
  - Réunion d'organisation, [LC] 123.17
  - Convocation, [LC] 123.18
  - Pouvoir des administrateurs, [LC] 123.19
  - Quorum, [LC] 123.20
  - Sceau, [LC] 123.165
  - Siège
  - Changement d'adresse, [LC] 123.35
  - Lieu, [LC] 123.34
  - Transfert, [LC] 123.36
  - Statuts
  - Annulation, [LC] 123.144
  - District judiciaire, [LC] 123.172
  - Forme et contenu, [LC] 123.159
  - Formule, [LC] 123.171
  - Modification des statuts, [LC] 123.101-123.110
  - Propriété, [LC] 123.166
  - Rectification ou insertion, [LC] 123.140-123.143
  - Refus du registraire, [LC] 123.160
  - Vérification de la légalité, [LC] 123.158
  - Vérificateurs, [LC] 123.97-123.100
- Compagnie (partie IA B constitution)**
  - Fondateur, [LC] 123.9, 123.10
  - Statut
  - Contenu, [LC] 123.12, 123.13
  - Dépôt, [LC] 123.11
  - Documents d'accompagnement, [LC] 123.14
- Compagnie (partie IA B dissolution), [LC] 123.144**
  - Compagnie (partie IA B fusion), voir aussi Administrateur (partie IA), Registraire des entreprises**
    - Compagnies visées, [LC] 123.115
    - Effet, [LC] 123.115
    - Ordinaire
    - Annulation, [LC] 123.128
    - Annulation d'actions, [LC] 123.123
    - Convention, [LC] 123.122
    - Règlement, [LC] 123.124-123.26
    - Vote, [LC] 123.127
    - Prise d'effet, [LC] 123.120
    - Restrictions, [LC] 123.116
    - Simplifiée
    - Résolution, [LC] 123.129, 123.130
    - Statuts de fusion
    - Contenu, [LC] 123.117
    - Dépôt, [LC] 123.118
    - Documents d'accompagnement, [LC] 123.117
  - Compagnie à actions sans valeur nominale**
    - Capital autorisé, [LC] 13
  - Compagnie à fonds social, voir aussi Actionnaire (partie II), Administrateur (partie II), Certificat d'actions, Cour supérieure du Québec, Crédancier, Infraction et peine, Inspection, Règlement de la compagnie, Vérificateur**
    - Actes de procédure, [LC] 208, 210
    - Actionnaire, [LC] 139-141
    - Fondé de pouvoir, [LC] 196
    - Actions, [LC] 143, 150
    - Achat ou rachat, [LC] 146(13)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Acquisition, [LC] 149
  - • Appel de versements, [LC] 159-162
  - • Certificat, [LC] 146(12), 151, 152
  - • Émission, [LC] 146
  - • Modification de la valeur, [LC] 153-158
  - • Répartition, [LC] 145, 158(4)
  - • Transfert, [LC] 144, 149(4), 163-168
  - Actions d'autres compagnies, [LC] 142
  - Application de la Loi, [LC] 125, 126
  - Assemblée annuelle
  - • Proposition d'un actionnaire, [LC] 191.2-191.12
  - • Rapports à soumettre, [LC] 191
  - • Tenue, [LC] 191(1)
  - Assemblée générale
  - • Convocation, [LC] 190, 209
  - • Président, [LC] 193
  - • Résolution, [LC] 194
  - • Vote, [LC] 194, 195
  - Assemblée générale extraordinaire, [LC] 192
  - Charte, [LC] 126, 126.1
  - Compromis ou arrangement, [LC] 147, 148
  - Contrats et autres actes, [LC] 138
  - Dénomination sociale, [LC] 136, 136.1
  - • Infraction et peine, [LC] 137
  - Dissolution
  - • Conditions, [LC] 131
  - • Responsabilité des administrateurs, [LC] 132
  - Dividende, [LC] 171-175
  - Droits à payer, [LC-3]
  - Inspection, [LC] 203-205
  - Livres, [LC] 197, 200-202
  - • Accès, [LC] 199
  - Pouvoirs, [LC] 133, 134
  - • Emprunt et hypothèque, [LC] 169
  - Registre des hypothèques, [LC] 198
  - Siège, [LC] 135
  - Tarif des droits, [LC] 127, 128
  - Vérificateurs, [LC] 206, 207, 214
- Compagnie d'assurance**
- Modification à l'acte constitutif, [LSA-1] 3
- Compagnie minière**
- Continuation, [LC] 123.131
  - Dividendes, [LC] 80, 172
- Compagnie sans capital-actions**
- Conversion en compagnie à fonds social, [LC] 17
  - Droits à payer, [LC-3] 6-8, 10
- Compétence d'une autre autorité**
- Autorité des marchés financiers
  - • Délégation, [LVM] 307.3
  - Définition, [LVM] 305.1
- Compétence locale**
- Autorité des marchés financiers
  - • Délégation, [LVM] 307, 307.1, 307.3
  - Définition, [LVM] 305.1
- Complot, accord ou arrangement entre concurrents, [LCC] 45-45.1**
- Défense, [LCC] 45(4), 45(5), 45(7)
  - Définitions, [LCC] 45(8)
  - Exception, [LCC] 45(6)
  - Peine, [LCC] 45(2)
  - Preuve, [LCC] 45(3)
  - Procédures similaires, [LCC] 45.1
  - Relatif au sport professionnel, [LCC] 48
- Concours publicitaire, [LCC] 74.06, 74.1(6)**
- Concurrence, voir aussi Commissaire de la concurrence**
- Abus de position dominante, [LCC] 78-79.1
  - Accord de spécialisation, [LCC] 85-90
  - Accords bancaires, [LCC] 49
  - Accords ou arrangements empêchant ou diminuant sensiblement la concurrence, [LCC] 90.1
  - Appel, [LCC] 73(3)
  - Commercialisation à paliers multiples, [LCC] 55, 74.07(2)
  - Complot, accord ou arrangement entre concurrents, [LCC] 45-45.1
  - Complot relatif au sport professionnel, [LCC] 48
  - Concours publicitaire, [LCC] 74.06, 74.1(6)
  - Continuation ou répétition de l'infraction, [LCC] 34
  - • Interdiction, [LCC] 34(1)
  - • Ordonnance, [LCC] 34(2)-34(2.1), 67(5)
  - • • Annulation, [LCC] 34(2.3)
  - • • Appel, [LCC] 34(3)-34(4)
  - • • Désobéissance, [LCC] 34(6)
  - • • Durée d'application, [LCC] 34(2.2)
  - • • Modification, [LCC] 34(2.3)
  - • • Procédure, [LCC] 34(5), 34(7)
  - • • Une seule poursuite, [LCC] 34(2.4)
  - Décision, v. Ordonnance
  - Définitions, [LCC] 2
  - Demandes présentées par le Canada
  - • Confidentialité, [LCC] 30.29
  - • Éléments de preuve, [LCC] 30.25-30.28
  - Demandes présentées par un État étranger, [LCC] 30.03, 74.012
  - • Agrément, [LCC] 30.03
  - • Appel, [LCC] 30.24
  - • Confidentialité, [LCC] 30.29
  - • Éléments de preuve destinés à l'étranger, [LCC] 30.1-30.18, 65.1(2), 65.2
  - • Perquisitions et saisies, [LCC] 30.04-30.09, 65.1(1), 65.1(1), 65.1(2), 65.2
  - • Prêt de pièces, [LCC] 30.19-30.23

## INDEX ANALYTIQUE

- Dénonciation, [LCC] 66.1
- Directives étrangères, [LCC] 46, 83
- Distributeur, [LCC] 74.07(1)
- Documentation trompeuse (gagner un prix), [LCC] 53, 74.07(2)
- Dommages-intérêts, [LCC] 36, 77(3.1)
- Double étiquetage, [LCC] 54
- Éditeur, [LCC] 74.07
- Entraide juridique, [LCC] 30-30.3, 74.012(3)
- • Accord, [LCC] 30.01
- • Publication, [LCC] 30.02
- • Autres arrangements de coopération, [LCC] 30.3
- • Définitions, [LCC] 30
- • Documents ou autres choses déjà en la possession du commissaire, [LCC] 30.291
- • Rôle du ministre de la Justice, [LCC] 30.01-30.3
- Entrave, [LCC] 64
- • Peine, [LCC] 64(2)
- Exclusivité, [LCC] 77
- • Demande au Tribunal, [LCC] 103.1-107
- Fournisseur étranger, [LCC] 84
- Fusionnement, [LCC] 91-103
- Indications fausses ou trompeuses, [LCC] 52-52.02, 74.01(1), 74.011, 74.03, 74.111(1)
- Indications relatives à l'épreuve acceptable et publication d'attestations, [LCC] 74.02, 74.1(6)
- Infraction, [LCC] 45-73
- • Droits civils non atteints, [LCC] 62, 74.08
- • Rapports, [LCC] 35
- Injonction, [LCC] 33, 74.101(2), 74.111
- Interdiction, [LCC] 66.2
- Jugement étranger, [LCC] 82
- Lieu des poursuites, [LCC] 68
- Limitation du marché, [LCC] 77
- • Demande au Tribunal, [LCC] 103.1-107
- Loi étrangère, [LCC] 83
- Loi non applicable
- • Négociations collectives, [LCC] 4
- • Sport amateur, [LCC] 6
- Maintien des prix, [LCC] 76
- • Demande au Tribunal, [LCC] 103.1-107
- Objet de la Loi, [LCC] 1.1
- Obligations des mandataires de Sa Majesté, [LCC] 2.1
- Ordonnance, [LCC] 74.1, 74.101(2)
- • Annulation ou modification, [LCC] 74.13
- • Appel, [LCC] 74.18-74.19
- • But, [LCC] 74.1(4)
- • Circonstances aggravantes ou atténuantes, [LCC] 74.1(5)
- • Consentement, [LCC] 74.12-74.13
- • Déduction, [LCC] 74.101(1)
- • Disculpation, [LCC] 74.1(3)
- • Durée d'application, [74.1(2)]
- • • Exécution, [LCC] 74.1(8)
- • • Modification des conditions, [LCC] 74.1(9)
- • • Preuve, [LCC] 74.14
- • • Procédures similaires, [LCC] 74.16
- • • Règles de procédure, [LCC] 74.17
- • • Sanctions administratives péquéniaires impayées, [LCC] 74.15
- • • Sommes déjà payées, [LCC] 74.1(7)
- • • Subséquente, [LCC] 74.1(6)
- • • Ordonnance d'injonction provisoire, [LCC] 74.111, v. aussi Injonction
- • • Audition *ex parte*, [LCC] 74.111(5)
- • • Demande d'annulation, [LCC] 74.111(7)
- • • Durée d'application, [LCC] 74.111(3), 74.111(6)
- • • Mention à ajouter, [LCC] 74.111(2)
- • • Préavis, [LCC] 74.111(4)
- • • Ordonnance provisoire, [LCC] 103.3-104
- • • Ordonnance temporaire, [LCC] 74.11
- • • Audition *ex parte*, [LCC] 74.11(4)
- • • Durée d'application, [LCC] 74.11(2), 74.11(5)
- • • Fourniture d'un produit ou accomplissement d'un acte, [LCC] 74.11(1.1)
- • • Préavis, [LCC] 74.11(3)
- • Pratiques commerciales douteuses, [LCC] 66
- • Prescription, [LCC] 67(6)
- • Preuve
- • • Contre un participant, [LCC] 69(2)
- • • Statistiques, [LCC] 70-72
- • Prix à la livraison, [LCC] 80-81
- • Prix d'occasion, [LCC] 74.04, 74.1(6)
- • Prix habituel
- • • Comportement susceptible d'examen, [LCC] 74.01(2)-74.01(5), 74.1(6)
- • Procédure, [LCC] 67, 73(4)
- • • Application du *Code criminel*, [LCC] 67(2)
- • • Choix, [LCC] 67(5)
- • • Choix de l'inculpé, [LCC] 67(1)
- • • Compétence des tribunaux, [LCC] 67(3), 73(1)
- • • Définitions, [LCC] 69
- • • Irrégularité technique, [LCC] 3
- • • Lieu des poursuites, [LCC] 68
- • • Personne morale jugée sans jury, [LCC] 67(4)
- • • Vice de forme, [LCC] 3
- • Procès sans jury, [LCC] 73(2)
- • Recours administratifs, [LCC] 74.09-74.16
- • • Décision, [LCC] 74.1
- • • Ordonnance, v. Ordonnance
- • • Tribunal, [LCC] 74.09
- • Recours spéciaux, [LCC] 31-35
- • Refus d'obtempérer, [LCC] 65.2
- • Refus de vendre, [LCC] 75
- • • Demande au Tribunal, [LCC] 103.1-107
- • Règlements, [LCC] 128
- • Souscripteurs à forfait, [LCC] 5

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Système de vente pyramidale, [LCC] 55.1, 74.07(2)
  - Télémarketing trompeur, [LCC] 52.1, 74.07(2)
  - Transaction
  - • Devant faire l'objet d'un avis, [LCC] 108-124
  - • Renseignements, [LCC] 65(2), 114
  - Truquage des offres, [LCC] 47
  - Vente au-dessus du prix annoncé, [LCC] 74.05, 74.1(6)
  - Ventes liées, [LCC] 77
  - • Demande au Tribunal, [LCC] 103.1-107
- Conflit d'intérêts**, voir aussi Dénonciation d'intérêt
- Administrateur, [CCQ] 323-326
  - Gestionnaire de fonds d'investissement, [LVM-2] 13.4.2
  - Personne physique inscrite, [LVM-2] 13.4.1
  - Société inscrite, [LVM-2] 13.4
- Conseil d'administration**, voir aussi Administrateur, Comité exécutif
- Administrateurs provisoires, [LC] 84
  - Assemblée annuelle des actionnaires, [LSA] 163
  - Avis de convocation, [LC] 89.1
  - Bilan, [LC] 114
  - Comité, [LSA] 116
  - Comité exécutif, [LC] 92
  - Composition, [LC] 83; [LSA] 106
  - D'une personne morale, [CCQ] 311
  - Décision, [CCQ] 336-337, 341
  - Délégation de pouvoirs interdite, [LSA] 118
  - Dissolution de société, [LSA] 304, 316
  - Dividendes, [LSA] 103
  - États financiers de la société, [LSA] 225
  - • Approbation, [LSA] 227
  - Fonctions, [CCQ] 335
  - Fusion de sociétés, [LSA] 281-282
  - Liste des membres, [CCQ] 342
  - Livres et registres, [CCQ] 342-343
  - • Copies de documents, [CCQ] 343 al. 2
  - Nombre d'administrateurs, [LC] 87, 93
  - Participation par téléphone, [LC] 89.2
  - Pouvoirs, [CCQ] 335; [LSA] 112-118
  - Règlement intérieur, [LSA] 113-114
  - Rémunération, [LSA] 117
  - Résolutions écrites
  - • Valeur probante, [CCQ] 354; [LC] 89.3
  - Réunion
  - • Ajournement, [LSA] 141
  - • Avis de convocation, [LSA] 135
  - • • Renonciation, [LSA] 136
  - • Lieu, [LSA] 134
  - • Participation, [CCQ] 344; [LSA] 137
  - • Procès-verbal, [LSA] 139
  - • Quorum, [LSA] 138

- • Renonciation, [CCQ] 353
- Rôle, [LC] 83
- Statuts de la société
- • Correction, [LSA] 251-256
- Subdivision ou refonte des actions de la société, [LSA] 90-92
- Vacance, [CCQ] 340; [LSA] 145-149
- • Résultant de la révocation d'un administrateur, [LSA] 144

**Conseil d'administration (partie IA)**, voir aussi Administrateur (partie IA)

- Administrateur unique, [LC] 123.82
- Composition, [LC] 123.72
- • Changements, [LC] 123.81

**Conseil d'administration (partie II)**

- Comité exécutif, [LC] 183
- Composition, [LC] 176, 180

**Conseil d'administration (société fédérale)**

- Composition, [LCSA] 102(2)
- • Changement, [LCSA] 113
- Définition, [LCSA] 2
- Pouvoir d'emprunt, [LCSA] 189
- Réunion, [LCSA] 104, 114

**Conseiller**, [LVM] 151.0.1(3), voir aussi Courtier ou conseiller, Gestionnaire de fonds d'investissement, Gestionnaire de portefeuille

- Assurance, [LVM-2] 12.4
- Catégories, [LVM-2] 7.2
- Conseils généraux, [LVM-2] 8.25
- Courtier sans mandat discrétionnaire, [LVM-2] 8.23
- Dispense d'inscription, [LVM-2] 8.6, 8.22.2, 8.23
- Information financière, [LVM-2] 12.13
- Membre de l'OCRCVM qui a un mandat discrétionnaire, [LVM-2] 8.24
- Opérations dans un compte géré, [LVM-2] 13.5
- Répartition équitable des possibilités de placement, [LVM-2] 14.10
- Représentant-conseil adjoint, [LVM-2] 4.2

**Conseiller en placement étranger**

- Dispense d'inscription, [LVM-2] 16.20

**Conseiller international**

- Dispense d'inscription, [LVM-2] 8.26, 16.19

**Consultant**

- Définition, [LVM-1] 2.22
- Dispense de prospectus, [LVM-1] 2.22-2.29

**Continuation d'une société**

- Sous le régime d'une loi d'une autre autorité législative que le Québec, [LSA] 297
- • Application de la présente loi, [LSA] 303

## INDEX ANALYTIQUE

- Autorisation du registraire des entreprises, [LSA] 299-300
- Attestation, [LSA] 301
- Certificat de changement de régime, [LSA] 302
- Résolution spéciale, [LSA] 298
- Sous le régime de la présente loi, [LSA] 288
- Certificat, [LSA] 293, 295
- Effet, [LSA] 294
- Statuts de continuation, [LSA] 289
- Contenu, [LSA] 290
- Documents joints, [LSA] 291
- Transmission au registraire des entreprises, [LSA] 292
- Contrat**
  - Conclu avant la constitution d'une personne morale, [CCQ] 320
- Contrat à capital variable**
  - Dispense d'inscription, [LVM-2] 8.14
  - Dispense de prospectus, [LVM-1] 2.39
- Contrat d'adhésion**
  - Langue, [CLF] 55, 204.21
- Contrat d'échange**
  - Langue, [CLF] 55.1
- Contrat d'investissement**
  - Définition, [LVM] 1
  - Information à fournir, [LVM] 7
  - Prospectus, [LVM] 66
- Contrat de vente**
  - Langue, [CLF] 55.1
- Contrôle**
  - Définition, [LCSA-1] 73
- Convention internationale des droits de l'enfant, [LCSA] 2**
- Convention unanime des actionnaires, [LC] 123.90-123.93; [LCSA] 146**
  - Actionnaire unique, [LSA] 213
  - Décisions, [LSA] 217
  - Conseil d'administration, [LSA] 113, 115, 117, 213
  - Pouvoirs, [LSA] 214, 216
  - Déclaration au registraire des entreprises, [LSA] 215
  - Définition, [LCSA] 2
  - Fin, [LSA] 219
  - Partie, [LSA] 218
  - Portée des dispositions, [LSA] 220
- Convention unanime des membres, [NFPCA-1] 73**
  - Personne morale, [CCQ] 310 al. 1
- Coopérative**
  - Continuation, [LC] 227.1-227.6
  - Assemblée spéciale extraordinaire, [LC] 123.139.2
  - Certificat, [LC] 123.139.6
  - Dispositions applicables, [LC] 123.139.5
  - Droits et obligations, [LC] 123.139.7, 227.6
  - Lettres patentes, [LC] 227.1
  - Règlement, [LC] 123.139.2, 123.139.3
  - Requête déposée auprès du registraire des entreprises, [LC] 227.4, 227.5
  - Statuts, [LC] 123.139.4
  - Documents d'accompagnement, [LC-2] 1
  - Transformation en compagnie, [LC] 123.139.1
- Coopérative de services financiers, [LVM] 149.1**
- Corporation**
  - Application de la Loi, [LC] 4(1)
- Cour d'appel, [LCC] 34(3)(4); [LVM] 286; [PLE] 10**
  - Définition, [LCSA] 2
  - Ordonnance de liquidation, [LLC] 32, 32.1
- Cour d'appel fédérale, [LCC] 34(3), 34(4), 73(3), 74.18-74.19**
- Cour du Québec, [LVM] 212, 213, 297.2, 320.1**
  - Publicité légale
  - Recours continué, [PLE] 292
- Cour fédérale**
  - Concurrence
  - Compétence, [LCC] 73
  - Demande de rapports, [LCC] 35
  - Dommages-intérêts, [LCC] 36
  - Infraction comportant l'utilisation d'un moyen de télécommunication, [LCC] 33(1.1), 33(1.2)
  - Injonction, [LCC] 33
  - Demande *ex parte*, 33(3)
  - Injonction provisoire, [LCC] 33(1)
  - Ordonnance d'interdiction de continuer ou répéter une infraction, [LCC] 34
  - Restriction au commerce, [LCC] 32
  - Marque de commerce, [LMC] 52, 55, 57
  - Emploi simultané de marques créant de la confusion, [LMC] 21
  - Jugements, [LMC] 61
- Cour supérieure de juridiction criminelle, [LCC] 33, 34(3), 34(4)**
- Cour supérieure du Québec, [LC] 49, 50, 231; [LLC] 8, 18; [LVM] 320.1; [LSA] 2**
  - Compagnie à fonds social (partie II)
  - Compromis ou arrangement, [LC] 147, 148
  - Injonction, [LVM] 268
  - Liquidation de la compagnie, [LLC] 24

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

**Cour suprême du Canada**, [LCC] 34(3.1), 34(4), 73(3)

**Cours artificiel pour un titre**, [LVM] 199.1(1)

- Courtier ou conseiller**, voir aussi Autorité des marchés financiers, Inspecteur, Personne physique inscrite, Société inscrite
- Assurance, [LVM-2] 12.3
  - Définition, [LCSA] 48; [LVM] 5
  - Dispense d'inscription, [LVM-2] 8.0.1
  - • Activité en Colombie-Britannique, au Manitoba et au Nouveau-Brunswick, [LVM-2] 8.4
  - • Fondée sur la mobilité des clients, [LVM-2] 8.30
  - • Opération visée effectuée avec un courtier inscrit ou par son entremise, [LVM-2] 8.5-8.5.1
  - • Opérations visées sur contrats négocia-bles effectuées avec un courtier inscrit ou par son entremise, [LVM-2] 8.20.1
  - Information et documents fournis à l'Autorité, [LVM] 159.0.1
  - Information financière, [LVM-2] 12.12
  - Infractions, [LVM] 195.1, 200
  - Inscription, [LVM] 151
  - • Absence, [LVM] 195.1, 200
  - • Catégories, [LVM-2] 7.1
  - • Filiale, [LVM] 148.1
  - • Règlement, [LVM] 150
  - • Suspension ou radiation, [LVM] 153
  - Inspection, [LVM] 151.1, 151.1.1
  - • Accès aux documents, [LVM] 151.4
  - Interdiction, [LVM] 148
  - Modification aux informations fournies, [LVM] 159
  - Mouvements de comptes
  - • Avis d'exécution, [LVM-2] 14.12
  - • Plan automatique, [LVM-2] 14.13
  - • Relevé de compte, [LVM-2] 14.14-14.14.2
  - Multiplication des opérations, [LVM] 193
  - Obligations des personnes inscrites
  - • Conflit d'intérêts, [LVM] 166
  - • Exercice du droit de vote, [LVM] 164
  - • Exigences de conduite, [LVM] 160
  - • Participation à un fonds de garantie, [LVM] 168.1
  - • Professionnalisme, [LVM] 160.1
  - • Renseignements sur les clients, [LVM] 165.1
  - • Traitement des plaintes, [LVM] 168.1.1-168.1.8
  - • Transmission de documents, [LVM] 165
  - • Utilisation de soldes créditeurs, [LVM] 168
  - Personne physique, [LVM] 149; [LVM-2] 3.16
  - Sans mandat discrétionnaire, [LVM-2] 8.23
  - Tenue de livres et de registres, [LVM] 158
  - Vente liée, [LVM-2] 11.8
  - Vérificateur, [LVM] 151.5

**Courtier d'exercice restreint**

- Définition, [LVM-2] 1.1
- Inscription, [LVM-2] 7.1

**Courtier en épargne collective**, [LVM] 5.6, 148.2

- Adhésion à l'ACFM, [LVM-2] 9.2
- • Dispense d'inscription, [LVM-2] 9.3
- Assurance responsabilité, [LVM] 151.0.1, 152.1
- Chef de la conformité, [LVM-2] 3.6
- Dépôt, [LVM] 148.3
- Dispense d'inscription, [LVM-2] 9.4
- Dispositions applicables, [LVM] 149.2
- Inscription, [LVM-2] 7.1
- Membre de l'ACFM, [LVM-2] 3.16
- Partage de la commission, [LVM] 160.1.1
- Personne autorisée, [LVM-2] 3.15
- Relevé de compte, [LVM-2] 16.17
- Représentant, [LVM] 151.0.1; [LVM-2] 3.5
- • Parts de coopérative de services financiers, [LVM] 149.1
- Rapport bimestriel sur le capital liquide net, [LVM-2] 12.12
- Suspension ou radiation de l'inscription, [LVM] 151.0.1, 152.1

**Courtier en placement**

- Adhésion à l'OCRCVM, [LVM-2] 9.1
- Dispense d'inscription, [LVM-2] 9.3
- Gestionnaire de fonds d'investissement, [LVM-2] 9.3
- Inscription, [LVM-2] 7.1
- Membre de l'OCRCVM, [LVM-2] 3.16
- Représentant, [LVM-2] 3.15

**Courtier en plans de bourses d'études**, [LVM] 5.6, 148.2

- Assurance responsabilité, [LVM] 151.0.1, 152.1
- Chef de la conformité, [LVM-2] 3.8
- Dépôt, [LVM] 148.3
- Dispositions applicables, [LVM] 149.2
- Exemption de relevé de compte, [LVM-2] 14.16
- Inscription, [LVM-2] 7.1
- Partage de la commission, [LVM] 160.1.1
- Représentant, [LVM] 151.0.1; [LVM-2] 3.7
- • Parts de coopérative de services financiers, [LVM] 149.1
- Suspension ou radiation de l'inscription, [LVM] 151.0.1, 152.1

**Courtier international**

- Dispense d'inscription, [LVM-2] 8.18, 16.18

**Courtier sur le marché dispensé**

- Chef de la conformité, [LVM-2] 3.10
- Inscription, [LVM-2] 7.1, 16.7
- • Changement de catégorie d'inscription, [LVM-2] 16.3
- Représentant, [LVM-2] 3.9

## INDEX ANALYTIQUE

### **Créance hypothécaire**

- Opération effectuée sur
- • Dispense d'inscription, [LVM-2] 8.12

### **Créancier**

- Actions privilégiées, [LC] 48(11)
- Compagnie à fonds social (partie II), [LC] 146(11)
- • Compromis ou arrangement, [LC] 148
- Compagnie existante, [LC] 14(5)
- Compagnie fusionnée, [LC] 18(6)
- Compromis ou arrangement, [LC] 50
- Définition, [LC] 50(3), 148(3)
- Diminution du capital-actions
- • Opposition, [LC] 59
- Dissolution d'une compagnie, [LC] 29
- Dividendes illégaux, [LC] 94
- Liquidation de la compagnie ou société, [LLC] 12; [LSA] 97
- Liquidation judiciaire de la compagnie, [LLC] 27, 28
- Réduction du capital-actions, [LSA] 102
- Statuts d'une société
- • Annulation, [LSA] 266
- • Correction, [LSA] 247, 254
- Transfert d'actions, [LC] 72

### **Créancier hypothécaire**

- Actions, [LSA] 87

### **Curateur, [CCQ] 304; [LVM] 151.0.1(3)**

## **-D-**

### **Déclaration**

- De placement avec dispense, [LVM-1] 6.1, 6.3
- Définition, [LCSA] 262
- Exception à l'obligation, [LVM-1] 6.2

### **Déclaration d'immatriculation, voir Immatriculation**

### **Déclaration des initiés**

- Dépôt, [LVM] 98
- Emprise sur des titres, [LVM] 90, 96, 97
- • Présomptions, [LVM] 91, 92
- Initiés, [LVM] 89
- Instrument financier, [LVM] 89.2
- Intérêt financier, [LVM] 89.1
- Obligation, [LVM] 89.3, 96, 97

### **Définition**

- ACFM, [LVM-2] 1.1
- Accord, [LCC] 30
- Accord de soutien, [LVM-1] 2.22
- Accord de spécialisation, [LCC] 85
- Accord sur l'OMC, [LMC] 2
- Acheteur de bonne foi, [LCSA] 48
- Acquéreur, [LCSA] 48
- Acte constitutif, [LC] 3.1
- Acte de fiducie, [LCSA] 48, 82
- Actifs financiers, [LTV] 12-13; [LVM-1] 1.1
- Action, [LCSA] 126, 187(10), 206, 238
- • Avec droit de vote, [LCC] 108(1); [LCSA-1] 73
- • Rachetable, [LCSA] 2; [LSA] 2
- Actionnaire, [LC] 3(4), 124(5), 225(2); [LCSA] 226; [LSA] 2
- Actions remises, [LCSA-1] 35
- Activités de relations avec les investisseurs, [LVM-1] 2.22
- Administrateur, [LVM] 5; [LVM-1] 1.1; [LCSA] 2
- Administrateur d'indice de référence, [LVM] 5
- Administrateur de plan, [LVM-2] 8.16
- Affaires internes, [LCSA] 2; [LSA] 2
- Agence de notation, [LVM] 5
- • Désignée, [LVM-1] 1.1, 2.35.1.1; [LVM-2] 1.1, 8.21
- • Remplaçante, [LVM-1] 1.1
- Agent d'un participant, [LCC] 69(1)
- Agent de marque de commerce, [LMC] 51.13
- Agent des douanes, [LMC] 51.02
- Agissement anti-concurrentiel, [LCC] 78(1)
- Ancien exercice, [LVM-1] 1.1.1
- Approbation de porteurs, [LVM-1] 2.22
- Approvisionner, [LCC] 2(1)
- Arrangement, [LCSA] 192
- Article, [LCC] 2(1), 85
- Assemblée, [LCSA] 2
- Association professionnelle, [LVM-1] 1.1
- Assujetti, [LPLE] 18
- Assurance collective, [LVM-1] 2.39(1)a); [LVM-2] 8.14
- Authentique, [LCSA] 48
- Autorité compétente, [LMC] 11.11
- Autorité en valeurs mobilières du Canada, [LVM] 308.2.1.1
- Autorité en valeurs mobilières étrangère, [LVM] 318.2
- Autorité principale, [LVM-2] 1.1, 1.3
- Autre autorité, [LVM] 305.1
- Autre compagnie, [LC] 3(2), 124(3)
- Avoir maximum
- • Individuel, [LCSA-1] 73
- • Total, [LCSA-1] 73
- Banque, [LVM-1] 1.1
- • De l'annexe III, [LVM-1] 1.1; [LVM-2] 1.1
- Bénéficiaire, [LSA] 2
- Bien, [LCSA] 25(5)
- Bon de souscription, [LVM-1] 5.1(2)
- Bonne foi, [LCSA] 48
- Bourse, [LVM-2] 8.22
- Bourse de croissance du TSX, [LVM-1] 5.1(2)
- Bureau principal, [LVM-2] 1.1
- Canadien, [LCSA-1] 73; [LMC] 11.17(2)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Cas de défaut, [LCSA] 82
- Catégorie restreinte, [LCSA-1] 73
- CELI, [LVM-1] 1.1
- Certificat de valeur mobilière, [LTV] 9(1); [LCSA] 48
- Cessionnaire admissible, [LVM-1] 2.22; [LVM-2] 8.16
- Changement important, [LVM] 5.3
- Charte, [LC] 124(1); [LCSA] 268
- Circulaire relative à une opération admissible, [LVM-1] 1.1
- Classification de Nice, [LMC] 2, 70(1)
- Client, [LVM-2] 13.7
- Client admissible, [LVM-2] 1.1
- Client autorisé, [LVM-2] 1.1, 8.26
- Commerce, industrie ou profession, [LCC] 2(1)
- Commercialisation à paliers multiples, [LCC] 55(1)
- Commissaire, [LCC] 2(1)
- Commission, [LCC] 125(2), 126(2)
- Commission d'indication de clients, [LVM-2] 13.7
- Commission de suivi, [LVM-2] 1.1
- Compagnie, [LC] 3(1), 124(2), 225(2)
- Compagnies connexes, [LMC] 2
- Compétence
- • D'une autre autorité, [LVM] 305.1
- • Locale, [LVM] 305.1
- Comportement, [LCC] 30
- Compte géré, [LVM-2] 1.1
- Compte géré sous mandat discrétionnaire, [LVM-1] 1.1
- Concurrent, [LCC] 45(8), 90.1(11)
- Conditions de commerce, [LCC] 75(3), 76(12), 80(2)
- Conduit, [LVM-1] 1.1
- Conjoint, [LVM-1] 1.1
- Conseil d'administration, [LCSA] 2
- Conseiller, [LVM] 5
- Conseiller en matière d'admissibilité, [LVM-1] 1.1
- Consultant, [LVM-1] 2.22; [LVM-2] 8.16
- • Lié, [LVM-1] 2.22
- Contrat à capital variable, [LVM-1] 2.39(1)(b); [LVM-2] 8.14
- Contrat à terme, [LTV] 17
- Contrat d'investissement, [LVM] 1
- Contrôle, [LCC] 2(4); [LCSA-1] 73; [LSA] 2; [LVM-1] 1.4
- Convention d'Union de Paris, [LMC] 2
- Convention unanime des actionnaires, [LCSA] 2
- Cour d'appel, [LCSA] 2
- Cour supérieure de juridiction criminelle, [LCC] 34(8)
- Courtier, [LCSA] 48; [LVM] 5
- • D'exercice restreint, [LVM-2] 1.1
- • En épargne collective, [LVM] 5.6; [LVM-2] 1.1
- • En placements, [LVM-1] 1.1.1; [LVM-2] 1.1
- • En plans de bourses d'études, [LVM] 5.6; [LVM-2] 1.1
- • Sur le marché dispensé, [LVM-1] 1.1.1; [LVM-2] 1.1
- Coût d'origine, [LVM-2] 1.1
- Crédit hypothécaire syndiquée, [LVM-1] 1.1; [LVM-2] 8.12
- Crédancier, [LC] 50(3), 148(3)
- Crément de la confusion, [LMC] 2
- Date d'acquisition, [LSA-1] 1.1
- Date de transition aux IFRS, [LVM-1] 1.1.1
- Déclaration, [LCSA] 262
- Déclaration publique, [LVM] 225.3
- Déclaration relative à un changement postérieur, [LVM-1] 5.1(2)
- Dédouanement, [LMC] 2
- Dénomination commerciale, [LCSA-1] 17
- Déposant SEDAR, [LVM-1] 1.1
- Dépositaire canadien, [LVM-2] 1.1
- Dépositaire étranger, [LVM-2] 1.1
- Dépositaire qualifié, [LVM-2] 1.1
- Dérivé visé, [LVM-1] 1.1.1
- Détenteur, [LCSA] 48
- Dettes correspondantes, [LVM-1] 1.1
- Directeur, [LCSA] 2
- Dirigeant, [LVM] 5; [LCSA] 2, 126; [LSA] 2
- Dispense pour placement auprès d'investisseurs qualifiés, [LVM-1] 2.3
- Disposer, [LCC] 74.111(9)
- Distinctive, [LCSA-1] 17; [LMC] 2
- Document, [LCC] 2(1); [LVM] 225.3; [LSA-3] 1
- • Électronique, [LCSA] 252.1
- • Essentiel, [LVM] 225.3
- Document de commercialisation relatif à la notice d'offre, [LVM-1] 1.1.1
- Document d'offre conforme à la politique de la Bourse de croissance TSX, [LVM-1] 5.1(2)
- Données, [LCC] 2(1)
- Droit indirect, [LVM-1] 1.2
- Droits, [LMC] 52
- Droits annuels, [LVM-2] 10.1
- Émetteur, [LVM] 5; [LTV] 7; [LCSA] 48
- • Admissible, [LVM-1] 1.1
- • Associé, [LVM-2] 1.1
- • Assujetti, [LSA] 2; [LVM] 5, 68; [LVM-2] 13.2
- • Coté, [LVM-1] 2.22
- • Inscrit auprès de la SEC, [LVM-1] 1.1.1
- • Relié, [LVM-2] 1.1
- • Émission excédentaire, [LCSA] 48
- Emploi, [LCSA-1] 17; [LMC] 2
- Employé, [LCC] 66.2(3)

## INDEX ANALYTIQUE

- Employeur, [LCC] 66.2(3)
- Entente d'indication de clients, [LVM-2] 13.7
- Entité, [LCSA] 2; [LCC] 2(1)
- • Appartenante, [LVM-1] 2.22; [LVM-2] 8.16
- • De portefeuille, [LVM-1] 2.22
- Entreprise, [LC] 3(3), 124(4), 216(2); [LCC] 2(1)
- • À capital fermé, [LSA-1] 1.1
- • Ayant une obligation d'information du public, [LSA-1] 1.1
- • En exploitation, [LCC] 108(1)
- • Unipersonnelle, [LCC] 2(2)
- Envoyer, [LCSA] 2
- Établissement d'enseignement, [LPLE] 103
- État étranger, [LCC] 30
- États financiers, [LSA-1] 1.1
- Évaluateur qualifié, [LVM-1] 1.1
- Examen, [LVM-2] 3.1
- Examen AAD, [LVM-2] 3.1
- Examen d'aptitude pour les chefs de la conformité, [LVM-2] 3.1
- Examen du cours sur les fonds d'investissement canadiens, [LVM-2] 3.1
- Exclusivité, [LCC] 77(1)
- Exercer une activité ou exploiter une entreprise au Québec, [LPLE] 25
- Exercice de transition, [LVM-1] 1.1.1
- Expert, [LVM] 225.3
- Fait important, [LVM] 5
- FERR, [LVM-1] 1.1
- Fiduciaire, [LCSA] 82
- Filiale, [LC] 123.1; [LCC] 2(2)(3); [LCSA] 2; [LSA] 2; [LVM-1] 1.1; [LVM-2] 1.1
- Filiale donnée, [LCSA-1] 35
- Filiale importante, [LCSA-1] 72.2(1)
- Fondateur, [LCSA] 2; [LVM-1] 1.1
- Fonds d'investissement, [LVM] 5; [LVM-1] 1.1
- • À capital fixe, [LVM] 5; [LVM-1] 1.1
- Fongibles, [LCSA] 48
- Formulaire de procuration, [LCSA] 147
- Fournir, [LCC] 2(1)
- Fournisseur de liquidités, [LVM-1] 1.1
- Frais de fonctionnement, [LVM-2] 1.1
- Frais liés aux opérations, [LVM-2] 1.1
- Fusionnement, [LCC] 91
- Garantie, [LCC] 74.111(9)
- Gérant, [LC] 3(5), 124(6)
- Gestionnaire
  - • De fonds d'investissement, [LVM] 5
  - • De portefeuille, [LVM-1] 1.1.1; [LVM-2] 1.1
  - • D'exercice restreint, [LVM-2] 1.1
- Groupe, [LCSA] 2; [LSA] 2
- Groupe désigné, [LCSA-1] 72.2(1)
- Groupement, [LSA] 2
- Incapable, [LCSA] 2(1)
- Indication géographique, [LMC] 2
- • Protégée, [LMC] 2
- Indice de référence, [LVM] 5
- Information fausse ou trompeuse, [LVM] 5, 197
- Information privilégiée, [LVM] 5
- Information prospective, [LVM] 5
- Initié, [LCSA] 126, 131; [LVM] 5, 89; [LVM-2] 13.2
- Inscrit, [LCC] 85
- Institution financière canadienne, [LVM-1] 1.1; [LVM-2] 1.1
- Institution financière fédérale, [LCC] 49(3)
- Instrument financier, [LVM] 89.2
- Intéressé, [LCSA] 209
- Intérêt, [LSA] 122
- Intérêt financier, [LCSA] 237.1; [LVM] 89.1
- Intérêt financier ou autre, [LVM-2] 8.25
- Intérêt relatif à des capitaux propres, [LCC] 108(1)
- Intermédiaire, [LCSA] 147
- Intermédiaire en valeurs mobilières, [LTV] 8
- Investisseur
  - • Admissible, [LVM-1] 1.1
  - • Qualifié, [LVM-1] 1.1, 8.2
- Jour ouvrable, [LMC] 51.02
- Juge, [LCC] 30
- Législation antérieure, [LCSA] 2(1)
- Législation en valeurs mobilières d'une autre autorité, [LVM] 305.1
- Lettres de change ou billets, [LTV] 15
- Liens, [LVM] 5; [LVM-1] 2.22; [LCSA] 2
- Limitation du marché, [LCC] 77(1)
- Liquidation, [LSA] 323
- Livraison, [LCSA] 48
- Livre, [LCSA] 20(3)
- Localisateur, [LCC] 2(1)
- Loi, [LCSA-1] 1
- Lois américaines, [LVM-1] 1.1.1
- Mandataire, [LCSA] 2
- Marché, [LVM-1] 1.1; [LVM-2] 1.1
- Marque de certification, [LMC] 2
- Marque de certification projetée, [LMC] 2
- Marque de commerce, [LCSA-1] 17; [LMC] 2
- • Déposée, [LMC] 2
- • Projetée, [LMC] 2
- • Protégée, [LMC] 51.02
- • Protégée en cause, [LMC] 51.02
- Marque officielle, [LCSA-1] 17
- Membre, [LC] 216(3)
  - • De la haute direction, [LCSA-1] 72.2(3); [LVM-1] 1.1; [LVM-2] 8.16
  - • De l'OMC, [LMC] 2
  - • Du même groupe que l'agence de notation désignée, [LVM-1] 1.1; [LVM-2] 8.21

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Message électronique, [LCC] 2(1)
- Ministre, [LCC] 2(1); [LCSA] 2; [LMC] 11.11, 51.02, 52
- Minorité, [LCSA] 2(8)
- Nom commercial, [LMC] 2
- Non autorisé, [LCSA] 48
- Notation, [LVM] 5
- Désignée, [LVM-2] 1.1
- Note approuvée, [LVM-1] 1.1; [LVM-2] 8.21
- Note de crédit, [LVM] 5
- Notice annuelle, [LVM-1] 1.1
- Notice d'offre, [LVM] 5
- Nouvel exercice, [LVM-1] 1.1.1
- Objet, [LCC] 2(1)
- Obligations, [LC] 124(7)
- Obtention d'un titre intermédiaire, [LTV] 6
- OCRCVMM, [LVM-2] 1.1
- Office, [LCC] 125(2), 126(2)
- Offre d'achat visant à la mainmise, [LCSA] 206
- Opération
- D'éviction, [LCSA] 2
- De fermeture, [LCSA] 2; [LCSA-1] 3(1)
- Visant des actifs, [LVM-1] 1.1
- Visée au Québec, [LVM-1] 1.7; [LVM-2] 8.1
- Opposition, [LCSA] 48
- Option, [LTV] 16
- Option d'achat, [LCSA] 2
- Option de vente, [LCSA] 2
- Ordinateur, [LCC] 2(1)
- Organisme de placement collectif, [LVM] 5
- Organisme du gouvernement, [LPLE] 102
- Organisme supranational accepté, [LVM-2] 8.21
- Part ou titre de participation dans une société de personnes ou dans une société à responsabilité limitée, [LTV] 14
- Participant, [LCC] 69(1)
- Particulier, [LCSA] 2
- Particulier ayant un contrôle important, [LCSA] 2.1
- Passif, [LCSA] 2
- Pays d'origine, [LMC] 2
- Pays de l'Union, [LMC] 2
- PCGR
- Américains, [LCSA-1] 70
- Canadiens, [LCSA-1] 70
- De l'émetteur, [LSA-1] 1.1
- Période intermédiaire, [LVM-2] 1.1
- Personne, [LCC] 108(1); [LCSA] 2; [LMC] 2; [LVM] 5.1
- Personne apparentée, [LVM-1] 2.22
- Personne compétente, [LCSA] 65
- Personne influente, [LVM] 225.3
- Personne intéressée, [LMC] 2
- Personne morale, [LC] 216(1); [LCSA] 2
- Constituée au Québec, [LPLE] 31
- Contrôlée par Sa Majesté, [LCC] 108(2)
- De droit privé, [LCSA] 237.5(2)
- Personne morale mère, [LC] 123.1; [LCSA] 2; [LSA] 2
- Personne participant au contrôle, [LVM] 5.2; [LVM-2] 8.16
- Personne physique inscrite, [LVM-2] 1.1
- Perte financière, [LCSA] 237.1
- Placement, [LVM] 5
- Au Manitoba, [LVM-1] 1.6
- D'un bloc de contrôle, [LVM-1] 4.1(1)
- Placement antérieur selon la politique de la Bourse, [LVM-1] 5.1(2)
- Plaignant, [LCSA] 238
- Plan, [LVM-1] 2.22; [LVM-2] 8.16
- Politique de la Bourse, [LVM-1] 5.1(2); [LVM-2] 8.22
- Pollicitant, [LCSA] 206
- Pollicitation, [LCSA] 206
- Pollicité, [LCSA] 206
- Dissident, [LCSA] 206
- Portefeuille d'actifs, [LVM-1] 1.1
- Porteur, [LCSA] 48
- Premiers états financiers IFRS, [LVM-1] 1.1.1
- Prescrit, [LCSA] 2; [LMC] 2
- Présence suffisante du français dans l'affichage, [CLF-1] 25.3-25.4
- Preuve de la nomination ou du mandat, [LCSA] 77(4)
- Prix, [LCC] 45(8)
- Prix à la livraison, [LCC] 80(1)
- Prix d'occasion, [LCC] 74.04(1)
- Procuration, [LCSA] 147
- Produit, [LCC] 2(1)
- Produit brut, [LVM-1] 5.1(2)
- Produit de financement structuré, [LVM-1] 1.1.1
- Produit titrisé, [LVM-1] 1.1
- À court terme, [LVM-1] 1.1
- Professionnel des relations avec les investisseurs, [LVM-1] 2.22
- Propriétaire, [LMC] 2, 51.02
- Publication, [LVM] 225.3
- Rapport de gestion, [LVM] 225.3; [LVM-1] 1.1
- REEE autogéré, [LVM-1] 1.1; [LVM-2] 8.19
- REER, [LVM-1] 1.1
- Régime de capitalisation, [LVM-2] 8.28
- Registraire, [LMC] 2
- Registre, [LC] 3(8), 124(7), 216(4); [LMC] 2
- Registre des entreprises, [LSA] 2
- *Règlement 51-102*, [LCSA-1] 1
- *Règlement 52-107*, [LCSA-1] 70
- Réglementaire, [LCC] 108(1); [LCSA] 2

## INDEX ANALYTIQUE

- Règles sur les offres publiques de rachat, [LVM-1] 2.22
  - Regroupement d'entreprises, [LCSA] 126
  - Rehaussement de crédit, [LVM-1] 1.1
  - Remise, [LCSA] 48
  - Rémunération, [LVM-1] 2.22
  - Renseignement, [LCC] 2(1)
  - Renseignements sur l'expéditeur, [LCC] 2(1)
  - Réorganisation, [LCSA] 191
  - Représentant, [LCSA] 48
  - • Personnel, [LCSA] 2
  - • Pour signification, [LMC] 2
  - Résident canadien, [LCSA] 2; [LCSA-1] 13
  - Résolution ordinaire, [LCSA] 2; [LSA] 2
  - Résolution spéciale, [LCSA] 2; [LSA] 2
  - Restriction, [LCSA-1] 73
  - Rétrospectif, [LSA-1] 1.1
  - Réunion, [LCSA] 2
  - Série, [LCSA] 2
  - Series 7 Exam, [LVM-2] 3.1
  - Service, [LCC] 2(1)
  - Société, [LCSA] 2
  - • Ayant fait appel au public, [LCSA] 2; [LCSA-1] 2
  - • D'investissement à capital variable, [LCSA] 26(12)
  - • Fermée, [LVM] 5
  - • Inscrite, [LVM-2] 1.1
  - • Parrainante, [LVM-2] 1.1
  - Société de personnes, [LCC] 2(2)
  - Société par actions, [LCSA] 2
  - Société par actions à participation restreinte, [LCSA-1] 73
  - Société parrainante, [LVM-2] 1.1
  - Société pollicitée, [LCSA] 206
  - Sociétés du même groupe, [LVM-1] 1.3
  - Sollicitation, [LCSA] 147; [LCSA-1] 67, 68
  - • Effectuée par la direction ou pour son compte, [LCSA] 147
  - Sommaire des modalités type relatif à la notice d'offre, [LVM-1] 1.1.1
  - Sous-conseiller, [LVM-1] 1.1
  - Sous-filiale, [LVM] 9
  - Souscription, [LCC] 5(2)
  - Sport amateur, [LCC] 6(2)
  - Statuts, [LCSA] 2
  - Sûreté, [LCSA] 2
  - Système d'information, [LCSA] 252.1
  - Système de vente pyramidale, [LCC] 55.1
  - Taux de rendement total, [LVM-2] 1.1
  - Télémarketing, [LCC] 52.1(1)
  - Territoire principal, [LVM-2] 1.1
  - Texte relatif aux sociétés de capital de démarrage, [LVM-1] 1.1
  - Titre, [LVM] 225.3; [LVM-2] 1.2, 8.2, 8.26, 14.5.1
  - • Comportant droit de vote, [LVM] 5
  - • De créance, [LCSA] 2; [LVM-1] 1.1; [LVM-2] 1.1
  - • De participation, [LTV] 9(2); [LSA] 2
  - • Inscrit à la cote, [LVM-1] 5.1(2)
  - Titre de CFA, [LVM-2] 3.1
  - Titre de gestionnaire de placements canadien, [LVM-2] 3.1
  - Titre étranger, [LVM-2] 8.18, 8.26
  - Total des produits des activités ordinaires bruts consolidés, [LVM-2] 8.26
  - Transfert, [LCSA] 48
  - • D'une valeur mobilière, [LTV] 6
  - Tribunal, [LCC] 2(1), 33(8); [LCSA] 2, 207; [LMC] 52; [LSA] 2
  - Tribunal fédéral, [LCC] 125(2)
  - Tribunal provincial, [LCC] 126(2)
  - Truquage des offres, [LCC] 47(1)
  - Usage, [LMC] 2
  - Usager inscrit, [LMC] 2
  - Valeur mobilière, [LCSA] 2, 48; [LSA] 2
  - • Participante, [LCSA-1] 3(2)
  - Valeurs mobilières, [LTV] 10-11
  - Valide, [LCSA] 48
  - Ventes liées, [LCC] 77(1)
  - Vérificateur, [LCSA] 2
  - Véritable propriétaire, [LCSA] 2
  - Votation, [LC] 225(2)
- Démarchage**
- Interdiction ou restriction, [LVM] 270
- Dénomination commerciale**
- Définition, [LCSA-1] 17
- Dénomination sociale**, voir aussi Immatriculation, Registraire des entreprises
- Absence de conformité, [LC] 10, 19
  - Changement, [LPLE-2] 21
  - • Avis préalable, [LC] 20
  - • Conditions, [LC] 18.1
  - • Effet, [LC] 22
  - • Lettres patentes supplémentaires, [LC] 18.2-20
  - • Observations des intéressés, [LC] 18.2
  - • Règlement de la compagnie, [LC] 21
  - Compagnie à fonds social, [LC] 136-137
  - Entreprise à responsabilité limitée, [LC] 34.1
  - Exigences, [LC] 7
  - Identification sous un nom autre, [LC] 34
  - Identique au nom d'une autre personne, [LPLE-2] 5; [LSA-4] 2
  - Infractions et peines, [LC] 35
  - Inscription, [LC] 33

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Laissant croire qu'elle est liée à une autre personne, [LPLE-2] 5; [LSA-4] 3-4
- Critères, [LPLE-2] 3, 4
- Langue, [LPLE-2] 2
- Rapport de recherche, [LC] 7, 9.2, 10.1
- Réservation, [LC] 9.2; [LSA-4] 6
- Restrictions, [LC] 9.1; [LPLE-2] 1, 2; [LSA-4] 1
- Risque de confusion, [LPLE-2] 5; [LSA-4] 5

### **Dénomination sociale B compagnie (partie IA)**

- Attribution d'office, [LC] 123.26, 123.27, 123.27.5
- Autorité publique, [LC-1] 1; [LSA-5] 1
- Changement, [LC] 123.27.1, 123.27.2, 123.134
- Changement d'office, [LC] 123.27.4
- Décision du registraire, [LC] 123.27.3
- Conformité (vérification), [LC] 123.158
- Entreprise à responsabilité limitée, [LC] 123.22
- Identique au nom d'une autre personne, [LSA-5] 2
- Laissant croire que la compagnie est liée à une autre personne, [LSA-5] 3-5
- Numéro matricule, [LC] 123.23
- Annulation, [LC] 123.26
- Remplacement par un nom, [LC] 123.24
- Réservation, [LSA-5] 6
- Risque de confusion, [LSA-5] 5

### **Dénomination sociale B personne morale ou association (partie III)**, [LC] 219, 221.1, 221.2

#### **Dénomination sociale B société fédérale**

- Annulation, [LCSA] 12(5), 13
- Changement, [LCSA] 12(2), (4) et (4.1)
- Confusion, [LCSA-1] 18-24
- Dénotations prohibées, [LCSA] 12
- Détermination du caractère distinctif, [LCSA-1] 19
- Identification sous un autre nom, [LCSA] 10(6)
- Langue, [LCSA] 10(3) et (4)
- Lisibilité, [LCSA] 10(5)
- Non distinctive, [LCSA-1] 30
- Numéro matricule, [LCSA] 11(2)
- Prohibitions générales, [LCSA-1] 25-29
- Réservation, [LCSA] 11
- Termes devant faire partie de la dénomination, [LCSA] 10
- Trompeuse, [LCSA-1] 31-32

#### **Dénonciation d'intérêt**

- Absence de quorum lors du vote sur la résolution, [LSA] 128
- Abstention requise de tous les administrateurs, [LSA] 129
- Administrateur, [CCQ] 324 al. 2, 325-326
- Clarté, [LSA] 129
- Consultation, [LSA] 130
- Contrat ou opération qui ne requiert pas l'approbation du conseil, [LSA] 126

- Dirigeant qui n'est pas administrateur, [LSA] 125
- Non-participation au vote sur la résolution, [LSA] 127
- Nullité du contrat ou de l'opération, [LSA] 131
- Exception, [LSA] 132-133
- Obligation de l'administrateur ou du dirigeant, [LSA] 122-123
- Par écrit, [LSA] 124

#### **Dépôt de couverture**, [LVM] 10.1.1

- Dérivé, [LVM] 189.1
- Acquisition ou aliénation, [LVM] 92
- Non-application de la Loi, [LVM] 2.1

#### **Détenteur**

- Définition, [LCSA] 48

#### **Dette déterminée**

- Dispense d'inscription, [LVM-2] 8.21

#### **Directeur (société fédérale)**

- Décision
- Société ayant ou non fait un appel au public, [LCSA] 2(6) et (7)
- Déclaration, [LCSA] 262
- Définition, [LCSA] 2
- Enquête
- Pouvoirs, [LCSA] 235, 237

#### **Directeur (société fédérale) B documents**, [LCSA] 252, 254, 257

- Annulation des statuts et certificats, [LSCA] 265.1; [LCSA-1] 96
- Authenticité, [LCSA] 259
- Certificat attestant la remise des documents par la société, [LCSA] 263.1
- Conservation, [LCSA] 267; [LCSA-1] 15
- Délai, [LCSA-1] 15
- Consultation, [LCSA] 266
- Dispense, [LCSA] 258.2; [LCSA-1] 14
- Droits, [LCSA-1] 97-98, 261.1, Annexe 5
- Forme électronique, [LCSA] 252.1-252.7, 258.1, 258.2; [LCSA-1] 6-12, 14
- Modification, [LCSA] 264
- Photocopie, [LCSA] 258
- Publications, [LCSA] 267.1
- Rectification, [LCSA] 265
- Renonciation, [LCSA] 255
- Signature, [LCSA] 256, 262.1
- Transmission, [LCSA] 258.1

#### **Directives étrangères**, [LCC] 46

#### **Dirigeant**, voir aussi Administrateur

- Acte de procédure, [LC] 115
- Annulation des actes, [CCQ] 328
- Assurance de responsabilité, [LSA] 162
- Concurrence (infraction), [LCC] 65(4)
- Définition, [LVM] 5; [LCSA] 2; [LSA] 2

## INDEX ANALYTIQUE

- Dénonciation d'intérêt, [LSA] 122-133
  - Devoirs, [LSA] 119-133
  - Directives étrangères, [LCC] 46
  - Documentation trompeuse (gagner un prix), [LCC] 53(5)
  - Information privilégiée, [LVM] 189
  - Infraction, [CLF] 207; [LSA] 493; [LC] 123
  - Interdiction, [LVM] 273.3
  - Mandataire, [LSA] 116, 119
  - Pénalité administrative, [LVM] 273.1, 273.2
  - Personne morale, [CCQ] 312
  - Recours, [LSA] 439-467
  - Rémunération, [LSA] 117
  - Télémarketing trompeur, [LCC] 52.1(8)
  - Dirigeant (société fédérale)**
    - Communication des intérêts, [LCSA] 120
    - Définition, [LCSA] 126
    - Devoirs, [LCSA] 122
    - Indemnisation, [LCSA] 124; [LSA] 159-161
    - Obligations envers le vérificateur, [LCSA] 170
    - Rémunération, [LCSA] 125
    - Validité des actes, [LCSA] 116
  - Dispense, [LVM-1] 7.1**
  - Dispense d'inscription**
    - Autorité principale, [LVM-2] 1.3
    - Banques de l'annexe III, [LVM-2] 1.1
    - Conseiller, [LVM-2] 8.6, 8.22.2, 8.23, 8.30
    - Contrat négociable, [LVM-2] 8.2
    - Courtier, [LVM-2] 8.1, 8.30
    - Définitions, [LVM-1] 1.1; [LVM-2] 1.1
    - Gestionnaire de fonds d'investissement, [LVM-2] 2.3, 8.26.2, 8.7-8.8, 8.27
    - Octroi, [LVM-2] 15.1
    - Opération visée effectuée
      - Avec un courtier inscrit ou par son entremise, [LVM-2] 8.5
    - Personne physique
      - Agissant pour un gestionnaire de fonds d'investissement, [LVM-2] 2.3
      - Mobilité des clients, [LVM-2] 2.2
    - Placeur, [LVM-2] 8.1
    - Sous-conseiller international, [LVM-2] 8.26.1
    - Titre (interprétation), [LVM-2] 1.2
  - Dispense d'inscription B collecte de capitaux**
    - Émetteur fermé, [LVM-1] 2.4
    - Notice d'offre, [LVM-1] 6.4-6.5
  - Dispense d'inscription B fonds d'investissement, voir Fonds d'investissement**
  - Dispense de prospectus, [LVM-1]**
    - Association coopérative, [LVM-1] 2.41
    - Banques de l'annexe III, [LVM-1] 2.41
    - CELI, [LVM-1] 2.40
  - Contrat à capital variable, [LVM-1] 2.39
  - Conversion, échange ou exercice, [LVM-1] 2.42
  - Créance hypothécaire, [LVM-1] 2.36
  - Exemption des créances hypothécaires syndiquées [LVM-1] 2.36
  - Définitions, [LVM-1] 1.1.1
  - Dette à court terme, [LVM-1] 2.35
  - Dette déterminée, [LVM-1] 2.34
  - Dividendes et distribution, [LVM-1] 2.31
  - Émetteur à but non lucratif, [LVM-1] 2.38
  - Émetteur à peu d'actionnaires, [LVM-1] 8.3
  - FERR, [LVM-1] 2.40
  - Législation sur les sûretés mobilières, [LVM-1] 2.37
  - Personne agissant à titre de preneur ferme, [LVM-1] 2.33
  - Placement au moyen d'une notice d'offre
  - Documents de commercialisation, [LVM-1] 8.4.2
    - Mise à jour de la notice, [LVM-1] 8.4.1
  - Placement isolé effectué par l'émetteur, [LVM-1] 2.30
  - REEE autogéré, [LVM-1] 2.43
  - REER, [LVM-1] 2.40
- Dispense de prospectus B collecte de capitaux**
  - Émetteur fermé, [LVM-1] 2.4
  - Investissement d'une somme minimale, [LVM-1] 2.10
  - Investisseur qualifié, [LVM-1] 2.3
  - Notice d'offre, [LVM-1] 2.9, 6.4-6.5
  - Parents, amis et partenaires, [LVM-1] 2.5
    - Ontario [LVM-1] 2.6.1
    - Saskatchewan, [LVM-1] 2.6
  - Placement de droits, [LVM-1] 2.1
  - Plan de réinvestissement, [LVM-1] 2.2
  - Sociétés du même groupe, [LVM-1] 2.8
- Dispense de prospectus B fonds d'investissement**
  - Club d'investissement, [LVM-1] 2.20
  - Investissement additionnel, [LVM-1] 2.19, 8.1
  - Privé
    - Portefeuilles gérés par une société de fiducie, [LVM-1] 2.21
  - Réinvestissement, [LVM-1] 2.18
- Dispense de prospectus B opérations**
  - Acquisition d'actifs, [LVM-1] 2.12
  - Acquisition ou rachat par l'émetteur, [LVM-1] 2.15
  - Offre d'acquisition faite à un porteur situé à l'extérieur du territoire intéressé, [LVM-1] 2.17
  - Offre publique d'achat ou de rachat, [LVM-1] 2.16
  - Regroupement et réorganisation d'entreprises, [LVM-1] 2.11
  - Terrains pétrolières, gazéifères et miniers, [LVM-1] 2.13

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Titres émis en règlement d'une dette, [LVM-1] 2.14
- Dispense de prospectus** **B salariés, membres de la haute direction, administrateurs et consultants**
  - Application, [LVM-1] 2.24
  - Cessionnaire admissible, [LVM-1] 2.27-2.28
  - Définitions, [LVM-1] 2.22
  - Émetteur assujetti non coté, [LVM-1] 2.25
  - Émetteur non assujetti, [LVM-1] 2.26
  - Interprétation, [LVM-1] 2.23
  - Offre publique de rachat, [LVM-1] 2.29
- Dissident**, voir aussi Circulaire de procuration de dissident (société fédérale)
  - Définition, [LCSA-1] 60
- Dissolution d'une personne morale**, [CCQ] 355-364
- Dissolution de société**, voir aussi Reconstitution de société
  - Cessation d'exister, [LSA] 321
  - Consentement, [LSA] 304
  - Des actionnaires, [LSA] 308-311
  - Du conseil d'administration, [LSA] 316
  - Par déclaration de l'actionnaire unique, [LSA] 312-315
  - Déclaration, [LSA] 317, 320
  - Contenu, [LSA] 318
  - Signature, [LSA] 319, 322
  - Transmission au registraire des entreprises, [LSA] 318
  - Demande, [LSA] 461-467
  - Obligations de la société, [LSA] 305
  - Procédure judiciaire ou administrative en cours, [LSA] 306
  - Signification ou notification, [LSA] 307
  - Reconstitution, [LSA] 365-371
- Distinction**
  - Définition de « Distinctive », [LCSA-1] 17
- Distinctive**, voir Distinction
- Distributeur**, [LCC] 74.07(1)
- Distribution**
  - Dispense de prospectus, [LVM-1] 2.31
- Diversité (renseignements)**, [LCSA-1] 72.2
- Dividende**, voir aussi Actions
  - Compagnie (partie IA), [LC] 123.70, 123.71
  - Compagnie à fonds social (partie II), [LC] 146(5), 171-175
  - Compagnie minière, [LC] 80, 172
  - Déduction, [LC] 82, 174; [LSA] 105
  - Dispense d'inscription, [LVM-1] 3.31
  - Dispense de prospectus, [LVM-1] 2.31
  - Fonds de réserve, [LC] 79, 171
  - Monnaie étrangère, [LC] 175
- Paiement, [LSA] 103
- Formes, [LC] 80, 81, 172, 173
- Restrictions, [LC] 79, 123.70, 171; [LSA] 104
- Exception, [LC] 80, 172
- Société fédérale, [LCSA] 42, 43
- Versement illégal, [LC] 94
- Document**, [LSA-3] 1
  - Déposé auprès du registraire des entreprises
  - Annexe, [LSA-3] 4
  - Espace ou marge, [LSA-3] 5
  - Forme, [LSA-3] 2
  - Rubrique, [LSA-3] 3
  - Verso, [LSA-3] 6
  - Mention dans une législation en valeurs mobilières, [LVM] 5.4
- Document technologique**, voir Registraire des entreprises (transmission de documents technologiques)
- Documentation trompeuse** (gagner un prix), [LCC] 53, 74.07(2)
  - Disculpation, [LCC] 53(3)
  - Infraction commise
  - Par les employés et mandataires, [LCC] 53(4)
  - Par une personne morale et ses dirigeants, [LCC] 54(5)
  - Non-application, [LCC] 53(2)
  - Peine, [LCC] 53(6), 53(7)
- Domicile**
  - Personne morale, [CCQ] 307-308
- Dommages-intérêts**, voir aussi Action en dommages-intérêts
  - Action, [LVM] 225.4-225.33
  - Prescription, [LVM] 235, 236
  - Tribunal compétent, [LVM] 236.1
  - En matière de concurrence, [LCC] 36
  - Exclusivité, limitation du marché ou ventes liées, [LCC] 77(3.1)
  - Marque de commerce, [LMC] 51.12
  - Opération sur valeurs mobilières, [LVM] 269.2
  - Absence de note d'information, [LVM] 215
  - Absence de prospectus, [LVM] 214
  - Informations fausses ou trompeuses, [LVM] 217-225.1
  - Moyens de défense, [LVM] 216, 220, 222, 224
  - Poursuite contre le courtier, [LVM] 214.1
- Donation**
  - Capital-actions, [LSA] 99
- Double étiquetage**, [LCC] 54, 60
  - Moyen de défense, [LCC] 60
  - Peine, [LCC] 54(2)
- Droit d'auteur**
  - Restriction au commerce, [LCC] 32

## INDEX ANALYTIQUE

### **Droits à payer**, [LC] 22.1, 123.171.1

- Publicité légale des entreprises, [LPLE] 75-89

### **Droits de douanes**, [LCC] 31, 75(1)

## -E-

### **Éditeur**, [LCC] 74.07(1)

#### **Émetteur**

- Changement important, [LVM] 5.3
- Définition, [LVM] 5; [LCSA] 48
- Fusion
- • Présomption, [LVM] 95
- Information à fournir, [LVM] 73-74

### **Émetteur assujetti**, [LVM] 272.2; [LCSA-1] Annexe I

- Déclaration, [LVM] 89
- Définition, [LVM] 5, 68
- États financiers, [LVM] 71.1-71.3
- Gouvernance, [LVM] 73.1
- Information à fournir, [LVM] 68, 73-74
- Liste, [LVM] 71
- Pénalité administrative, [LVM] 273.1, 273.2
- Reconnaissance de son état, [LVM] 68.1
- Registre, [LVM] 70
- Révocation, [LVM] 69, 69.1
- Société par actions, [LSA] 40-42
- • Conseil d'administration, [LSA] 106

#### **Émetteur fermé**

- Dispense de prospectus, [LVM-1] 2.4

#### **Émission excédentaire**

- Définition, [LCSA] 48

#### **Emploi**

- Définition, [LCSA-1] 17

### **Endossement de valeurs mobilières ou titres financiers**, voir Obtention de titres intermédiaires, Transfert de valeurs mobilières

#### **Enquête**, voir aussi Inspecteur

- Action en dommages-intérêts, [LVM] 225.17-225.18
- Demande, [LSA] 421, 423
- Informations, [LSA] 424
- Ordonnance du tribunal, [LSA] 422, 425

#### **Enquête (société fédérale)**

- Audition
- • Huis clos, [LCSA] 232(1)
- • Représentation, [LCSA] 232(2)
- Demande, [LCSA] 229
- Directeur
- • Pouvoirs, [LCSA] 235, 237
- Inspecteur
- • Immunité, [LCSA] 234
- • Pouvoirs, [LCSA] 231

- Pouvoirs du tribunal, [LCSA] 230
- Secret professionnel de l'avocat, [LCSA] 236
- Témoignage, [LCSA] 233

#### **Enquête en matière de valeurs mobilières**

- Communication de pièces, [LVM] 242, 243
- Communication interdite, [LVM] 245
- Dispositions applicables, [LVM] 240
- Huis clos, [LVM] 244
- Interrogatoire sous serment, [LVM] 238
- Objet, [LVM] 239
- Personne désignée, [LVM] 247, 248
- Pouvoirs de l'Autorité, [LVM] 237
- Témoignage, [LVM] 241, 246

#### **Entente d'indication de clients**

- Antérieure au 28-09-2009, [LVM-2] 13.11
- Autorisée, [LVM-2] 13.8
- Définition, [LVM-2] 13.7
- Information à fournir aux clients, [LVM-2] 13.10
- Vérification des qualités de la personne, [LVM-2] 13.9

#### **Entente Québec-Ontario sur les achats**

**gouvernementaux et la mobilité de la main-d'œuvre dans l'industrie de la construction,**  
[LPLE-1] 4

#### **Entité**

- Définition, [LCSA] 2

#### **Entreprise**, voir aussi Compagnie, Société par actions

- Activité au Québec, [LPLE] 25
- Définition, [LC] 3(3), 124(4), 216(2)
- Nom
- • Langue, [CLF] 63-68
- Regroupement et réorganisation
- • Dispense de prospectus, [LVM-1] 2.11

#### **Entreprise à responsabilité limitée**

- Dénomination sociale, [LC] 34.1, 123.22

#### **Entreprise individuelle**

- Immatriculation, [LPLE] 21(1)

#### **Envoyé**

- Définition de « Envoyer », [LCSA] 2

#### **Envoyer**, voir Envoi

#### **États financiers**, voir aussi Vérificateur

- Approbation par le conseil, [LSA] 227
- Assemblée annuelle des actionnaires, [LSA] 225
- Bilan, [LSA] 226
- Circulaire de procuration, [LCSA-1] 66
- Conservation, [LSA] 228
- Consultation, [LSA] 228-229
- • Recours au tribunal, [LSA] 228
- Contenu, [LSA] 226
- De filiale, [LSA] 229
- Diffusion, [LSA] 227

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- État des résultats, [LSA] 226
- Présentation, [LSA] 225
- Rapport du vérificateur, [LSA] 230
- Société fédérale, [LCSA] 155-160, 169; [LCSA-1] 71, 72
- Société inscrite, [LVM-2] 12.10
- Examen**
  - Personne physique
  - Obligation de compétences générale, [LVM-2] 3.1-3.3
- Exclusivité, [LCC] 77**
  - Demande au Tribunal, [LCC] 103.1-107
- Expert**
  - Définition, [LVM] 225.3
- F-**
- Failli, [CCQ] 327**
- Faillite**
  - Administrateur, [LC] 86(4), 179
  - Responsabilité, [LSA] 154
  - Constitution d'une société par actions (fédérale), [LCSA] 5(1)c)
  - Immatriculation
  - Déclaration de radiation, [LPLE] 57
  - Mise à jour des informations, [LPLE] 43
  - Réorganisation de société, [LSA] 411-413
- Fait important**
  - Définition, [LVM] 5
- FERR**
  - Définition, [LVM-1] 1.1
  - Dispense de prospectus, [LVM-1] 2.40
- Fiduciaire, voir aussi Acte de fiducie**
  - Conflit d'intérêts, [LCSA] 83
  - Définition, [LCSA] 82
  - Obligations, [LCSA] 91, 93
  - Personne morale, [CCQ] 304 al. 2
  - Qualités requises, [LCSA] 84
  - Responsabilité, [LCSA] 92
- Fiducie qui exploite une entreprise**
  - Immatriculation, [LPLE] 3, 21
  - Déclaration, [LPLE] 35.1
- Filiale**
  - Acquisition d'actions, [LSA] 88
  - Aliénation affectant la poursuite d'activités substantielles, [LSA] 271-275
  - Contrôle, [LC] 123.2
  - Définition, [LC] 123.1; [LCSA] 2; [LSA] 2
  - Distribution publique de valeurs mobilières, [LC] 123.3
  - Enquête du Commissaire de la concurrence, [LCC] 11(2)
  - Exclusivité, limitation du marché ou ventes liées, [LCC] 77(5), 77(6)
  - Fusion de compagnies, [LC] 123.129, 123.130
  - Truquage des offres, [LCC] 47(3)
- Filiale donnée**
  - Définition, [LCSA-1] 35
- Fin de l'année d'imposition**
  - Définition, [LCSA-1] 1
- Fondateur, voir aussi Société par actions**
  - Définition, [LCSA] 2
- Fondé de pouvoir, voir aussi Immatriculation**
  - Procuration, [LSA] 170-173
- Fonds d'investissement, voir aussi Club d'investissement, Gestionnaire de fonds d'investissement**
  - Actifs gardés en fiducie, [LVM-2] 14.6
  - Changement important, [LVM] 5.3
  - Dispense d'inscription, [LVM-2] 2.3, 8.68.8
  - Dispense de prospectus, [LVM-1] 2.18-2.21, 8.1
  - Fiduciaire, [LVM] 109.6
  - Fonds et titres détenus par un dépositaire qualifié, [LVM-2] 14.5.3
  - Garde concernant certaines marges ou sûretés, [LVM-2] 14.6.1
  - Investissement additionnel, [LVM-1] 3.19, 8.1.1; [LVM-2] 8.8
  - Titres achetés ou souscrits avant le 14 septembre 2005, [LVM-2] 8.9
  - Investisseur qualifié, [LVM-1] 8.2
  - Obligation de garde par un dépositaire qualifié, [LVM-2] 14.5.2
  - Privé
  - Dispense d'inscription, [LVM-2] 8.11, 8.29
  - Réception présumée de document, [LVM] 109.6.1
  - Règles de fonctionnement, [LVM] 109.5
  - Réinvestissement, [LVM-1] 3.18; [LVM-2] 8.7
  - Restriction en matière de garde autonome, [LVM-2] 14.5.2
  - Vente à découvert, [LVM-2] 14.6.2
- Fonds d'investissement à capital fixe, [LVM] 272.2**
  - Définition, [LVM] 5
- Fonds de garantie, [LVM] 151.1.1**
- Fongibles**
  - Définition, [LCSA] 48
- Formulaire de procuration, voir aussi Sollicitation de procuration (société fédérale)**
  - Définition, [LCSA] 147
  - Faux renseignement ou omission de divulguer, [LCSA] 154
  - Nomination d'un fondé de pouvoir, [LCSA] 148
- Formulaire de reconnaissance du risque, [LVM] 40.1; [LVM-1] 6.5**

## INDEX ANALYTIQUE

### **Fournisseur**

- Prix habituel, [LCC] 74.01(2)-74.01(5), 74.1(6)

### **Fournisseur de services d'appariement, [LVM]**

331.1

- Autorisation de l'Autorité, [LVM] 169-169.1, 171.1-171.2

### **Fournisseur de services de réglementation, [LVM]**

331.1

- Autorisation de l'Autorité, [LVM] 169-169.1, 171.2, 237(2.3.1)

### **Frais provisoires, [LSA]** 443

### **Fraude, [CCQ]** 316-317; [LVM] 199.1(2)

### **Fusion de sociétés**

- Certificat, [LSA] 286
- Ordinaire, [LSA] 276
- • Convention, [LSA] 277
- • • Approbation des actionnaires, [LSA] 278-279
- • • Fin, [LSA] 280
- Responsabilité pour les dettes, [LSA] 287
- Simplifiée, [LSA] 276, 281
- • Résolution du conseil, [LSA] 281-282
- Statuts, [LSA] 283
- • Contenu, [LSA] 284
- • Transmission au registraire des entreprises, [LSA] 285

### **Fusionnement, [LCC]** 91-103, 110(4)-110(4.1)

- Certificat de décision préalable, [LCC] 102-103
- Définition, [LCC] 91
- Intervention du procureur général d'une province, [LCC] 101
- Ordonnance conditionnelle de dissolution de fusionnement, [LCC] 99
- Ordonnance en cas de diminution de la concurrence, [LCC] 92(1)
- • Éléments à considérer, [LCC] 93
- • Exception, [LCC] 94
- • • Dans les cas de gans en efficience, [LCC] 96
- • • Pour les entreprises à risques partagés, [LCC] 95
- • Prescription, [LCC] 97
- • Preuve, [LCC] 92(2)
- Ordonnance provisoire, [LCC] 100
- • Audition *ex parte*, [LCC] 100(3)
- • Avis, [LCC] 100(2)
- • Conditions, [LCC] 100(4)
- • Durée maximale, [LCC] 100(5), 100(6)
- • Prorogation du délai, [LCC] 100(7)
- Procédures commencées, [LCC] 98

**-G-**

### **Gazette du Canada**

- Accord d'entraide juridique (concurrence), [LCC] 30.02

- Consentement du commissaire, [LCC] 106.1(3)
- Enquête du Commissaire de la concurrence
- • Règlements, [LCC] 24(2)
- Fusion ou association d'intérêts, [LCC] 110(9)
- Marques de commerce
- • Liste des indications géographiques, [LMC] 11.12(4)
- Règlements (concurrence), [LCC] 128(2)
- Transactions devant faire l'objet d'un avis (règlements), [LCC] 124(2)



### **Gazette officielle du Québec**

- Accord de réciprocité, [LVM] 308.4
- Adresse du bureau de l'Autorité des marchés financiers, [LVM] 293
- Avis d'assemblée, [LC] 84
- Fin d'attribution de lettres patentes, [LC] 123.0.1
- Personne morale
- • Attribution judiciaire de la personnalité juridique, [CCQ] 333 al. 2
- Registraire des entreprises
- • Délégation de pouvoirs, [LPLE] 6-7
- Règlement
- • Droits à payer, [LC] 23
- • Entrée en vigueur, [LC] 23(5), 25, 123.170
- • Préavis, [LC] 23(5)
- • Valeurs mobilières, [LVM] 331.2

### **Gérant**

- Actes de procédure, [LC] 115
- Définition, [LC] 3(5), 124(6)
- Infractions et peines, [LC] 123

### **Gestionnaire de fonds d'investissement, voir aussi**

- Fonds d'investissement, Société inscrite
- Assurance, [LVM-2] 12.5
  - Avis d'exécution, [LVM-2] 14.12(5)
  - Chef de la conformité, [LVM-2] 3.14
  - Conflit d'intérêts, [LVM-2] 13.4.2
  - Définition, [LVM] 5
  - Devoir d'information, [LVM-2] 14.1.1
  - Dispense d'inscription, [LVM-2] 2.3, 8.26.2, 8.7-8.8, 8.27, 16.5
  - Dispositions non applicables, [LVM-2] 13.1, 13.14, 14.1
  - Étranger, [LVM-2] 16.6
  - Information à fournir au client, [LVM-2] 14.2-14.5
  - Information à fournir sur les frais avant d'effectuer des opérations, [LVM-2] 14.2.1
  - Information et documents fournis à l'Autorité, [LVM] 159.0.1
  - Information exigée du fonds d'investissement, [LVM] 159.1
  - Information financière, [LVM-2] 12.14
  - Information privilégiée, [LVM] 189
  - Inscription, [LVM-2] 7.3, 16.4
  - Interdiction, [LVM] 148

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Investissement additionnel dans un fonds d'investissement, [LVM-2] 8.8
- Livres et registres, [LVM] 158
- Obligations, [LVM] 159.2-159.3
- Option de placement d'un régime de capitalisation, [LVM-2] 8.28
- Réinvestissement dans un fonds d'investissement, [LVM-2] 8.7
- Relevé au porteur, [LVM-2] 14.15
- Vente liée, [LVM-2] 11.8
- Vérificateur, [LVM] 151.5

### **Gestionnaire de portefeuille**

- Chef de la conformité, [LVM-2] 3.13
- Définition, [LVM-2] 1.1
- Inscription, [LVM-2] 7.2
- • Dispense, [LVM-2] 16.20
- Représentant-conseil, [LVM-2] 3.11
- • Adjoint, [LVM-2] 3.12

### **Gouvernement**

- Accord de réciprocité, [LVM] 308.1.1-308.4
- • Pouvoirs et fonctions, [LVM] 308.2.2
- Enquête sur une personne morale ou une association, [LC] 230
- Règlement
- • Compagnie (partie IA), [LC] 123.169, 123.170
- • Droits à payer, [LC] 23
- • Publicité légale, [LPLE] 150-151
- • Société par actions, [LSA] 489
- • Valeurs mobilières, [LVM] 331-333

### **Gouverneur en conseil**

- Commissaire de la concurrence, [LCC] 7(1)
- • Autres intérimaires, [LCC] 8(3)
- • Enquête, [LCC] 24(1)
- Droits de douanes, [LCC] 31
- Marques de commerce, [LMC] 11.18(5), 65
- Règlement, [LCC] 124, 128; [LCSA] 261
- Sous-commissaire de la concurrence, [LCC] 8(2)

### **Groupe**

- Définition, [LCSA] 2; [LSA] 2

### **Groupement**

- Définition, [LSA] 2

-•-

### **Immatriculation, voir aussi** Dénomination sociale, Registraire des entreprises

- Acte constitutif, [LPLE] 30, 38, 44
- Administrateur du bien d'autrui, [LPLE] 29
- Déclaration, [LPLE] 30
- • Contenu, [LPLE] 33-35, 72; [LPLE-1] 1
- • Délai de présentation, [LPLE] 32
- • Dépôt, [LPLE] 69
- • • Refus du registraire, [LPLE] 70-71

- • Droits, [LPLE] 68; [LPLE-2] 9
- • Recevabilité, [LPLE] 68
- • Signature, [LPLE] 68
- Déclaration annuelle, [LPLE] 45
- • Droits, [LPLE-2] 29
- Déclaration de revenus, [LPLE] 46
- Déclaration initiale, [LPLE] 38, 86; [LSA] 8
- Demande
- • Par un assujetti non immatriculé devant un tribunal ou un organisme exerçant une fonction juridictionnelle, [LPLE] 24
- • Par une personne ou groupement non assujetti à l'obligation, [LPLE] 22
- Dénomination sociale, [LPLE-2] 1-5
- Dispositions transitoires, [LPLE-2] 27-29, 31
- Droits, [LPLE] 68, 80; [LPLE-2] 28
- • Exemption, [LPLE] 81
- • Loi fiscale, [LPLE] 85
- • Paiement, [LPLE] 82-84, 88
- • Pénalités, [LPLE] 89
- Fondé de pouvoir, [LPLE] 26-28
- • Dispense, [LPLE-1] 4
- Mise à jour des informations, [LPLE] 41, 44, 87, 92
- • Correction des informations, [LPLE] 40
- • Déclaration annuelle, [LPLE] 45, 47
- • Exemption, [LPLE] 49-50
- • Faillite, [LPLE] 43
- • Liquidation ou dissolution, [LPLE] 42
- • Obligation de l'assujetti, [LPLE] 39, 52
- • Omission, [LPLE] 52
- • Par la déclaration de revenus, [LPLE] 46-47
- • Période qui chevauche deux années civiles, [LPLE] 48
- Numéro d'entreprise du Québec, [LPLE] 37
- Obligation, [LPL] 2, 6; [LPLE] 21, 41, 73
- • Immatriculation volontaire, [LPL] 2
- • Immunité, [LPLE] 73
- • Interprétation, [LPLE] 25, 31
- • Poursuite pénale, [LPLE] 73
- Pseudonyme, [LPLE] 23
- Radiation, [LPLE] 67
- • Déclaration, [LPLE] 54, 58
- • • Dépôt, [LPLE] 55
- • Failli, [LPLE] 57
- • Personne décédée, [LPLE] 56
- Radiation d'office, [LPL] 533; [LPLE] 59
- • Cessation d'exister, [LPLE] 61
- • Dissolution, [LPLE] 62
- • Fusion, [LPLE] 60
- • Révocation, [LPLE] 63-66
- Rapport annuel, [LPLE] 89
- Recours administratifs, [LPLE] 132-138

## INDEX ANALYTIQUE

- Recours devant le Tribunal administratif du Québec, [LPLE] 139-141
  - Refus, [LPLE] 36
  - Renonciation à la communication d'une information ou production d'un document, [LPLE] 74
- Immeuble à affectation sociale ou communautaire d'une personne morale**
- Aide en matière d'habitation, [LC] 227.7-227.18
  - Aliénation, [LC] 227.9
  - • Autorisation par le ministre, [LC] 227.9, 227.10
  - • • Exception, [LC] 227.9
  - • • Inscription au Bureau de la publicité foncière, [LC] 227.11
  - • Infraction et peine, [LC] 227.15-227.18
  - • • Complicité, [LC] 227.17
  - • • Prescription, [LC] 227.18
  - • • Récidive, [LC] 227.15, 227.16
  - • Liquidation de la personne morale, [LC] 227.14
  - • Nullité en cas de violation, [LC] 227.12
  - • Obligation de la personne morale, [LC] 227.8
  - • Ordonnance pour faire cesser une opération sans autorisation, [LC] 227.13
- Indemnisation**
- Ordonnance (concurrence), [LCC] 74.101(2)
- Indemnité pour perte financière**
- Application de la Loi, [LCSA] 237.2
  - Recouvrement, [LCSA] 237.4
  - Répartition, [LCSA] 237.3
  - Responsabilité solidaire, [LCSA] 237.5, 237.6; [LCSA-1] 95
  - Valeur d'un intérêt financier, [LCSA] 237.8, 237.9
  - Valeur d'une valeur mobilière, [LCSA] 237.7
- Indication géographique, voir Marque de commerce**
- Indications accompagnant les produits, [LCC]**
- 74.03
    - Impression générale, [LCC] 74.03(5)
    - Présomption d'indications données au public, [LCC] 74.03(3)
    - Preuve non nécessaire, [LCC] 74.03(4)
    - Provenant de l'étranger, [LCC] 74.03(2)
- Indications fausses ou trompeuses, [LCC] 52-52.02, 74.1(6)**
- Accompagnant un produit, [LCC] 52(2), 74.03
  - Comportement susceptible d'examen, [LCC] 52(6), 52.01(7), 74.01(1), 74.111(1)
  - Dans les renseignements sur l'expéditeur ou dans l'objet, [LCC] 52.01(1), 74.011(1)
  - Dans un localisateur, [LCC] 52.01(3), 74.011(3)
  - Impression générale, [LCC] 52(4), 52.01(5), 74.011(4)
  - Interprétation, [LCC] 74.011(5)
- Message électronique, [LCC] 52.01(2), 52.01(9), 74.011(2)
  - Peine, [LCC] 52(5), 52.01(6)
  - Présomption, [LCC] 52(3)
  - Preuve, [LCC] 52(1.1), 52.01(4)
  - Provenant de l'étranger, [LCC] 52(2.1)
  - Une seule poursuite, [LCC] 52(7), 52.01(8)
- Indications relatives à l'épreuve acceptable et publication d'attestations, [LCC] 74.02, 74.1(6)**
- Indice de référence**
- Administrateur, [LVM] 186.2.0.1-186.4, 186.6
  - Définition, [LVM] 5
  - Désignation par l'Autorité, [LVM] 186.2.0.1
  - Infraction, [LVM] 199.2
- Information fausse ou trompeuse, voir aussi Indications fausses ou trompeuses**
- Définition, [LVM] 5, 197
  - Dommages-intérêts, [LVM] 217-225.1
  - Information prospective, [LVM] 225.0.1
  - Infraction, [LVM] 196, 197
  - Preuve non requise, [LVM] 225.0.2
- Information privilégiée**
- Administrateur, [LVM] 189
  - Communication, [LVM] 191.1
  - Définition, [LVM] 5
  - Dirigeant, [LVM] 189
  - Exploitation indirecte, [LVM] 189.1, 228
  - Gestionnaire de fonds d'investissement, [LVM] 189, 228
  - Initié, [LVM] 187, 188, 228
  - Présomption d'information
  - • Personnes visées, [LVM] 191
  - Programme d'investissement, [LVM] 190
  - Responsabilité du préjudice causé, [LVM] 226, 227
  - • Action en recouvrement, [LVM] 229-233
  - • Cession des bénéfices, [LVM] 228
  - Utilisation du nom de l'Autorité, [LVM] 192
- Information prospective**
- Définition, [LVM] 5
  - Information fausse et trompeuse, [LVM] 225.0.1
- Information sur les valeurs en circulation**
- Déclaration des initiés, [LVM] 89-92, 94-98
  - Émetteur assujetti, [LVM] 68, 73
  - Émetteur autre qu'un émetteur assujetti, [LVM] 74
  - Fonds d'investissement, [LVM] 109.5-109.6
  - Information fausse ou trompeuse, [LVM] 196, 197
  - • Dommages-intérêts, [LVM] 217-225.1
  - Régimes particuliers, [LVM] 64-66

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

**Infraction et peine, voir aussi** Injonction

- Administrateur et dirigeant, [CLF] 207; [LSA] 493; [LC] 123
- Dissimulation du nom d'un créancier, [LC] 61
- Bilan irrégulier, [LC] 114(5), 207(5)
- Compagnie à fonds social (partie II), [LC] 170, 215
- Concurrence, [LCC] 45-73
- Commercialisation à paliers multiples, [LCC] 55(3)
- Complot, accord ou arrangement entre concurrents, [LCC] 45(2)
- Continuation ou répétition de l'infraction, [LCC] 34
- Destruction ou modification de documents ou autres choses, [LCC] 65(3), 65.1(2)
- Documentation trompeuse (gagner un prix), [LCC] 53(6)
- Double étiquetage, [LCC] 54(2)
- Entrave, [LCC] 64(2)
- Indications fausses ou trompeuses, [LCC] 52(5), 52.01(6)
- Perquisition et saisie, [LCC] 65.1(1)
- Pratiques commerciales douteuses, [LCC] 66
- Renseignements à fournir, [LCC] 65(2)
- Système de vente pyramidale, [LCC] 55.1(3)
- Télémarketing trompeur, [LCC] 52.1(9)
- Truquage d'offres, [LCC] 47(2)
- Dénominations sociale, [LC] 35
- Émission d'obligations, [LC] 78
- Gérant, [LC] 123
- Immeuble à affectation sociale ou communautaire d'une personne morale, [LC] 227.15-227.18
- Inspection de la compagnie, [LC] 110(5), 111(3), 203(5), 204(3)
- Langue du commerce et des affaires, [CLF] 205-208
- Liquidation de la compagnie, [LLC] 22
- Livres de la compagnie, [LC] 108, 201
- Offre d'achat visant à la mainmise, [LCSA] 206
- Personne morale ou association (partie III), [LC] 228
- Pouvoirs de l'Autorité, [LVM] 151.0.1(2)
- Publicité légale, [LPLE] 152-163
- Registre des hypothèques, [LC] 105(2)
- Société par actions, [LSA] 490-493
- Société par actions (fédérale), [LCSA] 20(6), 21(10), 22(3), 85(6), 250-252
- Transaction d'initiés, [LCSA] 130(4)
- Utilisation d'informations privilégiées, [LVM] 187-194
- Valeurs mobilières, [LVM] 187-197, 202-205, 207, 208, 210-213
- Aide, encouragement, conseil, [LVM] 208
- Complice, [LVM] 205

- Concertation, [LVM] 207
- Règles applicables à certaines actions, [LVM] 213.1

**Iniquité**

- Recours, [LSA] 450-453

**Initié, [LVM] 272.2**

- Définition, [LVM] 5, 89; [LCSA] 126, 131
- Information privilégiée, [LVM] 187, 188
- Interdiction, [LCSA] 130
- Obligation de déclaration, [LVM] 96, 97
- Pénalité administrative, [LVM] 273.1, 273.2
- Présomption, [LVM] 94; [LCSA] 131(3) et (3.1)
- Fusion d'émetteurs, [LVM] 95
- Responsabilité, [LCSA] 131(4)-(7) et (9)
- Transaction, [LCSA] 126; [LCSA-1] 39

**Injonction, [LVM] 268**

- Annulation, [LCC] 33(5), 74.111(7)
- Continuation ou répétition de l'infraction, [LCC] 34
- Contre des tiers, [LCC] 33(1.2), 33 (4)
- Demande *ex parte*, [LCC] 33(3), 74.111(5)
- Infraction comportant l'utilisation d'un moyen de télécommunication, [LCC] 33(1.1), 33(1.2), 33(3)-33(7)
- Libellé, [LCC] 33(4)
- Obligation du requérant, [LCC] 33(6)
- Peine pour transgression, [LCC] 33(7)
- Préavis, [LCC] 33(2), 74.111(4)
- Prolongation, [LCC] 33(5)
- Provisoire, [LCC] 33(1), 33(3)-33(7), 74.101(2), 74.111

**Inscription, voir aussi** Autorité des marchés financiers (pouvoirs), Dispense d'inscription

- Obligation, [LVM-1] 1.5
- Personne physique, [LVM-2] 2.1, 3-3.16
- Prohibition, [LVM] 192.1
- Société, [LVM-2] 7.1

**Inspecteur, voir aussi** Enquête

- Audience, [LSA] 427
- Assistance d'un avocat, [LSA] 431
- Huis clos, [LSA] 430
- Communication de renseignements, [LSA] 432-435, 438
- Identification, [LSA] 429
- Nomination, [LSA] 425(2)
- Pouvoirs, [LVM] 151.3; [LSA] 426-428
- Qualité, [LVM] 151.2
- Rapport, [LSA] 436-437

**Inspection, voir aussi** Enquête (société fédérale)

- Inspecteur nommé par la compagnie, [LC] 111, 204
- Infractions et peines, [LC] 111(3), 204(3)
- Pouvoirs, [LC] 111(2), 204(2)

## INDEX ANALYTIQUE

- • Procédure, [LC] 111(1), 204(1)
- • Rapport, [LC] 111(2), 112, 204(2), 205
- Inspecteur nommé par le registraire des entreprises, [LC] 110, 203
- • Demande, [LC] 110(2), 203(2)
- • Frais, [LC] 110(8), 203(8)
- • Infractions et peines, [LC] 110(5), 203(5)
- • Obligation des dirigeants de la compagnie, [LC] 110(3), 203(3)
- • Pouvoirs des inspecteurs, [LC] 110(4), 203(4)
- • Rapport, [LC] 110(1), (6) et (7), 112, 203(1), (6) et (7), 205
- Société fédérale, [LCSA] 230
- Institution financière fédérale**
  - Accords bancaires, [LCC] 49
- Instrument dérivé**, voir Dérivé
- Intéressé**
  - Définition, [LCSA] 209
- Intérêt financier**
  - Définition, [LCSA] 237.1
- Intermédiaire**, voir aussi Obtention de titres intermédiaires, Transfert de valeurs mobilières
  - Définition, [LCSA] 147
  - Devoir, [LCSA] 153
- Investisseur qualifié**
  - Définition, [LVM-1] 1.1
  - Dispense de prospectus, [LVM-1] 2.3
- J-**
- Jeu de hasard ou d'adresse**, [LCC] 74.06, 74.1(6)
- L-**
- Langue**
  - Dénomination, [LPLE-2] 2; [LCSA] 10(3), (4)
  - Documents en placement de valeurs, [LVM] 40.1
  - Nom de l'assujetti, [LPLE] 17
- Langue du commerce et des affaires**
  - Association sans but lucratif, [CLF] 71
  - Bon de commande, [CLF] 52
  - Catalogues, brochures, dépliants, annuaires commerciaux, [CLF] 52
  - • Dérogations, [CLF-1] 14
  - • • Congrès, colloque, foire ou exposition, [CLF-1] 12
  - • • Informations visées, [CLF-1] 13
  - • • Produit culturel ou éducatif, [CLF-1] 11
  - • • Rédaction en deux versions distinctes, [CLF-1] 10
  - Contrat d'adhésion et documents, [CLF] 55, 204.21
  - • Contrat de vente ou d'échange et documents, [CLF] 55.1
- • Cosmétique
- • Liste d'ingrédients, [CLF-1] 8.1
- Dérégulations, [CLF] 54.1
- Facture, [CLF] 57
- Infractions et peines, [CLF] 205-206
- • Administrateur ou dirigeant d'une personne morale ou d'un groupement, [CLF] 207
- • Infraction distincte pour chaque jour, [CLF] 208
- • Récidive, [CLF] 206
- Incription sur un produit, [CLF] 51, [CLF-1] 1
- • Dérégulations, [CLF-1] 3, 7, 9
- • • Pneu, [CLF-1] 4
- • • Produit alimentaire, [CLF-1] 5
- • • Produit culturel ou éducatif, [CLF-1] 2
- • • Produit utilisé à des fins médicales, pharmaceutiques ou scientifiques, [CLF-1] 6
- • Exception, [CLF] 56
- • Traduction, [CLF] 51
- Jouets ou jeux
- • Dérogation, [CLF-1] 8
- • Interdiction, [CLF] 54
- Logiciel, [CLF] 52.1
- • Disponibilité dans d'autres langues, [CLF] 52.1
- Nom de l'entreprise, [CLF] 63
- • Délai pour se conformer, [CLF] 65
- • Dispositions applicables, [CLF] 66
- • Patronymes, toponymes, [CLF] 67
- • Personnalité juridique, [CLF] 64
- • Publicité, [CLF] 68
- • Version dans une autre langue, [CLF] 68
- Publicité et affichage public, [CLF] 58, 68; [CLF-1] 26
- • Affichage d'une marque de commerce à l'extérieur d'un immeuble, [CLF-1] 25.2
- • Appareil installé dans un lieu public, [CLF-1] 21
- • Congrès, colloque, foire ou exposition, [CLF-1] 24
- • Délai de conformité, [CLF-1] 25.1-25.5 (disposition transitoire)
- • Disposition non applicable, [CLF] 59
- • Événement destiné à un public international, [CLF-1] 20
- • Informations pouvant être rédigées dans une langue autre que le français, [CLF-1] 25
- • Musée, jardin botanique ou zoologique, exposition culturelle ou scientifique, [CLF-1] 19
- • Panneaux-réclames sur la route, [CLF-1] 15
- • Personne physique
- • • Fins non professionnelles ou commerciales, [CLF-1] 23
- • Présence suffisante du français dans l'affichage, [CLF-1] 25.1
- • • Définition, [CLF-1] 25.3-25.4
- • • Exceptions, [CLF-1] 25.5
- • Produit culturel ou éducatif, [CLF-1] 22

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Santé ou sécurité publique, [CLF-1] 18
  - • Transport public et ses accès, [CLF-1] 16
  - • Véhicule servant au transport de voyageurs ou de marchandises, [CLF-1] 17
  - Quittance, [CLF] 57
  - Reçu, [CLF] 57
  - Sanction administrative et mesures disciplinaires, [CLF] 204.28-204.30, 204.32
  - Présomption concernant un manquement dans l'exercice des fonctions
    - Administrateur public, [CLF] 204.32
    - Fonctionnaire, [CLF] 204.32
    - Mesures disciplinaires, [CLF] 204.32
    - Poursuite pénale, [CLF] 205
    - Suspension ou révocation d'un permis ou d'une autorisation
    - • Contestation devant le Tribunal administratif du Québec, [CLF] 204.30
    - • Contravention répétée, [CLF] 204.28
    - • Préavis au titulaire, [CLF] 204.29
    - • Présentation d'observations, [CLF] 204.29
    - Sanction civile, [CLF] 204.16-204.27
    - Action en vertu du *Code civil*, [CLF] 204.16
    - Disposition non conforme d'un document, [CLF] 204.23
    - Droit acquis des travailleurs et de leurs associations, [CLF] 204.24
    - Droit de cessation de l'atteinte, [CLF] 204.17
    - Ordonnance du tribunal, [CLF] 204.22
    - Préjudice
      - • Nullité, [CLF] 204.18, 204.21
      - • Preuve, [CLF] 204.21
      - • Réduction des obligations, [CLF] 204.20
      - • Présomption concernant les contrats
      - • Clause dans une autre langue que le français, [CLF] 204.26
      - • Clause externe, [CLF] 204.25
      - • Résiliation ou suspension de contrats, [CLF] 204.19
      - Témoignage pour contredire ou changer un écrit, [CLF] 204.27
      - Services de santé et services sociaux
      - Utilisation d'une langue autre que le français, [CLF] 70
  - Législation en valeurs mobilières d'une autre autorité**
    - Définition, [LVM] 305.1
    - Intégration par renvoi par l'Autorité des marchés financiers, [LVM] 308-308.1.1
  - Legs**
    - Capital-actions, [LSA] 99
  - Lettres patentes**, voir aussi Registraire des entreprises
    - Droits à payer, [LC] 22.1
  - Force probante, [LC] 120
- Licence d'emploi d'une marque de commerce**, [LMC] 50
- Licence d'exploitation**, [LCC] 32(2)
- Liens**
- Définition, [LVM] 5; [LCSA] 2
- Limitation du marché**, [LCC] 77
- Demande au Tribunal, [LCC] 103.1-107
- Liquidateur**
- Assemblée générale des actionnaires, [LLC] 15, 16
  - Avis de la résolution, [LLC] 9
  - Avis de liquidation, [LSA] 335
  - Compte définitif, [LSA] 339, 344
  - • Objet, [LSA] 340
  - Compte sommaire de gestion, [LSA] 336
  - Démission, [LLC] 7
  - Destitution, [LSA] 329-330
  - Dettes et dividendes non réclamés, [LLC] 20
  - État après la liquidation, [LLC] 16, 30
  - Infraction et peine, [LLC] 22
  - Livres de la société, [LLC] 21; [LSA] 350
  - Nomination, [LLC] 5; [LSA] 325
  - • Dissolution du conseil, [LSA] 333
  - • Voie judiciaire, [LLC] 8
  - Obligations de la société, [LSA] 338
  - Ordonnance de liquidation, [LLC] 25.1
  - • Appel, [LLC] 32
  - Perception des sommes, [LLC] 13
  - Pouvoirs, [LLC] 10, 11
  - Proposition de partage, [LSA] 341
  - • Approbation, [LSA] 343-345
  - • Partage du reliquat des biens, [LSA] 346
  - • Participation, [LSA] 342
  - Qualité requise, [LSA] 326
  - Rachat d'une garantie sur paiement d'une créance, [LLC] 29
  - Rang des créances, [LLC] 12
  - Rapport au registraire des entreprises, [LLC] 17
  - Recouvrement des créances, [LSA] 337
  - Rémunération, [LLC] 14; [LSA] 327
  - Responsabilité, [LLC] 23
  - Saisine des biens, [LSA] 334
  - Simple administration des biens, [LSA] 357
  - Sûreté requise, [LSA] 328
  - Vacance, [LLC] 6; [LSA] 331-332
- Liquidateur (personne morale)**, [CCQ] 360-363
- Liquidateur (société fédérale)**
- Délégation de pouvoirs, [LCSA] 219(2)
  - Demande d'échange de biens, [LCSA] 224
  - Frais de liquidation, [LCSA] 223
  - Nomination, [LCSA] 220(1)
  - Obligations, [LCSA] 221

## INDEX ANALYTIQUE

- Pouvoirs, [LCSA] 222
  - Vacance, [LCSA] 220(2)
- Liquidateur de la succession**
- Personne morale, [CCQ] 304 al. 2
  - Radiation de l'immatriculation, [LPLE] 56
- Liquidation de société**, voir aussi Liquidateur
- Arrêt, [LSA] 355
  - Avis, [LSA] 359
  - Effet, [LSA] 357-358
  - Nombre d'administrateurs atteint, [LSA] 357
  - Par le tribunal, [LSA] 363-364
  - Rétractation du consentement des actionnaires, [LSA] 356, 360-362
  - Certificat de dissolution, [LSA] 349
  - Clôture, [LSA] 347
  - Définition, [LSA] 323
  - Demande, [LSA] 461
  - Société cesse d'exister, [LSA] 348
  - Sous la surveillance du tribunal, [LSA] 351, 353
  - Jugement, [LSA] 352
  - Ordonnance, [LSA] 354
  - Vote, [LSA] 324
- Liquidation ou dissolution d'une personne morale**, [CCQ] 355-364; voir aussi Dissolution de société, Liquidation de société, Personne morale
- Acquisition d'actions, [LSA] 96-97
  - Administrateur
  - Responsabilité, [LSA] 154
  - Immatriculation
  - Mise à jour des informations, [LPLE] 42
- Livraison**
- Définition, [LCSA] 48
- Livres de la compagnie**
- Accès, [LC] 106, 123.114, 199
  - Contenu, [LC] 104, 107, 123.111-123.113, 197, 200
  - Infractions et peines, [LC] 108, 201
  - Liquidation de la compagnie, [LLC] 21
  - Preuve, [LC] 109, 202
  - Registre des hypothèques, [LC] 105, 198
  - Registre des transferts, [LC] 104(2), 197(2)
- Livres de la société**, [LSA] 31-42
- Conservés à l'extérieur de son siège, [LSA] 35
  - Consultation, [LSA] 32
  - Informations contenues dans les livres, [LSA] 37
  - Liste des actionnaires, [LSA] 41-42
  - Livres comptables, [LSA] 34, 36
  - Preuve, [LSA] 38
  - Procès-verbaux, [LSA] 34
  - Registre des valeurs mobilières, [LSA] 31(4), 33, 40
- Livres de la société (fédérale)**
- Conservation, [LCSA] 20(2.1), (4), (5) et (5.1)
  - Consultation, [LCSA] 21
  - Contenu, [LCSA] 20
  - Définition, [LCSA] 20(3)
  - Demande de rectification, [LCSA] 243
  - Forme, [LCSA] 22
  - Infraction et peine, [LCSA] 20(6), 21(10), 22(3)
  - Précautions, [LCSA] 22(2)
  - Société dissoute, [LCSA] 225
- Loi**
- Définition, [LCSA-1] 1
- Loi canadienne sur les coopératives**, [LCSA] 186.1
- Loi canadienne sur les organisations à but non lucratif**, [LCSA] 3(3)a.1), 209(1), 268(6), (10)
- Loi sur l'accès aux documents des organismes publics et sur la protection des renseignements personnels (c. A-2.1)**, [LPLE] 101, 120-121, 131; [LVM] 168.1.4, 168.1.5, 296, 297, 297.4, 297.6
- Loi sur l'administration financière (c. A-6.001)**, [LPLE] 102
- Loi sur l'administration fiscale (c. A-6.002)**, [LPLE] 78, 85
- Loi sur l'aéronautique (L.R.C. (1985), ch. A-2)**, [LCSA-1] 87
- Loi sur l'aide financière aux études (c. A-13.3)**, [LPLE] 103
- Loi sur les aliments et drogues**, [LCC] 7(1)c
- Loi sur les arrangements avec les créanciers des compagnies (L.R.C. (1985), ch. C-36)**, [LSA] 411
- Loi sur les associations coopératives de crédit (L.C. 1991, ch. 48)**, [LCC] 29.2, 103.3(3); [LCSA] 3(4), 186.1, 188(2), 268(4.2) et (6)a.1); [LVM-1] 1.1; [LVM-2] 8.15
- Loi sur les assurances (c. A-32)**, [LVM] 3(5.1) et (13), 168.1; [LSA-1] 3
- Loi sur les assureurs (2018, c. 23)**, [LVM] 160.1.1
- Loi sur l'Autorité des marchés financiers (c. A-33.2)**, [LVM] 71.2-71.3, 170, 171.1, 276, 305.1, 307.5, 313, 321.1, 322, 323.8.1; [LSA] 206, 228-229, 353, 415, 423, 444
- Loi sur la Banque de développement du Canada (L.C. 1995, ch. 28)**, [LVM-1] 1.1; [LVM-2] 1.1
- Loi sur les banques (L.C. 1991, ch. 46)**, [LCC] 29.2, 49(3), 103.3(3); [LCSA] 186.1, 268(4.2); [LVM] 3(9) et (14); [LVM-1] 1.1; [LVM-2] 1.1
- Loi sur les biens en déshérence (L.R.C. (1985), ch. E-13)**, [LCSA] 47(6)
- Loi sur les biens non réclamés (L.Q. 2011, c. 10)**, [LLC] 20; [LSA] 349
- Loi sur les brevets**, [LCC] 79(5)



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Loi concernant le cadre juridique des technologies de l'information (c. C-1.1), [LPLE] 112*
- Loi constituant Capital régional et coopératif Desjardins (c. C-6.1), [LVM] 330.10*
- Loi concernant certaines caisses d'entraide économique (c. C-3.1), [LVM] 338*
- Loi sur le Collège des agents de brevets et des agents de marques de commerce (L.C. 2018, ch. 27, art. 247), [LMC] 51.13(7)*
- Loi sur les commissions d'enquête (c. C-37), [LC] 230; [LVM] 240, 248, 258.1, 259; [LPLE] 129; [LSA] 427*
- Loi sur les compagnies (c. C-38), [LC-1] 1; [LC-3] 5; [LSA] 365; [LSA-1] 3*
- Loi corporative des compagnies à fonds social, [LC] 14(1)*
- Loi sur les compagnies de Québec (1920), [LC] 4, 14(1), 125, 217(2) et (3)*
- Loi sur les compagnies minières (c. C-47), [LC] 123.131*
- Loi sur la concurrence déloyale, [LMC] 11, 27*
- Loi canadienne sur les coopératives (1998, c. 1), [LCSA] 186.1, 188(2)*
- Loi sur les coopératives (c. C-67.2), [LC] 123.139.1, 227.1; [LPLE] 21(6), 41*
- Loi sur les coopératives de services financiers (c. C-67.3), [LVM] 3(4) et (4.2)-(4.5), 149.1, 160.1.1*
- Loi sur les corporations canadiennes (S.R.C. 1970, c. C-32), [LCSA] 3(3), 187(10), 226(3.1), 268(6)*
- Loi sur le courtage immobilier (c. C-73.2), [LVM] 160.1.1*
- Loi sur les déclarations des compagnies et sociétés (c. D-1), [LPLE] 293*
- Loi sur les dessins industriels, [LCC] 79(5)*
- Loi sur la distribution de produits et services financiers (c. D-9.2), [LVM] 148.2, 149.2, 151.0.1(4), 160.1.1, 273.3, 305.1, 307.2*
- Loi sur le divorce (L.R.C. (1985), ch. 3 (2<sup>e</sup> suppl.)), [LVM-1] 1.1*
- Loi sur le droit d'auteur, [LCC] 79(5)*
- Loi sur l'emballage et l'étiquetage des produits de consommation, [LCC] 7(1)b), c)*
- Loi sur l'emploi dans la fonction publique (L.R.C. (1985), ch. P-33), [LC-1] 1(5); [LCC] 25, 26(2); [LPLE-2] 2(5)*
- Loi sur l'entraide juridique en matière criminelle, [LCC] 30*
- Loi sur l'étiquetage des textiles, [LCC] 7(1)d*
- Loi sur les fabriques (c. F-1), [LVM] 41(2)*
- Loi sur la faillite et l'insolvabilité (L.R.C. (1985), ch. B-3), [LC] 96, 189(2)b); [LCSA] 119, 191(1)a), 208; [LVM] 151.0.1(1); [LPLE] 43, 57; [LSA] 154, 411*
- Loi sur la fonction publique (c. F-3.1.1), [CLF] 204.32; [LC-1] 1(4); [LPLE-2] 2(4); [LSA-4] 1(4); [LSA-5] 1(4)*
- Loi constituant Fondaction, le Fonds de développement de la Confédération des syndicats nationaux pour la coopération et l'emploi (c. F-3.1.2), [LVM] 330.10*
- Loi constituant le Fonds de solidarité des travailleurs du Québec (F.T.Q.) (c. F-3.2.1), [LVM] 330.10*
- Loi fédérale sur les hydrocarbures (L.R.C. (1985), ch. 36 (2<sup>e</sup> suppl.)), [LCSA-1] 87*
- Loi sur l'immigration et la protection des réfugiés (2001, c. 27), [LCSA] 2; [LMC] 11.17(2)*
- Loi de l'impôt sur le revenu (L.R.C. (1985), ch. 1 (5<sup>e</sup> suppl.)), [LCSA-1] 36, 37, 87; [LVM-1] 1.1; [LVM-2] 1.1, 8.19*
- Loi sur les impôts (c. I-3), [LC] 123.49; [LPLE] 46-47, 83-85*
- Loi sur les institutions de dépôts et la protection des dépôts (c. A-26), [LSA] 404; [LTV] 8; [LVM] 148.3, 160.1.1*
- Loi sur l'instruction publique (c. I-13.3), [LC-1] 1(18); [LPLE-2] 2(18); [LSA-4] 1(18); [LSA-5] 1(18)*
- Loi sur l'instruction publique pour les autochtones cris, inuit et naskapis (c. I-14), [LC-1] 1(19); [LPLE-2] 2(19); [LSA-4] 1(19); [LSA-5] 1(19)*
- Loi sur les instruments dérivés (c. I-14.01), [LTV] 4; [LVM] 2.1, 92, 160.1.1, 189.1, 273.3, 305.1(2.1), 307.2(4)*
- Loi sur la justice administrative (c. J-3), [CLF] 204.29; [LC] 123.27.2, 123.146; [LPLE] 136, 141; [LSA] 25, 486*
- Loi sur les liquidations et les restructurations (L.R.C. (1985), ch. W-11), [LCSA] 3(3)*
- Loi sur les marques de commerce, [LCC] 79(5); [LCSA-1] 17; [LMC] 60(2)*
- Loi sur le ministère du Conseil exécutif (c. M-30), [CLF] 204.32*
- Loi de mise en œuvre de l'Accord sur l'Organisation mondiale du commerce, [LMC] 2*
- Loi sur le poinçonnage des métaux précieux, [LCC] 7(1)d)*
- Loi sur les pouvoirs spéciaux des personnes morales (c. P-16), [LC] 146*
- Loi sur la preuve au Canada (L.R.C. (1985), ch. C-5), [LVM] 241; [LCSA-1] 86*

## INDEX ANALYTIQUE

- Loi sur la protection des obtentions végétales*, [LMC] 10.1
- Loi sur la protection des renseignements personnels et les documents électroniques* (2000, c. 5), [LCSA] 252.6(2)(3)
- Loi sur la publicité légale des entreprises* (2010, c. 7), [CCQ] 306, 358-359; [LC] 9.2, 18.1, 22.1, 28.2, 123.15, 123.27.1, 123.36, 123.42, 123.60, 123.105, 123.109, 123.119, 123.171.1, 221.1
- Loi sur la publicité légale des entreprises individuelles, des sociétés et des personnes morales* (c. P-45), [CLF] 66; [LC] 3(8), 28(4), 32, 123.14, 123.35, 123.81, 124, 131(1), 135, 216(4); [LLC] 9; [LSA] 12, 215, 291, 300, 412
- Remplacement, [LPLE] 281
- Loi sur la radiodiffusion* (L.C. 1991, ch. 11), [LCSA-1] 87
- Loi sur les régimes de retraite des élus municipaux* (c. R-9.3), [LC-1] 1(11)-(13); [LPLE-2] 2(11)-(13); [LSA-4] 1(11)-(13); [LSA-5] 1(11)-(13)
- Loi sur le registraire des entreprises* (c. R-17.1)
- Remplacement, [LPLE] 281
- Loi sur les règlements* (c. R-18.1), [LVM] 331.2; [LPLE] 299
- Loi concernant les renseignements sur les compagnies* (c. R-22), [LPLE] 291; [LPLE-2] 29
- Loi sur les services de santé et les services sociaux* (c. S-4.2), [LC-1] 1(16); [LPLE-2] 2(16); [LVM] 41(2); [LSA-4] 1(16); [LSA-5] 1(16)
- Loi sur les services de santé et les services sociaux pour les autochtones cris* (c. S-5), [LC-1] 1(17); [LPLE-2] 2(17); [LVM] 41(2); [LSA-1] 1(17); [LSA-4] 1(17)
- Loi sur les sociétés commerciales canadiennes* (L.C. 1974-75-76, ch. 33), [LCSA] 226(3.1)
- Loi sur la Société d'assurance-dépôts du Canada* (L.R.C. (1985), ch. C-3), [LSA] 404
- Loi sur la Société d'habitation du Québec* (c. S-8), [LC-1] 227.9
- Loi sur les sociétés d'assurances*, [LCC] 29.2, 49(3), 103.3(3); [LCSA] 3(4), 186.1, 188(2), 268(4.2) et (6)b); [LCSA-1] 87
- Loi sur les sociétés d'économie mixte* (c. S-25.01), [LPLE] 21(7)
- Loi sur les sociétés d'entraide économique* (c. S-25.1), [LVM] 338
- Loi sur les sociétés de fiducie et de prêt* (L.C. 1991, ch. 45), [LCC] 29.2, 49(3), 103.3(3); [LCSA-1] 87; [LVM-1] 1.1; [LVM-2] 1.1; [LSA-1] 3
- Loi sur les sociétés de fiducie et les sociétés d'épargne* (c. S-29.01), [LC] 51, 149; [LSA] 404; [LTV] 8; [LVM] 109.6, 160.1.1
- Loi canadienne sur les sociétés par actions* (L.R.C. (1985), ch. C-44), [LCSA-1] 1
- Loi sur les sociétés par actions* (2009, c. 52), [LPLE] 21(6), 41, 96, 297
- Loi sur la statistique*, [LCC] 70(1)a
- Loi sur les subsides* n° 9 de 1966, [LCSA-1] 87
- Loi sur le tabac* (c. T-0.01), [LPLE] 21
- Loi sur les textes réglementaires* (L.R.C. (1985), ch. S-22), [LCSA] 237.6(3), 237.8, 261
- Loi sur les topographies de circuits intégrés*, [LCC] 79(5)
- Loi sur le transfert de valeurs mobilières et l'obtention de titres intermédiaires* (c. T-11.002), [LC] 46, 48, 123.44, 123.93, 144, 146; [LSA] 66, 81, 85
- Loi sur les transports au Canada* (1996, c. 10), [LCC] 29.1, 45(6)c, 47(3), 90.1(9)d, 94d; [LCSA] 3(3); [LCSA-1] 87
- Loi sur le Tribunal de la concurrence*, [LCC] 2(1), 103.3(10)
- Loi sur l'Université du Québec* (c. U-1), [LC-1] 1(21); [LPLE-2] 2(21); [LSA-4] 1(21); [LSA-5] 1(21)
- Loi sur les valeurs mobilières* (c. V-1.1), [LCSA-1] 87; [LSA] 206, 228-229, 353, 415, 423, 444; [LVM-1] 1.7a); [LVM-2] 8.1a), 13.14(2)
- Loi sur les valeurs mobilières* (Ontario), [LCSA-1] 87; [LVM-1] 1.1, 2.4; [LVM-2] 14.12(7)
- Loi visant à prévenir les cancers de la peau causés par le bronzage artificiel* (2012, c. 16), [LPLE] 21
- Loterie**, [LCC] 74.06, 74.1(6)

### -M-

**Maintien des prix**, [LCC] 76

- Demande au Tribunal, [LCC] 103.1-107
- Ordonnance, [LCC] 76(2), 76(4), 76(9), 76(10)
- Personne visée, [LCC] 76(3)
- Prix annoncé, [LCC] 76(6), 76(7)
- Prix de détail proposé, [LCC] 76(5), 76(7)
- Procédures déjà engagées, [LCC] 76(11)
- Refus de fournir, [LCC] 76(8)

**Majeur en tutelle ou en curatelle**, [CCQ] 327

**Mandat d'arrestation**, [LCC] 30.18; [LVM] 213

**Mandat de perquisition**

- Demandes présentées par un État étranger (concurrence), [LCC] 30.04-30.09, 65.1(1), 65.1(2)
- Enquête du Commissaire de la concurrence, [LCC] 15, 65(1), 65(3)
- Rapport concernant le document ou la chose saisie, [LCC] 17

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Secret professionnel, [LCC] 19(2)
- • Soin et rétention des documents et objets saisis, [LCC] 18
- • Usage d'un système informatique, [LCC] 16, 65(1)

### **Mandataire**

- Actions, [LSA] 87
- Définition, [LCSA] 2

### **Marque de certification**, [LMC] 24

- Descriptive, [LMC] 25
- Enregistrement, [LMC] 23

**Marque de commerce**, voir aussi Marque de certification, Registraire des marques de commerce, Registre des marques de commerce

- Agent de marque de commerce, [LMC] 51.13
- Application de la loi
- • Ministre responsable, [LMC] 62
- Caractères standard, [LMC] 30.1
- Changement apporté aux fins de l'emploi de la marque, [LMC] 49
- Crément de la confusion, [LMC] 6, 16(4)
- • Avec une marque de certification, [LMC] 24
- • Déclaration d'opposition, [LMC] 38, 68.2
- • Éléments d'appréciation, [LMC] 6(5)
- • Emploi simultané, [LMC] 21
- • Enregistrement de marques, [LMC] 15
- Définitions, [LCSA-1] 17; [LMC] 2, 11.11, 51.02, 52
- Délai réputé prorogé, [LMC] 66
- Demande d'enregistrement, [LMC] 30, 32
- • Abandon, [LMC] 36
- • Admise, [LMC] 39
- • Date de demande à l'étranger, [LMC] 34
- • Demande divisionnaire, [LMC] 39.1
- • Désistement, [LMC] 35
- • Fondée sur l'enregistrement à l'étranger, [LMC] 31
- • Par un syndicat ouvrier, [LMC] 33
- • Rejet, [LMC] 37
- Déposée à l'étranger, [LMC] 31
- • Enregistrement, [LMC] 14
- Déposée avant la *Loi sur la concurrence déloyale*, [LMC] 27(2), 27(3)
- Dépréciation de l'achalandage, [LMC] 22
- Dispositions transitaires, [LMC] 69
- Droit du propriétaire
- • Violation, [LMC] 20
- Emploi pour exportation, [LMC] 4(3)
- Enregistrable, [LMC] 12
- Enregistrement, [LMC] 40, v. aussi demande d'enregistrement
- • Droits conférés, [LMC] 19
- • Emploi ou révélation antérieur, [LMC] 16(5), 17
- Invalidité, [LMC] 18

- • Marque créant de la confusion (demande pendante), [LMC] 16(4)
- • Marques déposées et employées dans un autre pays, [LMC] 16(2)
- • Marques employées ou révélées au Canada, [LMC] 16(1)
- • Marques projetées, [LMC] 16(3), 40(2), 40(3)
- • Radiation, [LMC] 18.1
- • Renouvellement, [LMC] 46-46.1
- Garantie de l'emploi licite, [LMC] 8
- Importation/exportation, [LMC] 51.02-51.12
- • Demande d'aide, [LMC] 51.04
- • Dommages-intérêts, [LMC] 51.12
- • Immunité, [LMC] 51.1
- • Interdiction, [LMC] 51.03
- • Mesures relatives aux produits retenus, [LMC] 51.05-51.09
- • Pouvoirs du tribunal relativement aux produits retenus, [LMC] 51.11
- Indications géographiques
- • AÉCG, [LMC] 11.22
- • Confusion avec une marque de commerce, [LMC] 11.11(3)(4)
- • Corée, [LMC] 11.23
- • Définitions, [LMC] 11.11(1)
- • Énoncé d'intention du Ministre, [LMC] 11.12(2)(8)
- • • Preuve, [LMC] 11.12(7)
- • • Renseignements, [LMC] 11.12(3)
- • Liste, [LMC] 11.12
- • • Déclaration d'opposition, [LMC] 11.13(1)
- • • Erreur, [LMC] 11.12(5)
- • • Preuve de l'inscription, [LMC] 11.12(6)(8)
- • • Suppression, [LMC] 11.12(4), 11.21
- • Opposition, [LMC] 11.13(2)(3)
- • • Contre-déclaration, [LMC] 11.13(4)
- • • Décision, [LMC] 11.13(7)
- • • Futile, [LMC] 11.13(3.1)
- • • Pouvoir du registraire, [LMC] 11.13(3.2)
- • • Preuve et audition, [LMC] 11.13(5)(6)(6.1)
- • • Signification, [LMC] 11.13(5.1)
- • Pouvoirs du gouverneur en conseil, [LMC] 11.24
- • Produit agricole ou aliment, [LMC] 11.15-11.18, 11.2(3), 51.03(2.2)-(3)
- • Règlements, [LMC] 65.2
- • Spiritueux, [LMC] 11.14(4)-(6), 11.16-11.18, 11.2(2), 51.03(2.1)(2.3)-(3)
- • Traduction, [LMC] 11.12(2.1)(3.1), 11.21(3)
- • • Opposition, [LMC] 11.13(2.1)(8)
- • Vin, [LMC] 11.14, 11.16-11.18, 11.2(1), 51.03(2.1)(2.3)-(3)
- Infraction, [LMC] 51.01
- Interdictions, [LMC] 7, 11-11.1
- Interdite, [LMC] 9-10.1
- Licence d'emploi, [LMC] 50

## INDEX ANALYTIQUE

- Ministre, [LMC] 11.11(1)(2)
- Prix à la livraison, [LCC] 81(3)
- Procédures judiciaires, [LMC] 52-61
- • Appel, [LMC] 56
- • Audition, [LMC] 59(3)
- • Avis, [LMC] 59(1)
- • Cour fédérale compétente, [LMC] 52, 55, 57
- • Demande, [LMC] 58
- • Documents, [LMC] 60
- • Exportation, vente ou distribution des produits non modifiés, [LMC] 53.3
- • Jugements, [LMC] 61
- • Ordonnance visant le ministre, [LMC] 53.1
- • Preuve, [LMC] 54
- • Réparation, [LMC] 53.2
- • Réplique, [LMC] 59(2)
- • Rétention provisoire de produits, [LMC] 53
- Refus de vendre, [LCC] 75(2)
- Registre, *voir* Registre des marques de commerce
- Règlements, [LMC] 65
- Représentant pour signification, [LMC] 42
- Représentations supplémentaires, [LMC] 43
- Réputée adoptée, [LMC] 3
- Réputée employée, [LMC] 4(1), 4(2)
- Réputée révélée, [LMC] 5
- Restriction au commerce, [LCC] 32
- Transfert, [LMC] 48
- Utilisation par des compagnies connexes, [LMC] 51
- Vente de produits (infraction), [LMC] 51.01
  
- Marque officielle**
  - Définition, [LCSA-1] 17
  
- Mauvaise foi**
  - Tiers, [LC] 123.32
  
- Médiateur, [LVM] 168.1.5**
  
- Membre**
  - De la haute direction
  - • Définition, [LVM-1] 1.1
  - • Dispense d'inscription, [LVM-1] 3.22-3.28
  - • Dispense de prospectus, [LVM-1] 2.22-2.29
  - Définition, [LC] 216(3)
  - Personne morale ou association (partie III)
  - • Liste, [LC] 223
  - • Responsabilité, [LC] 226
  - • Souscription ou contribution annuelle, [LC] 222
  
- Ministre**
  - Définition, [LCSA] 2
  
- Ministre de l'Emploi et de la Solidarité sociale**
  - Application de la Loi, [LC] 1; [LPLE] 300; [LSA] 494-495
  - Entente, [LPLE] 116-123
  
- Publicité légale
- • Application de la loi, [LPLE] 300
- • Règlement, [LPLE] 148-149
- Registraire des entreprises
- • Désignation, [LPLE] 1
- • Fonctionnaire, [LPLE] 5
  
- Ministre de la Justice**
- Entraide juridique (concurrence), [LCC] 30.01-30.3
  
- Ministre des Affaires municipales, des Régions et de l'Occupation du territoire**
- Application de la Loi, [LC] 1
  
- Ministre des Finances**
  - Application de la Loi, [LC] 1; [LVM] 348; [LSA] 494
  - • Exception, [LC] 1
  - Information en matière de concurrence, [LCC] 29.2, 103.3(3)
  - Valeurs mobilières
  - • Rapport, [LVM] 352; [LSA] 496
  - • Réglementation, [LVM] 331.2
  
- Ministre des Transports**
  - Information en matière de concurrence, [LCC] 29.1
  
- Ministre du Revenu**
  - Application de la Loi, [LPLE] 300
  - Personne morale
  - • Liquidation, [CCQ] 363
  
- N-**
  
- Négociation collective, [LCC] 4**
  
- Nom commercial**
  - Confusion, [LMC] 6
  - Définition, [LMC] 2
  
- Nom d'une personne morale ou société, *voir***  
Personne morale, Publicité légale, Société par actions
  
- Note de crédit**
  - Contenu, [LVM] 186.5
  - Définition, [LVM] 5
  
- Notice d'offre**
  - Dispense d'inscription, [LVM-1] 6.4-6.5
  - Dispense de prospectus, [LVM-1] 2.9, 6.4-6.5
  - Forme, [LVM-1] 6.4-
  
- Numéro d'entreprise du Québec, [LPLE] 37**
  
- Numéro matricole, *voir*** Compagnie (partie IA),  
Dénomination sociale B compagnie (partie IA)
  
- O-**
  
- Obligations**
  - Définition, [LC] 124(7)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

### **Obtention de titres intermédiaires**

- Actifs financiers, [LTV] 12-13
- Application de la loi, [LTV] 5
- Avis
- • Considéré comme donné, [LTV] 19
- • Considéré comme reçu, [LTV] 20
- • De revendication, [LTV] 23-27
- • Groupement considéré comme avisé, [LTV] 21
- • Personne considérée comme avisée, [LTV] 18
- • Transmission d'un écrit, [LTV] 22
- Certificat de valeur mobilière, [LTV] 9(1)
- Contrat à terme, [LTV] 17
- Dispositions de la loi, [LTV] 2
- • Application à une chambre de compensation, [LTV] 4
- Droits du titulaire d'un titre ou d'autres acquéreurs, [LTV] 107-114
- Emetteur, [LTV] 7
- Endossement des actifs financiers
- • Au nom d'un représentant, [LTV] 44
- • Définitions, [LTV] 39
- • Personne habilitée à agir pour le compte du titulaire, [LTV] 42
- • Titulaire des droits, [LTV] 41
- • Validité, [LTV] 40, 43, 45
- Garanties, [LTV] 126-128
- Intermédiaire en valeurs mobilières, [LTV] 8
- Lettres de change ou billets, [LTV] 15
- Notion, [LTV] 6
- Objet de la loi, [LTV] 1
- Obligations de l'intermédiaire en valeurs mobilières, [LTV] 116-125
- Obtention d'un titre, [LTV] 103-106
- Option, [LTV] 16
- Part ou titre de participation dans une société de personnes ou une société à responsabilité limitée, [LTV] 14
- Personne visée, [LTV] 3
- Règles de préséance, [LTV] 129-130
- Revendication, [LTV] 49
- Statut de l'intermédiaire en valeurs mobilières à titre d'acquéreur, [LTV] 115
- Titre de participation, [LTV] 9(2)
- Valeurs mobilières, [LTV] 10-11

**OCRCVM**, voir Organisme canadien de réglementation du commerce des valeurs mobilières

### **Office de la propriété intellectuelle du Canada**

- Indication géographique, [LMC] 11.12, 66

### **Offre d'achat visant à la mainmise (société fédérale)**, [LCSA-1] Annexe 2

- Définition, [LCSA] 206
- Dissidence, [LCSA] 206

### **Offre d'acquisition**, [LC] 51

### **Offre publique d'achat**

- Conditions, [LVM] 112
- Définition, [LVM] 110
- Information, [LVM] 115
- Information fausse ou trompeuse, [LVM] 222-225.1
- Irrégularité
- • Ordonnances, [LVM] 233.2
- • Recours, [LVM] 233.1
- Recommandations, [LVM] 113-114

### **Offre publique de rachat**

- Conditions, [LVM] 112
- Définition, [LVM] 111
- Information fausse ou trompeuse, [LVM] 222-225.1
- Irrégularité
- • Ordonnances, [LVM] 233.2
- • Recours, [LVM] 233.1

### **Opération d'éviction**

- Conditions, [LCSA] 194
- Définition, [LCSA] 2

### **Opération de fermeture**, [LCSA] 193

- Définition, [LCSA] 2; [LCSA-1] 3(1)

### **Opération sur titre**, [LVM-2] 11.7

**Opposition**, [LCSA] 61, 62, 67, 78, voir aussi Valeur mobilière (société fédérale)

- Définition, [LCSA] 48

### **Option d'achat**

- Définition, [LCSA] 2

### **Option de vente**

- Définition, [LCSA] 2

**Ordonnance**, voir Concurrence (recours administratifs)

### **Ordonnance de séquestre**, [LVM] 151.0.1(1)

**Organisme canadien de réglementation du commerce des valeurs mobilières (OCRCVM)**, [LVM-2] 1.1

- Dispense de certaines obligations, [LVM-2] 9.3

### **Organisme d'autoréglementation**

- Autorisation requise de l'Autorité, [LVM] 169-169.1
- • Condition, [LVM] 170
- Blâme, [LVM] 273
- Décision rendue par une autre autorité, [LVM] 308.0.3
- Système de négociation parallèle de valeurs, [LVM] 171, 171.1, 171.2

### **Organisme d'enquête**

- Registre des particuliers ayant un contrôle important, [LCSA] 21.31-21.32

## INDEX ANALYTIQUE

- Organisme de placement collectif**, [LVM] 272.2
- Définition, [LVM] 5
  - Droits des porteurs de titres, [LVM] 109.8-109.9

**-P-**

**Particulier**

- Définition, [LCSA] 2

**Passif**

- Définition, [LCSA] 2

**Patrimoine**

- Personne morale, [CCQ] 302, 310

**PCGR américains**

- Définition, [LCSA-1] 70

**PCGR canadiens**

- Définition, [LCSA-1] 70

**Peine**, voir Infraction et peine

**Personne**

- Définition, [LVM] 5.1; [LCSA] 2

**Personne compétente**

- Définition, [LCSA] 65

**Personne désignée responsable**, voir Société inscrite

**Personne morale**, voir aussi Administrateur, Assemblée des membres, Conseil d'administration, Dirigeant, Filiale

- Acte constitutif, [CCQ] 310
- Actes, [CCQ] 309, 311
- Accomplis avant sa constitution, [CCQ] 319-320
- Action d'un tiers, [CCQ] 318
- Activité, [CCQ] 310
- Administrateur, [CCQ] 321-330
- Administrateur d'une autre personne morale, [CCQ] 304 al. 2
- Assemblée des membres, [CCQ] 311, 345-354
- Capacité pour exercer tous les droits, [CCQ] 303 al. 1
- Concurrence (infraction), [LCC] 65(4), 68
- Jugée sans jury, [LCC] 67(4)
- Conseil d'administration, [CCQ] 311, 335-336
- Constituée au Québec, [CCQ] 299 al. 1; [LPLE] 31
- Constitution d'une société par actions (fédérale), [LCSA] 5(2)
- Convention unanime des membres, [CCQ] 310 al. 1
- Curatelle à la personne, [CCQ] 304 al. 1
- Curateur aux biens, [CCQ] 304 al. 2
- De droit privé ou public, [CCQ] 298-300
- Définition, [LCSA] 2
- Directives étrangères, [LCC] 46
- Dirigeant, [CCQ] 312
- Dispositions applicables, [CCQ] 334
- Dissolution, [CCQ] 355-356
- Avis au registraire des entreprises, [CCQ] 358
- Distincte de ses membres, [CCQ] 309
- Documentation trompeuse (gagner un prix), [LCC] 53(4), 53(5)
- Domicile, [CCQ] 307-308
- Droits civils, [CCQ] 301, 303 al. 1
- Droits et obligations extrapatrimoniaux, [CCQ] 302
- Enquête du Commissaire de la concurrence, [LCC] 11, 65(1)
- Existence, [CCQ] 299 al. 2, 314
- Fiduciaire, [CCQ] 304 al. 2
- Fonctionnement, [CCQ] 310
- Fraude, [CCQ] 316-317
- Incapacités, [CCQ] 303 al. 2
- Liquidateur
- Fonctions, [CCQ] 361
- Livres et registres, [CCQ] 362
- Nomination ou révocation, [CCQ] 359
- Saisine des biens, [CCQ] 360
- Liquidateur de succession, [CCQ] 304 al. 2
- Liquidation, [CCQ] 357
- Fin, [CCQ] 364
- Par le ministre du Revenu, [CCQ] 363
- Lois applicables, [CCQ] 300
- Membres, [CCQ] 315
- Nom, [CCQ] 305, 308
- Activités ou identification sous un autre nom, [CCQ] 306
- Patrimoine, [CCQ] 302, 310
- Personnalité juridique, [CCQ] 298 al. 1, 317, 357
- Attribution judiciaire, [CCQ] 331-333
- Règlements, [CCQ] 313
- Séquestre, [CCQ] 304 al. 2
- Société par actions, [LSA] 10
- Télémarketing trompeur, [LCC] 52.1(7), 52.1(8)
- Tutelle à la personne, [CCQ] 304 al. 1
- Tuteur aux biens, [CCQ] 304 al. 2

**Personne morale de droit privé**, [CCQ] 298-300

- Définition, [LCSA] 237.5(2)
- Immatriculation, [LPLE] 21(4)-(6)

**Personne morale mère**

- Définition, [LC] 123.1; [LCSA] 2; [LSA] 2

**Personne morale ou association (partie III)**, voir aussi Membre

- Âge du requérant, [LC] 219
- Application de la Loi, [LC] 217
- Charte, [LC] 218
- Constitution, [LC] 218
- Contrôle, [LC] 123.2
- Définition, [LC] 216(1)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Dénomination sociale, [LC] 219
  - Changement, [LC] 221.1, 221.2
  - Dispositions applicables, [LC] 224
  - Droits à payer, [LC-3]
  - Enquête, [LC] 230
  - Existante, [LC] 221
  - Lettres patentes, [LC] 218
  - Annulation, [LC] 231, 232
  - Dépôt, [LC] 220, 221
  - Liste des membres, [LC] 223
  - Lois applicables, [LC] 227
  - Rapport, [LC] 228
  - Rapport annuel, [LC] 229
  - Requête, [LC] 219
  - Responsabilité des membres, [LC] 226
  - Siège, [LC] 219
  - Souscription ou contribution annuelle, [LC] 222
  - Tarif des droits, [LC] 233
- Personne participant au contrôle**
- Définition, [LVM] 5.2
- Personne physique**
- Immatriculation, [LPLE] 21(1)
- Personne physique inscrite**, voir aussi Courtier ou conseiller, Gestionnaire de fonds d'investissement, Représentant conseil, Représentant de courtier
- Cessation de l'autorisation d'agir pour le compte d'une société, [LVM-2] 6.1
  - Catégories, [LVM-2] 2.1, 7.1
  - Changement, [LVM-2] 16.1
  - Communication trompeuse, [LVM-2] 13.18
  - Compétence initiale et continue, [LVM-2] 3.4(1)
  - Conflit d'intérêts, [LVM-2] 13.4.1
  - Emprunt, [LVM-2] 13.13
  - Entente d'indication de clients, [LVM-2] 13.7, 16.15
  - Inscription, [LVM-2] 2.1, 3
  - Dispense, [LVM-2] 2.2-2.3, 16.12
  - Obligations de compétence générales, [LVM-2] 3.1
  - Délai pour s'inscrire après les examens, [LVM-2] 3.3
  - Équivalence américaine, [LVM-2] 3.2
  - Obligations de scolarité et d'expérience, [LVM-2] 3.4-3.14
  - Prêt aux clients, [LVM-2] 13.12
  - Radiation d'office de l'inscription suspendue, [LVM-2] 6.6
  - Exception pour les personnes physiques parties à une instance ou à une procédure, [LVM-2] 6.7
  - Relations avec les clients, [LVM-2] 13.1
  - Restriction en matière d'emploi auprès d'une autre société inscrite, [LVM-2] 4.1
  - Révocation ou suspension de l'autorisation
  - De l'ACFM, [LVM-2] 6.3
- • De l'OCRCVM, [LVM-2] 6.2
  - Suspension
  - • De l'inscription de la société parrainante, [LVM-2] 6.4
  - • Des activités de courtage et de conseil, [LVM-2] 6.5, 6.8
- Perte financière**
- Définition, [LCSA] 237.1
  - Degré de responsabilité, [LCSA] 237.3
- Placement**
- Définition, [LVM] 5
- Placement au moyen d'un document d'offre conforme à la politique de la Bourse de croissance TSX**
- Application, [LVM-1] 5.1
  - Interprétation, [LVM-1] 5.1(2)
  - Obligation de prospectus, [LVM-1] 5.2
  - Obligations du placeur, [LVM-1] 5.3
- Placement de droits**
- Dispense de prospectus, [LVM-1] 2.1
- Placement de titres**
- Par l'émetteur, [LVM] 64
- Placement de valeurs**, voir aussi Prospectus, Valeur mobilière
- Avis de résolution, [LVM] 30-32
  - Décision de l'Autorité, [LVM] 37
  - Documents requis, [LVM] 16, 17
  - Interruption, [LVM] 38, 39
  - Par l'émetteur, [LVM] 64
  - Placement par un tiers, [LVM] 40
  - Prospectus, [LVM] 11
  - Prospectus provisoire, [LVM] 20-24
  - Prospectus simplifié, [LVM] 18, 18.1, 19
  - Sans prospectus, [LVM] 204.1
- Placeur**, [LVM-1] 5.3, voir aussi Courtier ou conseiller
- Dispense de l'inscription, [LVM-2] 8.1, 8.3
- Plaignant**
- Définition, [LCSA] 238
- Plainte**, voir Société inscrite
- Plan de réinvestissement**, [LVM-1] 8.4
- Dispense d'inscription, [LVM-2] 8.17
  - Dispense de prospectus, [LVM-1] 2.2
- Point de vente de tabac**, voir Tabac
- Pollicitant**
- Définition, [LCSA] 206
- Pollicitation**, voir aussi Offre d'achat visant à la mainmise (société fédérale)
- Définition, [LCSA] 206

## INDEX ANALYTIQUE

**Pollicité**

- Définition, [LCSA] 206

**Pollicité dissident**

- Définition, [LCSA] 206

**Porteur**

- Définition, [LCSA] 48

**Poursuite civile**

- Publicité légale, [LPLE] 142-146

**Poursuite pénale**

- Publicité légale, [LPLE] 142-146
- Valeurs mobilières, [LVM] 210, 210.1, 211

**Pratique commerciale douteuse, [LCC] 66****Préavis**

- Consentement du Commissaire, [LCC] 106.1(7)
- Injonction, [LCC] 33(2)
- Ordonnance d'injonction provisoire, [LCC] 74.111(4)
- Ordonnance temporaire, [LCC] 74.11(3)

**Prescription**

- Acquisition ou contrat par un administrateur, [CCQ] 326 al. 2
- Action en dommages-intérêts, [LVM] 235, 236
- Action en nullité ou en révision de prix, [LVM] 234
- Agissement anti-concurrentiel, [LCC] 79(6)
- Compagnie, partie IA, [LC] 123.168
- Concurrence, [LCC] 67(6)
- Dissolution d'une société fédérale, [LCSA] 226(4)
- Fusionnement, [LCC] 97
- Infraction (société fédérale), [LCSA] 252
- Offre publique, [LVM] 236.1
- Placement d'une valeur, [LVM] 236.1

**Prescrit**

- Définition, [LCSA] 2

**Preuve**

- Acte de fiducie, [LCSA] 86-89
- Action en dommages-intérêts, [LVM] 215.1, 225.12-225.14, 225.17-225.27
- Appel de versements, [LC] 70, 162
- Certificat d'actions, [LC] 53(2), 151(2)
- Concurrence, [LCC] 20(3), 30.1-30.19, 30.2-30.23, 30.25-30.29, 36(2), 65.1(2), 65.2
- • Complot, accord ou arrangement entre concurrents, [LCC] 45(3)
- • Indications fausses ou trompeuses, [LCC] 52(1.1), 52.01(4)
- • Ordonnance, [LCC] 74.14, 90.1(3), 92(2), 107
- • Preuve contre un participant, [LCC] 69(2)
- • Statistiques, [LCC] 70-72
- Constitution de la compagnie, [LC] 119, 212
- Copies de documents signés par le registraire des entreprises, [LC] 2.5

- Livres de la compagnie, [LC] 109, 202
- Marque de commerce, [LMC] 31(2), 34(2), 38(7), 45, 54, 56(5)
- Pouvoirs de la compagnie
- • Augmentation ou diminution, [LC] 39
- Règlement de la compagnie, [LC] 118, 211
- Valeurs mobilières (société fédérale), [LCSA] 53

**Preuve de la nomination ou du mandat**

- Définition, [LCSA] 77(4)

**Principes comptables généralement reconnus, voir**  
PCGR américains, PCGR canadiens

**Principes de vérification généralement reconnus, voir**  
PVGR américains, PVGR canadiens

**Prix à la livraison, [LCC] 80-81****Prix annoncé**

- Maintien des prix, [LCC] 76(6)
- Vente au-dessus du, [LCC] 74.05, 74.1(6)

**Prix d'occasion, [LCC] 74.04, 74.1(6)****Prix de revente**

- Maintien des prix, [LCC] 76(5)

**Prix habituel (fournisseur), [LCC] 74.01(2)-74.01(5), 74.1(6)**

**Procuration, voir aussi** Sollicitation de procurations, Sollicitation de procurations (société fédérale)

- Contenu, [LSA] 172
- Définition, [LCSA] 147
- Fin, [LSA] 172
- Fondé de pouvoir, [LSA] 171
- Nomination d'un fondé de pouvoir, [LCSA] 148
- Par écrit, [LSA] 172
- Représentation de l'actionnaire, [LSA] 170-173
- Révocation, [LSA] 172
- Signature, [LSA] 172

**Procureur général du Canada ou d'une province**

- Concurrence
- • Choix des procédures, [LCC] 67(5), 73(4)
- • Compétence de la Cour fédérale, [LCC] 73(1)
- • Continuation ou répétition de l'infraction, [LCC] 34
- • Droit d'intervention
- • • Accord de spécialisation, [LCC] 88
- • • Fusionnement, [LCC] 101
- • Infraction comportant l'utilisation d'un moyen de télécommunication, [LCC] 33(1.1), 33(1.2), 33(2)
- • Injonction
- • • Annulation, [LCC] 33(5)
- • • Demande *ex parte*, [LCC] 33(3)
- • • Prolongation, [LCC] 33(5)
- • Injonction contre des tiers, [LCC] 33(1.2), 33(2)
- • Injonction provisoire, [LCC] 33(1), 33(2)
- • Restriction au commerce, [LCC] 32(2)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

### Produit

- Connaissance, [LVM-2] 13.2.1

### Produit titré à court terme

- Exceptions relatives aux conventions portant sur les liquidités, [LVM-1] 2.35.3
- Exemption de prospectus, [LVM-1] 2.35.1
- Limite de la dispense, [LVM-1] 2.35.2
- Obligations d'information, [LVM-1] 2.35.4

### Proposition d'actionnaires

- Candidature au poste d'administrateurs, [LSA] 198
- Discussion, [LSA] 199
- Exception, [LSA] 200; [LSA-1] 4
- Jointe à la circulaire de la direction, [LSA] 196-197
- • Refus, [LSA] 201, 203; [LSA-1] 5-6
- • Responsabilité non engagée, [LSA] 202
- Nombre, [LSA-1] 1
- Nombre maximum de mots, [LSA-1] 3
- Préjudice, [LSA] 204, 206
- • Recours au tribunal, [LSA] 205-206
- Société qui est un émetteur assujetti, [LSA] 194
- Soumission, [LSA] 195; [LSA-1] 2

### Propriétaire

- Définition de « Véritable propriétaire », [LCSA] 2

### Prospectus, voir aussi Courtier ou conseiller, Dispense de prospectus, Prospectus simplifié

- Contenu, [LVM] 13
- Dispense, [LVM] 41, 43; [LVM-1] 2.1-2.43
- • Conditions, [LVM] 42
- Modifications
- • Conditions, [LVM] 25, 26
- • Dépôt, [LVM] 27
- • Refus, [LVM] 28
- Placement de titres supplémentaires, [LVM] 25
- Transmission, [LVM] 29, 32
- Visa
- • Attribution, [LVM] 14
- • Demande, [LVM] 11, 12
- • Refus, [LVM] 15

### Prospectus (société fédérale), voir Valeur mobilière (société fédérale)

### Prospectus simplifié, voir aussi Prospectus

- Conditions, [LVM] 18
- Contenu, [LVM] 18.1
- Dossier d'information, [LVM] 85
- Régime applicable, [LVM] 19

### Pseudonyme

- Utilisé par une personne physique pour exercer une activité culturelle
- • Immatriculation non requise, [LPLE] 23

### Publication accessible au grand public

- Dénomination sociale, [LCSA] 13(1)
- Dissolution de la société, [LCSA] 212, 213
- Erreur dans les documents, [LCSA] 265

### Publicité, voir aussi Langue du commerce et des affaires, Publicité légale

- Prix d'occasion, [LCC] 74.04, 74.1(6)
- Vente au-dessus du prix annoncé, [LCC] 74.05, 74.1(6)

### Publicité légale, voir aussi Immatriculation, Registraire des entreprises

- Annulation d'une inscription ou d'un dépôt de documents, [LPLE-2] 21
- Application de la Loi
- • Ministre responsable, [LPLE] 300
- Changement de nom, [LPLE-2] 21
- Constitution d'une société, [LSA] 9
- Déclaration de mise à jour annuelle, [LPLE-1] 3
- Dispositions transitoires, [LPLE-2] 26-31, 33-37
- Droits à payer, [LPLE] 75-76; [LPLE-2] 9-22
- • Exigibilité, [LPLE] 78
- • Montant, [LPLE] 79
- • Traitement prioritaire, [LPLE] 77
- État des informations, [LPLE-1] 1
- Fiducie, [LPLE-1] 6
- Fondé de pouvoir, [LPLE-1] 4
- Infraction et peine, [LPLE] 152-163
- • Dispositions transitoires, [LPLE] 283-299
- • Entrée en vigueur, [LPLE] 301-302
- • Remplacement, [LPLE] 281
- • Renvoi, [LPLE] 282
- Poursuite pénale ou civile, [LPLE] 142-146
- Recours administratif
- • Annulation d'une inscription ou d'un dépôt de documents, [LPLE] 132, 135; [LPLE-2] 21
- • Avis du registraire, [LPLE] 136
- • Changement de nom, [LPLE-2] 21
- • Décision du registraire, [LPLE] 137-138
- • Rectification ou suppression d'une information inexacte, [LPLE] 133, 135; [LPLE-2] 21
- • Remplacement ou modification d'un nom, [LPLE] 134-135
- Recours devant le Tribunal administratif du Québec, [LPLE] 139
- • Avis de la contestation, [LPLE] 140
- • Pouvoir du Tribunal, [LPLE] 141
- Règlement, [LPLE] 148-151
- Services d'hébergement aux personnes victimes de violence, [LPLE-1] 5
- Société par actions, [LSA] 9

## INDEX ANALYTIQUE

- Système de classification des activités économiques, [LPLE-1] 2

### **PVGR américains**

- Définition, [LCSA-1] 70

### **PVGR canadiens**

- Définition, [LCSA-1] 70

## -R-

### **Rachat d'actions**

- Avis préalable, [LSA] 376-380
- Conditions d'existence du droit, [LSA] 372-375
- Décision de l'actionnaire, [LSA] 380
- Défaut d'avis, [LSA] 389-392
- Paiement du prix, [LSA] 381
- Par un bénéficiaire, [LSA] 393-397
- Prix, [LSA] 378-379
- Majoration, [LSA] 382-388

### **Raison sociale**

- Langue, [CLF] 68; [CLF-1] 7, 13, 25, 27

### **Reconnaissance de risque**

- Forme, [LVM] 40.1; [LVM-1] 6.5

### **Reconstitution de société**

- Demande, [LSA] 365-366
- Avis, [LSA] 368
- Transmission au registraire des entreprises, [LSA] 367
- Nom non conforme, [LSA] 369
- Prise d'effet, [LSA] 370
- Société réputée n'avoir jamais été dissoute, [LSA] 371
- Statuts, [LSA] 371

### **Recours**

- Abus de pouvoir ou iniquité, [LSA] 450-453
- Approbation du tribunal requise, [LSA] 441
- Autorisation d'agir au nom d'une société, [LSA] 445-449
- Cautionnement pour frais non requis, [LSA] 442
- Contestation d'élection, [LSA] 454-455
- Correction d'erreurs, [LSA] 458-459
- Demande, [LSA] 439, 443-444
- Rejet, [LSA] 440
- Devant le Tribunal administratif du Québec, [LSA] 485-487
- Dispositions particulières, [LSA] 439
- Frais provisoires, [LSA] 443
- Inobservation de la loi, [LSA] 460
- Rectification des livres, [LSA] 456-457

### **Recueil des traités du Canada**

- Accord d'entraide juridique (concurrence), [LCC] 30.02

### **REEE autogéré**

- Définition, [LVM-1] 1.1
- Dispense d'inscription, [LVM-2] 8.19
- Dispense de prospectus, [LVM-1] 2.43

### **REER**

- Définition, [LVM-1] 1.1
- Dispense de prospectus, [LVM-1] 2.40

### **Refus de vendre, [LCC] 75**

- Demande au Tribunal, [LCC] 103.1-107

### **Régime de capitalisation**

- Dispense d'inscription, [LVM-2] 8.28

### **Registraire des entreprises, voir aussi Acte constitutif, Immatriculation, Registre des entreprises**

- Certificat et exemplaire des statuts
- • Authenticité, [LC] 2.5
- Charte, [LC] 6
- Compagnie, partie IA
- • Annulation des statuts, [LC] 123.144
- • Certificat, [LC] 123.161, 123.163, 123.164
- • Compromis ou arrangement, [LC] 123.108, 123.109
- • Continuation, [LC] 123.136
- • Fusion, [LC] 123.119
- • Pouvoirs, [LC] 123.160, 123.162, 123.163
- • Rectification des statuts, [LC] 123.142
- • Statuts, [LC] 123.160, 123.161
- Compagnie à fonds social
- • Charte, [LC] 126.1
- • Modification de la valeur des actions, [LC] 156, 157
- Convention unanime des actionnaires, [LSA] 215
- Coopérative
- • Continuation, [LC] 227.5
- Copie signée
- • Force probante, [LC] 2.5
- Délégation de pouvoirs, [LPLE] 6-7
- Dénomination sociale
- • Absence de conformité, [LC] 10, 19
- • Changement, [LC] 18.1-22, 123.27.1-123.27.4; [LPLE-2] 21
- • Rapport de recherche, [LC] 9.2, 10.1
- • Réservation, [LC] 9.2
- Dépôt d'un document au registre, [LPLE] 90-91
- Désignation par le ministre, [LPLE] 1
- Devoirs
- • Constitution d'une compagnie (partie IA), [LC] 123.15
- Document
- • Acceptation de copies, [LC] 2.4
- • Authenticité, [LPLE] 9
- • Forme et teneur, [LC] 2.7; [LSA] 9; [LSA-3]
- Droits à payer, [LC] 22.1, 123.171.1, 488

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Enquête, [LPLE] 128-131
- Entente conclue par le ministre, [LPLE] 116-123
- Entrave, [LPLE] 126
- Fonctionnaires qui assistent, [LPLE] 6
- Fonctions, [LPLE] 2-3
- Formation d'une nouvelle compagnie, [LC] 6
- Garde, [LC] 1.2
- Gestion des documents, [LPLE] 109
- Immunité, [LPLE] 10-11, 127
- Inspection, [LC] 110, 203; [LPLE] 124, 130-131
- Lettres patentes, [LC] 6, 10.1
- • Compagnie existante, [LC] 14(3), 16
- • Correction, [LC] 12
- • Date de fin d'attribution, [LC] 123.0.1
- • Fusion de compagnies, [LC] 18(5)
- Lettres patentes supplémentaires, [LC] 4(2), 18.1, 19, 40, 49(2), 65, 123.0.1
- Mise à jour des informations, [LPLE] 92
- Officier public, [LPLE] 1
- Personne morale
  - • Activités ou identification sous un autre nom, [CCQ] 306
  - • Dissolution, [CCQ] 358
  - • Nomination ou révocation du liquidateur, [CCQ] 359
  - Personne morale ou association (partie III), [LC] 220, 221
  - Pouvoirs, [LPLE] 125
  - Registres et archives
  - • Copies officielles, [LC] 1.2
  - • Dispositions transitoires (publicité légale), [LPLE-2] 26, 31
  - Remplacement, [LPLE] 5
  - Responsabilités, [LPLE] 2-3
  - Signature, [LPLE] 8
  - Société par actions
  - • Constitution, [LSA] 8
  - • Continuation, [LSA] 295, 299, 301-302
  - • Désignation numérique, [LSA] 23
  - • Dissolution, [LSA] 321
  - • Documents, [LSA] 468-484
  - • Reconstitution, [LSA] 365-371
  - • Rectification de documents, [LSA] 483-484
  - • Remplacement ou modification du nom, [LSA] 24-28
  - • Réservation de nom, [LSA] 17
  - • Statuts
    - • • Annulation, [LSA] 268
    - • • Correction, [LSA] 248, 250, 253, 255-256
    - Transmission de documents technologiques
    - • Document considéré comme reçu, [LPLE] 115
    - • Modalités, [LPLE] 112
    - • Par un intermédiaire, [LPLE] 114
    - • Signature des documents, [LPLE] 113
  - • Transmission des déclarations et autres documents, [LPLE] 111
    - • Présomption, [LPLE] 113
    - Vérificateur, [LC] 113(2)
  - **Registraire des marques de commerce**, [LMC] 2, 63, *voir aussi* Registre des marques de commerce
    - Adjoint, [LMC] 63(3)
    - Décision (appel), [LMC] 63(4)
    - Demande d'enregistrement
      - • Admise, [LMC] 39
      - • Désistement, [LMC] 35
      - • Rejet, [LMC] 37
    - Demande de renseignements, [LMC] 44
    - Liste des indications géographiques, [LMC] 11.12
    - • Déclaration d'opposition, [LMC] 11.13(7)
    - Preuve d'emploi, [LMC] 45
    - Procédures judiciaires (documents), [LMC] 60
    - Prolongation de délai, [LMC] 47
    - Publication des enregistrements, [LMC] 64
    - Registre des marques de commerce, [LMC] 26-27
    - Suppléant, [LMC] 63(2)
  - **Registre**
    - Définition, [LC] 3(8), 124(9), 216(4)
  - **Registre des émetteurs assujettis**, [LVM] 70
  - **Registre des entreprises**, [LSA] 2, *voir aussi* Immatriculation
    - Attestation, [LPLE] 108
    - • Droits, [LPLE-2] 19
    - Composition, [LPLE] 13
    - Consultation, [LPLE] 99-100
    - • Droits, [LPLE-2] 15, 16.2
    - Contenu, [LPLE] 13
    - Copie, [LPLE-2] 16
    - Copie certifiée
    - • Droits, [LPLE-2] 18
    - Correction des documents, [LPLE] 94-95
    - Correction ou rectification des index, [LPLE] 93
    - Dépôt
      - • Acte constitutif, [LC] 11
      - • Dénomination sociale, [LC] 123.27
    - • Lettres patentes, [LC] 14(3)
    - • Lettres patentes corrigées, [LC] 12
    - • Lettres patentes supplémentaires, [LC] 20, 40, 49(2), 65
    - Dépôt de documents
    - • Annulation, [LPLE-2] 21; [LPLE] 96-97
    - • Droits, [LPLE-2] 14
    - Dispositions transitoires, [LPLE] 294
    - Document
      - • Copie ou extrait, [LPLE] 106
      - • • Certification, [LPLE] 107
    - Droits à payer, [LPLE] 75-79

## INDEX ANALYTIQUE

- État des informations, [LPLE] 92; [LPLE-1] 1
  - • Copie, [LPLE] 105
  - Correction, [LPLE] 93
  - Immatriculation, [LPLE] 21-74
  - Index des documents, [LPLE] 14, 90
  - • Copie, [LPLE] 105
  - Correction, [LPLE] 93
  - Index des noms, [LPLE] 91
  - • Copie, [LPLE] 105
  - Correction, [LPLE] 93
  - Nom de l'assujetti, [LPLE] 17, 72
  - • Effet de l'inscription, [LPLE] 19
  - Modification ou remplacement, [LPLE] 18, 20; [LSA] 24-27
  - • Pouvoir du registraire, [LPLE] 20
  - Opposabilité aux tiers, [LPLE] 98
  - Regroupement d'informations, [LPLE] 101, 103-104
  - Reproduction, [LPLE] 15
  - Tenue, [LPLE] 12
  - • Support et technologie utilisée, [LPLE] 16
  - Registre des hypothèques**
    - Contenu, [LC] 105(1), 198(1)
    - Omission des entrées
    - • Infraction et peine, [LC] 105(2), 198(2)
  - Registre des marques de commerce, [LMC] 26(1)**
    - Inspection, [LMC] 29
    - Modification, [LMC] 41
    - Registre prévu par la *Loi sur la concurrence déloyale*, [LMC] 27
    - Renseignements, [LMC] 26(2)
  - Registre des transferts, [LC] 71, 104(2), 197(2)**
  - Registre des valeurs mobilières, [LSA] 31(4), 33**
  - Registre et archives**
    - Copie officielle, [LC] 1.2
    - Garde, [LC] 1.2
  - Registre foncier**
    - Syndicat de copropriétaires, [CCQ] 306, 358
  - Règlement**
    - Définition de « Réglementaire », [LCSA] 2
    - Pouvoirs du gouvernement, [LSA] 488-489
  - Règlement de la compagnie**
    - Augmentation ou diminution du nombre d'administrateurs, [LC] 87, 180
    - Changement de dénomination sociale, [LC] 21
    - Compagnie à fonds social (partie II), [LC] 211
    - • Emprunt et hypothèque, [LC] 169
    - • Modification de la valeur des actions, [LC] 154-156
    - Conversion d'une compagnie sans capital-actions en compagnie à fonds social, [LC] 17
  - Distribution de l'actif de la compagnie, [LC] 93, 186
  - Emprunt et hypothèque, [LC] 77
  - Formation d'une nouvelle compagnie, [LC] 8
  - Fusion de compagnies, [LC] 123.124-123.128
  - Pouvoir des administrateurs, [LC] 91(2) et (3), 185, 186
  - Preuve, [LC] 118
- 
- Règlement de l'aviation canadien, [LCSA-1]** 87
  - Règlement sur les avis de changement de domicile légal en vertu de la Loi sur les compagnies, [LPLE-2]** 33(1)
  - Règlement sur la compensation des dérivés et la protection des sûretés et des positions des clients, [LVM-2]** 14.5.2(7)e)
  - Règlement sur le compte en fidéicommis et les assises financières du cabinet en valeurs mobilières, [LVM-2]** 12.12(4)(5)
  - Règlement sur les cosmétiques, [CLF-1]** 8.1
  - Règlement sur les droits à payer en vertu de la Loi concernant les renseignements sur les compagnies, [LPLE-2]** 33(2)
  - Règlement sur les fonds d'investissement, [LVM-2]** 14.5.2(7)a)
  - Règlement sur le forage et l'exploitation des puits de pétrole et de gaz au Canada, [LCSA-1]** 87
  - Règlement sur les honoraires exigibles en vertu de la Loi sur les compagnies étrangères, [LPLE-2]** 35
  - Règlement sur les obligations générales relatives au prospectus, [LVM-2]** 14.5.2(7)b)
  - Règlement sur les principes comptables, normes de vérification et monnaies de présentation acceptables, [LCSA 1]** 70
  - Règlement sur les renseignements additionnels exigés en vertu de l'article 4 de la Loi concernant les renseignements sur les compagnies, [LPLE-2]** 33(3)
  - Règlement sur les terres pétrolières et gazéifères du Canada, [LCSA-1]** 87
  - Regroupement d'entreprises**
    - Définition, [LCSA] 126
  - Relation intersociétés, voir Actions (société fédérale)**
  - Remise**
    - Définition, [LCSA] 48
  - Réorganisation**
    - Définition, [LCSA] 191
  - Représentant**
    - Définition, [LCSA] 48
    - Personnel
    - • Définition, [LCSA] 2

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Représentant de courtier**, [LVM-2] 2.1
    - Compétence, [LVM-2] 16.10
    - Dispense de certaines obligations, [LVM-2] 3.16
  - Représentant-conseil**, [LVM-2] 2.1
    - Adjoint, [LVM-2] 2.1
    - Compétence, [LVM-2] 16.10
  - Résident canadien**
    - Définition, [LCSA] 2; [LCSA-1] 13
  - Résolution ordinaire**
    - Définition, [LCSA] 2
  - Résolution spéciale**
    - Définition, [LCSA] 2
    - Réduction du capital déclaré, [LCSA] 38
  - Restriction**
    - Définition, [LCSA-1] 73
  - Réunion**
    - Définition, [LCSA] 2
- S-**
- Saisie**, voir Mandat de perquisition
  - Salaire**
    - Responsabilité des actionnaires, [LC] 96, 189
  - Salarié**
    - Dispense de prospectus, [LVM-1] 2.22-2.29
  - Salon de bronzage**, [LPLE] 21
  - SEC**
    - Définition, [LCSA-1] 70
  - Secret professionnel**, [LCSA] 236
    - Enquête du Commissaire de la concurrence, [LCC] 19
  - Securities Act (Saskatchewan)**, [LVM-2] 14.12(7)
  - Securities Act (Terre-Neuve et Labrador)**, [LVM-2] 14.12(7)
  - Securities Exchange Act of 1934**, [LCSA-1] 70
  - Séquestre**
    - Demande au tribunal, [LCSA] 100
    - Obligations, [LCSA] 97, 98, 101
    - Diligence, [LCSA] 99
    - Personne morale, [CCQ] 304 al. 2
    - Pouvoirs et fonctions, [LCSA] 94
  - Séquestre-gérant**
    - Demande au tribunal, [LCSA] 100
    - Obligations, [LCSA] 97, 98, 101
    - Diligence, [LCSA] 99
    - Pouvoirs et fonctions, [LCSA] 95-96
  - Série**
    - Définition, [LCSA] 2

- Serment**, [LC] 121, 213
- Services de santé et services sociaux**
  - Langue, [CLF] 70
- Siège**, [LC] 32, voir aussi Compagnie (partie IA)
  - Compagnie (partie IA), [LC] 123.34-123.36
  - Compagnie à fonds social, [LC] 135
- Signe distinctif enregistrable**, [LMC] 13
- Société**
  - Contrôle, [LVM] 8
  - Définition, [LCSA] 2
  - Filiale, [LVM] 9
  - Immatriculation, [LPLE] 21(3)
  - Poursuite en valeurs mobilières, [LVM] 6
- Société ayant fait un appel au public**
  - Définition, [LCSA] 2; [LCSA-1] 2
- Société d'économie mixte**
  - Immatriculation, [LPLE] 21(7)
- Société d'épargne**
  - Modification à l'acte constitutif, [LSA-1] 3
- Société d'investissement à capital variable**
  - Définition, [LCSA] 26(12)
- Société de fiducie**
  - Dispense d'inscription, [LVM-2] 8.11, 8.29
  - Dispense de prospectus, [LVM-1] 2.21
  - Modification à l'acte constitutif, [LSA-1] 3
- Société en commandite**
  - Immatriculation, [LPLE] 21(2)
  - Publicité légale, [LPLE-2] 1
- Société en nom collectif**
  - Immatriculation, [LPLE] 21(2)
  - Publicité légale, [LPLE-2] 1
- Société fermée**
  - Définition, [LVM] 5
- Société inscrite**
  - Acquisition de titres ou d'actifs par une personne inscrite, [LVM-2] 11.9
  - Actif des clients
  - Garde en fiducie, [LVM-2] 14.6
  - Assurance, [LVM-2] 12.6-12.7, 16.13
  - Audit, [LVM-2] 12.8-12.9
  - Catégorie d'inscription
  - Changement, [LVM-2] 16.2
  - Chef de la conformité, [LVM-2] 5.2, 11.4
  - Inscription, [LVM-2] 16.9
  - Nomination, [LVM-2] 11.3
  - Communication trompeuse, [LVM-2] 13.18
  - Comptes des clients, [LVM-2] 14.10
  - Vente ou cession, [LVM-2] 14.11
  - Conflit d'intérêts, [LVM-2] 13.4
  - Convention de subordination, [LVM-2] 12.2

## INDEX ANALYTIQUE

- Définition, [LVM-2] 1.1
- Dossier, [LVM-2] 11.5
- Forme, accessibilité et conservation, [LVM-2] 11.6
- États financiers annuels, [LVM-2] 12.10, 12.15
- Fonds de roulement, [LVM-2] 12.1
- Information à fournir aux clients
- Etablissement de la valeur marchande, [LVM-2] 14.11.1
- Personne inscrite non résidente, [LVM-2] 14.5
- Rapport sur le rendement des placements, [LVM-2] 14.18-14.20
- Contenu, [LVM-2] 14.19
- Rapport sur les frais, [LVM-2] 14.17, 14.20
- Relation avec une institution financière, [LVM-2] 14.4
- Sur la relation, [LVM-2] 14.2, 16.14
- Sur la répartition équitable des possibilités de placement, [LVM-2] 14.3
- Information financière intermédiaire, [LVM-2] 12.11, 12.15
- Inscription
- Dispense, [LVM-2] 16.12
- Non-paiement des droits, [LVM-2] 10.1
- Obligations en matière de capital, [LVM-2] 12.1, 16.11
- Personne désignée responsable
- Inscription, [LVM-2] 16.8
- Nomination, [LVM-2] 11.2
- Responsabilités, [LVM-2] 5.1
- Plainte, [LVM-2] 13.14, 16.16
- Service de règlement des différends, [LVM-2] 13.16
- Traitement, [LVM-2] 13.15
- Radiation d'office de l'inscription, [LVM-2] 10.5, 10.7
- Exception, [LVM-2] 10.6
- Recommandations de titres d'un émetteur relié ou associé, [LVM-2] 13.6
- Règlement lié des opérations sur titres, [LVM-2] 11.7
- Relations avec les clients, [LVM-2] 13.1
- Révocation ou suspension de l'adhésion
- À l'ACFM, [LVM-2] 10.3
- À l'OCRCVM, [LVM-2] 10.2
- Suspension de l'inscription, [LVM-2] 10.1
- Activités non permises, [LVM-2] 10.4, 10.7
- Système de conformité et formation, [LVM-2] 11.1
- Titres font l'objet d'une acquisition, [LVM-2] 11.10
- Société inscrite auprès de la SEC**
- Définition, [LCSA-1] 70
- Société par actions, voir aussi Actionnaire, Actions, Administrateur, Capital-actions, Conseil d'administration, Continuation d'une société,**
- Dirigeant, Dissolution de société, Fusion de sociétés, Liquidation de société, Statuts
- Abus de pouvoir ou iniquité, [LSA] 450-453
- Actions, [LSA] 5
- Emission, [LSA] 52-60
- Impayées, [LSA] 75-80
- Transfert, [LSA] 81-84
- Actions avec ou sans certificat, [LSA] 61-67
- Actions émises avec un certificat, [LSA] 63
- Administrateur, [LSA] 5(8), 8(1)
- Nombre de voix nécessaires, [LSA] 7
- Révocation, [LSA] 7
- Aliénation affectant la poursuite d'activités substantielles, [LSA] 271-275
- Application de la Loi, [LSA] 1; [LSA-1]
- Arrangement, [LSA] 414
- Autorisation d'agir au nom de, [LSA] 445-449
- Capital-actions, [LSA] 5(3), 43-51, 85-105
- Compte de capital-actions émis et payé, [LSA] 68-74
- Conseil d'administration
- Composition, [LSA] 106-111
- Réunion d'organisation, [LSA] 11
- Constitution, [LSA] 3, 10
- Statuts, [LSA] 5-7, 9
- Documents joints, [LSA] 8
- Continuation, [LSA] 288-303
- Définitions, [LSA] 2
- Désignation numérique, [LSA] 23
- Dispositions pénales, [LSA] 490-493
- Dissolution, [LSA] 304-322
- Documents
- Signature, [LSA] 39
- Documents transmis au registraire des entreprises, [LSA] 9
- Émetteur assujetti, [LSA] 40-42
- Fondateur, [LSA] 3-4, 5(2)
- Fusion, [LSA] 276-287
- Liquidation, [LSA] 323-364
- Livres et documents, [LSA] 31-42
- Rectification, [LSA] 456-457
- Nom, [LSA] 16, 21
- À l'extérieur du Québec, [LSA] 22
- Conformité à la loi, [LSA] 8(3), 18
- Forme, [LSA] 20
- Indication, [LSA] 19
- Remplacement ou modification, [LSA] 24-28
- Réservation, [LSA] 17
- Personne morale, [LSA] 10
- Registre des valeurs mobilières, [LSA] 31(4), 33
- Règlement intérieur, [LSA] 6, 11(1), 113-114
- Réorganisation, [LSA] 411
- Réunion d'organisation, [LSA] 11

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Siège, [LSA] 29
- Avis, [LSA] 8(2)
- Déplacement, [LSA] 30
- Statuts
- Annulation, [LSA] 265-270
- Correction, [LSA] 246-260
- Refonte, [LSA] 261-264
- Surveillance et contrôle, [LSA] 421-467
- Tiers
- Activités exercées par la société, [LSA] 15
- Présomption, [LSA] 12-13
- Dispositions non applicables, [LSA] 14
  
- Société par actions (fédérale), [LCSA] 1, voir aussi**
- Acte de fiducie, Administrateur (société fédérale),  
Dénomination sociale B société fédérale, Directeur (société fédérale), Directeur (société fédérale) B documents, Enquête (société fédérale), Fiduciaire, Offre d'achat visant à la mainmise, Publication accessible au grand public, Séquestre, Séquestrer-gérant, Société par actions (fédérale B dissolution), Société par actions (fédérale B fusion), Société par actions (fédérale B liquidation), Sollicitation de procurations (société fédérale), Valeur mobilière (société fédérale), Vérificateur (société fédérale)
- Activités interdites, [LCSA] 3(4) et (5)
- Application de la Loi, [LCSA] 3(1)
- Arrangement, [LCSA] 192
- Avis, [LCSA] 253, 254
- Capacité, [LCSA] 15
- Certificat de constitution, [LCSA] 8
- Date, [LCSA] 9
- Certificats et attestations, [LCSA] 257
- Délivrance par le directeur, [LCSA] 256
- Comité de vérification, [LCSA] 171; [LCSA-1] 88-94
- Constitution, [LCSA] 5
- Contrat, [LCSA] 14, 40
- Définitions, [LCSA] 2
- Dénomination sociale, [LCSA] 10-13; [LCSA-1] 17-34
- Directeur
- Nomination, [LCSA] 260
- Documents, [LCSA] 7, 17, 253, 254
- Émetteur assujetti, [LCSA-1] Annexe 1
- Enquête, [LCSA] 229-237
- Financement, [LCSA] 24
- Infractions, [LCSA] 250, 251
- Prescription, [LCSA] 252
- Livres, [LCSA] 20-22
- Lois non applicables, [LCSA] 3(3)
- Modification de structure, [LCSA] 173-192
- Certificat, [LCSA] 178, 179, 265.1; [LCSA-1] 96
- Clause de prorogation, [LCSA] 187, 188; [LCSA-1] 88-94
- Disposition, [LCSA] 189
- Dissidence, [LCSA] 190
- Envoi au directeur, [LCSA] 177
- Restrictions relatives aux actions, [LCSA] 174
- Objet de la Loi, [LCSA] 4
- Offre d'achat visant à la mainmise, [LCSA] 192; [LCSA-1] Annexe 2
- Pouvoirs, [LCSA] 16
- Préventions interdites, [LCSA] 18
- Rapport annuel, [LCSA] 263; [LCSA-1] 5
- Reconstitution, [LCSA] 209
- Recours, [LCSA] 238, 242
- Demande d'autorisation au tribunal, [LCSA] 239
- Demande de rectification de livres ou de registres, [LCSA] 243
- Instructions au directeur, [LCSA] 244
- Ordonnance du tribunal, [LCSA] 240, 241, 246, 247, 249
- Procédure, [LCSA] 248
- Registre des particuliers ayant un contrôle important, [LCSA] 21.1-21.4, 250(4), 261(1)(c.01)-(c.02)
- Règlement, [LCSA] 261
- Renseignements financiers
- Approbation, [LCSA] 158
- Conservation, [LCSA] 157
- Copie au directeur, [LCSA] 160
- Copies aux actionnaires, [LCSA] 159
- Dispense, [LCSA] 156; [LCSA-1] 88-94
- États financiers annuels, [LCSA] 155; [LCSA-1] 71, 72
- Renseignements relatifs à la diversité, [LCSA-1] 72.2
- Réorganisation, [LCSA] 191
- Sceau, [LCSA] 23
- Siège social, [LCSA] 19, 20
- Statuts constitutifs, [LCSA] 6, 7, 265.1; [LCSA-1] 96
- Mise à jour, [LCSA] 180
- Refus d'enregistrement, [LCSA] 245
  
- Société par actions (fédérale B dissolution)**
- Action en recouvrement
- Prescription, [LCSA] 226(4)
- Biens dont il n'a pas été disposé, [LCSA] 228
- Certificat d'intention, [LCSA] 211(5) et (6)
- Certificat de dissolution, [LCSA] 211(15) et (16), 212
- Certificat de renonciation, [LCSA] 211(11) et (12)
- Créditeur ou actionnaire introuvable, [LCSA] 227
- Déclaration d'intention, [LCSA] 211(4)
- Demande de surveillance, [LCSA] 211(8), 215
- Garde de documents ou de livres, [LCSA] 225
- Motifs, [LCSA] 213, 214

## INDEX ANALYTIQUE

- Ordonnance du tribunal, [LCSA] 211(8), 213, 215
- • Effet, [LCSA] 218
- Pouvoirs du tribunal, [LCSA] 217
- Procédure
- • Suspension, [LCSA] 208
- Proposition, [LCSA] 211
- Reconstitution, [LCSA] 209
- Résolution des administrateurs, [LCSA] 210
- Société par actions (fédérale B fusion)**, [LCSA] 181
  - Certificat, [LCSA] 185(4)
  - • Effet, [LCSA] 186
  - Convention, [LCSA] 182
  - • Approbation, [LCSA] 183
  - Fusion horizontale simplifiée, [LCSA] 184(2)
  - Fusion verticale simplifiée, [LCSA] 184(1)
  - Interdiction, [LCSA] 186.1
  - Remise des statuts, [LCSA] 185
- Société par actions (fédérale B liquidation)**
  - Motifs, [LCSA] 214
  - Ordonnance, [LCSA] 219
  - Pouvoirs du tribunal, [LCSA] 217
  - Procédure
  - • Suspension, [LCSA] 208
  - Proposition, [LCSA] 211
- Société par actions à participation restreinte (loi fédérale)**
  - Attributions des administrateurs, [LCSA-1] 75, 76
  - Communication de propriété effective, [LCSA-1] 85, 86
  - Définition, [LCSA-1] 73
  - Documents, [LCSA-1] 74
  - Restrictions sur les droits de vote, [LCSA-1] 77-79
  - Vente d'actions faisant l'objet d'une restriction, [LCSA-1] 80-84
- Société pollicitée**
  - Définition, [LCSA] 206
- Sollicitation**
  - Définition, [LCSA] 147; [LCSA-1] 67, 68
- Sollicitation de procurations**
  - Faux renseignement ou omission de divulgation, [LCSA] 154
- Sollicitation de procurations (société fédérale)**, voir aussi Circulaire de procuration de dissident (société fédérale); Circulaire de procuration de la direction (société fédérale)
  - Caractère obligatoire, [LCSA] 149
  - Circulaire, [LCSA] 150; [LCSA-1] 55
  - • Document d'accompagnement, [LCSA-1] 66
  - Dispense, [LCSA] 151; [LCSA-1] 88-94
  - Formulaire de procuration, [LCSA] 148; [LCSA-1] 54
  - Rôle de l'intermédiaire, [LCSA] 153
- Sollicitation effectuée par la direction ou pour son compte**
  - Définition, [LCSA] 147
- Sous-commissaire de la concurrence**
  - Enquête, [LCC] 8(4)
  - Nomination, [LCC] 8(1)
  - Pouvoirs, [LCC] 8(2), 8(5)
- Sous-conseiller inscrit**
  - Dispense de certaines obligations, [LVM-2] 13.17
- Sous-conseiller international**
  - Dispense d'inscription, [LVM-2] 8.26.1
- Sous-filiale**
  - Définition, [LVM] 9
- Souscription à forfait**, [LCC] 5
- Spiritueux**, voir Marque de commerce (indications géographiques)
- Sport amateur**, [LCC] 6
- Sport professionnel**
  - Complot, [LCC] 48
- Statisticien en chef du Canada**, [LCC] 70
- Status**
  - Définition, [LCSA] 2
- Statuts d'une société**
  - Annulation, [LSA] 265-270, 461
  - • Atteinte aux droits des créanciers ou des actionnaires, [LSA] 266
  - • Demande, [LSA] 267-268
  - Arrangement, [LSA] 418-419
  - Continuation, [LSA] 289
  - Correction, [LSA] 246-260
  - • Atteinte aux droits des créanciers ou des actionnaires, [LSA] 247, 254
  - • Autorisation du tribunal, [LSA] 248
  - • Certificat qui comporte une erreur, [LSA] 254
  - • Demande, [LSA] 248, 250, 253, 255
  - • Des erreurs, [LSA] 246
  - • Rétroactive, [LSA] 249
  - Correction à l'initiative du conseil, [LSA] 251-256
  - • Par statuts de modification, [LSA] 252
  - Correction d'erreurs manifestes
  - • À la demande du représentant de la société, [LSA] 257-260
  - • Demande au registraire des entreprises, [LSA] 253
  - Fusion, [LSA] 283
  - Modification, [LSA] 240-245
  - • Ajout de disposition, [LSA] 240
  - • Autorisation, [LSA] 241
  - Reconstitution de société, [LSA] 371
  - Refonte, [LSA] 261-264

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

### **Sûreté**

- Définition, [LCSA] 2

### **Sûreté mobilière**

- Opération
- • Dispense d'inscription, [LVM-2] 8.13

### **Syndic de faillite**

- Radiation de l'immatriculation, [LPLE] 57

### **Syndicat coopératif**, voir Coopérative

### **Syndicat de copropriétaires**, [CCQ] 306, 358

### **Système de négociation parallèle de valeurs**, [LVM] 171-171.1, 237(2.3), 331.1

### **Système de vente pyramidale**, [LCC] 55.1, 74.07(2)

- Définition, [LCC] 55.1(1)
- Interdiction, [LCC] 55.1(2)
- Peine, [LCC] 55.1(3)

## -T-

### **Tabac**

- Exploitant d'un point de vente, [LPLE] 21
- • Immatriculation, [LPLE] 21(7)

### **Taux de rendement total**

- Définition, [LVM-2] 1.1

### **Télémarketing trompeur**, [LCC] 52.1, 74.07(2)

- Définition, [LCC] 52.1(1)
- Disculpation, [LCC] 52.1(6)
- Divulgation requise, [LCC] 52.1(2), 52.1(5)
- Impression générale, [LCC] 52.1(4)
- Infraction commise
- • Par les employés ou mandataires, [LCC] 52.1(7)
- • Par une personne morale et ses dirigeants, [LCC] 52.1(8)
- Peine, [LCC] 52.1(9), 52.1(10)
- Tromperie, [LCC] 52.1(3)

### **Terre-Neuve**

- Marque de commerce, [LMC] 67-68

### **Titre comportant droit de vote**

- Définition, [LVM] 5

### **Titre de créances**

- Définition, [LCSA] 2

### **Titre de créance à court terme**, [LVM-2] 8.22.1

### **Titre de participation**

- Continuation de société, [LSA] 296
- Définition, [LSA] 2

### **Traité**

- Restriction au commerce, [LCC] 32(3)

### **Transaction d'initiés**, voir aussi Déclaration des initiés

- Interdiction, [LCSA] 130

- Interprétation, [LCSA] 126, 131; [LCSA-1] 39-42

### **Transaction devant faire l'objet d'un avis (concurrence)**, [LCC] 108-124

- Avis et renseignements, [LCC] 114-119
- Exception, [LCC] 111-113
- Parachèvement des transactions proposées, [LCC] 123-123.1
- Règlements, [LCC] 124

### **Transfert**

- Définition, [LCSA] 48

### **Transfert d'actions**, voir Actions

### **Transfert de valeurs mobilières**

- Actifs financiers, [LTV] 12-13
- Application de la loi, [LTV] 5
- Avis relatifs aux valeurs mobilières ou actifs financiers
- • Considéré comme donné, [LTV] 19
- • Considéré comme reçu, [LTV] 20
- • De revendication, [LTV] 23-27
- • Groupement considéré comme avisé, [LTV] 21
- • Personne considérée comme avisée, [LTV] 18
- • Transmission d'un écrit, [LTV] 22
- Certificat de valeur mobilière, [LTV] 9(1)
- Contrat à terme, [LTV] 17
- Dispositions de la loi, [LTV] 2
- • Application à une chambre de compensation, [LTV] 4
- Droits des acquéreurs, [LTV] 52-58
- Émetteur, [LTV] 7
- Émissions excédentaires de valeurs mobilières, [LTV] 46-48
- Endossement des valeurs mobilières, [LTV] 59-63
- • Au nom d'un représentant, [LTV] 44
- • Définitions, [LTV] 39
- • Personne habilitée à agir pour le compte du titulaire, [LTV] 42
- • Titulaire des droits, [LTV] 41
- • Validité, [LTV] 40, 43, 45
- Garanties, [LTV] 78-84
- • De l'endosseur ou du donneur d'instructions, [LTV] 65-69
- • De signatures, d'endossements ou d'instructions, [LTV] 70-78
- Incription
- • Conditions, [LTV] 85-90
- • Obligations de l'émetteur, [LTV] 91-102
- Instructions, [LTV] 64
- Intermédiaire en valeurs mobilières, [LTV] 8
- Lettres de change ou billets, [LTV] 15
- Livraison, [LTV] 50-51
- Modalités d'une valeur mobilière, [LTV] 28
- Notion, [LTV] 6
- Objet de la loi, [LTV] 1

## INDEX ANALYTIQUE

- Option, [LTV] 16
  - Part ou titre de participation dans une société de personnes ou une société à responsabilité limitée, [LTV] 14
  - Personne visée, [LTV] 3
  - Revendication, [LTV] 49
  - Titre de participation, [LTV] 9(2)
  - Valeurs mobilières, [LTV] 10-11
  - Validité des valeurs mobilières, [LTV] 29-38
    - Certificat incomplet, [LTV] 38
    - Falsification ou contrefaçon, [LTV] 33
    - Moyens de défense, [LTV] 34
    - Opposabilité d'un droit grevant une valeur mobilière, [LTV] 36
    - Renvoi à des modalités, [LTV] 31
    - Restriction au transfert, [LTV] 37
    - Signatures non autorisées, [LTV] 32
    - Vice, [LTV] 30, 35
  - Tribunal**
    - Définition, [LCSA] 2, 207
    - Pouvoirs de l'Autorité, [LVM] 151.0.1(2)
  - Tribunal administratif des marchés financiers, voir aussi** Autorité des marchés financiers
    - Accord de réciprocité, [LVM] 308.2.1.5
    - Activités interdites, [LVM] 265-267
    - Affaire devant une autre autorité, [LVM] 307.4
    - Blâme, [LVM] 273
    - Blocage
      - Audience et prolongation, [LVM] 250
      - Ordonnance, [LVM] 249, 252-254, 256
      - Prise d'effet, [LVM] 250
    - Décision
      - Exception, [LVM] 323.8.1
      - Décision rendue par une autre autorité, [LVM] 308.0.3
    - Démarchage, [LVM] 270
    - Dispense d'obligations
      - Dispositions non applicables, [LVM] 307.8
      - Refus, [LVM] 264
    - Dispositions applicables, [LVM] 307.8
    - Frais d'inspection ou d'enquête, [LVM] 273.2
    - Interdiction d'agir comme administrateur ou dirigeant, [LVM] 273.3
    - Ordonnance, [LVM] 262.1-262.5
    - Pénalité administrative, [LVM] 273.1
    - Pouvoirs, [LVM] 152, 172
    - Pouvoirs de redressement, [LVM] 262.1, v. aussi Ordonnance
    - Précisions, [LVM] 255
  - Tribunal administratif du Québec**
    - Recours (compagnie partie IA), [LC] 123.145-123.148
    - Compétence, [LC] 123.146
  - Recours (société par actions), [LSA] 485-487
  - Recours en matière de publicité légale, [LPLE] 139-141, 292
- Tribunal étranger**
- Pouvoirs de l'Autorité, [LVM] 151.0.1(2)
- Truquage des offres, [LCC] 47**
- Peine, [LCC] 47(2)
  - Restriction, [LCC] 47(3)
- Tuteur, [CCQ] 304; [LVM] 151.0.1(3)**
- U-**
- United States Securities and Exchange Commission (SEC), voir SEC**
- V-**
- Valeur marchande**
- Établissement de la valeur à communiquer aux clients, [LVM-2] 14.11.1
- Valeur mobilière, voir aussi** Autorité des marchés financiers, Sollicitation de procurations, Transfert de valeurs mobilières
- Application de la Loi
  - Formes d'investissement exclues, [LVM] 3
  - Formes d'investissement visées, [LVM] 1, 2
  - Interprétation, [LVM] 5-5.5, 8, 9
  - Organisme mandataire de l'État, [LVM] 4
  - Patrimoine doté d'une certaine autonomie, [LVM] 6
  - Contrat d'investissement, [LVM] 7
  - Définition, [LSA] 2
  - Dispositions transitoires, [LVM] 337-338.1, 348, 349
  - Distribution publique
  - Disposition applicable, [LC] 3
  - Présomption, [LC] 123.3
  - Infractions et peines, [LVM] 187-197, 199-205, 207, 208, 210-213
  - Offre publique effectuée sans note d'information, [LVM] 215
  - Opération effectuée sans prospectus ou note d'information, [LVM] 214
  - Propriété des titres, [LVM] 10
  - Rapport du ministre, [LVM] 352
  - Souscription ou achat de certains titres
    - Transmission de documents, [LVM] 109.6.1, 109.7
  - Transfert de propriété, [LVM] 10.1
- Valeur mobilière (société fédérale), voir aussi** Société par actions (fédérale)
- Absence des mentions nécessaires, [LCSA] 58
  - Accords bancaires, [LCC] 49(2)
  - Acquisition, [LCSA] 60
  - Présomption d'opposition, [LCSA] 61, 62

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Certificat ou reconnaissance écrite, [LCSA] 49, 50
  - Définition, [LCSA] 2, 48
  - Droits du détenteur, [LCSA] 49, 50
  - Émission, [LCSA] 55
  - Présomption relative aux acquéreurs, [LCSA] 56
  - Émission excédentaire, [LCSA] 52
  - Endossement, [LCSA] 65(3) à (10), 68, 72
  - Avis d'opposition, [LCSA] 67
  - Effet, [LCSA] 66
  - Garantie de la signature, [LCSA] 69
  - Inscription, [LCSA] 76
  - Authentичité, [LCSA] 81
  - Obligation d'information, [LCSA] 78
  - Opposition, [LCSA] 78
  - Responsabilité de l'émetteur (limites), [LCSA] 79
  - Validité, [LCSA] 77
  - Livraison, [LCSA] 54, 71
  - Présomption, [LCSA] 70
  - Perte, destruction ou vol, [LCSA] 80
  - Présomption, [LCSA] 131(2)
  - Preuve, [LCSA] 53
  - Registre, [LCSA] 50
  - Responsabilité du mandataire ou du dépositaire, [LCSA] 75
  - Saisie, [LCSA] 74
  - Signature, [LCSA] 58
  - Effet, [LCSA] 59
  - Signature non autorisée, [LCSA] 57
  - Transfert, [LCSA] 63, 64, 73
  - Personne compétente, [LCSA] 65
  - Remise en possession, [LCSA] 72
  - Vente par un intermédiaire, [LCSA] 71
- Valeur mobilière participante**
- Définition, [LCSA-1] 3(2)
- Valide**, voir Validité
- Validité**
- Définition de « Valide », [LCSA] 48
- Vente à découvert**
- Fonds d'investissement, [LVM-2] 14.6.2
  - Interdiction, [LVM] 194; [LCSA] 130
- Vente(s) liée(s)**, [LCC] 77; [LVM-2] 11.8
- Demande au Tribunal, [LCC] 103.1-107
- Vérificateur**
- Bilan, [LC] 114(3) et (4), 207(3) et (4)
  - Certificat ou reconnaissance écrite, [LCSA] 49, 50
  - Démission, [LSA] 235
  - Embauche
  - Pouvoir de l'Autorité, [LVM] 151.1
  - Infractions et peines, [LC] 114(5), 207(5)
  - Inabilité, [LC] 113(3), 206(3)
  - Mandat, [LSA] 232
  - Fin, [LSA] 234
  - Révocation, [LSA] 236
  - Nomination, [LC] 113(1) et (2), 206(1) et (2); [LSA] 231, 239
  - Contestation, [LSA] 454-455
  - Pouvoirs, [LC] 114(1), 207(1)
  - Rapport, [LC] 114(2) et (4), 122, 207(2), 214
  - Rémunération, [LSA] 232
  - Renseignement relatif à la société, [LSA] 233
  - Scrips au porteur, [LCSA] 49(16)
  - Vacance, [LC] 113(4), 206(4); [LSA] 237
- Vérificateur (partie IA)**
- Durée du mandat, [LC] 123.97
  - Nomination, [LC] 123.97
  - Exemptions, [LC] 123.98-123.100
- Vérificateur (société fédérale)**
- Définition, [LCSA] 2
  - Examen des états financiers, [LCSA] 169; [LCSA-1] 71.1
  - Immunité, [LCSA] 172
  - Mandat
  - Fin, [LCSA] 164
  - Révocation, [LCSA] 165
  - Nomination, [LCSA] 162
  - Dispense, [LCSA] 163
  - Voie judiciaire, [LCSA] 167
  - Présence à l'assemblée, [LCSA] 168
  - Qualités requises, [LCSA] 161
  - Vacance, [LCSA] 166
- Vin**, voir Marque de commerce (indications géographiques)
- Visa**, voir Prospectus
- Votation**
- Définition, [LC] 225(3)

## ANALYTICAL INDEX

The reference includes the section of the act or the regulation and is formed with the abbreviation of the act and the number given to the associated regulation, if any, and the section.

Example: Order to rectify a situation, [BCA] 450-453

### -A-

**Abuse of dominant position**, [CAC] 78-79.1, *see also* Anti-competitive act

**Abuse of power**

- Order to rectify a situation, [BCA] 450-453

**Accounting firm**

- Auditing financial statements of a reporting issuer, [SA] 71.1-71.3

**Accredited investor**

- Definition, [SA-1] 1.1
- Exempted from prospectus, [SA-1] 2.3

**Act**

- Definition, [CBCA-1] 1

**Act constituting Capital régional et coopératif Desjardins** (c. C-6.1), [SA] 330.10

**Act respecting Access to documents held by public bodies and the Protection of personal information** (c. A-2.1), [ALPE] 101, 120-121, 131; [SA] 168.1.4, 168.1.5, 296, 297, 297.4, 297.6

**Act respecting administrative justice** (c. J-3), [CFL] 204.29; [ALPE] 136, 141; [BCA] 25, 486; [CA] 123.27.2, 123.146

**Act respecting certain caisses d'entraide économique** (c. C-3.1), [SA] 338

**Act respecting fabriques** (c. F-1), [SA] 41(2)

**Act respecting financial assistance for education expenses** (c. A-13.3), [ALPE] 103

**Act respecting financial services cooperatives** (c. C-67.3), [CA] 3(4), (4.2)-(4.5), 149.1, 160.1.1

**Act respecting health services and social services** (c. S-4.2), [ALPE-2] 2(16); [CA-1] 1(16); [SA] 41(2); [BCA-4] 1(16); [BCA-5] 1(16)

**Act respecting health services and social services for Cree Native persons** (c. S-5), [CA-1] 1(17); [SA] 41(2); [BCA-4] 1(17); [BCA-5] 1(17)

**Act respecting insurance** (c. A-32), [SA] 3(5.1), (13), 168.1; [BCA-1] 3

**Act respecting mixed enterprise companies in the municipal sector** (c. S-25.01), [ALPE] 21(7)

**Act respecting public inquiry commissions** (c. C-37), [ALPE] 129; [BCA] 427; [CA] 230; [SA] 240, 248, 258.1, 259

**Act respecting the Autorité des marchés financiers** (c. A-33.2), [BCA] 206, 228-229, 353, 415, 423, 444; [SA] 71.2-71.3, 170, 171.1, 276, 305.1, 307.5, 313, 321.1, 322, 323.8.1

**Act respecting the distribution of financial products and services** (c. D-9.2), [SA] 148.2, 149.2, 151.0.1(4), 160.1.1, 273.3, 305.1, 307.2

**Act respecting the enterprise registrar** (c. R-17.1)

- Replaced, [ALPE] 281

**Act respecting the legal publicity of enterprises** (2010, c. 7), [CA] 9.2, 18.1, 22.1, 28.2, 123.15, 123.27.1, 123.36, 123.42, 123.60, 123.105, 123.109, 123.119, 123.171.1, 221.1

**Act respecting the legal publicity of sole proprietorships, partnerships and legal persons** (c. P-45), [CCQ] 306, 358-359; [BCA] 12, 215, 291, 300, 412; [CA] 3(8), 28(4), 32, 123.14, 123.35, 123.81, 124, 131(1), 135, 216(4); [CFL] 66; [WUA] 9

- Replaced, [ALPE] 281

**Act respecting the Ministère du Conseil exécutif** (c. M-30), [CFL] 304.32

**Act respecting the Pension Plan of Elected Municipal Officers** (c. R-9.3), [ALPE-2] 2(11)-(13); [CA-1] 1(11)-(13); [BCA-4] 1(11)-(13); [BCA-5] 1(11)-(13)

**Act respecting the Société d'habitation du Québec** (c. S-8), [CA] 227.9

**Act respecting the Sociétés d'entraide économique** (c. S-25), [SA] 338

**Act respecting the special powers of legal persons** (c. P-16), [CA] 146

**Act respecting the transfer of securities and the establishment of security entitlements** (c. T-11.002), [BCA] 66, 81, 85; [CA] 46, 48, 123.44, 123.93, 144, 146

**Act respecting the Université du Québec** (c. U-1), [ALPE-2] 2(21); [CA-1] 1(21); [BCA-4] 1(21); [BCA-5] 1(21)

LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Act respecting trust companies and savings companies (c. S-29.01), [ATS] 8; [BCA] 404; [CA] 51, 149; [SA] 109.6, 160.1.1; [BCA-1] 3**
- Act to establish a legal framework for information technology (c. C-1.1), [ALPE] 112**
- Act to establish Fondaction, le Fonds de développement de la Confédération des syndicats nationaux pour la coopération et l'emploi (c. F-3.1.2), [SA] 330.10**
- Act to establish the Fonds de solidarité des travailleurs du Québec (c. F-3.2.1), [SA] 330.10**
- Act to prevent skin cancer caused by artificial tanning (2012, ch. 16), [ALPE] 21**
- Action for damages**
  - Abandoned or settled, [SA] 225.7
  - Amount of damages, [SA] 225, 33
  - Assessment of damages, [SA] 225.28-225.29
  - Amount attributable to a change in the market price, [SA] 225.30
  - Common subject matter, [SA] 225.16
  - Copy to the Authority, [SA] 225.5
  - Defendant's burden of proof
  - Deemed to have satisfied requirements, [SA] 225.23
  - Grounds for defence, [SA] 225.19-225.22, 225.24-225.27
  - Knowledge of misrepresentation, [SA] 225.17
  - Reasonable investigation, [SA] 225.17-225.18
  - Defendant's liability, [SA] 225.31-225.32
  - Gross fault, [SA] 225.15
  - Peremption of an authorization, [SA] 225.6
  - Persons liable to action, [SA] 225.8-225.11
  - Plaintiff's burden of proof, [SA] 225.12-225.14
  - Prior authorization, [SA] 225.4
  - Proof not required, [SA] 215.1
  - Rules applicable, [SA] 213.1
- Action for recovery**
  - Privileged information, [SA] 229-233
- Action for rescission, see also Shareholders meeting**
  - Acquisition or payment for shares, [SA] 98
  - Misrepresentation, [SA] 217, 222
  - Place of action, [SA] 236.1
  - Prescription, [SA] 234
  - Rules applicable, [SA] 213.1
- Action for revision of the price, [SA] 213.1, 217, 222, 234, 236.1**
- Administrative Tribunal of Québec**
  - Recourse (business corporation), [BCA] 485-487
  - Recourse (company part 1A), [CA] 123.145-123.148
  - Jurisdiction, [CA] 123.146
  - Recourse in matter of legal publicity, [ALPE] 139-141, 292
- Administrator of another legal person, [CCQ] 304 par. 2**
- Administrator of the property of others**
  - Registration, [ALPE] 29, 160.1
  - Shareholders meeting, [BCA] 181
  - Shares, [BCA] 87
- Adverse claim, [CBCA] 61, 62, 67, 78, see also Security (federal)**
  - Definition, [CBCA] 48
- Advertised price**
  - Price maintenance, [CAC] 76(6)
  - Sale above, [CAC] 74.05, 74.1(6)
- Advertisement**
  - Bargain price, [CAC] 74.04, 74.1(6)
- Adviser, [SA] 151.0.1(3), see also Dealer or adviser, International adviser, Investment fund manager, Portfolio manager**
  - Advising generally, [SA-2] 8.25
  - Allocating investment opportunities fairly, [SA-2] 14.10
  - Associate advising representative, [SA-2] 4.2
  - Categories, [SA-2] 7.2
  - Dealer without discretionary authority, [SA-2] 8.23
  - Definition, [SA] 5
  - Financial information, [SA-2] 12.13
  - Foreign, [SA-2] 16.20
  - IIROC members with discretionary authority, [SA-2] 8.24
  - Insurance, [SA-2] 12.4
  - Managed account transactions, [SA-2] 13.5
  - Registration requirement exemptions, [SA-2] 8.5.1, 8.22.2
- Advising representative, [SA-2] 2.1**
  - Proficiency, [SA-2] 16.10
- Aeronautics Act (R.S.C. (1985), ch. A-2), [CBCA-1] 87**
- Affairs**
  - Definition, [CBCA] 2
- Affiliate**
  - Bid-rigging, [CAC] 47(3)
  - Definition, [BCA] 2; [CBCA] 2
  - Exclusivity, market restriction or tied selling, [CAC] 77(5), 77(6)
  - Inquiry by the Commissioner of Competition, [CAC] 11(2)
- Age**
  - Constitution of a company, [CA] 7
  - Formation of new legal person, [CA] 219
  - Incorporation (federal), [CBCA] 5(1)(a)

## ANALYTICAL INDEX

- Agence du revenu du Québec**, [ALPE] 142
- Agreement between competitors**, [CAC] 45-45.1
- Agreement of federal financial institutions**, [CAC] 49
  - Same proceedings, [CAC] 49(4)
- Agreements or arrangements that prevent or lessen competition substantially**, [CAC] 90.1
  - Competitor (definition), [CAC] 90.1(11)
  - Order, [CAC] 90.1(1)
  - Evidence, [CAC] 90.1(3)
  - Exception, [CAC] 90.1(7)-90.1(9)
  - Exception where gains in efficiency, [CAC] 90.1(4)
  - Factors to be considered, [CAC] 90.1(6)
  - Restriction, [CAC] 90.1(5)
  - Factors to be considered, [CAC] 90.1(2)
  - Proceedings commenced, [CAC] 90.1(10)
- Alternative trading system**, [SA] 171-171.2, 237(2.3), 331.1
- Amalgamation**, [CAC] 110(4)-110(4.1), *see also* Merger
  - Amalgamation of companies**
    - Applicable provisions, [CA] 18(4.1)
    - Application, [CA] 18(1)
    - Joint agreement, [CA] 18(2)
    - Letters patent, [CA] 18(5)
    - Rights of creditors, [CA] 18(6)
    - Submitted to shareholders, [CA] 18(3)
    - Vote, [CA] 18(4)
  - Amalgamation of corporations**
    - Articles, [BCA] 283
    - Contents, [BCA] 284
    - Delivery to enterprise registrar, [BCA] 285
    - Certificate, [BCA] 286
    - Liability for debts, [BCA] 287
    - Regular or long-form, [BCA] 276
    - Agreement, [BCA] 277
    - Approval of shareholders, [BCA] 278-279
    - Termination, [BCA] 280
    - Short-form, [BCA] 276, 281
    - Resolution, [BCA] 281, 282
  - Amateur sport**, [CAC] 6
  - Annual meeting of members**, [CCQ] 352
  - Annual shareholders meeting**, *see also* Shareholder proposal
    - Adjournment, [BCA] 190
    - Balance sheet, [CA] 98(3)
    - Ballots
    - Keeping of, [BCA] 189
    - Calling of, [BCA] 163; [CA] 97, 116
    - By court, [BCA] 193
  - Company (part II), [CA] 191-191.12
  - Conduct of, [BCA] 174-190
  - Financial statements, [BCA] 225
  - General statement of income and expenditure, [CA] 98(2)(b)
  - Held by electronic means, [BCA] 175
  - Held outside Quebec, [BCA] 164
  - Notice, [BCA] 167
    - Reporting issuer, [BCA] 165, 169
    - To shareholders, [BCA] 165
    - Fixing record date, [BCA] 169
    - To the auditor, [BCA] 166
    - Waiver of, [BCA] 168
  - Participation, [BCA] 174, 180-181
  - Place where to be held, [BCA] 164; [CA] 98(1)
  - President, [BCA] 186-187
  - Shareholder proposal, [BCA] 199
    - Tie-breaking vote, [BCA] 188
    - Proxies, [BCA] 170-173
    - Keeping of, [BCA] 189
    - Quorum, [BCA] 176
  - Report of the auditor, [CA] 98(2)(c)
  - Resolution, [BCA] 185
    - Signed by the sole shareholder, [BCA] 178
  - Shareholder proposal, [BCA] 194-206
  - Single shareholder, [BCA] 177
  - Statements, [CA] 98(2)
  - Time of the year, [CA] 98(1)
  - Votes of shareholders, [BCA] 179-182
  - Voting, [BCA] 183-184
    - By class, [BCA] 191-192
- Anti-competitive act**, 78-79.1
  - Administrative monetary penalty, [CAC] 79(3.1)
  - Aggravating or mitigating factors, [CAC] 79(3.2)
  - Unpaid, [CAC] 79.1
  - Definition, [CAC] 78(1)
  - Exception, [CAC] 79(5)
  - Limitation period, [CAC] 79(6)
  - Proceedings commenced, [CAC] 79(7)
  - Prohibition order, [CAC] 79(1)
  - Additional or alternative order, [CAC] 79(2)
  - Limitation, [CAC] 79(3)
  - Purpose of, [CAC] 79(3.3)
  - Superior competitive performance, [CAC] 79(4)
- Appeal**
  - Competition, [CAC] 73(3)
  - Judicial order, [CAC] 74.18-74.19
  - Prohibition order, [CAC] 33(3)-33(4)
  - Of final order (federal), [CBCA] 249
  - Trademarks, [TMA] 56
  - Winding-up of a company, [WUA] 32, 32.1



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

### **Appropriate person**

- Definition, [CBCA] 65
- **Appropriation Act No. 9 (1966)**, [CBCA-1] 87

### **Arrangement, see also Shareholders meeting**

- Actions, [BCA] 415
- Amendment, [BCA] 417
- Articles, [BCA] 418-419
- Definition, [CBCA] 192
- Effective date, [BCA] 420
- Notice to the Authority, [BCA] 414
- Orders of the court, [BCA] 416
- Powers of the court, [BCA] 416

### **Arrangement between competitors, [CAC] 45-45.1**

### **Arrangement of federal financial institutions, [CAC] 49**

### **Articles**

- Definition, [CBCA] 2

### **Articles of a corporation**

- Amalgamation, [BCA] 283
- Amendment, [BCA] 240-245
- • Adding provision, [BCA] 240
- • Special resolution, [BCA] 241
- Arrangement, [BCA] 418-419
- Cancellation, [BCA] 265-270, 461
- • Application to the court, [BCA] 267-268
- • Rights of creditors and shareholders, [BCA] 266
- Consolidation, [BCA] 261-264
- Continuance, [BCA] 289
- Correction, [BCA] 246-260
- • Application for authorization, [BCA] 248, 250, 253, 255
- • Application to the court, [BCA] 248
- • Correction of certificate, [BCA] 254
- • Of errors, [BCA] 246
- • Retroactive effect, [BCA] 249
- • Rights of creditors and shareholders, [BCA] 247, 254
- Correction of obvious error
- • At request of representative of corporation, [BCA] 257-260
- • Request to the enterprise registrar, [BCA] 253
- Correction on initiative of boards of directors, [BCA] 251-256
- • Articles of amendment, [BCA] 252
- Revival of corporation, [BCA] 371

### **Artificial price, [SA] 199.1(1)**

### **Assignment of property, [SA] 151.0.1(1)**

### **Associate**

- Definition, [CBCA] 2; [SA] 5

### **Associate advising representative, [SA-2] 2.1**

### **Attorney General of Canada or of a province**

- Competition
- • Injunction, [CAC] 33
- • • Cancellation, [CAC] 33(5)
- • • *Ex parte* demand, [CAC] 33(3)
- • • Extension, [CAC] 33(5)
- • Injunction against third parties, [CAC] 33(1.2), 33(2)
- • Interim injunction, [CAC] 33(1), 33(2)
- • Offence involving telecommunication, [CAC] 33(1.1), 33(1.2), 33(2)
- • Option as to procedure, [CAC] 67(5)
- • Prohibition order, [CAC] 34
- • Prosecution or other proceedings, [CAC] 73
- • Restraining trade, [CAC] 32(2)
- • Right of intervention
- • • Merger, [CAC] 101
- • • Specialization agreement, [CAC] 88

### **Audit**

- Registered firm, [SA-2] 12.8-12.9

### **Auditor**

- Appointment, [BCA] 231, 239; [CA] 113(1), 113(2), 206(1), 206(2)
- • Disputed election, [BCA] 454-455
- Balance sheet, [CA] 114(3), 114(4), 207(3), 207(4)
- Certificate, [CBCA] 49, 50
- Disqualification, [CA] 113(3), 206(3)
- Functions, [BCA] 232
- • Revocation, [BCA] 236
- • Termination, [BCA] 234
- Offence and penalty, [CA] 114(5), 207(5)
- Powers, [CA] 114(1), 207(1)
- Powers of the Authority, [SA] 151.1
- Remuneration, [BCA] 232
- Report, [CA] 114(2), 114(4), 122, 207(2), 214
- Resignation, [BCA] 235
- Right to information, [BCA] 233
- Script certificate, [CBCA] 49(16)
- Vacancy, [BCA] 237; [CA] 113(4), 208(4)

### **Auditor (federal)**

- Appointment, [CBCA] 162
- • Exemptions, [CBCA] 163
- • Judicial recourse, [CBCA] 167
- Definition, [CBCA] 2
- Financial statements, [CBCA] 169; [CBCA-1] 71.1
- Functions
- • Revocation, [CBCA] 165
- • Termination, [CBCA] 164
- Immunity, [CBCA] 172
- Qualification, [CBCA] 161
- Shareholders meeting, [CBCA] 168
- Vacancy, [CBCA] 166

## ANALYTICAL INDEX

### **Auditor (Part 1A)**

- Appointment, [CA] 123.97
  - • Exemptions, [CA] 123.98-123.100
  - Functions, [CA] 123.97
- Authority, *see also* Financial Markets Administrative Tribunal, Freeze order, Investigation by the Authority, Prospectus**
- Administration of the Act, [SA] 276
  - Administrative penalty, [SA] 273.1, 274.1
  - Advertising document, [SA] 271
  - Advice to Minister, [SA] 276.3
  - Annual report, [SA] 302, 335.1-335.3
  - Arrangement, [BCA] 414
  - Benchmark, [SA] 186.2.0.1
  - • Administrator, [SA] 186.2.0.1-186.4, 186.6
  - Bulletin, [SA] 169, 298
  - Cessation of a distribution, [SA] 38
  - • Information, [SA] 39
  - Change in control, [SA] 92, 96, 97
  - Complaint, [SA] 168.1.3, 168.1.4
  - Compliance with the provisions of the Act, [SA] 272.1
  - Consulting services, [SA] 276.2
  - Costs, [SA] 330.9, 330.10
  - Credit rating organization, [SA] 186.1, 186.2, 186.3-186.6
  - Dealer or adviser, [SA] 151
  - • Books, records and documents, [SA] 158
  - • Complaints, [SA] 168.1.2, 168.1.3
  - • Filing of changes, [SA] 159
  - • Inspection, [SA] 151.1, 151.1.1
  - • Representative, [SA] 149
  - • Subsidiary, [SA] 148.1
  - Decision, [SA] 309, 312, 318.2
  - • Annulment, [SA] 286
  - • Clerical error, [SA] 320.2
  - • Effective, [SA] 318
  - • Factual analysis by outside organization, [SA] 318.1
  - • Homologation, [SA] 320.1
  - • Notice, [SA] 318
  - • Postponed, [SA] 314.1
  - • Reason, [SA] 318, 319
  - • Review, [SA] 310, 322
  - • Revocation, [SA] 318
  - • Rules of procedure, [SA] 313
  - • Sending, [SA] 320
  - Declaration by the court, [SA] 269.2
  - Delegation of authority, [SA] 283.1, 306, 321.1
  - • Decision, [SA] 307.5, 311
  - • Exception, [SA] 307.2
  - • Extra-provincial authority, [SA] 307.3, 307.4
  - • Orders or decisions, [SA] 307.1
  - • Regulations, [SA] 307

- Designation of a person to be a non-redeemable investment fund, a mutual fund, an insider or a reporting issuer, [SA] 272.2
- Discretion, [SA] 316
- Discretionary powers, [SA] 274, 334
- Distribution by third person, [SA] 40
- Distribution of a security
- • Decision, [SA] 37
- Documents, [SA] 293
- • Access to, [SA] 296
- • Authorized inspection, [SA] 297
- • Filing and transmission, [SA] 10.6-10.7
- • Language, [SA] 40.1
- • Notice of the address, [SA] 293
- • Service, [SA] 294
- • Substitution, [SA] 294.1
- Exemption, [SA] 263
- Expert, [SA] 292
- Extra-provincial securities laws
- • Decision of a recognized self-regulatory organization, [SA] 308.0.3
- • Incorporation by reference, [SA] 308.0.1, 308.0.2
- Financial statements of subsidiary
- • Barring examination, [BCA] 229
- Freeze order, [SA] 249
- • Safety deposit box, [SA] 251
- Immunity, [SA] 283
- Incorporation by reference and recognition, [SA] 308-308.1.1
- Injunction, [SA] 268
- Inspection
- • Costs, [SA] 273.2
- Interest, [SA] 269.1
- Intervention in civil action, [SA] 269
- Investigation, [BCA] 423
- • Applicable provisions, [SA] 240
- • Costs, [SA] 212, 273.2
- • Delegation of powers, [SA] 237
- • Documents, [SA] 237, 242
- • • Inspection and reproduction, [SA] 243
- • Examination under oath, [SA] 238, 241
- • Freeze order, [SA] 249
- • *In camera*, [SA] 244
- • Order, [SA] 239
- • Person assisted by an advocate, [SA] 246
- • Prohibited disclosure, [SA] 245
- • Testimony, [SA] 241
- Investigator
- • Appointment, [SA] 247
- • Appointment outside the personnel, [SA] 247
- • Powers, [SA] 248
- • Proof of appointment, [SA] 248
- • Report, [SA] 248



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Investment contract, [SA] 66
  - Liquidation of a corporation, [BCA] 353
  - Mission, [SA] 276
  - Notice, [BCA] 444
  - Offence, [SA] 197, 199
  - In a matter pertaining to securities, [SA] 272
  - Payment of fine, [SA] 210.1
  - Personal information, [SA] 297.1-297.6
  - Policy statements, [SA] 274
  - Powers, [SA] 309
  - Audit or review, [SA] 151.5
  - Registration, [SA] 151.0.1, 152.1
  - Regulations, [SA] 331-331.2, 333-335
  - Prospectus, [SA] 27, 28
  - Public distribution of securities, [SA] 68
  - Reciprocity, [SA] 308.2, 308.2.1.1-308.2.1.6
  - Clarification, [SA] 308.2.1.5
  - Payment, [SA] 308.2.1.6
  - Presumptions, [SA] 308.2.1, 308.2.1.2, 308.2.1.4
  - Recourse, [SA] 284
  - Register of reporting issuers, [SA] 70
  - Regulations, [SA] 331.2
  - Report to the Office québécois de la langue française, [SA] 302.1
  - Reporting issuer, [SA] 68
  - List, [SA] 71
  - Reporting issuer on application, [SA] 68.1, 69, 69.1
  - Representation costs, [SA] 314.1
  - Self-regulatory organizations, securities exchange or clearing activities
  - Alternative trading system, [SA] 171, 171.1-171.2
  - Recognition, [SA] 169-169.1, 170
  - Rules, [SA] 171.2
  - Shareholder proposal, [BCA] 206
  - Special disclosure scheme, [SA] 64
  - Superintending power, [SA] 285
  - Trustee, [SA] 109.6
  - Visa, [SA] 14, 15
- B-**
- Bad faith**
    - Third person, [CA] 123.32
  - Balance sheet**, [CA] 98(2)(a), 98(3), 114(3), 114(4), 122, 191, 207
  - Bank Act (S.C. 1991, ch. 46)**, [CAC] 29.2, 49(3), 103.3(3); [CBCA] 186.1, 268(4.2); [SA] 3(9), (14); [SA-1] 1.1; [SA-2] 1.1
  - Bankrupt**, [CCQ] 327
  - Bankruptcy**
    - Director, [CA] 86(4), 179
    - Liability, [BCA] 154
    - Incorporation (federal), [CBCA] 5(1)(c)
    - Registration
    - Declaration, [ALPE] 43
    - Reorganization, [BCA] 411-413
    - Trustee to file a cancellation declaration, [ALPE] 57
  - Bankruptcy and Insolvency Act (R.S.C. (1985), ch. B-3)**, [ALPE] 43, 57; [BCA] 154, 411; [CA] 96, 151.0.1(1), 189(2)(b); [CBCA] 119, 191(1)(a), 208
  - Bargain price**, [CAC] 74.04, 74.1(6)
  - Bearer**
    - Definition, [CBCA] 48
  - Benchmark**
    - Administrator, [SA] 186.2.0.1-186.4, 186.6
    - Definition, [SA] 5
    - Designation by the Authority, [SA] 186.2.0.1
    - Offence, [SA] 199.2
  - Benchmark administrator**
    - Definition, [SA] 5
    - Designation by the Authority, [SA] 186.2.0.1
    - Document or information, [SA] 237
    - Inspection, [SA] 186.3
    - Practices and procedures, [SA] 186.6
    - Prohibited representation, [SA] 186.4
    - Regulations, [SA] 331.1
    - Requirements set by regulations, [SA] 186.2.1
  - Beneficial ownership**
    - Definition, [CBCA] 2
  - Bid-rigging**, [CAC] 47(1)
    - Exception, [CAC] 47(3)
    - Penalty, [CAC] 47(2)
  - Board of directors**, *see also* Director, Executive committee
    - Affairs of the company, [CA] 83
    - Amalgamation of corporations, [BCA] 281-282
    - Annual shareholders meeting, [BCA] 163
    - Articles of the corporation
    - Correction, [BCA] 251-256
    - Balance sheet, [CA] 114
    - Books and registers, [CCQ] 342-343
    - Copies of documents, [CCQ] 343 par. 2
    - By-laws, [BCA] 113-114
    - Committee, [BCA] 116
    - Composition, [BCA] 116; [CA] 83
    - Decision, [CCQ] 336-337, 341
    - Delegation of powers, [BCA] 118
    - Dissolution of the corporation, [BCA] 304, 316
    - Dividends, [BCA] 103
    - Executive committee, [CA] 92
    - Financial statements, [BCA] 225
    - Approval, [BCA] 227

## ANALYTICAL INDEX

- Functions, [CCQ] 335
  - List of members, [CCQ] 342
  - Meeting
    - Adjournment, [BCA] 141
    - Minutes, [BCA] 139
    - Notice, [BCA] 135; [CA] 89.1
    - Waiver of, [CCQ] 353; [BCA] 136
    - Participation, [CCQ] 344; [BCA] 137
    - Place, [BCA] 134
    - Quorum, [BCA] 138
    - Meeting by telephone, [CA] 89.2
    - Number, [BCA] 116; [CA] 83, 87, 93
    - Of a legal person, [CCQ] 311
    - Powers, [CCQ] 336; [BCA] 112-118
    - Proof of written resolutions, [CCQ] 354; [CA] 89.3
    - Provisional directors, [CA] 84
    - Remuneration, [BCA] 117
    - Splitting or consolidation of the shares, [BCA] 90-92
    - Vacancy, [CCQ] 340; [BCA] 145-149
    - Removal of a director, [BCA] 144
  - Board of directors (federal)**
    - Borrowing powers, [CBCA] 189
    - Composition, [CBCA] 102(2)
    - Change, [CBCA] 113
    - Definition, [CBCA] 2
    - Meeting, [CBCA] 104, 114
  - Board of directors (Part 1A), *see also* Director (Part 1A)**
    - Composition, [CA] 123.72
    - Change, [CA] 123.81
    - Single director, [CA] 123.82
  - Board of directors (Part II)**
    - Composition, [CA] 176, 180
    - Executive committee, [CA] 183
  - Body corporate, *see also* Holding body corporate, Legal person, Personal body corporate**
    - Definition, [CBCA] 2
    - Incorporation, [CBCA] 5(2)
  - Bona fide purchaser**
    - Definition, [CBCA] 48
  - Bond**
    - Registered firm, [SA-2] 12.7
  - Books of a company, *see also* Records of a corporation**
    - Access, [CA] 106, 123.114, 199
    - Contents, [CA] 104, 107, 123.111-123.113, 197, 200
    - Liquidation of the company, [WUA] 21
    - Offence and penalty, [CA] 108, 201
    - Proof, [CA] 109, 202
  - Register of mortgages, [CA] 105, 198
  - Register of transfers, [CA] 104(2), 197(2)
- Broadcasting Act (S.C. 1991, ch. 11), [CBCA-1] 87**
- Broker, *see also* Dealer or adviser**
- Definition, [CBCA] 48
- Business combination**
- Definition, [CBCA] 126
- Business corporation, *see also* Amalgamation of corporations, Articles, Board of directors, Continuance of a corporation, Director, Dissolution of a corporation, Liquidation of a corporation, Officer, Share, Share capital, Shareholder**
- Abuse of power or iniquity, [BCA] 450-453
  - Alienation affecting significant business activity, [BCA] 271-275
  - Amalgamation, [BCA] 276-287
  - Application of the Act, [BCA] 1; [BCA-1]
  - Arrangement, [BCA] 414
  - Articles
    - Cancellation, [BCA] 265-270
    - Consolidation, [BCA] 261-264
    - Correction, [BCA] 246-260
  - Authorization to act on behalf of, [BCA] 445-449
  - Board of directors
  - Composition, [BCA] 106-111
  - Organization meeting, [BCA] 11
  - Books and records, [BCA] 31-42
  - Rectification, [BCA] 456-457
  - By-law, [BCA] 6, 11(1), 113-114
  - Constitution, [BCA] 3, 10
  - Articles, [BCA] 5-7, 9
  - Attached documents, [BCA] 8
  - Continuance, [BCA] 288-303
  - Definitions, [BCA] 2
  - Designating number, [BCA] 23
  - Director, [BCA] 5(8), 8(1)
  - Revocation, [BCA] 7
  - Special majorities, [BCA] 7
  - Dissolution, [BCA] 304-322
  - Documents
    - Delivery to enterprise registrar, [BCA] 9
    - Signature, [BCA] 39
  - Founder, [BCA] 3-4, 5(2)
  - Head office, [BCA] 29
  - Notice, [BCA] 8(2)
  - Relocation, [BCA] 30
  - Legal person, [BCA] 10
  - Liquidation, [BCA] 323-364
  - Monitoring and control mechanisms, [BCA] 421-467
  - Name, [BCA] 16, 21
  - Identification outside Quebec, [BCA] 22
  - In compliance with the law, [BCA] 8(3), 18

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Limited liability corporation, [BCA] 20
  - • Replacement or change, [BCA] 24-28
  - • Reservation, [BCA] 17
  - • Use, [BCA] 19
  - Organization meeting, [BCA] 11
  - Penal provisions, [BCA] 490-493
  - Register of securities, [BCA] 31(4), 33
  - Reorganization, [BCA] 411
  - Reporting issuer, [BCA] 40-42
  - Share capital, [BCA] 5(3), 43-51, 85-105
  - Share capital account, [BCA] 68-74
  - Shares, [BCA] 5
  - • Issuance, [BCA] 52-60
  - • Transfer, [BCA] 81-84
  - • Unpaid, [BCA] 75-80
  - Shares with certificate, [BCA] 63
  - Shares with or without certificate, [BCA] 61-67
  - Third person
  - • Presumption, [BCA] 12-15
  - • Provisions not applicable, [BCA] 14
- Business Corporations Act (c. S-31.1)***, [ALPE] 21(6), 41, 96, 297
- Business Development Bank of Canada***, [SA-2] 1.1
- Business Development Bank of Canada Act (S.C. 1995, ch. 28)***, [SA-1] 1.1; [SA-2] 1.1
- By-law of company**
- Amalgamation of companies, [CA] 123.124-123.128
  - Borrowing and hypothecating, [CA] 77
  - Change of name, [CA] 21
  - Changing number of directors, [CA] 87, 180
  - Company (part II), [CA] 211
  - • Borrowing and hypothecating, [CA] 169
  - • Changing the value of the shares, [CA] 154-156
  - Conversion of company without share capital into joint stock company, [CA] 17
  - Distribution of assets, [CA] 93, 186
  - Formation of new company, [CA] 8
  - Powers of directors, [CA] 91(2), 91(3), 185, 186
  - Proof, [CA] 118
- C-**
- Call**
- Definition, [CBCA] 2
  - Enforcing payment, [CA] 70, 162
  - Payment, [CA] 67
  - • Evidence, [CA] 70, 162
  - • Forfeiture of shares, [CA] 69, 161
  - Payment in advance, [CA] 68
  - Presumption, [CA] 67, 159
  - Procedure, [CA] 66
- Canada Business Corporations Act (R.S.C. (1985), ch. C-44)***, [CBCA-1] 1
- Canada Business Corporations Act (S.C. 1974-75-76, ch. 33)***, [CBCA] 226(3.1)
- Canada Cooperatives Act (S.C. 1998, ch. 1)***, [CBCA] 186.1
- Canada Corporations Act (R.S.C. (1970), c. C-32)***, [CBCA] 3(3), 187(10), 226(3.1), 268(6)
- Canada Deposit Insurance Corporation Act (R.S.C. (1985), ch. C-3)***, [BCA] 404
- Canada Evidence Act (R.S.C. (1985), ch. C-5)***, [CBCA-1] 86; [SA] 241
- Canada Gazette***
- Amalgamation or combination, [CAC] 110(9)
  - Consent agreement, [CAC] 106.1(3)
  - • Inquiry by the Commissioner of Competition
  - • Regulations, [CAC] 24(2)
  - Mutual legal assistance (competition)
  - • Publication of agreements, [CAC] 30.02
  - Notifiable transactions, [CAC] 124(2)
  - Regulations (competition), [CAC] 128(2)
  - Trademarks
  - • List of geographical indications, [TMA] 11.12(4)
- Canada Not-for-profit Corporations Act***, [CBCA] 3(3)(a.1), 209(1), 268(6)(10)
- Canada Oil and Gas Drilling and Production Regulations***, [CBCA-1] 87
- Canada Oil and Gas Land Regulations***, [CBCA-1] 87
- Canada Petroleum Resources Act (R.S.C. (1985), ch. 36 (2nd Supp.))***, [CBCA-1] 87
- Canada Revenue Agency***, [CBCA] 21.31(2)b)
- Canada Transportation Act (1996, c. 10)***, [CAC] 29.1,45(6)c, 47(3), 90.1(9)d, 94d); [CBCA] 3(3); [CBCA-1] 87
- Canada Treaty Series***
- Mutual legal assistance (agreements), [CAC] 30.02
- Canadian**
- Definition, [CBCA-1] 73
- Canadian Aviation Regulations***, [CBCA-1] 87
- Canadian GAAP***
- Definition, [CBCA-1] 70
- Canadian GAAS***
- Definition, [CBCA-1] 70
- Canadian Intellectual Property Office***
- Geographical indication, [TMA] 11.12, 66
- Capital account**, *see* Share capital
- Capital accumulation plan exemption**, [SA-2] 8.28

## ANALYTICAL INDEX

- Certificate**, *see also* Share certificate
- Authenticity, [CA] 2.5
  - Minutes, [CA] 107
- Certification mark**, [TMA] 24
- Descriptive, [TMA] 25
  - Registration, [TMA] 23
- Chambre de la sécurité financière**
- Decision
  - Powers of the Authority, [SA] 151.0.1(4)
- Charter**
- Constitution, [CA] 6
  - Definition, [CA] 124; [CBCA] 268
  - Documents considered as a, [CBCA] 268(1)
- Charter of the French language (c. C-11)**, [ALPE] 17(1); [CA] 9.1
- Corporation's name, [BCA] 16(1)
- Chief compliance officer**, [SA-2] 2.1
- Exempt market dealer, [SA-2] 3.9
  - Investment fund manager, [SA-2] 3.14
  - Mutual fund dealer, [SA-2] 3.6
  - Portfolio manager, [SA-2] 3.14
  - Proficiency, [SA-2] 3.4(2)
  - Registered firm, [SA-2] 5.2, 11.3
  - Access to the board of directors, [SA-2] 11.4
  - Scholarship plan dealer, [SA-2] 3.8
- Chief Statistician of Canada**, [CAC] 70
- Civil action**, *see* Action for damages
- Civil Code of Lower Canada**, [ALPE] 293
- Civil Code of Quebec (S.Q. 1991, c. 64)**, [CFL] 55.1, 204.16, 204.25-204.27; [ALPE] 96; [CA] 31, 134; [SA] 235, 273.3
- Civil proceeding**
- Legal publicity, [ALPE] 142-146
- Clearing activities**, *see* Securities exchange or clearing activities
- Clearing house**, [SA] 237(2.2)
- Recognition by the Authority, [SA] 169-169.1, 171.1
  - Rules applicable, [ATS] 4
- Client**
- Know your, [SA-2] 13.2
  - Suitability, [SA-2] 13.3
  - Waiver, [SA-2] 13.3.1
- Closed company**
- Definition, [SA] 5
- Closely-held issuer**
- Exempted from prospectus, [SA-1] 2.4
- Code of Civil Procedure (c. C-25.01)**, [ALPE] 10; [BCA] 452; [CA] 120; [SA] 225.4, 268, 284, 285
- Code of Penal Procedure (c. C-25.1)**, [ALPE] 144, 163; [SA] 208.1
- Collective bargaining**, [CAC] 4
- College of Patent Agents and Trademark Agents Act (S.C. 2018, c. 27, s. 247)**, [TMA] 51.13(7)
- Combination**, [CAC] 110(5)-110(8), 112
- Comité de gestion de la taxe scolaire de l'Île de Montréal**, [SA-2] 1.1
- Commissioner of Competition**, *see also* Deputy commissioner
- Absent or unable to act, [CAC] 8(2)(3)
  - Administrative remedies, [CAC] 74.09-74.16
  - Appointment, [CAC] 7(1)
  - Assisting foreign states, [CAC] 52.02, 74.012
  - Certification, [CAC] 103.1(3)
  - Communication of information
  - To Minister of Finance, [CAC] 29.2
  - To Minister of Transport, [CAC] 29.1
  - Confidentiality, [CAC] 29
  - Consent agreement, [CAC] 105-106.1
  - Foreign directives, [CAC] 46(2)
  - Functions, [CAC] 7(1), 8(5)
  - Inquiry, [CAC] 10(1), 103.1(12)
  - Application for, [CAC] 9(1)
  - Counsel, [CAC] 21
  - Destruction or alteration of records or things, [CAC] 65(3)
  - Discontinuance, [CAC] 22
  - In private, [CAC] 10(3)
  - Information on, [CAC] 10(2)
  - Inspection of records and things, [CAC] 20
  - Interim injunction, [CAC] 74.111(8)
  - Interim report requested by Minister, [CAC] 28
  - Material to be submitted, [CAC] 9(2)
  - Merger, [CAC] 100(8)
  - Order for oral examination, production or written return, [CAC] 11(1), 19(1), 65(1), 65(3)
  - Order for preservation or production, [CAC] 14.1
  - Preservation demand, [CAC] 14.1
  - Presiding officer, [CAC] 11(1)(a), 12(3), 13-14
  - Records in possession of affiliate, [CAC] 11(2)
  - Reference to Attorney General of Canada, [CAC] 23
  - Regulations, [CAC] 24
  - Solicitor-client privilege, [CAC] 19
  - Temporary order, [CAC] 74.11(6)
  - Warrant for entry of premises, [CAC] 15-18, 19(2), 65(3)
  - Witness, [CAC] 12
  - Inquiry by Deputy, [CAC] 8(4)
  - Interim order, [CAC] 103.3
  - Intervention, [CAC] 103.2



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Limitation, [CAC] 103.1(10)
  - Mutual legal assistance, [CAC] 30.291
  - Oath of office, [CAC] 7(2)
  - Opinion, [CAC] 124.1
  - Powers, [CAC] 8(5)
  - References to Tribunal, [CAC] 124.2
  - Report to Parliament, [CAC] 127
  - Representations, [CAC] 125-126
  - Salary, [CAC] 7(3)
  - Staff, [CAC] 25-26
  - Technical or special assistants, [CAC] 27
  - Vacancy in the office, [CAC] 8(2), (3)
  - Whistleblowing
  - • Confidentiality, [CAC] 66.1(2)
- Companies Act (c. C-38)***, [BCA] 365; [CA-1] 1; [CA-3] 5; [BCA-1] 3
- Companies and Partnerships Declaration Act (c. D-1)***, [ALPE] 293
- Companies' Creditors Arrangement Act (R.S.C. (1985), ch. C-36)***, [BCA] 411
- Companies Information Act (c. R-22)***, [ALPE] 291; [ALPE-2] 29
- Company**, *see also* Affiliate, Business corporation, By-law of company, Company's name, Security
- Application of Part I, [CA] 4(1)
  - Auditor, [CA] 113, 114
  - Charter, [CA] 6
  - Civil and penal actions, [SA] 6
  - Contracts and other agreements, [CA] 36
  - Control, [SA] 8
  - Definition, [CA] 3(1), 124(2), 225(1); [CBCA] 2
  - Head office, [CA] 32
  - Improper dividends, [CA] 94
  - Inspection, [CA] 110-112
  - Legal person, [CA] 3
  - • Application of Part I, [CA] 4
  - • Existing company, [CA] 14
  - Name, [CA] 7, 9.1, 18.1-22, 33-35
  - Offices and agencies, [CA] 32
  - Powers
  - • Additional, [CA] 31
  - • Borrowing and hypothecating, [CA] 77
  - • Exercise, [CA] 30
  - • Extension, [CA] 15
  - • • Application to the enterprise registrar, [CA] 38
  - • • Date, [CA] 40
  - • • Proof, [CA] 39
  - • • Provisions applicable, [CA] 38
  - • • Resolution, [CA] 37
  - • • Supplementary letters patent, [CA] 40
  - • General, [CA] 31-36
  - • Provisions applicable, [CA] 31
  - Proceeding, [CA] 115
  - Purchase of stock in any other company, [CA] 44
  - Registration, [ALPE] 21(3)
  - Service by post, [CA] 117
  - Subsidiary, [SA] 9
  - Supplementary letters patent, [CA] 4(2)
  - Transitional and final provisions, [BCA] 715-729
- Company (amalgamation)**, *see also* Amalgamation of companies
- Application for letters patent, [CA] 18(5)
  - Companies, [CA] 18(1)
  - Date, [CA] 18(5)
  - Joint agreement, [CA] 18(2)-(4.1)
  - Rights of creditors, [CA] 18(6)
- Company (dissolution)**
- Attestation, [CA] 28.2
  - Conditions, [CA] 28
  - Date, [CA] 28.1
  - Directors' liability, [CA] 29
  - Existing company, [CA] 14
  - Notice, [CA] 28(4); [WUA] 19
  - • Publication, [CA] 28.1
- Company (formation)**, *see also* Enterprise registrar
- Age of applicants, [CA] 7
  - Application, [CA] 7, 8, 14
  - Certificate of constitution, [CA] 123.16
  - Charter, [CA] 6
  - Existing company, [CA] 14, 15
  - Letters patent, [CA] 6, 8, 9, 10.1, 12; [CA-3] 1-3
  - Memorandum of agreement, [CA] 8
  - Name, [CA] 7, 9.1
  - Proof, [CA] 119
- Company (Part IA)**, *see also* Auditor (Part 1A), Books of a company, Director (Part 1A), Name (Company B Part 1A), Share capital
- Articles
  - • Amendment, [CA] 123.101-123.110
  - • Cancellation, [CA] 123.144
  - • Correction and insertion, [CA] 123.140-123.143
  - • Form, [CA] 123.59, 123.71
  - • Judicial district, [CA] 123.172
  - • Lawfulness, [CA] 123.158
  - • Precedence, [CA] 123.66
  - • Refusal of the enterprise registrar, [CA] 123.60
  - Auditor, [CA] 123.97-123.100
  - Book, [CA] 123.111-123.114
  - Certificate, [CA] 123.161, 123.163
  - Constituted by the filing of articles, [CA] 123.1
  - • Applicability, [CA] 123.4, 123.6
  - • Companies, [CA] 123.5
  - • Representation of the company before its constitution, [CA] 123.7, 123.8

## ANALYTICAL INDEX

- Contestation of a decision before the ATQ, [CA] 123.145-123.148
- Continuance, [CA] 123.131, 123.133, 123.139
- • Articles, [CA] 123.135
- • Certificate, [CA] 123.136, 123.138
- Date of origin, [CA] 123.16
- Designated number, [CA] 123.23
- Dividends, [CA] 123.70, 123.71
- Document, [BCA-3]
- Financial assistance to a shareholder, [CA] 123.66-123.69
- Head office, [CA] 123.34
- • Change of address, [CA] 123.35
- • Transfer, [CA] 123.36
- Name, [CA] 123.22-123.27.5, 123.34, 123.58
- Organization meeting, [CA] 123.17
- • Convocation, [CA] 123.18
- • Powers of the directors, [CA] 123.19
- • Quorum, [CA] 123.20
- Prescription, [CA] 123.168
- Rights, [CA] 123.29
- Seal, [CA] 123.165
- Share capital, [CA] 123.38
- • Account, [CA] 123.47-123.51
- • Composition, [CA] 123.39
- • Increase or reduction, [CA] 123.61-123.65
- • Rights attached to shares, [CA] 123.40, 123.41
- Shares
- • Acquisition, [CA] 123.52, 123.56, 123.59
- • Directors' liability, [CA] 123.58
- • Gift or legacy, [CA] 123.60
- • Holding, [CA] 123.43, 123.44
- • Redemption, [CA] 123.53-123.55
- • Reservation, [CA] 123.57
- • Sale, [CA] 123.45, 123.46
- Third persons, [CA] 123.30-123.33
- Company (Part 1A B amalgamation), *see also* Director (Part 1A), Enterprise registrar**
  - Articles
  - • Content, [CA] 123.117
  - • Documents, [CA] 123.117
  - • Filing, [CA] 123.118
  - Companies, [CA] 123.115
  - Continuance, [CA] 123.120
  - Effects, [CA] 123.115
  - Ordinary
    - Agreement, [CA] 123.122
    - Annulment, [CA] 123.128
    - Approval by-law, [CA] 123.124-123.126
    - Cancellation of shares, [CA] 123.123
    - Voting, [CA] 123.127
    - Restriction, [CA] 123.116
  - Simplified
    - Resolution, [CA] 123.129, 123.130
- Company (Part 1A B constitution)**
  - Articles
  - • Content, [CA] 123.12, 123.13
  - • Documents, [CA] 123.14
  - • Filing, [CA] 123.11
  - Founder, [CA] 123.9, 123.10
- Company (Part 1A B dissolution), [CA] 123.144**
  - Annual meeting
  - • Reports, [CA] 191
  - • Shareholder proposal, [CA] 191.2-191.12
  - • To be held, [CA] 191(1)
  - Application of the Act, [CA] 125, 126
  - Auditors, [CA] 206, 207, 214
  - Books, [CA] 197, 200-202
  - • Access to, [CA] 199
  - Charter, [CA] 126, 126.1
  - Compromise or arrangement, [CA] 147, 148
  - Contracts and other agreements, [CA] 138
  - Dissolution
    - • Conditions, [CA] 131
    - • Directors' liability, [CA] 132
  - Dividends, [CA] 171-175
  - Fees to be paid, [CA-3]
  - General meeting
  - • Notice, [CA] 190, 209
  - • Presiding officer, [CA] 193
  - • Resolution, [CA] 194
  - • Voting, [CA] 194, 195
  - Head office, [CA] 135
  - Inspection, [CA] 203-205
  - Name, [CA] 136, 136.1
  - • Offence and penalty, [CA] 137
  - Payment of fees, [CA] 127, 128
  - Powers, [CA] 133, 134
  - • Borrowing and hypothecating, [CA] 169
  - Procedure, [CA] 208, 210
  - Register of mortgages, [CA] 198
  - Shareholder, [CA] 139, 141
  - • Proxies, [CA] 196
  - Shares, [CA] 143, 150
  - • Allotment, [CA] 145, 158(4)
  - • Call, [CA] 159-162
  - • Certificate, [CA] 146(12), 151, 152
  - • Changing the value, [CA] 153-158
  - • Issue, [CA] 146
  - • Offer to acquire, [CA] 149
  - • Purchase or redemption, [CA] 146(13)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Transfer, [CA] 144, 149(4), 163-168
  - Special meeting, [CA] 192
  - Stock of other companies, [CA] 142
- Company (winding-up), *see also* Liquidator**
- Application of the Act
  - • Minister responsible, [WUA] 34
  - Company's status and powers, [WUA] 4
  - Discontinuing winding-up, [WUA] 18
  - General meeting of the shareholders, [WUA] 2
  - Order to wind up
  - • Appeal, [WUA] 32
  - Ordered by judge, [WUA] 24, 25.1
  - • Effects of order, [WUA] 25
  - • Provisions applicable, [WUA] 31
  - • Restraint of proceedings, [WUA] 26
  - • Secured creditor, [WUA] 27
  - Payment of debts, [CA] 60
  - Resolution, [WUA] 3
  - Voluntary, [WUA] 1
  - Vote, [WUA] 3
  - Wages of employees, [CA] 96
- Company having shares without any nominal or par value**
- Authorized capital, [CA] 13
- Company's name, *see also* Enterprise registrar, Registration**
- Application, [CA] 7
  - Change, [ALPE-2] 21
  - • By-law, [CA] 21
  - • Effect, [CA] 22
  - • Notice prior to new description, [CA] 20
  - • Observations of interested parties, [CA] 18.2
  - • Supplementary letters patent, [CA] 18.1-20
  - Company (part II), [CA] 136-137
  - Confusion with another name, [ALPE-2] 5; [BCA-4] 5
  - Identical to the name of another person, [ALPE-2] 3-4; [BCA-4] 2
  - Language, [ALPE-2] 2
  - Not in conformity, [CA] 10, 19
  - Offence and penalty, [CA] 35
  - Other identification, [CA] 34
  - Related to another person, [BCA-4] 3-4
  - Research report, [CA] 7, 9.2, 10.1
  - Reservation, [CA] 9.2; [BCA-4] 6
  - Restrictions, [ALPE-2] 1, 2; [CA] 9.1; [BCA-4] 1
  - Undertaking with limited liability, [CA] 34.1
  - Use, [CA] 33
- Company's name (Part 1A)**
- Assigned *ex officio*, [CA] 123.26, 123.27, 123.27.5
  - • Change, [CA] 123.27.1, 123.27.2, 123.134
  - • Decision of the enterprise registrar, [CA] 123.27.3
  - • Failure to respect order, [CA] 123.27.4
  - Designating number, [CA] 123.23
  - • Name, [CA] 123.24
  - • Revocation, [CA] 123.26
  - Identical to the name of another person, [BCA-5] 2
  - Lawfulness of articles, [CA] 123.158
  - Leading to confusion, [BCA-5] 5
  - Public authority, [CA-1] 1; [BCA-5] 1
  - Reservation, [CA-1] 6; [C-5] 1(2); [BCA-5] 6
  - Suggesting a relationship, [BCA-5] 3-5
  - Undertaking with limited liability, [CA] 123.22
- Company's name (Part III), [CA] 219, 221.1, 221.2**
- Regulation replaced, [C-3] 7
- Company without share capital**
- Conversion into joint stock company, [CA] 17
  - Fees to be paid, [CA-3] 6-8, 10
- Compelled acquisition of shares**
- Dissenting shareholder, [BCA] 402
  - • Fair value of shares, [BCA] 407-410
  - Exercise of right to acquire, [BCA] 401-410
  - General conditions, [BCA] 398-400
  - Money held in trust, [BCA] 404
  - Notice of intention, [BCA] 401
  - Transfer of money, [BCA] 403, 405-406, 410
- Competition, *see also* Commissioner of Competition**
- Abuse of dominant position, [CAC] 78-79.1
  - Administrative remedies, [CAC] 74.09-74.16
  - • Court, [CAC] 74.09
  - Agreements or arrangements of federal financial institutions, [CAC] 49
  - Agreements or arrangements that prevent or lessen competition substantially, [CAC] 90.1
  - Appeal, [CAC] 73(3)
  - Bargain price, [CAC] 74.04, 74.1(6)
  - Bid-rigging, [CAC] 47
  - Binding on agents of Her Majesty, [CAC] 2.1
  - Conspiracies, agreements or arrangements between competitors, [CAC] 45-45.1
  - Conspiracy relating to professional sport, [CAC] 48
  - Deceptive marketing practices, [CAC] 66
  - Deceptive notice of winning a prize, [CAC] 53, 74.07(2)
  - Deceptive telemarketing, [CAC] 52.1, 74.07(2)
  - Definitions, [CAC] 2
  - Delivered pricing, [CAC] 80-81
  - Double ticketing, [CAC] 54, 60
  - Evidence
  - • Against a participant, [CAC] 69(2)

## ANALYTICAL INDEX

- • Obtained by Canada from abroad, [CAC] 30.25-30.28
- • Confidentiality, [CAC] 30.29
- • Statistics, [CAC] 70-72
- Exclusive dealing, [CAC] 77
- • Application to the Tribunal, [CAC] 103.1-107
- False or misleading representations, [CAC] 52-52.02, 74.01(1), 74.011, 74.03, 74.1(6)
- Foreign directives, [CAC] 46, 83
- Foreign judgment, [CAC] 82
- Foreign law, [CAC] 83
- Foreign supplier, [CAC] 84
- Injunction, [CAC] 33, 74.101(2)
- Interim injunction, [CAC] 74.111
- Duration of, [CAC] 74.111(3), 74.111(6)
- • *Ex parte* application, [CAC] 74.111(5)
- • Notice of application, [CAC] 74.111(4)
- • Statement to be included, [CAC] 74.111(2)
- • Submissions to set aside, [CAC] 74.111(7)
- Interim order, [CAC] 103.3-104
- Judicial order, [CAC] 74.1, 74.101(2), s. also Temporary order
- Aggravating or mitigating factors, [CAC] 74.1(5)
- Amounts already paid, [CAC] 74.1(7)
- Appeal, [CAC] 74.18-74.19
- Consent agreement, [CAC] 74.12-74.13
- Deduction, [CAC] 74.101(1)
- Duration of, [CAC] 74.1(2)
- Evidence, [CAC] 74.14
- Implementation of, [CAC] 74.1(8)
- Proceedings commenced, [CAC] 74.16
- Purpose of, [CAC] 74.1(4)
- Rescission or variation of, [CAC] 74.13
- Rules of procedure, [CAC] 74.17
- Saving, [CAC] 74.1(3)
- Subsequent order, [CAC] 74.1(6)
- Unpaid monetary penalty, [CAC] 74.15
- Variation of terms, [CAC] 74.1(9)
- Law not applicable
- Amateur sport, [CAC] 6
- Collective bargaining, [CAC] 4
- Limitation period, [CAC] 67(6)
- Market restriction, [CAC] 77
- Application to the Tribunal, [CAC] 103.1-107
- Merger, [CAC] 91-103
- Multi-level marketing plan, [CAC] 55, 74.07(2)
- Mutual legal assistance, [CAC] 30-30.3, 74.012(3)
- Agreement, [CAC] 30.01
- • Publication of, [CAC] 30.02
- • Definitions, [CAC] 30
- • Functions of the Minister of Justice, [CAC] 30.01-30.3
- • Informal arrangements, [CAC] 30.3
- • Records or other things already in Commissioner's possession, [CAC] 30.291
- Obstruction, [CAC] 64
- • Penalty, [CAC] 64(2)
- Offence, [CAC] 45-73
- Civil rights not affected, [CAC] 62, 74.08
- • Returns, [CAC] 35
- Ordinary price
- • Reviewable matters, [CAC] 74.01(2)-74.01(5), 74.1(6)
- Price maintenance, [CAC] 76
- • Application to the Tribunal, [CAC] 103.1-107
- Procedure, [CAC] 67, 73
- • Application of *Criminal Code*, [CAC] 67(2)
- • Corporations to be tried without jury, [CAC] 67(4)
- • Defects of form, [CAC] 3
- • Definitions, [CAC] 69(1)
- • Jurisdiction of courts, [CAC] 67(3), 73(1)
- • Option, [CAC] 67(5)
- • Technical irregularity, [CAC] 3
- • Venue of prosecutions, [CAC] 68
- Printer or publisher, [CAC] 74.07(1)
- Prohibition, [CAC] 66.2
- Prohibition order, [CAC] 34(1)-34(2), 67(5)
- • Appeals of, [CAC] 34(3)-34(4)
- • Duration of, [CAC] 34(2.2)
- • Prescriptive terms, [CAC] 34(2.1)
- • Procedure, [CAC] 34(5), 34(7)
- • Proceedings, [CAC] 34(2.4)
- • Punishment for disobedience, [CAC] 34(6)
- • Rescission, [CAC] 34(2.3)
- • Variation of, [CAC] 34(2.3)
- Promotional contest, [CAC] 74.06, 74.1(6)
- Purpose of the Act, [CAC] 1.1
- Recovery of damages, [CAC] 36, 77(3.1)
- Refusal, [CAC] 65.2
- Refusal to deal, [CAC] 75
- • Application to the Tribunal, [CAC] 103.1-107
- Regulations, [CAC] 128
- Representation as to reasonable test and publication of testimonials, [CAC] 74.02, 74.1(6)
- Requests made to Canada from abroad, [CAC] 30.03, 74.012
- • Appeal, [CAC] 30.24
- • Confidentiality, [CAC] 30.29
- • Evidence for use abroad, [CAC] 30.1-30.18, 65.1(2), 65.2
- • Lending exhibits, [CAC] 30.19-30.23
- • Search and seizure, [CAC] 30.04-30.09, 65.1(1), 65.1(2)
- Scheme of pyramid selling, [CAC] 55.1, 74.07(2)
- Special remedies, [CAC] 31-35
- Specialization agreement, [CAC] 85-90



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Temporary order, [CAC] 74.11
  - Duration of, [CAC] 74.11(2), 74.11(5)
  - *Ex parte* application, [CAC] 74.11(4)
  - Notice of application, [CAC] 74.11(3)
  - Supply of a product, [CAC] 74.11(1.1)
  - Tied selling, [CAC] 77
  - Application to the Tribunal, [CAC] 103.1-107
  - Transaction
  - Information, [CAC] 65(2), 114
  - Notifiable transactions, [CAC] 108-124
  - Trial without a jury, [CAC] 73(2)
  - Underwriters, [CAC] 5
  - Venue of prosecutions, [CAC] 68
  - Whistleblowing, [CAC] 66.1
- Competition Tribunal Act**, [CAC] 2(1), 103.3(10)
- Complainant**
- Definition, [CBCA] 238
- Complaint**, *see* Registered firm
- Conflict of interest**, *see also* Disclosure of interest
- Director, [CCQ] 323-326
  - Investment fund manager, [SA-2] 13.4.2
  - Registered firm, [SA-2] 13.4
  - Registered individual, [SA-2] 13.4.1
- Conspiracy**, [CAC] 45-45.1
- Defence, [CAC] 45(4), 45(5), 45(7)
  - Definitions, [CAC] 45(8)
  - Evidence, [CAC] 45(3)
  - Exception, [CAC] 45(6)
  - Penalty, [CAC] 45(2)
  - Relating to professional sport, [CAC] 48
  - Same proceedings, [CAC] 45.1
- Constituting act**
- Corporation, [CBCA] 5
  - Definition, [CA] 3.1
  - Deposit in the register, [CA] 11
  - Election of directors, [CA] 88-89
  - Irregularities, [CA] 5
  - Legal person, [CCQ] 310
  - Shares of different classes, [CA] 48
  - Shares warrants, [CA] 54
- Constrained class**
- Definition, [CBCA-1] 73
- Constrained share corporation**
- Definition, [CBCA-1] 73
  - Disclosure of beneficial ownership, [CBCA-1] 85, 86
  - Documents, [CBCA-1] 74
  - Limitation on voting rights, [CBCA-1] 77-79
  - Powers and duties of directors, [CBCA-1] 75, 76
  - Sale of constrained shares, [CBCA-1] 80-84
- Constraint**
- Definition, [CBCA] 73
- Consultant**
- Definition, [SA-1] 2.22
  - Exempted from prospectus, [SA-1] 2.22-2.29
- Consumer Packaging and Labelling Act**, [CAC] 7(1)(b), (c)
- Contingency fund**, [SA] 151.1.1
- Continuance of a corporation**
- Under the laws of a jurisdiction other than Quebec, [BCA] 297
  - Authorization of the enterprise registrar, [BCA] 299-300
    - Certificate, [BCA] 301
    - Obligation of the enterprise registrar, [BCA] 302
    - Rights preserved, [BCA] 303
    - Special resolution, [BCA] 298
    - Under this Act, [BCA] 288
    - Articles, [BCA] 289
    - Contents, [BCA] 290
    - Delivery to enterprise registrar, [BCA] 292
    - Information to be filed, [BCA] 291
    - Certificate, [BCA] 293, 295
    - Effect, [BCA] 294
- Contract**
- Legal person not constituted, [CCQ] 320
- Contract pre-determined by one party**
- Language, [CFL] 55, 204.21
- Control**
- Definition, [CBCA] 2; [CBCA-1] 73
- Control person**
- Definition, [SA] 5.2
- Cooperative**
- Continuance, [CA] 227.1-227.6
  - Application to the enterprise registrar, [CA] 227.4, 227.5
    - Articles, [CA] 129.139.4
    - Documents, [CA-2] 1
    - By-law, [CA] 129.139.2, 129.139.3
    - Certificate, [CA] 129.139.6
    - Conversion, [CA] 129.139.1
    - Letters patent, [CA] 227.1
    - Provisions applicable, [CA] 129.139.5
    - Rights and obligations, [CA] 129.139.7, 227.6
    - Special meeting, [CA] 129.139.2
- Cooperative association**
- Exempted from registration, [SA-2] 8.15
- Cooperative Credit Associations Act (S.C. 1991, ch. 48)**, [CAC] 29.2, 103.3(3); [CBCA] 3(4), 186.1, 188(2), 268(4.2), (6(a)1); [SA-1] 1.1; [SA-2] 8.15

## ANALYTICAL INDEX

- Cooperatives Act (c. C-67.2)**, [ALPE] 21(6), 41; [CA] 123.139.1, 227.1
- Copyright**
- Powers to restrain trade, [CAC] 32
- Copyright Act**, [CAC] 79(5)
- Corporate name**
- Definition, [CBCA-1] 17
- Corporation B amalgamation**, *see* Amalgamation of corporations
- Corporation (federal)**, *see also* Auditor (federal), Corporation's name (federal), Director (federal), Security (federal)
- Acts not applicable, [CBCA] 3(3)
  - Annual financial statements, [CBCA] 155; [CBCA-1] 71, 72
  - Annual return, [CBCA] 263; [CBCA-1] 5
  - Application of the Act, [CBCA] 3(1)
  - Arrangement, [CBCA] 192
  - Articles, [CBCA] 6, 7, 265.1; [CBCA-1] 96
  - • Notice of refusal by Director, [CBCA] 245
  - • Restatement, [CBCA] 180
  - Audit committee, [CBCA] 171; [CBCA-1] 88-94
  - Authority of directors, officers and agents, [CBCA] 18
  - Capacity, [CBCA] 15
  - Certificate, [CBCA] 257
  - • Issuance by Director, [CBCA] 256
  - Certificate of incorporation, [CBCA] 8
  - • Date, [CBCA] 9
  - Change of articles, [CBCA] 173-192
  - • Certificate, [CBCA] 178, 179, 265.1; [CBCA-1] 96
  - • Constraints on shares, [CBCA] 174
  - • Continuance (import), [CBCA] 187, 188; [CBCA-1] 88-94
  - • Delivery of articles, [CBCA] 177
  - • Provisions, [CBCA] 189
  - • Right to dissent, [CBCA] 190
  - Contract, [CBCA] 14
  - Corporate seal, [CBCA] 23
  - Definitions, [CBCA] 2
  - Director
  - • Appointment, [CBCA] 260
  - Disclosure relating to diversity, [CBCA-1] 72.2
  - Documents, [CBCA] 7, 17, 253, 254
  - Financial statements
  - • Approval, [CBCA] 158
  - • Copy to Director, [CBCA] 160
  - • Copy to shareholders, [CBCA] 159
  - • Examination, [CBCA] 157
  - • Exemption, [CBCA] 156; [CBCA-1] 88-94
  - Head office, [CBCA] 19, 20
  - Incorporation, [CBCA] 5
  - Investigation, [CBCA] 229-237
  - Limitations on business, [CBCA] 3(4), 3(5)
  - Name, [CBCA] 10-13; [CBCA-1] 17-34
  - Notice, [CBCA] 253, 254
  - Offence, [CBCA] 250, 251
  - • Prescription, [CBCA] 252
  - Powers, [CBCA] 16
  - Purpose of the Act, [CBCA] 4
  - Records, [CBCA] 20-22
  - Register of individuals with significant control, [CBCA] 21.1-21.4, 250(4), 261(1)(c.01)-(c.02)
  - Regulations, [CBCA] 261
  - Remedies, [CBCA] 238, 242
  - • Application to the court, [CBCA] 239
  - • • To rectify records, [CBCA] 243
  - • Applications for directions, [CBCA] 244
  - • Order of the court, [CBCA] 240, 241, 246, 247, 249
  - • Procedure, [CBCA] 248
  - Reorganization, [CBCA] 191
  - Reporting issuer, [CBCA-1] Annex 1
  - Revival, [CBCA] 209
  - Security certificate, [CBCA] 257(3)
  - Shares, [CBCA] 24
  - Take-over bid, [CBCA] 192; [CBCA-1] Annex 2
- Corporation (federal) B amalgamation**, [CBCA] 181
- Agreement, [CBCA] 182
  - • Approval, [CBCA] 183
  - Certificate, [CBCA] 185(4)
  - • Effect, [CBCA] 186
  - Horizontal short-form amalgamation, [CBCA] 184(1)
  - Prohibition, [CBCA] 186.1
  - Sending of articles, [CBCA] 185
  - Vertical short-form amalgamation, [CBCA] 184(1)
- Corporation (federal) B dissolution**
- Certificate of intent to dissolve, [CBCA] 211(15), (16), 212
  - Certificate of revocation of intent to dissolve, [CBCA] 211(11), (12)
  - Custody of records, [CBCA] 225
  - Grounds for, [CBCA] 213, 214
  - Order of the court, [CBCA] 211(8), 213, 215
  - • Effect, [CBCA] 218
  - Powers of the court, [CBCA] 217
  - Proceedings, [CBCA] 208
  - Proposal, [CBCA] 211
  - Reimbursement
  - • Prescription, [CBCA] 226(4)
  - Resolution of directors, [CBCA] 210
  - Return of property on revival, [CBCA] 228
  - Revival, [CBCA] 209



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Statement of intent to dissolve, [CBCA] 211(4)
- Supervision by court, [CBCA] 211(8), 215
- Unknown claimants, [CBCA] 227

### **Corporation (federal) B liquidation**

- Order, [CBCA] 219
- Powers of the court, [CBCA] 217
- Proceedings, [CBCA] 208
- Proposal, [CBCA] 211
- Purpose, [CBCA] 214

### **Corporation's name (federal)**

- Change, [CBCA] 12(2), (4), (4.1)
- Confusing names, [CBCA-1] 18-24
- Confusion with a trademark, official mark or trade-name, [CBCA-1] 19
- Deceptively misdescriptive names, [CBCA-1] 31
- Designating number, [CBCA] 11(2)
- Language, [CBCA] 10(3), (4)
- Non-distinctive names, [CBCA-1] 30
- Other name, [CBCA] 10(6)
- Prohibitions, [CBCA] 12; [CBCA-1] 25-29
- Publication, [CBCA] 10(5)
- Reservation, [CBCA] 11
- Revocation, [CBCA] 12(5), 13
- Word or expression, [CBCA] 10

### **Cosmetic Regulations, [CFL-1] 8.1**

#### **Court**

- Definition, [CBCA] 2, 207
- Powers
- Of the Authority, [SA] 151.0.1(2)

### **Court of Appeal, [ALPE] 10; [SA] 286**

- Definition, [CBCA] 2
- Order to wind up the company, [WUA] 32, 32.1

### **Court of Quebec, [SA] 212, 213, 297.2, 320.1**

- Legal publicity
- Proceeding continued, [ALPE] 292

#### **Credit rating**

- Content, [SA] 186.5
- Definition, [SA] 1

#### **Credit rating organization**

- Definition, [SA] 5
- Designated, [SA-1] 1.1
- Designation by the Authority, [SA] 186.1
- Document or information, [SA] 237
- Inspection, [SA] 186.3
- Practices and procedures, [SA] 186.6
- Prohibited representation, [SA] 186.4
- Rating content, [SA] 186.5
- Regulations, [SA] 331.1
- Requirements set by regulations, [SA] 186.2

#### **Creditor, see also Hypothecary creditor**

- Amalgamated company, [CA] 18(6)

- Articles
- Cancellation, [BCA] 266
- Correction, [BCA] 247, 254
- Company (Part II), [CA] 146(11)
- Compromise or arrangement, [CA] 148
- Compromise or arrangement, [CA] 50
- Definition, [CA] 50(3), 148(3)
- Dissolution of company, [BCA] 97; [CA] 29; [WUA] 12
- Existing company, [CA] 14(5)
- Improper dividends, [CA] 94
- Privileged shares, [CA] 148(11)
- Reduction of share capital, [BCA] 112
- Objections, [CA] 59
- Transfer of shares, [CA] 72
- Winding up order, [WUA] 27, 28

***Criminal Code (R.S.C. (1985), ch. C-46), [BCA]***  
462; [CAC] 2(1), 11(3), 14.1, 23(2), 30.24, 67(2), 73; [CBCA] 233

***Curator, [CCQ] 304; [SA] 151.0.1(3)***

***Customs duties, [CAC] 31, 75(1)***

## -D-

#### **Damages, see also Action for damages**

- Action, [SA] 225.4-225.33
- Place, [SA] 236.1
- Prescription, [SA] 235, 236
- Authority applying to the court, [SA] 269.2
- Defence, [SA] 216, 220, 222, 224
- Misrepresentation, [SA] 217-225.1
- No circular, [SA] 215
- No prospectus, [SA] 214
- Competition, [CAC] 36, 77(3.1)
- From a dealer, [SA] 214.1
- Trademarks, [TMA] 51.12

#### **Damages for financial loss**

- Application of the Act, [CBCA] 237.2
- Fraud, [CBCA] 237.4
- Solidary liability, [CBCA] 237.5, 237.6; [CBCA-1] 95
- Uncollectable amount, [CBCA] 237.3
- Value of financial interest, [CBCA] 237.8, 237.9
- Value of security, [CBCA] 237.7

***Dealer or adviser, see also Authority, Exempt market dealer, Inspector, Investment dealer, Mutual fund dealer, Registered firm, Registered individual, Restricted dealer, Scholarship plan dealer***

- Account activity reporting
- Automatic plan, [SA-2] 14.13
- Written confirmation, [SA-2] 14.12
- Account statement, [SA-2] 14.14-14.14.2
- Audit or review, [SA] 151.5

## ANALYTICAL INDEX

- Books and records, [SA] 158
  - Definition, [CBCA] 48; [SA] 5
  - Exempted from registration, [SA-2]
  - • Client mobility, [SA-2] 8.30
  - • Person not in the business of trading in British Columbia, Manitoba and New Brunswick, [SA-2] 8.4
  - • Trades through or to a registered dealer, [SA-2] 8.5-8.5.1
  - Filing of changes, [SA] 159
  - Financial information, [SA-2] 12.12
  - Information and documents disclosed to the Authority, [SA] 159.0.1
  - Inspection, [SA] 151.1, 151.1.1
  - • Access to documents, [SA] 151.4
  - Insurance, [SA-2] 12.3
  - Multiple transactions, [SA] 193
  - Natural person, [SA] 149
  - Obligations
  - • Complaints and claims, [SA] 168.1.1-168.1.8
  - • Conflicts of interest statements, [SA] 166
  - • Credits balances, [SA] 168
  - • Ethics, [SA] 160
  - • Information on clients, [SA] 165.1
  - • Knowledgeable professional, [SA] 160.1
  - • Participation in contingency fund, [SA] 168.1
  - • Transmission of documents, [SA] 165
  - • Voting right, [SA] 164
  - Offences, [SA] 195.1, 200
  - Prohibition, [SA] 148
  - Registration, [SA] 151
  - • Categories, [SA-2] 7.1
  - • Exchange contract trades through or to a registered dealer, [SA-2] 8.20.1
  - • Exemptions, [SA-2] 8.0.1
  - • Person not registered, [SA] 195.1, 200
  - • Regulation, [SA] 150
  - • Subsidiary, [SA] 148.1
  - • Suspension or revocation, [SA] 153
  - Tied selling, [SA-2] 11.8
  - Without discretionary authority, [SA-2] 8.23
  - Dealing representative, [SA-2] 2.1**
    - Exemptions from certain requirements, [SA-2] 3.16
    - Proficiency, [SA-2] 16.10
  - Debentures**
    - Definition, [CA] 124(7)
  - Debt obligation**
    - Definition, [CBCA] 2
  - Deceptive marketing practices, [CAC] 66**
  - Deceptive notice of winning a prize, [CAC] 53, 74.07(2)**
    - Due diligence, [CAC] 53(3)
  - Non-application, [CAC] 53(2)
  - Offences, [CAC] 53(6)
  - • By employees or agents, [CAC] 53(4)
  - • Liability of officers and directors, [CAC] 53(5)
  - • Sentencing, [CAC] 53(7)
- Deceptive telemarketing, [CAC] 52.1, 74.07(2)**
- Definition, [CAC] 52.1(1)
  - Due diligence, [CAC] 52.1(6)
  - General impression to be considered, [CAC] 52.1(4)
  - Offences, 52.1(9)
  - • By employees and agents, [CAC] 52.1(7)
  - • Liability of officers and directors, [CAC] 52.1(8)
  - • Sentencing, [CAC] 52.1(10)
  - Required disclosures, [CAC] 52.1(2), 52.1(5)
- Definition**
- Accredited investor, [SA-1] 1.1
  - Accredited investor exemption, [SA-1] 2.3
  - Acquisition date, [SA-1] 1.1
  - Acquisition of rights, [ATS] 6
  - Act, [CBCA-1] 1
  - Activity in Quebec, [ALPE] 25
  - Adverse claim, [CBCA] 48
  - Adviser, [SA] 5
  - Affairs, [BCA] 2; [CBCA] 2
  - Affiliate, [BCA] 2; [CBCA] 2; [SA-1] 1.3
  - Affiliated bodies corporate, [CBCA] 2(2)
  - Affiliated corporation, [CAC] 2(2)
  - Agent of a participant, [CAC] 69(1)
  - Aggregate consolidated gross revenue, [SA-2] 8.26
  - Agreement, [CAC] 30
  - AIF, [SA-1] 1.1
  - Amateur sport, [CAC] 6(2)
  - Annual fees, [SA-2] 10.1
  - Anti-competitive act, [CAC] 78(1)
  - Appropriate person, [CBCA] 65
  - Arrangement, [CBCA] 192
  - Article, [CAC] 2(1), 85
  - Articles, [CBCA] 2
  - Asset pool, [SA-1] 1.1
  - Asset transaction, [SA-1] 1.1
  - Associate, [BCA] 2; [CBCA] 2; [SA] 5; [SA-1] 2.22
  - Associated consultant, [SA-1] 2.22
  - Auditor, [CBCA] 2
  - Bank, [SA-1] 1.1
  - Bargain price, [CAC] 74.04(1)
  - Bearer, [CBCA] 48
  - Benchmark, [SA] 5
  - Benchmark administrator, [SA] 5
  - Beneficial interest, [CBCA] 2

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Beneficial ownership, [CBCA] 2
- Beneficiary, [BCA] 2
- Bid-rigging, [CAC] 47(1)
- Bills of exchange, [ATS] 15
- Board of directors, [CBCA] 2
- Body corporate, [CBCA] 2
- *Bona fide* purchaser, [CBCA] 48
- Book cost, [SA-2] 1.1
- Business, [CAC] 2(1)
- Business combination, [CBCA] 126
- Call, [CBCA] 2
- Canadian, [CBCA-1] 73; [TMA] 11.17(2)
- Canadian custodian, [SA-2] 1.1
- Canadian financial institution, [SA-1] 1.1; [SA-2] 1.1
- Canadian GAAP, [CBCA-1] 70
- Canadian investment funds course exam, [SA-2] 3.1
- Canadian Investment Manager designation, [SA-2] 3.1
- Capital accumulation plan, [SA-2] 8.28
- Certification mark, [TMA] 2
- CFA Charter, [SA-2] 3.1
- Charter, [CA] 124(1); [CBCA] 268
- Chief compliance officers qualifying exam, [SA-2] 3.1
- Client, [SA-2] 13.7
- Closed company, [SA] 5
- Commission, [CAC] 125(2), 126(2)
- Commissioner, [CAC] 2(1)
- Company, [CA] 3(1), 124(2), 225(1)
- Compensation, [SA-1] 2.22
- Competitor, [CAC] 45(8), 90.1(11)
- Complainant, [CBCA] 238
- Computer system, [CAC] 2(1)
- Conduct, [CAC] 30
- Conduit, [SA-1] 1.1
- Confusing, [TMA] 2
- Connected issuer, [SA-2] 1.1
- Constituting act, [CA] 3.1
- Constrained class, [CBCA-1] 73
- Constrained share corporation, [CBCA-1] 73
- Constraint, [CBCA-1] 73
- Consultant, [SA-1] 2.22
- Contract, [SA-1] 2.39(1)(a)
- Control, [CAC] 2(4); [CBCA] 2(3); [CBCA-1] 73; [SA-1] 1.4
- Control block distribution, [SA-1] 4.1
- Control person, [SA] 5.2
- Convention of the Union of Paris, [TMA] 2
- Core document, [SA] 225.3
- Corporate name, [CBCA-1] 17
- Corporation, [CBCA] 2
- • Controlled by Her Majesty, [CAC] 108(2)
- Country of origin, [TMA] 2
- Country of the Union, [TMA] 2
- Court, [CAC] 2(1), 33(8); [BCA] 2; [CBCA] 2, 207; [TMA] 52
- Court of appeal, [CBCA] 2
- CPC instrument, [SA-1] 1.1
- Credit enhancement, [SA-1] 1.1
- Credit rating, [SA] 5
- Credit rating organization, [SA] 5
- Creditor, [CA] 50(3), 148(3)
- Customs officer, [TMA] 51.02
- Data, [CAC] 2(1)
- Date of transition to IFRS, [SA-1] 1.1.1
- Dealer, [CBCA] 48; [SA] 5
- Debentures, [CA] 124(7)
- Debt obligation, [CBCA] 2
- Debt security, [SA-1] 1.1; [SA-2] 1.1
- Delivered pricing, [CAC] 80(1)
- Delivery, [CBCA] 48
- Delivery shares, [CBCA-1] 35
- Designated credit rating, [SA-1] 1.1; [SA-2] 8.21
- Designated credit rating organization, [SA-1] 1.1; [SA-2] 8.21
- Designated group, [CBCA-1] 72.2(1)
- Designated rating, [SA-1] 1.1; [SA-2] 1.1
- Designated rating organization, [SA-1] 1.1; 2.35.1.1; [SA-2] 1.1
- Director, [CBCA] 2; [SA] 5; [SA-1] 1.1
- Dispose, [CAC] 74.111(9)
- Dissenting offeree, [CBCA] 206
- Distinctive, [CBCA-1] 2; [TMA] 2
- Distributing corporation, [CBCA] 2; [CBCA-1] 2
- Distribution, [SA] 5
- • Manitoba, [SA-1] 1.6
- Document, [SA] 225.3; [BCA-3] 1
- DRO affiliate, [SA-2] 1.1, 8.21
- Economic interest, [SA] 89.1
- Educational institution, [ALPE] 103
- Electronic document, [CBCA] 252.1
- Electronic message, [CAC] 2(1)
- Eligibility adviser, [SA-1] 1.1
- Eligible investor, [SA-1] 1.1
- Employee, [CAC] 66.2(3)
- Employer, [CAC] 66.2(3)
- Enterprise registered, [BCA] 2
- Entity, [CBCA] 2; [CAC] 2(1)
- Equity interest, [CAC] 108(1)
- Establishment of security entitlement, [ATS] 6
- Event of default, [CBCA] 82
- Evidence of appointment or incumbency, [CBCA] 77(4)
- Exam, [SA-2] 3.1
- Exchange, [SA-2] 8.22

## ANALYTICAL INDEX

- Exchange policy, [SA-1] 5.1(2)
- Exclusive dealing, [CAC] 77(1)
- Executive officer, [SA-1] 1.1
- Exempt market dealer, [SA-1] 1.1.1; [SA-2] 1.1
- Expert, [SA] 225.3
- Extra-provincial authority, [SA] 305.1
- Extra-provincial securities commission, [SA] 305.1
- Extra-provincial securities laws, [SA] 305.1
- Federal board, [CAC] 125(2)
- Federal financial institution, [CAC] 49(3)
- Fiduciary, [CBCA] 48
- Financial assets, [ATS] 12-13; [SA-1] 1.1
- Financial interest, [CBCA] 237.1
- Financial loss, [CBCA] 237.1
- Financial or other interest, [SA-2] 8.25
- Financial statements, [SA-1] 1.1
- First IFRS financial statements, [SA-1] 1.1.1
- Foreign custodian, [SA-2] 1.1
- Foreign security, [SA-2] 8.26
- Foreign state, [CAC] 30
- Form of proxy, [CBCA] 147
- Forward-looking information, [SA] 5
- Founder, [SA-1] 1.1
- Fully managed account, [SA-1] 1.1
- Fungible, [CBCA] 48
- Futures contract, [ATS] 17
- Genuine, [CBCA] 48
- Geographical indication, [TMA] 2
- Going-private transaction, [CBCA] 2; [CBCA-1] 3(1)
- Good faith, [CBCA] 48
- Government body, [ALPE] 102
- Gross proceeds, [SA-1] 5.1(2)
- Group, [BCA] 2
- Group insurance, [SA-1] 2.39(1)(a), 3.39(1)(a)
- Holder, [CBCA] 48
- Holding body corporate, [CBCA] 2(4)
- Holding entity, [SA-1] 2.22
- IIROC, [SA-2] 1.1
- Incapable, [CBAC] 2(1)
- Incorporator, [CBCA] 2
- Indirect interest, [SA-1] 1.2
- Individual, [CBCA] 2
- Individual with significant control, [CBCA] 2.1
- Infant, [CBCA] 2(8)
- Influential person, [SA] 225.3
- Information, [CAC] 2(1)
- Information system, [CBCA] 252.1
- Insider, [CBCA] 126, 131; [SA] 5, 89
- Insurance company, [SA-1] 2.39(1)(a)
- Interest, [BCA] 122
- Interested person, [CBCA] 209
- Interim period, [SA-2] 1.1
- Intermediary, [CBCA] 147
- Investment contract, [SA] 1
- Investment dealer, [SA-1] 1.1.1; [SA-2] 1.1
- Investment fund, [SA] 5; [SA-1] 1.1
- Investment fund manager, [SA] 5
- Investor relations activities, [SA-1] 2.22
- Investor relations person, [SA-1] 2.22
- Issuer, [ATS] 7; [CBCA] 48; [SA] 5
- Issuer bid requirements, [SA-1] 2.22
- Issuer's GAAP, [SA-1] 1.1
- Issuer's security, [SA] 225.3
- Judge, [CAC] 30
- Legal person, [CA] 216(1)
- Constituted in Quebec, [ALPE] 31
- Liability, [CBCA] 2
- Life insurance, [SA-1] 2.39(1)(a)
- Limited liability company, [ATS] 14
- Liquidation, [BCA] 323
- Liquidity provider, [SA-1] 1.1
- Listed issuer, [SA-1] 2.22
- Listed security, [SA-1] 5.1(2)
- Locator, [CAC] 2(1)
- Major subsidiary, [CBCA-1] 72.2(1)
- Managed account, [SA-2] 1.1
- Management's discussion and analysis, [SA] 225.3
- Manager, [CA] 3(5), 124(6)
- Mandatary, [CBCA] 2(1)
- Market restriction, [CAC] 77(1)
- Marketplace, [SA-1] 1.1; [SA-2] 1.1
- Material change, [SA] 5.3
- Material fact, [SA] 5
- Maximum holdings
- Aggregate, [CBCA-1] 73
- Individual, [CBCA-1] 73
- MD&A, [SA-1] 1.1
- Member, [CA] 216(3)
- Member of senior management, [CBCA-1] 72.2(3)
- Merger, [CAC] 91
- MFDA, [SA-2] 1.1
- Minister, [CAC] 2(1); [CBCA] 2; [TMA] 11.11, 51.02
- Misrepresentation, [SA] 5, 197
- Multi-level marketing plan, [CAC] 55(1)
- Mutual fund, [SA] 5
- Mutual fund dealer, [SA] 5.6; [SA-2] 1.1
- New financial year, [SA-1] 1.1.1
- NI 51-102, [CBCA-1] 1
- NI 52-107, [CBCA-1] 70
- Nice Classification, [LMC] 2, 70(1)
- Non-redeemable investment fund, [SA] 5; [SA-1] 1.1
- Offer, [CBCA] 206



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Offeree, [CBCA] 206
- Offeree corporation, [CBCA] 206
- Offering memorandum, [SA] 5
- Offeror, [CBCA] 206
- Officer, [BCA] 2; [CBCA] 2, 126; [SA] 5
- Official mark, [CBCA-1] 17
- Old financial year, [SA-1] 1.1.1
- OM marketing materials, [SA-1] 1.1.1
- OM standard term sheet, [SA-1] 1.1.1
- Open-end mutual fund, [CBCA] 26(12)
- Operating business, [CAC] 108(1)
- Operating charge, [SA-2] 1.1
- Option, [ATS] 16
- Ordinary resolution, [BCA] 2; [CBCA] 2
- Other company, [CA] 3(2), 124(3)
- Overissue, [CBCA] 48
- Owner, [TMA] 2, 51.02
- Parent legal person, [BCA] 2; [CA] 123.1
- Participant, [CAC] 69(1)
- Participating securities, [CBCA-1] 3(2)
- Participation, [ATS] 9(2), 11; [BCA] 2
- Particular subsidiary, [CBCA-1] 35
- Partnership, [CAC] 2(2)
- PDO exam, [SA-2] 3.1
- Permitted assign, [SA-1] 2.22
- Permitted client, [SA-2] 1.1, 8.26
- Permitted supranational agency, [SA-2] 8.21
- Person, [CAC] 108(1); [CBCA] 2; [SA] 5.1; [TMA] 2
- Person interested, [TMA] 2
- Personal body corporate, [CBCA] 237.5(2)
- Personal representative, [CBCA] 2
- Plan, [SA-1] 2.22
- Plan administrator, [SA-2] 8.16
- Policy, [SA-1] 2.39(1)(a); [SA-2] 8.22
- Portfolio manager, [SA-1] 1.1.1; [SA-2] 1.1
- Prescribed, [CAC] 108(1); [CBCA] 2; [TMA] 2
- Price, [CAC] 45(8)
- Principal jurisdiction, [SA-2] 1.1
- Principal regulator, [SA-2] 1.1, 1.3
- Prior exchange offering, [SA-1] 5.1(2)
- Prior legislation, [CBCA] 2(1)
- Private enterprise, [SA-1] 1.1
- Privileged information, [SA] 5
- Product, [CAC] 2(1)
- Professional association, [SA-1] 1.1
- Promissory notes, [ATS] 15
- Property, [CBCA] 25(5)
- Proposed certification mark, [TMA] 2
- Proposed trademark, [TMA] 2
- Protected geographical indication, [TMA] 2
- Protected mark, [TMA] 51.02
- Provincial board, [CAC] 126(2)
- Proxy, [CBCA] 147
- Public oral statement, [SA] 225.3
- Publicly accountable enterprise, [SA-1] 1.1
- Purchaser, [ATS] 6; [CBCA] 48
- Put, [CBCA] 2
- QT circular, [SA] 1.1
- Qualified appraiser, [SA-1] 1.1
- Qualified custodian, [SA-2] 1.1
- Qualifying issuer, [SA-1] 1.1, 8.2
- Québec authority, [SA] 305.1
- Quebec securities laws, [SA] 305.1
- Records, [CAC] 2(1); [CBCA] 20(3)
- Redeemable share, [BCA] 2; [CBCA] 2
- Referral arrangement, [SA-2] 13.7
- Referral fee, [SA-2] 13.7
- Register, [CA] 3(8), 124(9), 216(4); [TMA] 2
- Registered, [CAC] 85
- Registered firm, [SA-2] 1.1
- Registered individual, [SA-2] 1.1
- Registered trademark, [TMA] 2
- Registered user, [TMA] 2
- Registrant, [ALPE] 18
- Registrar, [TMA] 2
- Related companies, [TMA] 2
- Related entity, [SA-1] 2.22
- Related financial instrument, [SA] 89.2
- Related issuer, [SA-2] 1.1
- Related liabilities, [SA-1] 1.1
- Related person, [SA-1] 2.22
- Release, [SA] 225.3; [TMA] 2
- Relevant protected mark, [TMA] 51.02
- Reorganization, [CBCA] 191
- Reporting issuer, [BCA] 2; [SA] 5, 68
- Representative for service, [TMA] 2
- Resident Canadian, [CBCA] 2; [CBCA-1] 13
- Resolution, [BCA] 2
- Responsible authority, [TMA] 11.11
- Restricted dealer, [SA-2] 1.1
- Restricted portfolio manager, [SA-2] 1.1
- Retrospective, [SA-1] 1.1
- Retrospectively, [SA-1] 1.1
- RRIF, [SA-1] 1.1
- RRSP, [SA-1] 1.1
- Schedule III bank, [SA-1] 1.1; [SA-2] 1.1
- Scheme of pyramid selling, [CAC] 55.1(1)
- Scholarship plan dealer, [SA] 5.6; [SA-2] 1.1
- SEC issuer, [SA-1] 1.1.1
- Securities, [SA-2] 1.2, 8.2, 8.26, 14.5.1
- Securities authority in Canada, [SA] 308.2.1.1
- Securities authority outside Canada, [SA] 318.2
- Securities intermediaries, [ATS] 8
- Securitized product, [SA-1] 1.1
- Security, [ATS] 10-11; [BCA] 2; [CBCA] 2, 48

## ANALYTICAL INDEX

- Security certificate, [ATS] 9(1), 10; [CBCA] 48
  - Security holder approval, [SA-1] 2.22
  - Security interest, [CAC] 74.111(9); [CBCA] 2
  - SEDAR file, [SA-1] 1.1
  - Self-directed RESP, [SA-1] 1.1
  - Send, [CBCA] 2
  - Sender information, [CAC] 2(1)
  - Series, [CBCA] 2
  - Service, [CAC] 2(1)
  - Share, [CBCA] 126, 187(10), 206, 238
  - Shareholder, [BCA] 2; [CA] 3(4), 124(5), 225(2); [CBCA] 226
  - Short-term securitized product, [SA-1] 1.1
  - Sole proprietorship, [CAC] 2(2)
  - Solicitation, [CBCA] 147; [CBCA-1] 67, 68
  - • By or on behalf of the management of a corporation, [CBCA] 147
  - Special resolution, [BCA] 2; [CBCA] 2
  - Specialization agreement, [CAC] 85
  - Specified derivative, [SA-1] 1.1.1
  - Sponsoring firm, [SA-2] 1.1
  - Spouse, [SA-1] 1.1
  - Squeeze-out transaction, [CBCA] 2
  - Statement, [CBCA] 262
  - Structured finance product, [SA-1] 1.1.1
  - Sub-adviser, [SA-2] 1.1
  - Subject matter information, [CAC] 2(1)
  - Subsequently triggered report, [SA-1] 5.1(2)
  - Subsidiary, [BCA] 2; [CA] 123.1; [CBCA] 2(5); [SA] 9; [SA-1] 1.1; [SA-2] 1.1
  - Subsidiary corporation, [CAC] 2(3)
  - Successor credit rating organization, [SA-1] 1.1; [SA-2] 1.1
  - Sufficient presence of French in signs and posters, [CFL-1] 25.3-25.4
  - Superior court of criminal jurisdiction, [CAC] 34(8)
  - Supply, [CAC] 2(1)
  - Support agreement, [SA-1] 2.22
  - Syndicated mortgage, [SA-1] 1.1; [SA-2] 8.12
  - Take-over bid, [CBCA] 206
  - Telemarketing, [CAC] 52.1(1)
  - TFSA, [SA-1] 1.1
  - Tied selling, [CAC] 77(1)
  - To control, [BCA] 2
  - Total percentage return, [SA-2] 1.1
  - Trade, [SA-1] 1.7
  - Trade, industry or profession, [CAC] 2(1)
  - Trademark, [CBCA-1] 17; [TMA] 2
  - Trademark agent, [LMC] 51.13(7)
  - Trade-name, [CBCA-1] 17; [TMA] 2
  - Trade terms, [CAC] 75(3), 76(12), 80(2)
  - Trailing commission, [SA-2] 1.1
  - Transaction charge, [SA-2] 1.1
  - Transfer, [CBCA] 48
  - Transfer of security, [ATS] 6
  - Transition year, [SA-1] 1.1.1
  - Tribunal, [CAC] 2(1), 125(2), 126(2)
  - Trust indenture, [CBCA] 48, 82
  - Trustee, [CBCA] 82
  - TSX Venture Exchange, [SA-1] 5.1(2)
  - TSX Venture Exchange offering document, [SA-1] 5.1(2) 
  - Unanimous shareholder agreement, [CBCA] 2
  - Unauthorized, [CBCA] 2
  - Undertaking, [CA] 3(3), 124(4), 216(2)
  - Underwriting, [CAC] 5(2)
  - Unit or participation in a partnership or a limited liability company, [ATS] 14
  - US GAAP, [CBCA-1] 70
  - US laws, [SA-1] 1.1.1
  - Use, [CBCA-1] 17; [TMA] 2
  - Valid, [CBCA] 48
  - Variable insurance contract, [SA-1] 2.39(1)(b)
  - Voting security, [SA] 5
  - Voting share, [CAC] 108(1); [CBCA-1] 73
  - Warrant, [SA-1] 5.1(2)
  - Working day, [TMA] 51.02
  - Working office, [SA-2] 1.1
  - WTO Agreement, [TMA] 2
  - WTO member, [TMA] 2
- Delivered pricing**, [CAC] 80-81
- Delivery**
- Definition, [CBCA] 48
- Delivery shares**
- Definition, [CBCA-1] 35
  - Deposit Institutions and *Deposit Protection Act (c. A-26)*, [BCA] 404; [ATS] 8; [SA] 148.3, 160.1.1
- Deputy commissioner**
- Appointment, [CAC] 8(1)
  - Inquiry, [CAC] 8(4)
  - Powers, [CAC] 8(2), 8(5)
- Derivative**, [SA] 189.1
- Change in control, [SA] 92
  - Exception, [SA] 2.1
- Derivatives Act (c. I-14.01)**, [ATS] 4; [SA] 2.1, 92, 160.1.1, 189.1, 273.3, 305.1(2.1), 307.2(4)
- Director**, *see also* Board of directors, Officer
- Acting with prudence and diligence, [CCQ] 322 par. 1
  - Administrative penalty, [SA] 273.1, 273.2
  - Amalgamated corporation
  - • Liability for debts, [BCA] 287
  - Annulment of acts, [CCQ] 328

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Appointment, [BCA] 153
  - Being shareholder not required, [BCA] 109
  - Cessation of office, [BCA] 142
  - Competition (offence), [CAC] 65(4)
  - Concealing creditor's name, [CA] 61
  - Conflict of interests, [CCQ] 323-326
  - Continuance in office, [CCQ] 339; [BCA] 143
  - Conversion of shares, [CA] 55
  - Deceptive notice of winning a prize, [CAC] 53(5)
  - Deceptive telemarketing, [CAC] 52.1(8)
  - Decisions of the board of directors, [CCQ] 336-337
  - Definition, [SA] 5; [SA-1] 1.1
  - Designated
    - By the founders, [BCA] 107
    - By the members of a legal person, [CCQ] 338
  - Disclosure of interest, [CCQ] 324-325; [BCA] 122-133
  - Disqualification, [CCQ] 327; [SA] 273.3
  - Dissent, [CCQ] 337 par. 1; [BCA] 139
  - Dissolution of corporation, [BCA] 304
  - Duties, [CCQ] 322; [BCA] 119-133
  - Election, [BCA] 110, 144; [CA] 85, 88, 89
    - Cumulative voting, [BCA] 111
    - Disputed, [BCA] 454-455
    - Shareholder proposal, [BCA] 198
  - Exempted from the prospectus requirements, [SA-1] 2.22-2.29
  - Existing company, [CA] 16
  - Expenses, [CA] 90
  - Financial statements
    - Approval, [BCA] 227
    - Foreign directives, [CAC] 46
    - Founder of a corporation, [BCA] 4
    - Functions, [BCA] 112-118; [CA] 91-93
    - Good faith reliance, [BCA] 121
    - Honesty, [CCQ] 322 par. 2
    - Increase of capital, [CA] 57
    - Indemnification, [BCA] 159-161
    - Liability, [BCA] 154-158; [CA] 29
      - Improper dividends, [CA] 94
      - Liquidator of a succession, [CA] 86(2), 86(3)
      - Loan to shareholders, [CA] 95
      - Relief from, [BCA] 158
      - Transfer of shares, [CA] 72
      - Wages of employees, [BCA] 154; [CA] 96
    - Liability insurance, [BCA] 162
    - List, [BCA] 8(1)
    - Loyalty, [CCQ] 322 par. 2
    - Mandatary of a legal person, [CCQ] 321
    - Meeting of the board of directors
      - Waiver of notice, [CA] 89.1
      - Not present at a meeting, [CCQ] 337 par. 2; [BCA] 139
  - Number, [BCA] 106, 151-152; [CA] 87
  - Obligations, [CCQ] 321; [BCA] 119-120
  - Offence, [CFL] 207; [BCA] 493; [CA] 123
  - One director meeting, [BCA] 140
  - Powers, [CCQ] 321
  - Privileged information, [SA] 189
  - Procedure, [CA] 115
  - Prohibited acts, [BCA] 155-157
  - Prohibition to act as, [CCQ] 329-330
  - Provisional, [CA] 84
  - Qualifications, [BCA] 108; [CA] 86
  - Remedies, [BCA] 439-467
  - Removal, [BCA] 144
  - Resolution in lieu of meeting, [BCA] 140
  - Resolution passed at the meeting, [BCA] 139
  - Special majorities, [BCA] 7
  - Term of office, [CCQ] 339; [BCA] 107, 110
    - Cessation of office, [BCA] 142
  - Winding-up of a company, [WUA] 1
- Director (federal)**
- Amalgamation agreement, [CBCA] 183
  - Amendment to articles, [CBCA] 175
  - Annual meeting, [CBCA] 133
  - Attendance at meeting, [CBCA] 110
  - By-laws, [CBCA] 103
  - Ceasing to hold office, [CBCA] 108
  - Cumulative voting, [CBCA] 107
  - Definition, [CBCA] 2
  - Disclosure of interest, [CBCA] 120
  - Dissent, [CBCA] 123
  - Dissolution of the corporation, [CBCA] 210, 211
  - Distributing corporation, [CBCA] 2(6), 2(7)
  - Duty of care, [CBCA] 122
  - Election
    - Powers of court, [CBCA] 145
  - Financial statements, [CBCA] 155; [CBCA-1] 71-72
  - Functions, [CBCA] 102
  - Indemnification, [CBCA] 124
  - Information furnished to the auditor, [CBCA] 170
  - Inquiry
    - Powers, [CBCA] 236, 237
  - Liability, [CBCA] 118, 119
  - List, [CBCA] 106
  - Number, [CBCA] 112
  - Powers, [CBCA] 121, 268
  - Delegation, [CBCA] 115
    - Limits on authority, [CBCA] 115(3)
  - Powers of the receiver-manager, [CBCA] 96
  - Qualifications, [CBCA] 105; [CBCA-1] 16
  - Removal, [CBCA] 109
  - Remuneration, [CBCA] 125

## ANALYTICAL INDEX

- Resolution, [CBCA] 117
  - • Presumption of consent, [CBCA] 123
  - Restated articles, [CBCA] 180
  - Sale of constrained shares, [CBCA] 46(2)
  - Statement, [CBCA] 262
  - Vacancy, [CBCA] 111
  - Validity of acts, [CBCA] 116
  - Director (federal) B document**, [CBCA] 252, 254, 257
    - Alteration, [CBCA] 264
    - Cancellation of articles, [CBCA] 265.1; [CBCA-1] 96
    - Certificate, [CBCA] 263.1
    - Copy, [CBCA] 258
    - Correction, [CBCA] 265
    - Electronic form, [CBCA] 252.1-252.7, 258.1, 258.2; [CBCA-1] 6-12, 14
    - Exemption, [CBCA] 258.2; [CBCA-1] 14
    - Fees, [CBCA] 97, 261.1; [CBCA-1] 97-98, Annex 5
    - Inspection, [CBCA] 266
    - Maintenance, [CBCA] 267; [CBCA-1] 15
    - Time period, [CBCA-1] 15
    - Proof, [CBCA] 259
    - Publication, [CBCA] 267.1
    - Signing, [CBCA] 256, 262.1
    - Transmission, [CBCA] 258.1
    - Waiver of notice, [CBCA] 255
  - Director (Part 1A)**, *see also* Board of directors (Part 1A)
    - Amalgamation of companies, [CA] 123.124
    - Compromise or arrangement, [CA] 123.107.1
    - Continuance by-law, [CA] 123.132
    - Continuance in office, [CA] 123.76
    - Defence, [CA] 123.87, 123.89
    - Evaluation of assets, [CA] 123.67
    - Expenses, [CA] 123.88, 123.89
    - Liability
      - • Acquisition or payment of shares, [CA] 123.58
      - • Amalgamation of companies, [CA] 123.121
      - • Dividends, [CA] 123.71
      - • Financial assistance to shareholder, [CA] 123.69
      - • Reduction of the share capital, [CA] 123.64
      - Mandatary, [CA] 123.83
      - Number, [CA] 123.80
      - Organization meeting, [CA] 123.17
      - Penal or criminal proceedings, [CA] 123.87, 123.89
      - Presumption
        - • Acting with appropriate skill, [CA] 123.84
        - • Approval of a resolution, [CA] 123.85
        - • Non-approval of a resolution, [CA] 123.86
        - Qualifications, [CA] 123.73
    - Removal, [CA] 123.77-123.79
    - Remuneration, [CA] 123.75
    - Resignation, [CA] 123.76
    - Shareholder, [CA] 123.74
    - Vacancy, [CA] 123.78
  - Director (Part II)**
    - Election, [CA] 178, 181, 182
    - Expenses, [CA] 184
    - Functions, [CA] 185, 186
    - Liability
      - • Dissolution of the company, [CA] 132
      - • Improper dividends, [CA] 187
      - • Loans to shareholders, [CA] 188
      - • Transfer of shares, [CA] 164
      - • Wages of employees, [CA] 189
    - Number, [CA] 177
    - • Change, [CA] 180
    - Provisional, [CA] 177
    - Qualification, [CA] 179
    - Transfer of shares (refusal), [CA] 165
  - Disclosure of interest**
    - Access to, [BCA] 130
    - Application to court, [BCA] 131
    - Contract or transaction, [BCA] 126
    - • Contract approved by board of directors, [BCA] 132
    - • Shareholders approval, [BCA] 133
    - Deemed quorum, [BCA] 128
    - Director, [CCQ] 324 par. 2, 325-326
    - In writing, [BCA] 124
    - Must be clear, [BCA] 129
    - Obligation of a director, [BCA] 122
    - Obligation of an officer, [BCA] 122, 125
    - Shareholder approval, [BCA] 129, 133
    - Voting on a resolution, [BCA] 127
  - Disclosure requirements**
    - Insider reports, [SA] 89-92, 94-98
    - Investment fund, [SA] 109.5, 109.6
    - Misrepresentation, [SA] 196, 197
    - • Damages, [SA] 217-225.1
    - Other issuer, [SA] 74
    - Reporting issuer, [SA] 68, 73
    - Special regulatory schemes, [SA] 64-66
  - Dissenting offeree**
    - Definition, [CBCA] 206
  - Dissident**
    - Definition, [CBCA] 60
  - Dissident's proxy circular (federal)**
    - Form, [CBCA-1] 57(1)
    - • Non-distributing corporation, [CBCA-1] 57(2)
    - Form of statement, [CBCA-1] 64(2)
- 

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Dissolution of a corporation**, *see also* Revival of a corporation
- Application to the court, [BCA] 461-467
  - Consent, [BCA] 304
  - By filing of declaration of sole shareholder, [BCA] 312-315
    - Of shareholders, [BCA] 308-311
    - Of the board of directors, [BCA] 316
  - Continuation of proceedings, [BCA] 306
  - Service, [BCA] 307
  - Date, [BCA] 321
  - Declaration, [BCA] 317, 320
    - Delivery to enterprise registrar, [BCA] 318
    - Requirements, [BCA] 318
    - Signature, [BCA] 319, 322
  - Obligations of the corporation, [BCA] 305
  - Revival, [BCA] 365-371
- Dissolution of a legal person**, [CCQ] 355-364
- Distinctive**
- Definition, [CBCA-1] 17
- Distinguishing guise registrable**, [TMA] 13
- Distributing corporation**
- Definition, [SA-1] 2
- Distribution**
- By the issuer, [SA] 64
  - Definition, [SA] 5
  - Exempted from prospectus, [SA-1] 2.31
  - Exempted from registration, [SA-1] 3.31
- Distribution of securities**, *see also* Prospectus, Security
- By the issuer, [SA] 64
  - By third person, [SA] 40
  - Cessation, [SA] 38, 39
  - Decision of the Authority, [SA] 37
  - Documents, [SA] 16, 17
  - Notice of resolution, [SA] 30-32
  - Preliminary prospectus, [SA] 20-24
  - Prospectus, [SA] 11
  - Simplified prospectus, [SA] 18, 18.1, 19
  - Without prospectus, [SA] 204.1
- Diversity (disclosure relating to)**, [CBCA-1] 72.2
- Dividend**, *see also* Share
- Company (Part 1A), [CA] 123.70, 123.71
  - Company (Part II), [CA] 146(5), 171-175
  - Corporation (federal), [CBCA] 42-43
  - Deduction, [BCA] 105; [CA] 82, 174
  - Exempted from prospectus, [SA-1] 2.31
  - Foreign currency, [CA] 175
  - Improper, [CA] 94
  - Limitations, [BCA] 104; [CA] 79, 123.70, 171
    - Exception, [CA] 80, 172
- Mining company, [CA] 80, 172
  - Payment, [BCA] 103; [CA] 80-81, 172-173
  - Reserve fund, [CA] 79, 171
- Divorce Act (R.S.C. (1985), ch. 3 (2nd Supp.)), [SA-1] 1.1**
- Document, [BCA-3] 1**
- Form and content, [BCA-3] 2
  - Annexes, [BCA-3] 4
  - Back of the pages, [BCA-3] 6
  - Margins, [BCA-3] 5
  - Title, [BCA-3] 3
  - In securities laws, [SA] 5.4
- Domicile**
- Legal person, [CCQ] 307-308
- Double ticketing**, [CAC] 54, 60
- Offence, [CAC] 54(2)
- E-**
- Education Act (c. I-13.3), [ALPE-2] 2(18); [CA-1] 1(18); [BCA-4] 1(18)**
- Education Act for Cree, Inuit and Naskapi Native Persons (c. I-14), [ALPE-2] 2(19); [CA-1] 1(19); [BCA-4] 1(19); [BCA-5] 1(19)**
- Electronic document**, *see* Director (federal) B document
- Employee**
- Exempted from prospectus, [SA-1] 2.22-2.29
  - Exempted from registration, [SA-1] 3.22-3.28, 3.47
- End of the taxation year**
- Definition, [CBCA-1] 1
- Enterprise**, *see also* Company, Undertaking
- Activity in Quebec, [ALPE] 25
  - Business combination and reorganization
  - Exempted from prospectus, [SA-1] 2.11
  - Name
  - Language, [CFL] 63-68; [CFL-1] 7, 13, 25, 27
- Enterprise register**, [BCA] 2, *see also* Registration
- Administrative remedy, [ALPE-2] 21
  - Attestation, [ALPE] 108
  - Fees, [ALPE-2] 19
  - Certified copy
  - Fees, [ALPE-2] 18
  - Compilation of information, [ALPE] 101, 103-104
  - Composition, [ALPE] 13
  - Consultation, [ALPE] 99-100
  - Fees, [ALPE-2] 15, 16.2
  - Content, [ALPE] 13
  - Copy, [ALPE-2] 16
  - Correction of documents, [ALPE] 94-95
  - Correction of errors, [ALPE] 93

## ANALYTICAL INDEX

- Deposit of documents
  - Cancellation, [ALPE-2] 21; [ALPE] 96-97
  - Fees, [ALPE-2] 14
  - Document
  - Certified copy, [ALPE] 107
  - Copy or extract, [ALPE] 106
  - Fees, [ALPE] 75-79
  - Index of documents, [ALPE] 14, 90
  - Copy, [ALPE] 105
  - Correction, [ALPE] 93
  - Index of names, [ALPE] 91
  - Copy, [ALPE] 105
  - Correction, [ALPE] 93
  - Keeping, [ALPE] 12
  - Support medium, [ALPE] 16
  - Name of the registrant, [ALPE] 17, 72
  - Change or replacement, [ALPE] 18, 20; [BCA] 24, 27
  - No right conferred, [ALPE] 19
  - Powers of registrar, [ALPE] 20
  - Registration, [ALPE] 21-74
  - Reproduction, [ALPE] 15
  - Setting up and proof, [ALPE] 98
  - Statement of information, [ALPE-1] 1; [ALPE] 92
  - Copy, [ALPE] 105
  - Correction, [ALPE] 93
  - Transitional provisions, [ALPE] 294
  - Enterprise registrar**, *see also* Constituting act, Registration
    - Agreement entered into by Minister, [ALPE] 116-123
    - Annual updating obligation, [ALPE] 92
    - Appointment by Minister, [ALPE] 1
    - Auditor, [CA] 113(2)
    - Certificate and articles
    - Authenticity, [CA] 2.5
    - Charter, [CA] 6, 126.1
    - Company (Part 1A)
    - Amalgamation, [CA] 123.119
    - Articles, [CA] 123.160, 123.161
    - Cancellation of articles, [CA] 123.144
    - Certificate, [CA] 123.61, 123.163, 123.164
    - Compromise or arrangement, [CA] 123.108, 123.109
    - Continuance, [CA] 123.136
    - Correction of articles, [CA] 123.142
    - Powers, [CA] 123.160, 123.162, 123.163
    - Company (Part II)
    - Changing the value of the shares, [CA] 156, 157
    - Cooperative
    - Continuance, [CA] 227.5
  - Corporation
  - Articles
  - Cancellation, [BCA] 268
  - Correction, [BCA] 248, 250, 253, 255-256
  - Constitution, [BCA] 8
  - Continuance, [BCA] 295, 299, 301-302
  - Correction of documents, [BCA] 483-484
  - Designating number, [BCA] 23
  - Dissolution, [BCA] 321
  - Documents, [BCA] 468-484
  - Replacement or change of name, [BCA] 24-28
  - Reservation of name, [BCA] 17; [BCA-4] 6; [BCA-5] 6
  - Revival, [BCA] 365-371
  - Delegation of powers, [ALPE] 6-7
  - Deposit of document, [ALPE] 90-91
  - Document
  - Attached or filed with another, [ALPE] 110
  - Authenticity, [ALPE] 9
  - Copy, [CA] 2.4
  - Corporation (federal), [BCA] 9
  - Form and content, [CA] 2.7; [BCA-3]
  - Document management, [ALPE] 109
  - Conditions, [ALPE] 111
  - Presumption, [ALPE] 113
  - Duties
  - Company (Part 1A), [CA] 123.15
  - Employees, [ALPE] 6
  - Enterprise name
  - Change, [ALPE-2] 21; [CA] 18.1-22, 123.27.1-123.27.4
  - Not in conformity, [CA] 10, 19
  - Research report, [CA] 9.2, 10.1
  - Reservation, [CA] 9.2
  - Fees, [CA] 22.1, 123.171.1, 488
  - Filing of technology-based documents
  - By an intermediary, [ALPE] 114
  - Requirements, [ALPE] 112, 479-482
  - Signature requirements, [ALPE] 113
  - Time received, [ALPE] 115
  - Formation of new company, [CA] 6
  - Functions, [ALPE] 2, 3
  - Hindrance prohibited, [ALPE] 126
  - Immunity, [ALPE] 10-11, 127
  - Inspection, [ALPE] 124, 130-131; [CA] 110, 203
  - Investigation, [ALPE] 128-131
  - Legal person
  - Activity or identification under another name, [CCQ] 306
  - Appointment or revocation of the liquidator, [CCQ] 359
  - Dissolution, [CCQ] 358
  - Letters patent, [CA] 6, 10.1
  - Amalgamation of companies, [CA] 18(5)
- 

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Ceasing to grant (date), [CA] 123.0.1
  - • Correction, [CA] 12
  - • Existing company, [CA] 14.3, 16
  - New legal person (Part III), [CA] 220, 221
  - Powers, [ALPE] 125
  - Public officer, [ALPE] 1
  - Registers and archives
  - • Copy, [CA] 1.2
  - • Custody, [CA] 1.2
  - • Transitional provisions, [ALPE-2] 26, 31
  - Replacement, [ALPE] 5
  - Responsibilities, [ALPE] 2-3
  - Signature, [ALPE] 8
  - Signed copy
  - • Proof, [CA] 2.5
  - Supplementary letters patent, [CA] 4(2), 18.1, 19, 40, 49(2), 65, 123.0.1
  - Unanimous shareholder agreement, [BCA] 215
- Entity**
- Definition, [CBCA] 2
- Escheats Act (R.S.C. (1985), ch. E-13), [CBCA] 47(6)**
- Establishment of security entitlements**
- Acquisition, [ATS] 103-106
  - Application of the Act, [ATS] 5
  - Bills and notes, [ATS] 15
  - Cases of liability, [ATS] 49
  - Endorsement order
  - • Appropriate person, [ATS] 41
  - • Continued effectiveness, [ATS] 44
  - • Definitions, [ATS] 39
  - • Representative, [ATS] 42
  - • Validity, [ATS] 40
  - Financial asset, [ATS] 12-13
  - Futures contract, [ATS] 17
  - Issuer, [ATS] 7
  - Notice
  - • Communication, [ATS] 22
  - • Given, [ATS] 19
  - • Group considered to have notice, [ATS] 21
  - • Of adverse claim, [ATS] 23-27
  - • Person, [ATS] 18
  - • Received, [ATS] 20
  - Options, [ATS] 16
  - Participation, [ATS] 9(2)
  - Partnership, limited liability company, [ATS] 14
  - Priority rules, [ATS] 129-130
  - Purchaser, [ATS] 6
  - Purpose of the Act, [ATS] 1
  - Requirements, [ATS] 3
  - Rights of entitlement holder or other purchaser, [ATS] 107-114
  - Securities intermediaries, [ATS] 8
  - • Duties, [ATS] 116-128
  - • Purchaser, [ATS] 115
  - Security, [ATS] 10-11
  - Security certificate, [ATS] 9.1
  - Suppletive provisions, [ATS] 2
  - • Clearing agency rules, [ATS] 4
  - Warranties, [ATS] 126-128
- Ethics**
- Dealer or adviser, [SA] 160
- Event of default**
- Definition, [CBCA] 82
- Evidence, see Proof**
- Evidence of appointment or incumbency**
- Definition, [CBCA] 77(4)
- Exam**
- Individual
  - • General proficiency requirements, [SA-2] 3.1-3.3
- Exchange contract**
- Exempted from registration, [SA-2] 8.20
  - Language, [CFL] 55.1
- Exclusive dealing, [CAC] 77**
- Application to the Tribunal, [CAC] 103.1-107
- Executive committee**
- Composition, [CA] 92, 183
  - Powers, [CA] 92, 183
  - Provisions applicable, [CA] 89.4
- Executive officer**
- Definition, [SA-1] 1.1
  - Exempted from prospectus, [SA-1] 2.22-2.29
- Exempt market dealer**
- Chief compliance officer, [SA-2] 3.10
  - Registration, [SA-2] 7.1, 16.7
  - • Change in category, [SA-2] 16.3
  - Representative, [SA-2] 3.9
- Exemption, [SA-1] 7.1, see also Registration requirements (exemption)**
- Expert, [SA] 225.3**
- Extra-provincial authority**
- Authority
  - • Delegation of powers, [SA] 307.3
  - Definition, [SA] 305.1
- Extra-provincial securities commission, see also Authority, Quebec authority**
- Decision, [SA] 307.5
  - • Appeal, [SA] 307.6
  - • Enforceable decision, [SA] 307.7
  - • Provisions applicable, [SA] 307.6
  - • Referral, [SA] 311
  - Definition, [SA] 305.1

## ANALYTICAL INDEX

### **Extra-provincial securities laws**

- Definition, [SA] 305.1
- Incorporation by reference, [SA] 308-308.1.1

**-F-**

### **False or misleading representation, [CAC] 52-**

- 52.02, 74.1(6)
  - Accompanying products, [CAC] 52(2), 74.03
  - Deemed representation to public, [CAC] 52(3)
  - Duplication of proceedings, [CAC] 52(7), 52.01(8)
  - Electronic message, [CAC] 52.01(2), 52.01(9), 74.01(2)
  - From outside Canada, [CAC] 52(2.1)
  - General impression to be considered, [CAC] 52(4), 52.01(5), 74.011(4)
  - In a locator, [CAC] 52.01(3), 74.011(3)
  - Interpretation, [CAC] 74.011(5)
  - Penalty, [CAC] 52(5), 52.01(6)
  - Proof, [CAC] 52(1.1), 52.01(4)
  - Reviewable conduct, [CAC] 52(6), 52.01(7), 74.01(1)
  - Sender or subject matter information, [CAC] 52.01(1), 74.011(1)

### **Federal Court**

- Competition matters
- • Injunction, [CAC] 33
- • Interim injunction, [CAC] 33(1)
- • Jurisdiction, [CAC] 73(1)
- • Offence involving telecommunication
- • • Injunction, [CAC] 33(1.1), 33(1.2)
- • Powers to restrain trade, [CAC] 32
- • Prohibition order, [CAC] 34
- • Recovery of damages, [CAC] 36
- • Returns, [CAC] 35
- Trademark, [TMA] 52, 57
- • Concurrent use of confusing marks, [TMA] 21
- • Judgments, [TMA] 61

### **Federal Court of Appeal, [CAC] 34(3), 33(4), 73(3), 74.18-74.19**

- #### **Fees, [CA] 22.1, 123.171.1**
- Legal publicity, [ALPE] 75-89

### **Fiduciary**

- Definition, [CBCA] 48

### **Financial Administration Act (c. A-6.001), [ALPE] 102**

### **Financial interest**

- Definition, [CBCA] 237.1

### **Financial loss**

- Definition, [CBCA] 237.1
- Degree of responsibility, [CBCA] 237.3

### **Financial Markets Administrative Tribunal, *see also* Authority**

- Administrative penalty, [SA] 273.1
- Application, [SA] 307.8
- Cession of activities, [SA] 265-267
- Clarification, [SA] 255
- Costs, [SA] 273.2
- Decision, [SA] 323.8.1
  - • By a self-regulatory organization, [SA] 308.0.3
- Disqualification, [SA] 273.3
- Exemption
- • Denial of, [SA] 264
- • Requirements of extra-provincial securities laws, [SA] 307.8
- Freeze order, [SA] 249, 252-254, 256
- • Effective period, [SA] 250
- • Hearing and granting of extension, [SA] 250
- Matter before an extra-provincial securities commission, [SA] 307.4
- Order, [SA] 262.1-262.5
- Powers, [SA] 152, 172
- Public interest measure, [SA] 262.1
- Reciprocity, [SA] 308.2.1.5
- Representations, [SA] 270
- Reprimand, [SA] 273

### **Financial services cooperative, [SA] 149.1**

### **Financial statements, *see also* Auditor**

- Approval by the board, [BCA] 227
- Auditor's report, [BCA] 230
- Balance sheet, [BCA] 226
- Consultation, [BCA] 228-229
- • Application to the court, [BCA] 228
- Contents, [BCA] 226
- Copy, [BCA] 225
- Corporation (federal), [CBCA] 155-160, 169; [CBCA-1] 71, 72
- Corporation's subsidiary, [BCA] 229
- In proxy circular, [CBCA-1] 66
- Income statement, [BCA] 226
- Keeping, [BCA] 228
- Publication, [BCA] 227
- Shareholders meeting, [BCA] 225

### **Food and Drugs Act, [CAC] 7(1)(c)**

### **Foreign court**

- Powers of the Authority, [SA] 151.0.1(2)

### **Foreign directives, [CAC] 46**

### **Form of proxy, *see also* Proxy**

- Appointing proxyholder, [CBCA] 148
- Definition, [CBCA] 147
- Untrue statement or omission, [CBCA] 154

### **Forward-looking information**

- Definition, [SA] 5
- Misrepresentation, [SA] 225.0.1



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

**Fraud**, [CCQ] 316-317; [SA] 199.1(2)

**Freeze order**, [SA] 249

- Applicability, [SA] 252-254
- Clarification by the Financial Markets Administrative Tribunal, [SA] 255
- Effective period, [SA] 250
- Granting of extension, [SA] 250
- Registry office, [SA] 256
- Safety deposit box, [SA] 251

**Fungible**

- Definition, [CBCA] 48

### -G-

**GAAP**, *see* Canadian GAAP, US GAAP

**GAAS**, *see* Canadian GAAS, US GAAS

**Game of chance or skill**, [CAC] 74.06, 74.1(6)

**Gazette officielle du Québec**

- Address of the office of the Authority, [SA] 293
- Agreement with another government or extra-provincial securities commission, [SA] 308.4
- Enterprise registrar
- Delegation of powers, [ALPE] 6-7
- Legal person
- Judicial attribution of personality, [CCQ] 333 par. 2
- Letters patent, [CA] 123.0.1
- Notice of meeting, [CA] 84
- Regulation
- Coming into force, [CA] 23(5), 25, 123.70
- Fees, [CA] 23
- Prior notice, [CA] 23(5)
- Securities, [SA] 331.2

**General meeting**

- Agenda, [CCQ] 348
- Borrowing and hypothecating powers, [CA] 77
- Company (part II), [CA] 190-196, 209
- Convening of, [CCQ] 345 par. 1
- Decisions, [CCQ] 351
- First one, [CCQ] 345 par. 2
- Legal person, [CCQ] 311, 345-354
- Member represented, [CCQ] 350
- Notice, [CCQ] 346-347, 353; [CA] 97, 99, 116
- Presiding officer, [CA] 100
- Procedure, [CA] 115
- Proceedings, [CCQ] 349
- Proxy, [CA] 103
- Resolution, [CCQ] 354; [CA] 101
- Voting, [CCQ] 351 par. 2; [CA] 101, 102
- Winding-up of company, [WUA] 2, 15, 16

**General partnership**

- Legal publicity, [ALPE-2] 1

- Registration, [ALPE] 21(2)

**Genuine**

- Definition, [CBCA] 48

**Geographical indication**, *see* Trademark

**Gift**

- Share capital, [BCA] 99

**Going-private transaction**, [CBCA] 193

- Definition, [CBCA] 2; [CBCA-1] 3(1)

**Good faith**

- Definition, [CBCA] 48

**Government**

- Agreement with another government or an extra-provincial securities commission, [SA] 308.1.1-308.4
- Powers and functions, [SA] 308.2.2
- Inquiry into the affairs of a legal person, [CA] 230
- Regulation
- Company (Part 1A), [CA] 123.69, 123.70
- Corporation, [BCA] 489
- Fees, [CA] 23
- Legal publicity, [ALPE] 150-151
- Securities, [SA] 331-333

**Governor in council**

- Commissioner of Competition, [CAC] 7(1)
- Inquiry (regulations), [CAC] 24(1)
- Powers of other persons, [CAC] 8(3)
- Customs duties, [CAC] 31
- Deputy commissioner, [CAC] 8(2)
- Regulation, [CAC] 124, 128; [CBCA] 261
- Trademarks, [TMA] 11.18(5), 65

**Group**

- Definition, [BCA] 2

### -H-

**Head office**, [CA] 32, *see also* Company (Part 1A)

- Company, [CA] 135
- Company (part 1A), [CA] 123.34-123.36

**Health services and social services**

- Language, [CFL] 70

**Highway Safety Code (c. C-24.2)**, [CFL-1] 15

**Holder**

- Definition, [CBCA] 48

**Holding body corporate**

- Definition, [BCA] 2; [CA] 123.1; [CBCA] 2

**Hypothecary creditor**, *see also* Creditor

- Recourse, [BCA] 87

## ANALYTICAL INDEX

-4-

- Immigration and Refugee Protection Act (2001, c. 27), [CBCA] 2; [TMA] 11.17(2)**
- Immovable with a social or community destination owed by a legal person**
- Housing assistance, [CA] 227.7-227.18
  - Alienation, [CA] 227.9
  - • Authorization by the minister, [CA] 227.9, 227.10
  - • • Exception, [CA] 227.9
  - • • Registration at the Land Registry Office, [CA] 227.11
  - Court order for stopping a transaction without authorization, [CA] 227.13
  - Nullity in case of contravention, [CA] 227.12
  - Obligation of the legal person, [CA] 227.8
  - Offence and penalty, [CA] 227.15-227.18
  - • Collusion, [CA] 227.17
  - • • Prescription, [CA] 227.18
  - • • Subsequent offence, [CA] 227.15, 227.16
  - • Winding-up of the legal person, [CA] 227.14
- Income Tax Act (R.S.C. (1985), ch. 1 (5th Supp.)), [CBCA-1] 36, 37, 87; [SA-1] 1.1; [SA-2] 1.1, 8.19**
- Incorporator**
- Definition, [CBCA] 2
- Indictable offence**
- Director, [CCQ] 329-330
- Individual**
- Definition, [CBCA] 2
- Industrial Design Act, [CAC] 79(5)**
- Information processor, [SA] 331.1**
- Recognition by the Authority, [SA] 169-169.1, 171.1-171.2
- Inquiry**
- Order of the court, [BCA] 450-454
- Injunction, [SA] 268, see also Interim injunction**
- Against third parties, [CAC] 33(1.2), 33(3)-33(7)
  - Cancellation of, [CAC] 33(5)
  - Duty of applicant, [CAC] 33(6)
  - *Ex parte* application, [CAC] 33(3)
  - Extension of, [CAC] 33(5)
  - Notice of application, [CAC] 33(2)
  - Offence involving telecommunication, [CAC] 33(1.1), 33(1.2), 33(3)-33(7)
  - Punishment for disobedience, [CAC] 33(7)
  - Terms of, [CAC] 33(4)
- Insider, [SA] 272.2**
- Administrative penalty, [SA] 273.1, 273.2
  - Definition, [CBCA] 126, 131; [SA] 5, 89
  - Disclosure requirements, [SA] 96, 97
- Presumption, [CBCA] 131(3), 131(3.1); [SA] 94
  - • Amalgamation of issuers, [SA] 95
  - Privileged information, [SA] 187, 188
  - Prohibition, [CBCA] 130
  - Responsibility, [CBCA] 131(4)-(7), 131(9)
  - Trading, [CBCA] 126; [CBCA-1] 39
- Insider report**
- Control on securities, [SA] 90, 96, 97
  - • Presumption, [SA] 91, 92
  - Economic interest, [SA] 89.1
  - Filing, [SA] 98
  - Obligations, [SA] 89.3, 96, 97
  - Related financial instrument, [SA] 89.2
- Insider trading**
- Interpretation, [CBCA] 126, 131; [CBCA-1] 39-42
  - Prohibition, [CBCA] 130
- Inspector, see also Investigation**
- Appointment, [BCA] 425(2)
  - Exchange of information, [BCA] 432-435, 438
  - Hearing, [BCA] 427
  - • *In camera*, [BCA] 430
  - • Right to counsel, [BCA] 431
  - Justification, [SA] 151.2
  - Order of appointment, [BCA] 429
  - Powers, [BCA] 426-428; [SA] 151.3
  - Report, [BCA] 436-437
- Insurance**
- Adviser, [SA-2] 12.4
  - Dealer, [SA-2] 12.3
  - Investment fund manager, [SA-2] 12.5
  - Registered firm, [SA-2] 12.6
  - • Change, claim or cancellation, [SA-2] 12.7
- Insurance Companies Act, [CAC] 29.2, 49(3), 103.3(3); [CBCA] 3(4), 186.1, 188(2), 268(4.2), 6(b); [CBCA-1] 87**
- Insurance company**
- Amendment to the constituting act, [BCA-1] 3
- Insurers Act (2018, c. 23), [SA] 160.1.1**
- Integrated Circuit Topography Act, [CAC] 79(5)**
- Interested person**
- Definition, [CBCA] 209
- Interim costs, [BCA] 443**
- Interim injunction, [CAC] 33(1), 33(3)-33(7), 74.101(2), 74.111**
- Cancellation of, [CAC] 33(5), 74.111(7)
  - *Ex parte* application, [CAC] 33(3), 74.111(5)
  - Extension of, [CAC] 33(5)
  - Notice of application, [CAC] 33(2), 74.111(4)
  - Terms of, [CAC] 33(4)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

### **Intermediary, *see also* Dealer or adviser**

- Definition, [CBCA] 147
- Duty, [CBCA] 153

### **International adviser**

- Exempted from registration, [SA-2] 8.26, 16.19

### **International dealer**

- Exempted from registration, [SA-2] 8.18, 16.18

### **International sub-adviser**

- Registration requirement exemptions, [SA-2] 8.26.1

### **Investigation, *see also* Inspector**

- Application to court, [BCA] 421, 423
- Company's inspector, [CA] 111, 204
- Offence and penalty, [CA] 111(3), 204(3)
- Powers, [CA] 111(2), 204(2)
- Procedure, [CA] 111(1), 204(1)
- Report, [CA] 111(2), 112, 204(2), 205
- Government inspector, [CA] 110, 203
- Application, [CA] 110(2), 203(2)
- Duties of officers, [CA] 110(3), 203(3)
- Expenses, [CA] 110(8), 203(8)
- Offence and penalty, [CA] 110(5), 203(5)
- Powers, [CA] 110(4), 203(4)
- Report, [CA] 110(1), (6), (7), 112; 203(1), (6), (7), 205
- Information, [BCA] 424
- Order of the court, [BCA] 422, 424

### **Investigation (federal), [CBCA] 230**

- Application to court, [CBCA] 229
- Criminating statement, [CBCA] 233
- Director
- Powers, [CBCA] 235, 237
- Hearing
- In camera, [CBCA] 232(1)
- Right to counsel, [CBCA] 232(2)
- Inspector
- Absolute privilege, [CBCA] 234
- Power, [CBCA] 231
- Powers of court, [CBCA] 230
- Solicitor-client privilege, [CBCA] 236

### **Investigation by the Authority**

- Action in damages, [SA] 225.17-225.18
- Appointment of investigator, [SA] 247
- Documents, [SA] 242, 243
- In camera, [SA] 244
- Oath, [SA] 238
- Object, [SA] 239
- Powers, [SA] 237
- Prohibited disclosure, [SA] 245
- Provisions applicable, [SA] 240
- Testimony, [SA] 241, 246

### **Investigative body**

- Register of individuals with significant control, [CBCA] 21.31-21.32

### **Investment contract**

- Definition, [SA] 1
- Prospectus, [SA] 66
- Required disclosure, [SA] 7

### **Investment dealer**

- Acting as portfolio manager
- IIROC membership, [SA-2] 9.1
- Exempted from registration, [SA-2] 9.3
- Member of IIROC, [SA-2] 3.16
- Registration, [SA-2] 7.1
- Representative, [SA-2] 3.15

### **Investment fund, *see also* Private investment fund**

- Accredited investor, [SA-1] 8.2
- Additional investment, [SA-2] 8.8
- Before September 14, 2005, [SA-2] 8.9
- Assets held by a registered firm in trust, [SA-2] 14.6
- Cash and securities held by a qualified custodian, [SA-2] 14.5.3
- Custodial provisions relating to certain margin or security interests, [SA-2] 14.6.1
- Exempted from prospectus, [SA-1] 2.18-2.21, 8.1
- Material change, [SA] 5.3
- Operating rules, [SA] 109.5
- Presumption of document reception, [SA] 109.6.1
- Qualified custodian requirement, [SA-2] 14.5.2
- Reinvestment, [SA-2] 8.7
- Restriction on self-custody, [SA-2] 14.5.2
- Short sale, [SA-2] 14.6.2
- Trustee, [SA] 109.6

### **Investment fund manager, *see also* Registered firm**

- Additional investment in investment fund, [SA-2] 8.8
- Audit or review, [SA] 151.5
- Books, records and documents, [SA] 158
- Capital accumulation plan examination, [SA-2] 8.28
- Chief of compliance officer, [SA-2] 3.14
- Conflict of interest, [SA-2] 13.4.2
- Definition, [SA] 5
- Disclosure requirements, [SA] 159.1
- Disclosure to clients, [SA-2] 14.2-14.5
- Duty to provide information, [SA-2] 14.1.1
- Exempted from registration, [SA-2] 2.3, 8.26.2, 8.7-8.8, 8.27, 16.5
- Financial information, [SA-2] 12.14
- Foreign, [SA-2] 16.6
- Information to be furnished to the Authority, [SA] 159.0.1
- Insurance, [SA-2] 12.5

## ANALYTICAL INDEX

- Obligations, [SA] 159.2-159.3
- Pre-trade disclosure of charges, [SA-2] 14.2.1
- Privileged information, [SA] 189
- Prohibition, [SA] 148
- Provisions not applicable, [SA-2] 13.1, 13.14, 14.1
- Registration, [SA-2] 7.3, 16.4
- Reinvestment in investment fund, [SA-2] 8.7
- Security holder statement, [SA-2] 14.15
- Tied selling, [SA-2] 11.8
- Written confirmation, [SA-2] 14.12(5)
  
- Investment Industry Regulatory Organization of Canada (IIROC), [SA-2] 1.1**
- Exemptions from certain requirements, [SA-2] 9.3
  
- Issuer, see also Closely-held issuer, Reporting issuer**
- Amalgamation, [SA] 95
- Continuous disclosure, [SA] 73-74
- Definition, [CBCA] 48; [SA] 5
- Material change, [SA] 5.3
  
- Issuer bid**
- Conditions, [SA] 112
- Definition, [SA] 111
- Irregular
- Motion to the court, [SA] 233.1
- Order, [SA] 233.2
- Misrepresentation, [SA] 222-225.1
  
- J-**
  
- Joint agreement, see Company (amalgamation)**
  
- Joint stock companies Act, [CA] 14(1)**
  
- Joint stock company, see Company (Part II)**
  
- L-**
  
- Land register**
- Syndicate of co-owners, [CCQ] 306, 358
  
- Language**
- Documents, [SA] 40.1
- Name of the registrant, [ALPE] 17
  
- Language of commerce and business**
- Administrative sanction and disciplinary measures, [CFL] 204.28-204.30, 204.32
- Presumption concerning exercise of functions
- Disciplinary measures, [CFL] 204.32
- Penal proceedings, [CFL] 205
- Public office holder, [CFL] 204.32
- Public servant, [CFL] 204.32
- Suspension or revocation of a permit or an authorization
- Contestation before the Administrative Tribunal of Québec, [CFL] 204.30
- Presentation of observations, [CFL] 204.29
- Prior notice to the holder, [CFL] 204.29
- Repeated offence, [CFL] 204.28
- Catalogues, brochures, folders, commercial directories, [CFL] 52
- Exception, [CFL-1] 14
- Convention, conference, fair or exhibition, [CFL-1] 12
- Cultural or educational product, [CFL-1] 11
- In a language other than French, [CFL-1] 13
- Separate versions, [CFL-1] 10
- Civil sanction, [CFL] 204.16-204.27
- Acquired right of workers and their associations, [CFL] 204.24
- Action under the *Civil Code*, [CLF] 204.16
- Court order, [CFL] 204.22
- Damages
- Nullity, [CFL] 204.18, 204.21
- Proof, [CFL] 204.21
- Reduction of obligations, [CFL] 204.20
- Non-compliant provision of a document, [CFL] 204.23
- Presumption concerning contracts
- Clause in a language other than French, [CFL] 204.26
- External clause, [CFL] 204.25
- Right to cessation of the violation, [CFL] 204.17
- Termination or suspension of contracts, [CFL] 204.19
- Testimony to contradict or vary the terms of a writing, [CFL] 204.27
- Computer software, [CFL] 52.1
- No French version, [CFL] 52.1
- Contract and related documents, [CFL] 55, 204.21
- Sales or exchange contract and related documents, [CFL] 55.1
- Cosmetic
- List of the ingredients, [CFL-1] 8.1
- Enterprise name, [CFL] 63
- Commercial advertising, [CFL] 68
- Delay to comply, [CFL] 65
- Family names, [CFL] 67
- Juridical personality, [CFL] 64
- Provisions applicable, [CFL] 66
- Version, [CFL] 68
- Exceptions, [CFL] 54.1
- Game or toy
- Exception, [CFL-1] 8
- Prohibition, [CFL] 54
- Health services and social services
- Language other than French, [CFL] 70
- Inscription on a product, [CFL] 51; [CFL-1] 1
- Exceptions, [CFL-1] 3, 7, 9, 56
- Cultural or educational product, [CFL-1] 2
- Perishable food, [CFL-1] 5

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • • Product used for medical, pharmaceutical or scientific purposes, [CFL-1] 6
- • • Tire, [CFL-1] 4
- • Translation, [CFL] 51
- Invoice, [CFL] 57
- Non-profit organization, [CFL] 71
- Offences and penalties, [CFL] 205-206
- Director or officer of a legal person or a group, [CFL] 207
- Separate offence for each day, [CFL] 208
- Subsequent offence, [CFL] 206
- Order form, [CFL] 52
- Public sign and poster and commercial advertising, [CFL] 58, 68; [CFL-1] 26
- Billboards visible from any public highway, [CFL-1] 15
- Convention, conference, fair or exhibition, [CFL-1] 24
- Cultural or educational product, [CFL-1] 22
- Device permanently installed in a public place, [CFL-1] 21
- Event intended for an international public, [CFL-1] 20
- Health or public safety, [CFL-1] 18
- Language other than French, [CFL-1] 25
- Museum, botanical garden, zoo or cultural or scientific exhibition, [CFL-1] 19
- Natural person
- • • Non-professional and non-commercial purposes, [CFL-1] 23
- Provisions not applicable, [CFL] 59
- Public means of transportation, [CFL-1] 16
- Sufficient presence of French in signs and posters, [CFL-1] 25.2
- • Definition, [CFL-1] 25.3-25.4
- • Exceptions, [CFL-1] 25.5
- Time limit for compliance, [CFL-1] 25.1-25.5 (transitional provision)
- Trade mark signs or posters outside an immovable, [CFL-1] 25.2
- Vehicle regularly used to transport passengers or merchandise, [CFL-1] 17
- Quittance, [CFL] 57
- Receipt, [CFL] 57
- Legacy**
- Share capital, [BCA] 99
- Legal partnership**
- Legal publicity, [ALPE-2] 1
- Registration, [ALPE] 21(2)
- Legal person, see also** Affiliate, Board of directors, Body corporate, Director, General meeting, Officer
- Action brought by a third person, [CCQ] 318
- Activities of, [CCQ] 310
- Acts, [CCQ] 309, 311
- Performed before its constitution, [CCQ] 319-320
- Administrator of another legal person, [CCQ] 304 par. 2
- Applicable laws, [CCQ] 300
- Board of directors, [CCQ] 311, 335-336
- By-laws, [CCQ] 313
- Capacity to exercise all rights, [CCQ] 303 par. 1
- Civil rights, [CCQ] 301, 303 par. 1
- Competition (offence), [CAC] 65(4), 68
- • Tried without a jury, [CAC] 67(4)
- Constituted in Quebec, [CCQ] 299 par. 1; [ALPE] 31
- Constituting act, [CCQ] 310
- Corporation, [BCA] 10
- Curator to property, [CCQ] 304 par. 2
- Curatorship to a person, [CCQ] 304 par. 1
- Deceptive notice of winning a prize, [CAC] 53
- Deceptive telemarketing, [CAC] 52.1
- Director, [CCQ] 321-330
- Dissolution, [CCQ] 355-356
- • Notice to the enterprise registrar, [CCQ] 358
- Distinct from its members, [CCQ] 309
- Domicile, [CCQ] 307-308
- Established for a private interest
- • Registration, [ALPE] 21(4)-(6)
- Existing of, [CCQ] 299 par. 2, 314
- Extra-patrimonial rights and obligations, [CCQ] 302
- Foreign directives, [CAC] 46
- Fraud, [CCQ] 316-317
- Functioning of, [CCQ] 310
- General meeting of the members, [CCQ] 311, 345-354
- In the public interest or for a private interest, [CCQ] 298-300
- Incapacities, [CCQ] 303 par. 2
- Inquiry by the Commissioner of Competition, [CAC] 11, 65(1), 65(3)
- Juridical personality, [CCQ] 298 par. 1, 317, 357
- • Judicial attribution, [CCQ] 331-333
- Liquidation, [CCQ] 357
- • By the Minister of Revenue, [CCQ] 363
- • Closing, [CCQ] 364
- Liquidator
- • Appointment or revocation, [CCQ] 359
- • Books and registers, [CCQ] 362
- • Functions, [CCQ] 361
- • Property of the legal person, [CCQ] 360
- Liquidator to a succession, [CCQ] 304 par. 2
- Members, [CCQ] 315

## ANALYTICAL INDEX

- Name, [CCQ] 305, 308
- • Activity or identification under another name, [CCQ] 306
- Patrimony, [CCQ] 302, 310
- Provisions applicable, [CCQ] 334
- Senior officer, [CCQ] 312
- Sequestrator, [CCQ] 304 par. 2
- Trustee, [CCQ] 304 par. 2
- Tutor to property, [CCQ] 304 par. 2
- Tutorship to a person, [CCQ] 304 par. 1
- Unanimous agreement of members, [CCQ] 310 par. 1
  
- Legal person (part III)**
- Additional return, [CA] 228
- Annual return, [CA] 229
- Annual subscription, [CA] 222
- Application, [CA] 219
- Application of Part III, [CA] 217
- Charter, [CA] 218
- Constitution, [CA] 218
- Control, [CA] 123.2
- Definition, [CA] 216(1)
- Existing, [CA] 221
- Fees, [CA] 233; [CA-3]
- Head office, [CA] 219
- Inquiry, [CA] 230
- Letters patent, [CA] 218
- • Cancellation, [CA] 231, 232
- • Deposit, [CA] 220, 221
- Liability, [CA] 226
- List of members, [CA] 223
- Name, [CA] 219
- • Change, [CA] 221.1, 221.2
- Other laws to apply, [CA] 227
- Provisions applicable, [CA] 224
  
- Legal publicity, see also Enterprise registrar, Registration**
- Act
- • Coming into force, [ALPE] 301-302
- • Reference, [ALPE] 282
- • Replaced, [ALPE] 281
- • Transitional provisions, [ALPE] 283-299
- Administrative remedy
- • Cancellation of a registration or deposit of documents, [ALPE] 132, 135; [ALPE-2] 21
- • Change of name, [ALPE] 134-135; [ALPE-2] 21
- • Correction or deletion, [ALPE] 133, 135; [ALPE-2] 21
- • Decision of registrar, [ALPE] 137-138
- • Observations of registrar, [ALPE] 136
- • Replacement of name, [ALPE] 134-135
- Annual updating declaration, [ALPE-1] 3
  
- Application of the Act
- • Minister responsible, [ALPE] 300
- Attorney, [ALPE-1] 4
- Cancellation, [ALPE-2] 21
- Change of name, [ALPE-2] 21
- Civil or penal proceeding, [ALPE] 142-146
- Classification system, [ALPE-1] 2
- Corporation, [BCA] 9
- Fees, [ALPE-2] 9-22; [ALPE] 75-76
- • Amount, [ALPE] 79
- • Payment, [ALPE] 78
- • Priority processing, [ALPE] 77
- Incorporation, [BCA] 9
- Offence and penalty, [ALPE] 152-163
- Proceedings before the Administrative Tribunal of Québec, [ALPE] 139
- • Decision, [ALPE] 141
- • Notice in register, [ALPE] 140
- Registrant who offer shelter to victims of violence, [ALPE-1] 5
- Regulatory provisions, [ALPE] 148-151
- Statement of information, [ALPE-1] 1
- Transitional provisions, [ALPE] 26-31, 36-37
- Trust, [ALPE-1] 6
  
- Letters patent, see also Enterprise registrar**
- Fees, [CA] 22.1
- Proof, [CA] 120
  
- Liability**
- Definition, [CBCA] 2
  
- Liability insurance**
- Directors and officers, [BCA] 162
  
- Licence, [CAC] 32(2)**
- To use trademark, [TMA] 50
  
- Limitation period, see Prescription**
  
- Liquidation**
- Exempted from registration, [SA-1] 3.46
  
- Liquidation of a corporation, see also Dissolution of a corporation, Liquidator**
- Acquisition of shares, [BCA] 96-97
- Application, [BCA] 461
- Application to court, [BCA] 351, 353
- • Judgment, [BCA] 352
- • Order, [BCA] 354
- Certificate of dissolution, [BCA] 349
- Closure, [BCA] 347
- Date of dissolution, [BCA] 348
- Definition, [BCA] 323
- Director
- • Liability, [BCA] 154
- Discontinuation, [BCA] 355
- • Effect, [BCA] 357-358
- • Notice, [BCA] 359

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Number of directors required, [BCA] 357
- • Order of the court, [BCA] 363-364
- • Withdrawal of consent, [BCA] 356, 360-362
- Registration
- • Updating information, [ALPE] 42
- Vote on resolution, [BCA] 324

### **Liquidation of a legal person, [CCQ] 355-364**

#### **Liquidator**

- Annual summary account, [BCA] 336
- Appointment, [BCA] 325; [WUA] 5
- • Board of directors dissolved, [BCA] 333
- • By judge, [WUA] 8
- Books and records, [BCA] 350; [WUA] 21
- Collection of sums due, [WUA] 13
- Distribution proposal, [BCA] 341
- • Approval, [BCA] 343-345
- • Compliance with proposal, [BCA] 346
- • Shareholders participation, [BCA] 342
- Final account, [BCA] 339, 344; [WUA] 16, 30
- • Purpose, [BCA] 340
- General meeting of the shareholders [WUA] 15, 16
- Notice of the resolution, [WUA] 9
- Notice to enterprise registrar, [BCA] 335
- Offence and penalty, [WUA] 22
- Payment of debts, [WUA] 12
- Performance of obligations, [BCA] 338
- Powers, [WUA] 10, 11
- Qualification, [BCA] 326
- Recovery of claims, [BCA] 337
- Redemption, [WUA] 29
- Removal, [BCA] 329-330; [WUA] 7
- Remuneration, [BCA] 327; [WUA] 14
- Rendering of account, [WUA] 23
- Return to the enterprise registrar, [WUA] 17
- Security, [BCA] 328
- Seizing of property, [BCA] 334
- Simple administration of the corporation's property, [BCA] 357
- Unclaimed sums, [WUA] 20
- Vacancy, [BCA] 331-332; [WUA] 6
- Winding-up order, [WUA] 25.1
- • Appeal, [WUA] 32

#### **Liquidator (federal)**

- Appointment, [CBCA] 220(1)
- Costs of liquidation, [CBCA] 223
- Delegation of powers, [CBCA] 219(2)
- Duties, [CBCA] 221
- Powers, [CBCA] 222
- Right to distribution in money, [CBCA] 224
- Vacancy, [CBCA] 220(2)

### **Liquidator (legal person), [CCQ] 360-363**

#### **Liquidator of the succession**

- Cancellation of registration, [ALPE] 56
- Legal person, [CCQ] 304 par. 2

### **Lottery, [CAC] 74.06, 74.1(6)**

-M-

#### **Management proxy circular**

- Shareholder proposal, [BCA] 196

#### **Management proxy circular (federal)**

- Content, [CBCA-1] 55(2)
- • Non-distributing corporation, [CBCA-1] 55(3)
- Form, [CBCA-1] 55(1)
- Form of statement, [CBCA-1] 56

#### **Manager**

- Definition, [CA] 3(5)
- Offence and penalty, [CA] 123
- Proceeding, [CA] 115

#### **Mandatory**

- Definition, [CBCA] 2(1)
- Shares, [BCA] 87

#### **Market intermediary**

- Exempted from registration
- • Removal of exemptions, [SA] 3.0

#### **Market restriction, [CAC] 77**

- Application to the Tribunal, [CAC] 103.1-107

#### **Market value**

- Determining the value for reporting to clients, [SA-2] 14.11.1

#### **Matching service utility, [SA] 331.1**

- Recognition by the Authority, [SA] 169-169.1, 171.1-171.2

#### **Material change**

- Definition, [SA] 5.3

#### **Material fact**

- Definition, [SA] 5

#### **Maximum aggregate holdings**

- Definition, [CBCA-1] 73

#### **Maximum individual holdings**

- Definition, [CBCA-1] 73

#### **Mediator, [SA] 168.1.5**

#### **Member**

- Definition, [CA] 216(3)
- Legal person (part III)
- • Annual subscription, [CA] 222
- • Liability, [CA] 226
- • List, [CA] 223

## ANALYTICAL INDEX

- Merger**, [CAC] 91-103, 110(4)-110(4.1), *see also* Amalgamation
  - Advance ruling certificate, [CAC] 102-103
  - Conditional order directing dissolution of a merger, [CAC] 99
  - Definition, [CAC] 91
  - Interim order, [CAC] 100(1)
    - Duration of, [CAC] 100(5), 100(6)
    - *Ex parte* application, [CAC] 100(3)
    - Extension of time, [CAC] 100(7)
    - Notice of application, [CAC] 100(2)
    - Terms of, [CAC] 100(4)
  - Limitation period, [CAC] 97
  - Order, [CAC] 92(1)
    - Evidence, [CAC] 92(2)
    - Exception, [CAC] 94
    - Exception for joint venture, [CAC] 95
    - Exception where gains of efficiency, [CAC] 96
    - Factors to be considered regarding prevention or lessening of competition, [CAC] 93
    - Right of Intervention, [CAC] 101
  - Proceedings commence, [CAC] 98
- Mining Companies Act (c. C-47)**, [CA] 123.131
- Mining company**
  - Continuance, [CA] 123.131
  - Dividends, [CA] 80, 172
- Minister**
  - Definition, [CBCA] 2
- Minister of Employment and Social Solidarity**
  - Agreement, [ALPE] 116-123
  - Application of the Act, [ALPE] 300; [BCA] 494-495; [CA] 1
  - Enterprise registrar
  - Appointment, [ALPE] 1
  - Employees, [ALPE] 5
  - Legal publicity
  - Application of the Act, [ALPE] 300
  - Regulations, [ALPE] 148-149
- Minister of Finance**
  - Application of the Act, [BCA] 494; [CA] 1; [SA] 348
  - Exception, [CA] 1
  - Information (competition matters), [CAC] 29.2
  - Securities
  - Regulations, [SA] 331.2
  - Report, [BCA] 496; [SA] 352
- Minister of Justice**
  - Mutual legal assistance (competition), [CAC] 30.01-30.3
- Minister of Municipal Affairs, Regions and Land Occupancy**
  - Application of the Act, [CA] 1
- Minister of Revenue**
  - Application of the Act, [ALPE] 300
  - Legal person
  - Liquidation, [CCQ] 363
- Minister of Transports**
  - Information (competition matters), [CAC] 29.1
- Minor**, [CCQ] 327
- Misleading appearance of trading activity**, [SA] 199.1(1)
- Misrepresentation**, *see also* False or misleading representation, Representation
  - Damages, [SA] 217-225.1
  - Definition, [SA] 5, 197
  - Forward-looking information, [SA] 225.0.1
  - Offence and penalty, [SA] 196, 197
  - Proof not required, [SA] 225.0.2
- Mixed enterprise company**, [ALPE] 21(7)
- Mortgage**
  - Exempted from registration, [SA-2] 8.12
- Multi-level marketing plan**, [CAC] 55, 74.07(2)
  - Definition, [CAC] 55(1)
  - Due diligence defence, [CAC] 55(2.2)
  - Offence, [CAC] 55(3)
  - Representations as to compensation, [CAC] 55(2), 55(2.1)
- Mutual fund**, [SA] 272.2
  - Definition, [SA] 5
  - Rights of holders, [SA] 109.8-109.9
- Mutual fund dealer**, [SA] 5.6, 148.2
  - Account statement, [SA-2] 16.17
  - Chief compliance officer, [SA-2] 3.6
  - Deposit, [SA] 148.3
  - Liability insurance, [SA] 151.0.1, 152.1
  - MFDA membership, [SA-2] 9.2
  - Exempted from registration, [SA-2] 9.3, 9.4
  - Member of MFDA, [SA-2] 3.16
  - Monthly Report on Net Free Capital, [SA-2] 12.12
  - Provisions applicable, [SA] 149.2
  - Registration, [SA-2] 7.1
  - Representative, [SA] 151.0.1; [SA-2] 3.15
  - Shares issued by a financial services cooperative, [SA] 149.1
  - Revocation or suspension of registration, [SA] 151.0.1, 152.1
  - Sharing commission, [SA] 160.1.1
- Mutual Fund Dealers Association of Canada (MFDA)**, [SA-2] 1.1
  - Exemptions from certain requirements, [SA-2] 9.4
- Mutual Legal Assistance in Criminal Matters Act**, [CAC] 30



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

### -N-

**Name**, *see* Company's name, Corporation's name, Legal person, Legal publicity

**National Assembly**

- Examination of the Law, [SA] 352

**Natural person**

- Registration, [ALPE] 21(1)

**Newfoundland**

- Trademarks, [TMA] 67-68

**NI 52-107**, [CBCA-1] 70

**Non-redeemable investment fund**, [SA] 272.2

- Definition, [SA] 5

**Notice of application**

- Consent agreement, [CAC] 106.1(7)
- Injunction, [CAC] 33(2)
- Interim injunction, [CAC] 74.111(4)
- Merger (interim order), [CAC] 100(2)
- Temporary order, [CAC] 74.11(3)

**Notice of dissolution**

- Declaration to the enterprise registrar, [CA] 28(4)
- Publication, [CA] 28.1

**Notifiable transaction (competition)**, [CAC] 108-124

- Completion of proposed transaction, [CAC] 123-123.1
- Exemption, [CAC] 111-113
- Notice and information, [CAC] 114-119
- Regulations, [CAC] 124

### -O-

**Oath**, [CA] 121, 213

**Offence and penalty**

- Books and registers, [CA] 108, 201
- Company (part II), 170, 215
- Competition, [CAC] 45-73
- Bid-rigging, [CAC] 47(2)
- Conspiracies, agreements or arrangements between competitors, [CAC] 45(2)
- Deceptive marketing practices, [CAC] 66
- Deceptive notice of winning a prize, [CAC] 53(6), 53(7)
- Deceptive telemarketing, [CAC] 52.1(9)
- Destruction or alteration of records of things, [CAC] 65(3), 65.1(2)
- Double ticketing, [CAC] 54(2)
- False or misleading representations, [CAC] 52(5), 52.01(6)
- Information to supply, [CAC] 65(2)
- Multi-level marketing plan, [CAC] 55(3)
- Obstruction, [CAC] 64(2)
- Prohibition order, [CAC] 34

- Scheme of pyramid selling, [CAC] 55.1(3)
- Search and seizure, [CAC] 65.1(1)
- Compulsory and compelled acquisition, [CBCA] 206
- Corporation, [BCA] 490-493
- Corporation (federal), [CBCA] 20(6), 21(10), 22(3), 85(6), 250-252
- Director or officer, [CFL] 207; [BCA] 493; [CA] 123
- Concealing creditor's name, [CA] 61
- Immovable with a social or community destination owed by a legal person, [CA] 227.15-227.18
- Improper balance sheet, [CA] 114(5), 207(5)
- Insider
- Prohibition of short sale, [CBCA] 130(4)
- Inspection of company, [CA] 110(5), 111(3), 203(5), 204(3)
- Issue of debentures, [CA] 78
- Language of commerce and business, [CFL] 205-208
- Legal person (part III), [CA] 228
- Legal publicity, [ALPE] 152-163
- Liquidation of company, [WUA] 22
- Manager, [CA] 123
- Name, [CA] 35
- Powers of the Authority, [SA] 151.0.1(2)
- Privileged information, [SA] 187-194
- Register of mortgages, [CA] 105(2)
- Securities, [SA] 187-197, 202-205, 207, 208, 210-213
- Complicity, [SA] 205, 208
- Conspiracy, [SA] 207
- Rules applicable, [SA] 213.1

**Offer**, *see also* Take-over bid (federal)

- Definition, [CBCA] 206

**Offer to acquire all shares**, [CA] 51

**Offeree**

- Definition, [CBCA] 206

**Offeree corporation**

- Definition, [CBCA] 206

**Offering memorandum**

- Exempted from prospectus, [SA-1] 2.9, 6.4-6.5
- Exempted from registration, [SA-1] 6.4-6.5
- Form, [SA-1] 6.4

**Offeror**

- Definition, [CBCA] 206

**Office of the Superintendent of Financial Institutions**, [SA-2] 1.1

**Officer**, *see also* Director

- Administrative penalty, [SA] 273.1, 273.2
- Annulment of acts, [CCQ] 328
- Competition (offence), [CAC] 65(4)

## ANALYTICAL INDEX

- Deceptive notice of winning a prize, [CAC] 53(5)
  - Deceptive telemarketing, [CAC] 52.1(8)
  - Definition, [BCA] 2; [CBCA] 2; [SA] 5
  - Disclosure of interest, [BCA] 122-133
  - Duties, [BCA] 119-133
  - Foreign directives, [CAC] 46
  - Legal person, [CCQ] 312
  - Liability insurance, [BCA] 162
  - Mandatary, [BCA] 116, 119
  - Offence, [CFL] 207; [BCA] 493; [CA] 123
  - Privileged information, [SA] 189
  - Procedure, [CA] 115
  - Prohibition, [SA] 273.3
  - Remedies, [BCA] 439-467
  - Remuneration, [BCA] 117
  - Officer (federal)**
    - Definition, [CBCA] 126
    - Demand of an auditor, [CBCA] 170
    - Disclosure of interest, [CBCA] 120
    - Duties, [CBCA] 122
    - Indemnification, [BCA] 159-161; [CBCA] 124
    - Remuneration, [CBCA] 125
    - Validity of acts, [CBCA] 116
  - Official mark**
    - Definition, [CBCA-1] 17
  - Ontario-Quebec Agreement on Public Procurement and Construction Labour Mobility, [ALPE-1]** 4
  - Open-end mutual fund**
    - Definition, [CBCA] 26(12)
  - Order, see** Competition (administrative remedies)
  - Ordinary price (supplier), [CAC] 74.01(2)-74.01(5), 74.1(6)**
  - Ordinary resolution**
    - Definition, [CBCA] 2
  - Other company**
    - Definition, [CA] 3(2), 124(3)
  - Overissue**
    - Definition, [CBCA] 48
- P-**
- Participating security**
    - Definition, [CBCA-1] 3(2)
  - Participation**
    - Continuance of corporation, [BCA] 296
    - Definition, [BCA] 2
  - Particular subsidiary**
    - Definition, [CBCA-1] 35
  - Patent Act, [CAC] 79(5)**
- Patents for invention**
    - Powers to restrain trade, [CAC] 32
  - Patrimony**
    - Legal person, [CCQ] 302, 310
  - Penal proceeding**
    - Legal publicity, [ALPE] 142-146
    - Securities, [SA] 210, 210.1, 211
  - Penalty, see** Offence and penalty
  - Person**
    - Definition, [CBCA] 2; [SA] 5.1
  - Person of full age under tutorship or curatorship, [CCQ] 327**
  - Personal body corporate**
    - Definition, [CBCA] 237.5(2)
  - Personal Information Protection and Electronic Documents Act (2000, c. 5), [CBCA] 252.6(2)-(3)**
  - Personal property security legislation, [SA-2]** 8.13
  - Personal representative**
    - Definition, [CBCA] 2
  - Plan administrator**
    - Exempted from registration, [SA-2] 8.16
  - Plant Breeders' Rights Act, [TMA]** 10.1
  - Portfolio manager**
    - Advising representative, [SA-2] 3.11
    - Associate advising representative, [SA-2] 3.12
    - Chief of compliance officer, [SA-2] 3.13
    - Definition, [SA-2] 1.1
    - Registration, [SA-2] 7.2
    - • Exemption, [SA-2] 16.20
  - Precious Metals Marking Act, [CAC] 7(1)(d)**
  - Prescribed**
    - Definition, [CBCA] 2
  - Prescription**
    - Acquisition or contract by a director, [CCQ] 326 par. 2
    - Action for damages, [SA] 235, 236
    - Action for rescission or for revision of the price, [SA] 234
    - Anti-competitive act, [CAC] 79(6)
    - Company (part 1A), [CA] 123.168
    - Competition, [CAC] 67(6)
    - Dissolution of a corporation (federal), [CBCA] 226(4)
    - Distribution of securities, [SA] 236.1
    - Merger, [CAC] 97
    - Offence (federal), [CBCA] 252
    - Take-over or issuer bid, [SA] 236.1
  - Price maintenance, [CAC] 76**
    - Advertised price, [CAC] 76(6), 76(7)

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Application to the Tribunal, [CAC] 103.1-107
  - Order, [CAC] 76(2), 76(4), 76(9), 76(10)
  - Persons subject to, [CAC] 76(3)
  - Proceedings commenced, [CAC] 76(11)
  - Refusal to supply, [CAC] 76(8)
  - Suggested retail price, [CAC] 76(5), 76(7)
- Printer**, [CAC] 74.07(1)
- Private investment club**
- Exempted from prospectus, [SA-1] 2.20
  - Exempted from registration, [SA-2] 8.10, 8.27
- Private investment fund**
- Exempted from registration, [SA-2] 8.11, 8.29
- Privileged information**
- Communication of information, [SA] 191.1
  - Definition, [SA] 5
  - Director, [SA] 189
  - Indirect use, [SA] 189.1, 228
  - Insider, [SA] 187, 188, 228
  - Investment fund manager, [SA] 189, 228
  - Investment program, [SA] 190
  - Officer, [SA] 189
  - Presumption of knowledge, [SA] 191
  - Prohibited representation, [SA] 192
  - Responsibility, [SA] 226, 227
  - Action for recovery, [SA] 229-233
  - Recoverable benefit, [SA] 228
- Product**
- Know your, [SA-2] 13.2.1
- Professional Code (c. C-26)**, [ALPE-2] 2(22); [BCA] 433; [BCA-4] 1(22)
- Professional secrecy**, [CICA] 236
- Inquiry by the Commissioner of Competition, [CAC] 19
- Professional sport**
- Conspiracy, [CAC] 48
- Promotional contest**, [CAC] 74.06, 74.1(6)
- Proof**
- Action in damages, [SA] 215.1, 225.12-225.14, 225.17-225.27
  - Books of the company, [CA] 109, 202
  - By-law, [CA] 118, 211
  - Competition, [CAC] 20(3), 30.1-30.19, 30.2-30.23, 30.25-30.29, 36(2), 65.1(2), 65.2
  - Conspiracies, agreements or arrangements between competitors, [CAC] 45(3)
  - Evidence against a participant, [CAC] 69(2)
  - False or misleading representations, [CAC] 52(1.1), 52.01(4)
  - Judicial order, [CAC] 74.14, 90.1(3), 92(2), 107
  - Statistics, [CAC] 70-72
  - Constitution of the company, [CA] 119, 212
  - Documents of the enterprise registrar, [CA] 2.5
  - Enforcing payment, [CA] 70, 162
  - Powers of the company
  - Extending or restricting, [CA] 39
  - Securities (federal), [CICA] 53
  - Share certificate, [CA] 53(2), 151(2)
  - Trademark, [TMA] 31(2), 34(2), 38(7), 45, 54, 56(5)
  - Trust indenture, [CICA] 86-89
- Property**
- Definition, [CICA] 25(5)
- Prospectus**, *see also* Dealer or adviser, Simplified prospectus
- Amendment, [SA] 25
  - Distribution of additional securities, [SA] 25
  - Content, [SA] 13
  - Exemption, [SA] 41, 43; [SA-1] 2.1-2.43
  - Conditions, [SA] 42
  - Receipt
  - Application, [SA] 11, 12
  - Issuance, [SA] 14
  - Refusal, [SA] 15
  - Sending, [SA] 29, 32
- Prospectus / capital raising exemptions**
- Accredited investor, [SA-1] 2.3
  - Affiliates, [SA-1] 2.8
  - Family, friends and business associates, [SA-1] 2.5
  - Ontario (family, friends and business associates), [SA-1] 2.6.1
  - Saskatchewan, [SA-1] 2.6
  - Minimum amount investment, [SA-1] 2.10
  - Offering memorandum, [SA-1] 2.9, 6.4-6.5
  - Private issuer, [SA-1] 2.4
  - Reinvestment plan, [SA-1] 2.2
  - Rights offering, [SA-1] 2.1
- Prospectus B employee, executive officer, director and consultant exemptions**
- Applicability, [SA-1] 2.24, 3.47
  - Definition, [SA-1] 2.22
  - Interpretation, [SA-1] 2.23
  - Permitted transferees, [SA-1] 2.27-2.28
  - Trades excluded, [SA-1] 2.26
  - Unlisted reporting issuer exception, [SA-1] 2.25
- Prospectus B exemptions**, [SA-1]
- Closely-held issuer, [SA-1] 8.3
  - Conversion, exchange or exercise, [SA-1] 2.42
  - Cooperative association, [SA-1] 2.41
  - Definitions, [SA-1] 1.1.1
  - Dividends and distributions, [SA-1] 2.31
  - Isolated trade, [SA-1] 2.30
  - Mortgage, [SA-1] 2.36
  - Syndicated mortgage, [SA-1] 2.36

## ANALYTICAL INDEX

- Not for profit issuer, [SA-1] 2.38
  - Offering memorandum
  - • Marketing materials, [SA-1] 8.4.2
  - • Update, [SA-1] 8.4.1
  - Person acting as underwriter, [SA-1] 2.33
  - Personal property security legislation, [SA-1] 2.37
  - RRIF, [SA-1] 2.40
  - RRSP, [SA-1] 2.40
  - Schedule III bank, [SA-1] 2.41
  - Self-directed registered educational savings plan, [SA-1] 2.43
  - Short-term debt, [SA-1] 2.35
  - Specified debt, [SA-1] 2.34
  - TFSA, [SA-1] 2.40
  - Variable insurance contract, [SA-1] 2.39
- Prospectus / investment fund exemptions**
- Additional investment, [SA-1] 2.19
  - Loan and trust pools, [SA-1] 2.21
  - Private investment club, [SA-1] 2.20
  - Reinvestment, [SA-1] 2.18
- Prospectus / transaction exemptions**
- Asset acquisition, [SA-1] 2.12
  - Business combination and reorganization, [SA-1] 2.11
  - Issuer acquisition or redemption, [SA-1] 2.15
  - Offer to acquire to security holder outside local jurisdiction, [SA-1] 2.17
  - Petroleum, natural gas and mining properties, [SA-1] 2.13
  - Securities for debt, [SA-1] 2.14
  - Take-over bid and issuer bid, [SA-1] 2.16
- Prospectus (federal), see** Security (federal)
- Proxy, see also** Form of proxy
- Contents, [BCA] 172
  - Definition, [CBCA] 147
  - Duration, [BCA] 172
  - Proxyholder
    - Appointment, [CBCA] 148
    - Qualification, [BCA] 171
    - Representing a shareholder, [BCA] 170-173
  - Revocation, [BCA] 172
  - Signature, [BCA] 172
  - Written, [BCA] 172
- Proxyholder, [BCA] 170-173; [CBCA] 148, 152, see also** Registration
- Pseudonym**
- Use in the pursuit of an artistic, literary or other cultural activity
  - • Natural person not required to register, [ALPE] 23
- Public distribution of securities**
- Disclosure requirements, [SA] 68
- Preliminary prospectus, [SA] 20
  - Presumption, [SA] 68, 338
  - Prospectus, [SA] 11
  - Simplified prospectus, [SA] 18
- Public Service Act (c. F-3.1.1), [CFL] 204.32; [ALPE-2] 2(4); [CA-1] 1(4); [BCA-4] 1(4); [BCA-5] 1(4)**
- Public Service Employment Act (R.S.C. (1985), ch. P-33), [ALPE-2] 2(5); [CA-1] 1(5); [CAC] 25, 26(2)**
- Publication generally available to the public**
- Corrections in document, [CBCA] 265
  - Dissolution of a corporation, [CBCA] 212, 213
  - Name, [CBCA] 13(1)
- Publicity, see** Language of commerce and business, Legal publicity
- Publisher, [CAC] 74.07(1)**
- Purchaser**
- Definition, [CBCA] 48
- Put**
- Definition, [CBCA] 2
- Q-**
- Quebec authority**
- Authority
  - • Delegation of powers, [SA] 307, 307.1, 307.3
  - Definition, [SA] 305.1
- Quebec business number, [ALPE] 37**
- R-**
- Real Estate Brokerage Act (2018, c. 23), [SA] 160.1.1**
- Receiver and receiver-manager**
- Directions given by court, [CBCA] 100
  - Duties, [CBCA] 97, 98, 101
  - Duty of care, [CBCA] 99
  - Powers and functions, [CBCA] 95-96
- Records of a corporation, [BCA] 31-42, see also Books of a company**
- Accounting records, [BCA] 34, 36
  - Contents, [BCA] 37
  - Evidence, [BCA] 38
  - Examination, [BCA] 32
  - Kept outside head office, [BCA] 35
  - List of shareholders, [BCA] 41-42
  - Minutes, [BCA] 34
  - Securities register, [BCA] 31(4), 33, 40
- Records of a corporation (federal)**
- Access, [CBCA] 21
  - Application to court to rectify, [CBCA] 243

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Contents, [CBCA] 20
- Definition, [CBCA] 20(3)
- Dissolved corporation, [CBCA] 225
- Form, [CBCA] 22
- Keeping, [CBCA] 20(2.1), (4), (5), (5.1)
- Offence and penalty, [CBCA] 20(6), 21(10), 22(3)
- Precautions, [CBCA] 22(2)
- Redeemable share**
- Definition, [CBCA] 2
- Referral arrangement**
- Before 28 September 2009, [SA-2] 13.11
- Definition, [SA-2] 13.7
- Disclosing to clients, [SA-2] 13.10
- Permitted, [SA-2] 13.8
- Verifying the qualifications of the person receiving the referral, [SA-2] 13.9
- Refusal to deal, [CAC] 75**
- Application to the Tribunal, [CAC] 103.1-107
- Register, *see also* Enterprise register, Records of a corporation, Securities register**
- Copy, [CA] 1.2
- Custody, [CA] 1.2
- Definition, [CA] 3(8), 124(9), 216(4)
- Deposit
- Constituting act, [CA] 11
- Letters patent, [CA] 14(3)
- Correction, [CA] 12
- Name, [CA] 123.27
- Supplementary letters patent, [CA] 20, 40, 49(2), 65
- Register of mortgages**
- Content, [CA] 105(1), 198(1)
- Omitting entries
- Fine, [CA] 105(2), 198(2)
- Register of reporting issuers, [SA] 70**
- Register of trademarks, [TMA] 26(1)**
- Amendment to, [TMA] 41
- Information, [TMA] 26(2)
- Inspection, [TMA] 29
- Register under *Unfair Competition Act*, [TMA] 27
- Register of transfers, [CA] 71, 104(2), 197(2)**
- Registered firm**
- Audit, [SA-2] 12.6-12.7, 16.13
- Books and records, [SA-2] 11.5
- Form, accessibility and retention, [SA-2] 11.6
- Capital requirements, [SA-2] 12.1
- Categories of registration
- Change, [SA-2] 16.2
- Chief of compliance officer, [SA-2] 5.2, 11.4
- Appointment, [SA-2] 11.3
- Registration, [SA-2] 16.9
- Client accounts, [SA-2] 14.10
- Selling or assigning, [SA-2] 14.11
- Client assets
- Holding in trust, [SA-2] 14.6
- Complaint, [SA-2] 13, 14, 16.16
- Dispute resolution service, [SA-2] 13.16
- Handling, [SA-2] 13.15
- Compliance system and training, [SA-2] 11.1
- Conflict of interests, [SA-2] 13.4
- Dealing with clients, [SA-2] 13.1
- Definition, [SA-2] 1.1
- Disclosure to clients
- Determining market value, [SA-2] 14.11.1
- Fair allocation of investment opportunities, [SA-2] 14.3
- Investment performance report, [SA-2] 14.18-14.20
- Content, [SA-2] 14.19
- Non-resident registrant, [SA-2] 14.5
- Relationship, [SA-2] 14.2, 16.14
- Relationship with a financial institution, [SA-2] 14.4
- Report on charges, [SA-2] 14.17, 14.20
- Disclosure when recommending related or connected securities, [SA-2] 13.6
- Failure to pay fees, [SA-2] 10.1
- Financial reporting, [SA-2] 12.10, 12.15
- IIROC membership revoked or suspended, [SA-2] 10.2
- Insurance, [SA-2] 12.6-12.7, 16.13
- Interim financial information, [SA-2] 12.11
- MFDA membership revoked or suspended, [SA-2] 10.3
- Misleading communications, [SA-2] 13.18
- Registrant acquiring securities or assets, [SA-2] 11.9
- Registration
- Exemption, [SA-2] 16.12
- Revocation, [SA-2] 10.5, 10.7
- Exception, [SA-2] 10.6
- Suspension, [SA-2] 10.1
- Activities not permitted, [SA-2] 10.4, 10.7
- Subordination agreement, [SA-2] 12.2
- Tied settling of securities transactions, [SA-2] 11.7
- Ultimate designated person
- Appointment, [SA-2] 11.2
- Registration, [SA-2] 16.8
- Responsibilities, [SA-2] 5.1
- Voting securities acquired, [SA-2] 11.10
- Working capital, [SA-2] 12.1, 16.11
- Registered individual, *see also* Dealer or adviser**
- Categories, [SA-2] 2.1, 7.1
- Change, [SA-2] 16.1

## ANALYTICAL INDEX

- Ceasing to have authority to act for firm, [SA-2] 6.1
- Conflict of interests, [SA-2] 13.4.1
- Dealing and advising activities suspended, [SA-2] 6.5, 6.8
- Dealing with clients, [SA-2] 13.1
- Education and experience requirements, [SA-2] 3.4-3.14
- General proficiency requirements, [SA-2] 3.1
- Time limits on examination requirements, [SA-2] 3.3
- U.S. equivalency, [SA-2] 3.2
- IIROC approval revoked or suspended, [SA-2] 6.2
- Lending to clients, [SA-2] 13.12
- MFDA approval revoked or suspended, [SA-2] 6.3
- Misleading communications, [SA-2] 13.18
- Proficiency, [SA-2] 3.4(1)
- Referral arrangement, [SA-2] 13.7, 16.15
- Registration, [SA-2] 2.1, 3
- Exemption, [SA-2] 2.2-2.3, 16.12
- Restriction on acting for another registered firm, [SA-2] 4.1
- Revocation of a suspended registration, [SA-2] 6.6
- Exception for individuals involved in a hearing or proceeding, [SA-2] 6.7
- Sponsoring firm suspended, [SA-2] 6.4
- Use of borrowed money, [SA-2] 13.13
- Registered integrated circuit topography**
  - Powers to restrain trade, [CAC] 32
- Registered sub-adviser**
  - Exemption from certain requirements, [SA-2] 13.17
- Registrable trademarks, [TMA] 12**
- Registrant, [ALPE] 18**
- Registrar of trademarks, [TMA] 2, 63, *see also* Register of trademarks**
  - Acting registrar, [TMA] 63(2)
  - Application for registration
  - Allowed, [TMA] 39
  - Disclaimer, [TMA] 35
  - Refusal, [TMA] 37
  - Assistant, [TMA] 63(3)
  - Decision (appeal), [TMA] 63(4)
  - Evidence of user, [TMA] 45
  - Extension of time, [TMA] 47
  - Legal proceedings (documents), [TMA] 60
  - List of geographical indications, [TMA] 11.12
  - Statement of objection, [TMA] 11.13(7)
  - Notice for information, [TMA] 44
  - Publication of registrations, [TMA] 64
  - Register of trademarks, [TMA] 26-27
- Registration, *see also* Authority, Company's name, Corporation's name, Enterprise registrar**
  - Administrative remedies, [ALPE] 132-138
  - Administrator of the property of others, [ALPE] 29
  - Annual report, [ALPE] 89
  - Annual updating, [ALPE] 45
  - Fees, [ALPE-2] 29
  - Application
    - By a person or a group of persons not required to be registered, [ALPE] 22
    - By an unregistered person or partnership who is required to register, [ALPE] 24
  - Business number, [ALPE] 37
  - Constituting act, [ALPE] 30, 38, 44
  - Dealer registration requirement exemptions, [SA-2] 8.0.1
  - Declaration, [ALPE] 30
  - Admissibility, [ALPE] 68
  - Charges, [ALPE] 68; [ALPE-2] 9
  - Contents, [ALPE] 33-35, 72; [ALPE-1] 1
  - Deposit, [ALPE] 69
  - Refusal of the registrar, [ALPE] 70-71
  - Signature, [ALPE] 68
  - Time limit, [ALPE] 32
  - *Ex officio* striking off, [ALPE] 59
  - Amalgamation, [ALPE] 60
  - Ceasing to exist, [ALPE] 61
  - Notice of closure or liquidation, [ALPE] 62
  - Revocation, [ALPE] 63-66
  - Fees, [ALPE] 68, 80; [ALPE-2] 28
  - Exemption, [ALPE] 81
  - Fiscal law, [ALPE] 85
  - Payment date, [ALPE] 82-84, 88
  - Penalty, [ALPE] 89
  - Firm, [SA-2] 7.1
  - Fiscal return, [ALPE] 46
  - Individual, [SA-2] 2.1, 3-3.16
  - Initial declaration, [ALPE] 38, 46; [BCA] 8
  - Name, [ALPE-2] 1-5
  - Obligations, [ALPE] 41, 44, 51-52, 87, 92
  - Annual updating, [ALPE] 45, 47
  - Bankruptcy, [ALPE] 43
  - Exemption, [ALPE] 49, 50
  - Fiscal return, [ALPE] 46-47
  - Liquidation or dissolution, [ALPE] 42
  - Period (presumption), [ALPE] 48
  - Updating declaration, [ALPE] 40
  - Verification, [ALPE] 39
  - Proceedings before the Administrative Tribunal of Quebec, [ALPE] 139-141
  - Prohibition, [SA] 192.1
  - Pseudonym, [ALPE] 23
  - Refusal, [ALPE] 36

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Registrant outside Quebec, [ALPE] 26-28; [ALPE-1] 4
- Required, [ALPE] 21, 73; [SA-1] 1.5
- • Immunity, [ALPE] 73
- • Interpretation, [ALPE] 25, 31
- • Penal proceedings, [ALPE] 73
- Striking off by request, [ALPE] 67
- • Bankruptcy, [ALPE] 57
- • Deceased person, [ALPE] 56
- • Declaration, [ALPE] 54, 58
- • Deposit, [ALPE] 55
- Transitional provisions, [ALPE-2] 27-29, 31
- Waiver, [ALPE] 74

### **Registration requirements / capital raising exemptions**

- Offering memorandum, [SA-1] 6.4-6.5
- Private issuer, [SA-1] 2.4

### **Registration requirements B exemptions**

- Adviser, [SA-2] 8.5.1, 8.22.2
- Dealer, [SA-2] 8.0.1, 8.5
- Definitions, [SA-1] 1.1; [SA-2] 1.1
- Granting, [SA-2] 15.1
- International sub-adviser, [SA-2] 8.26.1
- Investment fund manager, [SA-2] 2.3, 8.7-8.8, 8.26.2, 8.27
- Principal regulator, [SA-2] 1.3
- Registered individual
- • Acting for investment fund manager, [SA-2] 2.3
- • Client mobility exemption, [SA-2] 2.2
- Securities (interpretation), [SA-2] 1.2
- Trade
- • Through or to a registered dealer, [SA-2] 8.5
- Underwriter, [SA-2] 8.1

### **Registration requirements / investment fund exemptions, see Investment fund**

#### **Regulation**

- Powers of government, [BCA] 488-489

### **Regulation respecting Derivatives: Customer Clearing and Protection of Customer Collateral and Positions, [SA-2] 14.5.2(7)(e)**

### **Regulation respecting fees to be paid under the Extra-Provincial Companies Act, [ALPE-2] 35**

### **Regulation respecting General Prospectus Requirements, [SA-2] 14.5.2(7)(b)**

### **Regulation respecting Investment Funds, [SA-2] 14.5.2(7)(a)**

### **Regulation respecting notices of change in legal domicile under the Companies Act, [ALPE-2] 33(1)**

***Regulation respecting the additional information required pursuant to section 4 of the Companies Information Act, [ALPE-2] 33(3)***

***Regulation respecting the fees to be paid under the Companies Information Act, [ALPE-2] 33(2)***

***Regulation respecting the firm names of extra-provincial companies, [ALPE-2] 36***

***Regulation respecting the trusts accounts and financial resources of securities firms, [SA-2] 12.12(4)(5)***

***Regulation services provider, [SA] 331.1***

- Recognition by the Authority, [SA] 169-169.1, 171.2, 237(2.3.1)

***Regulations Act (c. R-18.1), [ALPE] 299; [SA] 331.2***

***Reinvestment plan, [SA] 8.4***

- Exempted from prospectus, [SA] 2.2
- Exempted from registration, [SA] 3.2; [SA-2] 8.17

#### **Remedies**

- Abuse of power or iniquity, [BCA] 450-453
- Application, [BCA] 439, 443-444
- • Discretion of court, [BCA] 440
- Authorization to act on behalf of a corporation, [BCA] 445-449
- Before Administrative Tribunal of Québec, [BCA] 485-487
- Correction of mistakes, [BCA] 458-459
- Court approval for discontinuance, [BCA] 441
- Disputed election, [BCA] 454-455
- Interim costs, [BCA] 443
- Non-compliance with the Act, [BCA] 460
- Rectification of records, [BCA] 456-457
- Security for costs not required, [BCA] 442
- Special provisions, [BCA] 439

#### **Reorganization**

- Definition, [CBCA] 191

***Report of exempt distribution, [SA-1] 6.1, 6.3***

- Not required, [SA-1] 6.2

***Reporting issuer, [CBCA-1] Annex 1; [SA] 272.2, see also Issuer***

- Administrative penalty, [SA] 273.1, 273.2
- Application, [SA] 68.1
- Continuous disclosure, [SA] 68, 73-74
- Corporation, [BCA] 40-42
- • Board of directors, [BCA] 106
- Definition, [SA] 5, 68
- Financial statements, [SA] 71.1-71.3
- Governance, [SA] 73.1
- List, [SA] 71
- Register, [SA] 70
- Report, [SA] 89
- Revocation, [SA] 69, 69.1

## ANALYTICAL INDEX

- Representation**
- Restrictions, [SA] 270
- Representations as to reasonable test and publication of testimonials, [CAC] 74.02, 74.1(6)**
- Representations accompanying products, [CAC] 74.03, *see also* False or misleading representation**
- Deemed representation to public, [CAC] 74.03(3)
  - General impression to be considered, [CAC] 74.03(5)
  - Matters not to be established, [CAC] 74.03(4)
  - Representations from outside Canada, [CAC] 74.03(2)
- Representative**
- Definition, [CBCA] 2, 48
- Repurchase of shares**
- By a beneficiary, [BCA] 393-397
  - Conditions, [BCA] 372-375
  - Confirmation, [BCA] 380
  - Notice of intention, [BCA] 376-380
  - Payment, [BCA] 381
  - Presumption of notice, [BCA] 389-392
  - Price, [BCA] 378-379
  - • Increase, [BCA] 382-388
- Resident Canadian**
- Definition, [CBCA] 2
- RESP, *see* Self-directed RESP**
- Restitution**
- Judicial order (competition), [CAC] 74.101(2)
- Restricted dealer**
- Definition, [SA-2] 1.1
  - Registration, [SA-2] 7.1
- Retail price**
- Price maintenance, [CAC] 76(5)
- Retirement fund**
- Civil and penal actions, [SA] 6
- Revival of a corporation**
- Acquired right unchanged, [BCA] 371
  - Application, [BCA] 365-366
  - • Notice, [BCA] 368
  - • Sent to enterprise registrar, [BCA] 367
  - Articles, [BCA] 371
  - Date, [BCA] 370
  - Name, [BCA] 369
- Rights offering**
- Exempted from prospectus, [SA-1] 2.1
- Risk acknowledgment form, [SA] 40.1; [SA-1] 6.5**
- RRIF**
- Definition, [SA-1] 1.1
  - Exempted from prospectus, [SA-1] 2.40
- RRSP**
- Definition, [SA-1] 1.1
  - Exempted from prospectus, [SA-1] 2.40
- S-**
- Sales contract**
- Language, [CFL] 55.1
- Savings company**
- Amendments to the constituting act, [BCA-1] 3
- Schedule III bank, [SA-2] 1.1**
- Exempted from registration, [SA-2] 8.15
- Scheme of pyramid selling, [CAC] 55.1, 74.07(2)**
- Definition, [CAC] 55.1(1)
  - Offence, [CAC] 55.1(3)
  - Prohibition, [CAC] 55.1(2)
- Scholarship plan dealer, [SA] 5.6, 148.2**
- Account statement exemption, [SA-2] 14.16
  - Chief compliance officer, [SA-2] 3.8
  - Deposit, [SA] 148.3
  - Liability insurance, [SA] 151.0.1, 152.1
  - Provisions applicable, [SA] 149.2
  - Registration, [SA-2] 7.1
  - Representative, [SA] 151.0.1; [SA-2] 3.7
  - • Shares issued by a financial services cooperative, [SA] 149.1
  - Revocation or suspension of registration, [SA] 151.0.1, 152.1
  - Sharing commission, [SA] 160.1.1
- Scrip certificate, [CA] 50(3), 71(2), 148(3), 163(2); [CBCA] 49(16), (18)**
- SEC**
- Definition, [CBCA-1] 70
- SEC registrant**
- Definition, [CBCA-1] 70
- Securities Act (c. V-1.1), [BCA] 206, 228-229, 353, 415, 423, 444; [CBCA-1] 87; [SA-1] 1.7(a); [SA-2] 8.1(a), 13.14(2)**
- Securities Act (Newfoundland and Labrador), [SA-2] 14.12(7)**
- Securities Act (Ontario), [CBCA-1] 87; [SA-1] 1.1, 2.4; [SA-2] 14.12(7)**
- Securities Act (Saskatchewan), [SA-2] 14.12(7)**
- Securities Exchange Act (1934), [SA-1] 70**
- Securities exchange or clearing activities**
- Recognition, [SA] 169-169.1
  - • Conditions, [SA] 170
- Securities register, [BCA] 31(4), 33**

## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Security**, *see also* Authority, Proxy, Transfer of securities
  - Application of the Act, [SA] 1, 2
  - • Exemptions, [SA] 3
  - • Interpretation, [SA] 5-5.5, 8, 9
  - • Patrimony endowed with autonomy, [SA] 6
  - • Public agency, [SA] 4
  - Definition, [BCA] 2
  - Investment contract, [SA] 7
  - Offence and penalty, [SA] 187-197, 199-205, 207, 208, 210-213
  - Ownership of securities, [SA] 10
  - Public distribution
  - • Presumption, [CA] 23.3
  - • Provisions applicable, [CA] 3
  - Report by Minister, [SA] 352
  - Subscription for or purchase of certain securities
  - • Sending of documents, [SA] 109.6.1, 109.7
  - Transaction effected without a prospectus or circular, [SA] 214-215
  - Transfer of ownership, [SA] 10.1
  - Transitional provisions, [SA] 337-338.1, 348, 349
- Security (federal)**, *see also* Business corporation (federal)
  - Agreements or arrangements of federal financial institutions, [CAC] 49(2)
  - Certificate, [CBCA] 49, 50
  - Definition, [CBCA] 2, 48
  - Delivery, [CBCA] 54, 71
  - • Presumption, [CBCA] 70
  - • Through broker, [CBCA] 71
  - Endorsement, [CBCA] 65(3) to (10), 68, 72
  - • Effect, [CBCA] 66
  - • In bearer form, [CBCA] 67
  - • Warranties of guarantor of signature, [CBCA] 69
  - Incomplete, [CBCA] 58
  - Issuance, [CBCA] 55
  - • Presumption relating to purchaser, [CBCA] 56
  - Liability of the agent or bailee, [CBCA] 75
  - Notice of lost or stolen security, [CBCA] 80
  - Overissue, [CBCA] 52
  - Presumption, [CBCA] 131(2)
  - Proof, [CBCA] 53
  - Purchase, [CBCA] 60
  - • Deemed notice of adverse claim, [CBCA] 61, 62
  - Register, [CBCA] 50
  - Registration, [CBCA] 76
  - • Adverse claim, [CBCA] 78
  - • Authenticity, [CBCA] 81
  - • Limitation of issuer's liability, [CBCA] 79
  - • Limited duty of inquiry, [CBCA] 78
  - • Validity, [CBCA] 77
  - Rights of holder, [CBCA] 49, 50
  - Seizure, [CBCA] 74
- Signature, [CBCA] 58
- • Effect, [CBCA] 59
- Transfer, [CBCA] 63, 64, 73
- • Appropriate person, [CBCA] 65
- • Right to reclaim possession, [CBCA] 72
- Unauthorized signature, [CBCA] 57
- Security certificate**, *see also* Share certificate
  - Content, [CBCA] 49(7), (13)
  - Definition, [CBCA] 48
  - Duty of the corporation, [CBCA] 49(14)
  - Fees, [CBCA] 49(2)
  - Restrictions, 49(8), (10), (11)
  - Rights of holder, [CBCA] 49
  - Signature, [CBCA] 49(4), (6)
- Security entitlements**, *see* Establishment of security entitlements
- Security for costs**, [BCA] 442
- Security interest**
  - Definition, [CBCA] 2
- Self-directed RESP**
  - Definition, [SA-1] 1.1
  - Exempted from prospectus, [SA-1] 2.43
  - Exempted from registration, [SA-2] 8.19
- Self-regulatory organization**
  - Alternative trading system, [SA] 170, 171.1, 171.2
  - Authorization from the Authority, [SA] 169-169.1
  - • Conditions, [SA] 170
  - Decision, [SA] 308.0.3
  - Membership, [SA-2] 9.1-9.3
  - Reprimand, [SA] 273
- Send**
  - Definition, [CBCA] 2
- Senior officer**, *see* Officer
- Sequestrator**, [CCQ] 304 par. 2
- Series**
  - Definition, [CBCA] 2
- Share**, *see also* Capital account, Compelled acquisition of shares, Repurchase of shares, Shareholder
  - Allotment, [CA] 47
  - Articles of constitution, [BCA] 5
  - Cancellation, [CA] 123.23
  - Capital stock, [CA] 143-158
  - Certificate, [BCA] 48(12), 61-67; [CA] 48(12)
  - • Contents, [BCA] 65
  - • Replacement, [BCA] 66
  - Class, [BCA] 44; [CA] 48(1), 53
  - • Comprising one or more series, [BCA] 45
  - Compelled acquisition, [BCA] 398-410
  - Consideration for per value share, [CA] 45
  - Consolidation, [CA] 55(2)

## ANALYTICAL INDEX

- Constituting act, [CA] 48
  - Conversion, [BCA] 91; [CA] 48(5)-(8), 55(1), 56
  - Dividends, [BCA] 103-105; [CA] 48(4)
  - Duty owed by a third person by a shareholder or beneficiary, [BCA] 67
  - Enforceability of issuer's rights, [BCA] 66
  - Fraction, [BCA] 51; [CA] 55(3)
  - Holding stock of other companies, [CA] 142
  - In registered form, [BCA] 46
  - Increase and reduction of the share capital, [CA] 123.61
  - Irregular issue, [BCA] 59
  - • Validation, [BCA] 59-60
  - Issue, [BCA] 52-60; [CA] 45, 48(2), (9)
  - • Commission for sale, [BCA] 62
  - • Consideration, [BCA] 54
  - • Time of, [BCA] 52
  - Maximum number or per value of shares, [BCA] 92
  - Notifiable transactions, [CAC] 108-124
  - Options and rights, [BCA] 56-57
  - Pre-emptive right, [BCA] 55
  - Purchase of stock in another company, [CA] 44
  - Purchase or redemption, [BCA] 85-90, 93-99; [CA] 48(13), 123.52-123.60
    - Cancellation of the share, [BCA] 85; [CA] 123.42
    - Confiscation right, [BCA] 87
    - Exception, [BCA] 95-97
    - Holding prohibited, [BCA] 86
    - Notice to shareholders, [BCA] 89; [CA] 51
    - Nullity, [BCA] 98
    - Subsidiary, [BCA] 88
    - Voting right, [BCA] 87-88
  - Reduction of capital, [CA] 58, 59, 123.62, 123.63
  - Repurchase, [BCA] 372-397
  - Rights, conditions and limitations, [BCA] 47-48; [CA] 48(1)
  - Rights of shareholders, [BCA] 49-50
  - Subdivision, [BCA] 90-92; [CA] 55(1)
  - Transfer, [BCA] 81-83; [CA] 46, 51(4)
    - Conditions, [BCA] 84
    - Restriction on offer to public, [BCA] 82
    - Unpaid shares, [BCA] 83
  - Trust, [CA] 52
  - Unilateral redemption, [BCA] 94
  - Unlimited share capital, [CA] 123.38
  - Unpaid, [BCA] 75-80
    - Confiscation of shares, [BCA] 76-78
    - Enforcing payment, [BCA] 79
    - Shareholder may not vote, [BCA] 80
    - Transfer of shares, [BCA] 83-84
    - Voting rights, [CA] 48(3), 123.44-123.46
    - With par value, [BCA] 43, 92
  - Without par value, [BCA] 43, 70
- Share (federal)**
- Acquisition of corporation's own shares, [CBCA] 34-36, 39, 206.1
  - • Commission for sale, [CBCA] 41
  - • Enforcement of contracts to buy shares, [CBCA] 40
  - Class, [CBCA] 24(1), 24(2)
  - Corporation holding its own shares, [CBCA] 30
  - Definition, [CBCA] 126, 187(10), 206, 238
  - Dividends, [CBCA] 42, 43
  - Gift, [CBCA] 37
  - Holding, [CBCA] 31, 32; [CBCA-1] 36, 38
  - • Voting right, [CBCA] 33
  - Issue, [CBCA] 25
  - • Shares in series, [CBCA] 27
  - Options, [CBCA] 29
  - Pre-emptive right, [CBCA] 28
  - Redemption, [CBCA] 36
  - Reduction of stated capital, [CBCA] 38
  - Rights attached, [CBCA] 24(3)
  - Rights to classes, [CBCA] 24(4)
  - Sale of constrained shares, [CBCA] 46-47
  - Stated capital account, [CBCA] 26
  - Transfer, [CBCA] 32
- Share (transfer), [CA] 46**
- Director
  - • Liability to creditors, [CA] 72
  - Entry in register, [CA] 71
  - • Refusal by directors, [CA] 73
  - Offer, [CA] 51(4)
  - Register, [CA] 71
  - Unpaid shares, [CA] 72, 73
- Share capital, *see also* Company (Part 1A)**
- Articles of constitution, [BCA] 5(3)
  - Class of shares, [BCA] 44
  - Company (Part 1A), [CA] 123.38-123.42, 123.47-123.51, 123.61-123.65
  - Dividends, [CBCA] 42-43; [CA] 81
  - General provisions, [BCA] 43-51
  - Gift or legacy, [BCA] 99
  - Increase, [BCA] 100
  - Issued and paid-up share capital account, [BCA] 68-74
  - • Conversion or exchange of issued shares, [BCA] 73
  - • Modification, [BCA] 71
  - • Purchase of shares or fractional shares, [BCA] 72
  - • Sums paid into the account, [BCA] 69
  - Limited or unlimited, [BCA] 43
  - Maintenance, [BCA] 93-105
  - Modification, [BCA] 85-92
  - • Application, [CA] 64



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- • Increase, [CA] 57, 63
- • Reduction, [CBCA] 38; [CA] 58, 59, 63
- • Reasons for, [CA] 62
- • Shareholders' liability, [CA] 60
- • Supplementary letters patent, [CA] 65
- Purchase of shares, [BCA] 85-99; [CBCA] 39
- Reduction, [BCA] 101
- • Order to repay, [BCA] 103
- Rights attached to shares, [BCA] 47
- Stated capital account, [CBCA] 26
- Share certificate**, [BCA] 62, 64-65, *see also* Certificate, Security certificate
- Company (part II), [CA] 146(12), 151, 152, 158(3)
- Content, [CA] 53(1), 151(1), 158(3)
- Evidence, [CA] 53(2), 151(2)
- Fees, [CA] 53(1), (3), 151(1), (3)
- No-par-value shares, [CA] 13(4)
- Replacement, [CA] 53(3), 151(3)
- Share warrant**, [CA] 54, 152
- Share without any nominal value**
- Authorized capital, [CA] 13(1)
- Certificate, [CA] 13(4)
- Company (Part 1A), [CA] 123.49
- Constraints on shares, [CBCA] 174
- Equality, [CA] 13(3)
- Issue and allotment, [CA] 13(5), 45
- Joint-stock company, [CA] 143, 158
- Paid-up capital, [CA] 13(2)
- Shareholder**, *see also* Annual shareholders meeting, Shareholder proposal, Unanimous shareholder agreement
- Acquisition of shares, [BCA] 89
- Alienation affecting significant business activity, [BCA] 271-275
- Amalgamation agreement, [BCA] 278
- Auditor
- • Appointment, [BCA] 231
- • Removal, [BCA] 236
- By-laws, [BCA] 113
- Compromise or arrangement proposed by the company
- • Affecting the rights of, [CA] 49
- Corporation's articles
- • Cancellation, [BCA] 266
- • Correction, [BCA] 247, 254
- Definition, [BCA] 2; [CA] 3(4), 124(5), 191.1, 225(2)
- Dissolution of the corporation, [BCA] 304, 308-315
- Holder of preferred shares, [CA] 48(10), (11)
- Liability, [BCA] 224
- • Fiduciaries, [CA] 42
- • Increase or reduction of capital, [CA] 60
- • Limited, [CA] 41
- • To creditors, [CA] 14(5)
- Liquidation of the corporation, [BCA] 324
- Name, [CA] 14(2)
- Proposal, [BCA] 194-206
- Proxyholder, [BCA] 170-173
- Records, [BCA] 32
- Remedies, [BCA] 439-467
- Remuneration of liquidator(s), [WUA] 14
- Special majorities, [BCA] 7
- Squeeze-out transaction, [BCA] 221-223
- Stock certificate, [CA] 53
- Unanimous shareholder agreement, [BCA] 7
- Voting rights, [CA] 43
- Winding-up of the company, [WUA] 24
- Shareholder (federal)**
- Amalgamation agreement, [CBCA] 183
- Auditor, [CBCA] 162, 163
- By-laws, [CBCA] 103
- Corporation's articles
- • Amendment, [CBCA] 175, 176
- • Right to dissent, [CBCA] 190
- Definition, [CBCA] 226
- Immunity, [CBCA] 45
- List, [CBCA] 21(3)-(5), 138
- Meeting, [CBCA] 132
- Obligation to acquire shares, [CBCA] 206.1
- Pooling agreement, [CBCA] 145.1
- Powers, [CBCA] 268
- Proxyholder, [CBCA] 148, 152
- Unanimous agreement, [CBCA] 146
- Shareholder (Part 1A)**
- Auditor, [CA] 123.97
- Financial assistance, [CA] 123.66-123.69
- Management, [CA] 123.92
- Meeting
- • Signed resolution, [CA] 123.96
- • Voting, [CA] 123.95
- • Waiver of notice, [CA] 123.94
- Powers, [CA] 123.90
- Removal, [CA] 123.77
- Single shareholder, [CA] 123.90
- Unanimous agreement, [CA] 123.91, 123.93
- Shareholder (Part II)**, *see also* Company (Part II)
- Compromise or arrangement proposed by the company, [CA] 147
- Fiduciaries, [CA] 140
- Limited liability, [CA] 139
- Transfer of shares, [CA] 163
- • Calls payable, [CA] 165
- • Directors' liability, [CA] 164
- Voting rights, [CA] 141

## ANALYTICAL INDEX

### **Shareholder proposal**

- Application to the court, [BCA] 204-206
- Attached to the management proxy circular, [BCA] 196-197
- • Immunity, [BCA] 202
- • Refusal, [BCA] 201, 203; [BCA-1] 5-6
- Discussion period, [BCA] 199
- Exemption, [BCA] 200; [BCA-1] 4
- Maximum number of words, [BCA-1] 3
- Nomination for the election of directors, [BCA] 198
- Number, [BCA-1] 1
- Reporting issuer, [BCA] 194
- Requirements, [BCA] 195; [BCA-1] 2

### **Shareholders meeting, *see also* Annual shareholders meeting, General meeting, Special general meeting**

- Annual shareholders meeting, [BCA] 163-206
- Special shareholders meeting, [BCA] 207-212

### **Shareholders meeting (federal)**

- Auditor, [CBCA] 168
- • Filing vacancy, [BCA] 237
- • Removal, [BCA] 236
- Calling, [CBCA] 133
- • By court, [CBCA] 144
- • Requisition, [BCA] 208; [CBCA] 143
- Expenses, [BCA] 210
- Fixing record date, [CBCA] 134; [CBCA-1] 43
- Notice, [CBCA] 135; [CBCA-1] 44
- • Waiver of, [CBCA] 136
- Participation, [CBCA] 132
- Proposal, [CBCA] 137; [CBCA-1] 46-53
- Proxyholder, [CBCA] 152
- Quorum, [CBCA] 139
- Resolution, [CBCA] 142
- Right to vote, [CBCA] 140
- Voting, [CBCA] 141; [CBCA-1] 45

### **Short sale**

- Investment fund, [SA-2] 14.6.2
- Prohibition, [CBCA] 130; [SA] 194

### **Short-term debt, [SA-2] 8.22.1**

### **Short-term securitized products**

- Disclosure requirements, [SA-1] 2.35.4
- Exceptions relating to liquidity agreements, [SA-1] 2.35.3
- Limitation on short-term securitized product exemption, [SA-1] 2.35.2
- Prospectus exempted, [SA-1] 2.35.1

### **Simplified prospectus, *see also* Prospectus**

- Conditions, [SA] 18
- Content, [SA] 18.1
- Rules, [SA] 19

### **Small security holder**

- Selling and purchase arrangements
- • Exempted registration, [SA-2] 8.22

### **Solicitation**

- By or on behalf of the management of a corporation, [CBCA] 147
- Definition, [CBCA] 147; [CBCA-1] 67, 68
- Of proxies
- • Misrepresentation, [CBCA] 154

### **Solicitation of proxies (federal), *see also* Dissident's proxy circular, Management proxy circular**

- Circular, [CBCA] 150; [CBCA-1] 55
- • Financial statements, [CBCA-1] 66
- Duty of intermediary, [CBCA] 153
- Exemption, [CBCA] 151; [CBCA-1] 88-94
- Form of proxy, [CBCA] 148; [CBCA-1] 54
- Mandatory solicitation, [CBCA] 149



### **Solicitor-client privilege, *see* Professional secrecy**

### **Special general meeting, [CCQ] 352**

- Amalgamation of companies, [CA] 18(3)
- Change of name, [CA] 21
- Company (Part II), [CA] 192
- Conversion of company without share capital into joint stock company, [CA] 17
- Notice, [BCA] 207-209; [CA] 97, 99, 116, 123.78
- • Exemption, [BCA] 211
- Powers of the company, [CA] 37
- Presiding officer, [CA] 100
- Removal of a director, [BCA] 144; [CA] 123.77, 123.78
- Vacancies on the board of directors, [BCA] 146

### **Special resolution**

- Definition, [CBCA] 2
- Reduction of stated capital, [CBCA] 38

### **Specialization agreement, [CAC] 85-90**

- Definitions, [CAC] 85
- Modification, [CAC] 87(1)
- Order directing registration, [CAC] 86(1)
- • Conditional order, [CAC] 86(4)
- • Factors to be considered, [CAC] 86(2)
- • Redistribution of income does not result in gains in efficiency, [CAC] 86(3)
- Order to remove from register, [CAC] 87(2)
- Provisions not applicable, [CAC] 90
- Register, [CAC] 89
- Right of intervention, [CAC] 88

### **Specified debt**

- Exempted from registration, [SA-2] 8.21

### **Spirits, *see* Trademark (geographical indications)**

### **Squeeze-out transaction, [BCA] 221-223**

- Conditions, [CBCA] 194

LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Definition, [CBCA] 2
- Statement**
- Definition, [CBCA] 262
- Statistics Act*, [CAC] 70(1)(a)
- Statutory Instruments Act (S.R.C. (1985), c. S-22)**, [CBCA] 237.6(3), 237.8, 261
- Stock certificate**, *see* Share certificate
- Stock exchange**, [SA] 237(2.1)
- Recognition by the Authority, [SA] 169-169.1, 171.1
- Sub-adviser**, *see* International sub-adviser, Registered sub-adviser
- Subsidiary**
- Acquisition of shares, [BCA] 88
  - Alienation affecting significant business activity, [BCA] 271-275
  - Amalgamation, [CA] 123.29, 123.30
  - Control, [CA] 123.2
  - Definition, [BCA] 2; [CA] 123.1; [CBCA] 2; [SA] 9
  - Public distribution of securities, [CA] 123.3
- Superior Court**, [BCA] 2; [CA] 49, 50, 231; [SA] 320.1; [WUA] 8, 18
- Company (Part II)
  - Compromise with shareholders, [CA] 147, 148
  - Injunction, [SA] 268
  - Order to wind up, [WUA] 24
- Superior Court of criminal jurisdiction**, [CAC] 33, 34(3), 34(4)
- Supplier**
- Ordinary price, [CAC] 74.01(1)-74.01(5), 74.1(6)
- Supreme Court of Canada**, [CAC] 34(3.1), 34(4)
- Syndicate of co-owners**, [CCQ] 306, 358
- T-**
- Take-over bid**
- Definition, [SA] 110
  - Disclosure, [SA] 115
  - Irregular
  - Motion to the court, [SA] 233.1
  - Order, [SA] 233.2
  - Misrepresentation, [SA] 222-225.1
  - Recommendation, [SA] 113-114
- Take-over bid (federal)**, [CBCA-1] Annex 2
- Definition, [CBCA] 206
  - Dissent, [CBCA] 206
- Tanning salon**, [ALPE] 21
- Tax Administration Act (c. A-6.002)**, [ALPE] 78, 85
- Taxation Act (c. I-3)**, [ALPE] 46-47, 83-85; [CA] 123.49
- Telemarketing**, [CAC] 52.1
- Textile Labelling Act*, [CAC] 7(1)(d)
- TFSA**
- Definition, [SA-1] 1.1
  - Exempted from prospectus, [SA-1] 2.40
- The Quebec Companies' Act*, [CA] 4, 14(1), 125, 217(2), 217(3)
- Tied selling**, [CAC] 77; [SA-2] 11.8
- Application to the Tribunal, [CAC] 103.1-107
- Tied settling of securities transactions**, [SA-2] 11.7
- Tobacco Act*, [ALPE] 21
- Tobacco retail outlet**, [ALPE] 21
- Registration, [ALPE] 21(7)
- Total percentage return**
- Definition, [SA-2] 1.1
- Trademark**, *see also* Certification mark, Registrable trade-marks, Registrar of trademarks
- Additional representations, [TMA] 43
  - Administration of the Act
  - Minister responsible, [TMA] 62
  - Application for registration, [TMA] 30, 32
  - Abandonment, [TMA] 36
  - Allowed, [TMA] 39
  - Bases on registration abroad, [TMA] 31
  - By trade union, [TMA] 33
  - Date of application abroad, [TMA] 34
  - Disclaimer, [TMA] 35
  - Divisional application, [TMA] 39.1
  - Refusal, [TMA] 37
  - Statement of opposition, [TMA] 38, 68.2
  - Change of purpose in use of mark, [TMA] 49
  - Confusing mark, [TMA] 6, 16(4)
  - Concurrent use, [TMA] 21
  - Registration of, [TMA] 15
  - Surrounding circumstances, [TMA] 6(5)
  - With certification mark, [TMA] 24
  - Deemed to be adopted, [TMA] 3
  - Deemed to be made known, [TMA] 5
  - Deemed to be used, [TMA] 4(1), 4(2)
  - Definitions, [CBCA-1] 17; [TMA] 2, 11.11, 51.02, 52
  - Delivered pricing, [CAC] 81(3)
  - Depreciation of goodwill, [TMA] 22
  - Geographical indications
  - Agricultural product or food, [TMA] 11.15-11.18, 11.2(3), 51.03(2.2)-(3)
  - CETA indications, [TMA] 11.22
  - Confusing with trademarks, [TMA] 11.11(3)(4)
  - Definitions, [TMA] 11.11(1)
  - Korea indications, [TMA] 11.23

## ANALYTICAL INDEX

- • List, [TMA] 11.12
  - • • Evidence of entry, [TMA] 11.12(6)(8)
  - • • Obvious error, [TMA] 11.12(5)
  - • • Removal from, [TMA] 11.12(4), 11.21
  - • • Statement of objection, [TMA] 11.13
  - • Objection to, [TMA] 11.13(2)(3)
  - • • Counter statement, [TMA] 11.13(4)
  - • • Decision, [TMA] 11.13(7)
  - • • Evidence and hearing, [TMA] 11.13(5)(6)(6.1)
  - • • Frivolous objection, [TMA] 11.13(3.1)
  - • • Power to strike, [TMA] 11.13(3.2)
  - • • Service, [TMA] 11.13(5.1)
  - • Powers of Governor in Council, [TMA] 11.24
  - • Regulations, [TMA] 65.2
  - • Spirits, [TMA] 11.14(4)-(6), 11.16-11.11.18, 11.2(2), 51.03(2.1)(2.3)-(3)
  - • Statement of Minister, [TMA] 11.12(2)(8)
  - • Evidence of, [TMA] 11.12(7)
  - • Information, [TMA] 11.12(3)
  - • Translation, [TMA] 11.12(2.1)(3.1), 11.21(3)
  - • Objection to, [TMA] 11.13(2.1)(8)
  - • Wine, [TMA] 11.14, 11.16-11.18, 11.2(1), 51.03(2.1)(2.3)-(3)
  - Importation/exportation, [TMA] 51.02-51.12
  - • Damages, [TMA] 51.12
  - • Measures relating to detained goods, [TMA] 51.05-51.09
  - • No liability, [TMA] 51.1
  - • Power of Court relating to detained goods, [TMA] 51.11
  - • Prohibition, [TMA] 51.03
  - • Request for assistance, [TMA] 51.04
  - Legal proceedings, [TMA] 52-61
  - • Appeal, [TMA] 56
  - • Application, [TMA] 58
  - • Detention by Minister, [TMA] 53.1
  - • Documents, [TMA] 60
  - • Evidence, [TMA] 54
  - • For interim custody, [TMA] 53
  - • Hearing, [TMA] 59(3)
  - • Judgments, [TMA] 61
  - • Jurisdiction of Federal Court, [TMA] 52, 55, 57
  - • Notice, [TMA] 59(1)
  - • Power of court to grant relief, [TMA] 53.2
  - • Reply, [TMA] 59(2)
  - • Unaltered state (exportation, sale or distribution), [TMA] 53.3
  - Licence to use, [TMA] 50
  - Marks registered abroad, [TMA] 31
  - • Registration of, [TMA] 14
  - Marks registered before *Unfair Competition Act*, [TMA] 27(2), 27(3)
  - Minister, [TMA] 11.11(1)(2)
  - Offences, [TMA] 51.01
  - Powers to restrain trade, [CAC] 32
  - Prohibited mark, [TMA] 9-10.1
  - Prohibitions, [TMA] 7, 11-11.1
  - Refusal to deal, [CAC] 75(2)
  - Register, *see* Register of trademarks
  - Registration of mark, [TMA] 40, *see also* application for registration
  - Confusing mark, [TMA] 16(4)
  - Expunging, [TMA] 18.1
  - Invalid, [TMA] 18
  - Previous use or making known, [TMA] 16(5), 17
  - Proposed mark, [TMA] 16(3), 40(2), 40(3)
  - Registered and used abroad, [TMA] 16(2)
  - Renewal, [TMA] 46-46.1
  - Rights conferred by [TMA] 19
  - Used or made known in Canada, [TMA] 16(1)
  - Regulations, [TMA] 65
  - Representative for service, [TMA] 42
  - Right of the owner
  - Infringement, [TMA] 20
  - Sale of goods (offence), [TMA] 51.01
  - Standard characters, [TMA] 30.1
  - Time limit deemed extended, [TMA] 66
  - Transfer, [TMA] 48
  - Transitional provisions, [TMA] 69
  - Use by export, [TMA] 4(3)
  - Use by related companies, [TMA] 51
  - Warranty of lawful use, [TMA] 8
- Trademark agent**, [TMA] 51.13
- Trademarks Act**, [CAC] 79(5); [CBCA-1] 17; [TMA] 60(2)
- Trade-name**, *see also* Trademark
- Confusion, [TMA] 2
  - Definition, [CBCA-1] 17; [TMA] 2
- Transfer**
- Definition, [CBCA] 48
- Transfer of securities**
- Application of the Act, [ATS] 5
  - Bills and notes, [ATS] 5
  - Delivery, [ATS] 50-51
  - Depository's or agent's liability to adverse claimant, [ATS] 49
  - Endorsement, [ATS] 59-63
  - Appropriate person, [ATS] 41
  - Definitions, [ATS] 39
  - In the name of a representative, [ATS] 44
  - Representative, [ATS] 42
  - Validity, [ATS] 40, 43, 45
  - Financial asset, [ATS] 12-13
  - Future contract, [ATS] 17
  - Instruction, [ATS] 64
  - Issuer, [ATS] 7



## LOIS ET RÈGLEMENTS EN DROIT DES AFFAIRES

- Notice
  - • Communication, [ATS] 22
  - • Given, [ATS] 19
  - • Group, [ATS] 21
  - • Interpretation, [ATS] 18
  - • Of adverse claim, [ATS] 23-27
  - • Received, [ATS] 20
  - Overissue of securities, [ATS] 46-48
  - Participation, [ATS] 9(2)
  - Provisions of the Act, [ATS] 2
  - • Clearing agency rules, [ATS] 4
  - Purchaser, [ATS] 6
  - Purpose of the Act, [ATS] 1
  - Registration
  - • Conditions, [ATS] 85-90
  - • Issuer's duties, [ATS] 91-102
  - Requirement, [ATS] 3
  - Rights of purchasers, [ATS] 52-58
  - Securities intermediary, [ATS] 8
  - Security, [ATS] 10-11
  - Security certificate, [ATS] 9(1)
  - Terms of security, [ATS] 28
  - Unit in a partnership or a limited liability company, [ATS] 14
  - Validity of security, [ATS] 29-38
  - • Charge, [ATS] 36
  - • Completion of security certificate, [ATS] 38
  - • Defect, [ATS] 30, 35
  - • Defence, [ATS] 34
  - • Forgery or counterfeit, [ATS] 33
  - • Reference, [ATS] 31
  - • Restriction on transfer, [ATS] 37
  - • Unauthorized signature, [ATS] 32
  - Warranties, [ATS] 78-84
  - • By endorser or originator, [ATS] 65-69
  - • On signature, endorsement or instruction, [ATS] 70-78
- Transfer of shares**, *see* Share
- Treaty**
- Restraining trade, [CAC] 32(3)
- Trust and Loans Companies Act (S.C. 1991, ch. 45).**  
[CAC] 29.2, 49(3), 103.3(3); [CBCA-1] 87; [SA-1] 1.1; [SA-2] 1.1
- Trust company**
- Amendments to the constituting act, [BCA-1] 3
  - Exempted from prospectus, [SA] 2.21
  - Exempted from registration, [SA] 3.21; [SA-2] 8.11, 8.29
- Trust indenture**, *see also* Trustee
- Definition, [CBCA] 48, 82
  - Evidence of compliance, [CBCA] 86-89
- List of security holders, [CBCA] 85
  - Notice of default, [CBCA] 90
  - Trustee
  - • Conflict of interest, [CBCA] 83
- Trust who operates an enterprise**
- Registration, [ALPE] 3, 21
  - • Declaration, [ALPE] 35.1
- Trustee**, *see also* Trust indenture
- Conflict of interest, [CBCA] 83
  - Definition, [CBCA] 82
  - Duties, [CBCA] 91, 93
  - Legal person, [CCQ] 304 par. 2
  - Qualification, [CBCA] 84
  - Reliance on statements, [CBCA] 92
- Trustee in bankruptcy**
- Cancellation of registration, [ALPE] 57
- TSX Venture Exchange offering**
- Application, [SA-1] 5.1
  - Interpretation, [SA-1] 5.1(2)
  - Prospectus, [SA-1] 5.2
  - Underwriter obligations, [SA-1] 5.3
- Tutor**, [CCQ] 304; [SA] 151.0.1(3)
- U-
- Ultimate designated person**, *see* Registered firm
- Unanimous member agreement**
- Legal person, [CCQ] 310 par. 1
- Unanimous shareholder agreement**, [CA] 123.90-123.93; [CBCA] 146
- Board of directors, [BCA] 113, 115, 117, 213
  - • Powers, [BCA] 214, 216
  - Declaration to enterprise registrar, [BCA] 215
  - Definition, [BCA] 2
  - Discretion of shareholders, [BCA] 220
  - Party to the agreement, [BCA] 218
  - Sole shareholder, [BCA] 213
  - • Decisions, [BCA] 217
  - Termination, [BCA] 219
- Unclaimed Property Act (2011, c. 10)**, [BCA] 349; [WUA] 20
- Undertaking**, *see also* Company, Enterprise
- Definition, [CA] 3(3), 124(4), 216(2)
- Undertaking with limited liability**
- Name, [CA] 34.1, 123.22
- Underwriter**, [CAC] 5; [SA-1] 5.3, *see also* Dealer or adviser
- Exempted from registration, [SA-2] 8.1, 8.3
- Unfair Competition Act**, [TMA] 11, 27

ANALYTICAL INDEX

*United Nations Convention on the Rights of the Child*, [CBCA] 2

*United States Securities and Exchange Commission (SEC)*, see SEC

**US GAAP**

- Definition, [CBCA-1] 70

**US GAAS**

- Definition, [CBCA-1] 70

**Use**

- Definition, [CBCA-1] 17

**-V-**

**Valid**

- Definition, [CBCA] 48

**Variable insurance contract**

- Exempted from prospectus, [SA-1] 2.39
- Exempted from registration, [SA-2] 8.14

**Visa**, see Prospectus

**Votes**

- Definition, [CA] 225(3)

**Voting security**

- Definition, [SA] 5

**Voting share**

- Definition, [CBCA-1] 73

**-W-**

**Wage**

- Liability of shareholders, [CA] 96, 189

**Warrant for entry of premises**

- Inquiry by Commissioner of Competition, [CAC] 15, 65(1), 65(3)
- Detention of records or things seized, [CAC] 18
- Operation of computer system, [CAC] 16, 65(1)
- Report on record or thing seized, [CAC] 17
- Solicitor-client privilege, [CAC] 19
- Requests made to Canada from abroad (competition matters), [CAC] 30.04-30.09, 65.1(1), 65.1(2)



**Warrant of arrest**, [CAC] 30.18; [SA] 213

**Winding-up and Restructuring Act (R.S.C. (1985), ch. W-11)**, [CBCA] 3(3)

**Wine** see Trademark (geographical indications)

**World Trade Organization Agreement Implementation Act**, [TMA] 2